

# Test Beam Results of planar pixel quad modules and spatial resolution of 3D pixels for the Phase-2 CMS Tracker

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On behalf of the CMS Tracker Group



18th 'Trento' Workshop on  
Advanced Silicon Radiation  
Detectors

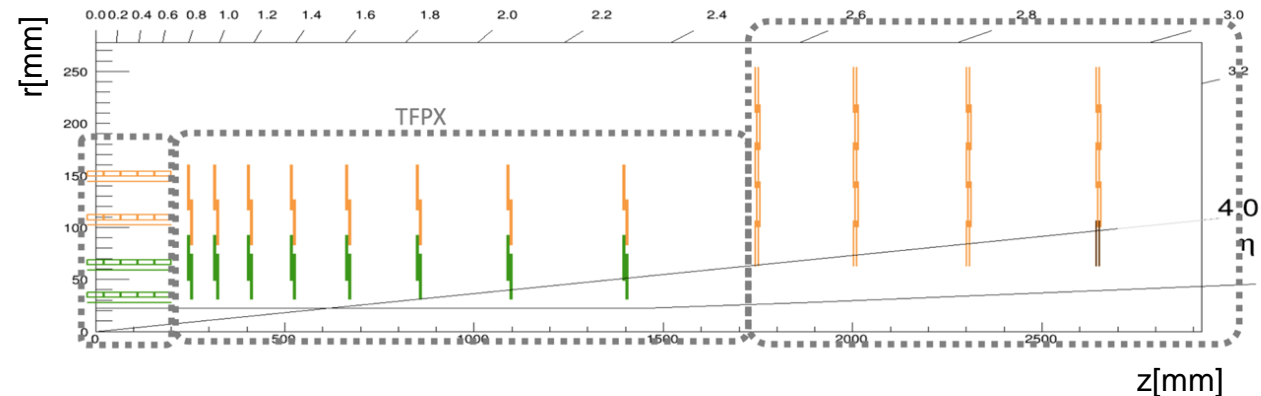
# The CMS Inner Tracker upgrade for the High Luminosity-LHC

HL-LHC operation conditions	Sensor design constraints
Luminosity $7.5 \times 10^{34} / (\text{cm}^2 \cdot \text{s}) \rightarrow$ up to 200 events/25 ns bunch crossing	Maintain occupancy at ‰ level and increase spatial resolution $\rightarrow$ pixel size x6 smaller than present pixels $\rightarrow 25 \times 100 \mu\text{m}^2$ (current detector in CMS $100 \times 150 \mu\text{m}^2$ )
CMS baseline choice: at least one replacement of innermost layer of TBPX (at integrated fluence $\approx 1.9 \times 10^{16} n_{\text{eq}} / \text{cm}^2$ , end of “Run 5”, i.e. after $\approx 6$ years of operation) and inner ring of TEPX	Reduce electrodes distance to increase electric field and thus the signal $\rightarrow$ thin planar or 3D columnar technologies

## Sensor main features:

- Two types of hybrid pixel modules: **1x2** and **2x2 CMS readout chips (CROCs)** per module
- **Planar sensors**  $25 \times 100 \mu\text{m}^2 \times 150 \mu\text{m}$  active thickness, sensors baseline choice for whole Inner Tracker except for barrel layer 1
- **3D sensors**  $25 \times 100 \mu\text{m}^2 \times 150 \mu\text{m}$  active thickness, baseline choice for barrel layer 1 (better thermal performance than planar)

See talk by Massimiliano Antonello



# Planar quad module cell geometry

Planar Hamamatsu quad module (active thickness  $150\ \mu\text{m}$ )  
read out by 2x2 CROCs

Standard cells ( $25 \times 100\ \mu\text{m}^2$ )

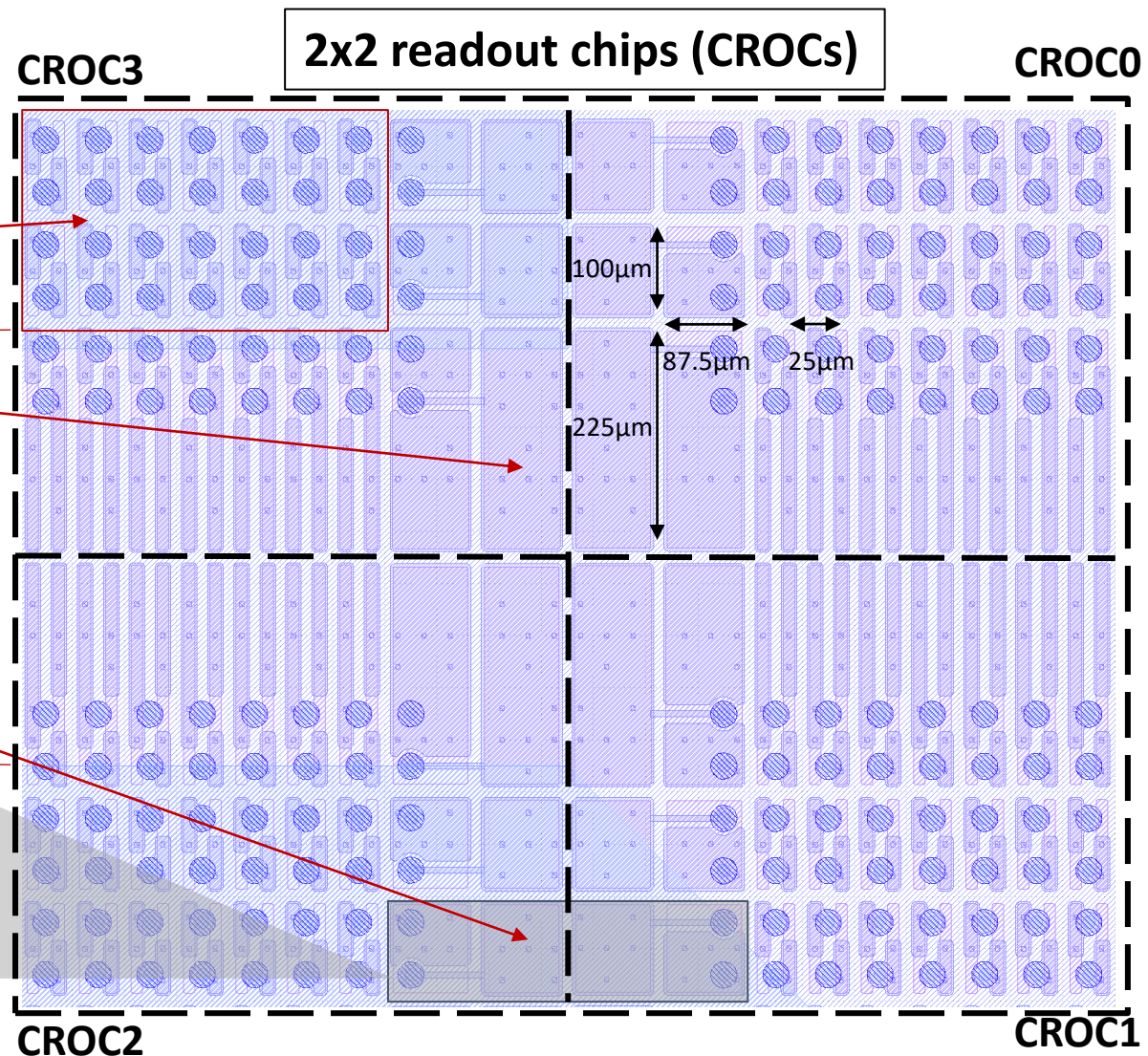
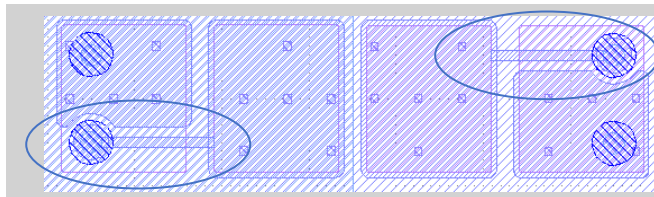
8 non-standard central cells ( $87.5 \times 225\ \mu\text{m}^2$ )

2 non-standard rows ( $225 \times 25\ \mu\text{m}^2$ )

4 non-standard columns ( $87.5 \times 100\ \mu\text{m}^2$ )

MAIN GOAL: study of  
non-standard cells of  
the module

Rail that passes over the neighbour pixel cell



# FNAL Test Beam December 2022

Beam test performed at Fermilab Test Beam Facility with 120 GeV protons

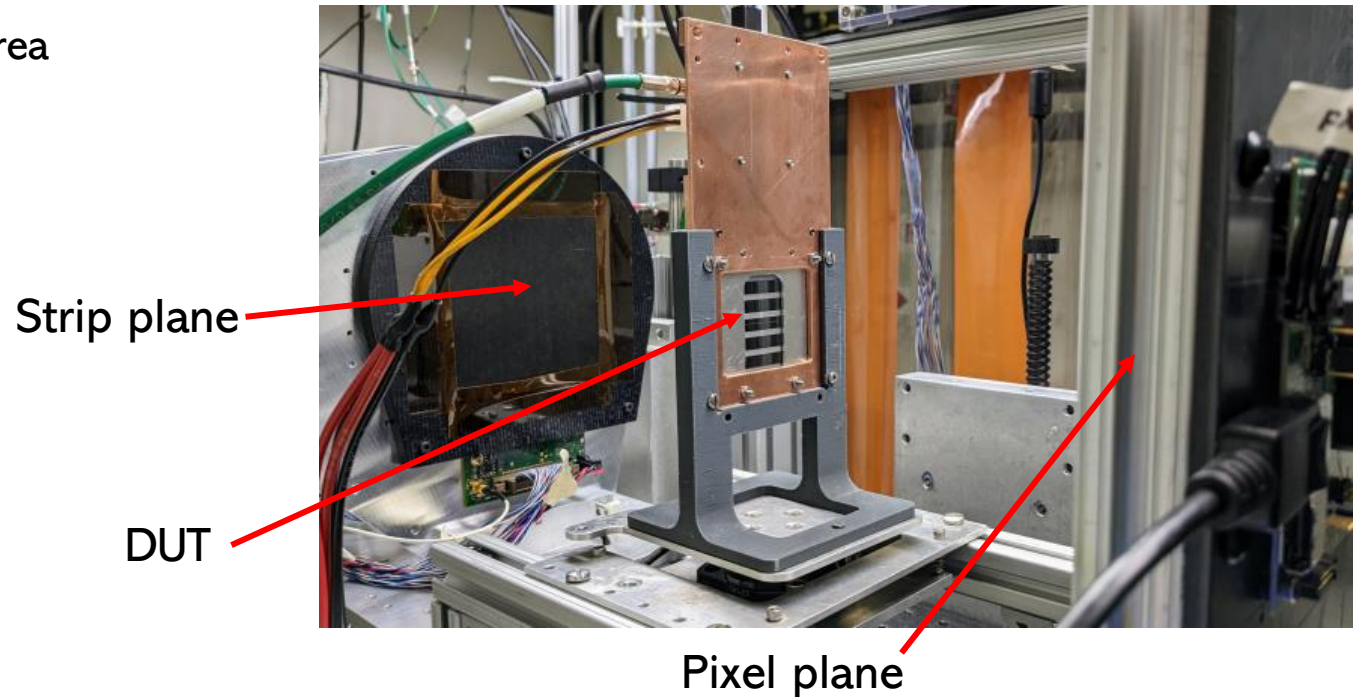
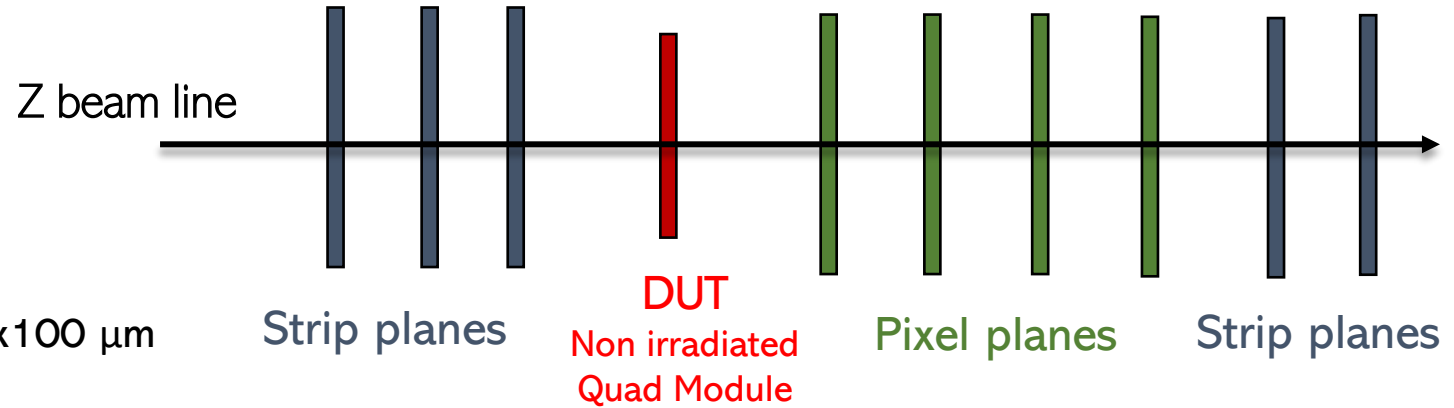
We took data with **a planar quad barrel module**.  
The sensor was NOT irradiated

## TELESCOPE SET UP:

- **5 Strip planes** (60  $\mu\text{m}$  pitch) and **4 Pixel planes** (25x100  $\mu\text{m}$  pitch, read out by RD53A  $\rightarrow$  only linear front end)
- Telescope resolution ( $\sigma_{TEL} \approx 4\mu\text{m}$ )
- **Device Under Test (DUT) quad module** (illuminated area 7x7mm<sup>2</sup>)
  - Planar Hamamatsu quad module (active thickness 150  $\mu\text{m}$ ) read out by 2x2 CROCs
  - CROCs operated in sync mode and using external accelerator clock (for the first time)

## TRACK SELECTION AND HIT ASSOCIATION:

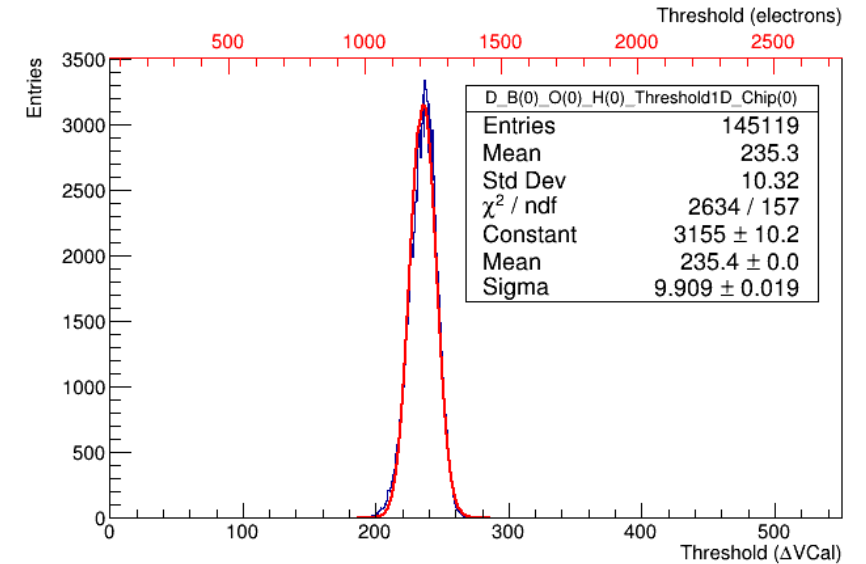
- tracks with hits on all strip planes and hits on 2 pixels planes at least
- track fit  $\chi^2/dof < 5$
- hits associated with tracks on DUT within 300  $\mu\text{m}$



