22nd ZIMÁNYI SCHOOL WINTER WORKSHOP ON HEAVY ION PHYSICS December 5-9, 2022, Budapest, Hungary

Production and Fragmentation of Heavy Flavor in Small Systems

(connection of the hard process to event shapes and the underlying event)

Róbert Vértesi for the **ALICE** collaboration

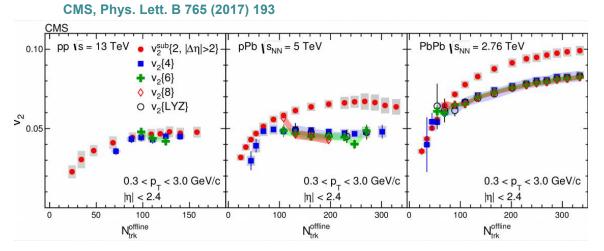
vertesi.robert@wigner.hu



This work has been supported by the Hungarian NKFIH OTKA FK131979 and K135515 as well as the NKFIH 2019-2.1.11-TÉT-2019-00078, 2019-2.1.11-TÉT-2019-00050, 2019-2.1.6-NEMZKI-2019-00011 grants

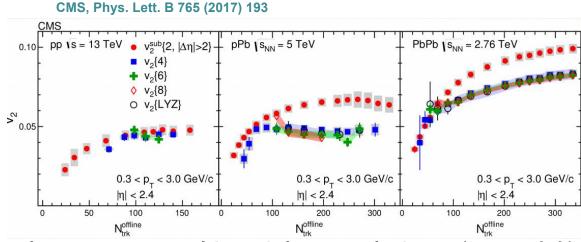
Collectivity

- RHIC: Hot nuclear matter behaves as a perfect fluid (very low viscosity)
 => strongly coupled quark-gluon plasma (sQGP)
- LHC: Collectivity in small colliding systems (pp, p-Pb), in case of high event activity (eg. final-state multiplicity)



Collectivity

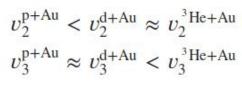
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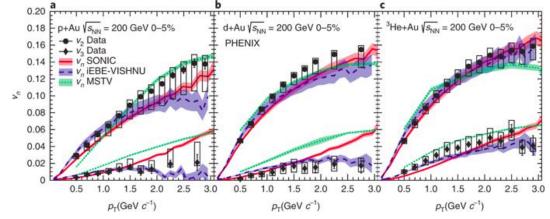
 Collectivity = long-range multiparticle correlations (eg. v_n{n}) does not necessarily require hydrodynamical evolution!

Hydrodynamical evolution

• **PHENIX 2018**: Comparison of p-Au, d-Au, He-Au collisions prefer hydrodinamics compared to models with color flux tubes

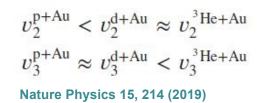


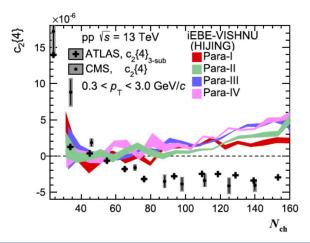
Nature Physics 15, 214 (2019)

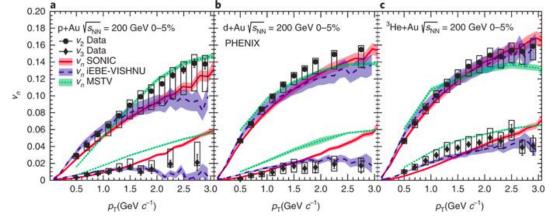


Hydrodynamical evolution

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 Sign of cumulant differs from that predicted by hydrodynamics => ?

W. Zhao et al., PLB 780 (2018) 495

$$v_n\{2\} = \sqrt{c_n\{2\}}$$

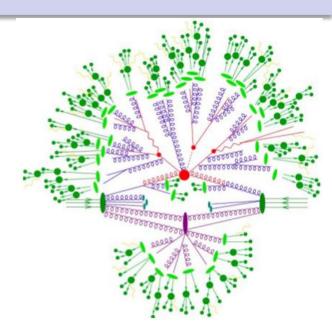
 $v_n\{4\} = \sqrt[4]{-c_n\{4\}}$

R. Vértesi - Heavy flavor in small systems

Hot QGP or cold QCD?

Does QGP come into being in small systems?

- Is energy density high enough?
- Can hot matter thermalize?
- Current understanding:
 - Collective behavior does not require QGP
 - Can be produced by vacuum-QCD effects at the soft-hard boundary

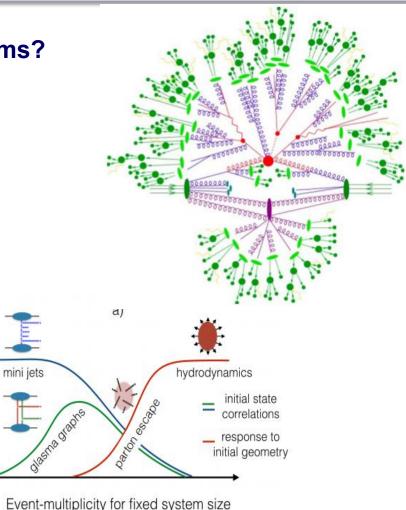


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 - Can be produced by vacuum-QCD effects at the soft-hard boundary
 - Multi-parton interactions (MPI) eg. Schlichting, arXiv:1601.01177
 - Color-reconnection

 (part of some MPI models)
 eg. Ortiz-Bencédi-Bello, J.Phys.G 44 (2017)
 - Minijets (semihard partons produced by incoming partons or bremsstrahlung)
 eg. Eskola, Nucl.Part.Phys. 22, 4, 185 (1998)

