

# Deep Learning Symmetries in Physics and Beyond

Roy T. Forestano   Konstantin T. Matchev   Katia Matcheva  
Alexander Roman   Eyup B. Unlu   Sarunas Verner

University of Florida



[arxiv:2301.05638](#)

[arxiv:2302.05383](#)

[arxiv:2302.00806](#)

[arxiv:2305.xxxxxx](#)



2023 Phenomonology Symposium  
Tuesday, 9 May 2023

**UF** UNIVERSITY of  
FLORIDA

$$\text{Invariance: } \varphi(\mathbf{g} \bullet \mathbf{x}) = \varphi(\mathbf{x}) \quad (1)$$

## Labelled Dataset

$n$  features

$k$  labels

$m$  samples

$$\left\{ \begin{array}{ll} x_1^{(1)}, x_1^{(2)}, \dots, x_1^{(n)}; & y_1^{(1)}, \dots, y_1^{(k)} \\ x_2^{(1)}, x_2^{(2)}, \dots, x_2^{(n)}; & y_2^{(1)}, \dots, y_2^{(k)} \\ \vdots & \vdots \\ x_m^{(1)}, x_m^{(2)}, \dots, x_m^{(n)}; & y_m^{(1)}, \dots, y_m^{(k)} \end{array} \right.$$

|||

$$\{\mathbf{x}_i\} \equiv \{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_m\} \text{ where } \mathbf{x}_i \in \mathbf{V}^n$$

$$\{\mathbf{y}_i\} = \{\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_m\} = \underbrace{\{\vec{\varphi}(\mathbf{x}_i)\}}_{\substack{\text{Oracle} \\ \text{(learned or postulated)}}$$



$$\text{Invariance: } \varphi(\mathbf{g} \bullet \mathbf{x}) = \varphi(\mathbf{x}) \quad (1)$$

## Labelled Dataset

$n$  features

$k$  labels

$m$  samples

$$\left\{ \begin{array}{ll} x_1^{(1)}, x_1^{(2)}, \dots, x_1^{(n)}; & y_1^{(1)}, \dots, y_1^{(k)} \\ x_2^{(1)}, x_2^{(2)}, \dots, x_2^{(n)}; & y_2^{(1)}, \dots, y_2^{(k)} \\ \vdots & \vdots \\ x_m^{(1)}, x_m^{(2)}, \dots, x_m^{(n)}; & y_m^{(1)}, \dots, y_m^{(k)} \end{array} \right.$$

|||

$$\{\mathbf{x}_i\} \equiv \{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_m\} \text{ where } \mathbf{x}_i \in \mathbf{V}^n$$

$$\{\mathbf{y}_i\} = \{\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_m\} = \underbrace{\{\vec{\varphi}(\mathbf{x}_i)\}}_{\substack{\text{Oracle} \\ (\text{learned or postulated})}}$$



## Transformation

Transformation on feature space:

$$\mathbf{g} : \mathbf{x}_i \rightarrow \mathbf{x}'_i$$

Transformation is a symmetry if:

$$\varphi(\mathbf{x}'_i) \equiv \varphi(\mathbf{g}(\mathbf{x}_i)) = \varphi(\mathbf{x}_i)$$

**Goal:** Find transformations  $\mathbf{g}(\mathbf{x}_i)$  which preserve the oracle  $\varphi$ .

# Notation and Set-Up

$$\text{Invariance: } \varphi(\mathbf{g} \bullet \mathbf{x}) = \varphi(\mathbf{x}) \quad (1)$$

## Labelled Dataset

$n$  features

$k$  labels

$m$  samples

$$\left\{ \begin{array}{ll} x_1^{(1)}, x_1^{(2)}, \dots, x_1^{(n)}; & y_1^{(1)}, \dots, y_1^{(k)} \\ x_2^{(1)}, x_2^{(2)}, \dots, x_2^{(n)}; & y_2^{(1)}, \dots, y_2^{(k)} \\ \vdots & \vdots \\ x_m^{(1)}, x_m^{(2)}, \dots, x_m^{(n)}; & y_m^{(1)}, \dots, y_m^{(k)} \end{array} \right.$$

|||

$$\{\mathbf{x}_i\} \equiv \{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_m\} \text{ where } \mathbf{x}_i \in \mathbf{V}^n$$

$$\{\mathbf{y}_i\} = \{\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_m\} = \underbrace{\{\vec{\varphi}(\mathbf{x}_i)\}}_{\substack{\text{Oracle} \\ (\text{learned or postulated})}}$$



