Search for Hidden And Dark Objects With the SPS

(1) INFN, Sezione di Napoli, Napoli, Italy

```
(2) Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany
(3) INFN, Sezione di Ferrara, Ferrara, Italy
(4) INFN Laboratori Nazionali di Frascati, Frascati (Rome), Italy
(5) INFN, Sezione di Roma III, Roma, Italy
(6) Johannes Gutenberg Universitat Mainz, Mainz, Germany
(7) INFN, Sezione di Bologna, Bologna, Italy
(8) CERN, European Organization for Nuclear Research, CH-1211 Geneva 23, Switzerland
(9) Karlsruhe Institute of Technology (KIT), Karlsruhe, Germany
```

(10) PARTREC and University of Groningen, Groningen, The Netherland

(11) University of Freiburg, Freiburg, Germany

(12) Charles University, Prague, Czech Republic

(13) Royal Holloway, University of London, UK

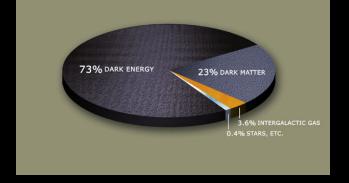
(14) INFN, Sezione di Roma1, Roma, Italy

(15) University of Bologna, Bologna, Italy

(16) University of Lancaster, Lancaster, UK

+ the invaluable support of the CBWG, ECN3 Task Force, NA-CONS team, and CERN EP-DT Group.

ECFA meeting – 17 November 2023

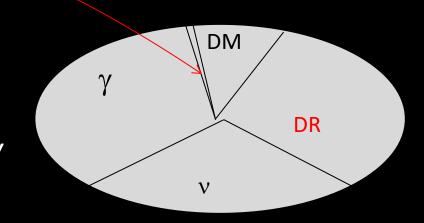


Evidence for New Physics

Atoms

In Energy chart they are 4%.

In number density chart $\sim 5 \times 10^{-10}$ relative to γ



We have no idea about DM number densities. (WIMPs $\sim 10^{-8}$ cm⁻³; axions $\sim 10^{9}$ cm⁻³. Dark Radiation, Dark Forces – Who knows!).

Lack of precise knowledge about nature of dark matter leaves a lot of room for existence of dark radiation, and dark forces – dark sector in general.

New IR degrees of freedom = light (e.g. sub-GeV) BSM states

Typical BSM model-independent approach is to include all possible BSM operators once very heavy new physics is integrated out:

```
L_{SM+BSM} = -m_H^2 (H^+_{SM} H_{SM}) + \text{all dim 4 terms } (A_{SM}, y_{SM}, H_{SM}) + (\text{W.coeff.} / \text{L}^2) \times \text{Dim 6 etc } (A_{SM}, y_{SM}, H_{SM}) + \dots
all lowest dimension portals (A_{SM}, y_{SM}, H, A_{DS}, y_{DS}, H_{DS}) \times \text{portal couplings}
+ \text{dark sector interactions } (A_{DS}, y_{DS}, H_{DS})
SM = \text{Standard Model}
DS - \text{Dark Sector}
```

Golden rule of any EFT approach: first look at low-dim operators!

The Portal Framework

Expand the SM with the minimal set of operators of lowest dimension gauge-invariant and renormalizable (all but the pseudo-scalar). This guarantees that the theoretical structure of the SM is preserved and any NP is just a simple (natural?) extension of what we already know.

Portal	Coupling
Dark Photon, A_{μ}	$-\frac{\epsilon}{2\cos\theta_W}F'_{\mu\nu}B^{\mu\nu}$
Dark Higgs, S	$(\mu S + \lambda S^2)H^{\dagger}H$
Axion, a	$\frac{a}{f_a}F_{\mu\nu}\tilde{F}^{\mu\nu}$, $\frac{a}{f_a}G_{i,\mu\nu}\tilde{G}_i^{\mu\nu}$, $\frac{\delta_{\mu}a}{f_a}\overline{\psi}\gamma^{\mu}\gamma^5\psi$
Sterile Neutrino, N	$y_N LHN$

They are representative of broad classes of models: Each may predict distinct texture of New Physics interactions:

What are Feebly-Interacting Particles (FIPs)?

Very roughly:

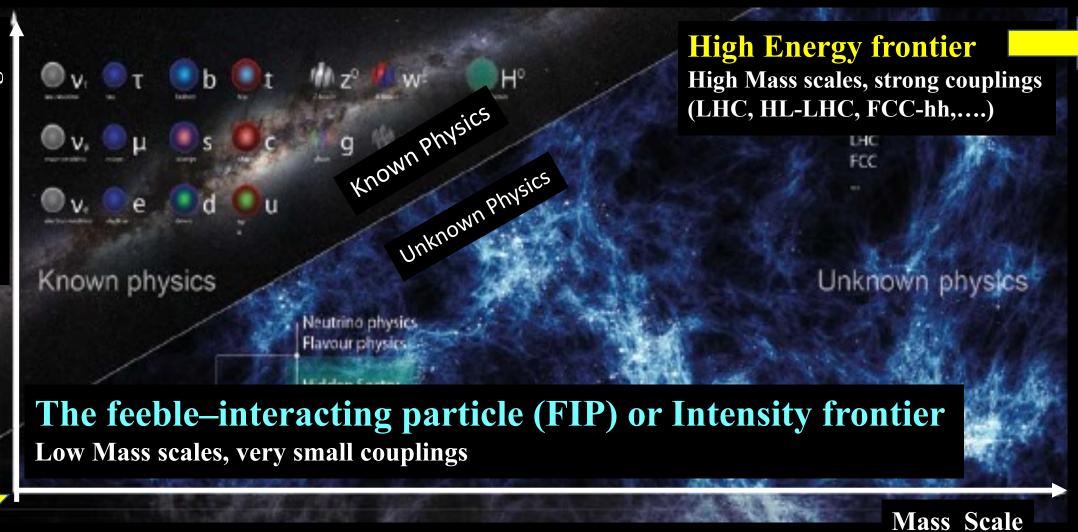
any NP with (dimensional or dimensionless) effective couplings << 1

[The smallness of the couplings can be generated by an approximate symmetry almost unbroken, and/or a large mass hierarchy between particles (as data seem to suggest)]

Fully complementary to high-energy searches.

Naturally long-lived.

The Quest for New Physics



European Strategy for Particle Physics recommendations

https://cds.cern.ch/record/2721370/files/CERN-ESU-015 2020%20Update%20European%20Strategy.pdf



- 4. Other essential scientific activities for particle physics:
- a) The quest for dark matter and the <u>exploration of flavour and</u> <u>fundamental symmetries</u> are crucial components of the search for new physics.
- This search can be done in many ways, for example through precision measurements of flavour physics and electric or magnetic dipole moments, and searches for axions, <u>dark sector candidates and feebly interacting particles</u>.
- There are many options to address such physics topics including energy-frontier colliders, accelerator and non-accelerator experiments. A diverse programme that is complementary to the energy frontier is an essential part of the European particle physics Strategy.

SHADOWS and HIKE can explore simultaneously the <u>multi-TeV region via precision measurements</u> and <u>low-mass NP with very feeble couplings</u> becoming main players in the future CERN diversity programme.



SHADOWS: 20 very intense (and exciting) months...

EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH (CERN)

Expression of Interest, 6 January 2022

SHADOWS

Search for Hidden And Dark Objects With the SPS

Expression of Interest

W. Baldini⁽¹⁾, A. Balla⁽²⁾, J. Bernhard⁽³⁾, A. Calcaterra⁽²⁾, V. Cafaro⁽⁴⁾ N. Charitonidis⁽³⁾, A. Ceccucci⁽³⁾, V. Cicero⁽⁴⁾, P. Ciambrone⁽²⁾, H. Danielsson⁽³⁾, A. De Roeck⁽³⁾, F. Duval⁽³⁾, G. D'Alessandro⁽³⁾, G. Felici⁽²⁾, L. Foggetta⁽²⁾, L. Gatignon⁽⁵⁾, A. Gerbershagen⁽³⁾, V. Giordano⁽⁴⁾, G. Lanfranchi⁽²⁾, I. Lax⁽⁴⁾. 2 A. Montanari⁽⁴⁾, R. Murphy⁽³⁾, T. Napolitano⁽²⁾, A. Paoloni⁽²⁾, G. Papalino⁽²⁾ T. Rovelli⁽⁴⁾, A. Saputi⁽²⁾, S. Schuchmann⁽⁶⁾, F. Stummer⁽⁷⁾, G. Torromeo⁽⁴⁾, N. Tosi⁽⁴⁾, A. Vannozzi⁽²⁾.

> (1) INFN, Sezione di Ferrara, Ferrara, Italy (2) INFN, Laboratori Nazionali di Frascati, Frascati (Rome), Italy, (3) CERN

> > (4) INFN, Sezione di Bologna, Bologna, Italy

(5) University of Lancaster, Lancaster, UK

(6) University of Mainz, Germany

(7) Royal Holloway, University of London, UK

EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH (CERN)

Letter of Intent, 4 November 2022

SHADOWS

Search for Hidden And Dark Objects With the SPS

Letter of Intent

M. Alviggi⁽¹⁾, S. Bachmann⁽²⁾, W. Baldini⁽³⁾, A. Balla⁽⁴⁾, M. Biglietti⁽⁸⁾, V. Büscher⁽¹¹⁾, A. Calcaterra⁽⁴⁾, V. Cafaro⁽⁵⁾, N. Charitonidis⁽⁶⁾, A. Ceccucci⁽⁶⁾, V. Cicero⁽⁵⁾, P. Ciambrone⁽⁴⁾, H. Danielsson⁽⁶⁾, M. Dellapietra⁽¹⁾, A. De Roeck⁽⁶⁾, F. Duval⁽⁶⁾, G. Felici⁽⁴⁾, T. Ferber⁽⁷⁾, L. Foggetta⁽⁴⁾, M. Gatta⁽⁴⁾, A. Gerbershagen⁽¹³⁾, V. Giordano⁽⁵⁾, S. Hansmann-Menzemer⁽²⁾, P. Iengo⁽¹⁾, M. Iodice⁽⁸⁾, K. Jakobs⁽⁹⁾ M. Klute⁽⁷⁾, K. Köneke⁽⁹⁾, M. Koval⁽¹⁰⁾, G. Lanfranchi⁽⁴⁾ A. Laudrain⁽¹¹⁾, I. Lax⁽⁵⁾, B. Leverington⁽²⁾, P. Lichard⁽⁶⁾, K. Massri⁽⁶⁾, A. Montanari⁽⁵⁾, R. Murphy^(6,12), T. Napolitano⁽⁴⁾, F. Neuhaus⁽¹¹⁾, L. J. Nevay⁽⁶⁾ A. Paoloni⁽⁴⁾, G. Papalino⁽⁴⁾, U. Parzefall⁽⁹⁾, S. Ritter⁽¹¹⁾, T. Rovelli^(5,14), A. Saputi⁽³⁾, B. Schmidt⁽⁶⁾, M. Schott⁽¹¹⁾, H.C. Schultz-Coulon⁽²⁾, G. Sekhniaidze⁽¹⁾, F. Stummer^(6,12), G. Torromeo⁽⁵⁾, N. Tosi⁽⁵⁾, U. Uwer⁽²⁾, M. van Dijk⁽⁶⁾ A. Vannozzi⁽⁴⁾, R. Wanke⁽¹¹⁾, C. Weiser⁽⁹⁾, P. Wertelaers⁽⁶⁾, T. Zickler⁽⁶⁾ CERN-SPSC-2022-030 / SPSC-I-256

(1) INFN, Sezione di Napoli, Napoli, Italy (2) Heidelberg University, Heidelberg, Germany (3) INFN, Sezione di Ferrara, Ferrara, Italy (4) INFN. Laboratori Nazionali di Frascati, Frascati (Rome), Italy, (5) INFN, Sezione di Bologna, Bologna, Italy

(13) PARTREC and University of Groningen, Groningen, The Netherland (14) University of Bologna, Bologna, Italy

Technical Proposal, 18 August 2023

SHADOWS

Search for Hidden And Dark Objects With the SPS

Technical Proposal

M. Alviggi⁽¹⁾, S. Bachmann⁽²⁾, W. Baldini⁽³⁾, A. Balla⁽⁴⁾, M. Barth⁽²⁾, M. Biglietti⁽¹⁾, V. Büscher⁽⁶⁾, A. Calcaterra⁽⁴⁾, V. Cafaro⁽⁷⁾, M. Cavallina⁽³⁾, A. Ceccucci⁽⁸⁾, D. Chouhan⁽⁶⁾, V. Cicero⁽⁷⁾, P. Ciambrone⁽⁴⁾, H. Danielsson⁽⁸⁾ M. Della Pietra⁽¹⁾, C. Delogu⁽⁶⁾, A. De Roeck⁽⁸⁾, L. Dittmann⁽²⁾, F. Duval⁽⁸⁾ G. Felici⁽⁴⁾, T. Ferber⁽⁹⁾, L. Foggetta⁽⁴⁾, E. Gamberini⁽⁸⁾, M. Gatta⁽⁴⁾, A. Gerbershagen⁽¹⁰⁾, V. Giordano⁽⁷⁾, T. Gross⁽²⁾, S. Hansmann-Menzemer⁽²⁾. P. Iengo⁽⁵⁾, M. Iodice⁽⁵⁾, K. Jakobs⁽¹¹⁾, J. Kieseler⁽⁹⁾, M. Klute⁽⁹⁾, K. Köneke⁽¹¹⁾, M. Koval⁽¹³⁾, C. Langenbruch⁽²⁾, G. Lanfranchi⁽⁴⁾, A. Laudrain⁽⁶⁾, I. Lax⁽⁸⁾ T. M. Leeflang⁽²⁾, G. Lehmann Miotto⁽⁸⁾, B. Leverington⁽²⁾, P. Lichard⁽⁸⁾, K. Massri^(8,16), A. Montanari⁽⁷⁾, T. Napolitano⁽⁴⁾, A. Paoloni⁽⁴⁾, G. Papalino⁽⁴⁾, U. Parzefall⁽¹¹⁾, B. Ponzio⁽⁴⁾, B. Regnerv⁽⁹⁾, S. Ritter⁽⁶⁾, S. Rosati⁽¹⁴⁾ T. Rovelli^(15,7), S. Rov⁽²⁾, A. Saputi⁽³⁾, B. Schmidt⁽⁸⁾, M. Schott⁽⁶⁾, D. Schub⁽²⁾ H.C. Schultz-Coulon⁽²⁾, G. Sekhniaidze⁽¹⁾, R. Stamen⁽²⁾, G. Torromeo⁽⁷⁾, N. Tosi⁽⁷⁾ N. Trevisani⁽⁶⁾, U. Uwer⁽²⁾, A. Vannozzi⁽⁴⁾, C. Wang⁽⁶⁾, R. Wanke⁽⁶⁾, J. Webb⁽¹¹⁾, C. Weiser⁽¹¹⁾, C. Welschoff⁽²⁾, P. Wertelaers⁽⁸⁾