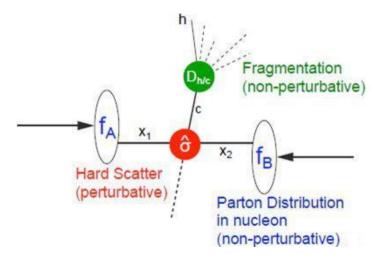


Azimuthal correlation strength

Heavy flavor: production and fragmentation

Production of heavy-flavor hadrons:

- Parton distribution functions (PDF)
- Hard scattering process
- Fragmentation



Heavy flavor: production and fragmentation

Production of heavy-flavor hadrons:

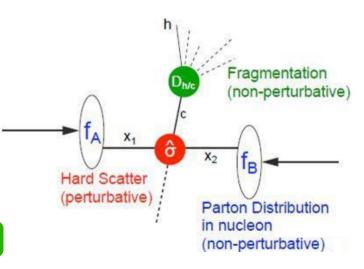
- Parton distribution functions (PDF)
- Hard scattering process
- Fragmentation
- Factorization hypothesis: these 3 are independent!

$$\sigma_{hh \to H} = f_a(x_1, Q^2) \otimes f_b(x_2, Q^2) \otimes \sigma_{ab \to q\bar{q}} \otimes D_{q \to H}(z_q, Q^2)$$

$$Feynman.x:$$

$$x_i = p^A_{\parallel} / p^A_{\parallel,max}$$

$$Q : impulzusátadás$$



Heavy flavor: production and fragmentation

O: impulzusátadás

Production of heavy-flavor hadrons:

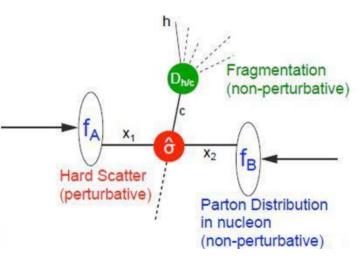
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$$Feynman-x:$$

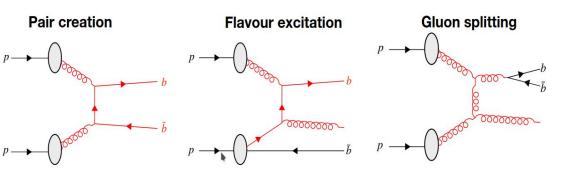
$$x_i = p^{A_i} / p^{A_{ij}}$$

 Fragmentation functions are traditionally treated as universal (same across all colliding systems)



Heavy flavor is different

- Production mechanisms:
 - $m_{\rm c,b} >> \Lambda_{\rm QCD}$
 - \rightarrow Perturbative down to low momenta
 - LO: Flavor creation (FLC)
 - NLO: Gluon splitting (GSP) flavor excitation (FEX)



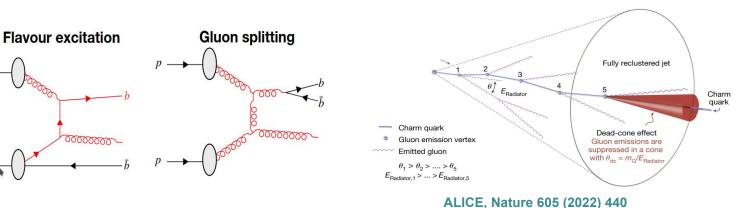
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- Fragmentation
 - Color-charge effect heavy flavor: quarks light flavor: mostly gluons
 - Dead cone: hard fragmentation No gluon radiation at small angles



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Pair creation

R. Vértesi - Heavy flavor in small systems

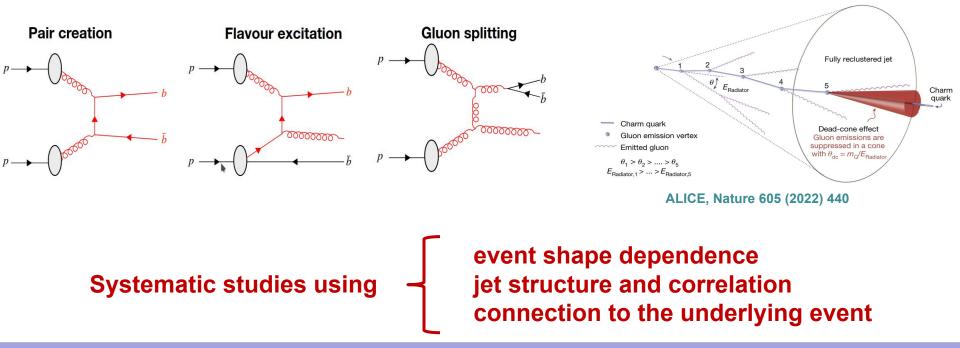
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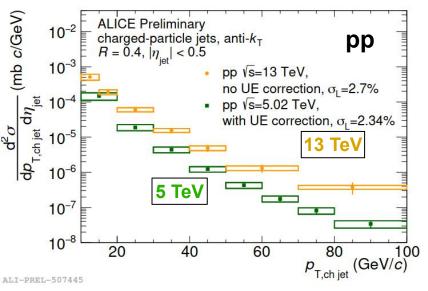
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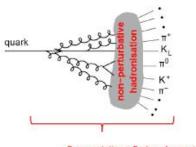
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Heavy-flavor b-jet production in small systems