## Transformation

Transformation on feature space:

$$\mathbf{g} : \mathbf{x}_i \rightarrow \mathbf{x}'_i$$

Transformation is a symmetry if:

$$\varphi(\mathbf{x}'_i) \equiv \varphi(\mathbf{g}(\mathbf{x}_i)) = \varphi(\mathbf{x}_i)$$

**Goal:** Find transformations  $\mathbf{g}(\mathbf{x}_i)$  which preserve the oracle  $\varphi$ .

In physics,  $\varphi$  represents a conserved quantity.

$\mathbf{g}$	$\varphi$
Time Translation ( $T_0$ )	$E$
Rotation ( $R_{ij}$ )	$\vec{L}$
Lorentz ( $K_{\mu\nu}$ )	$T^{\mu\nu}$



# Parameterization of Symmetry Transformations

## Linear

$$\mathbf{x}' = (\mathbb{I} + \epsilon \mathcal{W}) \mathbf{x} \quad (2)$$

$\mathbb{I} \equiv$  identity matrix

$\mathcal{W} \equiv$   $n \times n$  matrix to be  
learned by our method



# Parameterization of Symmetry Transformations

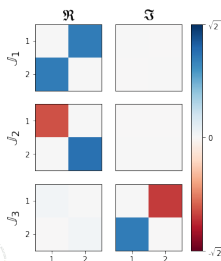
## Linear

$$\mathbf{x}' = (\mathbb{I} + \epsilon \mathcal{W}) \mathbf{x} \quad (2)$$

$\mathbb{I} \equiv$  identity matrix

$\mathcal{W} \equiv$   $n \times n$  matrix to be learned by our method

Figure: **Visualization:**  $SU(2)$  generators for a single layer linear model using the  $L_2$ -norm oracle  $\varphi(\mathbf{x}) = |\mathbf{x}|$ .



# Parameterization of Symmetry Transformations

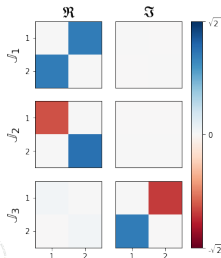
## Linear

$$\mathbf{x}' = (\mathbb{I} + \epsilon \mathcal{W}) \mathbf{x} \quad (2)$$

$\mathbb{I} \equiv$  identity matrix

$\mathcal{W} \equiv$   $n \times n$  matrix to be learned by our method

**Figure: Visualization:**  $SU(2)$  generators for a single layer linear model using the  $L_2$ -norm oracle  $\varphi(\mathbf{x}) = |\mathbf{x}|$ .



## Non-Linear

$$\mathbf{x} \rightarrow \underbrace{\text{NN}}_{\text{whose parameters are to be learned by our method}} \rightarrow \frac{\mathbf{x}' - \mathbf{x}}{\epsilon} \quad (3)$$



# Parameterization of Symmetry Transformations

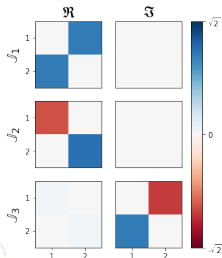
## Linear

$$\mathbf{x}' = (\mathbb{I} + \epsilon \mathcal{W}) \mathbf{x} \quad (2)$$

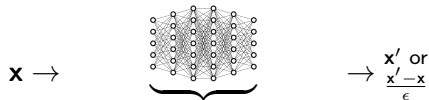
$\mathbb{I} \equiv$  identity matrix

$\mathcal{W} \equiv$   $n \times n$  matrix to be learned by our method

**Figure: Visualization:**  $SU(2)$  generators for a single layer linear model using the  $L2$ -norm oracle  $\varphi(\mathbf{x}) = |\mathbf{x}|$ .