Abstract

CERN-SPSC-2023-029 / SPSC-P-367

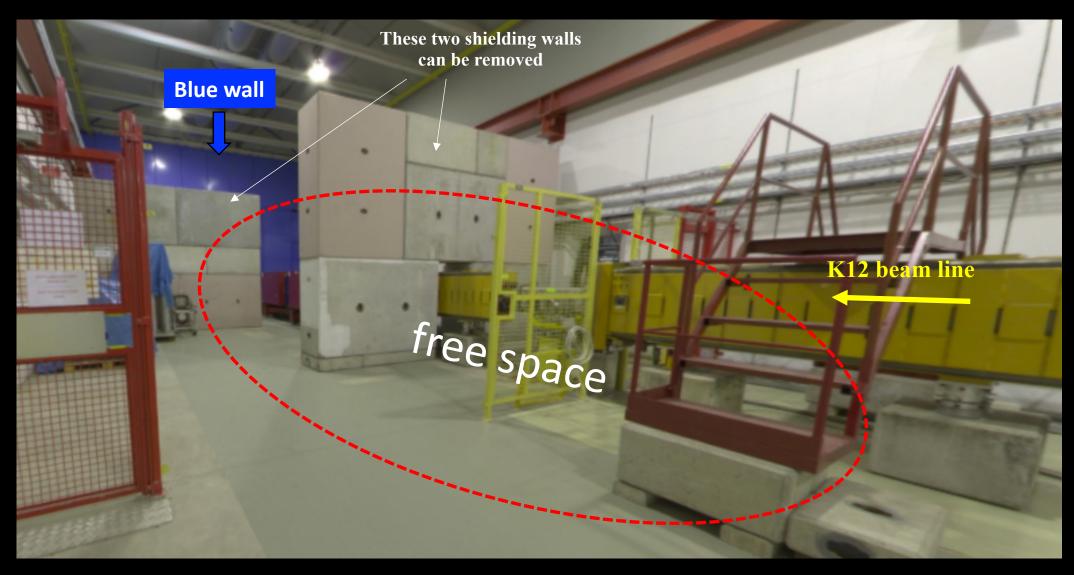
We propose a new proton beam-dump experiment, SHADOWS, to search for a large variety of feebly-interacting particles possibly produced in the interactions of a 400 GeV proton beam with a copper-iron based dump. SHADOWS will use the 400 GeV primary proton beam extracted from the CERN SPS currently serving the NA62 experiment in the CERN North area. SHADOWS will take data off-axis concurrently to the HIKE experiment when the P42 beamline is operated in beam-dump mode and aims to accumulate up to 5×10^{19} protons on target in about 4 integrated years of operation.

(7) Karlsruhe Institute of Technology, KIT, Germany (8) INFN, Sezione di Roma III, INFN, Italy (9) University of Freiburg, Freiburg, Germany (10) Charles University, Prague, Czech Republic (11) University of Mainz, Germany (12) Royal Holloway, University of London, UK

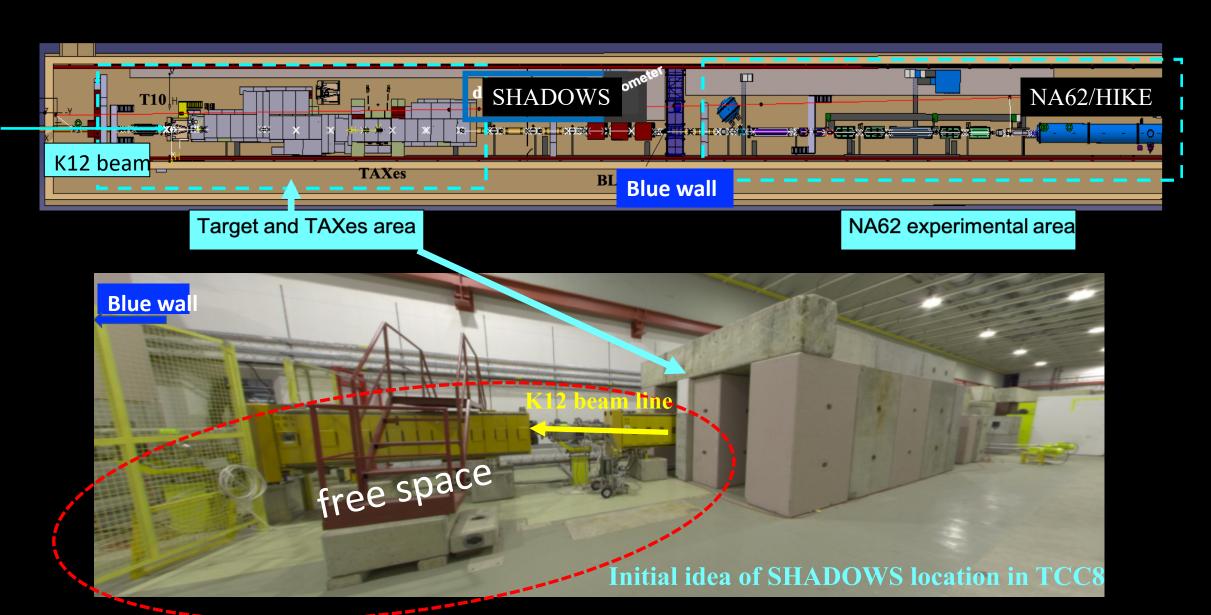
From the Expression of Interest (Jan 22) \rightarrow Proposal (Aug. 23) the collaboration almost tripled (about 80 collaborators, 16 institutions)



From the first idea (Jan 2022)....

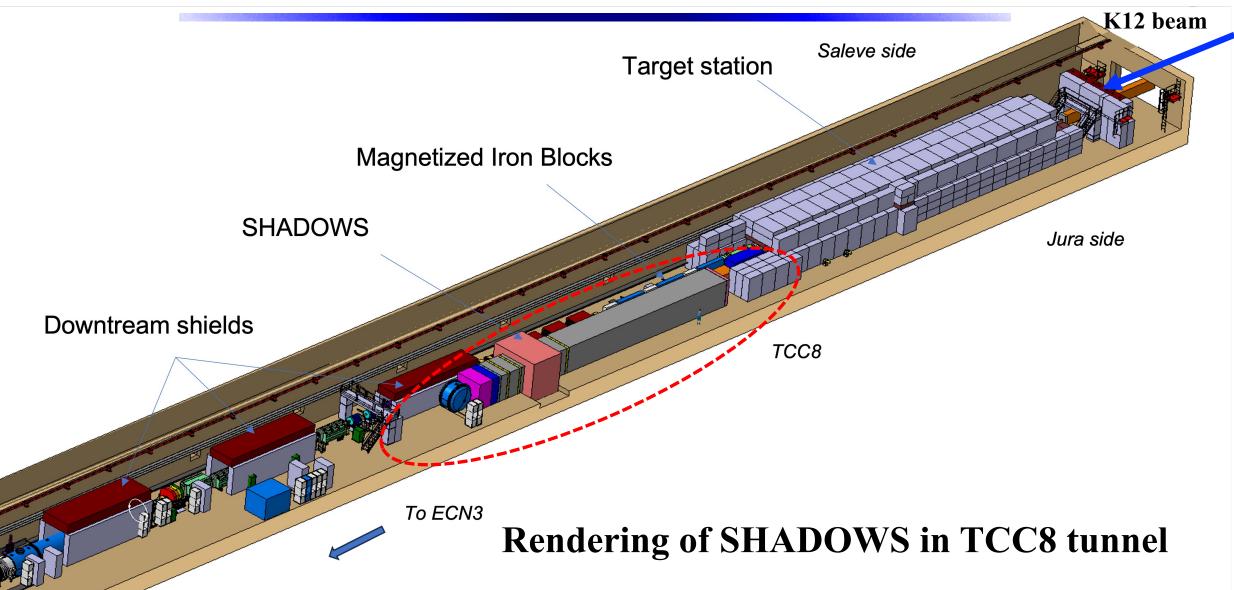


From the first idea (Jan 2022)....





.. to a preliminary but complete project...





documented in 223 pages of the Technical Proposal (Aug. 23)

7 Integration

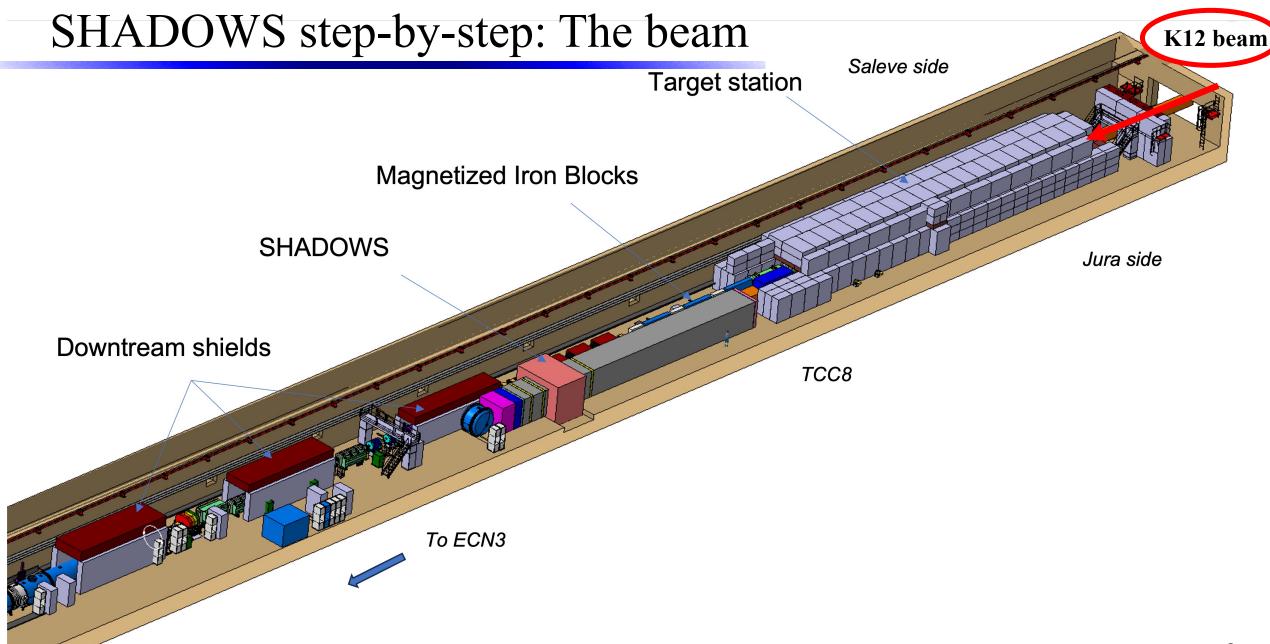
~	v	11	u	C	11	·	o	

1 Introduction	1					10 FIP physics reach
		5.5 Dipole Magnet	60	7.1 Accessibility	113	10.1 Theoretical framework
2 Beam line and target area	5	5.5.1 Warm option	61	7.2 Civil Engineering	115	10.2 Worldwide context
2.1 Beam line description	6	5.5.2 Superconducting option	69	7.3 Transport and handling	115	10.3 SHADOWS physics reach
2.2 Current intensity and known limitations	8	5.5.3 Preliminary cost estimate for the warm option	74	7.4 Services	116	10.3.1 Computation of the physics reach
2.3 Future intensity	8	5.6 Tracker	75	8 Monte Carlo simulation	110	Light dark scalar mixing with the
2.4 The new target complex	10	5.6.1 Physics requirements	75	8 Monte Carlo simulation 8.1 Monte Carlo framework	118	Sensitivity to ALPs with fermion of Sensitivity to Heavy Neutral Lept
•		5.6.2 Straw drift-tube technology	77		118	10.3.2 Results
3 The Magnetized Iron Block (MIB) muon sweeping system	12	5.6.3 Straw tubes	77	8.2 Beamline simulation	118	10.3.3 Impact of SHADOWS on the FIP
3.1 General idea	13	5.6.4 Detector concept and optimisation	78	8.3 Detector simulation	119	and a supplied of supplied of the supplied of
3.2 Updates in the Muon Sweeping System with respect to the LoI	14	5.6.5 Readout electronics and data-flow	79	8.4 Reconstruction	124	11 Neutrino physics reach
Stage 1 - Size reduction:	15	5.6.6 Required R&D	81	8.4.1 Tracking reconstruction	124	11.1 Background and Neutrino Fluxes
Stage 2 - Moving towards an integration friendly design:	15	5.6.7 Preliminary cost estimate	83	Reconstruction methods	124	11.2 Muon Neutrino Physics: Cross Sections, D
Stage 3 - Replacing the detector cover with a MIB:	16	5.7 Timing Detector	84	Reconstruction performance	124	Production 11.3 Tau Neutrino Physics: Discovery of An
General updates:	16	5.7.1 Physics requirements	84	8.4.2 ECAL reconstruction	129	Structure Functions, Anomalous Magneti
3.3 MIB system working principle	18	5.7.2 Technology description	84	Reconstruction methods	129	11.4 Tests of Lepton Universality and Searche
3.4 MIB muon background reduction	20	5.7.3 Detector concept	84	Results for benchmark scenarios	130	11.1 1000 of Depton Chrystalley and Scarcing
3.5 MIB design optimization process	21	5.7.4 Required R&D	84 85	8.5 Signal studies	134	12 Project Organization
3.6 MIB finite element layout	24			8.5.1 Signal samples	134	12.1 Schedule for R&D, construction, installat
3.7 MIB preliminary cost estimate	26	5.7.5 Preliminary cost estimate	85	8.5.2 Signal reconstruction and selection	136	12.2 Preliminary detector cost estimate and gr
3.7 MID premimary cost estimate	20	5.8 Electromagnetic calorimeter	87	8.6 Background studies	138	12.3 Present Status, Required R&D
4 Radiation levels in the SHADOWS area	27	5.8.1 Physics and Experimental Requirements	87	8.6.1 Background samples	138	13 Conclusions and Outlook
4.1 Presentation of the FLUKA geometry	27	5.8.2 Layout Options	87	8.6.2 Muon background	139	
4.2 Radiation to electronics considerations	28	5.8.3 ECAL Baseline Design	89	The beamline and its magnetic elements	139	14 Acknowledgements
4.3 Radiation Protection	21	5.8.4 ECAL Performance	90	Muon flux without the MIB	141	
4.3.1 Prompt radiation	32	5.8.5 Required R&D	90	Muon flux with the MIB	141	
4.3.2 Residual radiation	33	5.8.6 Preliminary cost estimate	91	Combinatorial muon background	148	
4.3.3 Soil activation	33	5.9 Muon system	93	Inelastic muon interactions	153	
	34	5.9.1 Physics requirements	93	8.6.3 Neutrino background	158	
4.3.4 Air activation	30	5.9.2 Detector layout	93	8.6.4 Summary of the background components	163	
5 The SHADOWS detector	37	5.9.3 Technology choice	94	8.7 Validation of the muon background simulation with data	164	
5.1 Detector concept	37	5.9.4 Required R&D	98	8.7.1 Summary of the 2021 measurement of the "on-axis" muon flux	164	
5.2 Physics requirements	41	5.9.5 Preliminary cost estimate	99	8.7.2 Measurement of the 2023 "off-axis" muon flux	167	
5.3 Decay vessel	41	5.10 Trigger and Data Acquisition System	101	The setup	167	
5.3.1 Overview and dimensions	42	5.10.1 Design principles	101	Beam line settings	170	
5.3.2 Flanges and O-ring compression	42	5.10.2 Preliminary cost estimate	104	Results from simulation	172	
0 0 1	46	512512 Tremmany cost estimate	101	Results from data	176	
5.4 Upstream and Lateral Vetoes	50	6 The Neutrino Subdetector System: NaNu	105	9 Evolution towards the TDR	180	
5.4.1 Physics requirements	50	6.1 Detector Concept	105	9.1 Decay volume filled with Helium	180	
5.4.2 Resistive Pad Micromegas	51	6.2 Expected Detector Performance	107	9.1 Decay volume fined with fieldin 9.2 Optimisation of the dipole magnet dimensions	180	
5.4.3 Detector concept	53	6.3 Preliminary Detector R&D	109	9.3 Optimisation of the MIB system	181	
5.4.4 Required R&D	55	6.4 Preliminary cost estimate	110	9.4 Background reduction for Super-NaNu	182	
5.4.5 Preliminary cost estimate	57	•		5.4 Dackground reduction for Super-Native	102	