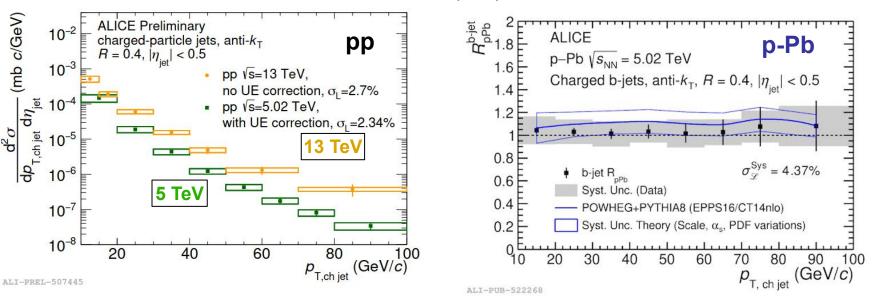


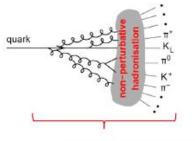


pp: production of jets: input for pQCD models

Heavy-flavor b-jet production in small systems

ALICE, JHEP 01 (2022) 178





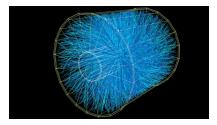
Zimányi School 2022

Fragmentation = Parton shower + hadronization

 pp: production of jets: input for pQCD models
 p-Pb: Nuclear modification factor R_{pA}~1:
 No strong nuclear modification present in p-A Predicted by models assuming cold nuclear matter only

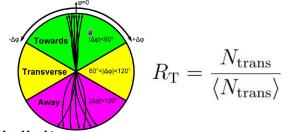
Charged-hadron multiplicity N_{ch} : $|\eta| < 1$ N_{fw} : $2 < |\eta| < 5$

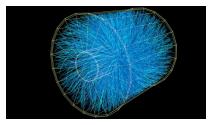
- Number of final-state charged hadrons
- Global parameter, does not take leading process into account



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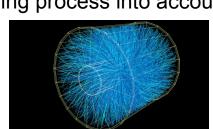


Relative transverse multiplicity

- Represents the underlying-event
- High-p_T trigger hadron => Statistics can be a problem
- Dependence on fragmentation

Charged-hadron multiplicity N_{ch} : $|\eta| < 1$ N_{fw} : $2 < |\eta| < 5$

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$\frac{1}{|\Delta \phi| < 60^{\circ}} + \Delta \phi} R_{\rm T} = \frac{N_{\rm trans}}{\langle N_{\rm trans} \rangle}$

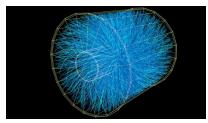
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Relative transverse multiplicity

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[oward:

Transverse

Away

60°<|Δφ|<120

Δφ|>120

• Dependence on fragmentation

Transverse spherocity

- Tells apart isotropic and jetty events
- No need for trigger

$$S_0 = \frac{\pi^2}{4} \left(\frac{\Sigma_i |\vec{p_{T_i}} \times \vec{n}|}{\Sigma_i \vec{p_{T_i}}} \right)^2 \xleftarrow{\text{isotropic}} x$$

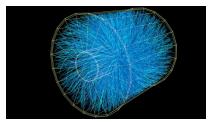
⊙_z

 $R_{\rm T} = \frac{N_{\rm trans}}{\langle N_{\rm trans} \rangle}$

Charged-hadron multiplicity N_{ch} : $|\eta| < 1$ N_{fw} : $2 < |\eta| < 5$

etty $(S_0 \rightarrow 0)$

- Number of final-state charged hadrons
- Global parameter, does not take leading process into account



Relative transverse multiplicity

- Represents the underlying-event
- High-p_⊤ trigger hadron => Statistics can be a problem

Fransvers

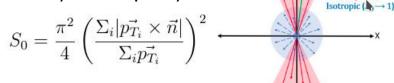
Away

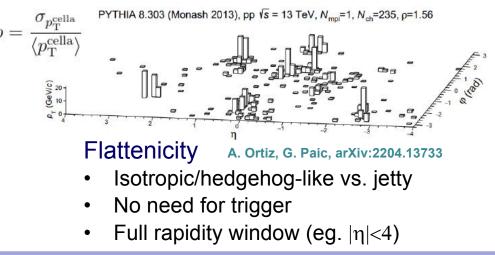
Δφ|>12

Dependence on fragmentation



- Tells apart isotropic and jetty events
- No need for trigger
- Only mid-rapidity





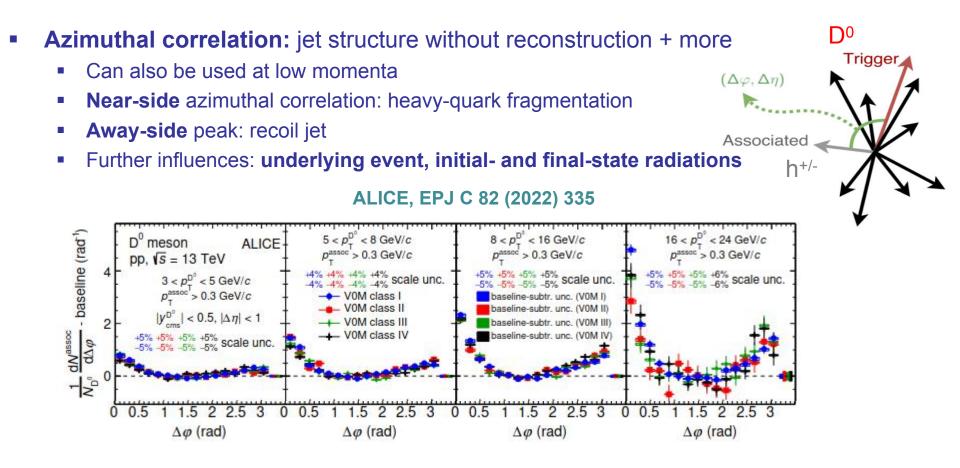
 $R_{\rm T} = \frac{N_{\rm trans}}{\langle N_{\rm trans} \rangle}$

