

# Charting the Baryogenesis Landscape

**Julia Harz**

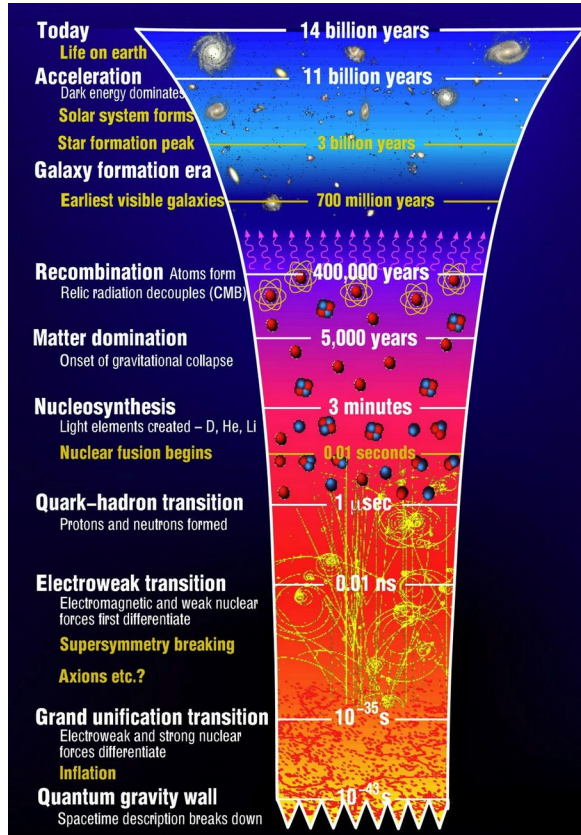
June 1<sup>st</sup> 2023

3<sup>rd</sup> EuCAPT Annual Symposium, CERN



JK.

# From the Big Bang to Today



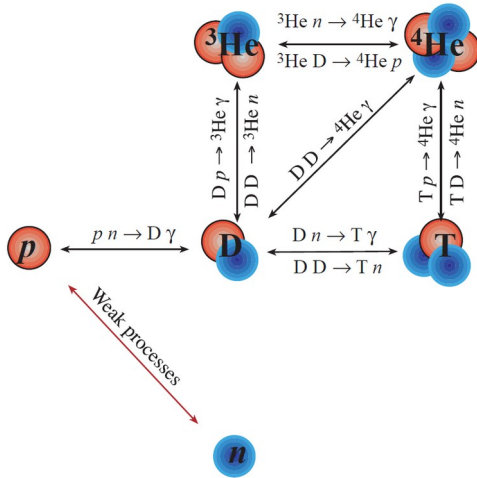
Our Universe consists mainly out of baryonic matter, quantified by the **baryon-to-photon ratio**:

$$\eta_B = \frac{n_B}{n_\gamma} = \frac{n_b - n_{\bar{b}}}{n_\gamma}$$

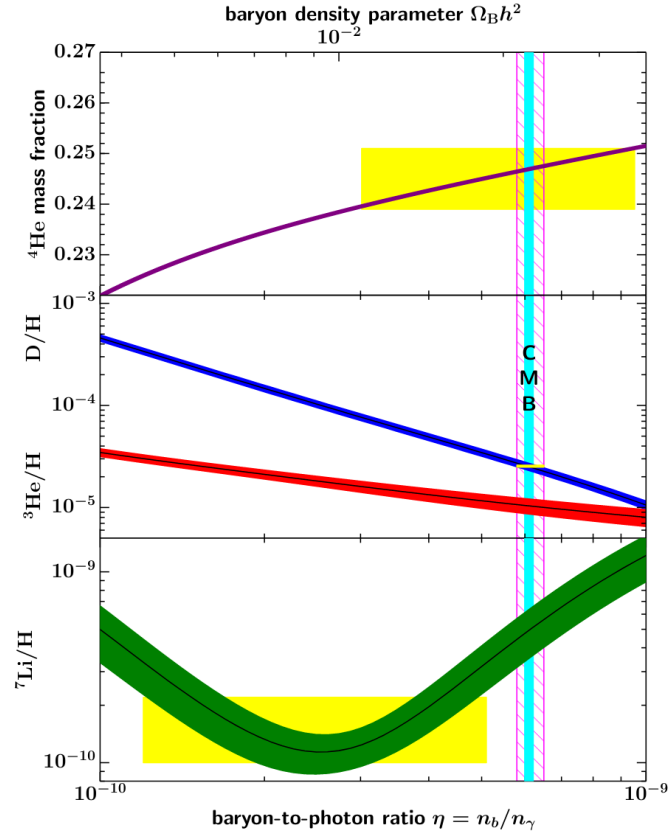
Credits: University of Cambridge / The Stephen Hawking Centre for Theoretical Cosmology

# The Baryon Asymmetry of the Universe (BAU)

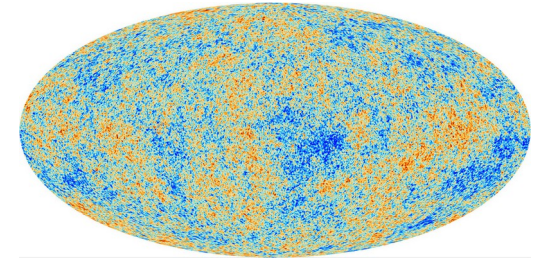
## Big Bang Nucleosynthesis



3 min after Big Bang



## Cosmic Microwave Background

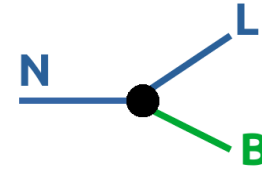


400.000 years after Big Bang

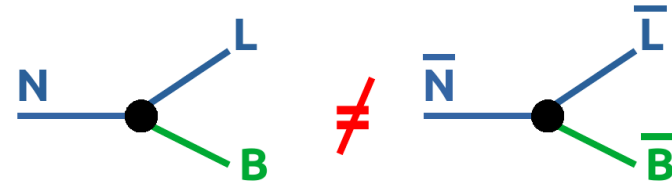
# Why do we need new physics?

Theoretically, we know the conditions on interactions that have to be fulfilled (Sakharov conditions 1961)

