# On Thermal Stability of Hairy Black Holes<sup>†</sup>

40th Conference on Recent Developments in High Energy Physics and Cosmology, loannina. Greece

Panagiotis Dorlis

April 2023

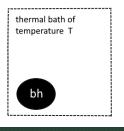
<sup>&</sup>lt;sup>†</sup>Collaboration with N. Chatzifotis, N.E. Mavromatos and E. Papantonopoulos

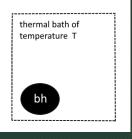
## Plan

1. Black Holes in a Thermal Bath

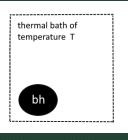
2. Black Holes as Thermodynamical Topological Defects

3. Stabilizing Black Holes through Secondary Hair: Black Hole Relics?





 $\circ$  Thermodynamic statement: All the parts of the system are in thermal equilibrium with temperature T



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$$\left[\kappa_{g} = 2\pi T\right] \tag{1}$$

• A geometric quantity (surface gravity) is connected to the thermal bath's temperature



 $\circ$  The surface gravity is a function of the event horizon radius,  $r_h$ 

$$\kappa_g = \kappa_g(r_h) \tag{2}$$

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Black hole size  $\longleftrightarrow$  Heat bath's temperature

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$$\kappa_{\mathcal{G}} = \kappa_{\mathcal{G}}(r_h) \tag{2}$$



Black hole size ←→ Heat bath's temperature

 $\circ$  The dependence of  $\kappa_g$  on  $r_h$  can lead to different configurations of black holes that can be in thermal equilibrium with the heat bath having the same temperature, T.

 $r_h$  and T are not necessary in a  $^{\prime\prime}1-1^{\prime\prime}$  correspondence

Defining the inverse temperature  $\tau = T^{-1}$ , for the known black holes:

$$\circ \ au/4\pi = r_h$$
: Schwarzschild

$$\circ \ au/4\pi = rac{r_h^3}{r_h^2 - Q^2}$$
: RN

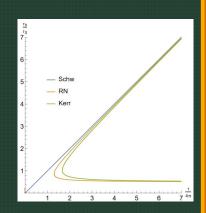
$$\circ$$
  $au/4\pi=rac{r_h^3+lpha^2r_h}{r_-^2-lpha^2}$ : Kerr

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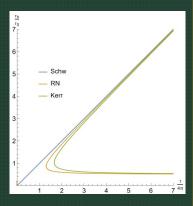
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Extra charges

→ Two possible configurations are possible to exist in thermal equilibrium with the heat bath.





Small and Large black hole configuration

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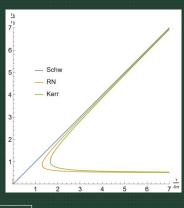
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Small and Large black hole configuration

What is the difference?

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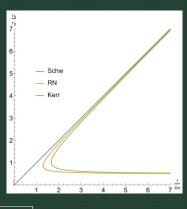
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Extra charges

→ Two possible configurations are possible to exist in thermal equilibrium with the heat bath.





Small and Large black hole configuration

What is the difference? Stability

 $\circ$  Stability o Potentials  $\Downarrow$ 

Canonical Ensemble 
$$o$$
 Free energy,  ${\cal F}$ 

- $\circ \mathcal{F} = E TS$
- E: energy of the system (ADM mass)
- S: entropy (black hole's)
- T: heat bath's temperature

<sup>&</sup>lt;sup>1</sup>Fermi, E., Thermodynamics, Dover books in physics and mathematical physics, Dover Publications, 1956

 $\circ \ \mathsf{Stability} \to \mathsf{Potentials} \Downarrow$ 

Canonical Ensemble o Free energy  ${\cal F}$ 

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By construction,  $\delta \mathcal{F} < 0$  for any transition of the system<sup>1</sup>.

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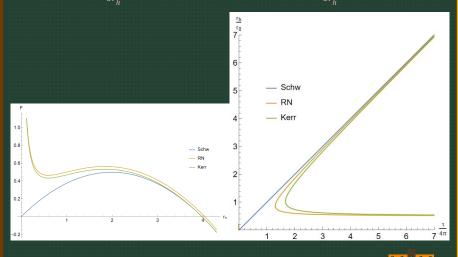
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$$\parallel$$

- $\circ$  Equilibrium points  $o rac{\partial \mathcal{F}}{\partial r_k} = 0$
- $\circ$  Stable  $o rac{\partial^2 \mathcal{F}}{\partial r_L^2} > 0$  (minimum) / Unstable  $o rac{\partial^2 \mathcal{F}}{\partial r_L^2} < 0$  (maximum)