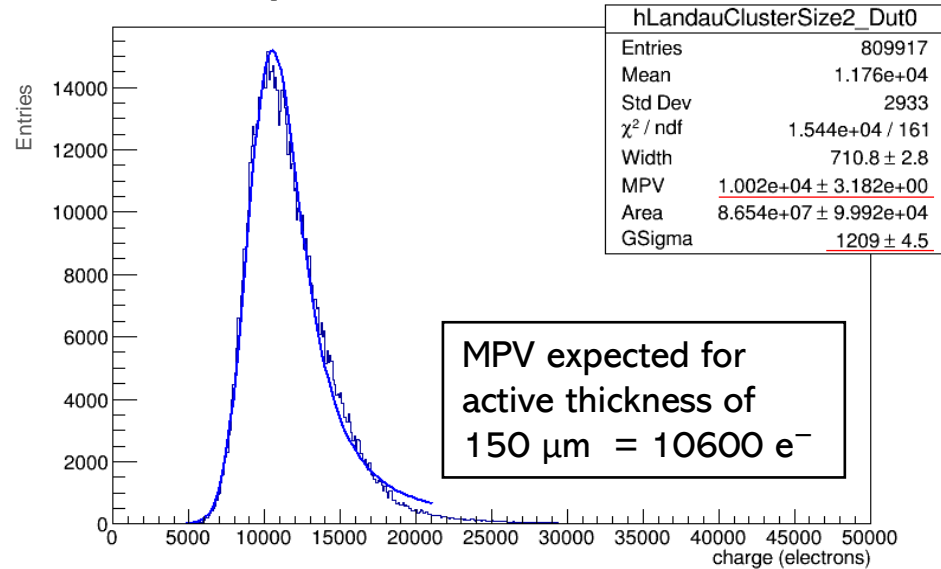
# Calibrations

- Performed calibration and equalization of the thresholds of all pixels within a CROC
- Pulse height curves fitted with a linear fit below saturation
- Threshold and noise were determined by performing a charge injection scan (S-curve)
  - <threshold>  $\approx$  1100 - 1200 electrons
  - <noise>  $\approx$  90 - 100 electrons

Threshold distribution CROC0



Charge distribution for clusters of size 2 Dut

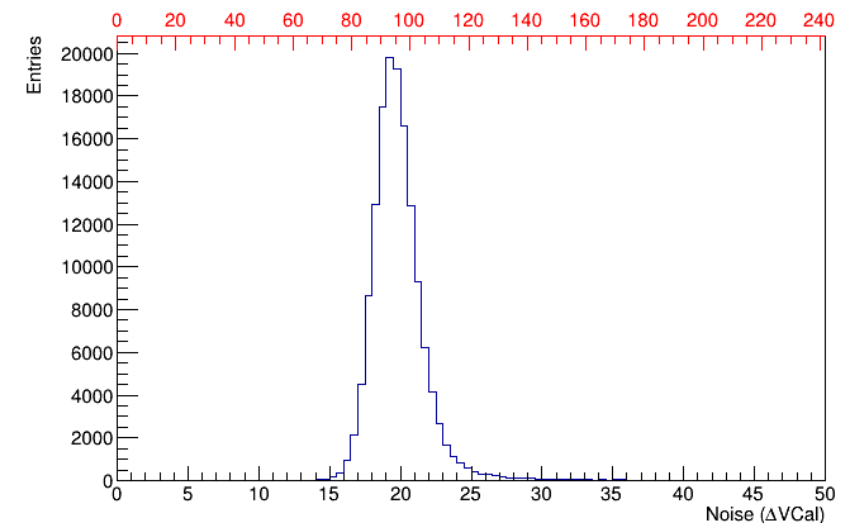


MPV expected for active thickness of  $150 \mu\text{m} = 10600 e^-$

Operated at 100V (overdepleted)

The fit function is a Landau convoluted with a Gaussian

Noise distribution CROC0



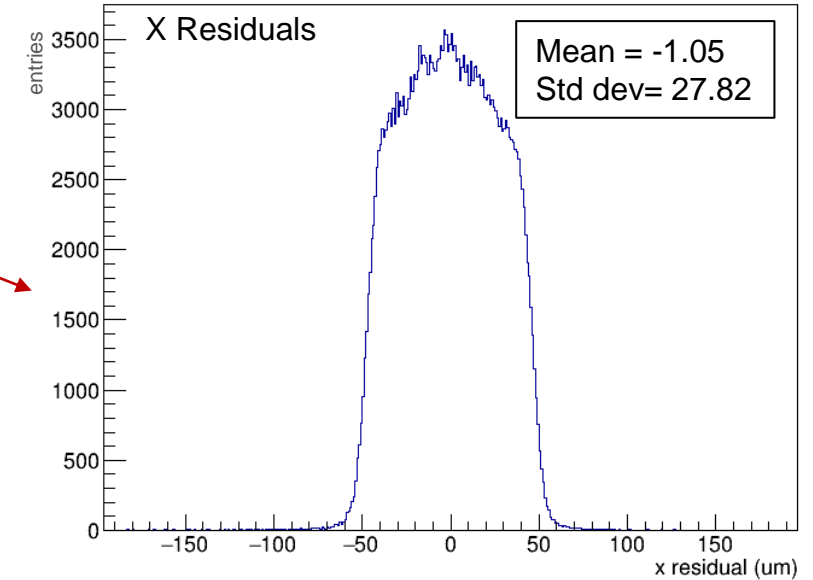
# Residuals 25x100 $\mu\text{m}^2$ cells

Results for X residuals (long pitch) are in agreement with expectations

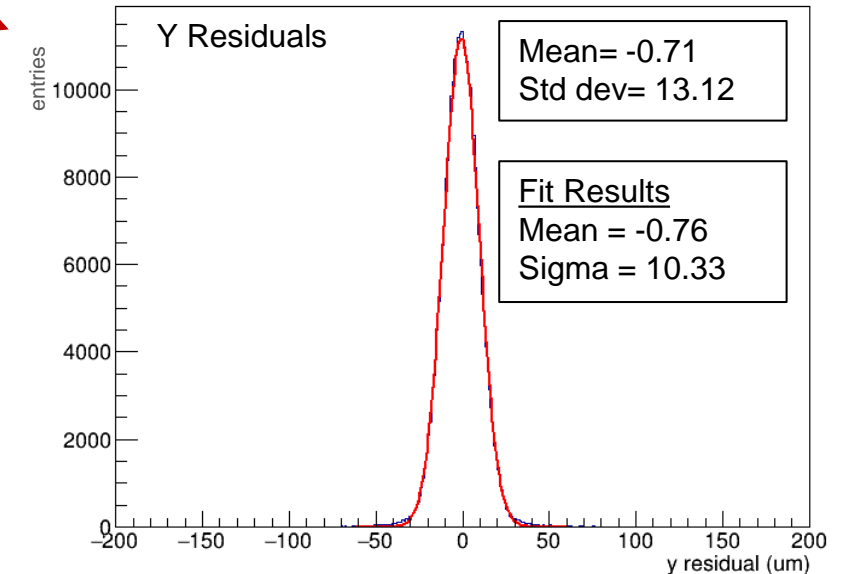
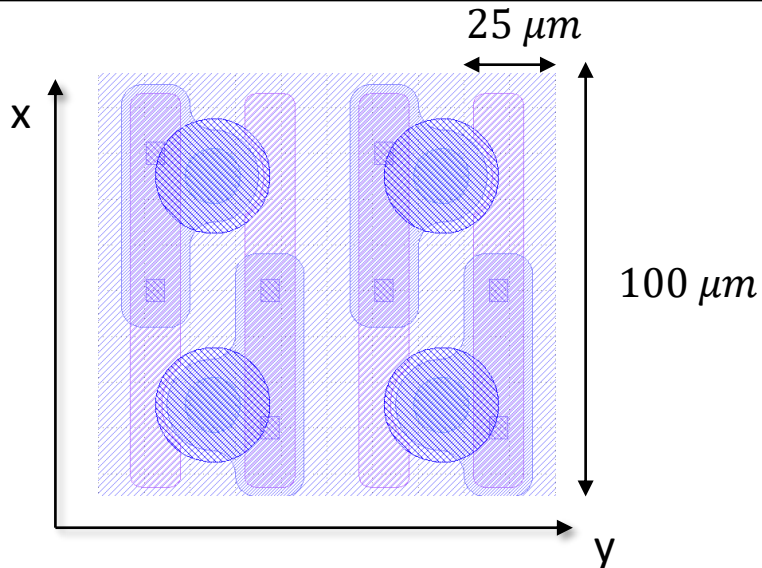
- Digital resolution for the long pitch:  $100 \mu\text{m} / \sqrt{12} = 28.9 \mu\text{m}$

Results for Y residuals (short pitch) show discrepancies with the expected resolution

- Rough estimate of the DUT resolution :  $\sqrt{\sigma_{DUT}^2 - \sigma_{TEL}^2} = 9.5 \mu\text{m}$
- Digital resolution for the short pitch:  $25 \mu\text{m} / \sqrt{12} = 7.2 \mu\text{m}$



Residuals = associated cluster position - interpolated track intercept



# Y residuals $25 \times 100 \mu\text{m}^2$

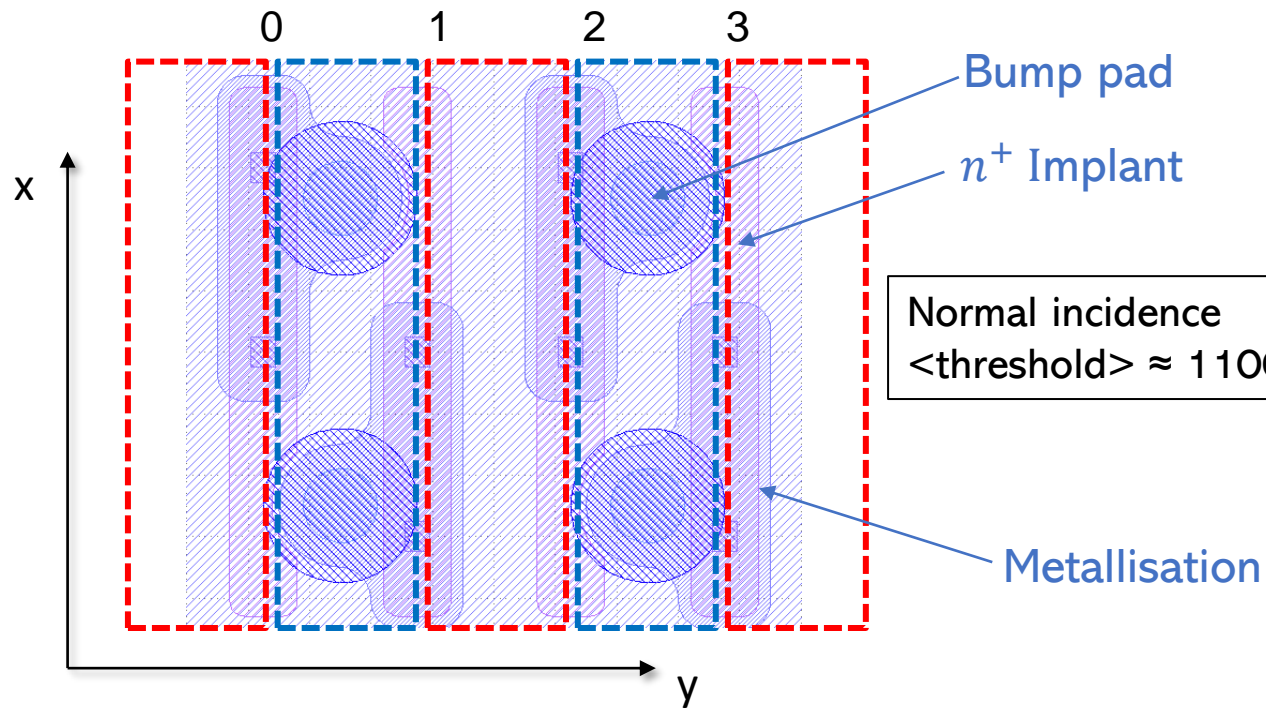
Study of Y residuals for areas between **coupled** and **non coupled** pixels

When tracks pass through the area where pixels are coupled we expect regular charge sharing.

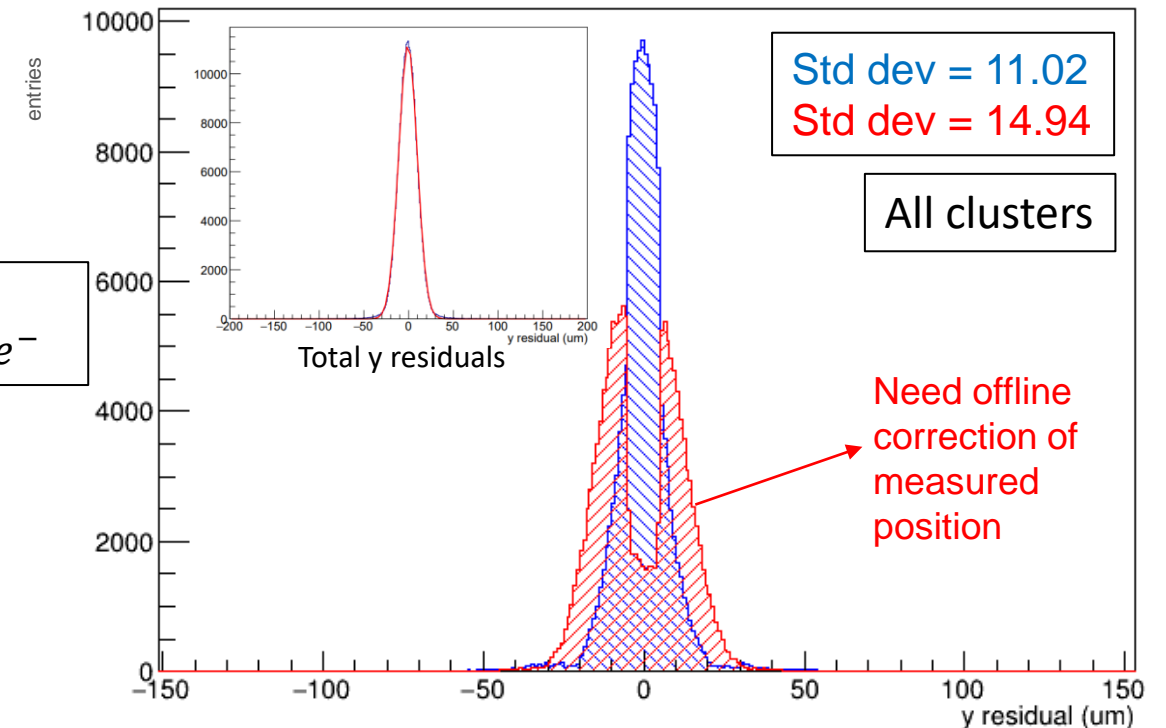
The residual distribution evaluated between pixel 0 and 1 (or 2 and 3) show a **single peak** as expected.

When tracks pass through the area where pixels are not coupled (between pixel 1 and 2) the residuals are pushed outwards because the neighbors cells (cell 0 and 3) also lights up.

This results in a **double peaked** distribution.