- Azimuthal correlation: jet structure without reconstruction + more
 - Can also be used at low momenta
 - Near-side azimuthal correlation: heavy-quark fragmentation
 - Away-side peak: recoil jet
 - Further influences: underlying event, initial- and final-state radiations

Trigger

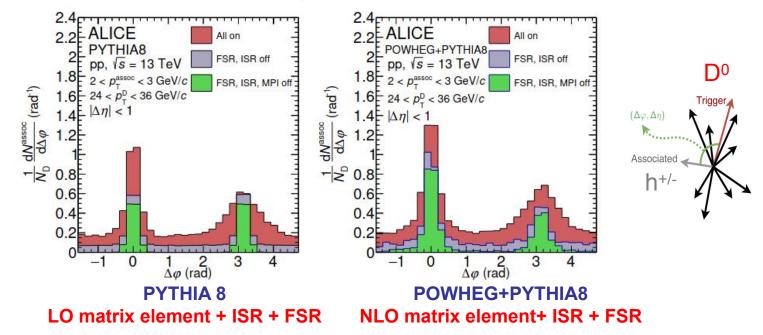
 $(\Delta \varphi, \Delta \eta)$

Associated



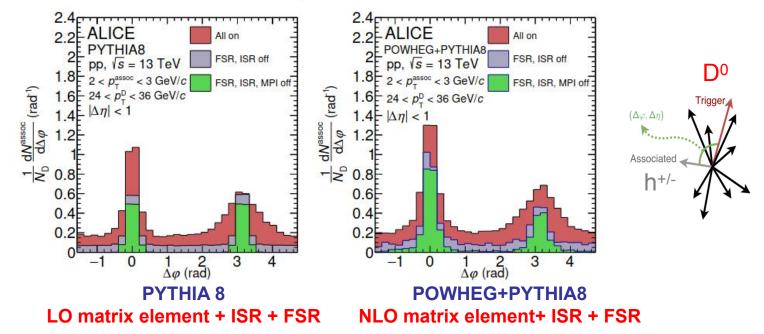
• No significant dependence on the activity of the final state (V0 multiplicy)

ALICE (incl. E.Frajna), EPJ C 82 (2022) 335



Separate parton level processes with simulations (ISR, FSR, MPI)

ALICE (incl. E.Frajna), EPJ C 82 (2022) 335



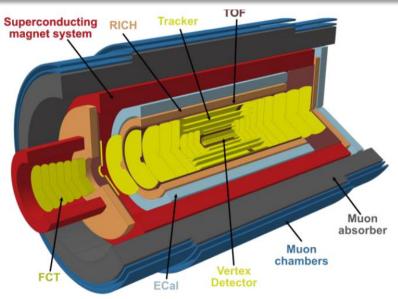
- Separate parton level processes with simulations (ISR, FSR, MPI)
- Peaks: more contribution from initial hard process in NLO, more FSR + ISR in LO
- Underlying event: Important role of multiparton-interactions

Charm-charm correlations: ALICE3

ALICE 3: the detector of the future

D. Adamová et al., 1902.01211

- Compact multi-purpose detector
- "Ultra-thin" semiconductor technology
- 20-50x higher luminosity

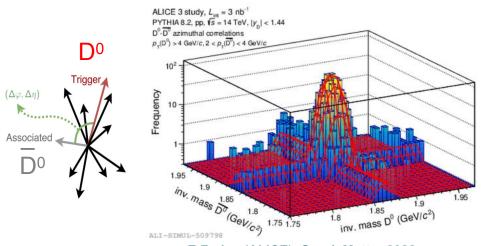


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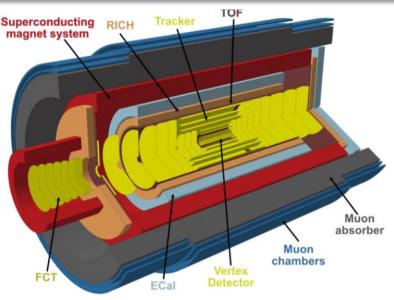
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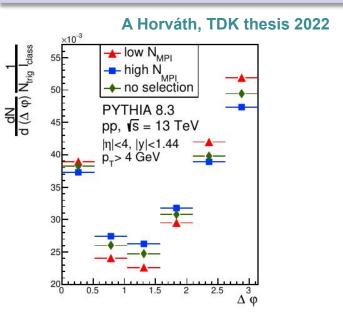


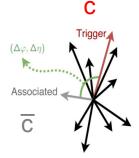
E.Frajna (ALICE), Quark Matter 2022



- Measurements of D⁰-D⁰ correlations
- Separation of individual pQCD processes (pair production, gluon splitting, flavor excitation) will be possible
- Simulation studies underway

c-c correlations vs. event activity - LO

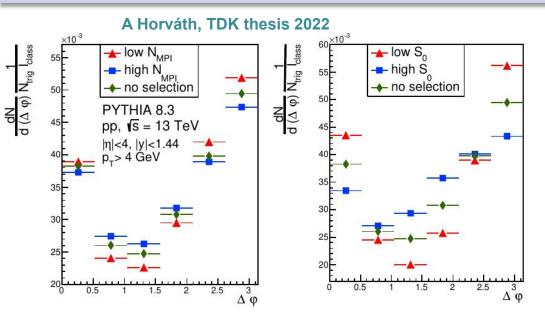


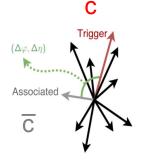


Calculations with PYTHIA 8 (LO)