5.4.6 Evolution toward the TDR

Higgs couplings physics programme eep Inelastic Scattering and Charm ti-Tau Neutrinos, Cross Sections c Moment for new physics tion, commissioning and operation 208







The high-intensity K12 beam line

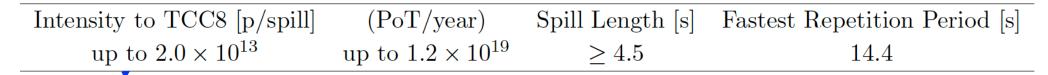
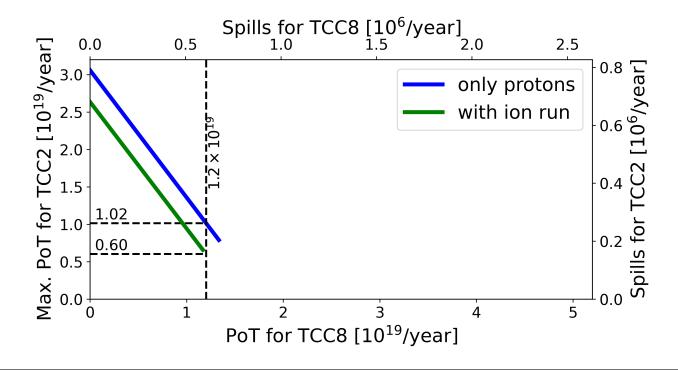


Table 1. Experimental requirements for HIKE/SHADOWS.

Up to a factor 7 more than the current intensity



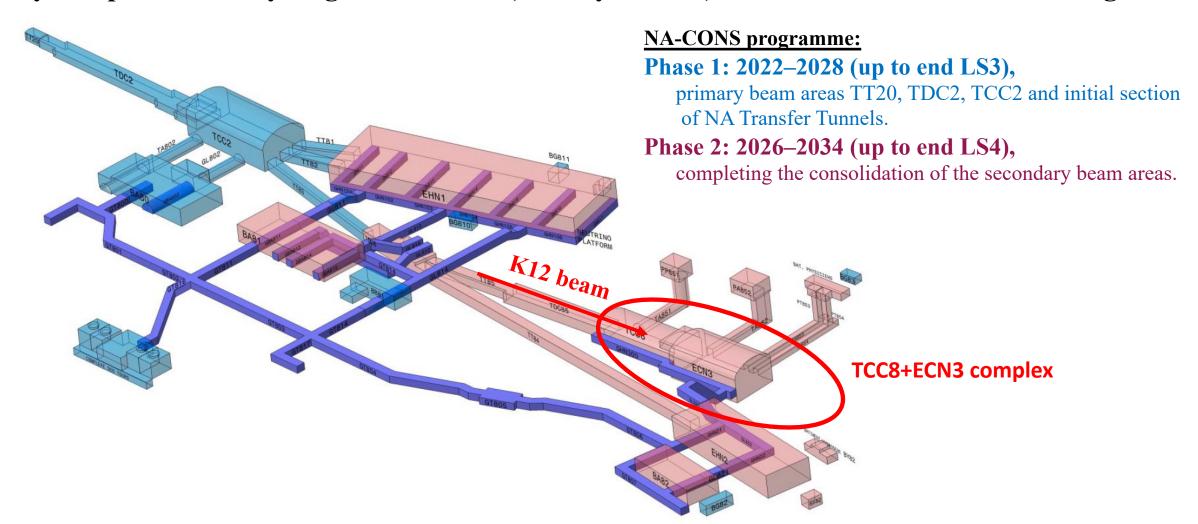
5x10¹⁹ protons-on-target (pot), with 4.8 sec long spills, can be delivered to ECN3 in 4 integrated years with a dedicated beam delivery for ECN3, and shared cycles to EHN1 and EHN2.

This annual yield is fully compatible with the current North Area operation.

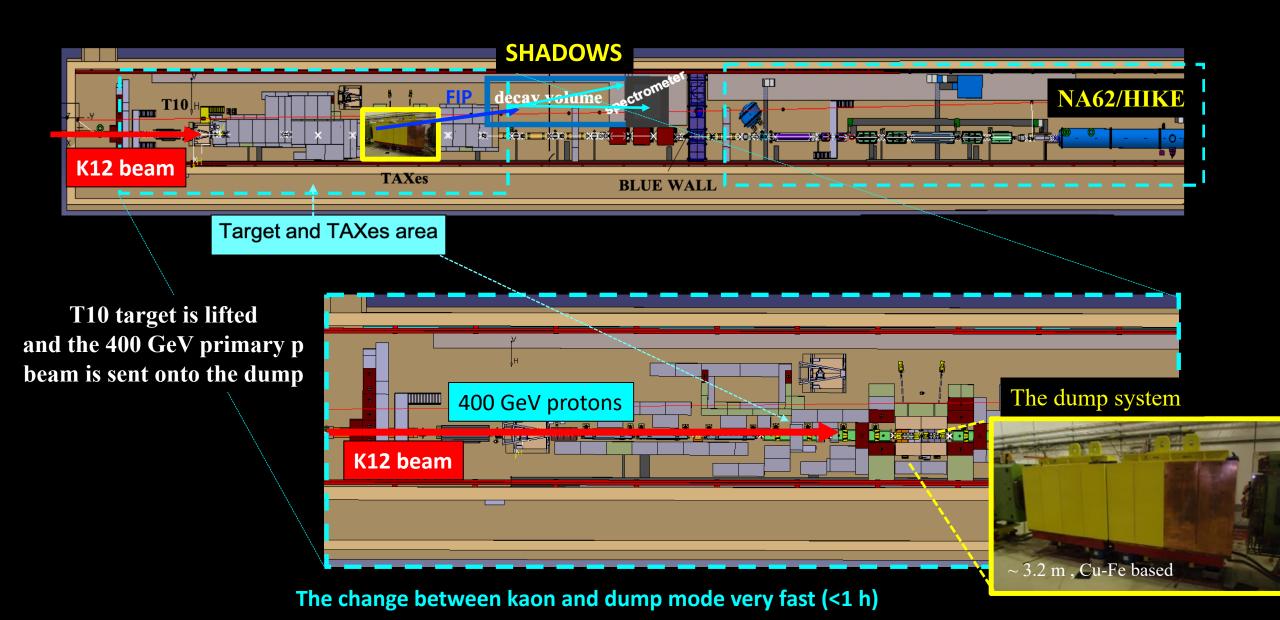


The high-intensity K12 beam line

Fully compatible and synergistic with the (already funded) North-Area Consolidation Programme:



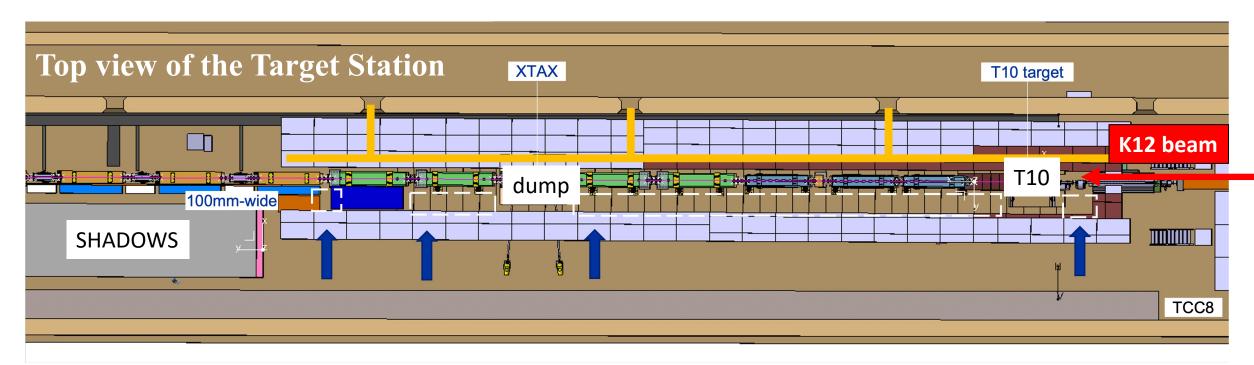
SHADOWS can operate when the K12 beam line runs in dump-mode





The Target Station: New design

The instantaneous and integrated beam intensities requested by HIKE/SHADOWS require the installation of a new target complex, associated cooling and ventilation systems, and shielding in TCC8.



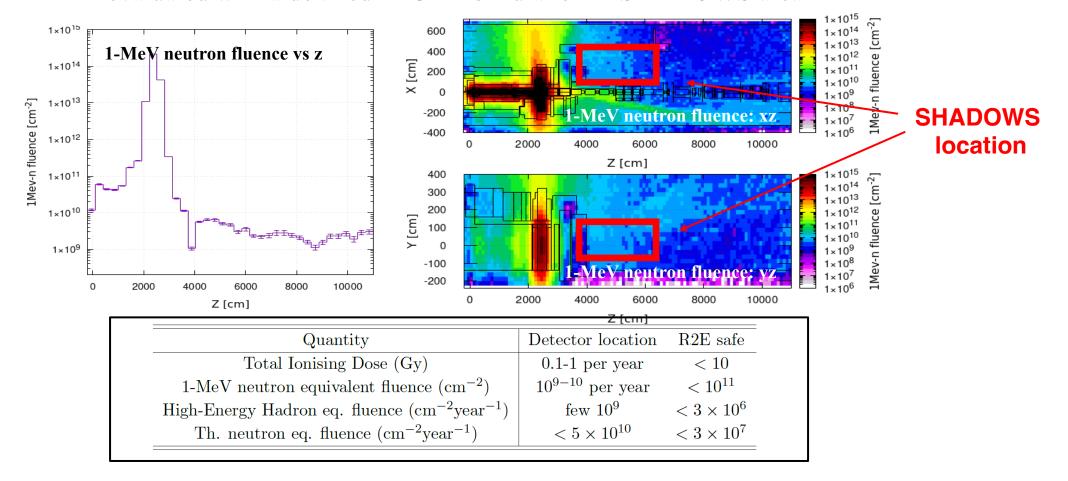
- Significant shielding improvement with respect to the current NA62 target system: optimised to reduce the prompt radiation above ground to comply with a Non-designated Area.
- A new TAX Cu-Fe based system needed: along with upgraded cooling and maintenance capabilities.
- Change from Kaon mode to beam dump mode very fast: mostly dominated by different magnet settings



Target station: Radiation Levels

C. Ahdida, L. Esposito, S. Niang, E. Nowack
CERN PBC ECN3 Task Force

1-MeV equivalent neutron fluence, high energy hadron fluence and thermal neutron fluence evaluated with a detailed FLUKA simulation in SHADOWS area



Radiation levels are not a show-stopper in the very-close-to-dump SHADOWS location

Radiation- tolerant electronics will have to be used in proximity of the SHADOWS detector Dedicated alchoves with iron/concrete shielding far from the dump for the off-detector electronics.



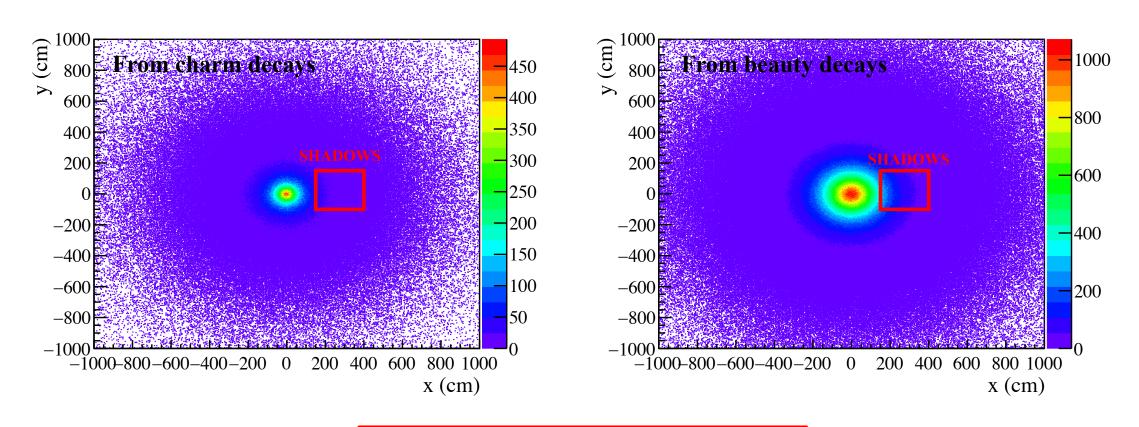
SHADOWS step-by-step: The Detector K12 beam Saleve side Target station Magnetized Iron Blocks SHADOWS Jura side Downtream shields TCC8 To ECN3

SHADOWS Main idea: Stay close & stay off-axis!

