

How fast do heavy quarks thermalize?

The heavy quark diffusion coefficient from lattice QCD

1. Review of quenched lattice QCD at $1.5 T_c$ [10.1103/PhysRevD.103.014511](https://arxiv.org/abs/10.1103/PhysRevD.103.014511) (2021)

Bielefeld U.: Altenkort, Kaczmarek, Mazur, Shu
TU Darmstadt: Eller, Moore

2. First results from $2 + 1$ flavor lattice QCD (in preparation)

Bielefeld U.: Altenkort, Kaczmarek, Shu
Brookhaven NL: Petreczky, Mukherjee
U. of Stavanger: Larsen
(HotQCD collaboration)

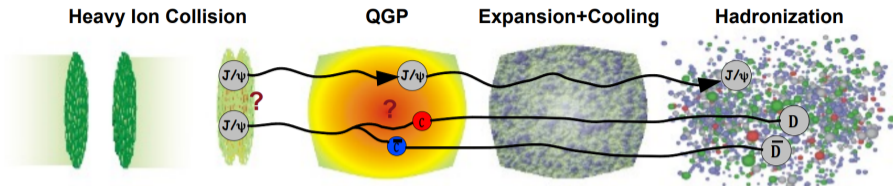


Figure: Steffen Bass

Why heavy quark diffusion?

- direct window into strong in-medium QCD force:

- Exp. data (v_2 , R_{AA}) \rightarrow considerable collective motion! \rightarrow eq. time:

[ALICE \(2019\)](#), [ALICE \(2018\)](#)

$$\tau_{\text{heavy}} \stackrel{?}{\approx} \frac{1}{T}$$

- Naive hydro:

$$\tau_{\text{heavy}} \simeq \frac{M}{T} \tau_{\text{light}} \rightarrow \tau_{\text{light}} \stackrel{?}{\ll} \frac{1}{T}$$

- input or crosscheck for quarkonium production/evolution models

[Brambilla et al. \(2021\)](#) [Capellino et al. \(2022\)](#) [Torres-Rincon et al. \(2022\)](#)

- varying results for T -dep. between models [Dong, Lee, Rapp \(2019\)](#)

Calculate τ_{heavy} from first principles?

- nonrel. limit $M \gg \pi T \Rightarrow$ Langevin dynamics

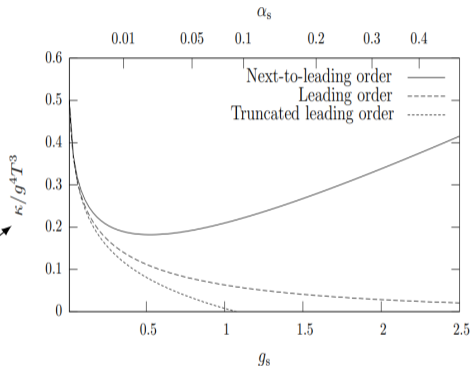
Moore, Teaney (2005) *Casalderrey-Solana, Teaney (2006)*

- \Rightarrow (momentum) diffusion coefficient

$$\tau_{\text{heavy}} = \frac{M}{T} D = \frac{2MT}{\kappa}$$

- Perturbation theory unreliable! *Caron-Huot, Moore (2008)*

- \Rightarrow nonpert. lattice QCD



Diffusion coefficients from the lattice?! Caron-Huot, Laine, Moore (2009) Petreczky, Teaney (2005)

Linear response theory

→ **in-eq. spectral functions** (SPF)

$$D \sim \lim_{\omega \rightarrow 0} \frac{\rho^{ii}(\omega)}{\omega}$$

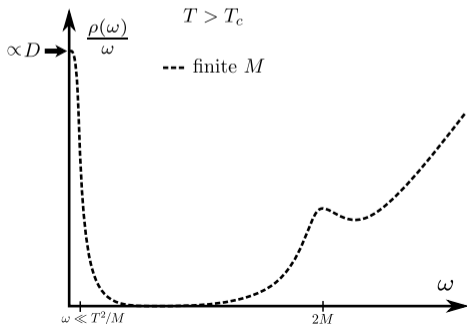
with

$$\rho^{ii}(\omega) = \int_{t,\mathbf{x}} e^{i\omega t} \left\langle \frac{1}{2} \left[\hat{\mathcal{J}}^i(\mathbf{x},t), \hat{\mathcal{J}}^i(\mathbf{0},0) \right] \right\rangle$$

↙ HQ vector current

reconstruct from **Euclidean time correlators**:

$$G(\tau) = \int_0^\infty d\omega \rho(\omega) \frac{\cosh(\omega(\tau - \frac{\beta}{2}))}{\sinh(\omega \frac{\beta}{2})}$$



1. instead of D , consider κ
(encoded in the tail)

2. use HQET:
expand in $1/M$,
replace $\hat{\mathcal{J}}^i$ with LO version,
...