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- Equilibrium points  $o rac{\partial^2 \mathcal{F}}{\partial r_z^2} = 0$
- $\circ$  Stable  $o rac{\partial^2 \mathcal{F}}{\partial r_h^2} > 0$  (minimum) / Unstable  $o rac{\partial^2 \mathcal{F}}{\partial r_h^2} < 0$  (maximum)



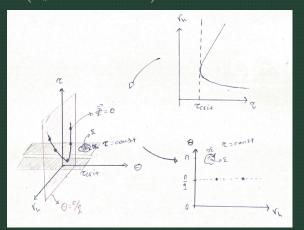
In a recent paper<sup>2</sup>, a topological approach was introduced, in order to investigate the different branches of black holes in thermal equilibrium with the heat bath and classify solutions into *topologically equivalent classes*.  $\downarrow$ 

black hole solutions as zero points of some vector field,  $\Phi^a(ec{x})$ 

- $\circ$  Define the 3-D parameter space:  $x^{\mu}=( au,r_{h},\Theta)$
- $\circ$   $(\tau, r_h) \rightarrow$  Thermodynamic Parameters
- $\circ~0 < \Theta < \pi \rightarrow \text{Auxilary Parameter}$

<sup>&</sup>lt;sup>2</sup>S. W. Wei, Y. X. Liu and R. B. Mann, "Black Hole Solutions as Topological Thermodynamic Defects," Phys. Rev. Lett. **129**, no.19, 191101 (2022) doi:10.1103/PhysRevLett.129.191101

- $\circ$  3-D parameter space:  $x^{\mu}=( au,r_{h},\Theta),\ 0\leq\Theta\leq\pi,\ r_{h}, au\geq0,$
- $\circ$  Define on  $(r_h,\Theta)$  the vector field:  $ec{\Phi}=\left(rac{\partial \mathcal{F}}{\partial r_h},-\cot\Theta\csc\Theta
  ight)$
- $\circ \; ec{\Phi} = 0 
  ightarrow \left(rac{\partial \mathcal{F}}{\partial r_h} = 0 \; , \; \Theta = \pi/2
  ight).$



- $\circ \; ec{\Phi} = 0 o {\sf Equilibrium} \; {\sf states} \; {\sf of} \; {\sf black} \; {\sf hole} \; {\sf in} \; {\sf the} \; {\sf thermal} \; {\sf bath}$
- $\circ$  Considering au as "time"in the parameter space o The equilibrium states  $(ec\Phi=0)$  are moving on the parameter space "like point particles"



Duan's  $\Phi-$  mapping  $^3$ 

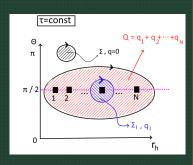
Define the topological current on the 3-D parameter space:

$$\left|j^{\mu}=rac{1}{2\pi}\epsilon^{\mu
u
ho}\epsilon_{ab}\partial_{
u}n^{a}\partial_{
ho}n^{b}\right|$$
 ,  $\mu
u
ho=0,1,2$  ,  $a,b=1,2$  (3)

where  $n^a = \frac{\Phi^a}{||\Phi||}$  and  $\epsilon$  the Levi-Civita symbol (flat).

<sup>&</sup>lt;sup>3</sup>Y. S. Duan, The structure of the topological current, SLAC-PUB-3301, (1984)

- $\begin{array}{l} \circ \;\; j^{\mu} = \delta \left(\vec{\Phi}\right) J^{\mu} \left(\frac{\Phi}{x}\right) \\ \to \; \text{point-like delta structure} \\ \text{where } J^{\mu} \left(\frac{\Phi}{x}\right) = \frac{1}{2} \epsilon_{ab} \epsilon^{\mu\nu\rho} \partial_{\nu} \Phi^{a} \partial_{\rho} \Phi^{b} \\ \text{(Jacobian tensor)} \end{array}$
- o Identically conserved:  $\partial_{\mu}j^{\mu}=0$
- o Conserved charge:  $q=\int_{\Sigma}j^{0}d^{2}x$ , where  $\Sigma$  some surface on the  $\Theta-r_{h}$  plane.
- $\circ$  Local topological charge  $q_i = \int_{\Sigma_i} j^0 d^2 x$
- Global topological charge  $Q = \sum_i q_i$



$$\circ$$
 local topological charge  $q_i = \int_{\Sigma_i} j^0 d^2 x$ 

After some algebra⁴ ↓

$$q_i = sgn\left(\frac{\partial^2 \mathcal{F}}{\partial r_h^2}\right) \tag{4}$$

 $\rightarrow$  The local topological charge of each black hole branch concerns its thermodynamical stability:

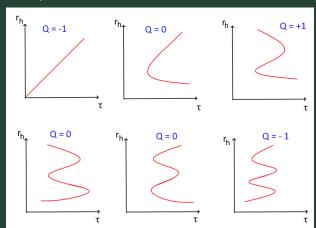
Unstable branch 
$$\rightarrow q_i = -1$$
Stable branch  $\rightarrow q_i = +1$ 

<sup>4</sup>N. Chatzifotis, P. Dorlis, N. E. Mavromatos and E. Papantonopoulos, "On Thermal Stability of Hairy Black Holes," [arXiv:2302.03980 [gr-qc]].

0 0 0 0 0

(5)

- Global topological charge  $Q = \sum_{i} q_{i}$
- $\circ$  Characterizes the  $r_h=r_h( au)$  graph of the solution.
- Some examples:

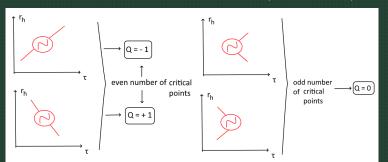


Global topological charge 
$$Q = \sum_{i} q_{i}$$

- Q depends on how many critical points appear in the graph.
- $\circ$  If the number of critical points is odd o Q = 0
- $\circ$  If the number of critical points is even  $ightarrow Q=\pm 1$

Global topological charge  $Q = \sum_{i} q_i$ 

- o Q depends on how many critical points appear in the graph.
- o If the number of critical points is odd  $\rightarrow Q = 0$
- $\circ$  If the number of critical points is even  $ightarrow Q=\pm 1$
- $\circ$  Continuity of the function  $r_h = r_h(\tau) \implies$  the number of critical points depends on the asymptotic behavior (i.e. the slope)  $\Downarrow$



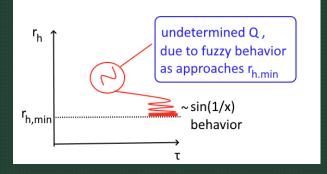
- Thus, for a well behaved asymptotic behavior the black hole solution can have  $Q=0,\pm 1$ .
- In this sense, black hole solutions with the same topological charge construct are topologically equivalent <sup>5</sup>

#### Examples:

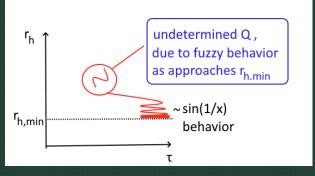
Q = 0	RN, Schw-AdS, Kerr
Q = -1	Schw
Q = +1	RN-AdS, Kerr-AdS

<sup>&</sup>lt;sup>5</sup>S. W. Wei, Y. X. Liu and R. B. Mann, "Black Hole Solutions as Topological Thermodynamic Defects," Phys. Rev. Lett. **129**, no.19, 191101 (2022) doi:10.1103/PhysRevLett.129.191101

o Beyond these classes?



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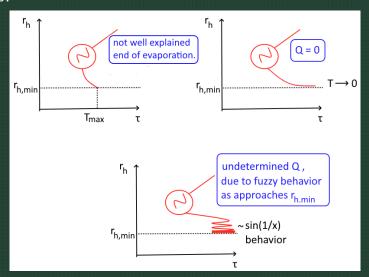


A toy model can be constructed

- Primordial black holes can be formed at the early stages of the universe<sup>6</sup>
- Assuming Schwarzschild black holes  $\rightarrow$  Total evaporation  $t_{evap} < t_{universe}$  (basic constraint for the mass window)
- o Overcoming this constraint  $\rightarrow$  The temperature mass relation is modified at the late stages of evaporation, due to higher order interactions, leading to Black hole relics<sup>7</sup>
- o In the perspective of the  $r_h=r_h( au)$  grapho Black hole relics if a minimum horizon radius is induced

<sup>&</sup>lt;sup>6</sup>B. J. Carr and S. W. Hawking, Mon. Not. Roy. Astron. Soc. **168**, 399-415 (1974) doi:10.1093/mnras/168.2.399

<sup>&</sup>lt;sup>7</sup>J. D. Barrow, E. J. Copeland and A. R. Liddle, Phys. Rev. D **46**, 645-657 (1992) doi:10.1103/PhysRevD.46.645