- Stay close to the dump:
 - to maximise acceptance for signals with a relatively small detector for FIPs emerging from beauty and charm hadron decays
- Stay off-axis with respect to the beam line:
 - to minimize acceptance for backgrounds (muons and neutrinos mostly peaked forward)

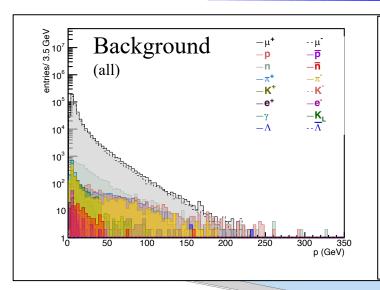
Why "off-axis" works: Signal (stay close!)

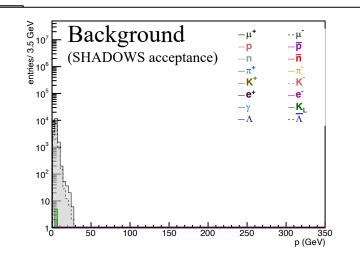
$HNL \rightarrow \pi \mu$ illumination @ first SHADOWS tracking station

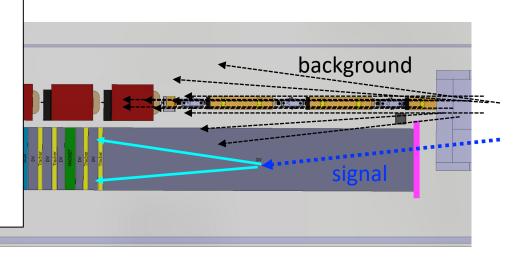


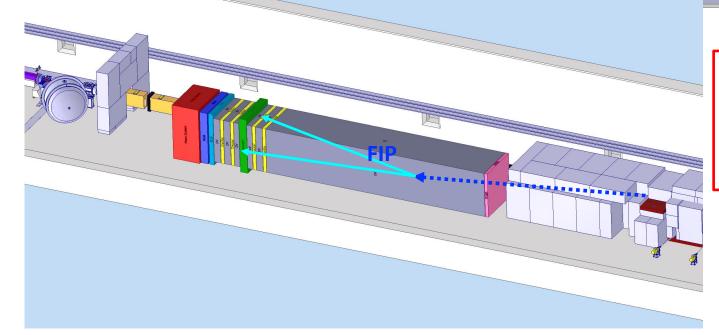
The closer you go the more you get.

Why "off-axis" works: Background (stay off-axis!)



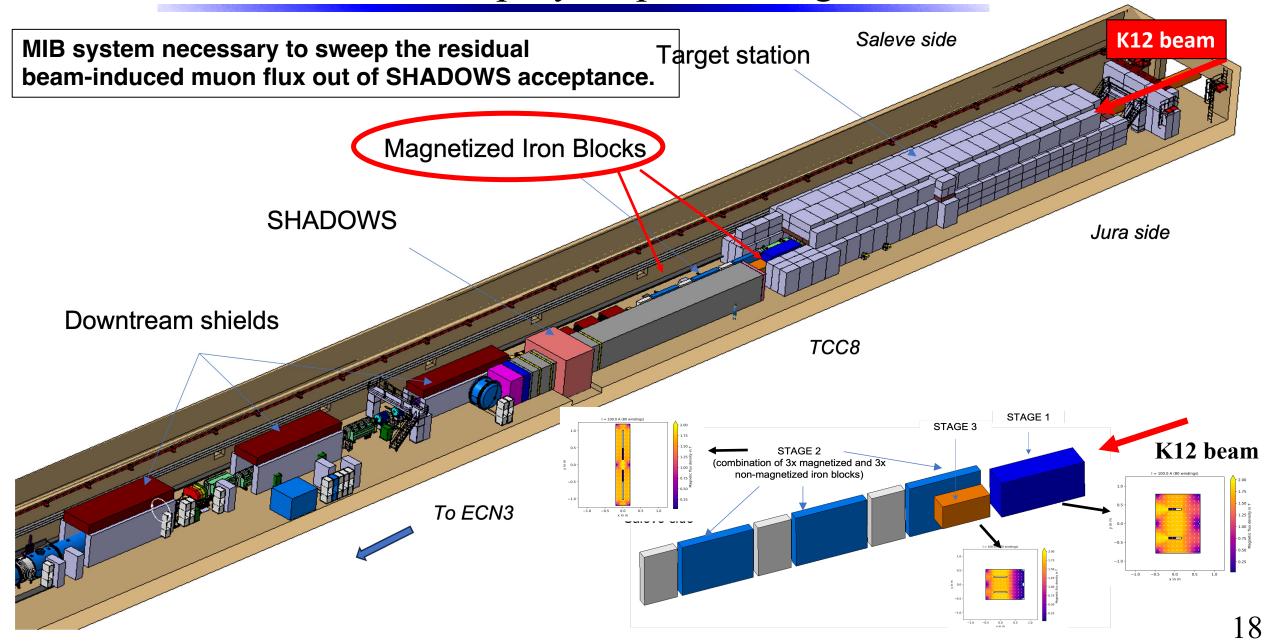






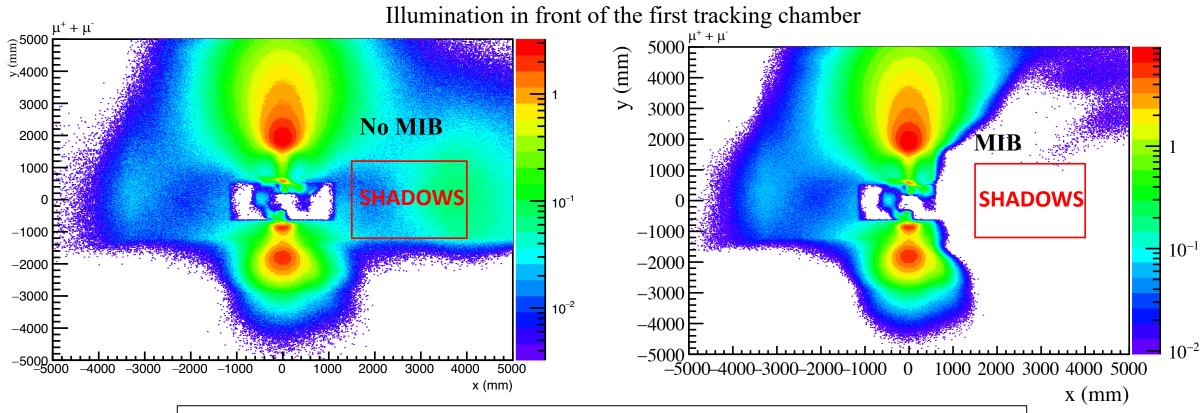
Most of the residual background emerging from TAXes are muons and neutrinos that are mostly produced forward (and miss SHADOWS acceptance).

SHADOWS step-by-step: The Magnetized Iron Blocks





The MIB muon sweeping system: Performance

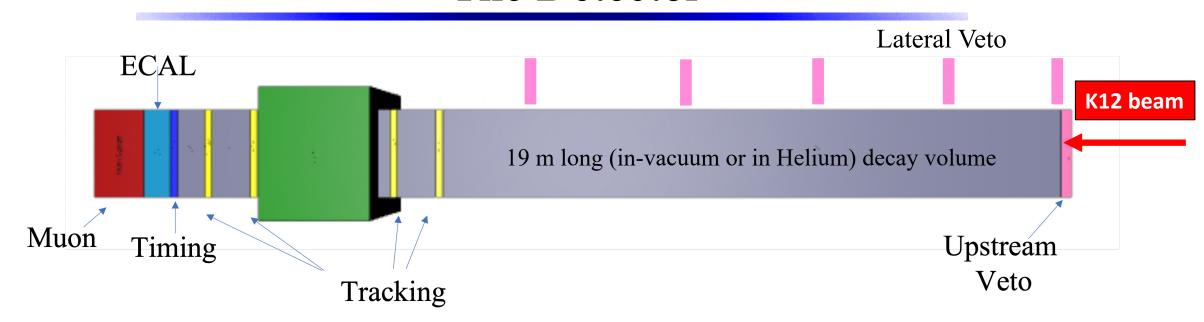


Muon flux reduction in SHADOWS acceptance from 150 MHz → 2 MHz

	$\mu^+ + \mu^-$	μ^+	μ^-
rate without MIB	$147~\mathrm{MHz}$	$81~\mathrm{MHz}$	$66~\mathrm{MHz}$
MIB reduction factor	~ 70	~ 58	~ 94
rate with MIB	$2.1~\mathrm{MHz}$	$1.4~\mathrm{MHz}$	$0.7~\mathrm{MHz}$



The Detector



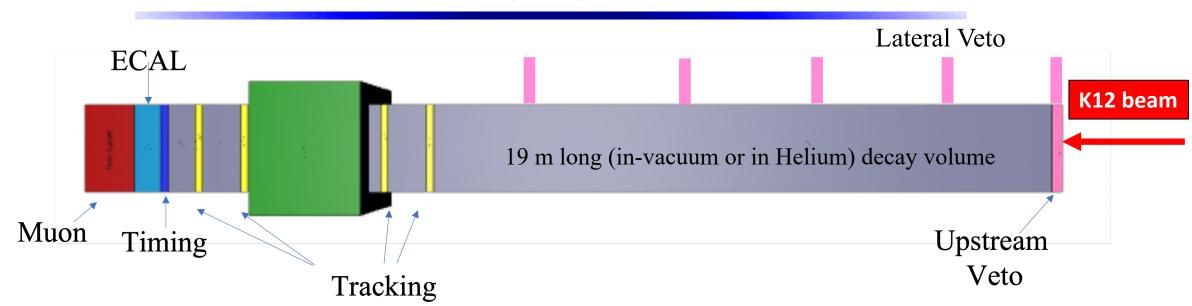
SHADOWS must be able to reconstruct and identify most of the visible final states of FIPs decays

Scalar portal	$\ell^{+}\ell^{-}, \pi^{+}\pi^{-}, K^{+}K^{-}$
Pseudo-scalar portal	$\ell^{+}\ell^{-}, \gamma\gamma, \pi^{+}\pi^{-}, K^{+}K^{-}$
Vector portal	$\ell^+\ell^-, \pi^+\pi^-, K^+K^-$
Fermion (neutrino) portal	$\ell^{\pm}\pi^{\mp}, \ell^{\pm}K^{\mp}, \ell^{\pm}\rho^{\mp}(\rho^{\mp} \to \pi^{\pm}\pi^{0}), \ell^{+}\ell^{-}\nu$

Standard spectrometer, with 19m long in-vacuum decay volume, 2.5x2.5m2 transverse area excellent tracking system, high resolution timing layer, ECAL with pointing capability, muon system and efficient vetoes



The Detector

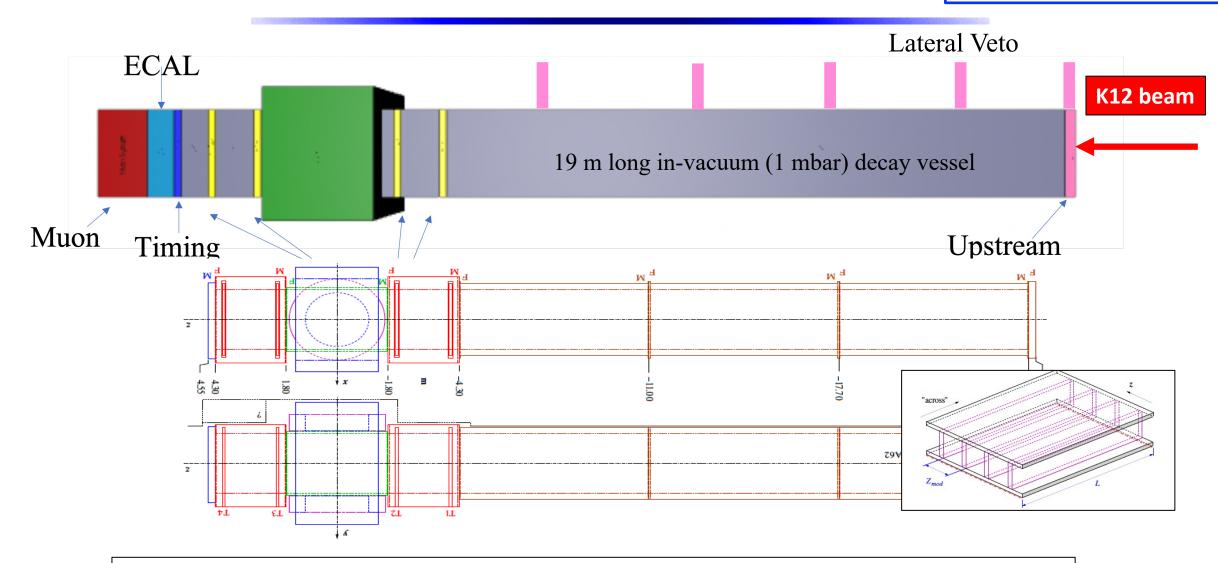


Important Remark:

- SHADOWS detectors are based on well known and established technologies.
- The detector readiness leverages on the **long-standing expertise of the groups involved**.
- Most of the groups have already built and operated prototypes or even full-size detectors, mostly at the LHC.
- > All these elements guarantee the readiness of the detector for data taking in 2030.

The decay vessel: in-vacuum option

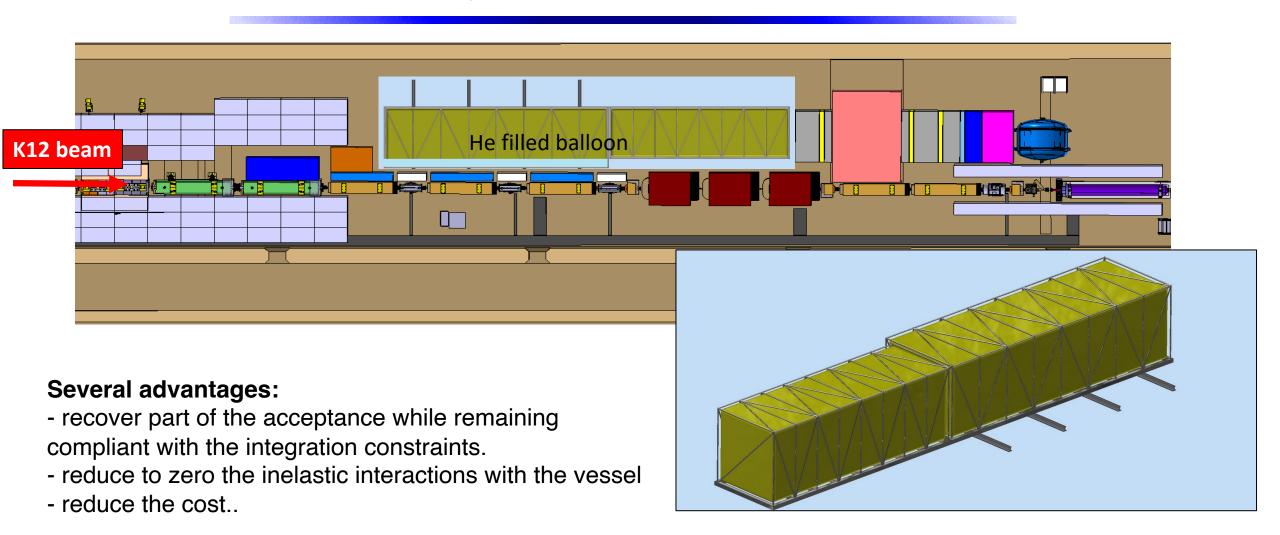
Design by Piet Wertelaers CERN EP-DT group



Fully engineered, modular, transportable, stainless-steal based, in-vacuum decay vessel anchored to the dipole magnet and containing the 4 tracking stations.