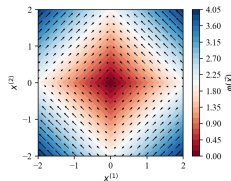
## Non-Linear



$NN$  whose parameters are to be learned by our method

(3)

**Figure: Visualization:** Grid vector transformation representation for a deep linear layered model using the  $L1$ -norm oracle  $\varphi(\mathbf{x}) = |\mathbf{x}^{(1)}| + |\mathbf{x}^{(2)}|$ .





# Loss Function

## Ensure Symmetry $\implies$ Invariance $\mathcal{L}_{inv}(\mathcal{G}_{\mathcal{W}}, \{\vec{x}_i\})$

Enforces invariance among a chosen oracle  $\vec{\varphi}(\vec{x})$ , e.g.  $l^2$ -norm  $\varphi(\vec{x}) = \sqrt{x_i^* x^i}$ ,

$$\mathcal{L}_{inv} = h_{inv} \frac{1}{\varepsilon^2 m} \sum_{i=1}^m [\vec{\varphi}(\mathcal{F}_{\mathcal{W}} \vec{x}_i) - \vec{\varphi}(\vec{x}_i)]^2 = h_{inv} \frac{1}{\varepsilon^2 m} \sum_{i=1}^m [\vec{\varphi}((\mathbb{I} + \varepsilon \mathcal{W}) \vec{x}_i) - \vec{\varphi}(\vec{x}_i)]^2 \quad (4)$$



# Loss Function

## Ensure Symmetry $\implies$ Invariance $\mathcal{L}_{inv}(\mathcal{G}_{\mathcal{W}}, \{\vec{x}_i\})$

Enforces invariance among a chosen oracle  $\vec{\varphi}(\vec{x})$ , e.g.  $l^2$ -norm  $\varphi(\vec{x}) = \sqrt{x_i^* x^i}$ ,

$$\mathcal{L}_{inv} = h_{inv} \frac{1}{\varepsilon^2 m} \sum_{i=1}^m [\vec{\varphi}(\mathcal{F}_{\mathcal{W}} \vec{x}_i) - \vec{\varphi}(\vec{x}_i)]^2 = h_{inv} \frac{1}{\varepsilon^2 m} \sum_{i=1}^m [\vec{\varphi}((\mathbb{I} + \varepsilon \mathcal{W}) \vec{x}_i) - \vec{\varphi}(\vec{x}_i)]^2 \quad (4)$$

## Ensure non-triviality ( $\mathbf{x}' \neq \mathbf{x}$ ) $\implies$ Normalization $\mathcal{L}_{norm}(\mathcal{G}_{\mathcal{W}}, \{\vec{x}_i\})$

Enforces the normalization condition and finding a non-trivial solution

$$\mathcal{L}_{norm} = h_{norm} [\mathcal{W}_{jk} \mathcal{W}_{kj}^* - 2]^2 \quad (5)$$



# Loss Function

## Ensure Symmetry $\implies$ Invariance $\mathcal{L}_{inv}(\mathcal{G}_{\mathcal{W}}, \{\vec{x}_i\})$

Enforces invariance among a chosen oracle  $\vec{\varphi}(\vec{x})$ , e.g.  $l^2$ -norm  $\varphi(\vec{x}) = \sqrt{x_i^* x^i}$ ,

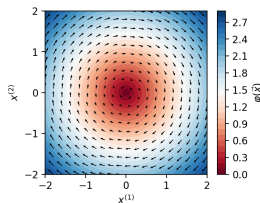
$$\mathcal{L}_{inv} = h_{inv} \frac{1}{\varepsilon^2 m} \sum_{i=1}^m [\vec{\varphi}(\mathcal{F}_{\mathcal{W}} \vec{x}_i) - \vec{\varphi}(\vec{x}_i)]^2 = h_{inv} \frac{1}{\varepsilon^2 m} \sum_{i=1}^m [\vec{\varphi}((\mathbb{I} + \varepsilon \mathcal{W}) \vec{x}_i) - \vec{\varphi}(\vec{x}_i)]^2 \quad (4)$$