→ $G(\tau)$ = **color-electric two-point function**
(force-force correlator)

$$\rightarrow \kappa = \lim_{\omega \rightarrow 0} 2T \frac{\rho(\omega)}{\omega}$$

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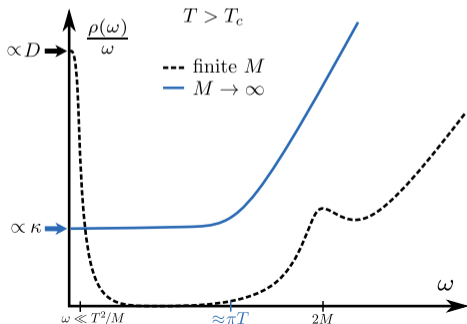
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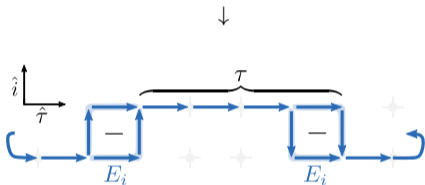
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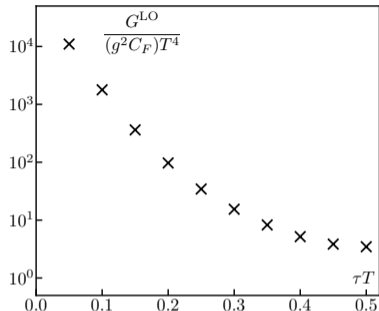
→ $\kappa = \lim_{\omega \rightarrow 0} 2T \frac{\rho(\omega)}{\omega}$

Gluonic color-electric correlator Caron-Huot, Laine, Moore (2009)

$$G(\tau) \equiv \frac{1}{3} \sum_{i=1}^3 \frac{-\langle \text{Re tr } U(\beta, \tau) gE_i(\tau) U(\tau, 0) gE_i(0) \rangle}{\langle \text{Re tr } U(\beta, 0) \rangle}$$



Weak-coupling structure (LO)



Laine et al. (2011)

Drawback of $M \rightarrow \infty$

- UV gauge fluctuations dominate for large τ
- large τ most sensitive to $\omega \rightarrow 0$
 - ⇒ need noise reduction!

Noise reduction via gradient flow ℓ Lüscher (2010)

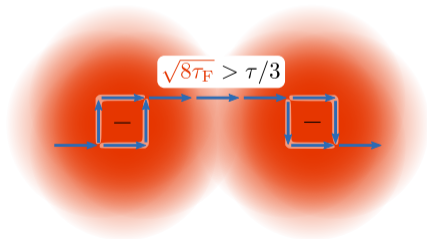
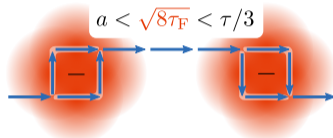
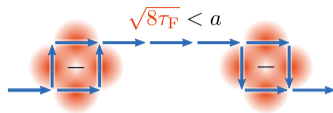
- supports nonlocal actions! (e.g. 2+1 flavor HISQ)
- new gaugefield parameter: “flow time” τ_F

Flow = smooth regulator:

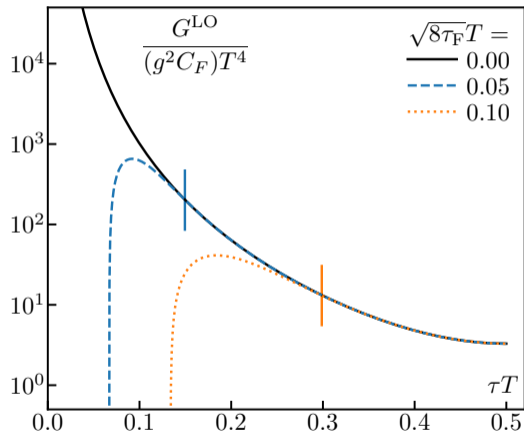
- links continuously smeared, width $\simeq \sqrt{8\tau_F}$, “flow radius”
- lattice renorm. artifacts suppressed if $\sqrt{8\tau_F} \gtrsim a$
- $G(\tau)$ free of distortion if $\sqrt{8\tau_F} \lesssim \tau/3$
(next slide)

Idea:

1. step-wise smearing + $G(\tau)$ measurement
2. at each τ_F step, extrapolate $a \rightarrow 0$
3. extrapolate $\tau_F \rightarrow 0$, only consider $a < \sqrt{8\tau_F} < \tau/3$



Weak coupling + Wilson flow



✍ Eller, Moore (2018)