Schematic drawing of a 1x4 pixel grid of the DUT for  $25 \times 100 \mu\text{m}^2$  pixel cells



The residuals distributions evaluated on the corresponding areas of the same color

# Cluster size $25 \times 100 \mu\text{m}^2$

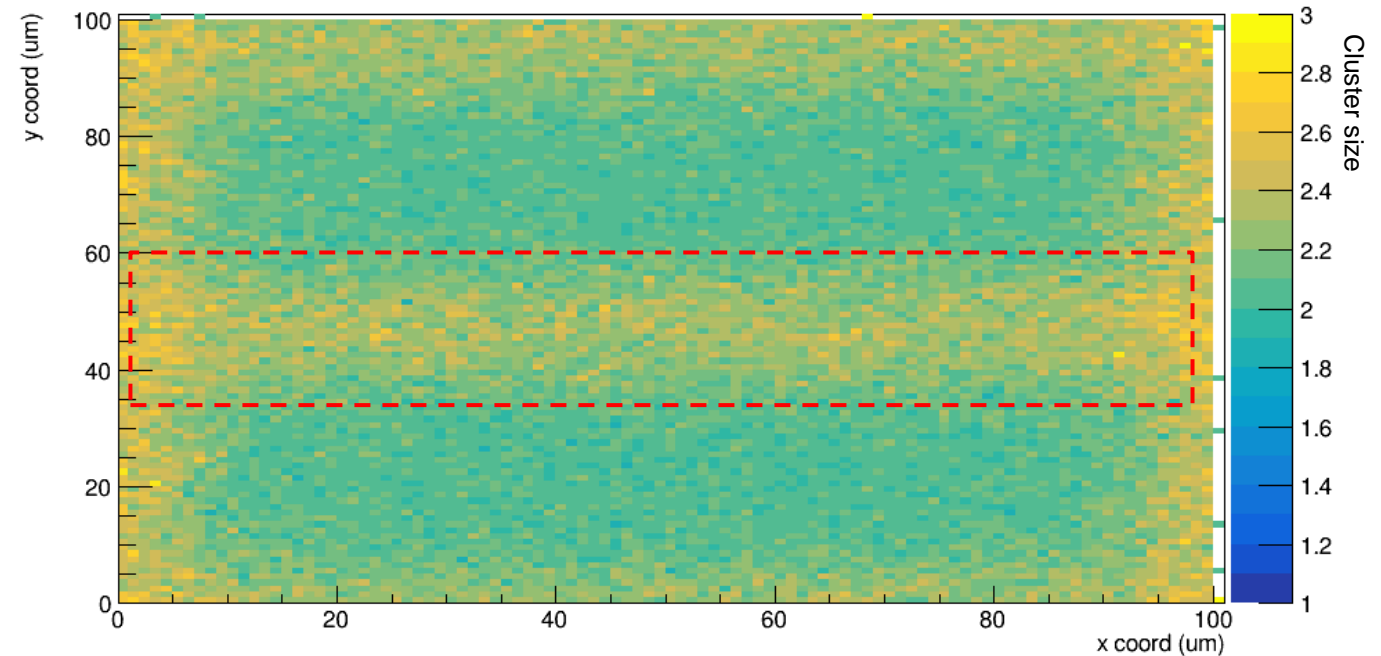
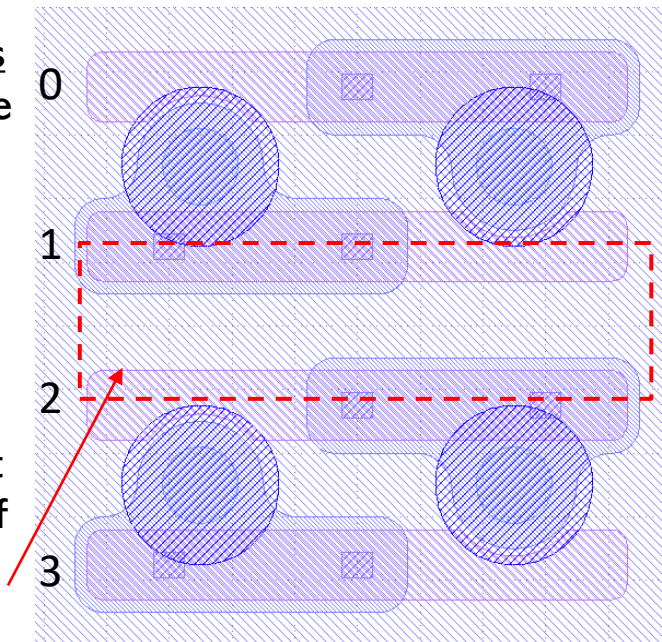
At high bias voltage the cluster size is higher at the intersection of non coupled pixels

Cluster size 2 hits are  $\approx 78\%$  of the total

When a particles passes through the area where the pixels are not coupled there is regular charge sharing between pixels 1 and 2

On top of regular charge sharing adjacent pixels might light up as a result of **cross talk**

This results in an increased cluster size in this area



Schematic drawing of a 1x4 pixel grid of the DUT (left) and corresponding cluster size map (right)



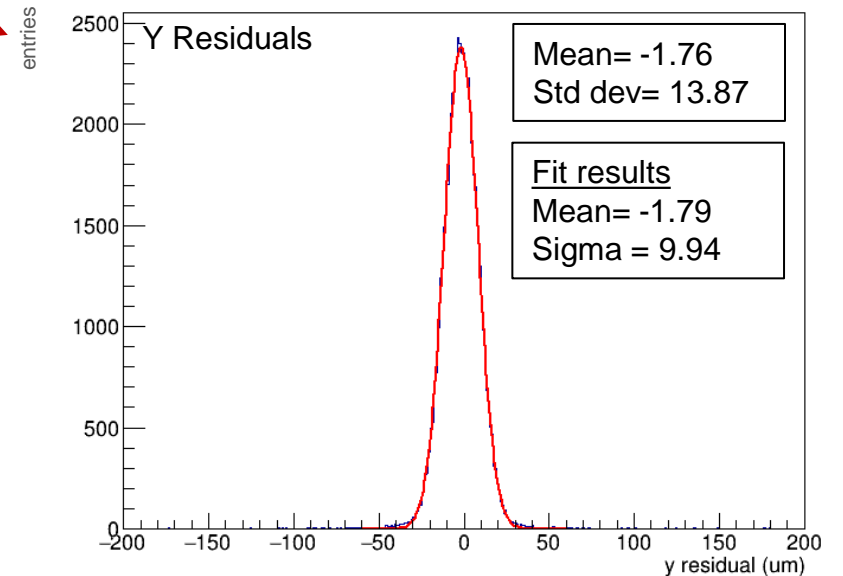
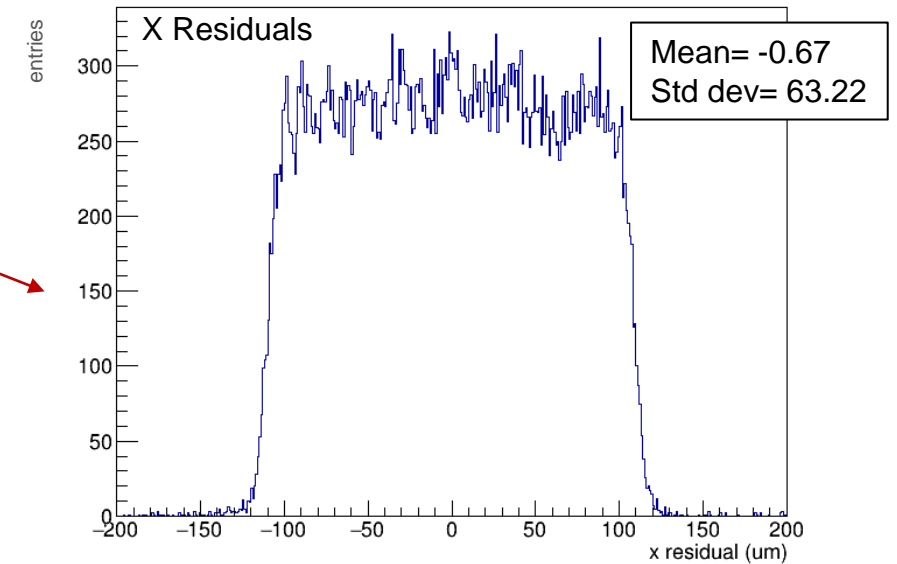
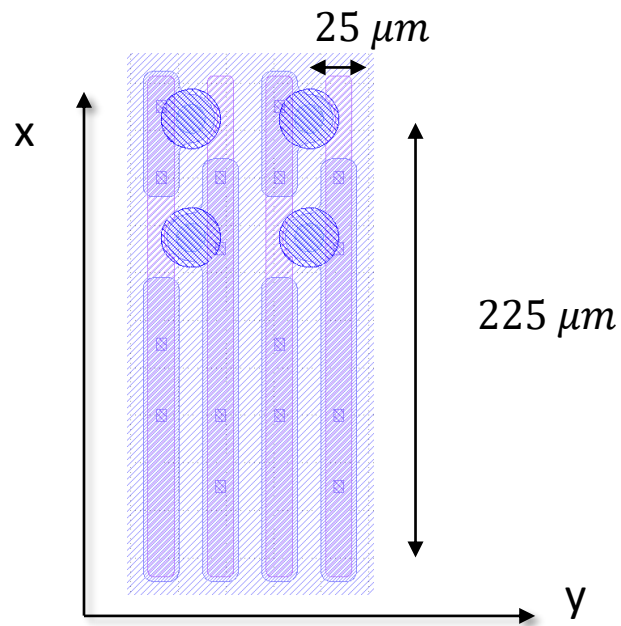
# Residuals $25 \times 225 \mu\text{m}^2$

Results for X residuals (long pitch) are in agreement with expectations.

- Digital resolution for the long pitch:  $225 \mu\text{m} / \sqrt{12} = 64.9 \mu\text{m}$

Results for Y residuals (short pitch) show discrepancies with the expected resolution.

- Rough estimate of the DUT resolution:  $\sqrt{\sigma_{DUT}^2 - \sigma_{TEL}^2} = 9.1 \mu\text{m}$
- Digital resolution for the short pitch:  $25 \mu\text{m} / \sqrt{12} = 7.2 \mu\text{m}$



# Y residuals $25 \times 225 \mu\text{m}^2$

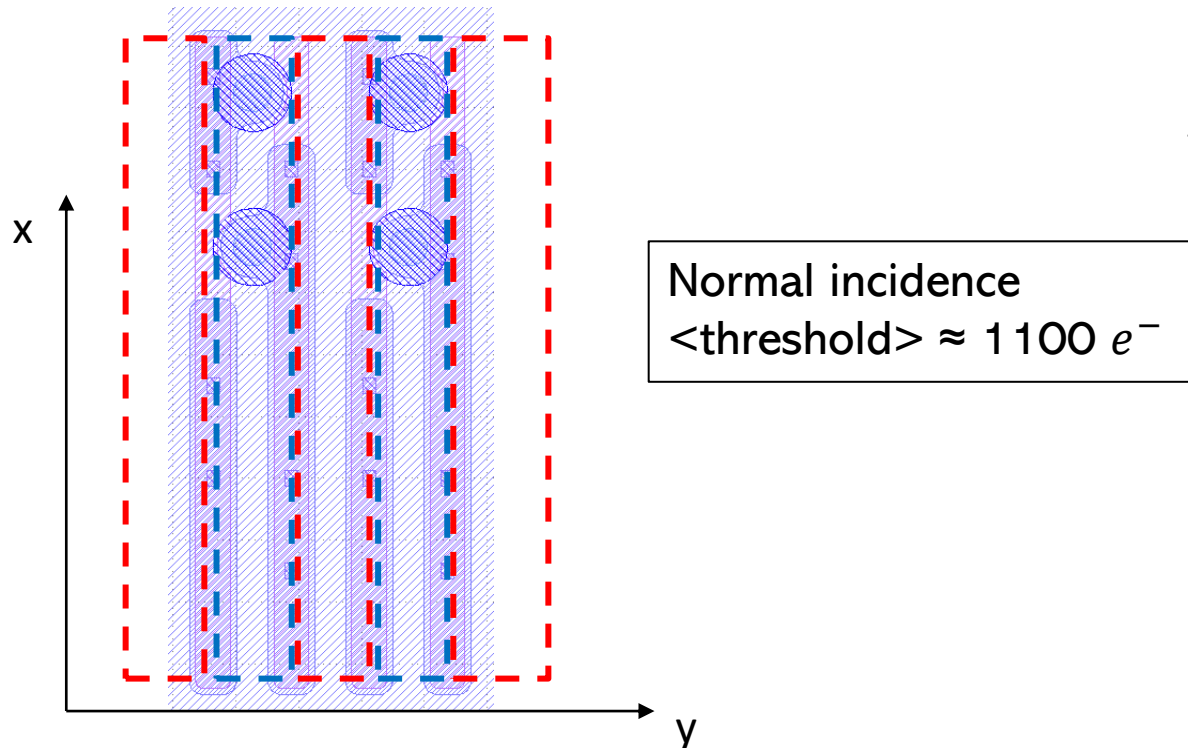
Study of Y residuals for areas between **coupled** and **non coupled** pixels

When tracks pass through the area where pixels are coupled we expect regular charge sharing.

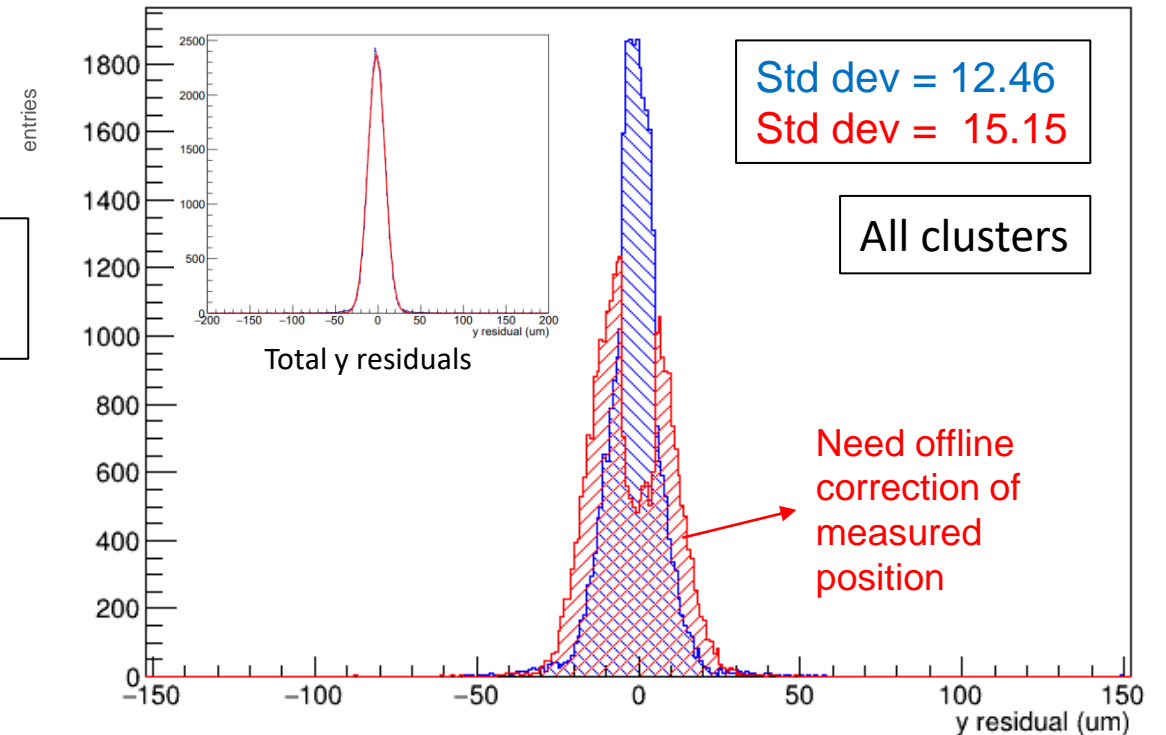
The residual distribution evaluated between pixel 0 and 1 (or 2 and 3) show a **single peak** as expected.

When tracks pass through the area where pixels are not coupled (between pixel 1 and 2) the residuals are pushed outwards because the neighbors cells (cell 0 and 3) also lights up.

This results in a **double peaked** distribution.