MPI: more random correlations in high-MPI events (strong UE activity)

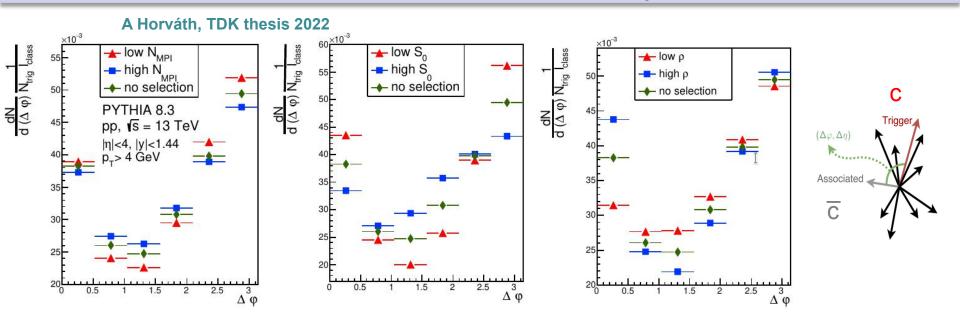
c-c correlations vs. event activity - LO





- MPI: more random correlations in high-MPI events (strong UE activity)
- **Spherocity**: more near- and away side correlations in jetty then spherical events

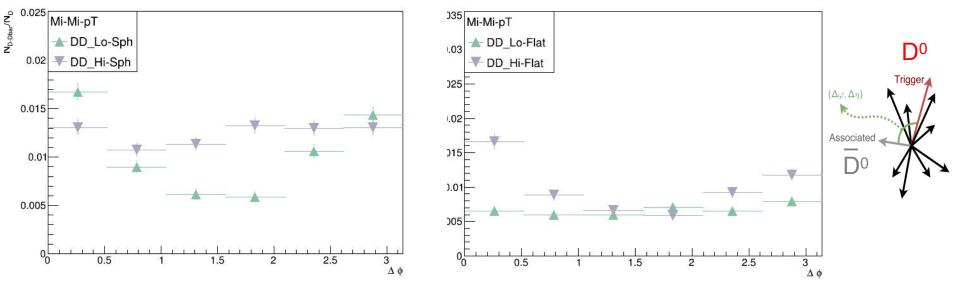
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- Flattenicity: strong separation at near-side
 - events with gluon-splitting likely tend to be flatter

D-D correlations vs. event activity - NLO

E.Frajna, AEPSHEP 2022 poster, shorturl.at/r2489

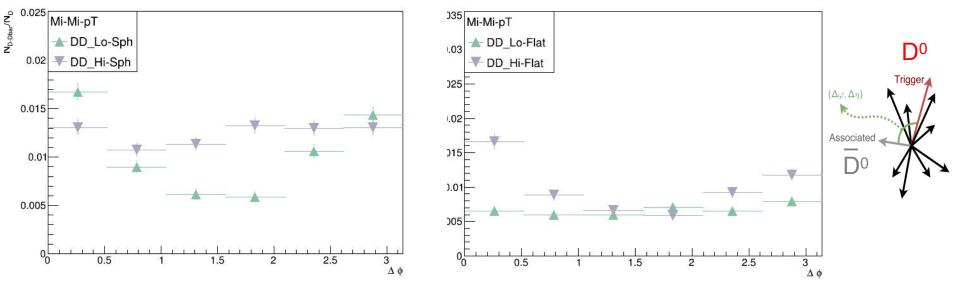


Calculations with HERWIG (Min.bias NLO)

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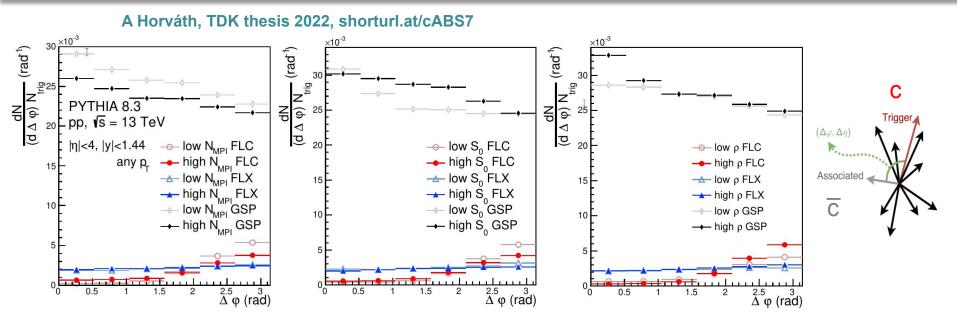


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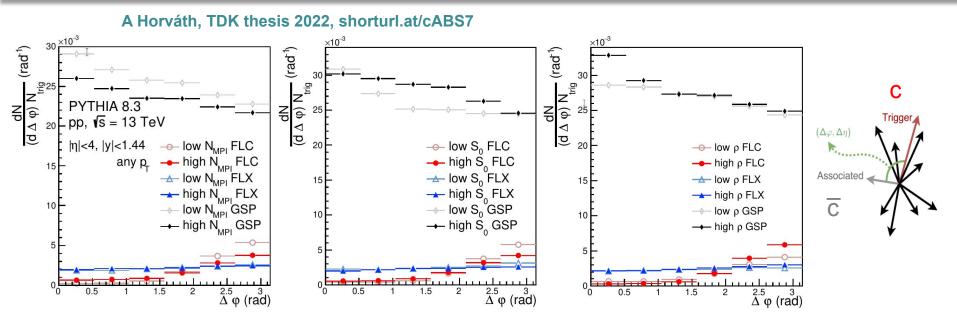
Similar observations in NLO calculations (3-prong) as LO (2-prong + radiations)