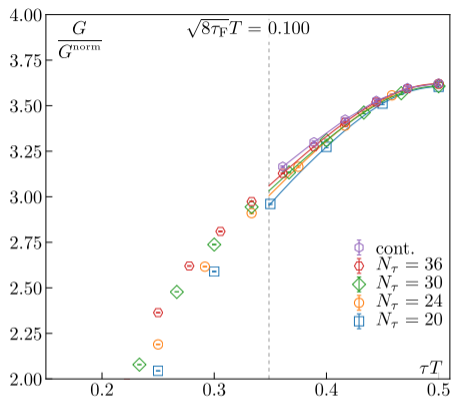
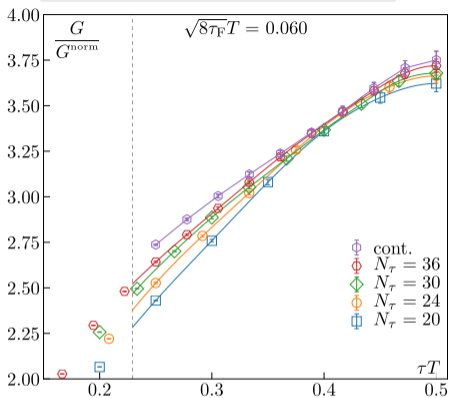
Flow limit = lower bound for τ

- correlator deviates < 1% for $\tau \gtrsim 3\sqrt{8\tau_F}$
(vertical lines)

Enhance nonpert. lattice data:

- normalize to weak-coupling structure $\equiv G^{\text{norm}}$
- remove tree-level discretization errors

Pure gauge, $1.5 T_c$, Wilson action



Lattice & flow setup

$N_\sigma^3 \times N_\tau$	a [fm]
$80^3 \times 20$	0.0213
$96^3 \times 24$	0.0176
$120^3 \times 30$	0.0139
$144^3 \times 36$	0.0116

- 10000 conf. each

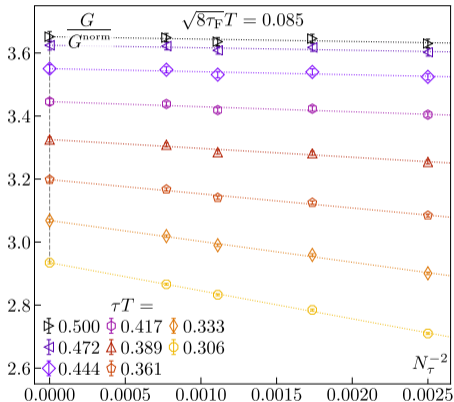
- separation:
500 sweeps of (1 HB + 4 OR)

- $\mathcal{O}(a^2)$ -improved "Zeuthen flow"

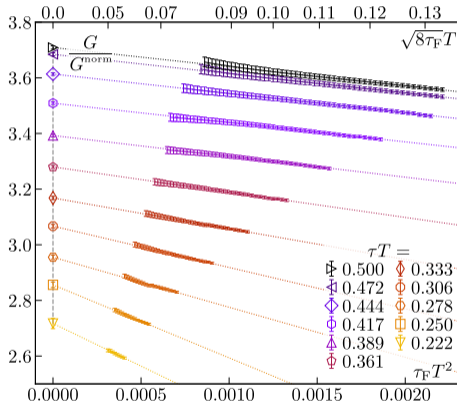
- 3rd-order RK with adaptive stepsize

Pure gauge, $1.5 T_c$, Wilson action

1. Continuum extrapolation (linear in a^2)



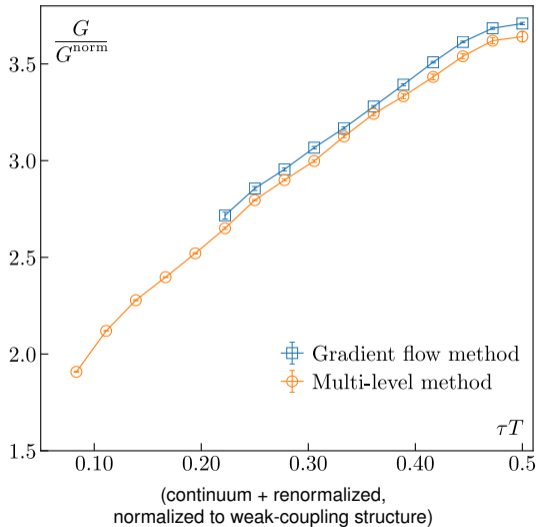
2. Flow-time-to-zero extrapolation (linear in τ_F)



■ ansatz from weak-coupling NLO [Eller 2021](#)

Pure gauge, $1.5 T_c$

$a \rightarrow 0, \tau_F \rightarrow 0$



Comparison to previous method

- shape consistent with Multi-level results (only pert. renormalized)

Francis et al. (2015) *Christensen, Laine (2016)*

- overall shift?