Schematic drawing of a 1x4 pixel grid of the DUT for  $25 \times 225 \mu\text{m}^2$  pixel cells



The residuals distributions evaluated on the corresponding areas of the same color

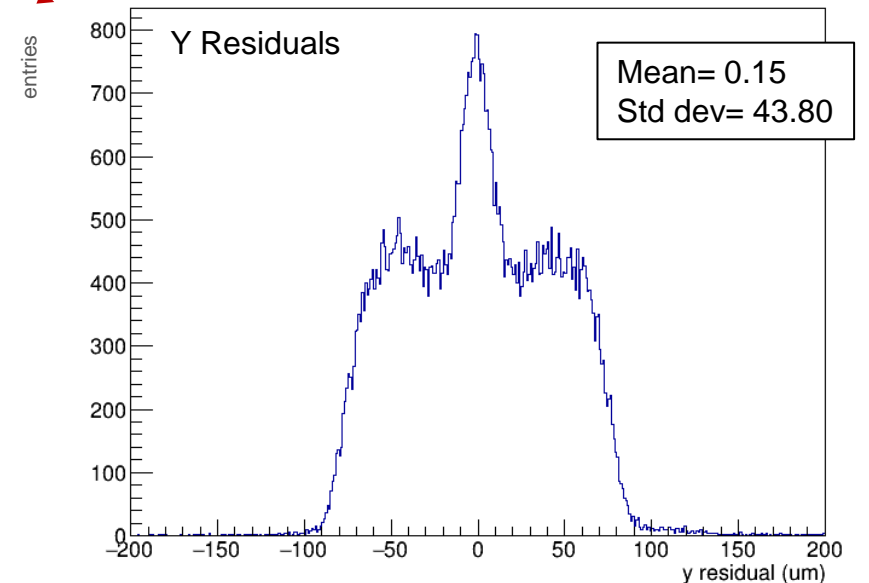
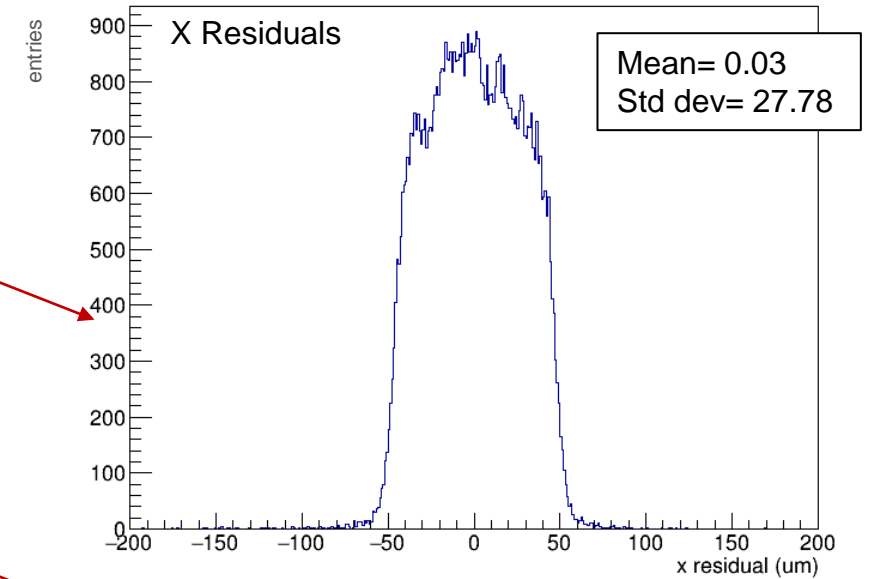
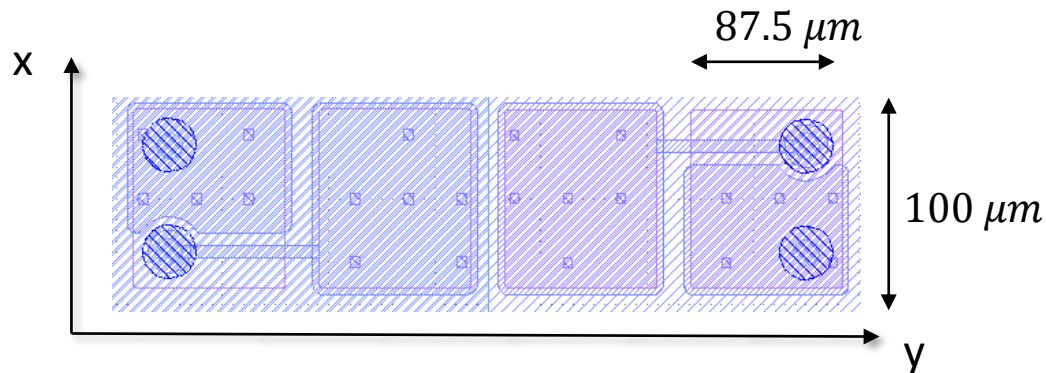
# Residuals $87.5 \times 100 \mu\text{m}^2$

Results for X residuals (long pitch) are in agreement with expectations.

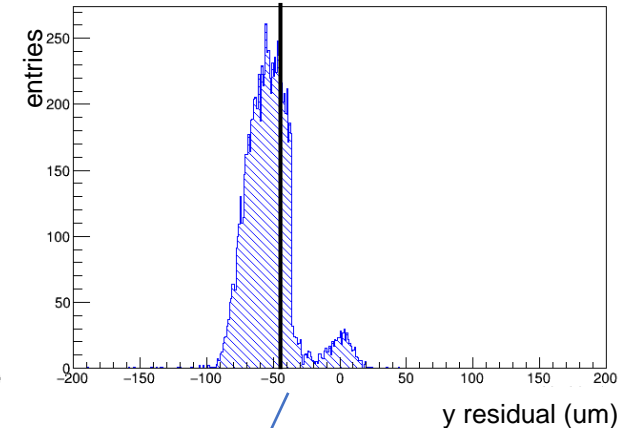
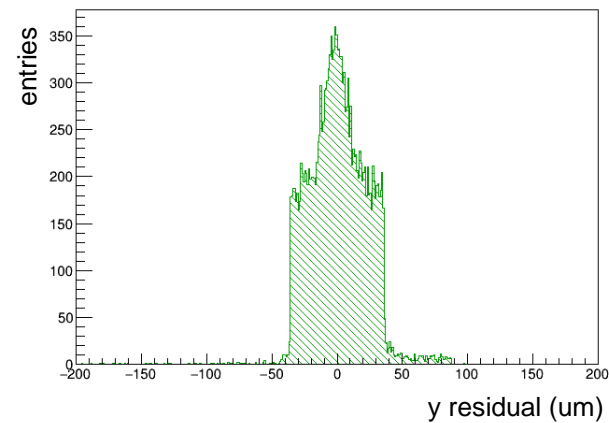
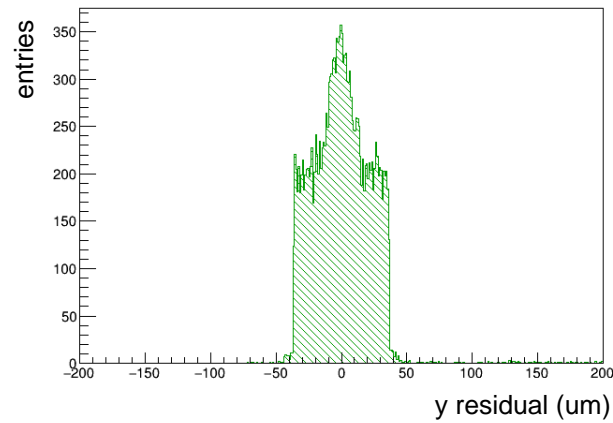
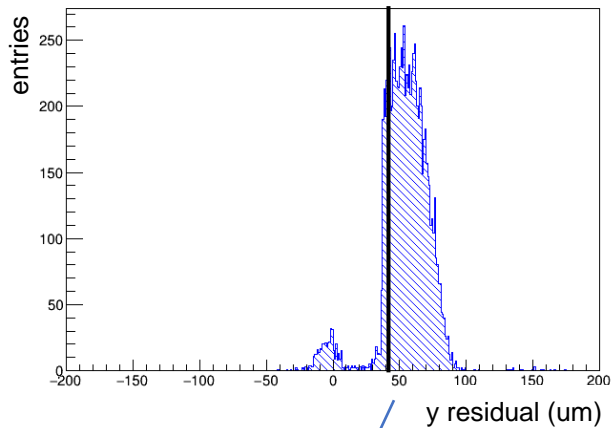
- Digital resolution for the long pitch:  $100 \mu\text{m} / \sqrt{12} = 28.9 \mu\text{m}$

Results for Y residuals (short pitch) show discrepancies with the expected resolution.

- Digital resolution for the short pitch =  $87.5 \mu\text{m} / \sqrt{12} = 25.3 \mu\text{m}$



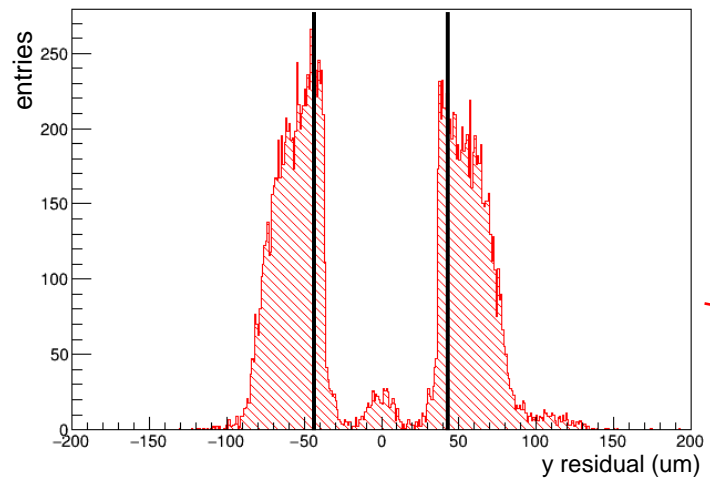
# Y residuals $87.5 \times 100 \mu\text{m}^2$



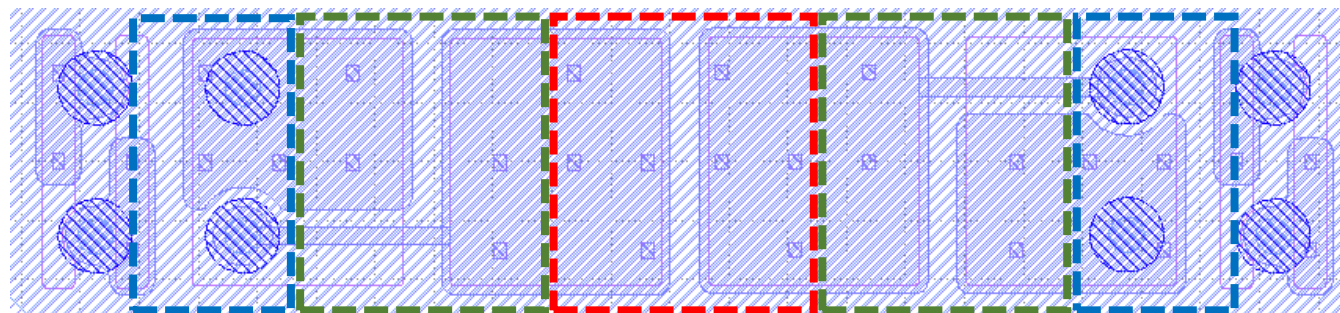
Residuals  $> 87.5/2 \mu\text{m}$  are mainly due to cross talk

Residuals distributions evaluated on the corresponding areas of the same color

Residuals  $< -87.5/2 \mu\text{m}$  are mainly due to cross talk

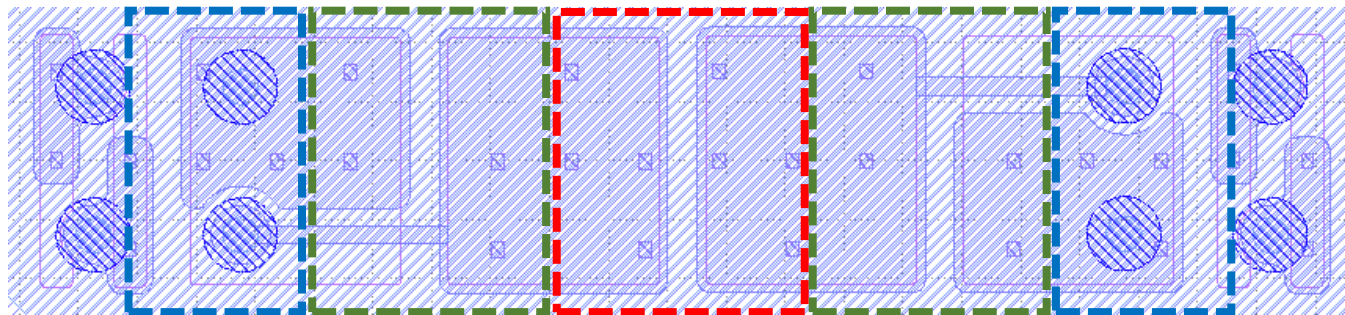
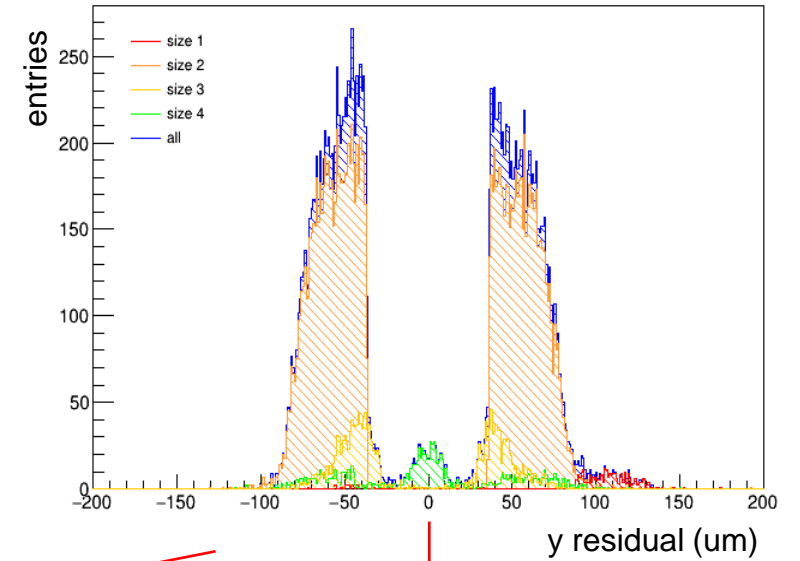
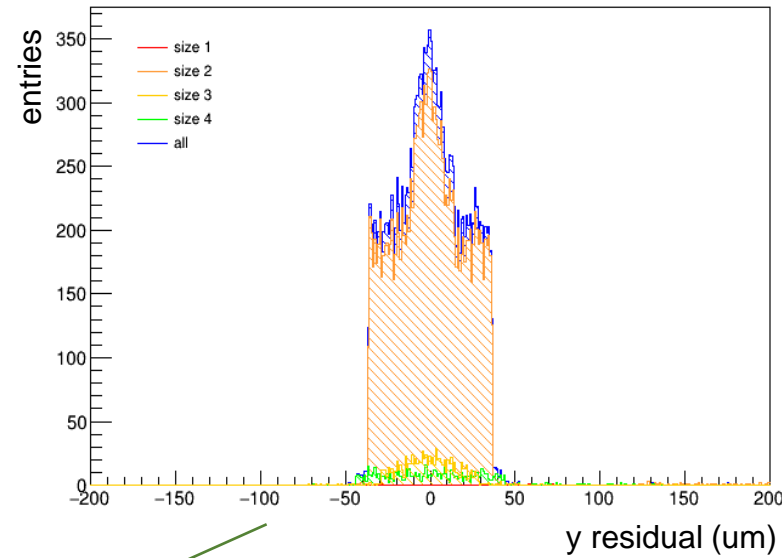
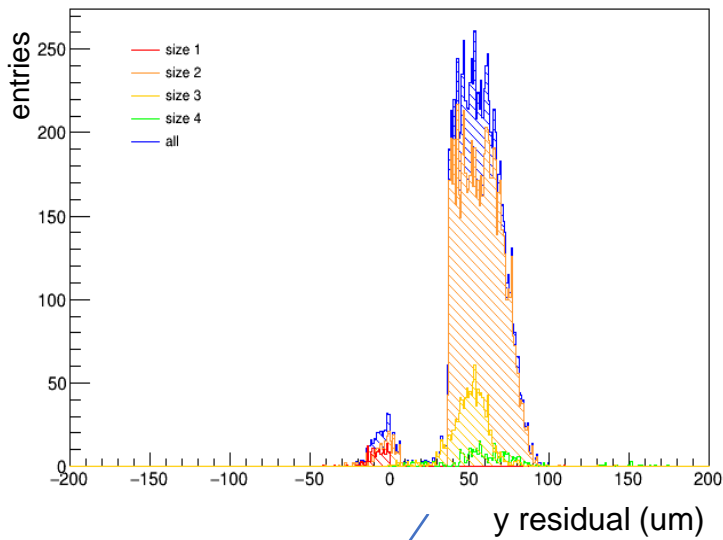