Origin of correlations: HF pQCD processes



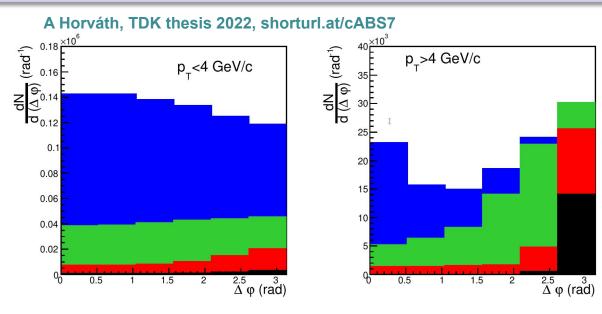
- Near-side peak: mostly produced by gluon splitting
- Away-side peak: mostly produced by back-to-back flavor creation

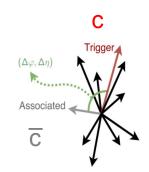
Origin of correlations: HF pQCD processes



- Near-side peak: mostly produced by gluon splitting
- Away-side peak: mostly produced by back-to-back flavor creation
- Low flattenicity enhances both peaks, represents high N_{MPI} but more distinctive
 → Flattenicity is a great tool to select certain physics processes

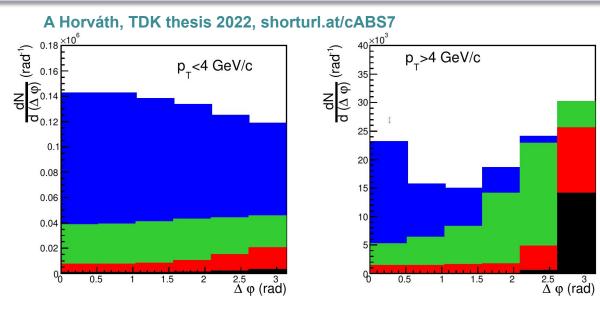
Origin of correlations: parton-level processes

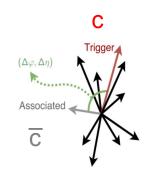




- Contributions strongly depend on p_T
- Away side: Hard process, ISR, MPI
- Near-side: mostly by FSR

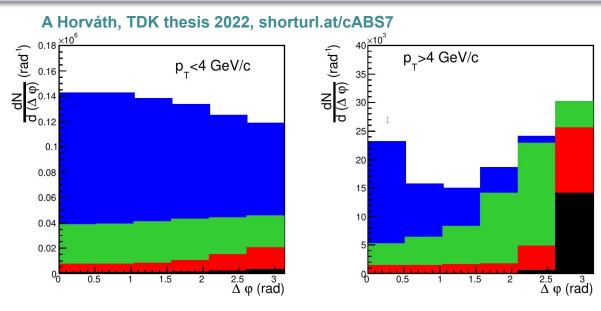
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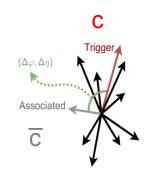




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- Can we select partonic processes based on event shape?

Origin of correlations: parton-level processes



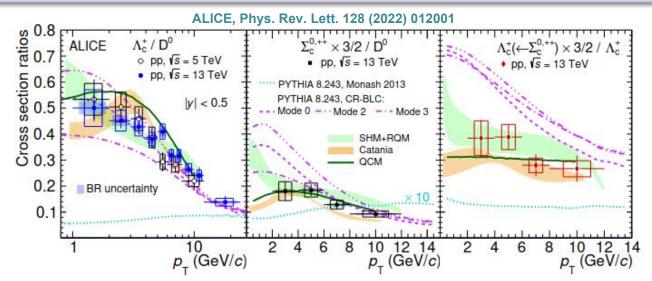


Calculations with PYTHIA 8 (LO)

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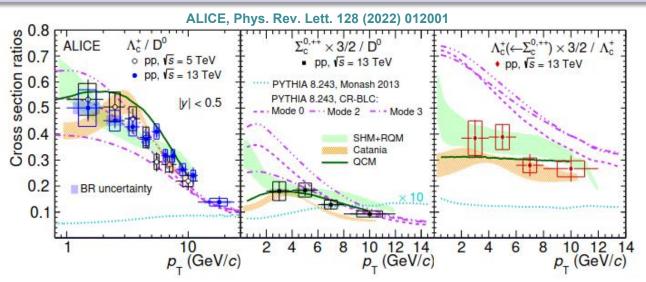
Anikó Horváth Event-shape-dependent cc analysis FLASH TALK: Tuesday 14:15 POSTER: 16:00

Charm baryon enhancement



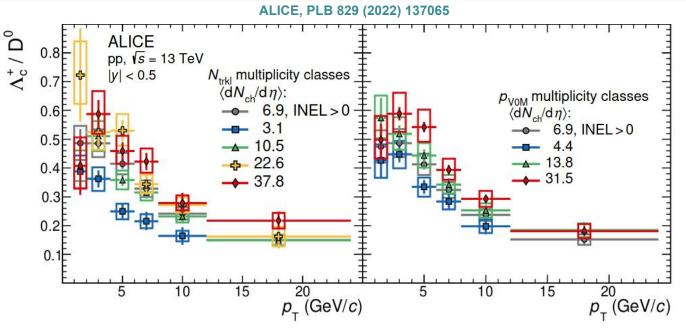
Charm baryon to meson ratios: sensitive probes of fragmentation