- ⇒ nonperturbative renormalization
- ⇒ better statistics



Spectral reconstruction = integral inversion problem

$$\blacksquare G(\tau) = \int_0^\infty d\omega \rho(\omega) K(\omega, \tau), \quad \kappa = \lim_{\omega \rightarrow 0} 2T \frac{\rho(\omega)}{\omega}$$

Strategy: use spectral function models

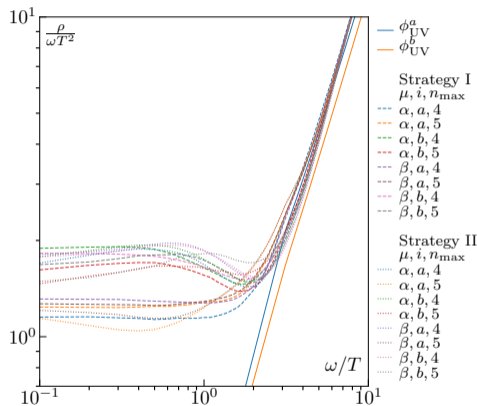
$$\blacksquare \rho_{\text{model}}(\omega) \equiv I(\omega) \sqrt{[\phi_{\text{IR}}(\omega)]^2 + [\phi_{\text{UV}}(\omega)]^2}$$

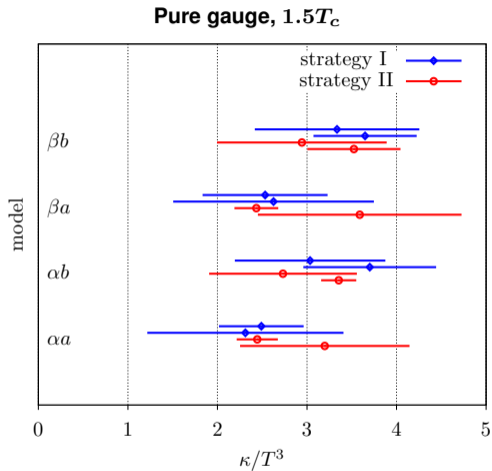
with known $\phi_{\text{IR}}(\omega) \equiv \frac{\kappa}{2T} \omega$, $\phi_{\text{UV}}(\omega) \sim \omega^3$, ...

and various **interpolations** $I(\omega)$

⇒ obtain κ/T^3 by fitting

$$\chi^2 \equiv \sum_{\tau} \left[\frac{G(\tau) - G_{\text{model}}(\tau)}{\delta G(\tau)} \right]^2$$





Final estimate:

$$\kappa/T^3 = 2.31 \dots 3.70$$

$$\Leftrightarrow 2\pi TD = 3.40 \dots 5.44$$

$$\Leftrightarrow \tau_{\text{heavy}} = (1.63 \dots 2.61) \left(\frac{T_c}{T}\right)^2 \left(\frac{M}{1.5\text{GeV}}\right) \text{fm}/c$$

2+1 flavor QCD, HISQ action

- $T \approx 200 \dots 350$ MeV, $m_\pi \approx 310$ MeV
- no $a \rightarrow 0$ and $\tau_F \rightarrow 0$ yet

Intermediate strategy

1. use “relative flow time” $\frac{\sqrt{8\tau_F}}{\tau} \equiv \text{const.} < \frac{1}{3}$

⇒ nonzero τ_F = small correction

2. use flow-dep. tree-level improvement

✍ Stendebach, Moore (2022, unpublished)

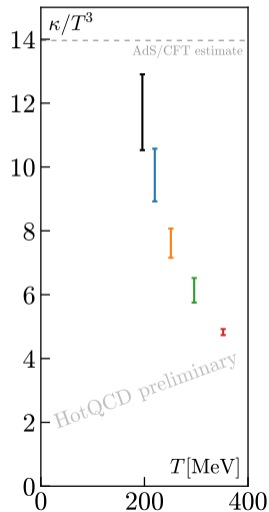
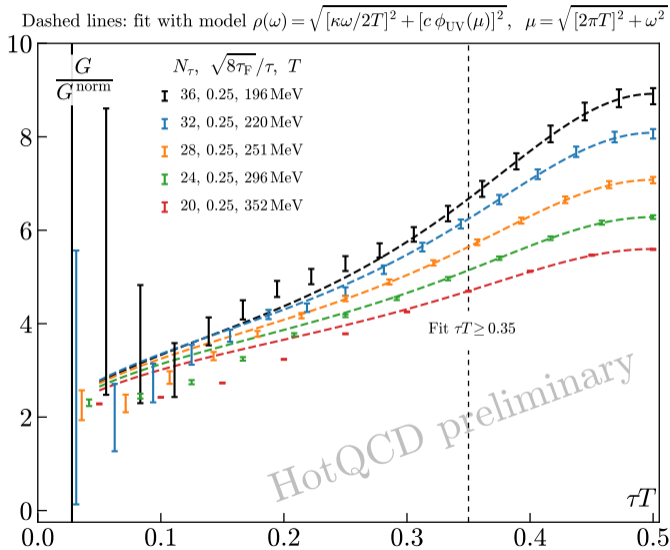
3. fit simple models to $\tau T \geq 0.35$