## Ensure non-triviality ( $\mathbf{x}' \neq \mathbf{x}$ ) $\implies$ Normalization $\mathcal{L}_{norm}(\mathcal{G}_{\mathcal{W}}, \{\vec{x}_i\})$

Enforces the normalization condition and finding a non-trivial solution

$$\mathcal{L}_{norm} = h_{norm} [\mathcal{W}_{jk} \mathcal{W}_{kj}^* - 2]^2 \quad (5)$$

Figure: Linear: Rotations in 2D,  
 $\varphi(\vec{x}) = |\vec{x}|$ .



# Loss Function

## Ensure Symmetry $\implies$ Invariance $\mathcal{L}_{inv}(\mathcal{G}_{\mathcal{W}}, \{\vec{x}_i\})$

Enforces invariance among a chosen oracle  $\vec{\varphi}(\vec{x})$ , e.g.  $l^2$ -norm  $\varphi(\vec{x}) = \sqrt{x_i^* x^i}$ ,

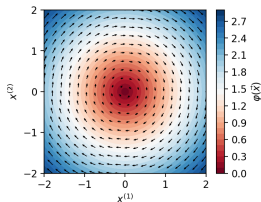
$$\mathcal{L}_{inv} = h_{inv} \frac{1}{\varepsilon^2 m} \sum_{i=1}^m [\vec{\varphi}(\mathcal{F}_{\mathcal{W}} \vec{x}_i) - \vec{\varphi}(\vec{x}_i)]^2 = h_{inv} \frac{1}{\varepsilon^2 m} \sum_{i=1}^m [\vec{\varphi}((\mathbb{I} + \varepsilon \mathcal{W}) \vec{x}_i) - \vec{\varphi}(\vec{x}_i)]^2 \quad (4)$$

## Ensure non-triviality ( $\mathbf{x}' \neq \mathbf{x}$ ) $\implies$ Normalization $\mathcal{L}_{norm}(\mathcal{G}_{\mathcal{W}}, \{\vec{x}_i\})$

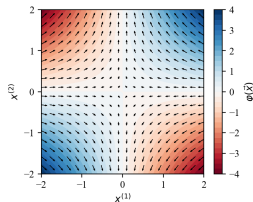
Enforces the normalization condition and finding a non-trivial solution

$$\mathcal{L}_{norm} = h_{norm} [\mathcal{W}_{jk} \mathcal{W}_{kj}^* - 2]^2 \quad (5)$$

**Figure: Linear:** Rotations in  $2D$ ,  
 $\varphi(\vec{x}) = |\vec{x}|$ .



**Figure: Non-linear:** Squeeze mapping  
in  $2D$ ,  $\varphi(\vec{x}) = x^{(1)} x^{(2)}$ .



# Finding Multiple Symmetries

## Distinct Transformations $\implies$ Orthogonality $\mathcal{L}_{orth}(\mathcal{G}_{\mathcal{W}}, \mathcal{G}'_{\mathcal{W}})$

This is built on intuition from group theory where the generators of different groups obey orthogonality conditions. Enforces the orthogonality condition and finding distinct generators  $\mathbb{J}$

$$\mathcal{L}_{orth} = h_{orth} \left[ \mathcal{W}_{jk} \mathcal{W}_{kj}^* \right]^2 \quad (6)$$



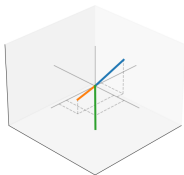
# Finding Multiple Symmetries

## Distinct Transformations $\implies$ Orthogonality $\mathcal{L}_{orth}(\mathcal{G}_{\mathcal{W}}, \mathcal{G}'_{\mathcal{W}})$