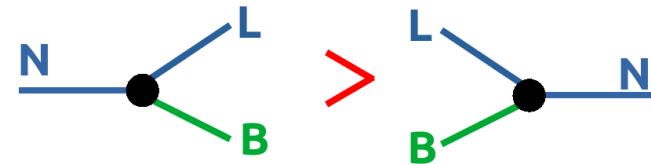
B violation



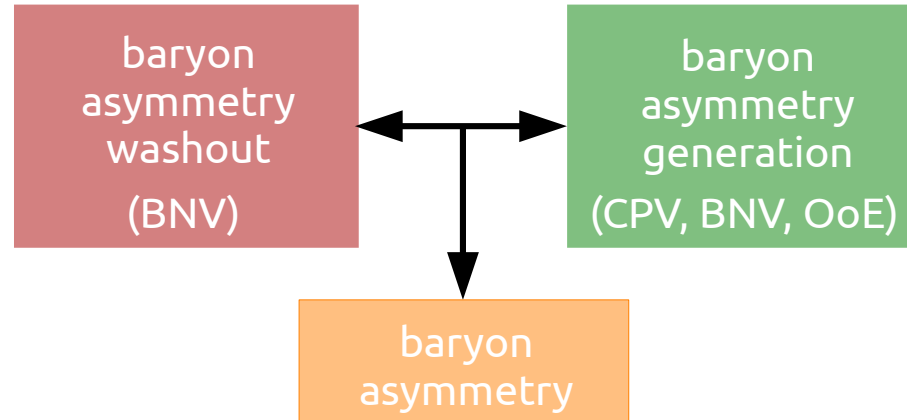
C and CP violation



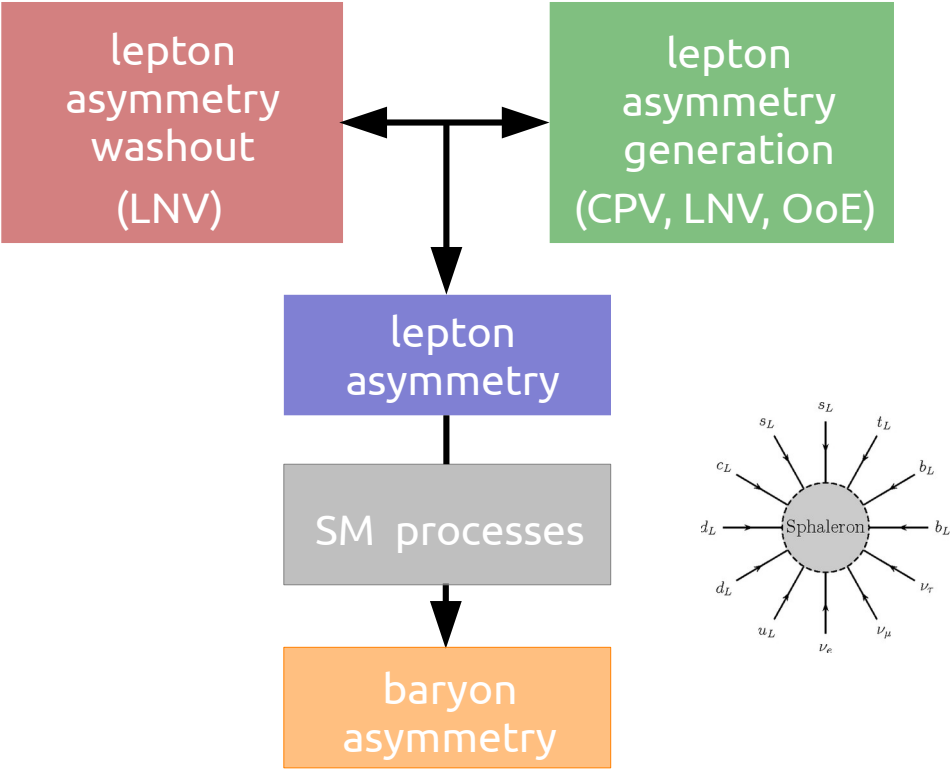
departure from thermal equilibrium



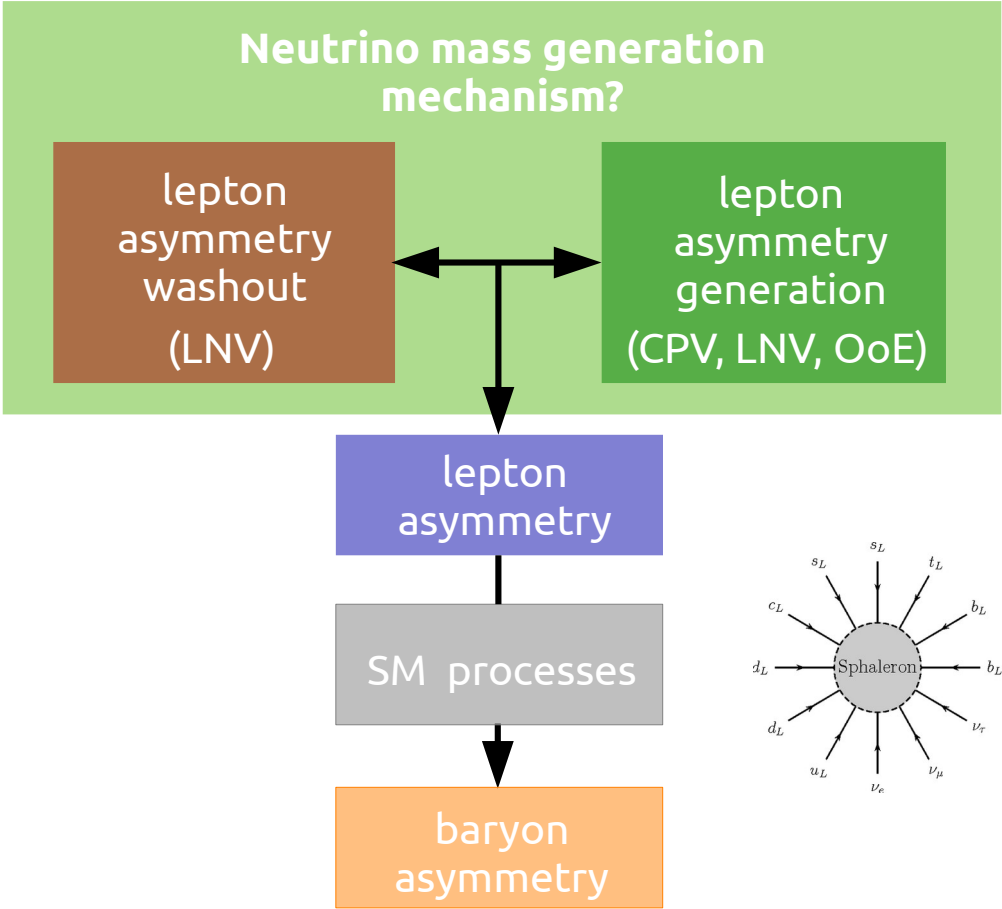
# Basic principle of baryogenesis



# Basic principle of leptogenesis



# Basic principle of leptogenesis

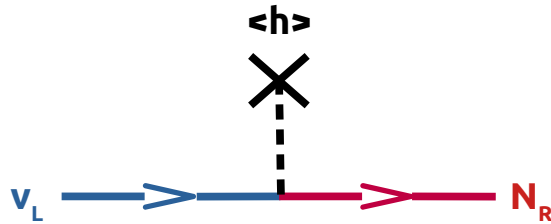


# Neutrino mass mechanism - Dirac or Majorana?

## Dirac mass

$$y_\nu L \epsilon H \bar{\nu}_R \supset m_D \nu_L \bar{\nu}_R$$

→ lepton number no accidental symmetry anymore

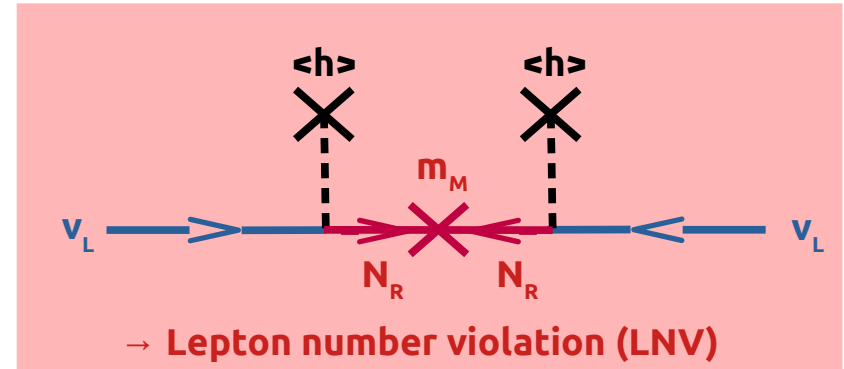


## Majorana mass

$$m_M \bar{\nu}_R \nu_R^c$$

$LLHH$

dim-5 Weinberg-operator

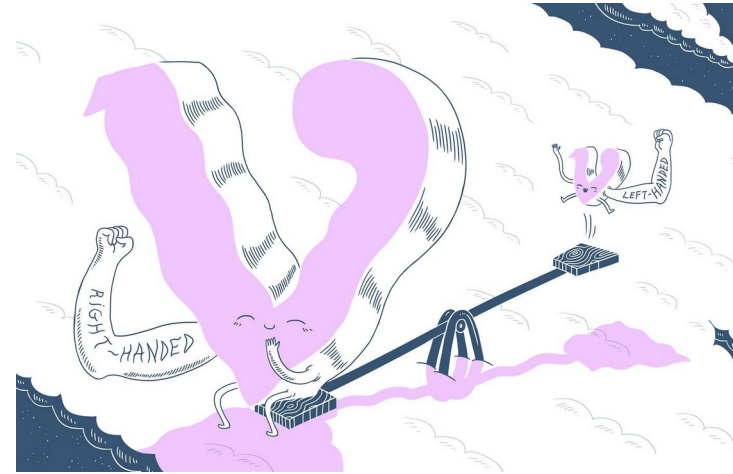




# See-saw I neutrino mass mechanism

$$\mathcal{L} \supset \underbrace{y_\nu L \epsilon H \bar{\nu}_R}_{m_D \nu_L \bar{\nu}_R} + \frac{1}{2} m_M \bar{\nu}_R \nu_R^c + h.c.$$

$$m_\nu \approx -\frac{v^2}{2} y_\nu m_M^{-1} y_\nu^T$$



$$M_\nu \simeq 0.3 \left( \frac{\text{GeV}}{M_N} \right) \left( \frac{\lambda^2}{10^{-14}} \right) \text{eV}$$

**Low-scale leptogenesis**

$$M_\nu \simeq 0.3 \left( \frac{10^8 \text{GeV}}{M_N} \right) \left( \frac{\lambda^2}{10^{-6}} \right) \text{eV}$$

**High-scale leptogenesis**

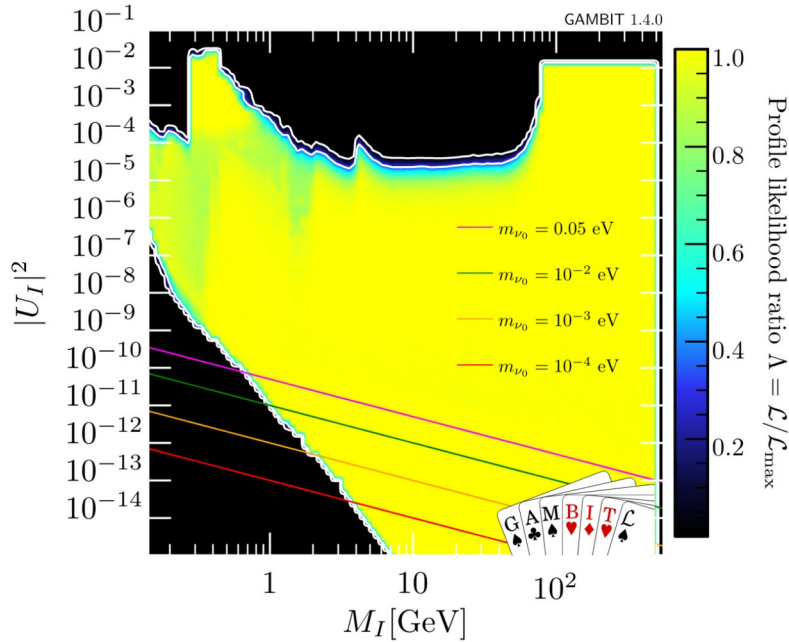
# Constraints on right-handed neutrinos



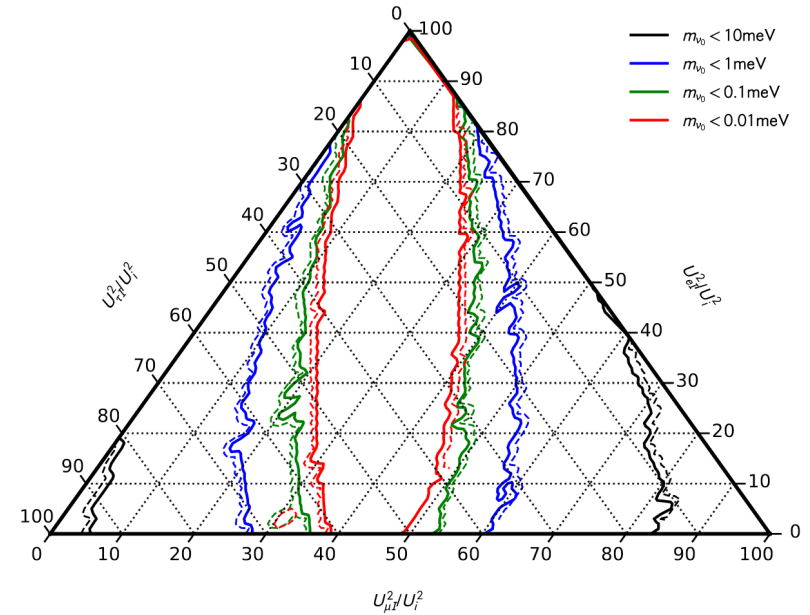
## Analysis of see-saw I with *three* right-handed neutrinos using GAMBIT

Chrzaszcz, Drewes, Gonzalo, JH, Krishnamurthy, Weniger (2020)

mixing of  
sterile to  
active  
neutrino



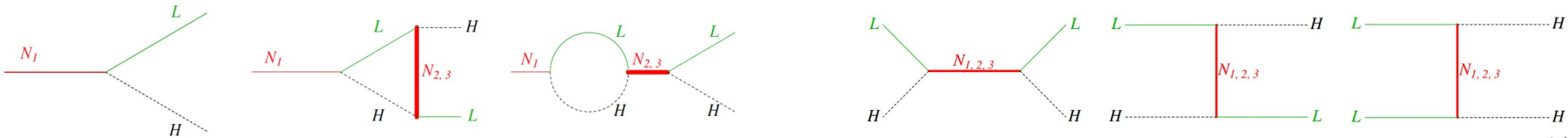
mass of sterile neutrino



For  $M_I U_i^2 > 10^{-10} \text{ GeV}$ ,  
motivated by experimental sensitivity

# High-scale leptogenesis

- **Generation** of lepton asymmetry via **heavy neutrino decays** with sources of **CP violation**
- **Competition** with lepton number violating (LNV) **washout** processes
- **Conversion** to a baryon asymmetry via **sphaleron** processes



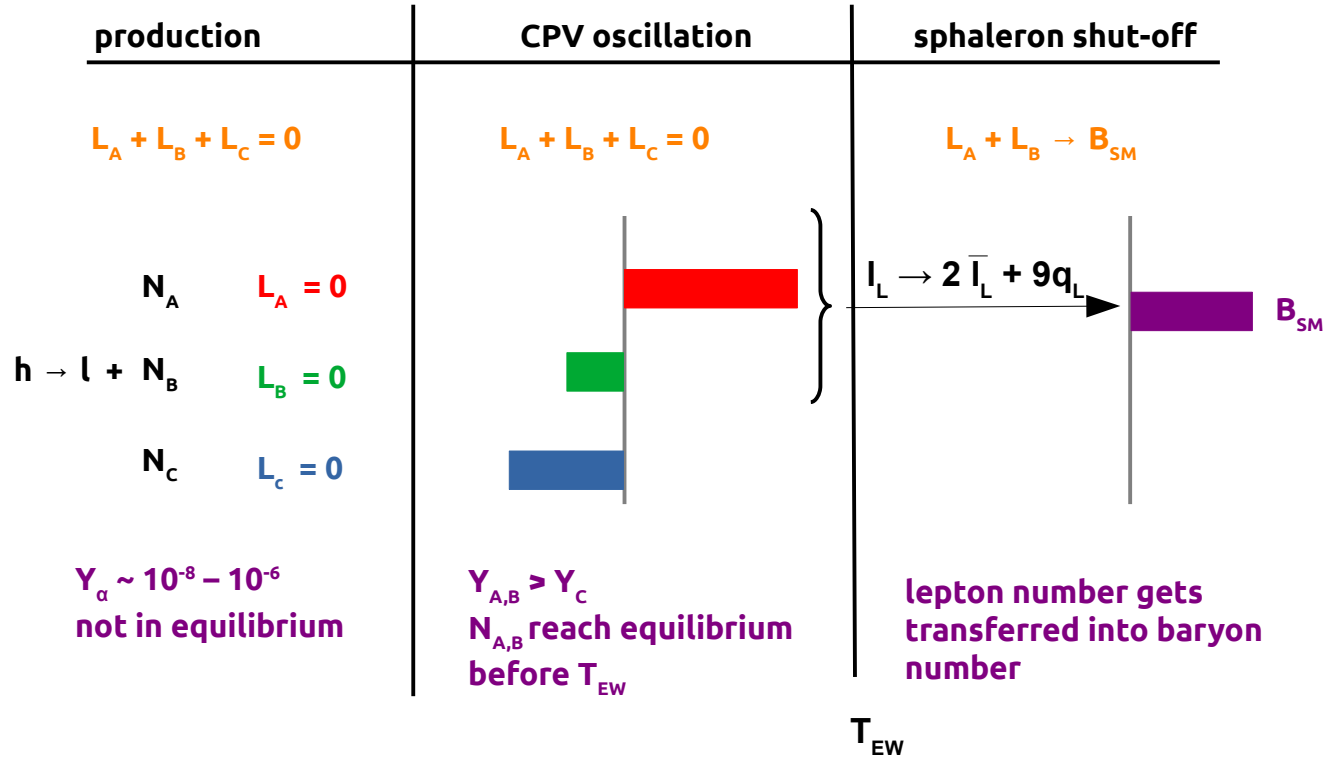
Guidice et al. (2004)

**Davidson-Ibarra bound:  $M_N > 10^9$  GeV (except resonant leptogenesis)** Davidson, Ibarra (2002)

Fukugita, Yanagida (1986)  
and many more afterwards...