⇒ **tiny add. systematic error for** κ/T^3
 compared to SPF model systematics

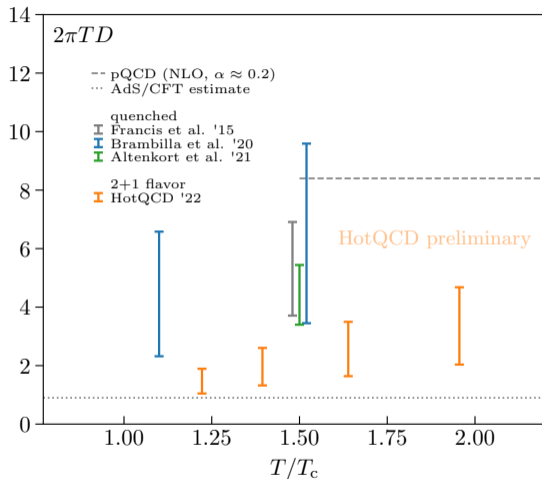
Lattice setup (planned)

m_l	T [MeV]	$N_\sigma^3 \times N_\tau$	a [fm]
$m_s/5$	195	$96^3 \times 36$	0.028
		$64^3 \times 24$	0.042
		$64^3 \times 20$	0.051
	220	$96^3 \times 32$	0.028
		$64^3 \times 24$	0.037
		$64^3 \times 20$	0.045
251	$96^3 \times 28$	0.028	
		$64^3 \times 24$	0.033
	$64^3 \times 20$	0.039	
296	$96^3 \times 24$	0.028	
		$64^3 \times 22$	0.031
	$64^3 \times 20$	0.034	
$m_s/27$	≤ 195	$64^3 \times 24$	
	...		

2 + 1 flavor QCD



Comparison to other results



■ Reminder:

$$\frac{4\pi}{\kappa/T^3} = 2\pi TD \sim \frac{T^2}{M} \tau_{\text{heavy}}$$

🔗 pQCD: Caron-Huot, Moore (2008)

🔗 AdS/CFT: Casalderrey-Solana, Teaney (2006)

$\mathcal{O}(T/M)$ correction

■ $\kappa \simeq \kappa_E + \frac{2}{3} \langle \mathbf{v}^2 \rangle \kappa_B$ ℓ Bouttefeux, Laine (2020) ℓ Laine (2021)

⇒ color-magnetic correlator G_B

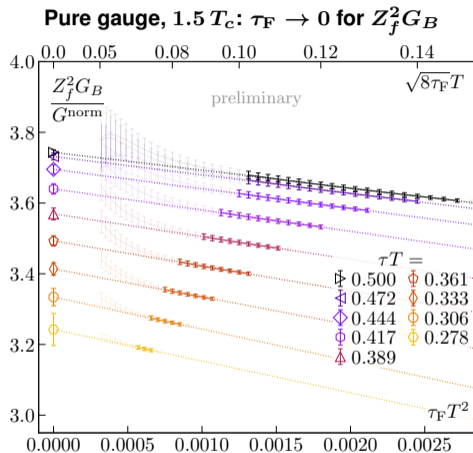
■ Problem: anomalous dimension
→ logarithm in $G_B(\tau_F)$

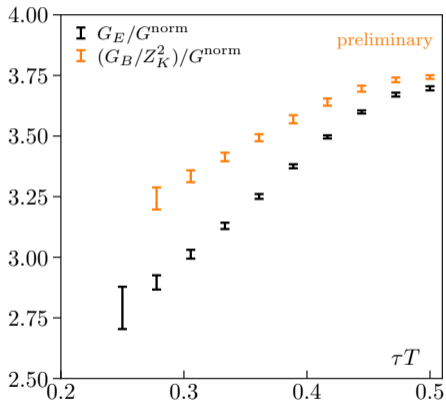
■ Solution: consider $Z_B^2 (Z_K^2 Z_f^2 G_B)_{\tau_F \rightarrow 0}$

↓ cancel scale-dependence
 ↓ match flow to \overline{MS} scheme
 ↓ \overline{MS} renorm. factor

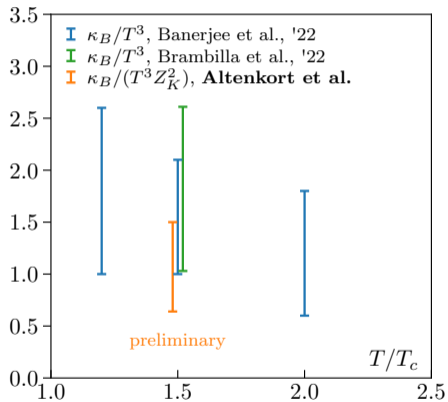
⇒ $Z_f^2(\tau_F, g_{\tau_F}^2)$ obtained by integrating RG equation, ($g_{\tau_F}^2$ measured at $T = 0$)

■ expect $Z_K^2 \sim 1$, pert. calculation in progress



EE vs BB correlator ($a \rightarrow 0, \tau_F \rightarrow 0$)

Comparison to other works (pure gauge)