Residuals  $> 87.5/2 \mu\text{m}$  and  $< -87.5/2 \mu\text{m}$  are mainly due to cross talk



Schematic drawing of a 1x6 pixel grid of the DUT with 4 cells  $87.5 \times 100 \mu\text{m}^2$  and 2 cells  $25 \times 100 \mu\text{m}^2$

# Y residuals split by cluster size $87.5 \times 100 \mu\text{m}^2$



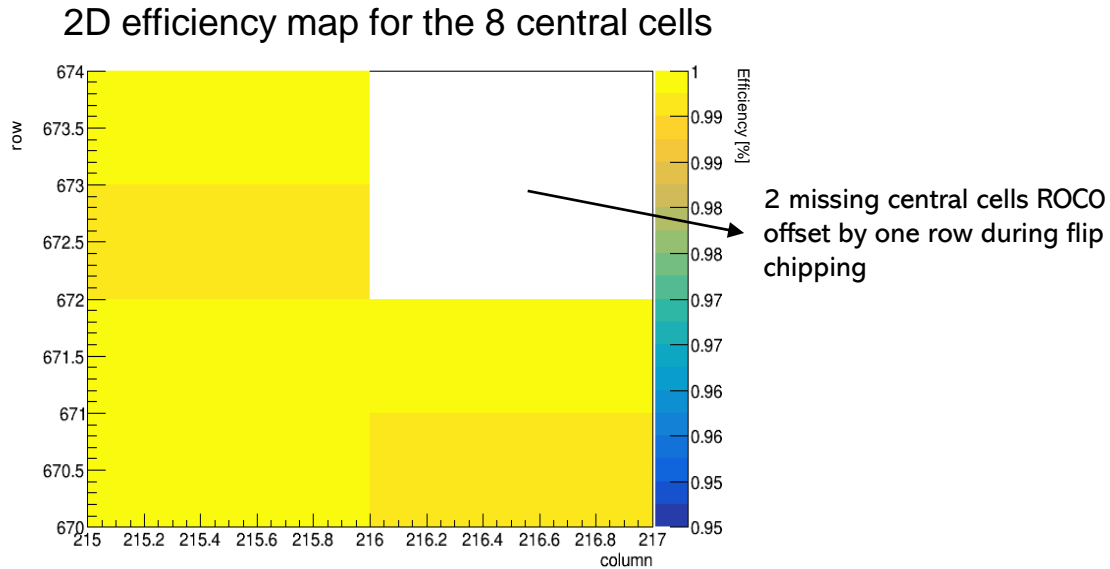
- residuals of cluster size 4 around 0 caused by the lighting up of all 4 cells  $87.5 \times 100 \mu\text{m}^2$
- no residuals of cluster size 2 around 0

Normal incidence  
<threshold>  $\approx 1100 e^-$

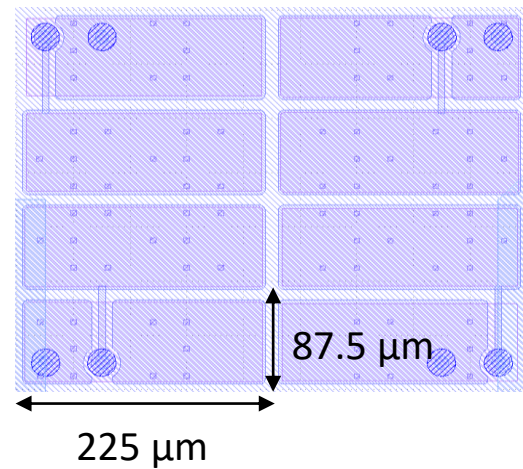
Cluster size 2 hits are  $\approx 80\%$  of the total

# Hit efficiency studies

## Efficiency for the 8 special central cells ( $87.5 \times 225 \mu\text{m}^2$ )



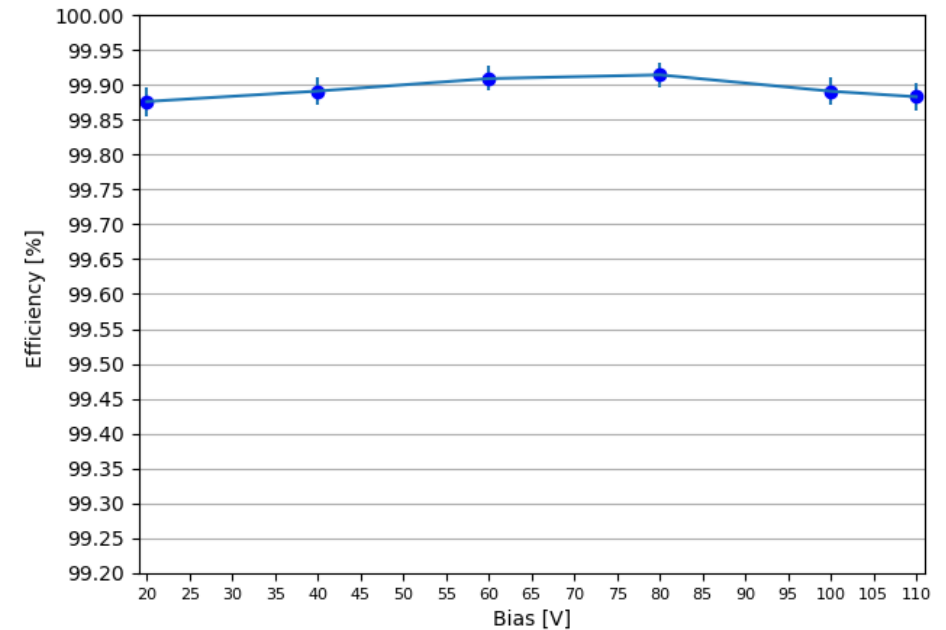
Schematic drawing of the 8 central cells of the DUT



## Efficiency of all illuminated area of the module

- Mean efficiency for quad module averaged **over all illuminated area**:  **$99.895 \pm 0.003 \%$**  at 100 V bias

Efficiency as a function of  $V_{\text{bias}}$



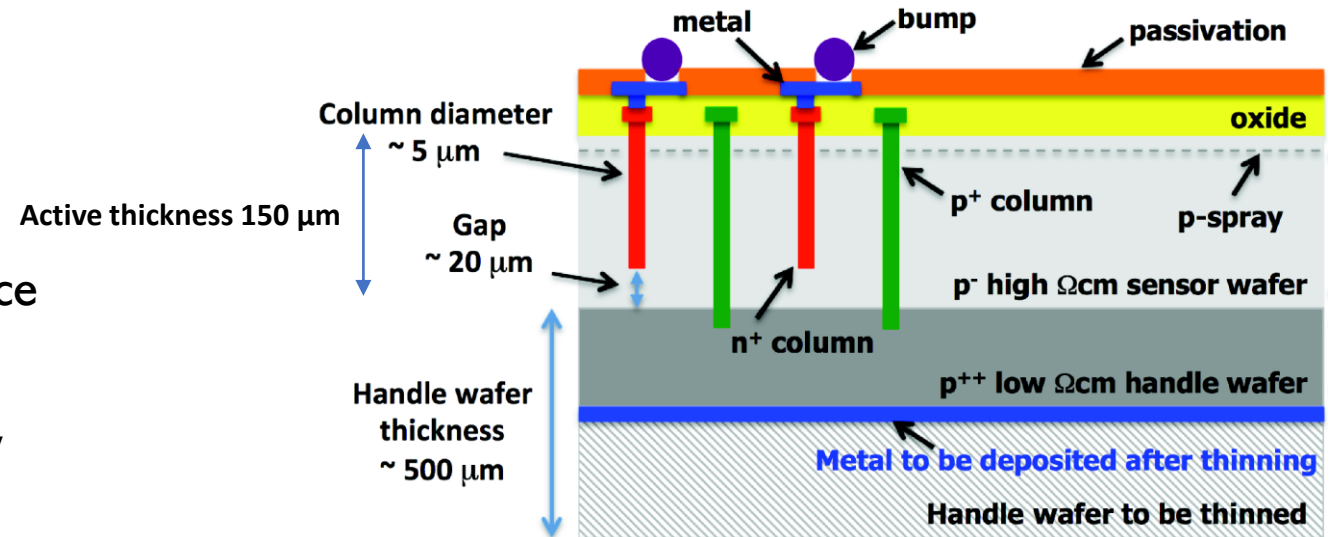
The efficiency is  $> 99.8\%$  even with  $V_{\text{bias}} = 20\text{V}$

# 3D sensors - CERN Test Beam November 2022

## 3D pixel sensors ( $25 \times 100 \mu\text{m}^2$ )

- Made by the FBK foundry in Trento, Italy, in collaboration with INFN
- Sensors equipped with the CROC
- Modules irradiated up to an equivalent fluence of  $1 \times 10^{16} \text{ neq/cm}^2$

Test Beam performed at CERN test beam facility with 75 GeV protons



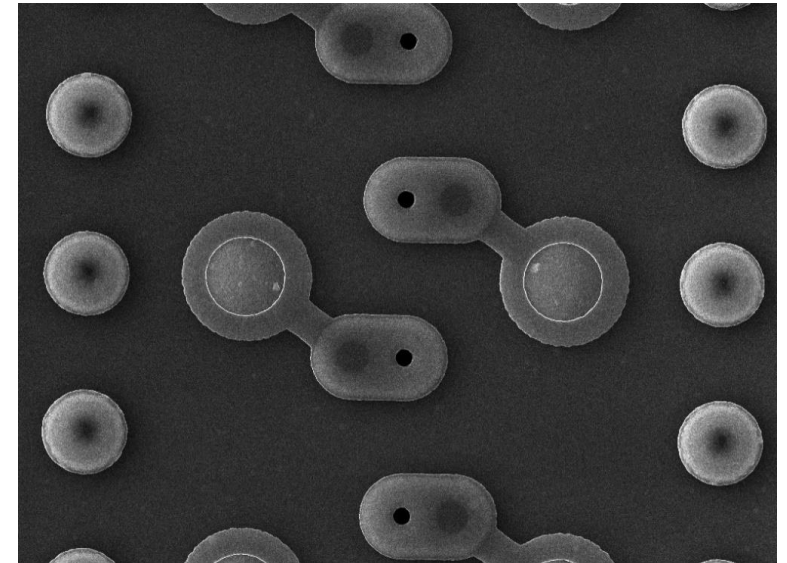
## TELESCOPE SET UP:

See talk by Clara Lasaosa

- 5 planar pixels telescope planes ( $13 \times 13 \mu\text{m}^2$ ), 3 DUTs planes (3D pixel sensor with  $25 \times 100 \mu\text{m}^2$  pitch read out by CROC) and 1 reference plane

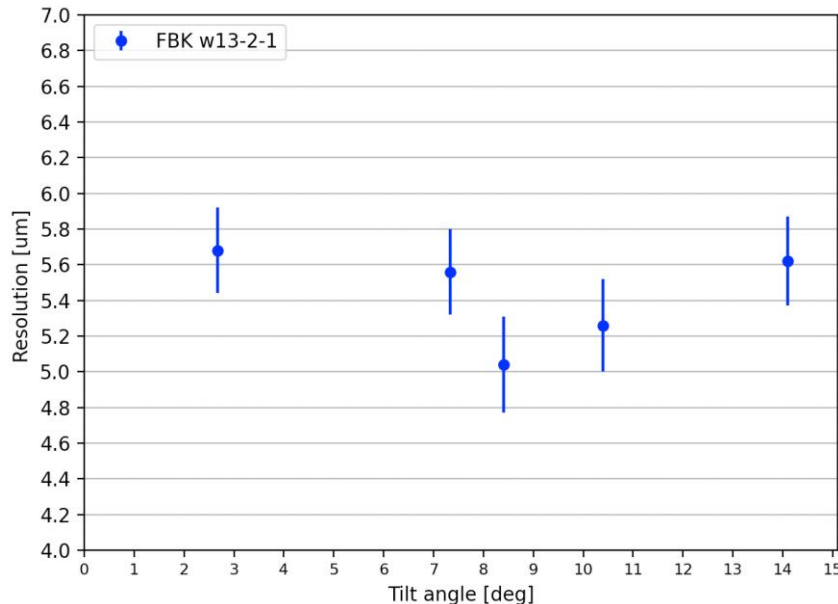
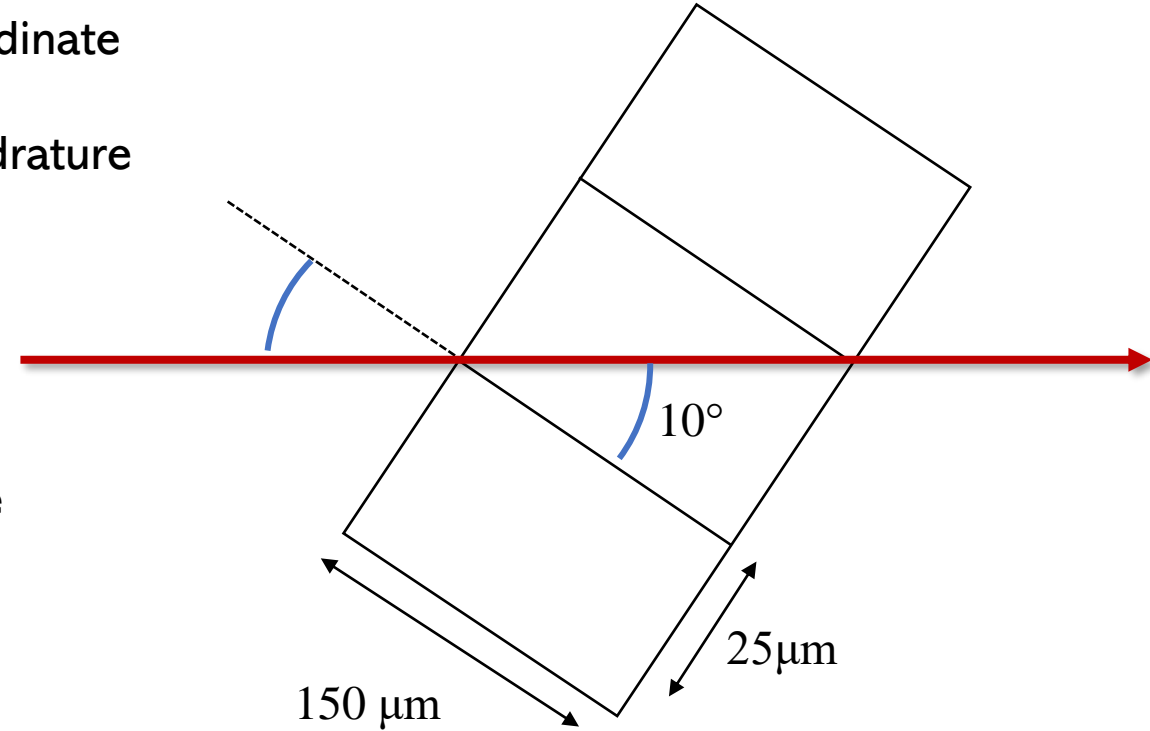
## TRACK SELECTION AND HIT ASSOCIATION:

- tracks with hits on 5 (4 mimosa26+reference) telescope planes
- hits associated with tracks on DUT within  $135 \times 35 \mu\text{m}^2$  ellipse
- track fit  $\chi^2/dof < 10$