# Leptogenesis via oscillations

**Akhmedov-Rubakov-Smirnov (ARS) mechanism** Akhmedov, Rubakov, Smirnov (1998)

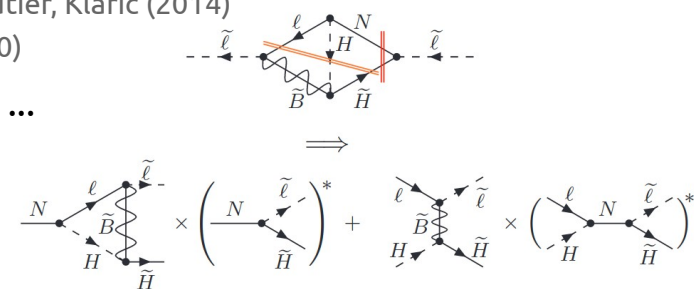


+ Asaka, Shaposhnikov (2005)

# Methodological advancements

## High-scale Leptogenesis:

- “normal” BEQ treatment breaks down in the limit of degenerate neutrinos Covi, Roulet (1997), Pilaftis (1997), Covi, Rius, Roulet, Vissani (1998)
- Numerous investigations in closed-time-path (CTP) and density matrix formalism
  - solving directly Schwinger-Dyson equations Garny, Kartavtsev, Hohenegger (2013), Iso, Shimada, Yamanaka (2014)
  - performing Wigner transform Garbrecht, Herranen (2012), Garbrecht, Gautier, Klaric (2014)
  - two-momentum picture Millington, Pilaftsis (2013), Bödeker, Schröder (2020)
- Further investigations incl. IR convergence behaviour, spectator effects, ...
  - Beneke, Garbrecht, Herranen, Schwaller (2010), Garbrecht, Ramsey-Musolf (2014)
  - Garbrecht, Schwaller (2014), Garbrecht, Klose, Tamarit (2020)



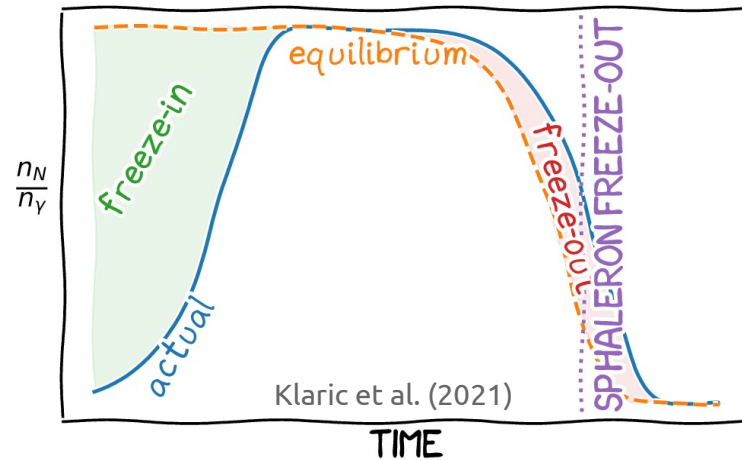
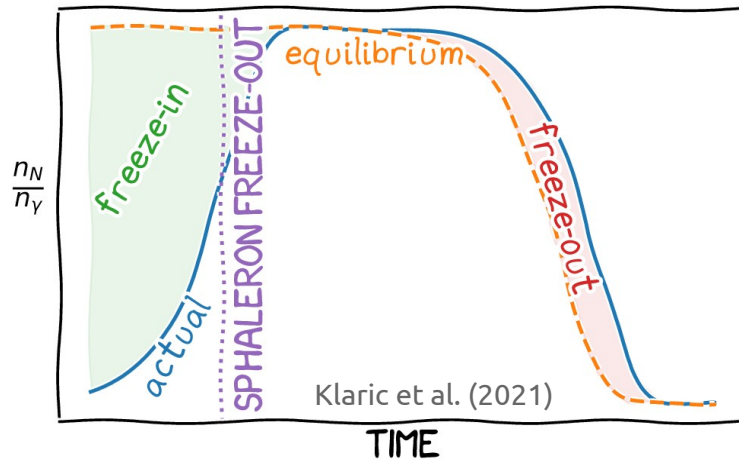
## Low-scale Leptogenesis:

- Generalization of Sigl+Raffelt treatment of relativistic mixed neutrinos with additional heavy states Sigl, Raffelt (1993), Akhmedov, Rubakov, Smirnov (1998), Asaka, Shaposhnikov (2005)
- Application to CTP formalism Garbrecht, Herranen (2012), Drewes, Garbrecht, Gueter, Klaric (2016)
- Further refinements incl. susceptibility matrices, fermion number violating effects, EWSB, non-instantaneous sphaleron freeze-out Shuve, Yavin (2014), Ghiglieri, Laine (2016, 2019, 2020), Shaposhnikov et al. (2008, 2017)

**Can both mechanisms related? How to bridge both regimes? How accurately covered is the intermediate regime?**

# Mind the gap?

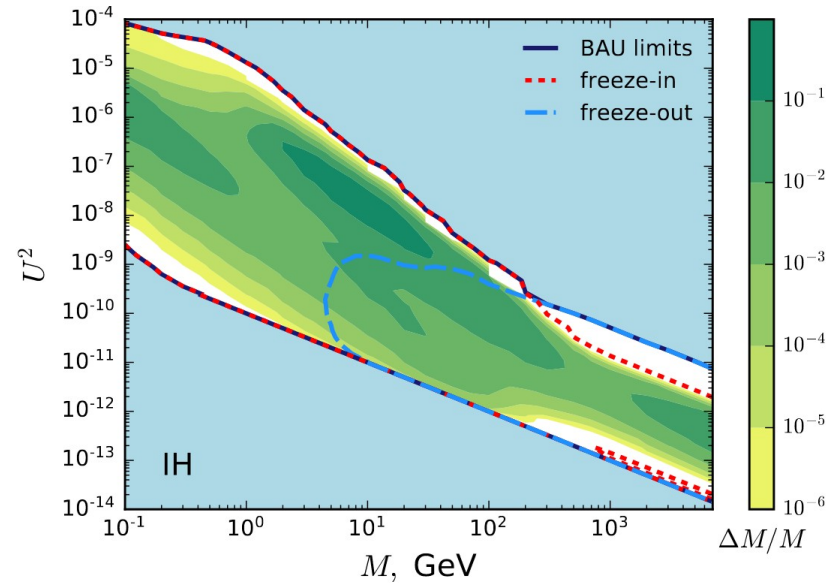
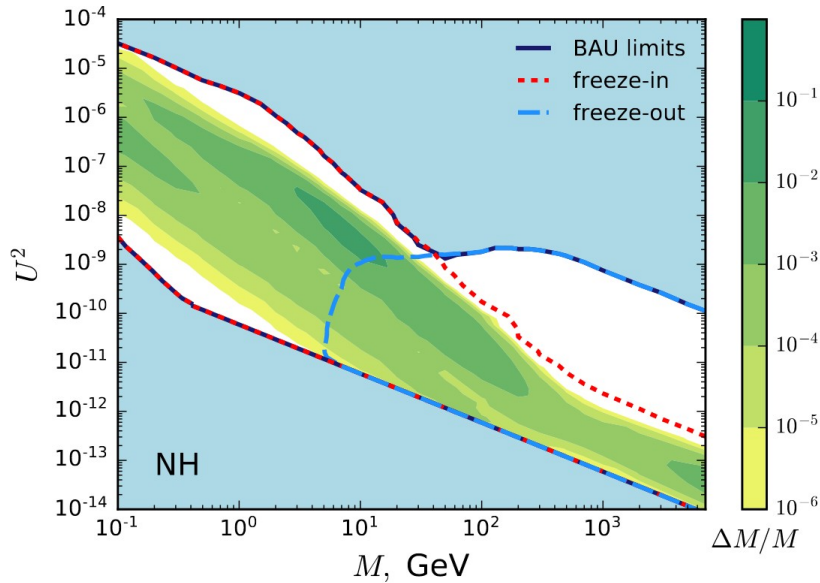
- **Importance of non-relativistic corrections to ARS leptogenesis** Hambye, Teresi (2016), Eijima, Shaposhnikov (2017), Ghiglieri, Laine (2017)
- **Indications that freeze-out (in) can happen at lower (higher) RHN masses** Garbrecht (2014), Hernandez, Kestic, Lopez-Pavon, Racker, Salvado (2016), Hambye, Teresi (2016, 2017), Granelli, Moffat, Petcov (2020)
- **Generalization of quantum kinetic equations to cover non-relativistic case** Klaric, Shaposhnikov, Timiryasov (2020, 2021)



- **Thermal initial conditions** → only freeze-out contributes
- **Vanishing initial conditions** → both freeze-in and freeze-out possible