The decay vessel: Helium balloon



This layout will be the baseline for the TDR phase

The Detector: Upstream & Lateral Veto

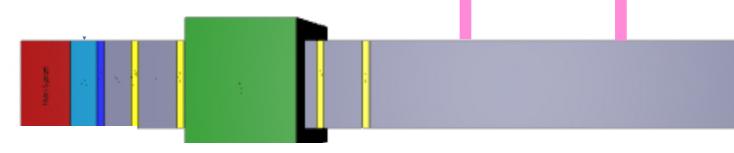
Institutes:

INFN-Roma3, INFN-Naples Expertise:

ATLAS new small wheels.

K12 beam





Goal:

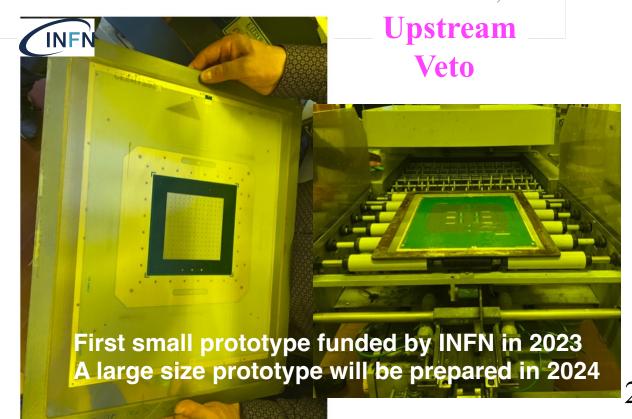
veto muons that enter the decay vessel escaping the MIB system

Technology:

Double layer of micromegas detectors:

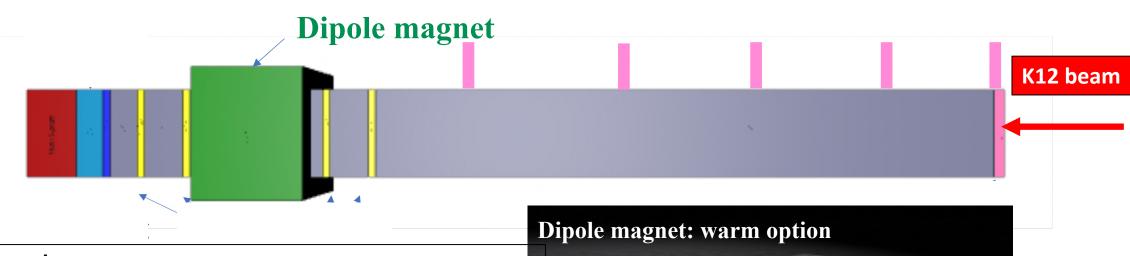
- efficiency > 99.8%
- space resolution: o(1) mm
- time resolution: o(10) ns
- rate capability: up to 10 MHz /cm²

Requirements fully satisfied.



The Detector: Dipole Magnet

Design by Piet Wertelaers CERN EP-DT group

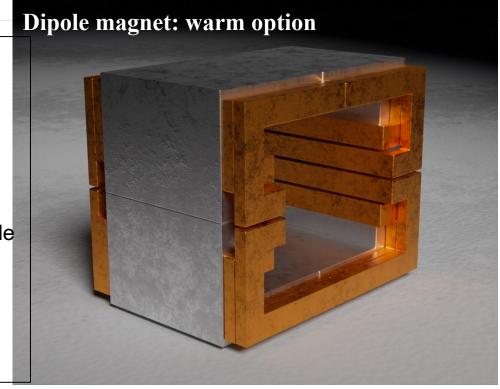


Requirements:

- field integral ~1 Tm (similar to NA62 dipole magnet MNP33)
- low power consumption
- 2.7x2.7 m aperture

Two solutions:

- warm option (baseline):
 - dissipated power: 287 kW, 10x less than MNP33 NA62 dipole
 - copper-based coil, iron-based yoke
- superconducting option
 - compelling & innovative, will be studied for the TDR (collaboration between CERN-EP and ATS sector being put in place for experiment-oriented SC magnets)



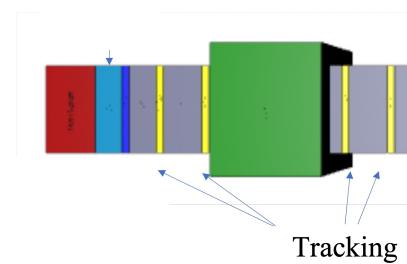


The Detector: Tracking Stations

Institutes: University of Heidelberg, CERN

Expertise: LHCb Outer Tracker,

LHCb SciFi Tracker, NA62 straw tracker



Main goal:

Reconstruct signals & reject background with at least 2 tracks

Requirements:

Vertex resolution o(1) cm over ~20 m IP resolution o(1) cm at ~35 m distance Mass resolution: 1-2% mass

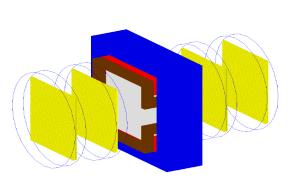
Baseline technology:

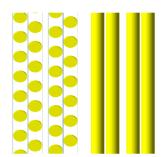
Straw Tubes in vacuum (NA62-like)

(Scintillating fibres technology under consideration) Four stations, 2 views each, 4 layers per view

Technology Requirements

 $\begin{array}{c|c} \text{Single hit resolution} & < 150 \, \mu \text{m} \\ \text{Single hit efficiency} & > 98\% \\ \text{Material per stereo-layer} & < 0.1\% \, X_0 \\ \text{Rate capability (hot spot)} & 200 \, \text{Hz/cm}^2 \\ \text{Rate capability (total)} & 4 \, \text{MHz} \\ \end{array}$







SHADOWS **Institutes: University of Heidelberg, CERN** The Detector: Tracking Performance **Expertise: LHCb Outer Tracker,** LHCb SciFi Tracker, NA62 straw tracker K12 beam Tracking **Mass resolution Vertex resolution** Impact parameter resolution σ_{mass} [MeV] no. of ALPs 45 35 30 $\sigma(M)/M = 1\%$ $\sigma_x(\text{vertex}) = 0.5-4.5 \text{ mm}$ $\sigma_y(\text{vertex}) = 0.2-1.0 \text{ mm}$ 20 0 10 20 I.P. reco - I.P. true [mm] 15 ₂ | σ(P) = 3 mm 1.5 10 0.5 20 60 1000 2000 3000 4000 100 impact parameter [mm] invariant mass [MeV]

Requirements fully satisfied.

vertex position z [m]

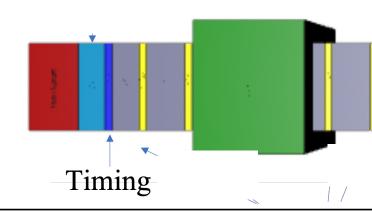
The Detector: Timing layer

Institutes: University of Freiburg

Expertise: fast timing silicon-based

K12 beam

detectors for ATLAS ITk.



Goals:

reject muon combinatorial background requiring fast time coincidence

Requirements:

Time resolution of o(100) ps

Baseline solution:

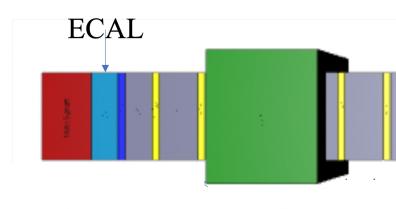
plastic scintillating bars with direct sipm readout about 1 cm thickness, 6 cm width, 1.26 m length, thereby covering half of the 2.5 x 2.5 m² acceptance. Proved to reach < 100 ps time resolution.



The Detector: ECAL

Groups: Mainz cluster of excellence, Karlsruhe Institute of Technology; ASIC developed by Heidelberg. Expertise: NA62 hadron calorimeter, CMS ECAL.

K12 beam



Render of the GEANT4 geometry of the SHADOWS ECAL.

Requirements:

Moderate energy resolution:

10-15% / sqrt(E(GeV)

Particle ID via E/p measurement

Pointing capability for fully neutral

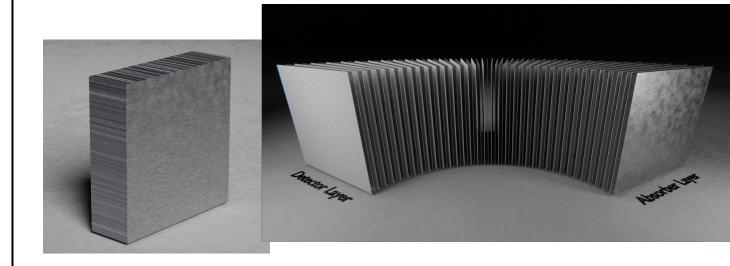
final state (eg: ALP-> gg)

Time resolution: o(1) ns.

Baseline solution:

StripCAL: 2.5 m long, 1cm wide, 1 cm thick strips in x,y directions read out with WLS fibres+sipms Alternating with iron layers, 9 mm thick.

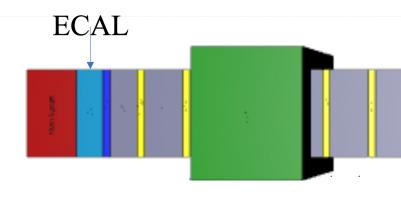
20 X₀ total depth to avoid shower leakage



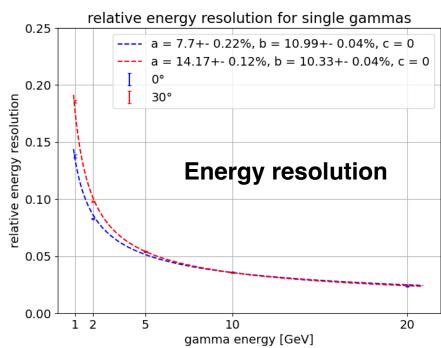


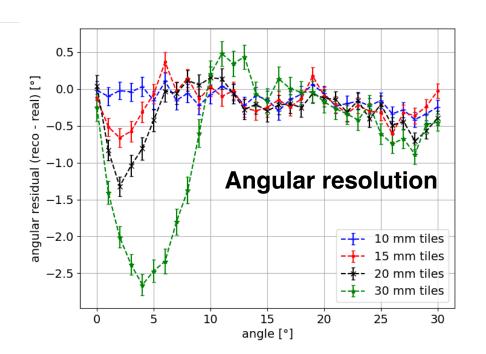
The Detector: ECAL - performance

Groups: Mainz cluster of excellence, Karlsruhe Institute of Technology; ASIC developed by Heidelberg. **Expertise:** NA62 hadron calorimeter, CMS ECAL.









Requirements fully satisfied.

SHADOWS

The Detector: Muon System

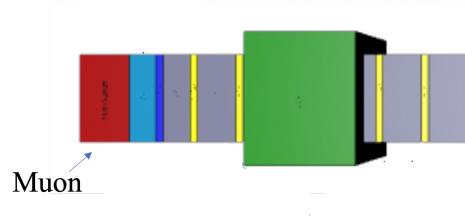
Groups: INFN-LNF, INFN-Bologna,

K12 beam

INFN-Ferrara

Expertise: LHCb muon system,

CMS muon system



Goal:

identify muons and reduce muon combinatorial background via timing measurement.

Technology:

3 stations of scintillating tiles with direct sipm readout Interleaved by iron filters. Measured 250 ps resolution per station.

Two full-size modules already funded by INFN in 2023 and used to measure the off-axis muon flux in ECN3 during the June 2023 campaign (see later).

Requirements fully satisfied.