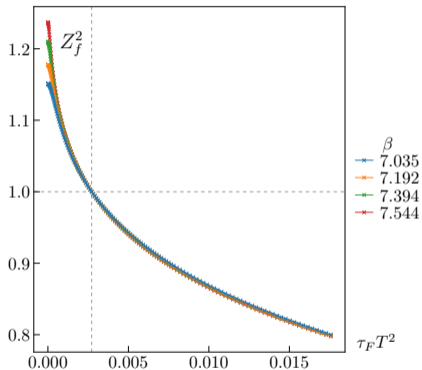
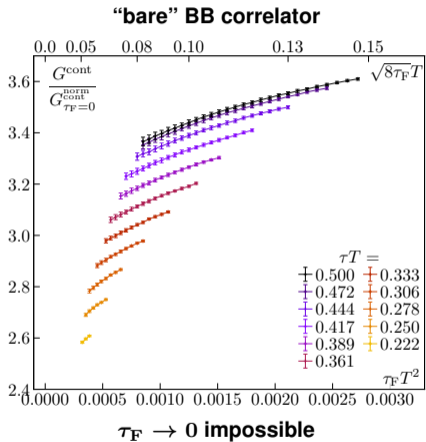
Quenched QCD

- proof-of-concept for gradient flow method [↗ LA et al. 2021](#)
- results serve as crosscheck for systematics of 2+1 flavor data
- **Next:**
determine finite-mass correction (color-magnetic correlator) [↗ Bouttefeux, Laine 2021](#)

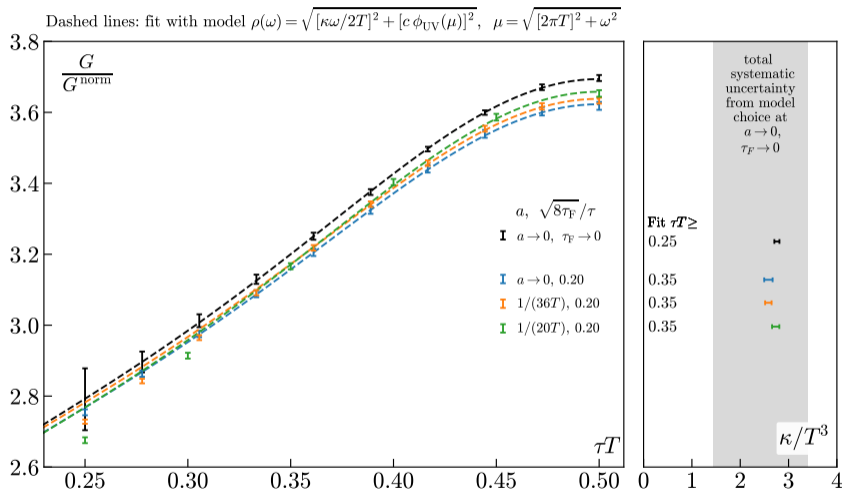
2+1 flavor QCD

- preliminary results to constrain κ
- **Next:**
continuum extrapolation,
investigate light quark mass effects,
finite mass correction

Backup



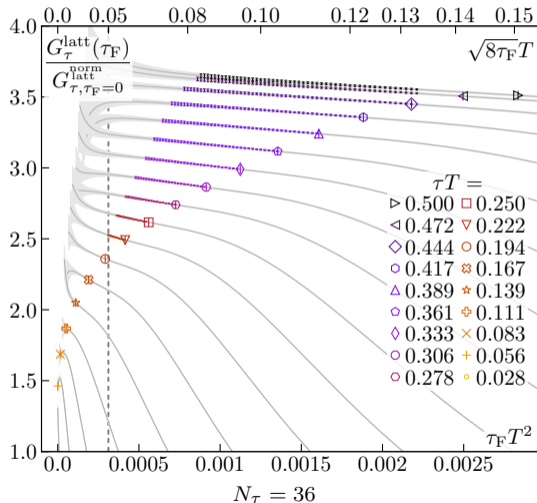
Quenched, $1.5T_c$: systematics of simple model fits



Conclusions

- shape of correlator preserved at fixed small $\sqrt{8\tau_F}/\tau$
- for large τ also preserved at finite $a!$
- ⇒ sufficient to still constrain κ/T^3 (using simple models)

EE correlator as a function of flow time (quenched, $1.5T_c$)



- inside extrapolation window: single colorful data points. outside: data points connected via grey lines.
- markers at $\sqrt{8\tau_F} \approx \tau/3$
- dashed line: minimum flow such that $\sqrt{8\tau_F} \gtrsim a$ for our coarsest lattice
- small τ_F : strong flow dependence (suppress noise and renorm. artifacts)
- intermediate τ_F : minor dependence (for large τ)