# 3D sensors - Resolution vs. Turning Angle

- The module was turned around the short pitch 25  $\mu\text{m}$  coordinate
- Telescope resolution  $\sigma_{\text{TEL}}$  ( $\approx 6.9 \mu\text{m}$ ) was subtracted in quadrature from the DUT residual
- Reached  $\approx 5 \mu\text{m}$  for all tested modules  $\rightarrow$  well below digital resolution
- Minimum of spatial resolution obtained around  $9^\circ$  tilt angle



**CROC Spatial Resolution: about  
 $5 \mu\text{m}$  at  $1 \times 10^{16} \text{ neq}/\text{cm}^2$**



# Summary

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The **quad planar module** read out by 4 CROCs is the first module of its kind to be tested for CMS phase 2

- Very encouraging efficiencies performances even for **non-standard pixels cell** in the inter-chip region
- Studied biases of position estimates due to cross talk in standard and non-standard pixel cells → offline correction foreseen
- These studies will be complemented with laboratory measurements to evaluate the cross-talk of standard and non-standard cells

## Future plans:

- More double and quad modules will be tested in the near future, before and after irradiation
- More accurate efficiencies studies are foreseen to better understand the performances

**3D pixels modules** are confirmed to be used for the inner layers of the silicon trackers to be built for the HL-LHC.

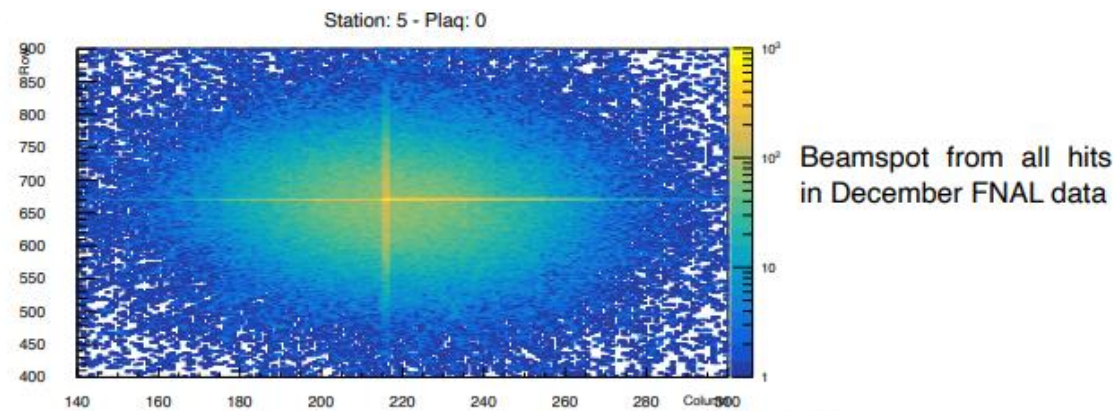
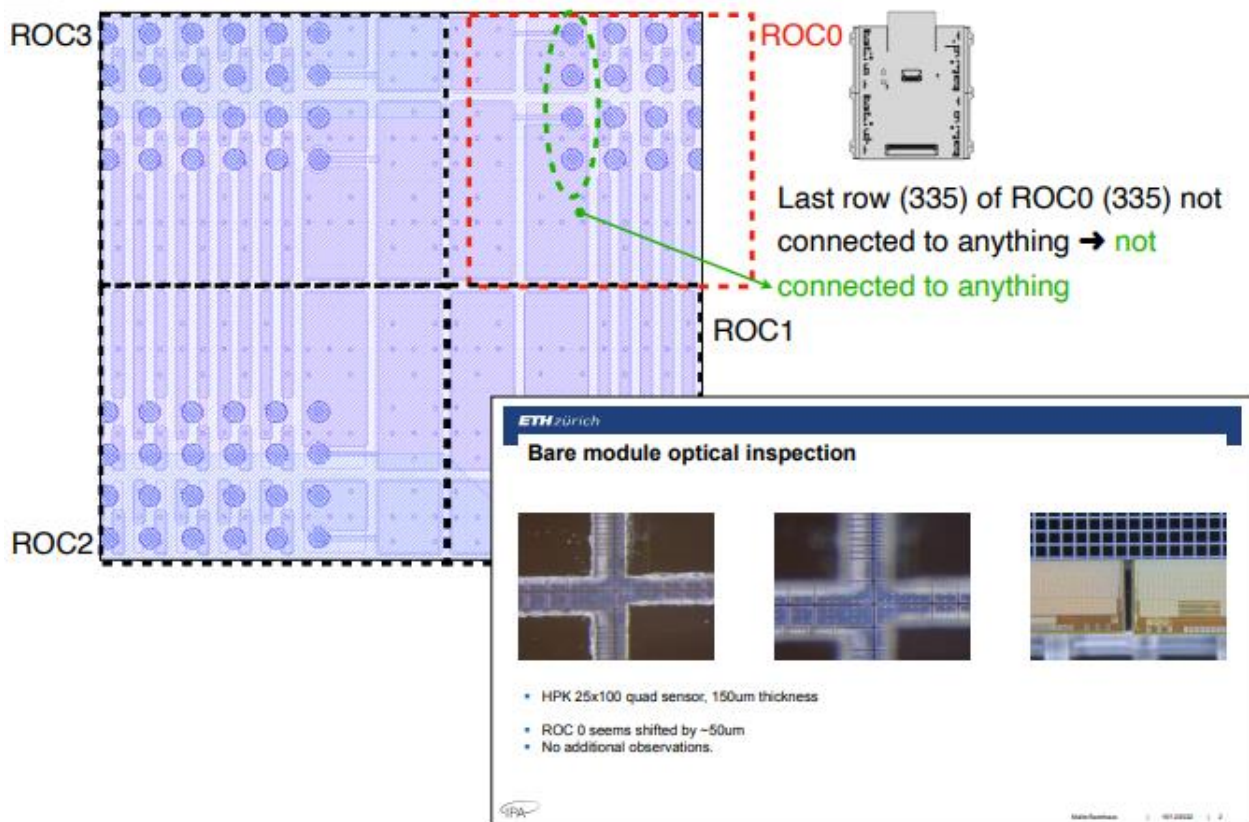
- After irradiation up to a fluence of  $1 \times 10^{16}$  neq/cm<sup>2</sup> we measured an hit resolution of about 5 μm

## Future plans:

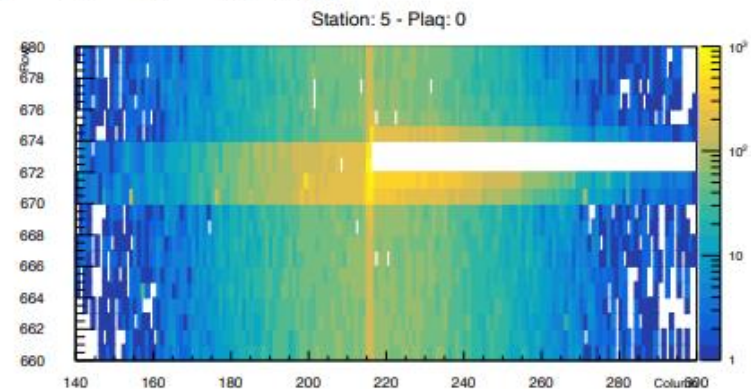
- New test beam campaigns are foreseen with sensors irradiated at higher fluences

**BACK UP SLIDES**

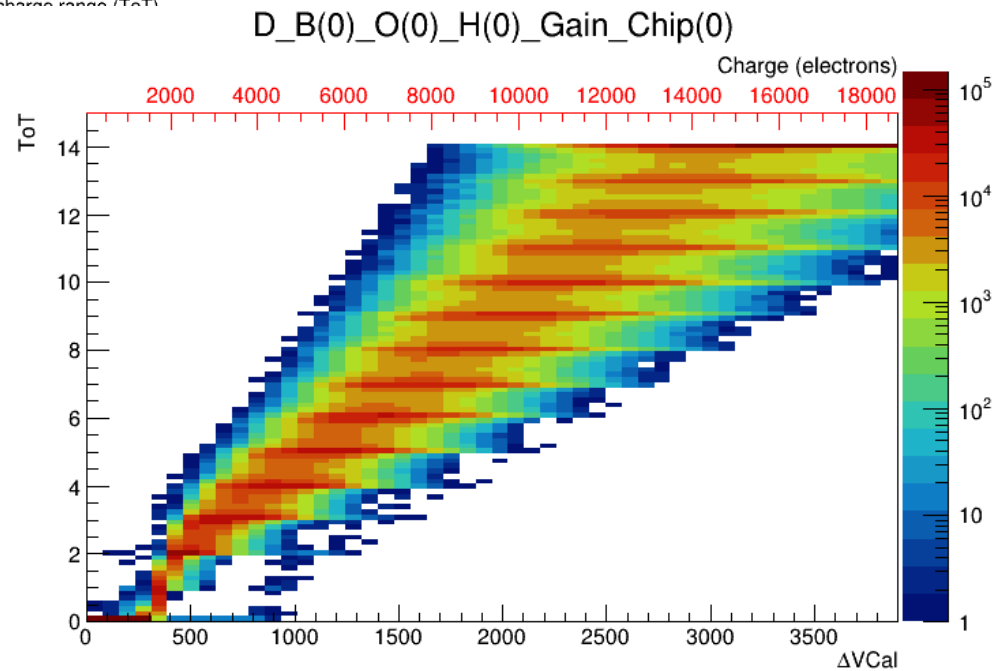
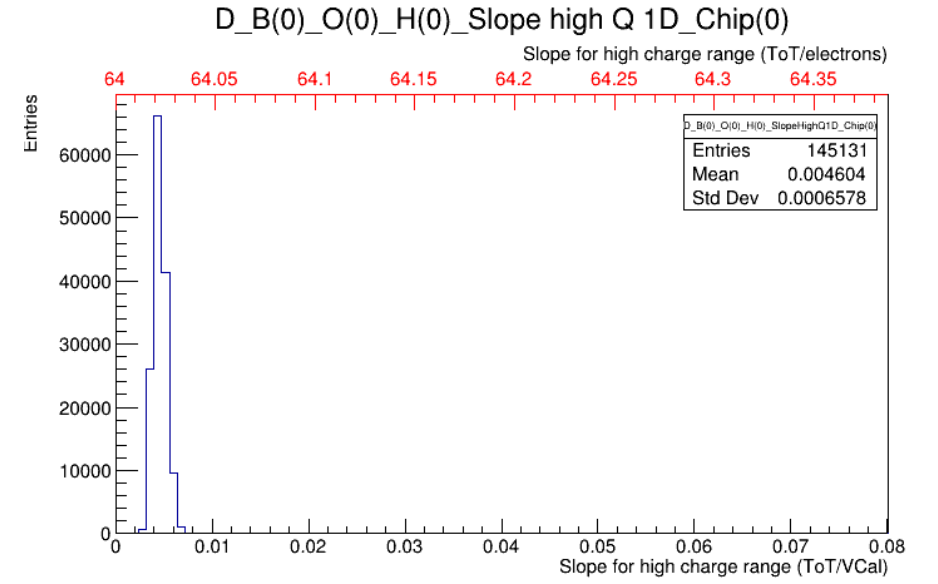
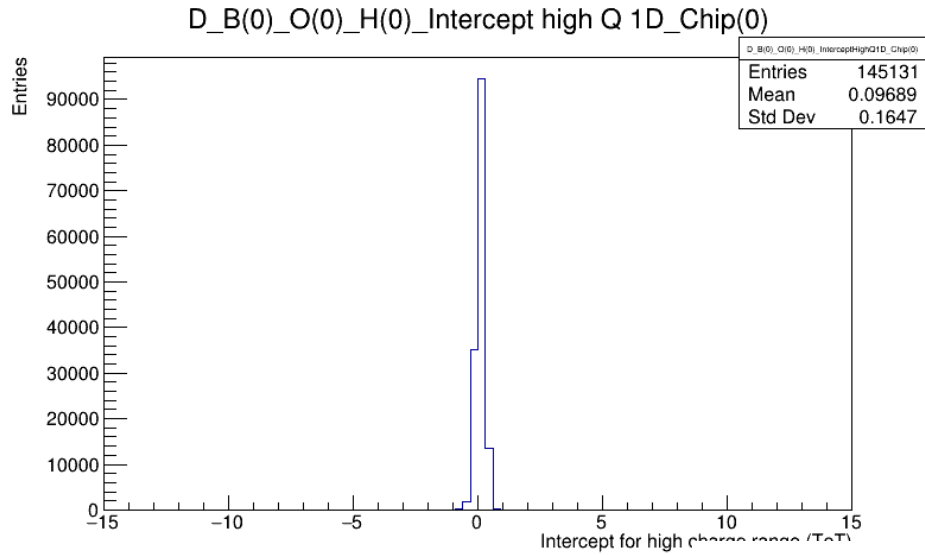
# Quad module cell Geometry