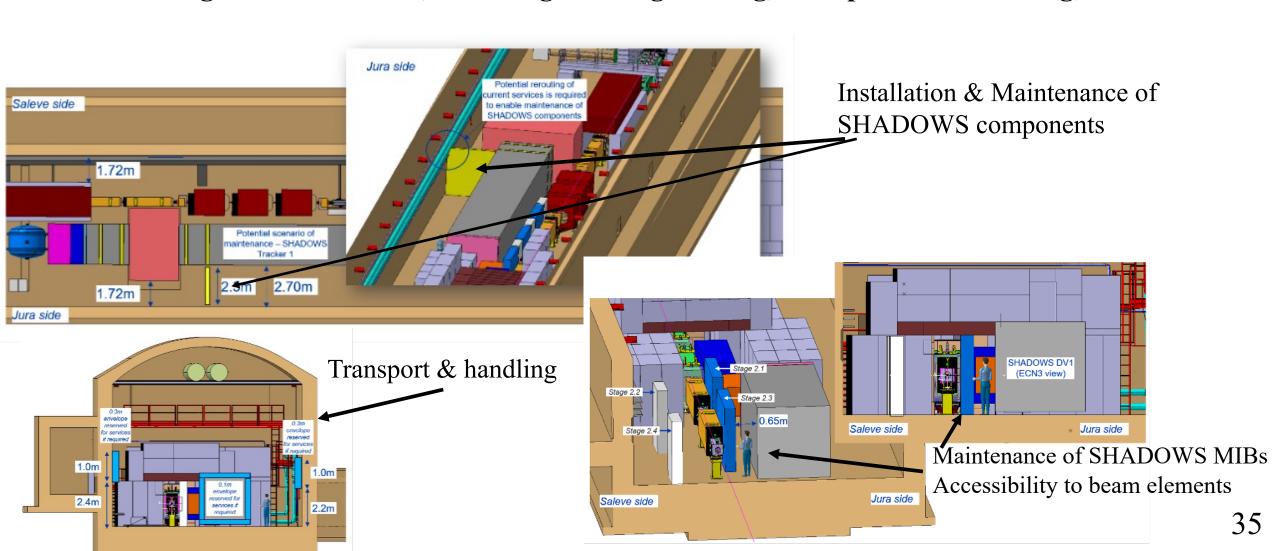




The Detector: Integration

Lukasz Krzempek PBC-ECN3 task force

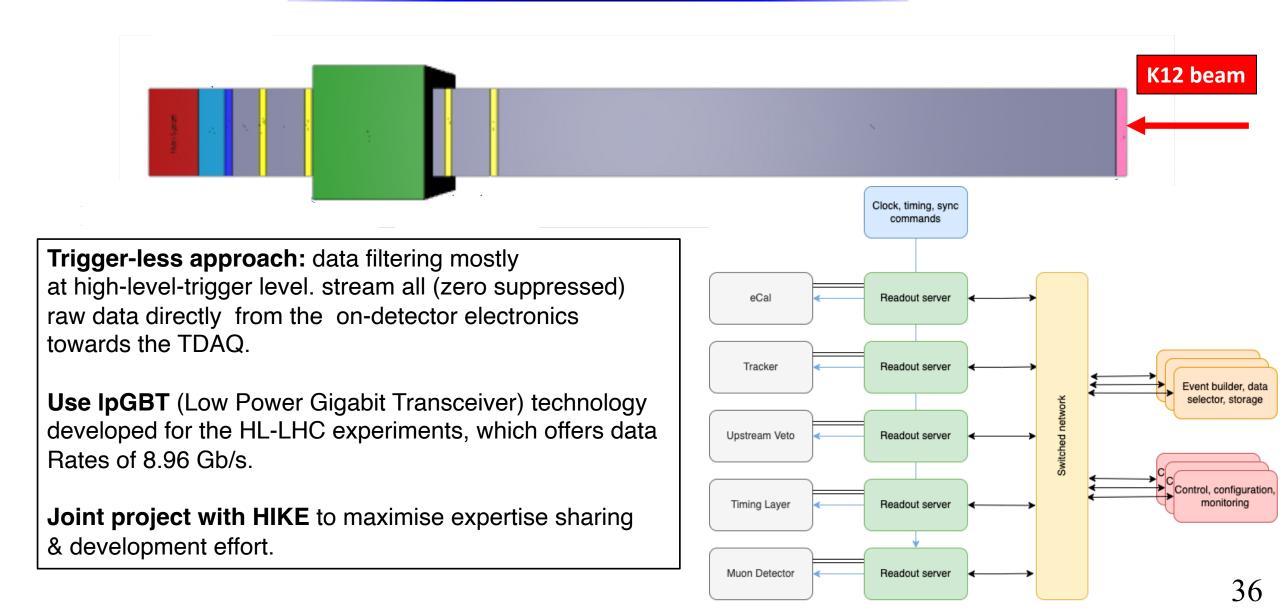
Detector integrated in the area, including civil engineering, transport and handling, and services





The Detector: TDAQ system

Design by E. Gamberini, et al. CERN EP-DT-DI department





The Detector: Full simulation

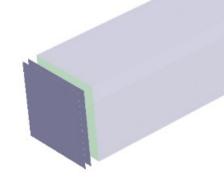
Groups: INFN-Rome1
INFN-LNF, Mainz, Heidelberg,
Prague

SHADOWS full Monte Carlo simulation is part of the general NA62/HIKE Monte Carlo framework and is a C++, GEANT4-based code.

- The beamline simulation is done using the Geant4-based BDSIM package.
- The signals are generated with PYTHIA 8.32
- The background samples with the GEANT4-based BDSIM package.
- The inelastic interactions of neutrinos and muons with the detector material are simulated using GENIE and Pythia6 generators.

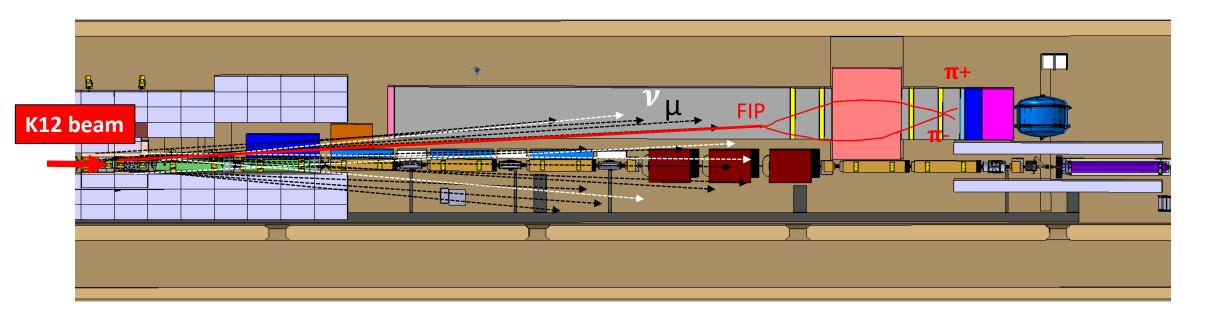


- centralised production of signal and MC samples
- possibility of studying the mutual interference
- possibility of easily combining physics results





Background: The name of the game

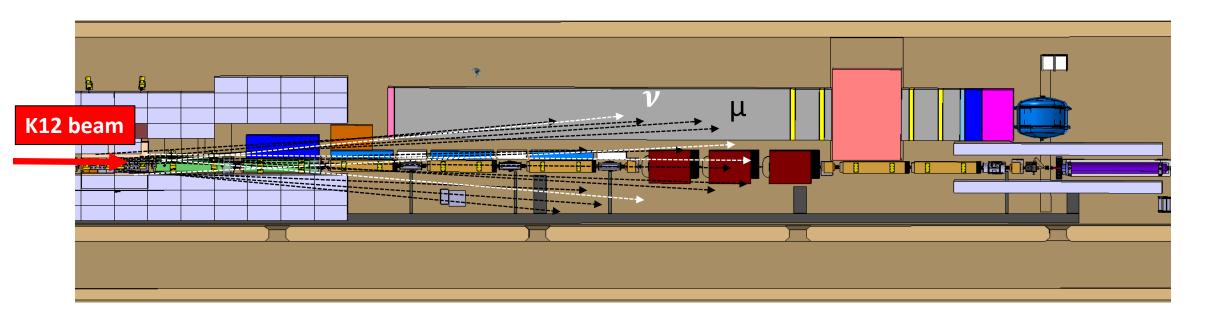


Main background arise from muons and neutrinos emerging from the dump.

An off-axis setup is much less affected by background than an on-axis one, as muons and neutrinos are mostly emitted in the forward direction.



Background: The name of the game



Three important backgrounds to be considered:

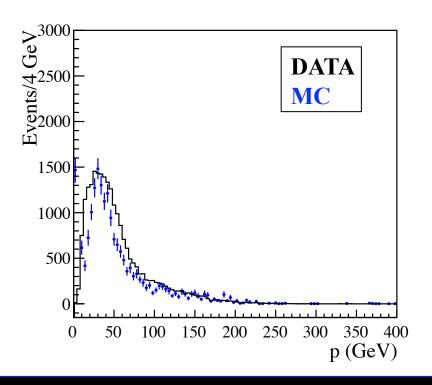
- 1. Muon combinatorial background
- 2. Muon inelastic interactions with the decay vessel
- 3. Neutrino inelastic interactions with the decay vessel & residual air in the decay volume

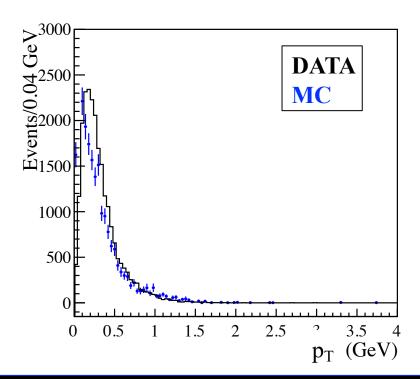
For the first two backgrounds the knowledge of the muon flux is paramount.



Validation of the simulated *on-axis* muon flux *with NA62 data*

Monte Carlo simulation has been compared against data collected by NA62 in October 2021, when the experiment was successfully operated in beam-dump mode for about 1 week at about 150% the nominal NA62 beam intensity. In this period NA62 collected about 1.5×10^{17} pot





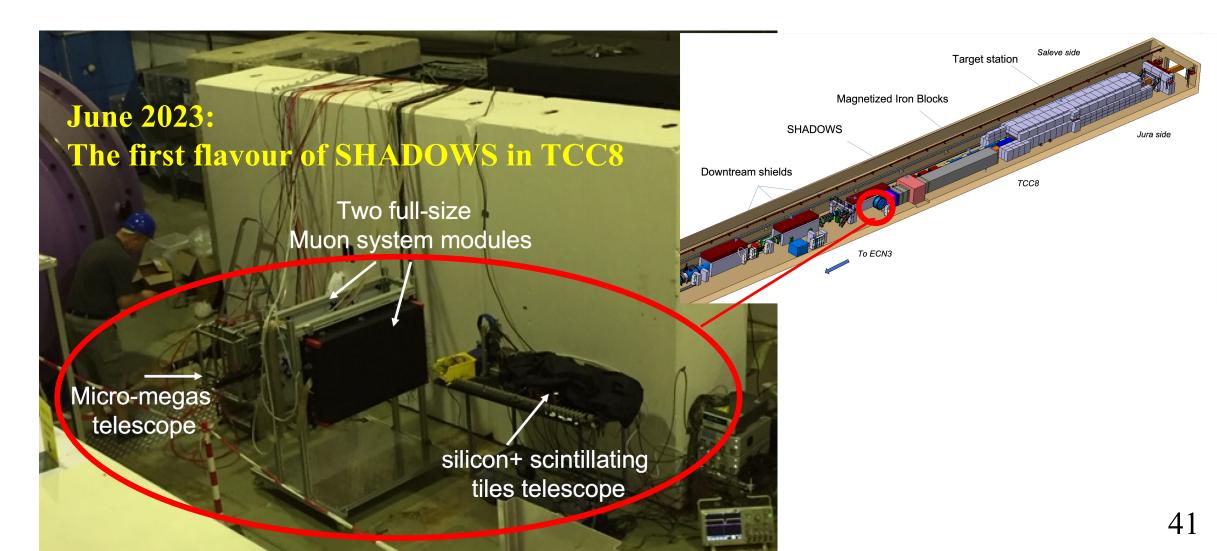
Excellent agreement in shape, the MC rate is about 3 times less than data as expected.

MC rates corrected by this factor.



Validation of the simulated *off-axis* muon flux *with SHADOWS prototypes*

Measurement performed in June 2023 with NA62 operated in beam-dump mode at nominal beam intensity. Effort partially funded via EUROLABS European Grant.

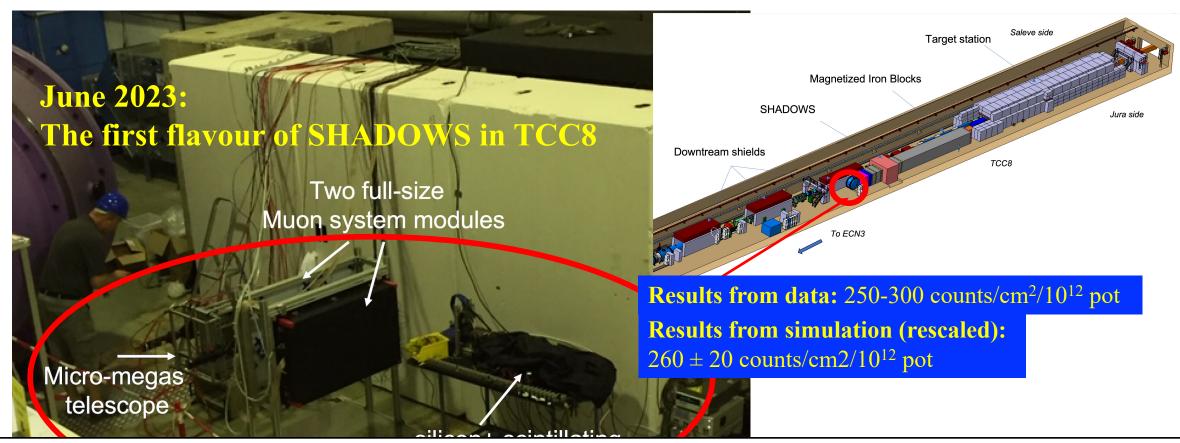




Validation of the simulated *off-axis* muon flux *with SHADOWS prototypes*

Measurement performed in June 2023 with NA62 operated in beam-dump mode at nominal beam intensity.

Effort partially funded via EUROLABS European Grant.



Excellent agreement between the results obtained with (very different) detectors gives reliability of the measurement.

Off-axis measurements confirmed the on-axis ones. Simulation fully validated.





Background: Results

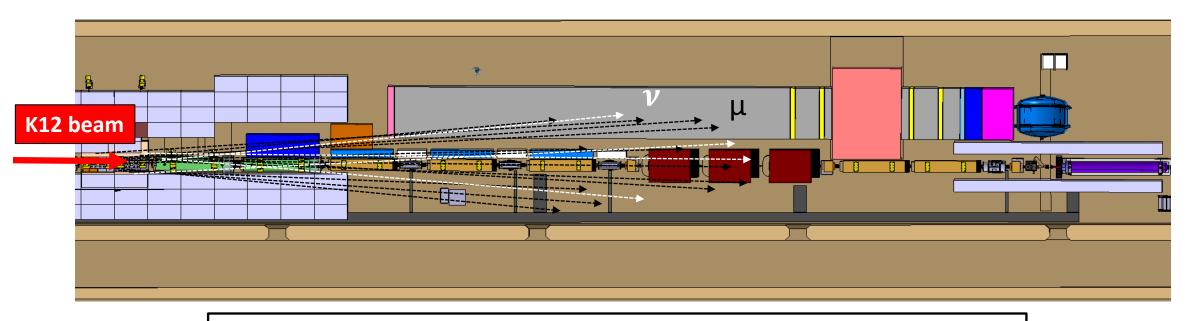


Table 31. Estimated background events in 5×10^{19} pot. For muon-induced background events the factor 3 difference between data and monte carlo simulation discussed in Section 8.7 has been taken into account.

background type	fully reconstructed	partially reconstructed
combinatorial di-muon	10^{-3}	0.7
muon inelastic interactions	$< 2.5 \cdot 10^{-2}$	< 0.90
neutrino inelastic interactions	< 0.01	< 0.01

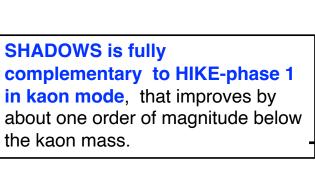
All the background sources are very small and well under control.