Heavy Quark Effective Theory

- for $M \gg T$ and $\omega < \omega_{UV}$: spectral function is a Lorentzian¹

$$D \stackrel{\omega \lesssim \omega_{UV}}{\approx} \sum_i \frac{\rho^{ii}(\omega)}{\omega} \frac{1}{3\chi^{00}} \frac{\eta^2 + \omega^2}{\eta^2}, \quad D \simeq 2T^2/\kappa, \quad \eta \simeq \kappa/(2M_{\text{kin}}T)$$

$$\kappa_{(M)} \equiv \frac{M_{\text{kin}}^2 \omega^2}{3T\chi^{00}} \sum_i \frac{2}{\beta\omega} \rho^{ii}(\omega) \Big|_{\eta \ll |\omega| \lesssim \omega_{UV}}$$

- κ is defined as the coefficient of the powerlaw fall-off of the Lorentzian
- perform Foldy-Wouthuysen transformation of default lattice qcd action:

$$\begin{aligned} \Rightarrow \mathcal{L}_{\text{QCD}} = & \hat{\theta}^\dagger \left(iD_0 - M + \frac{D_i D^i + \sigma_i g B^i}{2M} \right) \hat{\theta} + \hat{\phi}^\dagger \left(iD_0 + M - \frac{D_i D^i + \sigma_i g B^i}{2M} \right) \hat{\phi} \\ & + \frac{i}{2M} \left(\hat{\theta}^\dagger \sigma_i g E^i \hat{\phi} - \hat{\phi}^\dagger \sigma_i g E^i \hat{\theta} \right) + \mathcal{O}(1/M^2) + \mathcal{L}_{\text{light}} + \mathcal{L}_{\text{gauge}} \end{aligned}$$

- obtain the LO currents from EOM and insert into SPF, do some algebra and take limits²:

$$\kappa = \frac{\beta}{3} \sum_{i=1}^3 \lim_{M \rightarrow \infty} \frac{1}{\chi^{00}} \int dt \int d^3 \mathbf{x} \left\langle \frac{1}{2} \left\{ \left[\hat{\phi}^\dagger g E^i \hat{\phi} - \hat{\theta}^\dagger g E^i \hat{\theta} \right]_{(\mathbf{x}, t)}, \left[\hat{\phi}^\dagger g E^i \hat{\phi} - \hat{\theta}^\dagger g E^i \hat{\theta} \right]_{(\mathbf{0}, 0)} \right\} \right\rangle$$

- Carry out contractions and Wick-rotate to obtain Euclidean correlator

LO spectral function:

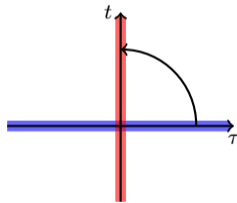
$$\rho_E^{(2)}(\omega, \tau_F) = \frac{g^2 C_{\mathcal{R}}}{6\pi} \omega^3$$

Relation between Euclidean correlator and spectral function is **broken by Grad. flow**

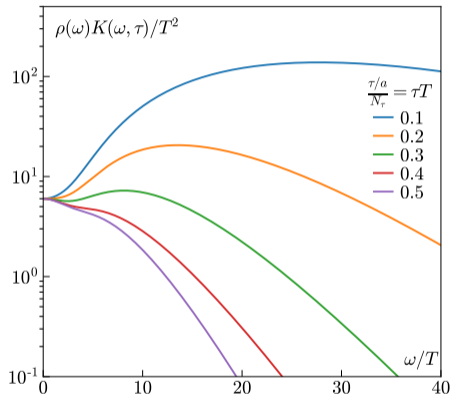
$$G_E(\tau, \tau_F) \neq \int_0^\infty \frac{d\omega}{\pi} \rho(\omega, \tau_F) \frac{\cosh\left[\omega\left(\frac{\beta}{2} - \tau\right)\right]}{\sinh\left[\frac{\beta\omega}{2}\right]}$$

Physical: Contact terms **break causality** of theory

Mathematical: **Exponential suppression** becomes **exponential enhancement** after Wick rotation \Rightarrow Kramers-Kronig relation is broken



Integration kernel effect



Gradient flow equations

- introduces extra dimension: “flow time” τ_F
- evolves gauge fields $A_\mu(x)$ towards minimum of action S_G

Flow = smooth regulator:

- suppression of high-momentum modes in gluon prop.
- A_μ^{LO} : average over Gaussian, width $\simeq \sqrt{8\tau_F}$ “flow radius”

$$A_\mu(x, \tau_F=0) = A_\mu(x)$$

$$\frac{dA_\mu(x, \tau_F)}{d\tau_F} \sim \frac{-\delta S_G[A_\mu]}{\delta A_\mu(x, \tau_F)}$$

$$A_\mu^{\text{LO}}(x, \tau_F) = \int dy \left(\sqrt{2\pi} \sqrt{8\tau_F}/2 \right)^{-4} \exp\left(\frac{-(x-y)^2}{\sqrt{8\tau_F}^2/2} \right) A_\mu(y)$$

Kubo-formula for D

- Approach I, phenomenological

Diffusion equation:

⇒ Formal solution:

$$\partial_t \langle A(\mathbf{x}, t) \rangle = D \nabla^2 \langle A(\mathbf{x}, t) \rangle$$

$$\langle A(\mathbf{k}, \omega) \rangle = \frac{i}{\omega + iD\mathbf{k}^2} \langle A(\mathbf{k}, t=0) \rangle$$

- Approach II, Linear response th.