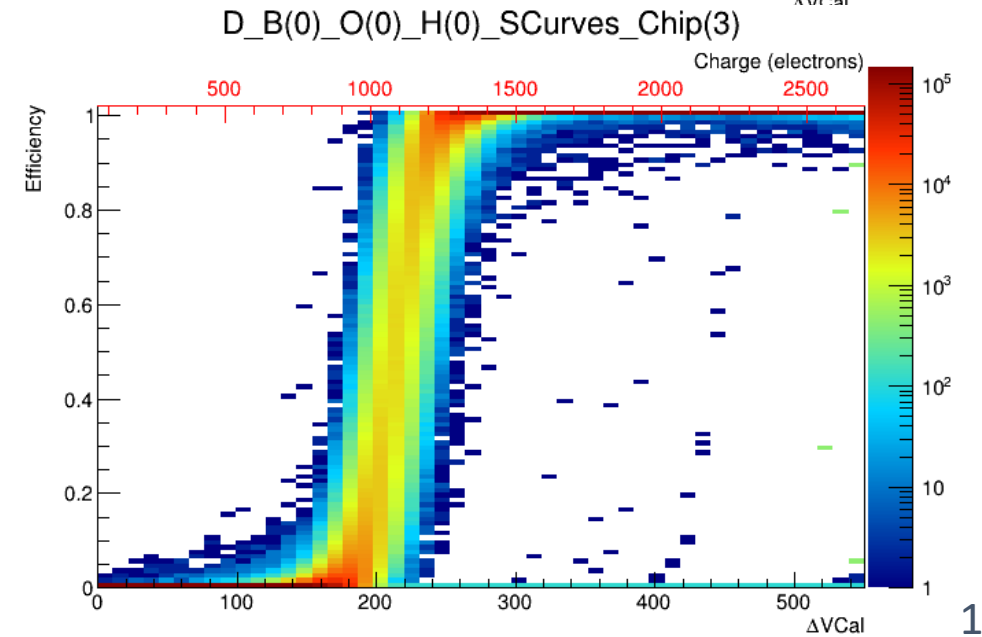
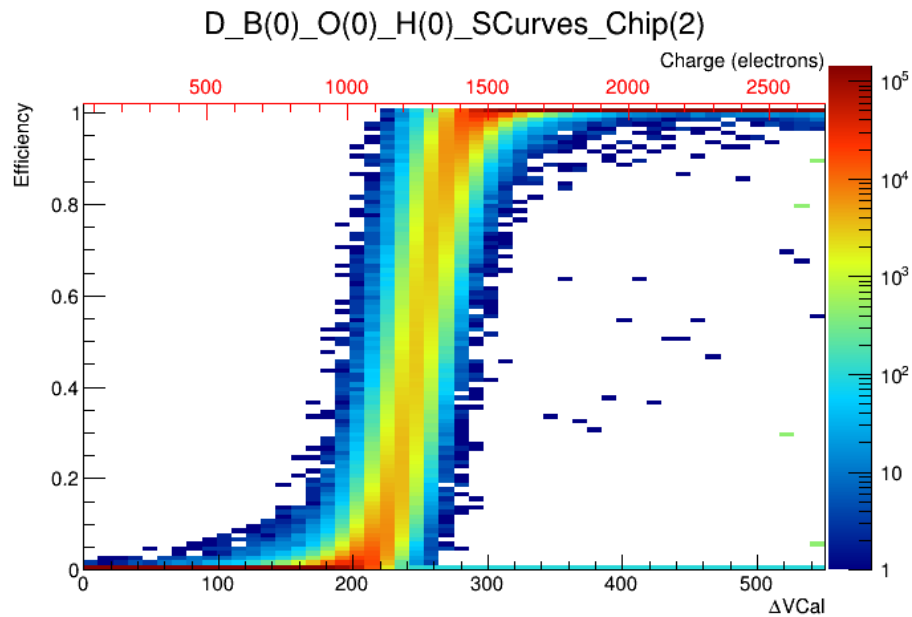
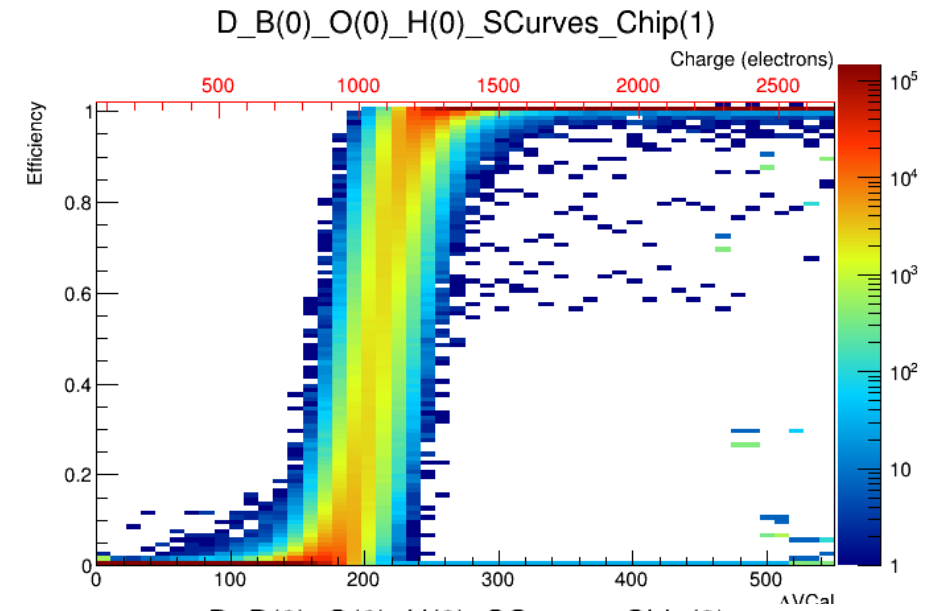
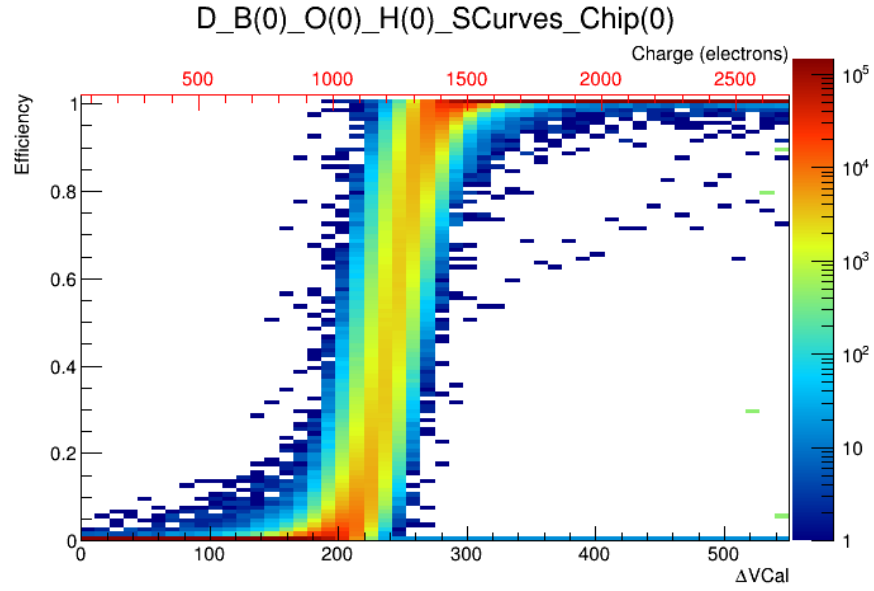
Zoom-in on previous plot



# Calibrations

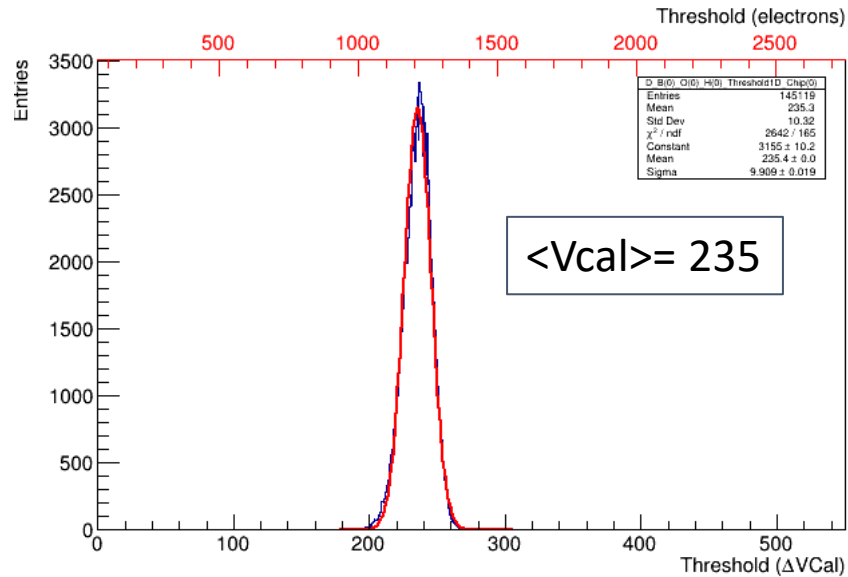


# Calibrations



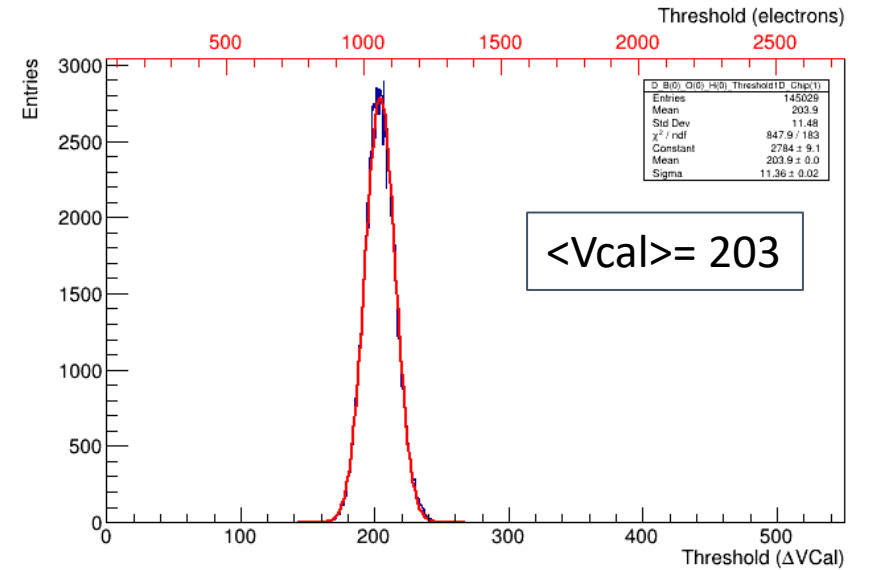
# Calibrations

D\_B(0)\_O(0)\_H(0)\_Threshold Distribution\_Chip(0)

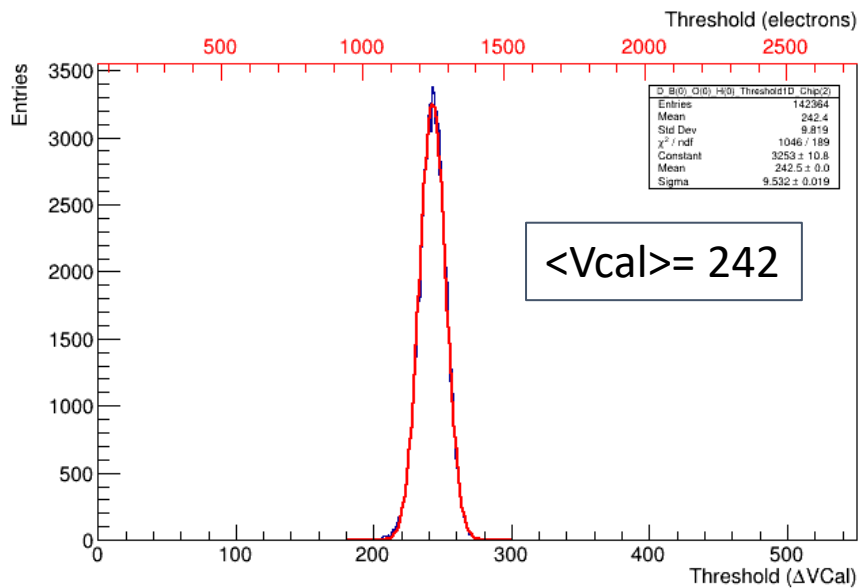


1 Vcal  $\approx$  5 electrons

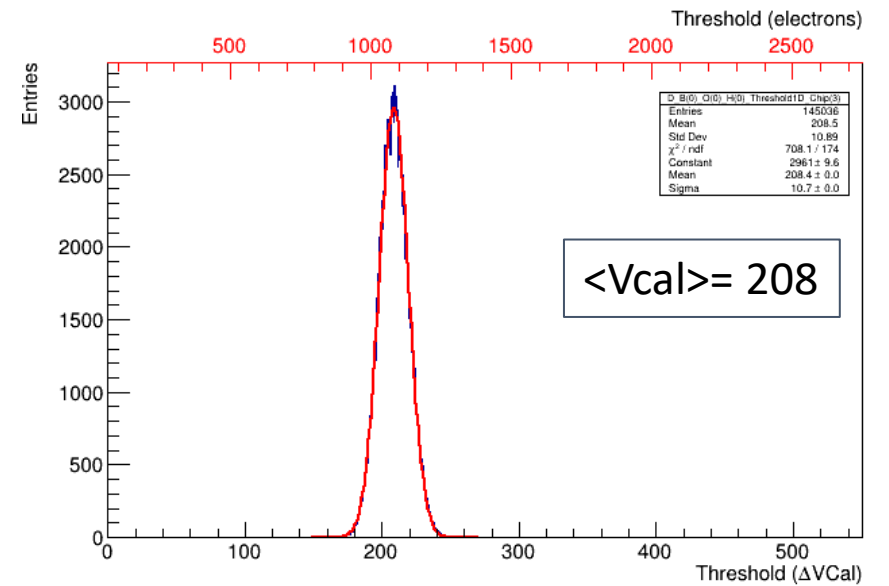
D\_B(0)\_O(0)\_H(0)\_Threshold Distribution\_Chip(1)



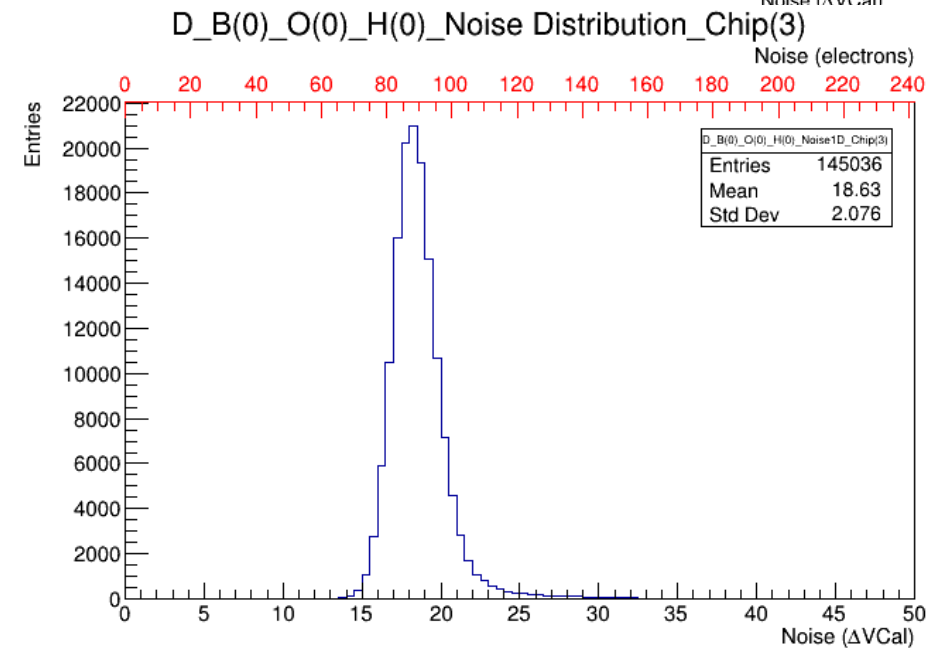
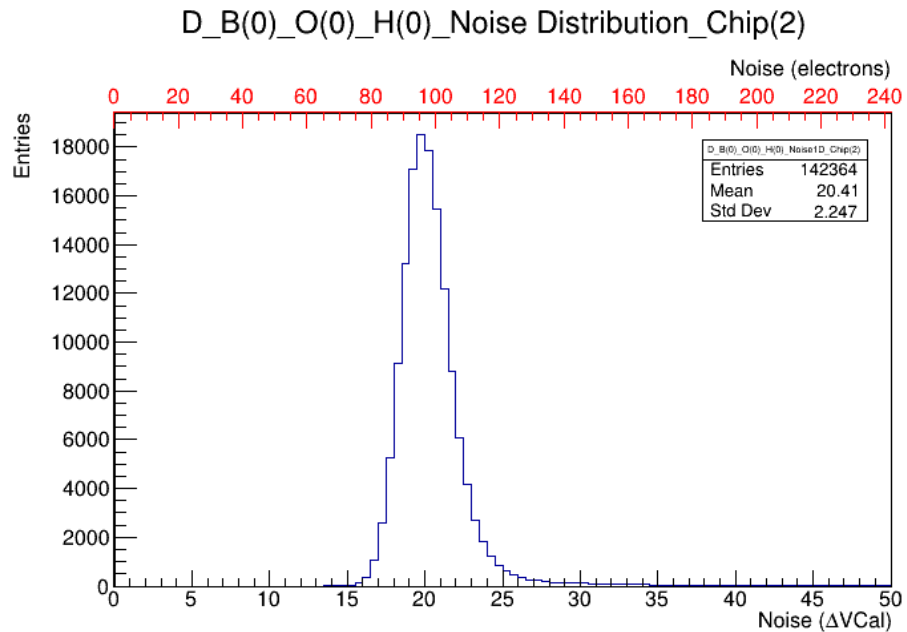
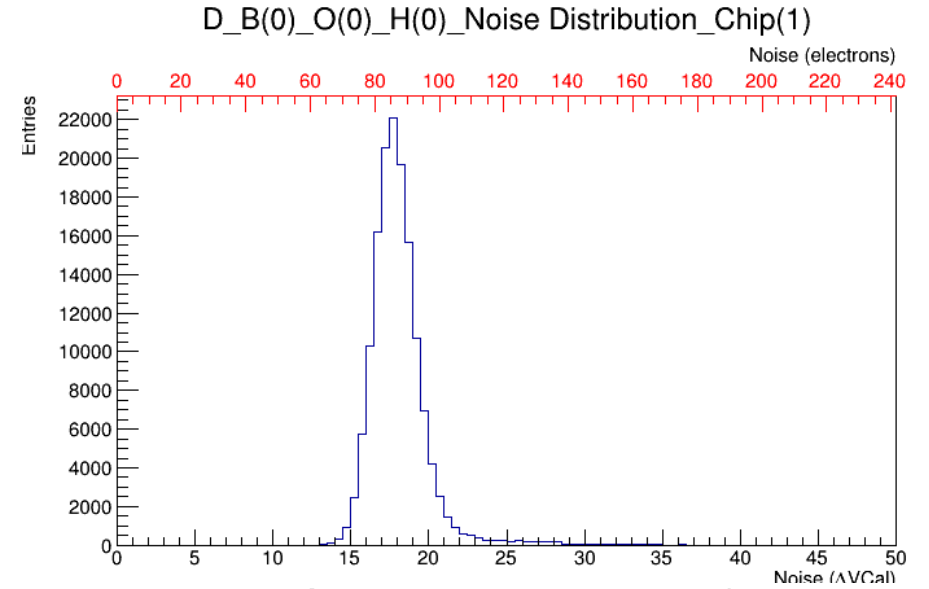
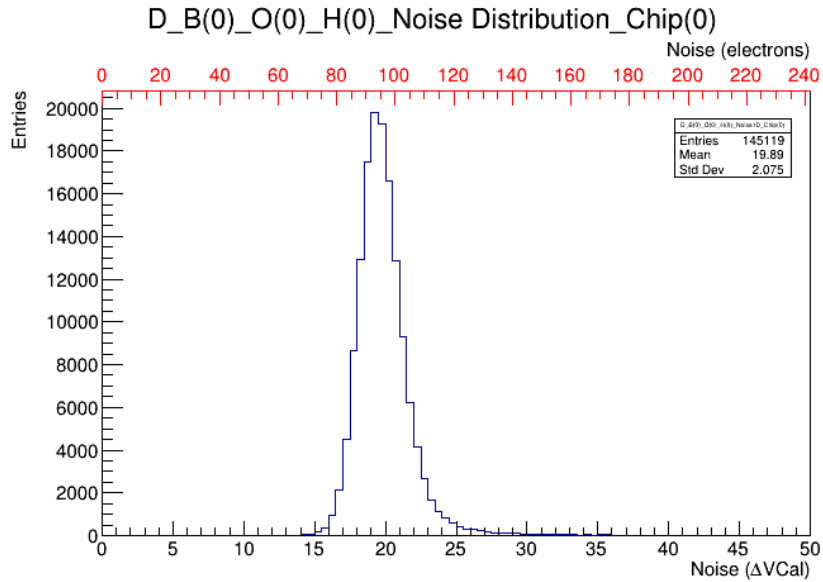
D\_B(0)\_O(0)\_H(0)\_Threshold Distribution\_Chip(2)