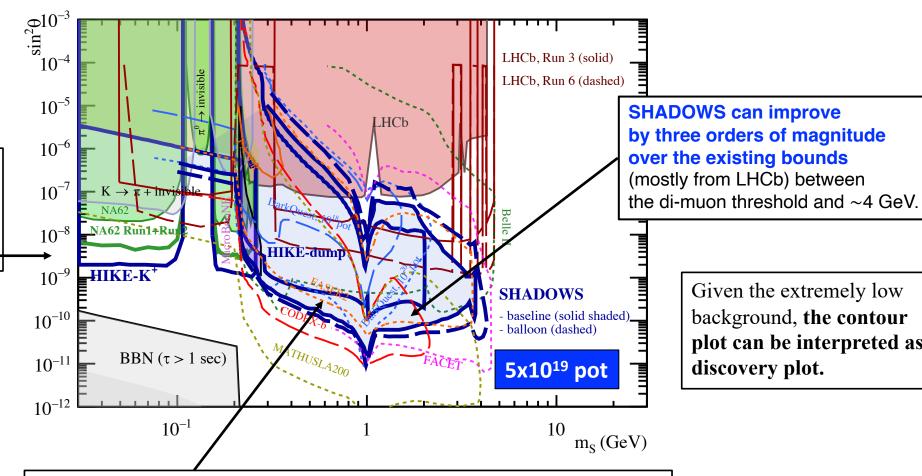
SHADOWS FIP Physics Reach with 5x10¹⁹ pot

SHADOWS

Physics sensitivity: Light Dark Scalar mixing with the Higgs

(mediator of sub-GeV DM interacting with SM particles; candidate for relaxion mechanism, etc.)





Given the extremely low background, the contour plot can be interpreted as discovery plot.

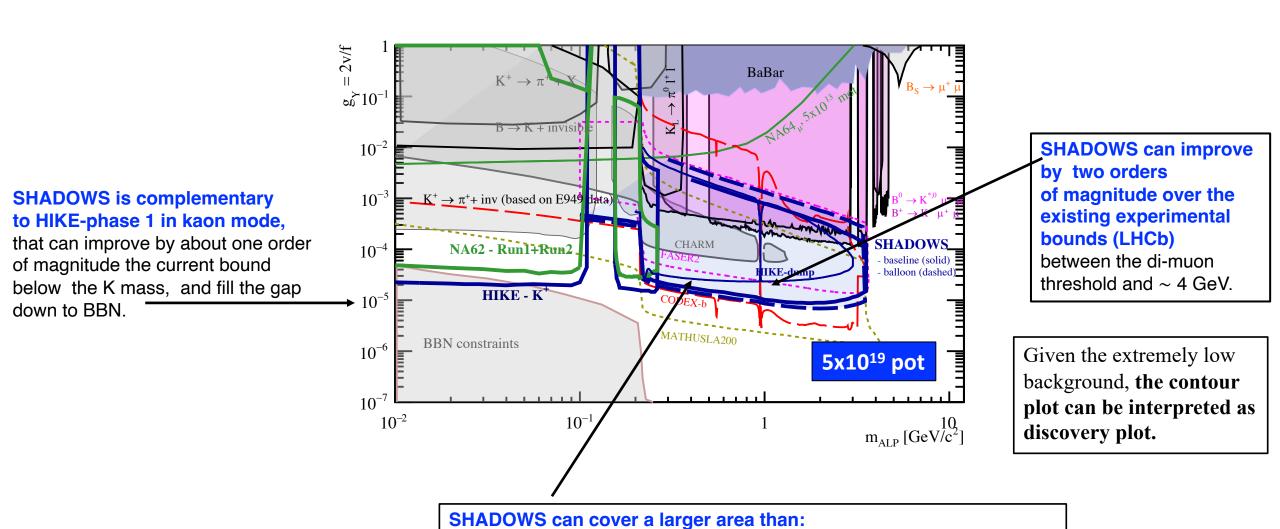
SHADOWS can cover a larger area in the (still uncharted) parameter space than: DarkQuest; LHCb Run3 & Run 4 (upgrade 1); LHCb Run 6 (upgrade 2); CODEX-b; FASER2 at the Forward Physics Facility.

Worldwide landscape from FIPs2022 Proceedings, arXiv:2305.01715



Physics sensitivity: ALPs with fermion couplings

Axions/ALPs in the MeV-GeV range are possible solution to the strong-CP problem



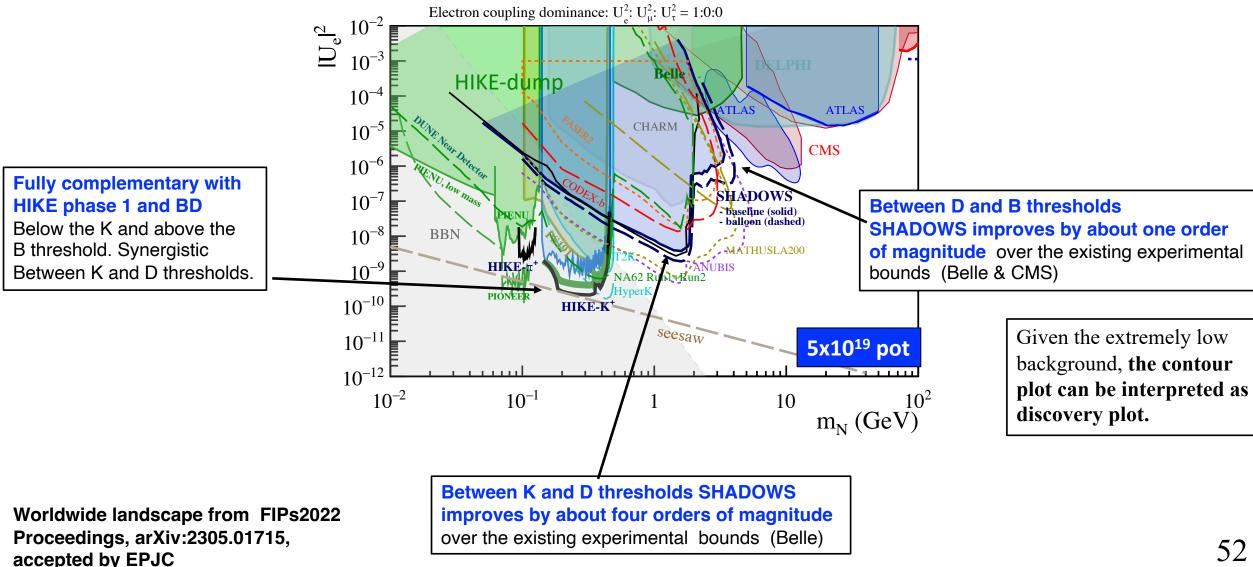
Worldwide landscape from FIPs2022 Proceedings, arXiv:2305.01715

FASER2 at the Forward Physics Facility; and is very similar to CODEXb with the full data set at the end of the HL-LHC (3 ab⁻¹).



Physics sensitivity: HNL with electron couplings

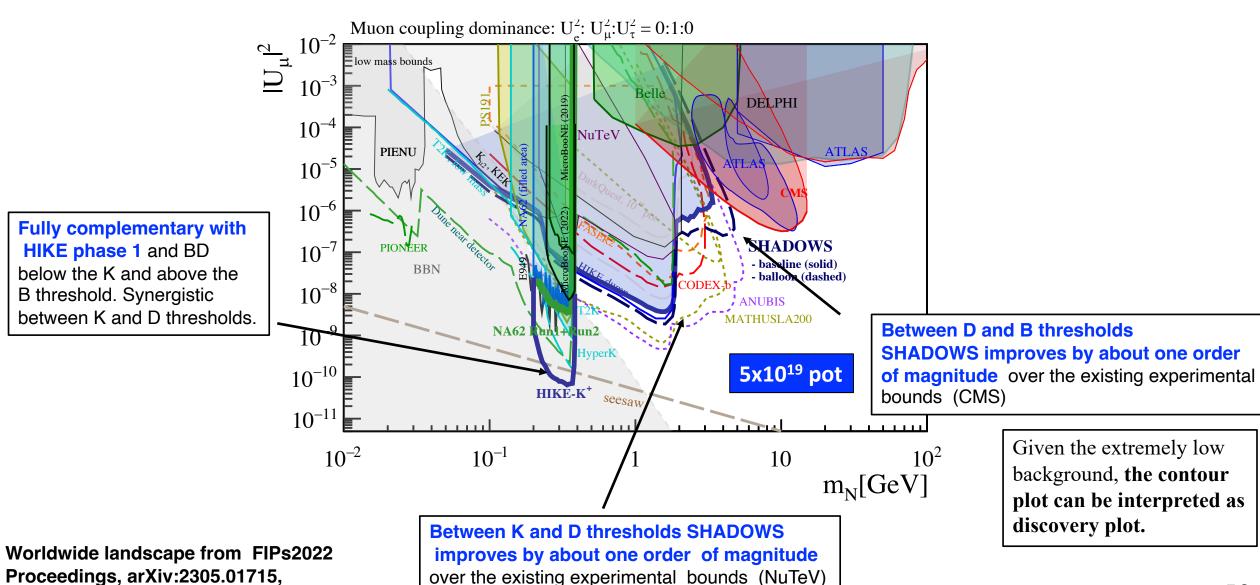
Possible solution to the origin of the neutrino masses and matter-antimatter asymmetry





Physics sensitivity: HNL with muon couplings

Possible solution to the origin of the neutrino masses and matter-antimatter asymmetry

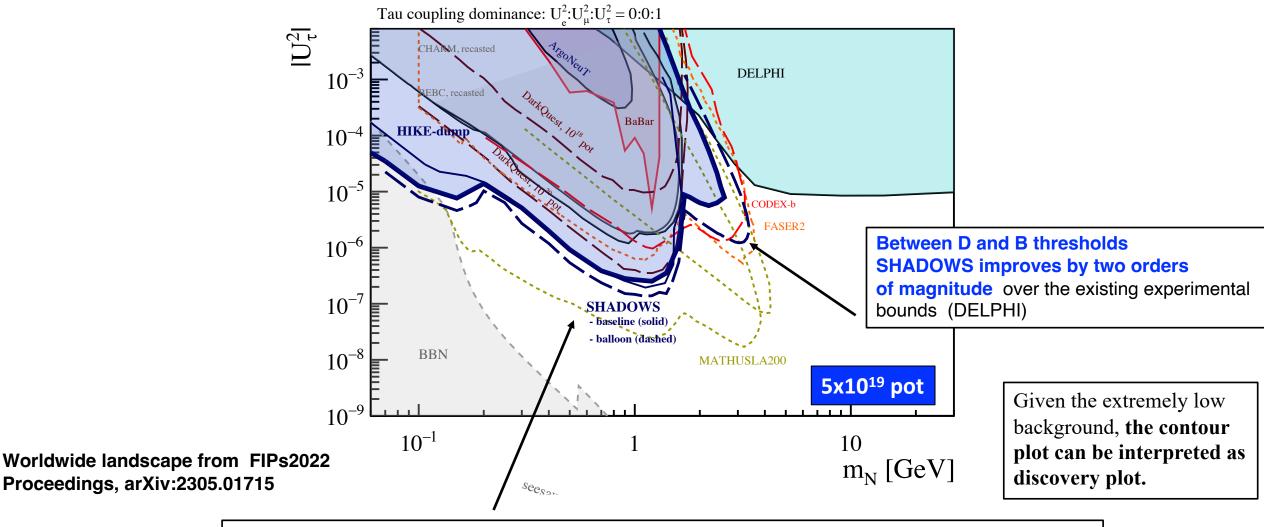


Proceedings, arXiv:2305.01715, accepted by EPJC

SHADOWS

Physics sensitivity: HNL with tau couplings

Possible solution to the origin of the neutrino masses and matter-antimatter asymmetry



Up to D threshold SHADOWS improves by two-four orders of magnitude over the existing experimental bounds (ArgoNeut & BaBar) and is better than DarkQuest, CODEX-b and FASER2.

Assume now that a hint of New Physics in any of the portals is found...

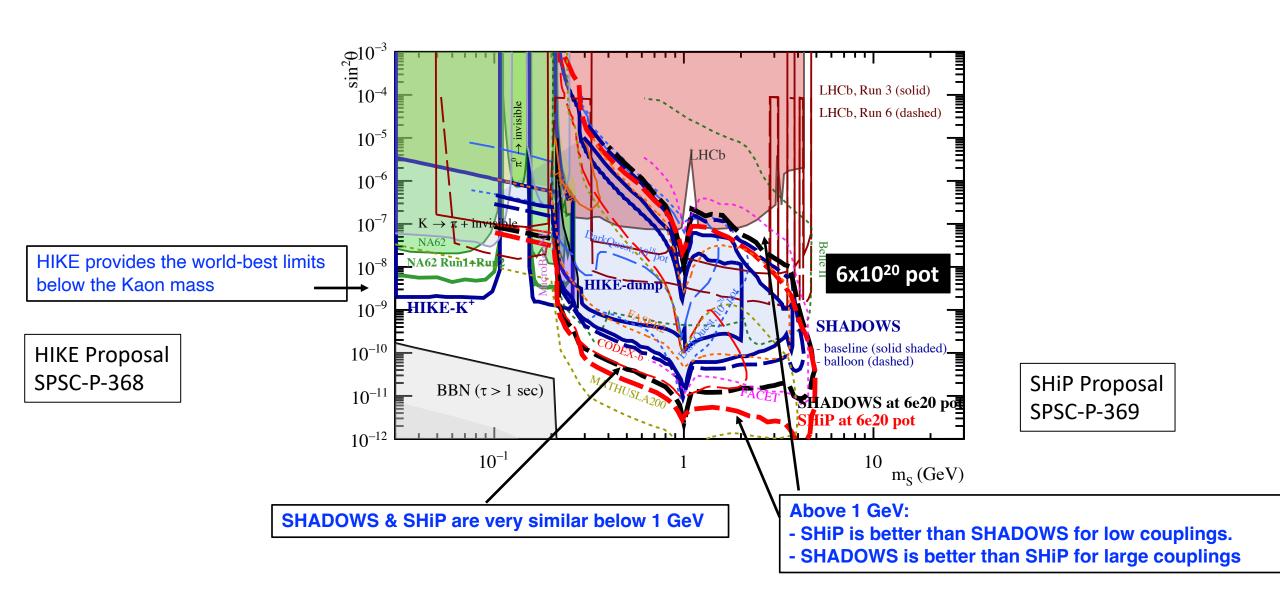
..and perhaps it is worth to push the run in dump as much as possible...

Which is the SHADOWS physics reach for $6x10^{20}$ pot?