# Mind the gap?

Seesaw type-I with *two* heavy neutrinos between 100 MeV and 10 TeV



**Both regimes can be smoothly described and overlap!**

→ freeze-in relevant up to TeV range

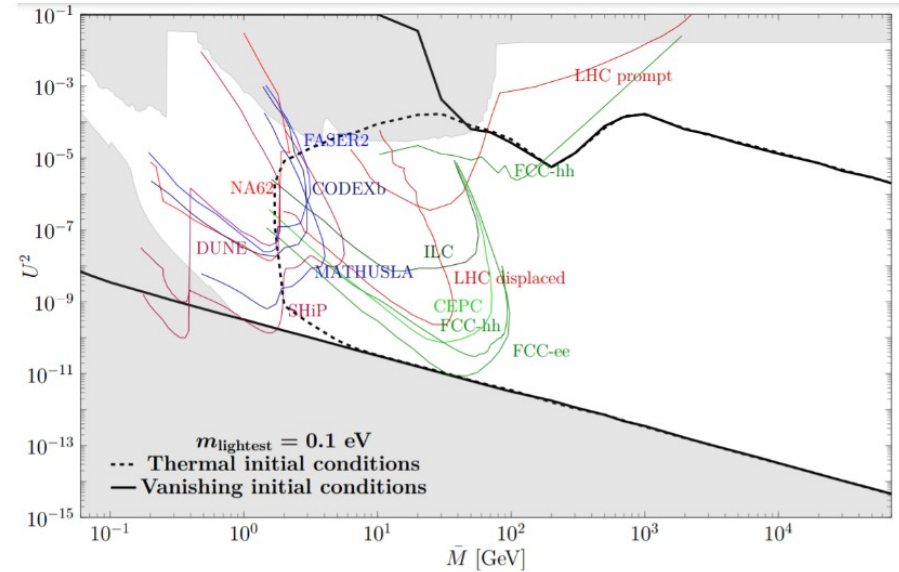
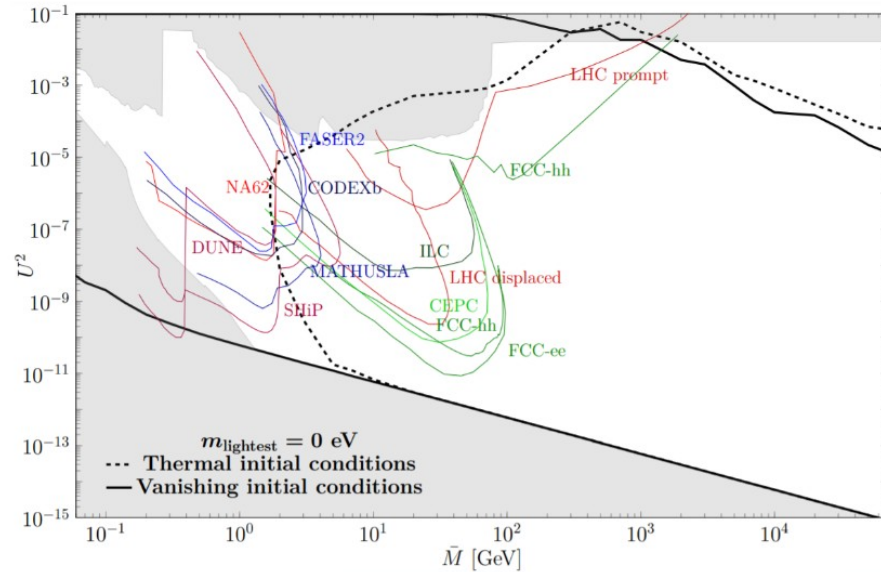
→ freeze-out down to 5 GeV

Klaric, Shaposnikov, Timiryasov (2020, 2021)

# Mapping the viable parameter space of testable LG

Study of whole parameter space of seesaw type-I with *three* heavy neutrinos between 50 MeV and 70 TeV

Drewes, Georis, Klaric (2021)

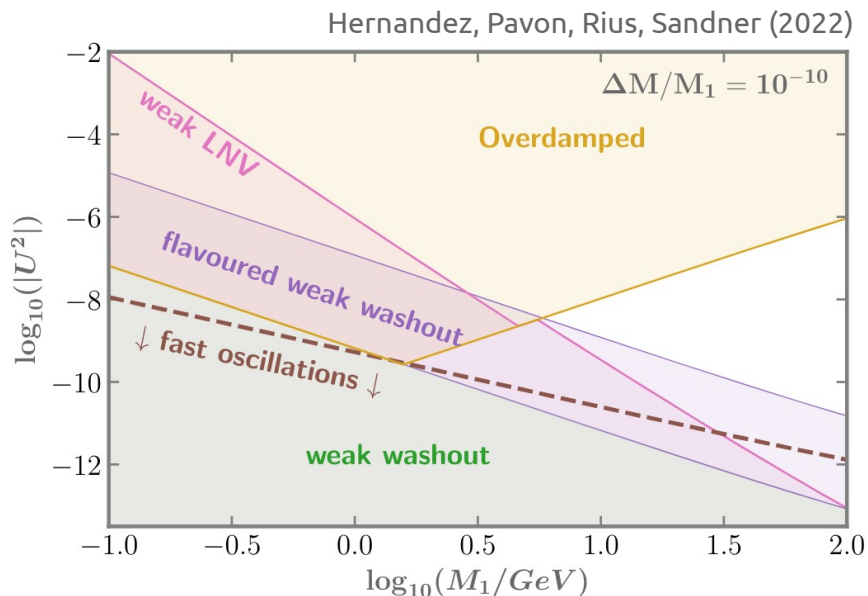


→ with *three* RHNs range of couplings much larger than with *two* RHNs

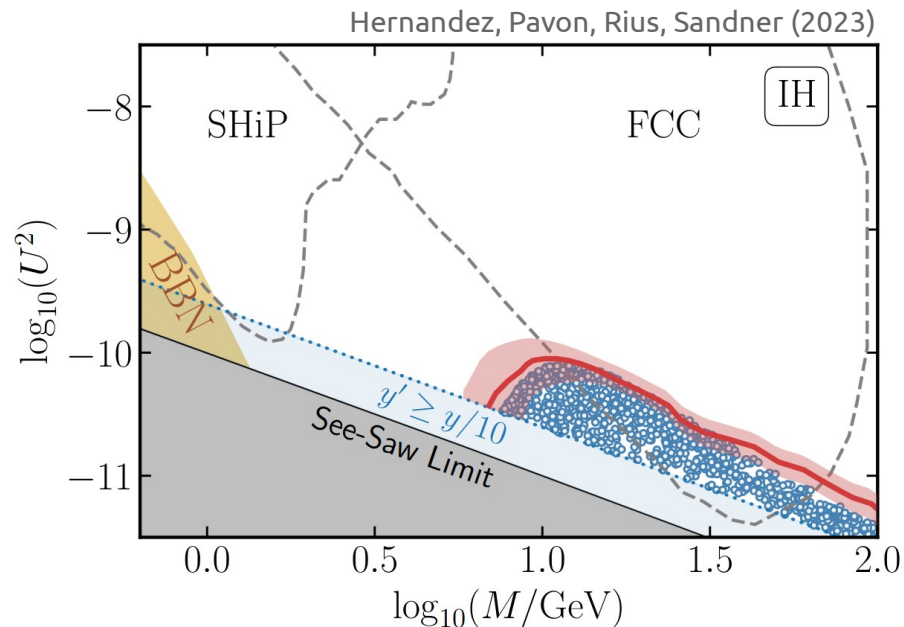


# Analytic understanding via CP flavour invariants

## Seesaw type-I with *two* right handed neutrinos with large HNL mixings



- derivation of analytic approximations in inverse see-saw limit
- expressed in measurable quantities



- in case of two *exactly* degenerate RHNs in MFV see-saw limit, BAU can be predicted by measuring their mass, mixing, and CP violation

# Leptogenesis & Flavour

- Flavoured soft leptogenesis Fong, Gonzalez-Garcia (2010), Fong Gonzalez-Garcia, Nardi, Racker (2010)
- Three-flavoured non-resonant leptogenesis at intermediate scales Moffat, Pascoli, Petcov, Schulz, Turner (2018)
- Flavoured resonant leptogenesis at sub-TeV scales Granelli, Moffat, Petcov (2020)
- Wash-in leptogenesis Domcke, Kamada, Mukaida, Schmitz, Yamada (2020)
- Leptoflavorgenesis Mukaida, Schmitz, Yamada (2022)

**Non-minimal chemical background  
where conserved charges can take  
arbitrary values at LG:**

$$\mu_{\ell_\alpha} + \mu_\phi = \mu_\alpha^0 - \sum_\beta C_{\alpha\beta} \mu_{\Delta_\beta}$$

# Falsifying leptogenesis with TeV-scale LNV

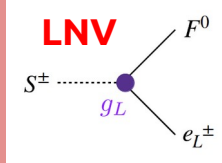
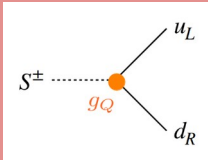
Right-handed neutrino interactions (“standard thermal LG”):

$$\mathcal{L} \supset y_\nu \bar{L} H N - \frac{m_N}{2} \bar{N}^c N + \text{h.c.}$$

high-scale source of lepton asymmetry

Additional TeV-scale interactions

$$\tilde{\mathcal{L}} \supset g_Q \bar{Q} S d_R + g_L \bar{L} (i\tau^2) S^* F - m_S^2 S^\dagger S - \frac{m_F}{2} \bar{F}^c F + \lambda_{HS} (S^\dagger H)^2 + \text{h.c.}$$



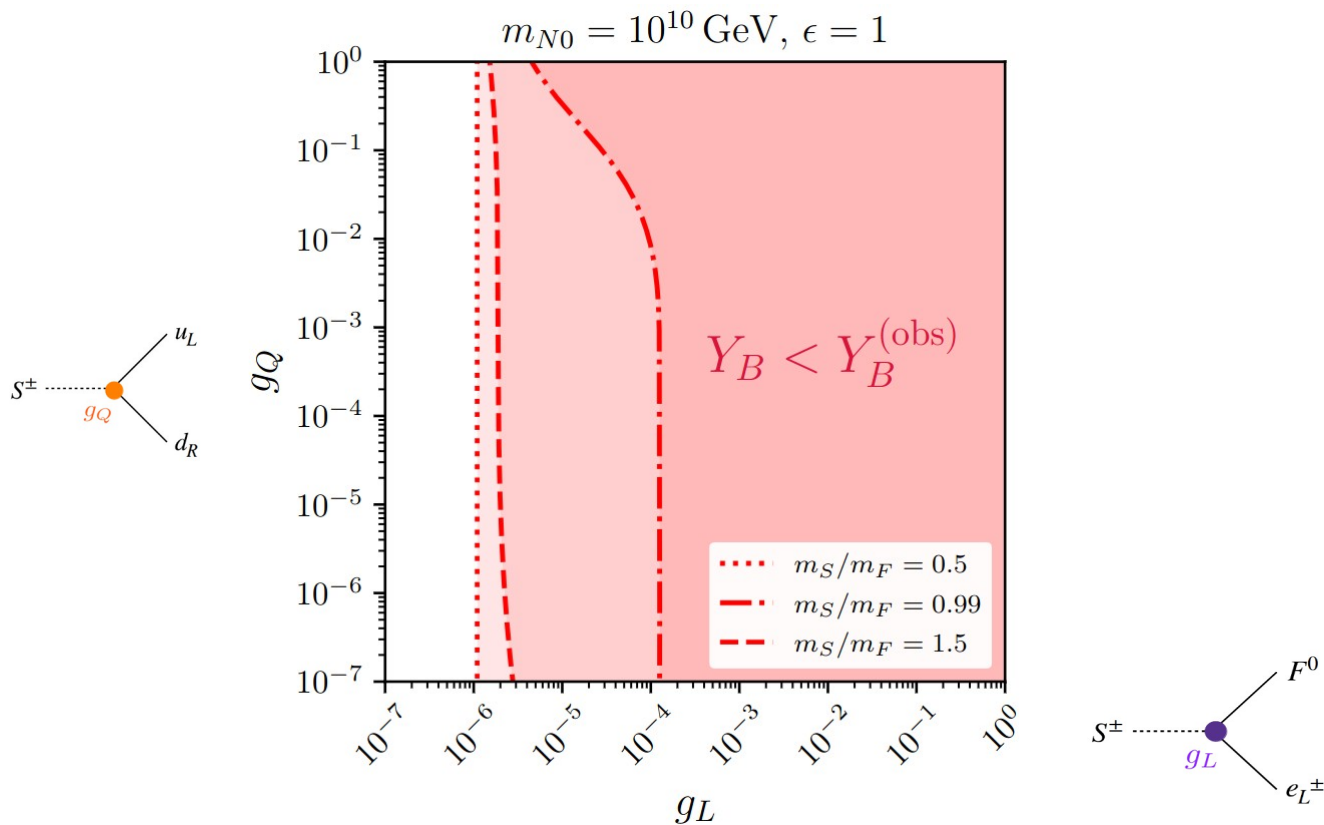
TeV-scale LNV  
“washout”  
interactions



Can TeV-scale LNV destroy the generated asymmetry from standard thermal LG?