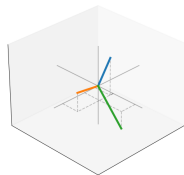
This is built on intuition from group theory where the generators of different groups obey orthogonality conditions. Enforces the orthogonality condition and finding distinct generators  $\mathbb{J}$

$$\mathcal{L}_{orth} = h_{orth} \left[ \mathcal{W}_{jk} \mathcal{W}'_{kj*} \right]^2 \quad (6)$$

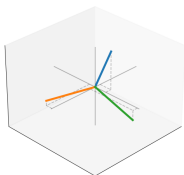
Epoch: 0 | Angles = 66.16°, 92.56°, 44.16°



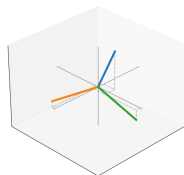
Epoch: 10 | Angles = 51.74°, 94.25°, 69.22°



Epoch: 100 | Angles = 92.02°, 90.32°, 93.08°



Epoch: 300 | Angles = 90.0°, 90.0°, 90.0°



# How many distinct symmetries exist?

- Input Parameter  $\rightarrow N_g$  (number of generators). We can increase this value to search for more symmetries.



# How many distinct symmetries exist?

- Input Parameter  $\rightarrow N_g$  (number of generators). We can increase this value to search for more symmetries.

**Example:** Rotations in  $2D$ ,  $\mathbf{x} \in \mathbb{R}^2$ ,  $\varphi = |\mathbf{x}|$

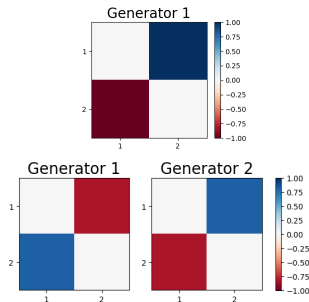


Figure: Success (top). Failure (bottom).

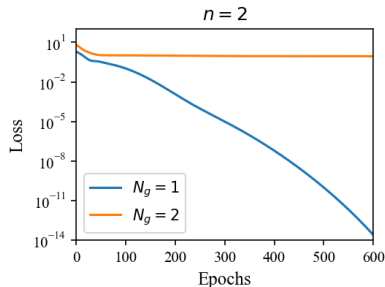


Figure:  $N_g = 1, 2$  Loss





# Rotations in 4 dimensions ( $\mathbf{x} \in \mathbb{R}^4, \varphi = |\mathbf{x}|$ )

Closure  $\mathcal{L}_{clos}(a_{[\alpha\beta]}^\gamma)$

Including a closure term  $\mathcal{L}_{closure}$  ensures the generators form a closed algebra.

$$\mathcal{L}_{clos} = h_{clos} \sum_{\alpha < \beta}^{N_g} \left[ [\mathbb{J}_\alpha, \mathbb{J}_\beta] - \sum_{\gamma=1}^{N_g} a_{[\alpha\beta]}^\gamma \mathbb{J}_\gamma \right]^2$$



# Rotations in 4 dimensions ( $\mathbf{x} \in \mathbb{R}^4, \varphi = |\mathbf{x}|$ )

Closure  $\mathcal{L}_{clos}(a_{[\alpha\beta]}^\gamma)$

Including a closure term  $\mathcal{L}_{closure}$  ensures the generators form a closed algebra.

$$\mathcal{L}_{clos} = h_{clos} \sum_{\alpha < \beta} \left[ [\mathbb{J}_\alpha, \mathbb{J}_\beta] - \sum_{\gamma=1}^{N_g} a_{[\alpha\beta]}^\gamma \mathbb{J}_\gamma \right]^2$$

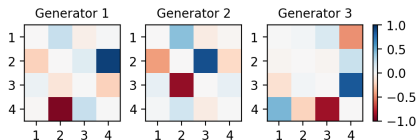


Figure:  $N_g = 3$ .