Hamiltonian:

⇒ Formal solution ($\mathcal{O}(h)$):

$$H(t) = H_0 - \int d\mathbf{x} A(\mathbf{x}) h(\mathbf{x}) e^{\epsilon t} \Theta(-t)$$

$$\delta \langle A(\mathbf{k}, \omega) \rangle = \frac{1}{i\omega} \left[\frac{G_R(\mathbf{k}, \omega)}{\chi(\mathbf{k})} - 1 \right] \delta \langle A(\mathbf{k}, t=0) \rangle$$

- Comparison (for small ω , $|\mathbf{k}|$):

$$\Rightarrow G_R(\mathbf{k}, \omega) = \frac{iD\mathbf{k}^2}{\omega + iD\mathbf{k}^2} \chi(\mathbf{k})$$

⇒ **Kubo-type formula**

$$\Leftrightarrow D = \frac{1}{\chi_s} \lim_{\omega \rightarrow 0} \left[\lim_{k \rightarrow 0} \frac{\omega}{k^2} \rho(k, \omega) \right]$$

- diffusion physics encoded in **spectral function** $\rho(k, \omega) \sim \int_{\mathbf{x}, t} e^{i(\omega t - \mathbf{k}\mathbf{x})} \langle [A(\mathbf{x}, t), A(0, 0)] \rangle_{\text{eq}}$.
- directly relates **macroscopic non-eq. evolution** and **microscopic in-eq. fluctuations!**

Thermalization through diffusive motion

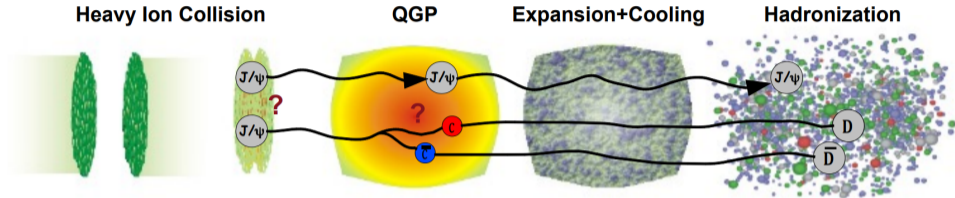
Phenomenological diffusion

- consider classical fluid of particles
 - some local quantity $A(\mathbf{x})$, $\langle A(\mathbf{x}) \rangle_{\text{eq}} = 0$
 - after perturbation in A :
 - $\partial_t \langle A(\mathbf{x}, t) \rangle \neq 0 \rightarrow$ relax back to eq.
 - if A **varies slowly** in space & time:
 - $\Rightarrow \partial_t \langle A(\mathbf{x}, t) \rangle = D \nabla^2 \langle A(\mathbf{x}, t) \rangle$

\Rightarrow thermalization of A characterized by **diffusion coefficient D**

Heavy quark diffusion in a hot medium

- $A \rightarrow$ heavy quark current \hat{J}^μ
- perturbation in heavy quark chemical potential
- rigorous approach:
 - finite temperature field theory + **linear response theory**
 - $\Rightarrow H(t) = H_0 - \int d\mathbf{x} A(\mathbf{x}) h(\mathbf{x}) e^{\epsilon t} \Theta(-t)$
- How to identify D here?



- Heavy quarkonia mainly produced in early hard collisions ($M \gg T$)
 - some remain as bound states ($J/\Psi, \Upsilon$)
 - some melt into constituents
 - travel through medium, thermalize to some extent via **diffusion**
 - form $D\bar{D}$ or $B\bar{B}$ meson pairs, decay into dileptons
- Evidence of in-medium interactions from strong modification of heavy hadron p_T distributions
 - ⇒ probes for transport properties of QGP

J/Ψ	Υ
$c\bar{c}$	$b\bar{b}$
3.1 GeV	9.5 GeV
cf. $T \sim \mathcal{O}(100)$ MeV	

What is transport?

Transport phenomena are spontaneous **statistical processes** that cause a quantity of a system that is **out of equilibrium** to evolve towards its **equilibrium** distribution.

Basic examples

Process	Quantity	Transport coefficient	Unit
Heat conduction	Energy	Thermal conductivity	$\text{W m}^{-1} \text{K}^{-1}$
Particle diffusion	Mass	Diffusion coefficient	$\text{m}^2 \text{s}^{-1}$
Fluid flow	Momentum	Shear/bulk viscosity	$\text{kg m}^{-1} \text{s}^{-1}$

- Transport phenomena are quantified through **transport coefficients**
- For near-equilibrium strong-interaction matter:
 - fluid flow → shear/bulk viscosity
 - chemical composition → flavor diffusion coefficients