JH, Ramsey-Musolf, Shen, Urrutia-Quiroga (2021)

# Falsifying leptogenesis with TeV-scale LNV

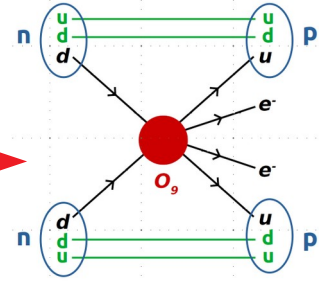
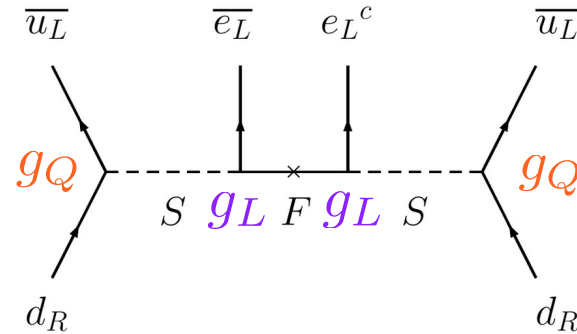
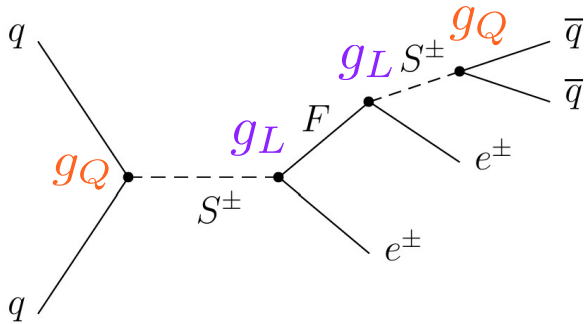


**TeV-scale LNV washes-out lepton asymmetry previously generated by standard LG scenario.**

JH, Ramsey-Musolf, Shen, Urrutia-Quiroga (2021)

# Falsifying leptogenesis with LHC and $0\nu\beta\beta$ decay

$$\tilde{\mathcal{L}} \supset g_Q \bar{Q} S d_R + g_L \bar{L} (i\tau^2) S^* F - m_S^2 S^\dagger S - \frac{m_F}{2} \bar{F}^c F + \lambda_{HS} (S^\dagger H)^2 + \text{h.c.}$$



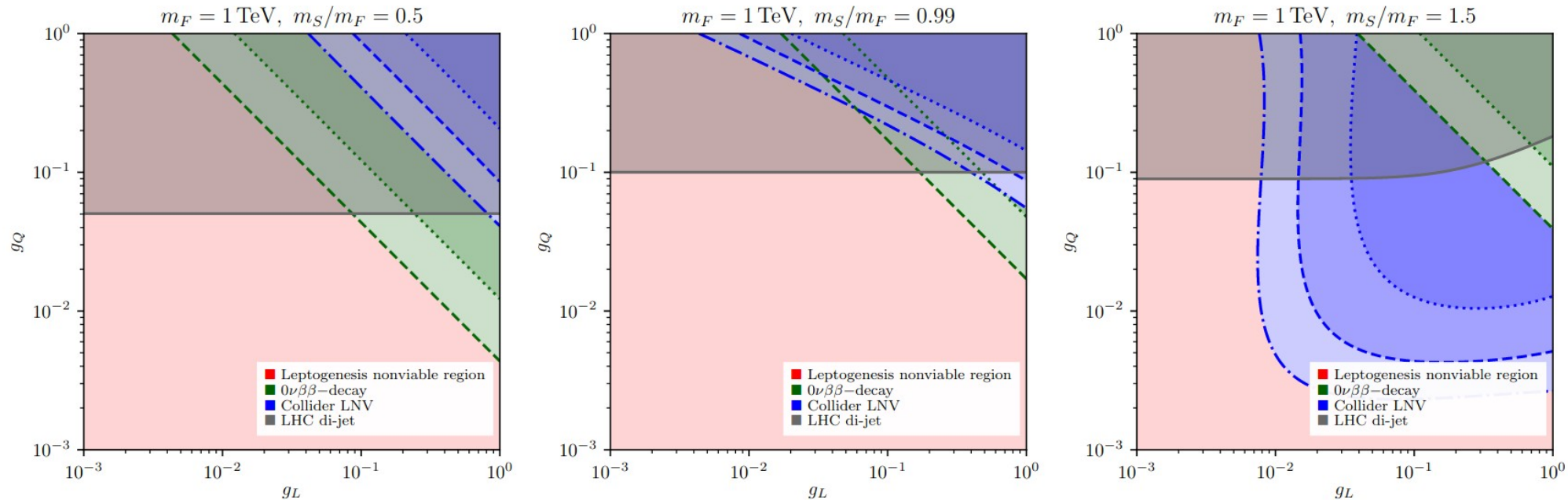
**Observation of any LNV washout process at the LHC would falsify high-scale leptogenesis.**

**Observation of  $0\nu\beta\beta$  decay via non-standard mechanism would falsify high-scale leptogenesis.**

JH, Ramsey-Musolf, Shen, Urrutia-Quiroga (2021)  
 Deppisch, JH, Hirsch (2014)  
 Frère, Hambye, Vertongen (2008)

JH, Ramsey-Musolf, Shen, Urrutia-Quiroga (2021)  
 Deppisch, Graf, JH, Huang (2018)  
 Deppisch, JH, Huang, Hirsch, Päs (2015)

# Falsifying leptogenesis with LHC and $0\nu\beta\beta$ decay



- interplay of collider searches and  $0\nu\beta\beta$  decay can give insights into underlying UV physics
- TeV-scale LNV can falsify high-scale leptogenesis

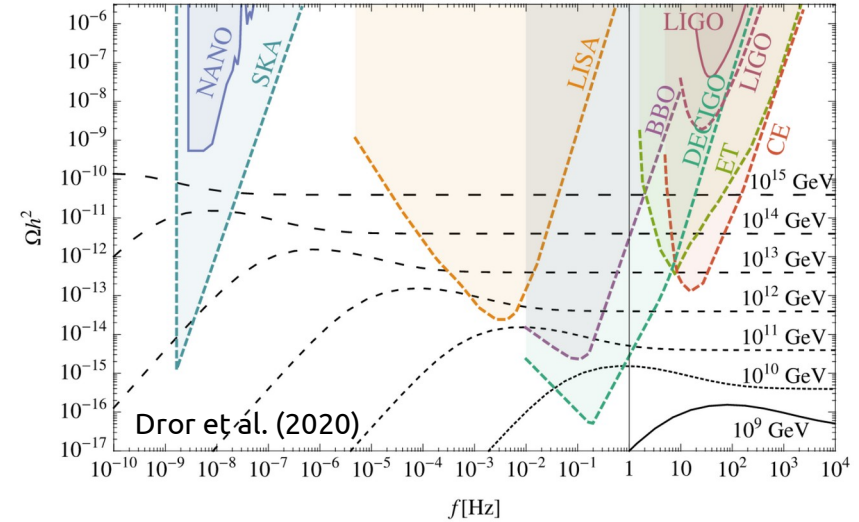
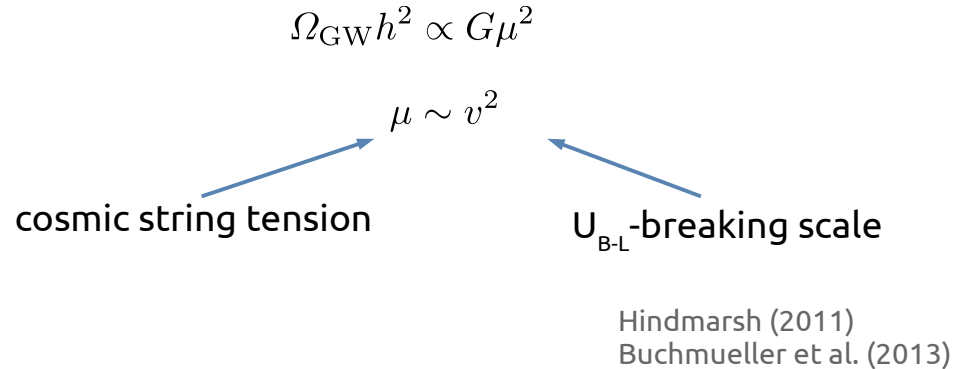
JH, Ramsey-Musolf, Shen, Urrutia-Quiroga (2021)

# Probing leptogenesis with GWs from cosmic strings

## Right-handed neutrino masses – breaking of a higher symmetry?

$$\Delta\mathcal{L} = - \left[ y_{i\alpha}^D \overline{N}_i^R \tilde{H}^\dagger L_\alpha + \frac{1}{2} y_i^M \Phi \overline{N}_i^R (N_i^R)^C + \text{H.c.} \right] - \left[ \lambda_\phi \left( |\Phi|^2 - \frac{1}{2} v_{B-L}^2 \right)^2 + \lambda_{\phi h} |\Phi|^2 |H|^2 \right]$$

Stochastic gravitational wave spectrum depends on



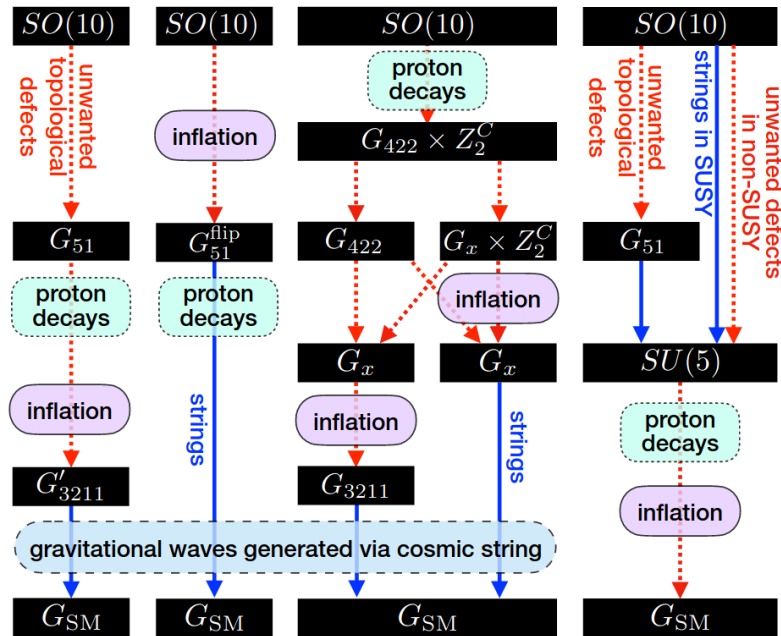
### Vibrant field, many recent exciting works:

- Gouttenoire et al. (2019+)
- Dror et al. (2020)
- Ellis et al. (2020)
- Blasi et al. (2020+)
- Buchmüller et al. (2021+)
- Fridell, JH, Hati, Heger, Mojahed (to appear)

# Confronting SO(10) GUTs with proton decay and GWs

## Exploring GUT models with potential of successful leptogenesis

King, Pascoli, Turner, Zhou (2021+), Fu, King, Marsili, Pascoli, Turner, Zhou (2022)