# Rotations in 4 dimensions ( $\mathbf{x} \in \mathbb{R}^4, \varphi = |\mathbf{x}|$ )

Closure  $\mathcal{L}_{clos}(a_{[\alpha\beta]}^\gamma)$

Including a closure term  $\mathcal{L}_{closure}$  ensures the generators form a closed algebra.

$$\mathcal{L}_{clos} = h_{clos} \sum_{\alpha < \beta} \left[ [\mathbb{J}_\alpha, \mathbb{J}_\beta] - \sum_{\gamma=1}^{N_g} a_{[\alpha\beta]}^\gamma \mathbb{J}_\gamma \right]^2$$

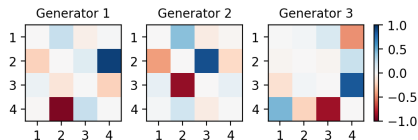


Figure:  $N_g = 3$ .

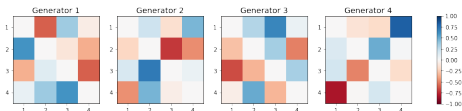


Figure:  $N_g = 4$ .



# Rotations in 4 dimensions ( $\mathbf{x} \in \mathbb{R}^4, \varphi = |\mathbf{x}|$ )

Closure  $\mathcal{L}_{clos}(a_{[\alpha\beta]}^\gamma)$

Including a closure term  $\mathcal{L}_{closure}$  ensures the generators form a closed algebra.

$$\mathcal{L}_{clos} = h_{clos} \sum_{\alpha < \beta} \left[ \left[ \mathbb{J}_\alpha, \mathbb{J}_\beta \right] - \sum_{\gamma=1}^{N_g} a_{[\alpha\beta]}^\gamma \mathbb{J}_\gamma \right]^2$$

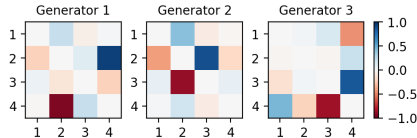


Figure:  $N_g = 3$ .

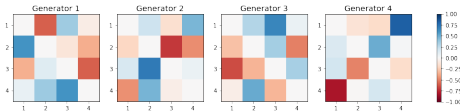
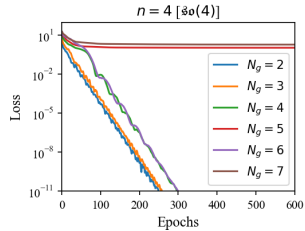


Figure:  $N_g = 4$ .



# Rotations in 4 dimensions ( $\mathbf{x} \in \mathbb{R}^4, \varphi = |\mathbf{x}|$ )

Closure  $\mathcal{L}_{clos}(a_{[\alpha\beta]}^\gamma)$

Including a closure term  $\mathcal{L}_{closure}$  ensures the generators form a closed algebra.

$$\mathcal{L}_{clos} = h_{clos} \sum_{\alpha < \beta} \left[ [\mathbb{J}_\alpha, \mathbb{J}_\beta] - \sum_{\gamma=1}^{N_g} a_{[\alpha\beta]}^\gamma \mathbb{J}_\gamma \right]^2$$

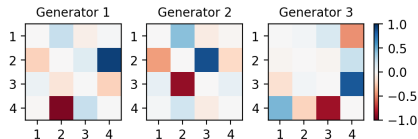


Figure:  $N_g = 3$ .

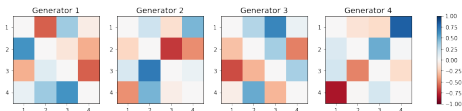


Figure:  $N_g = 4$ .

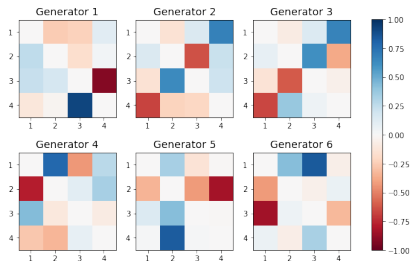
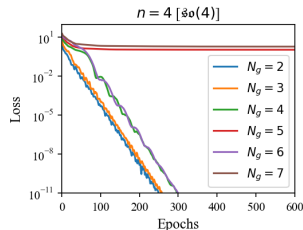
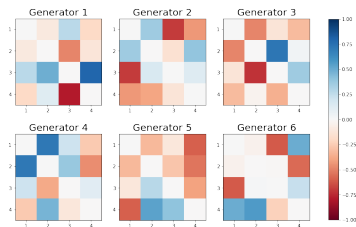


Figure:  $N_g = 6$ .