0 0 0 0 0

 We shall consider in a quite general form interactions (or self-interactions) of the gravitational field with matter fields of the form:

$$\mathcal{L} = \mathcal{L}_{EH} + \mathcal{L}_{matter} + A\mathcal{L}_{int}$$
 (6)

- $\circ$   $\mathcal{L}_{EH}$ : Einstein-Hilbert
- $\circ$   $\mathcal{L}_{matter}$ : Matter Lagrangian
- o  $\mathcal{L}_{int}$ : possible interactions
- A: dimensionfull coupling constant
- o A introduces a length scale that characterizes the interactions

- o A which denotes the strength of the interaction
- $\circ$   $r_h$ : characteristic length scale of the black hole
- o The impact of an interaction to a black hole becomes stronger, when these length scales become comparable.
- $\circ$   $\mathcal{L}_{EH} o$  Planck length is introduced,  $\kappa^2 = 8\pi G$ .



Claim: the impact of an interaction to a black hole is determined by the dimensionless parameter,  $\gamma$ :

$$\gamma = \frac{A\kappa}{r_{\perp}^2} \tag{7}$$

#### Examples of backreactions...

o Linear scalar-Gauss-Bonnet:

$$S = \int d^4x \sqrt{-g} \left[ \frac{R}{2\kappa^2} - \frac{1}{2} (\partial \phi)^2 + A\phi \,\mathcal{R}_{GB} \right] \tag{8}$$

where  $\mathcal{R}_{GB} \equiv R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4 R_{\mu\nu} R^{\mu\nu} + R^2$  denotes the GB topological term. The solution for the  $g_{tt}$  component, up to second order in  $A^8$  takes the following form:

$$g_{tt} = -1 + \frac{r_h}{r} + \gamma^2 \left[ \frac{20}{3} \left( \frac{r_h}{r} \right)^7 - \frac{16}{5} \left( \frac{r_h}{r} \right)^6 - \frac{22}{5} \left( \frac{r_h}{r} \right)^5 - \frac{52}{3} \left( \frac{r_h}{r} \right)^4 - \frac{4}{3} \left( \frac{r_h}{r} \right)^3 + \frac{49}{5} \frac{r_h}{r} \right] + \mathcal{O}(\gamma^4)$$
(9)

<sup>&</sup>lt;sup>8</sup>T. P. Sotiriou and S. Y. Zhou, Phys. Rev. Lett. **112**, 251102 (2014) doi:10.1103/PhysRevLett.112.251102 [arXiv:1312.3622 [gr-qc]].

#### Examples of backreactions...

Linear scalar-Chern-Simons (CS)

$$S = \int d^4x \sqrt{-g} \left[ \frac{R}{2\kappa^2} - \frac{1}{2} (\partial \phi)^2 - A\phi \mathcal{R}_{CS} \right]$$
 (10)

where  $\mathcal{R}_{CS}=R^{\mu\nu\rho\sigma}\,\widetilde{R}_{\mu\nu\rho\sigma}$  (C-S topological term)<sup>9</sup>. The backreaction (up to  $\mathcal{O}(\alpha)$ )

$$g_{t\phi}(r,\theta) = -\left[\frac{r_h}{r} + \sum_{n=4}^{\infty} \frac{d_n}{2^{n-2}} \left(\frac{r_h}{r}\right)^{n-2}\right] \alpha \sin^2 \theta \qquad (11)$$

- $\triangleright$   $\alpha$ : rotation parameter coefficients
- $ightharpoonup d_n \sim \gamma^{2n}$ : fully determined by a reccurence relation<sup>10</sup>

<sup>&</sup>lt;sup>9</sup>explained previously in Chatzifotis talk

<sup>&</sup>lt;sup>10</sup>N. Chatzifotis, P. Dorlis, N. E. Mavromatos and E. Papantonopoulos, Phys. Rev. D **105**, no.8, 084051 (2022)

#### Examples of backreactions...

Extended GB gravity<sup>11</sup>:

$$S = \int d^4x \frac{\sqrt{-g}}{2\kappa^2} \left[ R - \beta e^{2\phi} (R + 6(\nabla \phi)^2) - 2\lambda e^{4\phi} - \tilde{a} \left( \phi \mathcal{R}_{GB} - 4G_{\mu\nu} \nabla^{\mu} \phi \nabla^{\nu} \phi - 4\Box \phi (\nabla \phi)^2 - 2(\nabla \phi)^4 \right) \right]$$
(12)

▶ Redefine  $\tilde{a} \to A\kappa$ ; then, A is the coupling constant of the theory with dimensions of length, as previously.