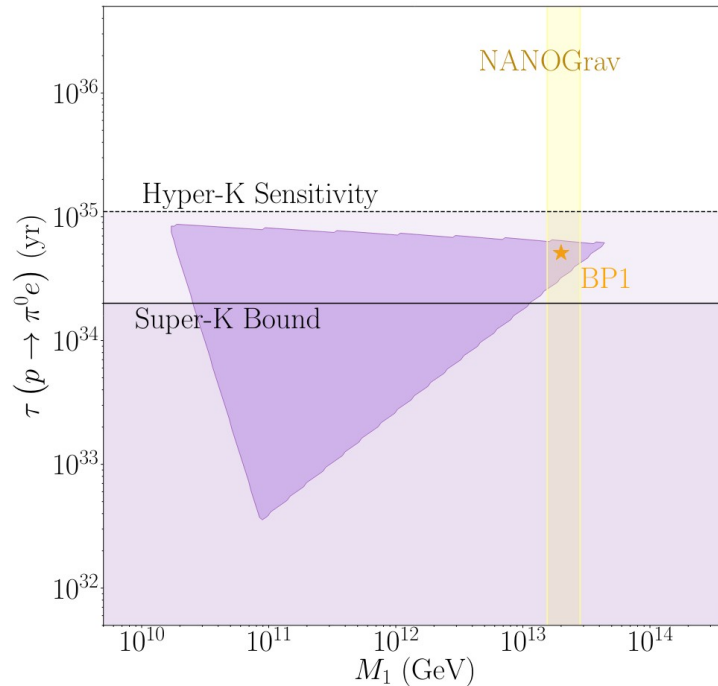
$$\begin{aligned}
 &SO(10) \\
 &54 \downarrow \text{broken at } M_X \leftarrow \text{Proton decay} \\
 &G_3 \equiv SU(4) \times SU(2)_L \times SU(2)_R \times Z_2^C \\
 &210 \downarrow \text{broken at } M_3 \\
 &G_2 \equiv SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_X \times Z_2^C \\
 &45 \downarrow \text{broken at } M_2 \\
 &G_1 \equiv SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_X \\
 &\overline{126} \downarrow \text{broken at } M_1 \leftarrow U(1)_{B-L} \text{ breaking} \\
 &G_{SM} \equiv SU(3)_c \times SU(2)_L \times U(1)_Y \rightarrow \text{cosmic strings}
 \end{aligned}$$



# Confronting SO(10) GUTs with proton decay and GWs

## Exploring GUT models with potential of successful leptogenesis

King, Pascoli, Turner, Zhou (2021+), Fu, King, Marsili, Pascoli, Turner, Zhou (2022)

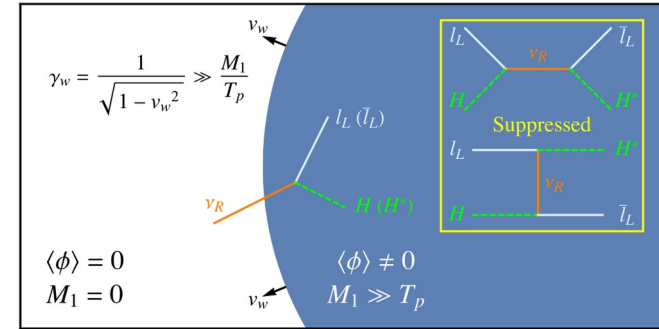


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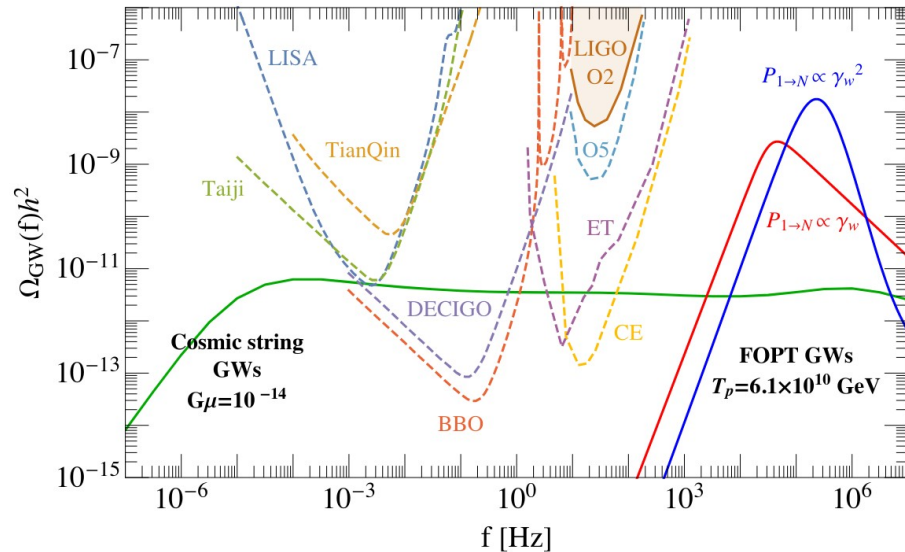
# Probing leptogenesis with GWs from FOPT

## Leptogenesis based on mass gain mechanism with first order phase transition

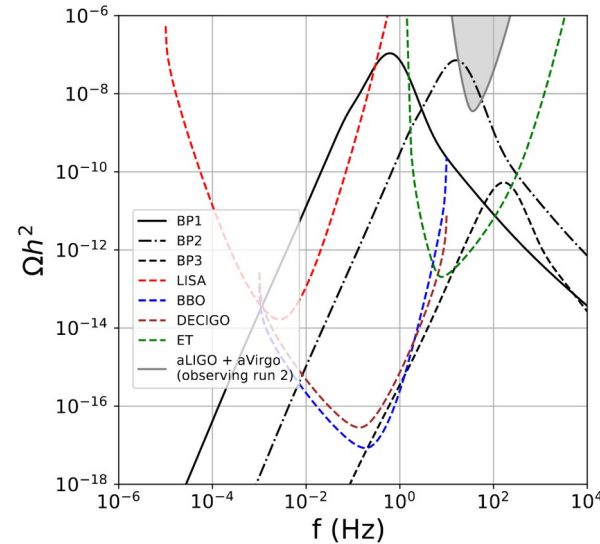
Azatov, Vanvlasselaer (2020)  
 Azatov, Vanvlasselaer, Yin (2021), Baldes, Blasi, Mariotti, Severin, Turbang (2021)  
 Dasgupta, Dev, Ghoshal, Mazumdar (2022), Huang, Xie (2022)  
 Fridell, JH, Hati, Heger, Mojahed (to appear)



### High-scale leptogenesis Huang, Xie (2022)



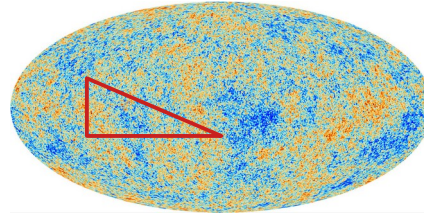
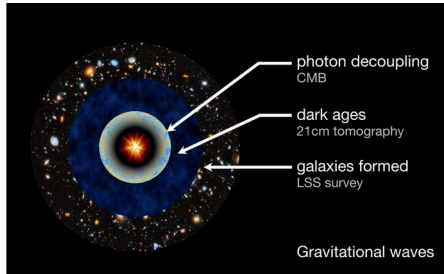
### Resonant leptogenesis Dasgupta, Dev, Ghoshal, Mazumdar (2022)



# Cosmological (higgs) collider as novel probe for LG

Idea: Use the Universe as gigantic “cosmic collider”

Chen, Wang (2009), Baumann, Green (2011), Arkani-Hamed, Maldacena (2015)



3-point function = higher order correlations  
→ non-Gaussianities

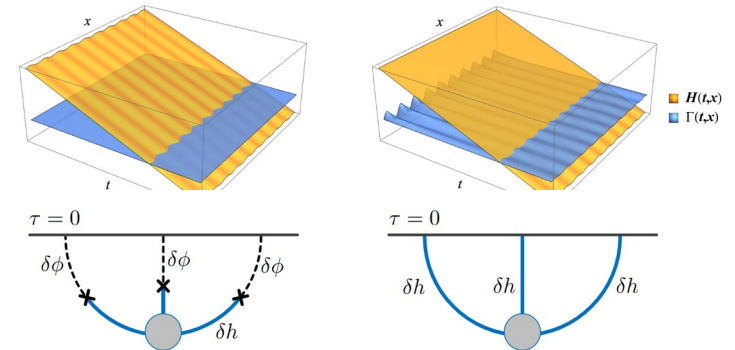
→ info about particle interaction with inflaton

In squeezed limit,  $k_1, k_2 \gg k_3$ , “mass measurement” at cosmic collider



$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle' \equiv (2\pi)^4 P_\zeta^2 \frac{1}{(k_1 k_2 k_3)^2} S(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$$

Specific set-up: Cosmic Higgs collider

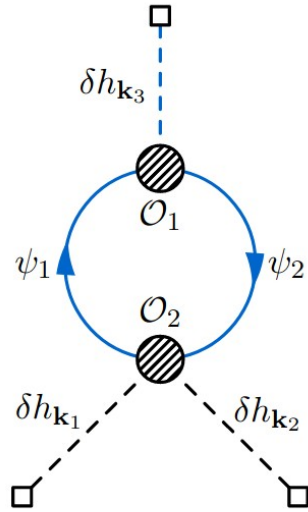


Liu, Wang, Xianyu (2019)

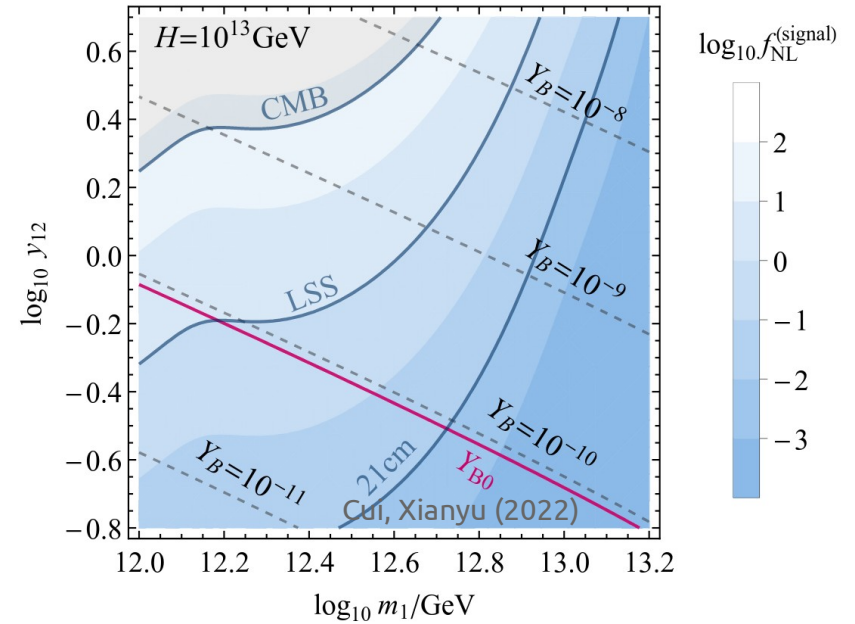
# Cosmological (higgs) collider as novel probe for LG

Heavy RHN ( $\sim H$ ) can be probed via the “cosmic collider”

Cui, Xianyu (2022)