Charm baryon enhancement



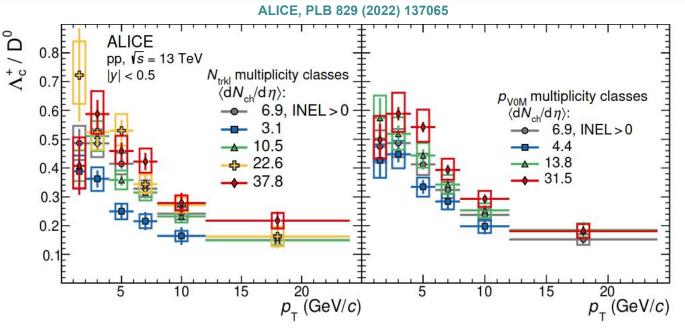
- Charm baryon to meson ratios: sensitive probes of fragmentation
- Λ_c/D^0 and Σ_c/D^0 underestimated by models based on factorization approach with fragmentation functions from ee collisions: **HF fragmentation universality broken!**
 - PYTHIA 8 CR-BLC: string formation beyond leading color approximation
 - Catania: fragmentation + coalescence of charm and light quarks
 - SH model + RQM: feed-down from augmented set of charm-baryon states
 - QCM: coalescence model based on statistical weights + "equal quark-velocity"

Charm baryon enhancement vs. multiplicity



- The enhancement in Λ_c/D^0 depends on the final state multiplicity at mid- and forward rapidity.
- Goal: Understand the origin of the enhancement with detailed event activity studies.

Charm baryon enhancement vs. multiplicity

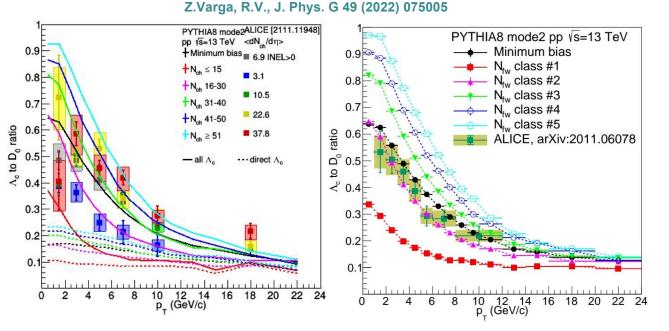


• The enhancement in Λ_c/D^0 depends on the final state multiplicity at mid- and forward rapidity.

- Goal: Understand the origin of the enhancement with detailed event activity studies.
- Does it come from the jet or the underlying event?

 \rightarrow Test sensitivity using predictions with PYTHIA 8 with the CR-BLC model.

$\Lambda_{\rm C}/{\rm D}^{0}$ ratios vs. central and forward multiplicity

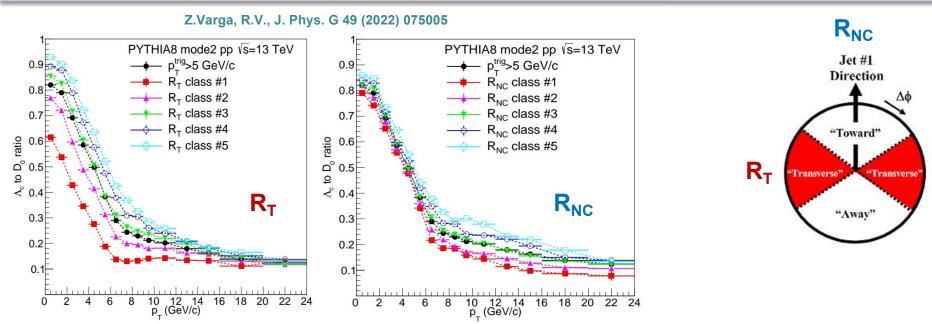


 $\Lambda_{c}(qqc), I = 0$

class	#1	#2	#3	#4	<mark>#5</mark>
$N_{ m ch}$	≤ 15	16-30	31-40	41-50	≥ 51
$N_{\rm fw}$	≤ 45	46-90	91-120	121 - 150	≥ <mark>1</mark> 51
R_{T}	$<\!0.5$	0.5 - 1	1 - 1.5	1.5 - 2	>2
$R_{\rm NC}$	$<\!0.5$	0.5 - 1	1 - 1.5	1.5-2	>2
S_0	0-0.25	0.25 - 0.45	0.45 - 0.55	0.55 - 0.75	0.75-1

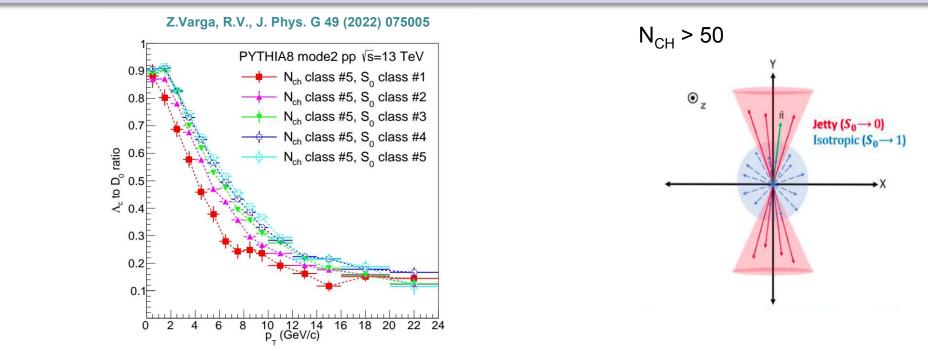
- Simulation results in agreement with the ALICE experimental data
- For N_{fw}: a rapidity gap reduces correlation between leading hard processes and multiplicity
- Multiplicity dependence not driven by charm production in jets.
- Recently observed multiplicity trends also predicted
- p_T dependence may be sensitive to baryon type