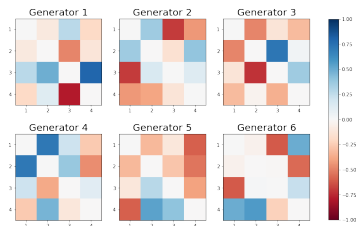
# Other Examples: Lorentz Group $O(1,3)$ and Unitary Groups $U(n)$



**Figure:** Lorentz group generators,  $O(1,3)$   
preserving the Lorentz vector  
 $\varphi(\mathbf{x}) = \eta_{\mu}^{\nu} x_{\mu} x^{\nu}$ .



# Other Examples: Lorentz Group $O(1,3)$ and Unitary Groups $U(n)$



**Figure:** Lorentz group generators,  $O(1,3)$   
preserving the Lorentz vector  
 $\varphi(\mathbf{x}) = \eta_{\mu}^{\nu} x_{\mu} x^{\nu}$ .

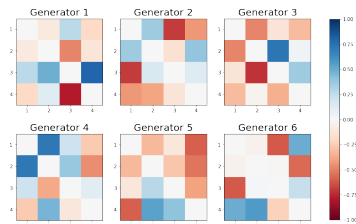
## Sparsity $\mathcal{L}_{sp}(\mathcal{W})$

Enforces the learned generators (axes of rotation) to be in the canonical basis (usual axes),

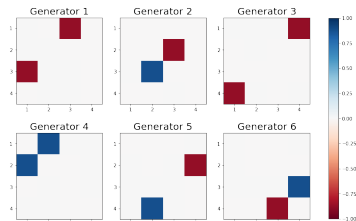
$$\mathcal{L}_{sp} = h_{sp} \sum_{j \neq l \cup k \neq m}^n [\mathcal{W}_{jk} \mathcal{W}_{lm}]^2 \quad (7)$$



# Other Examples: Lorentz Group $O(1,3)$ and Unitary Groups $U(n)$



**Figure:** Lorentz group generators,  $O(1,3)$  preserving the Lorentz vector  $\varphi(\mathbf{x}) = \eta_{\mu}^{\nu} x_{\mu} x^{\nu}$ .



**Figure:** Canonical representation of  $O(1,3)$  with  $h_{sp} > 0$ .

## Sparsity $\mathcal{L}_{sp}(\mathcal{W})$

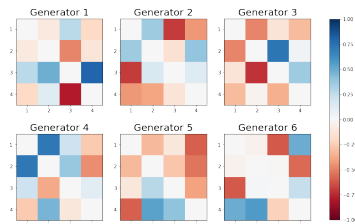
Enforces the learned generators (axes of rotation) to be in the canonical basis (usual axes),

$$\mathcal{L}_{sp} = h_{sp} \sum_{j \neq l, k \neq m}^n [\mathcal{W}_{jk} \mathcal{W}_{lm}]^2 \quad (7)$$





# Other Examples: Lorentz Group $O(1,3)$ and Unitary Groups $U(n)$

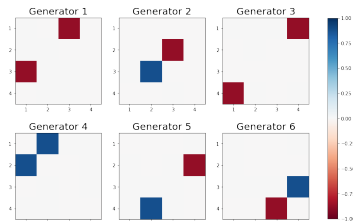


**Figure:** Lorentz group generators,  $O(1,3)$  preserving the Lorentz vector  $\varphi(\mathbf{x}) = \eta_{\mu}^{\nu} x_{\mu} x^{\nu}$ .