Expanding the analytic solution, we get:

$$-g_{tt}(r) = 1 - \frac{r_h}{r} + \left[\frac{r_h}{r} - 2\left(\frac{r_h}{r}\right)^2 + \left(\frac{r_h}{r}\right)^4\right] \gamma$$

$$-2\frac{r_h}{r} \left[\left(\frac{r_h}{r}\right)^3 - 2\left(\frac{r_h}{r}\right)^4 + \left(\frac{r_h}{r}\right)^6\right] \gamma^2 + \mathcal{O}(\gamma^3)$$
(13)

<sup>&</sup>lt;sup>11</sup>P. G. S. Fernandes, Phys. Rev. D 103, no.10, 104065 (2021)

Our goal is to compress the following properties to a general metric.

- 1. The only dimensionfull parameters of the solution to be  $l_P$ , A and mass, M.
- 2. The corresponding local solutions have a smooth limit for a vanishing coupling constant, which we assume to be the Schwarzschild black hole.
- 3. The dependence of the backreacting terms vanish asymptotically, which means that they depend only to inverse powers of r
- 4. The coupling constant of the interaction appears only into the dimensionless factor  $\gamma$
- 5. The r-dependence of the back-reaction terms appear only into  $x = r_h/r$ .

$$\Downarrow$$

$$g_{tt}(r) = -(1-x)\left(1 + \sum_{n} \gamma^{n} f_{n}(x)\right)$$
(14)

where  $x = r_h/r$  and  $f_n(x)$  some polynomial functions.

- Black hole's exterior:  $r > r_h \rightarrow 0 < x < 1$
- Black hole's interior:  $r < r_h \rightarrow x > 1$
- Event horizon:  $r = r_h \rightarrow x = 1$
- $\circ$  Asymptotic flatness implies that  $f_n(0) = 0$
- $0 1 + \sum_{n} \gamma^{n} f_{n}(x) > 0$ , for 0 < x < 1.
- $\circ$  If an inner horizon exists:  $1 + \sum_{n} \gamma^{n} f_{n}(x_{0}) = 0$ , for some  $x_{0} > 1$ .



The surface gravity reads:

$$\kappa_{g}(r_{h}) = \frac{|g'_{tt}|}{2\sqrt{-g_{tt}g_{rr}}}\Big|_{r \to r_{h}}.$$
 (15)

 $\circ$  In order to acquire a zero temperarute for a finite  $r_h, |g'_{tt}| \to 0$ . Calculating for the above metric:

$$g'_{tt}(r_h) = -\frac{1}{r_h} \left( 1 + \sum_n \gamma^n f_n(1) \right) .$$
 (16)

 $\implies$  There have to be a critical value,  $\gamma_c$ , for which

$$1+\sum \gamma^n f_n(1) o 0$$
, as  $\gamma o \gamma_c$  (17)

 $\circ$  The  $\gamma_c$  defines the minimum horizon radius:

$$r_{h,min} = \frac{A\kappa}{\gamma_c} \tag{18}$$

• The condition (17) implies that the  $g_{tt}$  metric component tends to acquire a double root at x=1, which is a characteristic of extremal black holes.



If the interactions,  $\mathcal{L}_{int}$ , produce an inner horizon inside the black hole, the runaway evaporation stops and a near extremal black hole relic is left over.

- $\circ r_{h,min} = rac{A\kappa}{\gamma_c} \Longrightarrow \boxed{r_{h,min} \sim |\widetilde{A}| \, l_P}$ , where  $A = \widetilde{A}^2 l_P$ Thus, whether the stable black hole minimal size exceeds or not the Planck length depends on the order of magnitude of the coupling of the pertinent interaction.
- Electric charge and rotation, also induce an inner horizon. However, electric charge and rotation probably do not survive at the final stages of evaporation<sup>12</sup>, so they cannot stabilize the black hole.
- $\circ$  The difference here is that there is no extra charge beyond the mass,  $M \to \text{The inner horizon can survive until the final stages and stabilize (thermodynamically) the black hole.$

 $<sup>^{12}</sup>$ Calmet, X., Carr, B., Winstanley, E. (2014). Hawking Radiation and Black Hole Evaporation. In: Quantum Black Holes. SpringerBriefs in Physics. Springer, Berlin, Heidelberg.