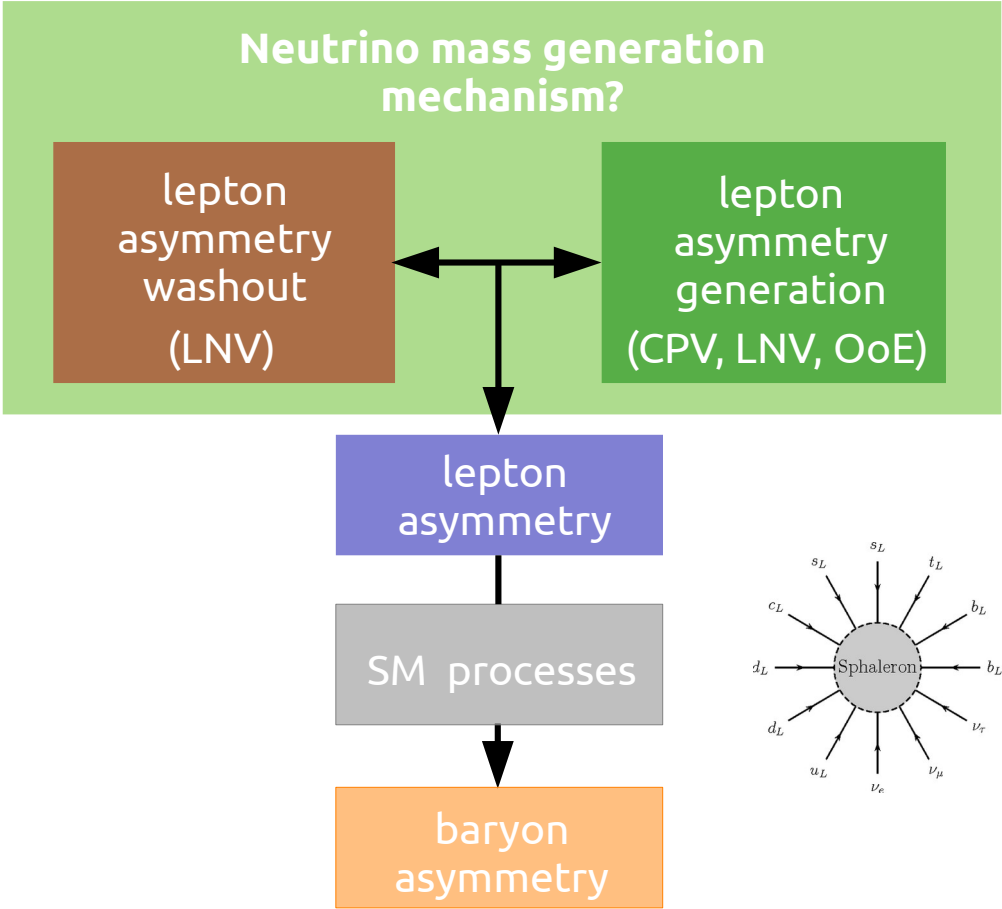


$\langle O_1(x) O_2(y) \rangle \longrightarrow f_{NL}$ , amplitude of  $S(k_1, k_3)$

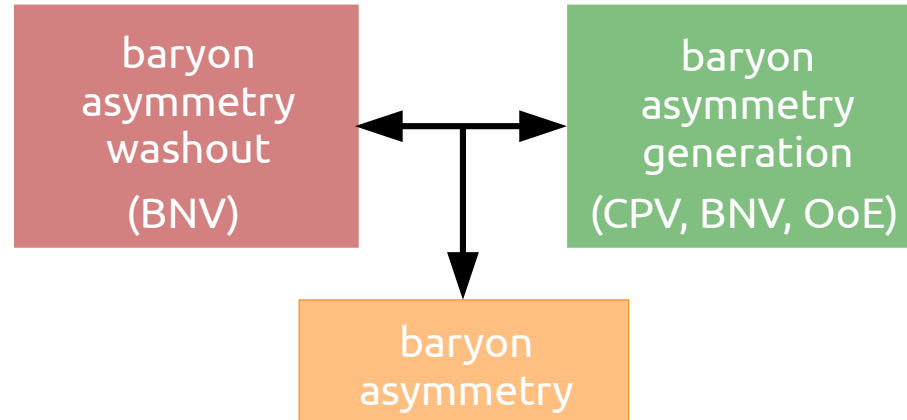


→ CHC can probe (specific mass range of) high-scale LG

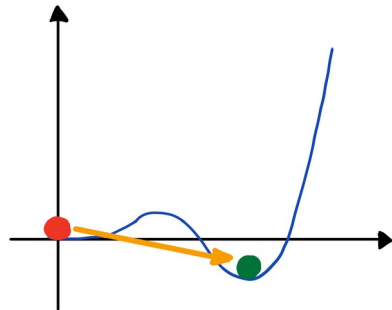
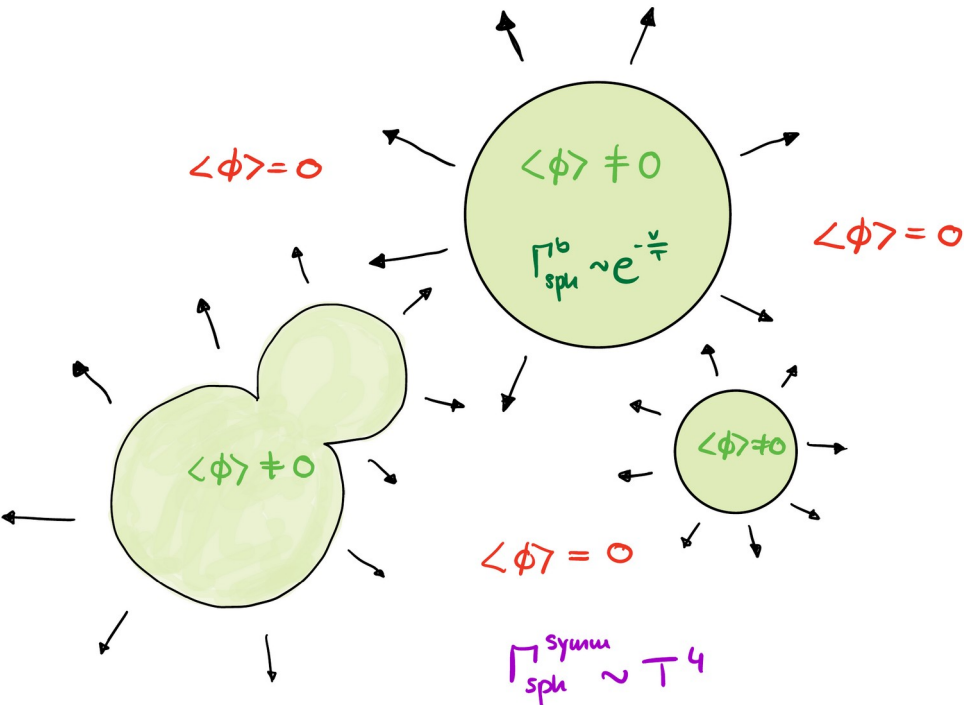
# Basic principle of leptogenesis



# Basic principle of baryogenesis



# Electroweak baryogenesis



Unfortunately, Higgs boson is too heavy for EWBG.

# Electroweak baryogenesis including new physics

Are there new degrees of freedom that modify the scalar potential and lead to a SFOPT for successful baryogenesis?

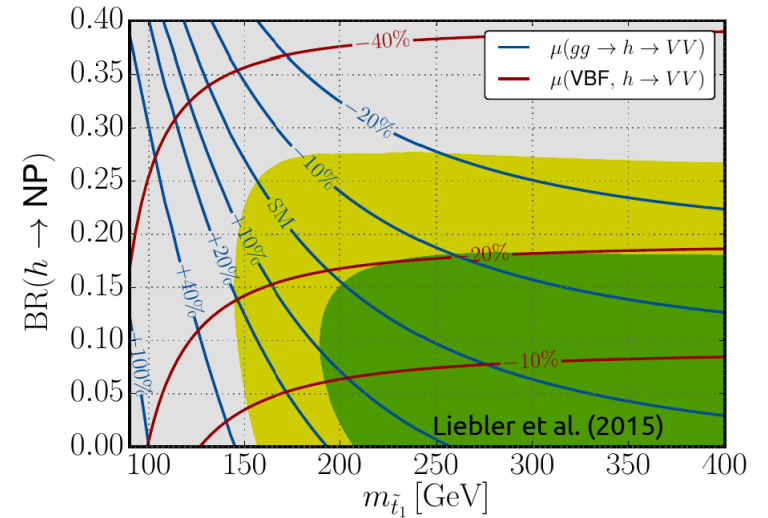
- **Prime example: MSSM with a light stop**

- Lattice calculations set limit of  $<155$  GeV
- Is the necessary light stop excluded?

Delphine et al. (1996), Carena et al. (1996, 1998, 2003, 2009), Espinosa et al. (1996), Huber et al. (1999), Profumo (2007), Curtin (2012), Liebler (2015) and more....

- **Way out: modified scalar sector, e.g.**

- vEWBG Fernandez-Martinez, Lopez-Pavon, No, Ota, Rosauero-Alcaraz (2022)
- EWBG in minimal composite Higgs Bruggisser, von Harling, Matsedonskyi, Servant (2022)
- 2HDM with extra bottom Yukawa coupling Modak et al. (2020)
- B-LSSM (B-L symmetric MSSM) Yang et al. (2019)
- New gauge singlets and vector-like leptons Bell et al. (2019)



## General challenges:

- Constraints from EDMs
- Higgs physics sets stringent constraints



# Beyond electroweak baryogenesis

- **two-step electroweak baryogenesis**

e.g. Patel et al. (2012), Inoue et al. (2015),  
Blinov et al. (2015)

→ **EDMs**

- **EWBG with modified Higgs potential**

e.g. Modak et al. (2020), Yang et al. (2019),  
Bell et al (2019)

→ **gravitational waves**

- **QCD baryogenesis**

e.g. Ipek et al. (2019),  
Croon et al. (2020)

→ **Light particles at colliders**

- **High-scale out-of-equilibrium decay**

e.g. Mohapatra et al. (1980), Babu et al. (2006),  
Baltes et al. (2011), Babu et al. (2012), Herrmann  
(2014), Grojean et al. (2019), JH et al (2022)

→ **neutron-antineutron oscillations**

- **Baryogenesis via oscillations**

e.g. Alonso-Alvarez et al.  
(2019), Elor et al. (2019)

→ **Belle, Barbar**

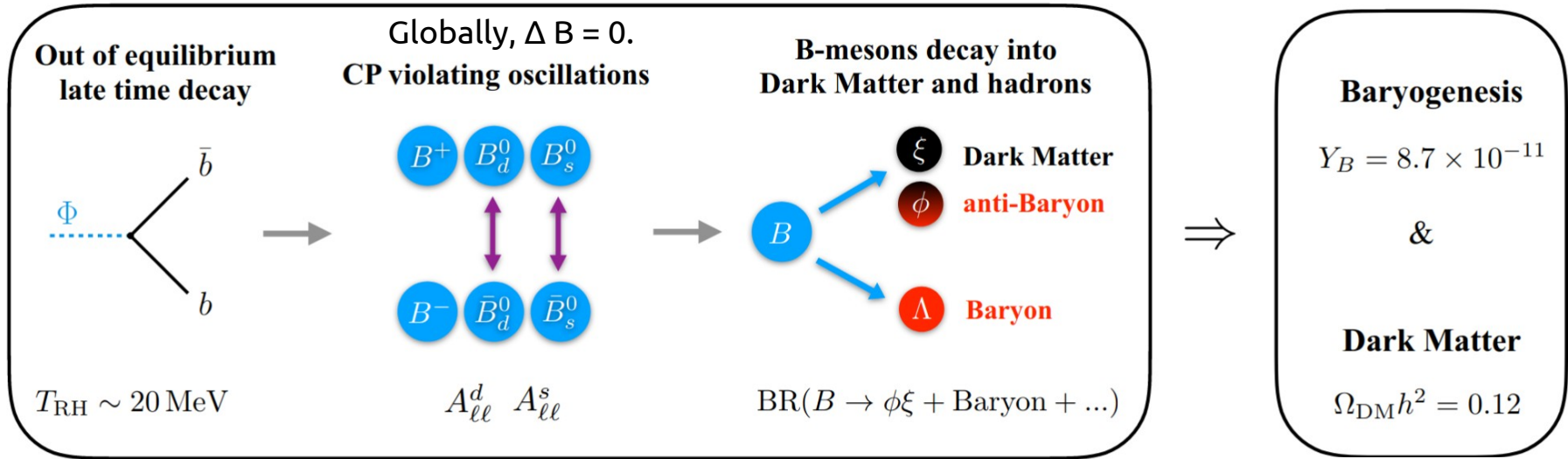
→ **neutrinos**

- **Baryogenesis and Dark Matter**

e.g. Shelton et al. (2010), Hall et al. (2020),  
Goudelis et al. (2020+), Co et al. (2023)