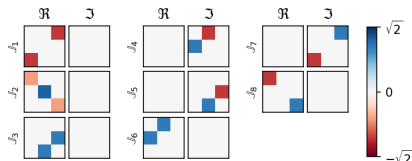
## Sparsity $\mathcal{L}_{sp}(\mathcal{W})$

Enforces the learned generators (axes of rotation) to be in the canonical basis (usual axes),

$$\mathcal{L}_{sp} = h_{sp} \sum_{j \neq l \cup k \neq m}^n [\mathcal{W}_{jk} \mathcal{W}_{lm}]^2 \quad (7)$$



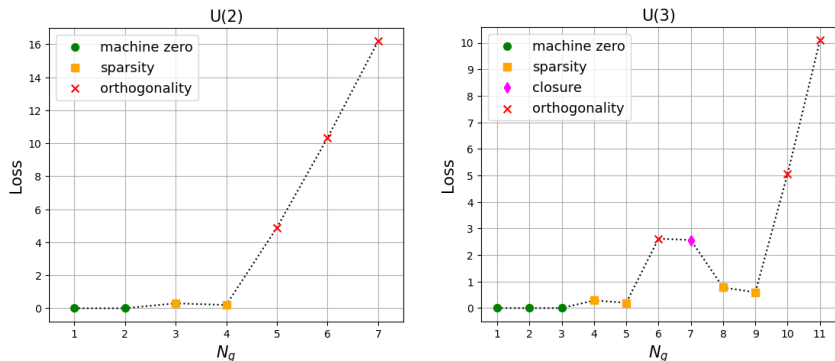
**Figure:** Canonical representation of  $O(1,3)$  with  $h_{sp} > 0$ .



**Figure:**  $N_g = 8$ ,  $SU(3)$  Gell-Mann matrices preserving  $\varphi(\mathbf{x}) = |\mathbf{x}|$ .



# Understanding the Full Loss Function



**Figure:** The final value of the full loss function as a function of the number of generators  $N_g$  for  $U(n)$  for  $n = 2$  (left panel) and the  $n = 3$  (right panel). The colored symbols identify the dominant contribution to the loss. All hyperparameters  $h_i$  were fixed to 1 except for  $h_{\text{sparsity}} = 0.05$ . The learning rate was  $10^{-3}$ .

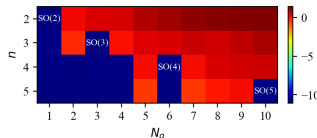


# Summary

## ML Symmetries

- 1 Developed a method for ML symmetries in a labelled dataset.
- 2 General approach.
- 3 Finds the complete symmetry group.
- 4 Can be applied to realistic datasets

*Learned  $SO(10)$  generators.*



**Figure:** Loss function results for  $n = 2, 3, 4, 5$  dimensions and  $N_g = 1, \dots, 10$  generators. The cells are color coded by the base-10 logarithm of the lowest value of the loss attained during training.

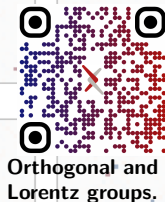


**Figure:** Symmetric morphing of images along contours of the 16-dimensional latent flow. The images in the middle column represent the ideal digits in the dataset. The remaining six images in each row are obtained by moving along the contours.

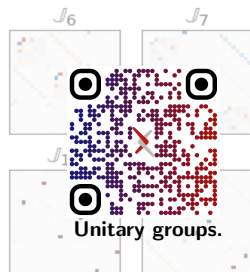
## Future Interests

- 1 Exceptional groups  $G_2, F_4, E_6$ .
- 2 Finding subalgebras:
  - 1 Factoring
  - 2 Postprocessing
  - 3 Cartan subalgebra
  - 4 Isomorphic mappings between subalgebras
- 3 Symmetry breaking.
- 4 Real data applications.

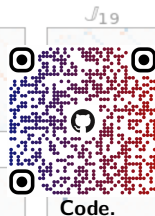
Learned  $F_4$  generators.



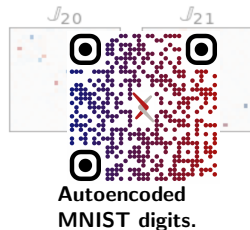
Orthogonal and Lorentz groups.



Unitary groups.



Code.



Autoencoded MNIST digits.