$\Lambda_{\rm C}/{\rm D}^{0}$ ratios vs. UE and jet activity (triggered)



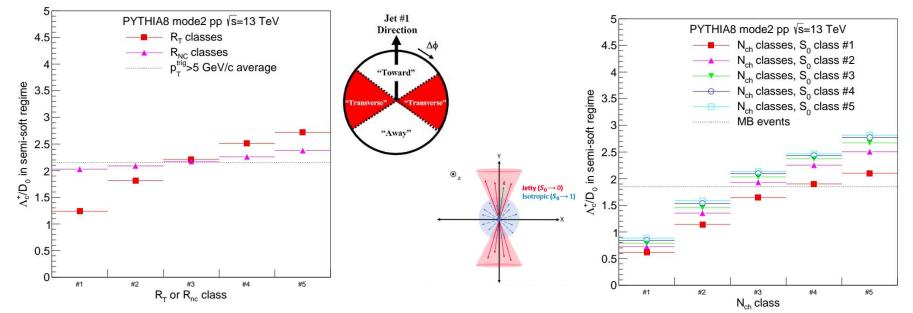
- Events require $p_T > 5$ GeV/c hadron trigger.
- Significant difference is observable in case of R_T (UE activity).
- No significant difference when classified by R_{NC} classes (jet activity).

$\Lambda_{\rm C}/{\rm D}^{\rm 0}$ ratios vs. spherocity (minimum bias)



- Spherocity provides a measure for the jettines/isotropy of events.
- Significant difference is observed for different spherocity classes at fixed eventmultiplicity.

$\Lambda_{\rm C}/{\rm D}^{\rm 0}$ ratios - triggered vs. minbias



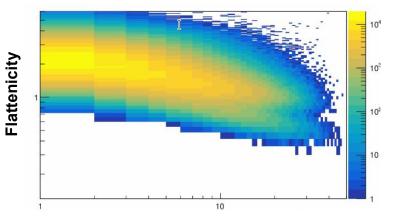
 R_T , R_{NC} ; $p_T^{trigger} > 5 \text{ GeV/c}$

- Hard process required
- Strong dependence of ratios on the UE activity,
- No pronounced dependence on the jet multiplicity.
- Trigger biases sample and decreases statistics

- S₀: Jettiness in minimum-bias events
- No need to use a trigger
- At high final-state multiplicity: ratio depends on jettiness,
- Low final-state multiplicity: dependence is minute

$\Lambda_{\rm C}/{\rm D}^{\rm 0}$ ratios - flattenicity?

E.Frajna, AEPSHEP 2022 poster, shorturl.at/r2489



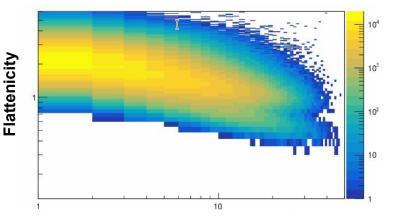
Transverse multiplicity

Flattenicity:

- Correlates well with N_{MPI} and N_{trans}
- Works in minimum-bias events
- Proven to be selective for specific heavy-flavor processes
- Is it useful to address the charmed-baryon enhancement?

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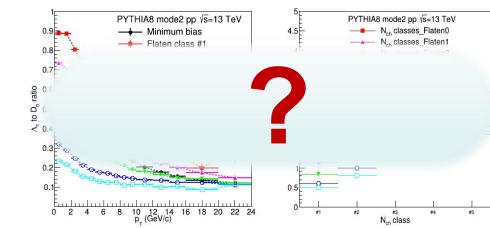


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Zoltán Varga Event-shape-dependent cc analysis FLASH TALK: Thursday 14:18 POSTER: 16:00



Heavy-flavor probes in small systems

- Collective behavior likely caused by complex but "cold" QCD processes
- Detailed, event-shape dependent probes help in understanding these
- Process-level analysis possible using simulations

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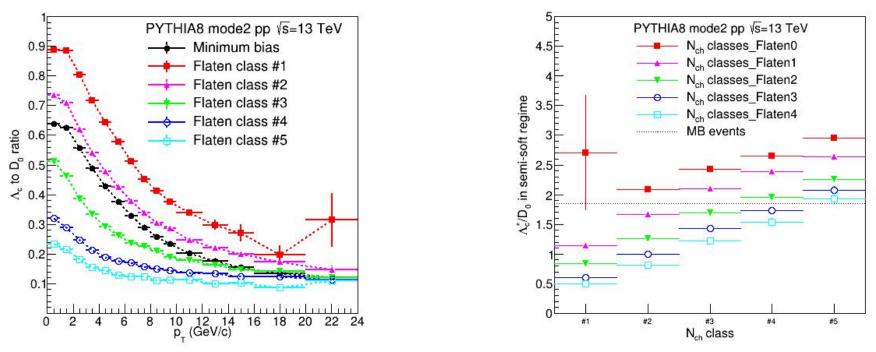
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New era with LHC Run3 and future ALICE3 experiment

- Simulations provide predictions and test sensitivity
- Ultimately, predictions can be verified only with detailed experimental data



$\Lambda_{\rm C}/{\rm D}^{\rm 0}$ yield for different flatenicity classes



- For lower flatenicity, the event is more flat up to high rapidities.
- The Λ_c/D^0 ratio decreases with increasing flatenicity.
- Flatenicity correlates with N_{ch}, might be a better quantity than spherocity to represent MPI.