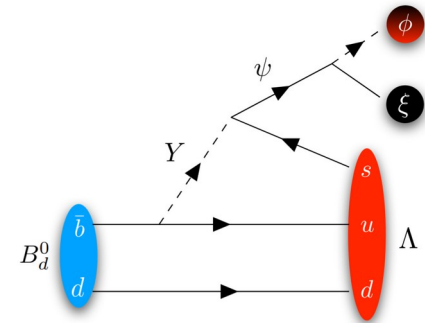
*...and many more*

# Low-scale baryogenesis: mesogenesis



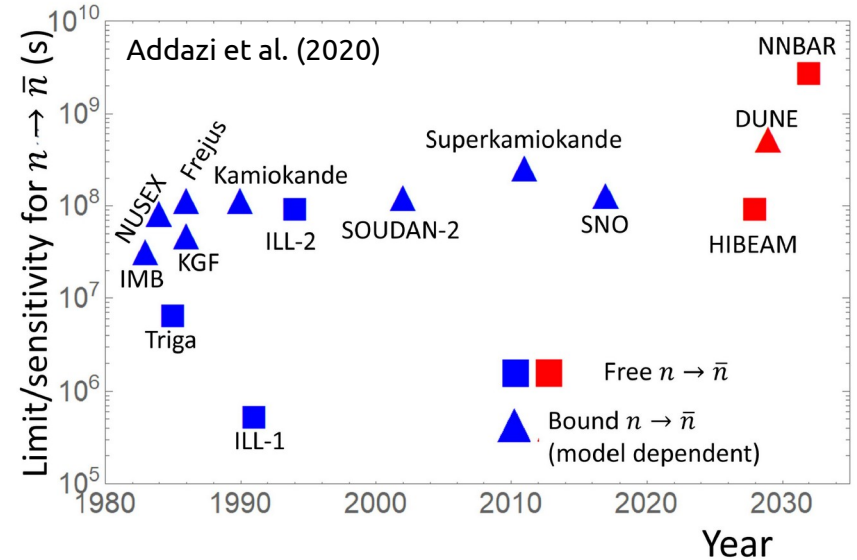
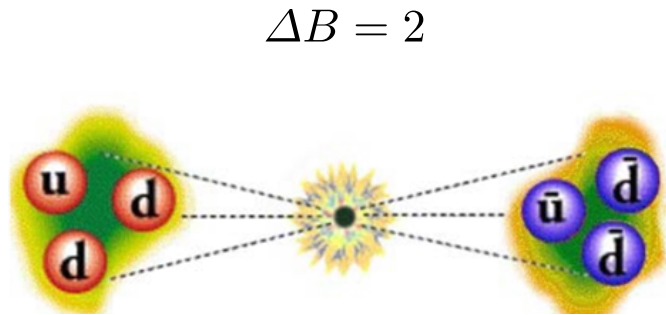
$$Y_B \propto \sum_{q=s,d} A_{\ell\ell}^q \times Br(B_q^0 \rightarrow \phi\xi + \text{Baryon} + X)$$

**Testable scenario at Belle-II and BarBar!**



Alonso-Alvarez et al. (2019)  
 Elor et al. (2019+)

# Probing high-scale baryogenesis with $n\bar{n}$ oscillations



HIBEAM/NNBAR program is a proposed two-stage experiment at the European Spallation Source (ESS) to search for baryon number violation.

Future sensitivity at ESS:

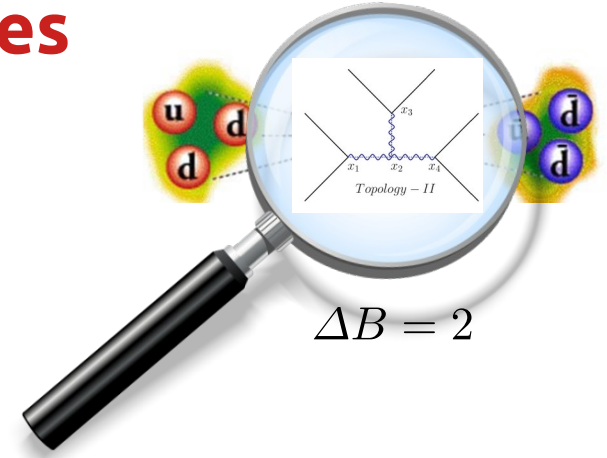
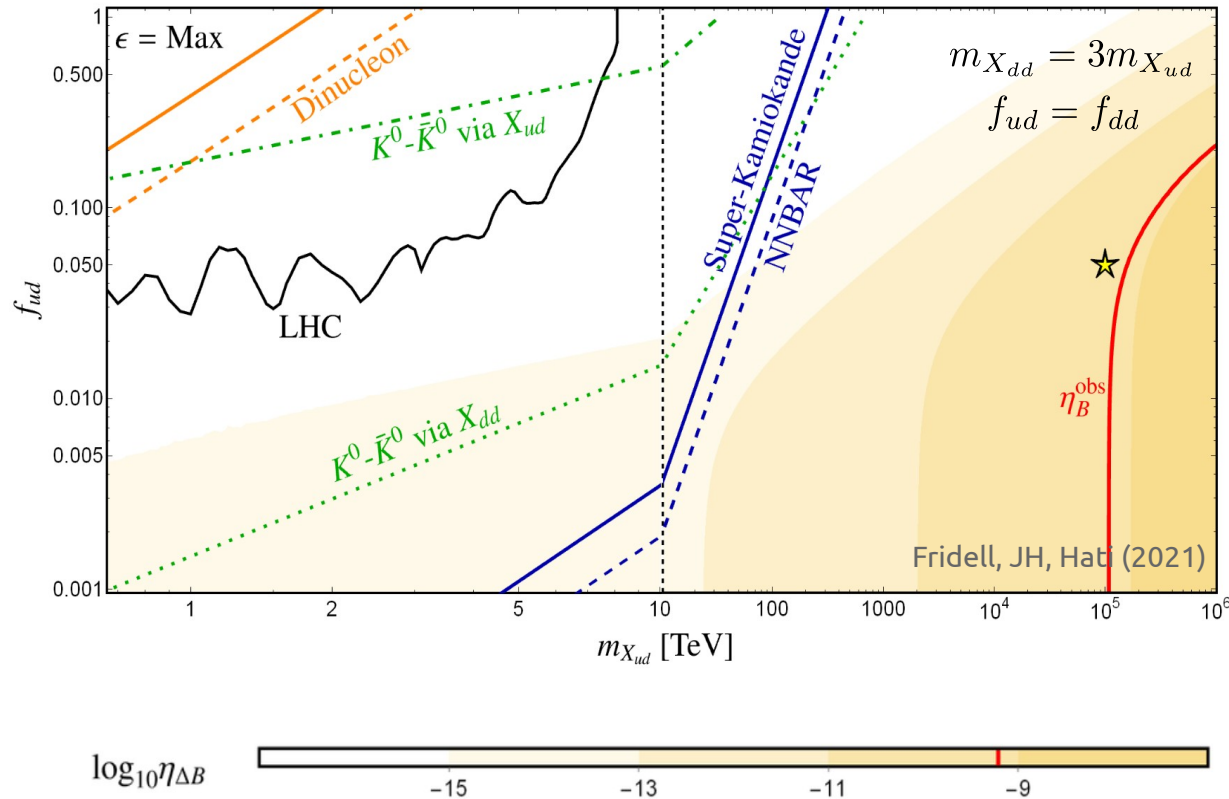
$$\tau_{n\bar{n}} \geq 10^{10} \text{ s}$$

Naive estimate:

$$\tau_{n-\bar{n}} \approx \frac{\Lambda_{\text{NP}}^5}{\Lambda_{\text{QCD}}^6}$$

$$\Lambda_{\text{NP}} > 10^6 \text{ GeV}$$

# Interplay of different experimental probes



## Further relevant high-scale studies:

Fridell, JH, Hati (2021)  
 Grojean et al. (2019)  
 Herrmann (2014)  
 Babu et al. (2012)  
 Baldes et al. (2011)  
 Babu et al. (2006)  
 Mohapatra et al. (1980)

## Post-sphaleron studies:

Bell, Corbett, Nee,  
 Ramsey-Musolf (2018)  
 Babu, Dev, Fortes,  
 Mohapatra (2013)  
 Babu, Mohapatra (2006)

→ Interplay between nnbar, meson oscillations and LHC searches.

# Theories and Experiments for Testable Baryogenesis Mechanisms

A Snowmass White Paper

J. L. Barrow<sup>\*1</sup>, Leah Broussard<sup>2</sup>, James M. Cline<sup>3</sup>, P. S. Bhupal Dev<sup>4</sup>, Marco Drewes<sup>5</sup>, Gilly Elor<sup>6</sup>, Susan Gardner<sup>7</sup>, Jacopo Ghiglieri<sup>8</sup>, Julia Harz<sup>9</sup>, Yuri Kamyshev<sup>10</sup>, Juraj Klarić<sup>5</sup>, Lisa W. Koerner<sup>11</sup>, Benoit Laurent<sup>3</sup>, Robert McGehee<sup>12</sup>, Marieke Postma<sup>13</sup>, Bibhushan Shakya<sup>14</sup>, Robert Shrock<sup>15</sup>, Jorinde van de Vis<sup>14</sup>, and Graham White<sup>†16</sup>

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[arxiv:hep-ph/2203.07059](https://arxiv.org/abs/hep-ph/2203.07059)

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# New Ideas in Baryogenesis: A Snowmass White Paper

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# Conclusions

- **Discovery potential of new physics connected to Sakharov's conditions**
- **Rich probes by combining energy, intensity, long-life time and gravitational wave frontiers**
- **Tantalizing possible connection to neutrino physics and dark matter**

**Great future ahead to (hopefully) nail down the mechanism behind BAU!**

COSMOLOGY MARCHES ON



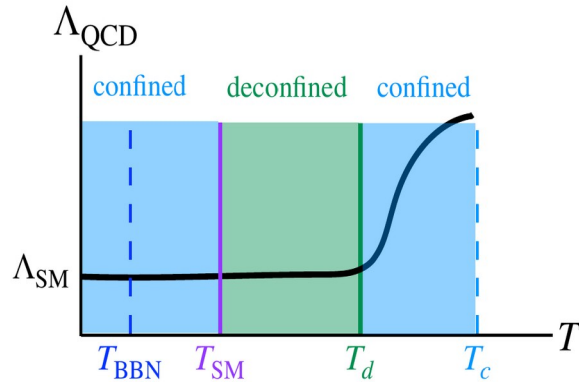
**Thank you for your attention!**





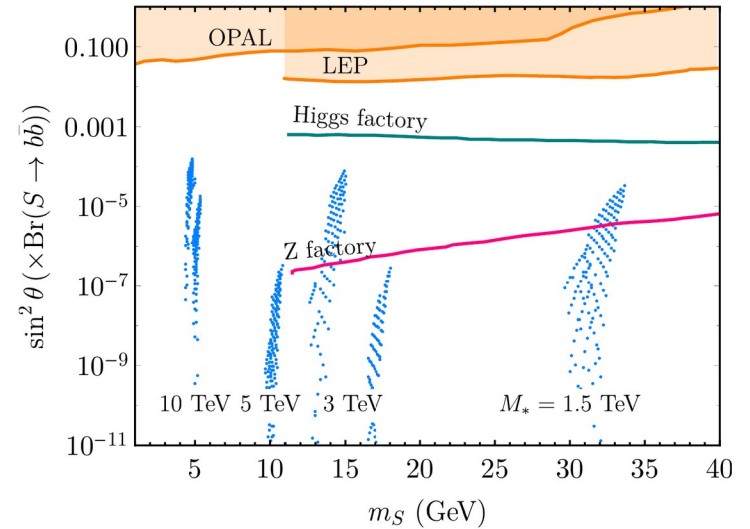
# QCD baryogenesis

If # of massless fermions  $\gt 3$ , QCD confinement proceeds via SFOFT Pisarski (1984)



If QCD confines when the Higgs vev is zero (fermions massless), phase transition is first order.

Introduce new scalar field  $S$  that perturbs the potential.



**Testable light states predicted.**

Ipek et al. (2019)  
Croon et al. (2020)