SHADOWS

Physics sensitivity: Light Dark Scalar mixing with the Higgs

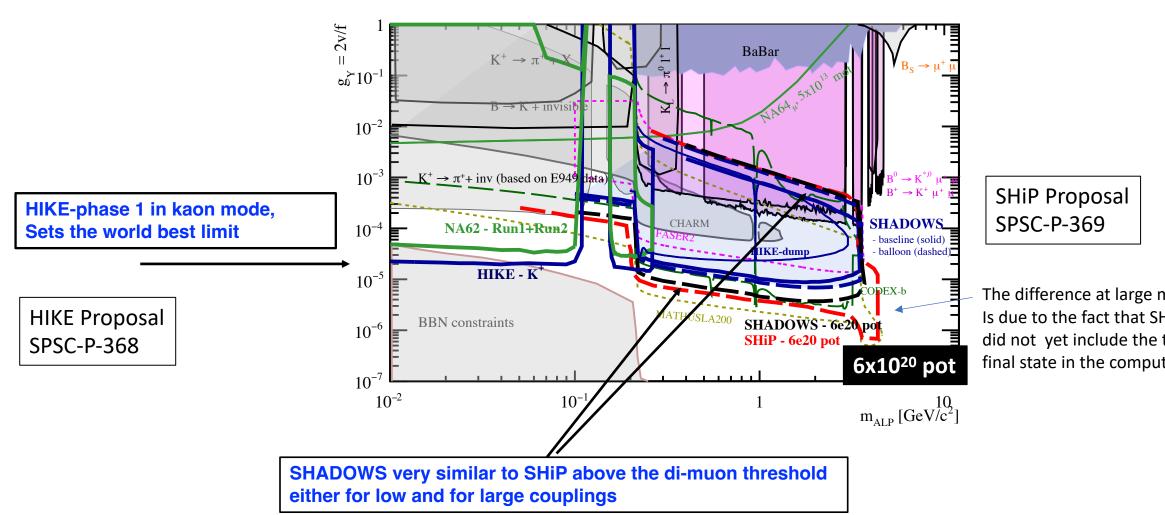
(mediator of sub-GeV DM interacting with SM particles; candidate for relaxion mechanism, etc.)





Physics sensitivity: ALPs with fermion couplings

Axions/ALPs in the MeV-GeV range are possible solution to the strong-CP problem



The difference at large masses Is due to the fact that SHADOWS did not yet include the tau-tau final state in the computations.

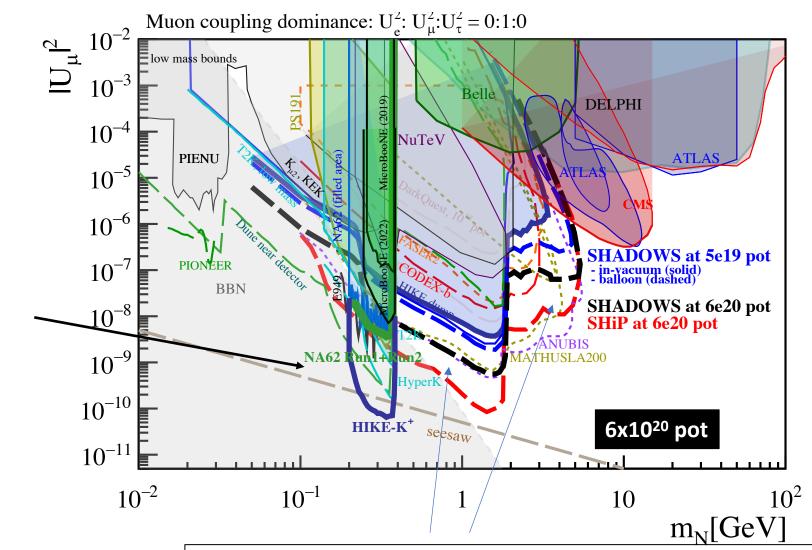


Physics sensitivity: HNL with muon couplings

Possible solution to the origin of the neutrino masses and matter-antimatter asymmetry

HIKE phase 1 sets the world best limit below the K threshold

HIKE Proposal SPSC-P-368



SHiP Proposal SPSC-P-369

Above the Kaon mass, the difference between SHADOWS & SHiP Is greatly reduced when compared with the same number of pot

All in all:

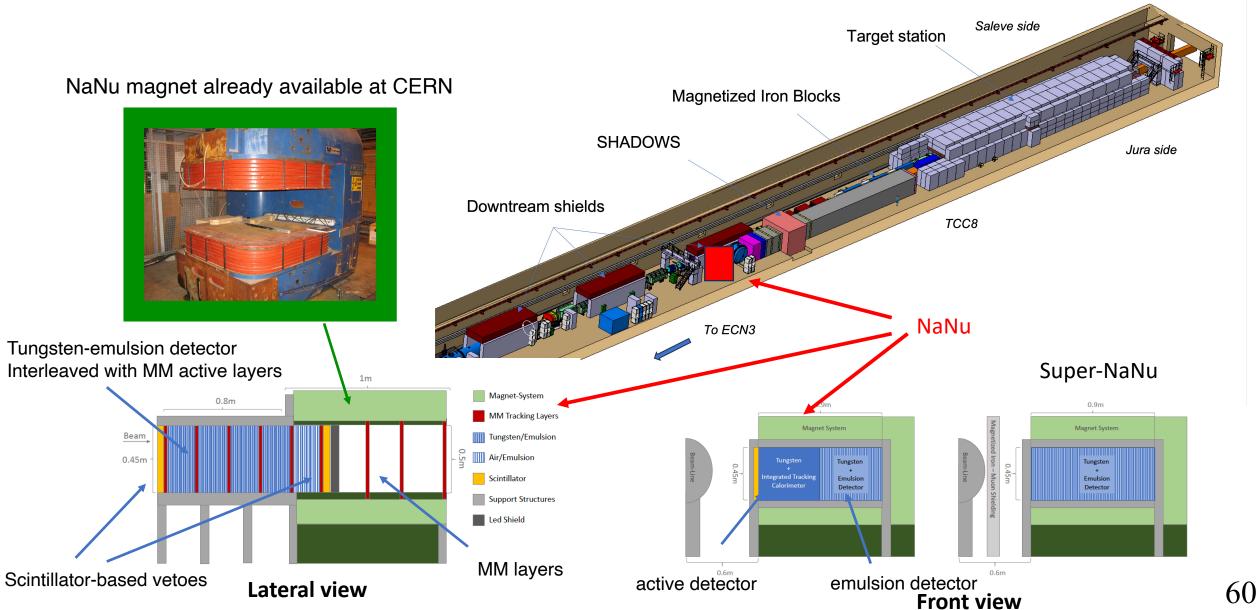
SHADOWS very competitive with an on-axis detector for the same number of pot

With the following (important) advantages:

1) SHADOWS's cost is much less (1/5 wrt SHiP);
2) SHADOWS (+HIKE) layout is flexible:
Priority for Kaon or FIP physics can be decided along the way...

SHADOWS

The North Area Neutrino Detector (NaNu@SHADOWS)

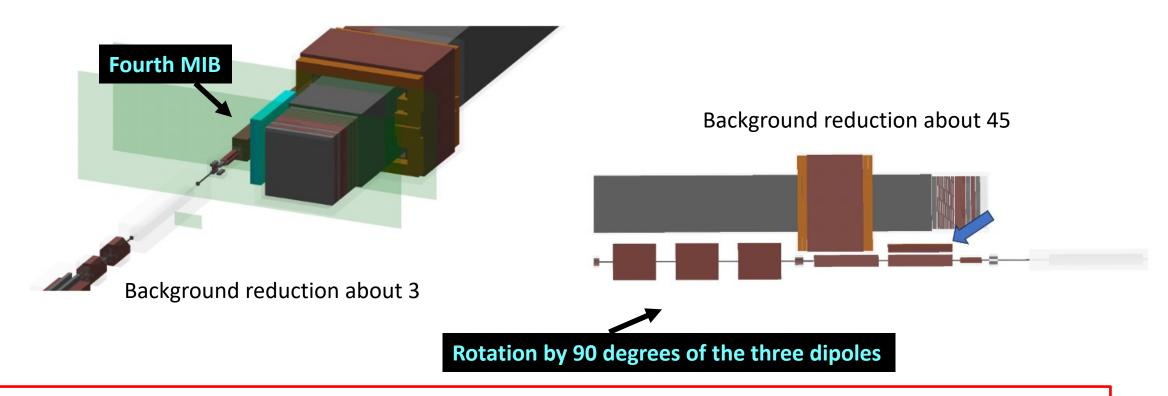




Background reduction for neutrino physics

Background reduction in NaNu zone possible by:

- 1. Addition of a fourth MIB
- 2. Rotation by 90 degrees of the three BEND3 dipoles to sweep the muon flux up-down



Full emulsion instrumentation (Super-NaNu layout) possible since day 1



Neutrino Physics with Super-NaNu (5x10¹⁹ pot)

Expected **number of detectable neutrino interactions** within the NaNu detector for 5 x10¹⁹ POT for NaNu and Super-NaNu.

Experimental	NaNu	Super-NaNu
Setup		
$\overline{ u_e}$	4.1×10^{3}	20×10^{3}
$ar{ u}_e$	1.0×10^{3}	4.5×10^3
$\overline{ u_{\mu}}$	40×10^{3}	40×10^{3}
$ar{ u}_{\mu}$	9×10^{3}	9×10^3
ν_{τ}	0.12×10^{3}	0.72×10^{3}
$ar{ u}_{ au}$	0.07×10^{3}	0.41×10^3

Overview of various **tau decay channels** including their branching ratio (BR) together with the efficiencies of various selection and identification criteria

Decay-Channel	$\tau \to e$	$ au o \mu$	$ au o h(\pi^{\pm})$	$ au o 3h(3\pi^{\pm})$	
BR	0.17	0.18	0.46	0.12	
Geometrical	0.9	0.9	0.9	0.9	
Decay search	0.6	0.6	0.6	0.6	
PID	1.0	0.9	0.9	0.9	
Total Events (NaNu)	10	10	30	10	(-)
Total Events (Super-NaNu)	60	60	180	45	$\mathbf{v}(\mathbf{\tau})$
Decay-Channel	$\bar{\tau} \to e$	$\bar{\tau} \to \mu$	$\bar{\tau} \to h(\pi^{\pm})$	$\bar{\tau} \to 3h(3\pi^{\pm})$	•
Decay-Channel BR	$\frac{\bar{\tau} \to e}{0.17}$	$\frac{\bar{\tau} \to \mu}{0.18}$	$\frac{\bar{\tau} \to h(\pi^{\pm})}{0.46}$	$\frac{\bar{\tau} \to 3h(3\pi^{\pm})}{0.12}$	
		•	· /		
BR	0.17	0.18	0.46	0.12	
BR Geometrical	0.17 0.9	0.18	0.46 0.9	0.12 0.9	
BR Geometrical Decay search	0.17 0.9 0.6	0.18 0.9 0.6	0.46 0.9 0.6	0.12 0.9 0.6	- anti-ν(τ

Physics programme

- Deep inelastic scattering of $\nu(\mu)$ with 5-10% precision, measurement of charm production sensitive to s-quark content in nucleons (important for W mass measurement).
- First observation of anti- $v(\tau)$
- measurement of **ν(τ)** and anti- **ν (τ)** inclusive cross-section at 10% (5%) at NaNu (superNaNu), with possible observation of F4 and F5 structure function effects.
- study of $v(\tau)$ (anomalous) magnetic moment



Project Schedule

2023	2024	2025	2026	2027	2028	2029	2030	2031
	NA62 Run		LS3	LS3	LS3	ECN3/HI Installation/ commissionin g	ECN3/HI Installation/ commissioning	ECN3/HI run
Proposal	TDR	TDR	TDR/PRR	Production	Production	Production/ Installation	Installation/ Pilot Run	SHADOWS run
2032	2033	2034	2035	2036	2037	2038	2039	2040
ECN3/HI run	LS4		ECN3/HI Run			LS5		
SHADOWS run	consolidation	SHADOWS run	SHADOWS run	SHADOWS run	SHADOWS run	SHADOWS run	consolidation	SHADOWS run

SPSC review process ended two days ago. Expect decision at the CERN Research Board December 6th. If approved, SHADOWS will start data taking in 2030 and collect 5x10¹⁹ (or more) pot by 2040.



Project Organization: preliminary groups interest

Table 41. Preliminary group interests for SHADOWS sub-detectors and activities.

Item	Technology	Interested groups
MIB system	magnetized	
	iron blocks	CERN, LNF-INFN
Upstream Veto	Micromegas	INFN (Rome3, Naples)
Decay Vessel	in-vacuum	CERN
Dipole Magnet	warm	CERN
Tracker	Straws	Heidelberg
Timing Layer	scintillating bars	Freiburg
ECAL	StripCal	Mainz, KIT
Muon	scintillating tiles	INFN (LNF,Ferrara, Bologna)
Software		INFN-Rome 1, Prague
TDAQ		CERN
NaNu		Mainz/Bonn

All detectors/activities have groups involved. Still a lot of room for new groups/collaborators.



Project Organization: preliminary cost estimate

Table 40. Preliminary cost estimate of SHADOWS sub-detectors and magnets. The cost of the NaNu experiment is reported in the last row.

Item	Technology	Cost (M€)
MIB system	$\operatorname{magnetized}$	
	iron blocks	0.992
Upstream Veto	Micromegas	0.860
Decay Vessel	in-vacuum	1.0
Dipole Magnet	warm	2.57
Tracker	Straws	1.624
Timing Layer	scintillating bars	0.180
ECAL	StripCal	0.980
Muon	scintillating tiles	1.111
TDAQ		0.250
Total SHADOWS		9.567
Total NaNu		2.840

Cost uncertainty C3 class: (-(10-20)%, +(10-30)%)

The relative small-medium size (and cost) makes SHADOWS feasible and realistic in the short timescale (start production in three years from now, production lasting only two years)



Conclusions

- ✓ SHADOWS and HIKE running simultaneously and covering complementary ranges in the FIP parameter space, <u>above</u> and <u>below</u> the kaon mass, will become a hot spot for FIP physics in the worldwide landscape.
- ✓ The possibility of exploring new light and feebly-interacting phenomena and, simultaneously, very high-scale masses through precision measurements in the kaon sector, makes the combined SHADOWS + HIKE system unique worldwide.
- ✓ The upgrade in intensity of the K12 beamline would allow CERN to have *a* world-class facility with several experiments running concurrently and covering a broad and diverse spectrum of physics topics, which is crucial, we think, for the future of particle physics.



Conclusions

- ✓ SHADOWS and HIKE running simultaneously and covering complementary ranges in the FIP parameter space, <u>above</u> and <u>below</u> the kaon mass, will become a hot spot for FIP physics in the worldwide landscape.
- ✓ The possibility of exploring new light and feebly-interacting phenomena and, simultaneously, very high-scale masses through precision measurements in the kaon sector, makes the combined SHADOWS + HIKE system unique worldwide.
- ✓ The upgrade in intensity of the K12 beamline would allow CERN to have *a* world-class facility with several experiments running concurrently and covering a broad and diverse spectrum of physics topics, which is crucial, we think, for the future of particle physics.

Thanks for your attention.