D\_B(0)\_O(0)\_H(0)\_Threshold Distribution\_Chip(3)

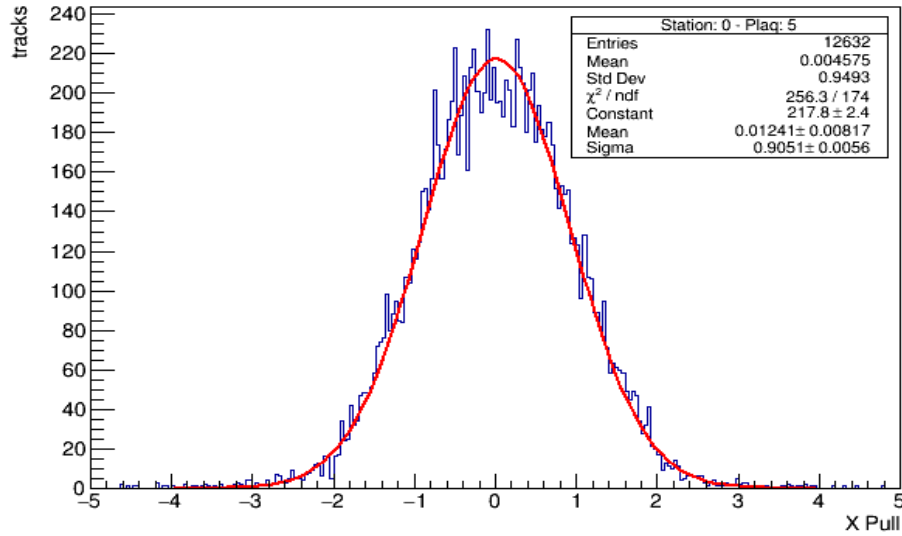


# Calibrations

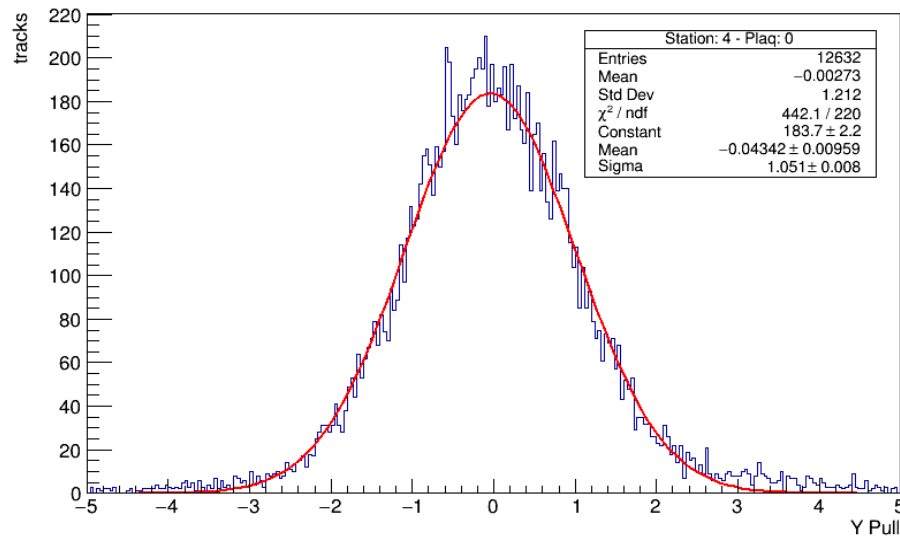


# Alignment

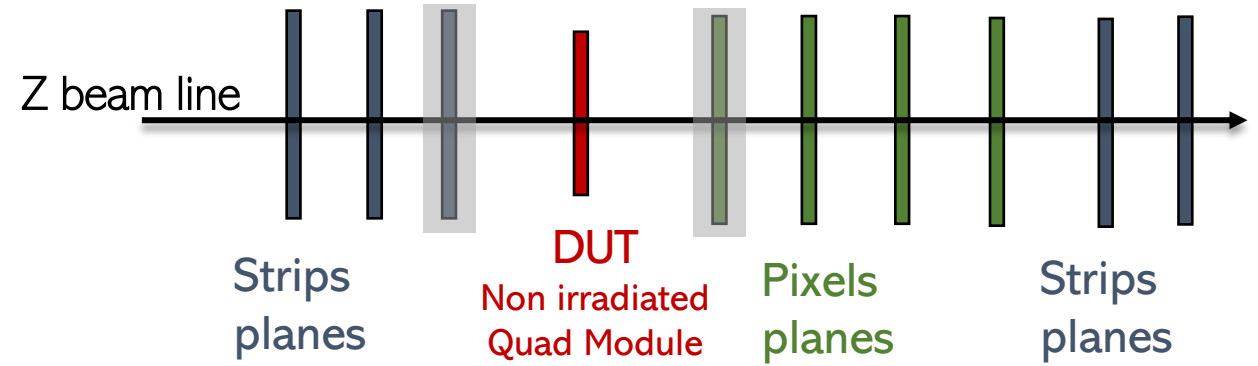
### Strip Telescope Upstream Pulls



### Pixel Telescope Downstream Pulls



Pulls for telescope strip plane and pixel plane closer to the DUT

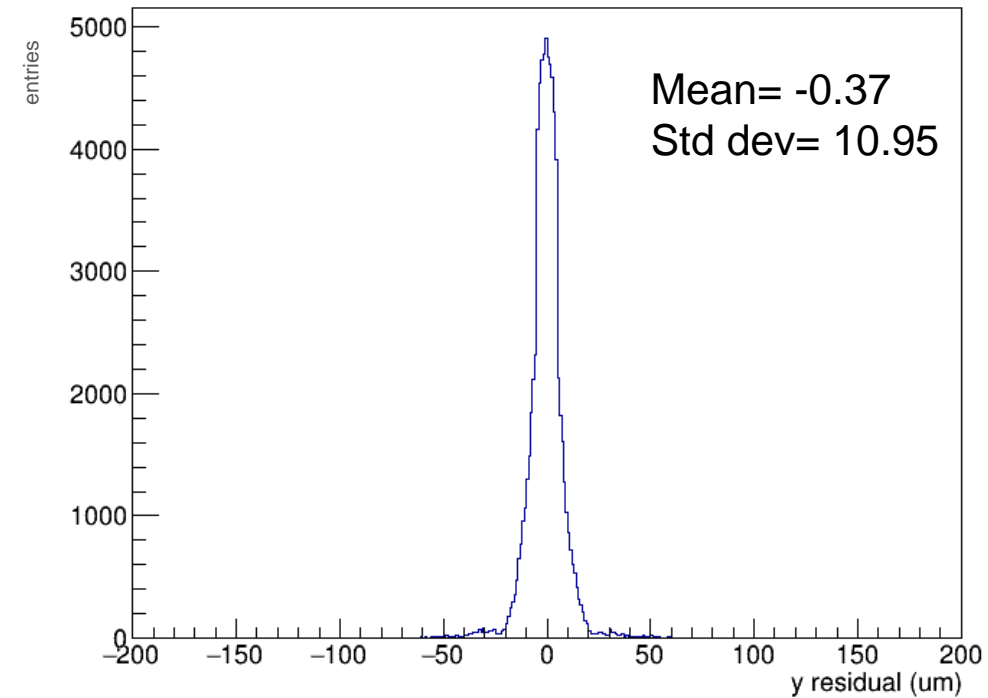




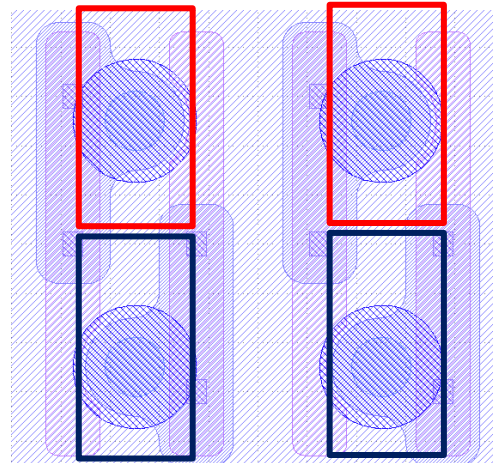
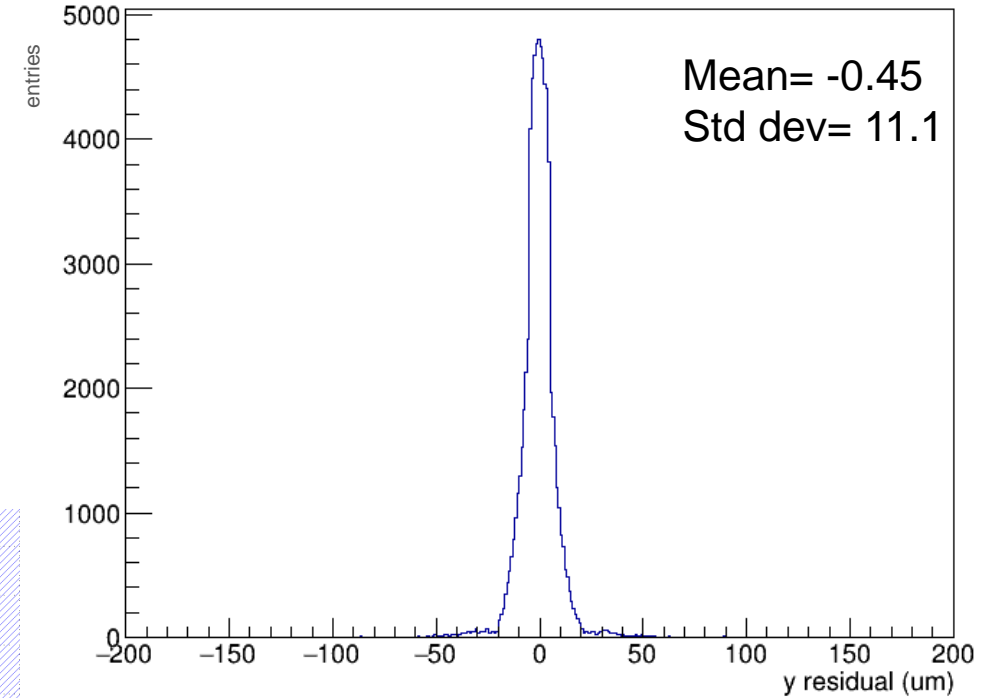
# 25x100 $\mu\text{m}^2$

No asymmetry observed for Y residual distribution for the top half and bottom half of the cells

Top half Y residuals in-between bumps



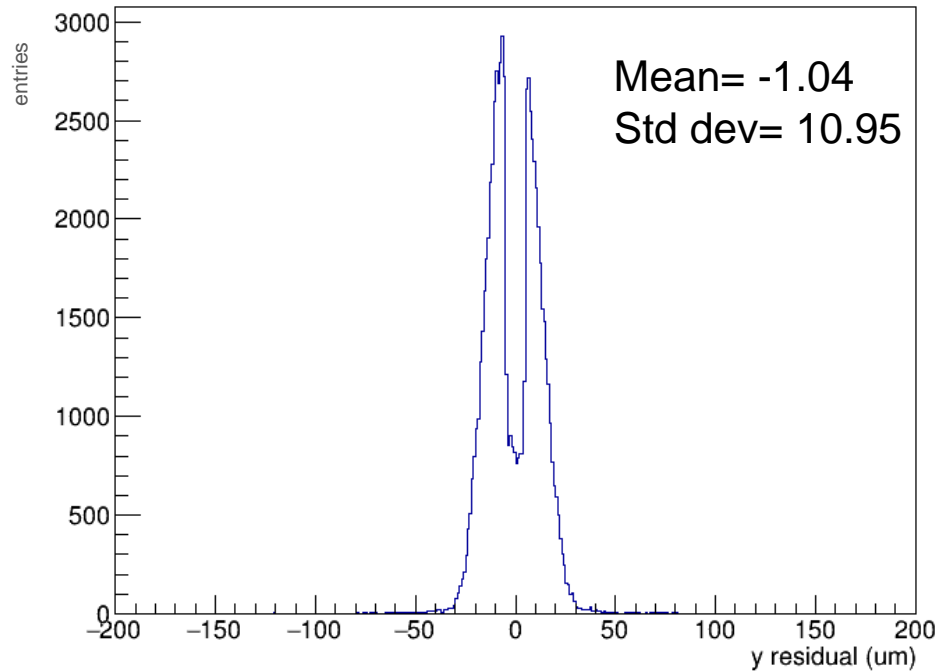
Bottom half Y residuals in-between bumps



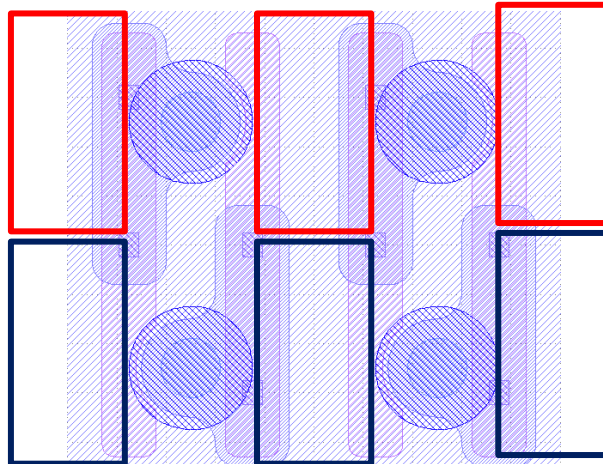
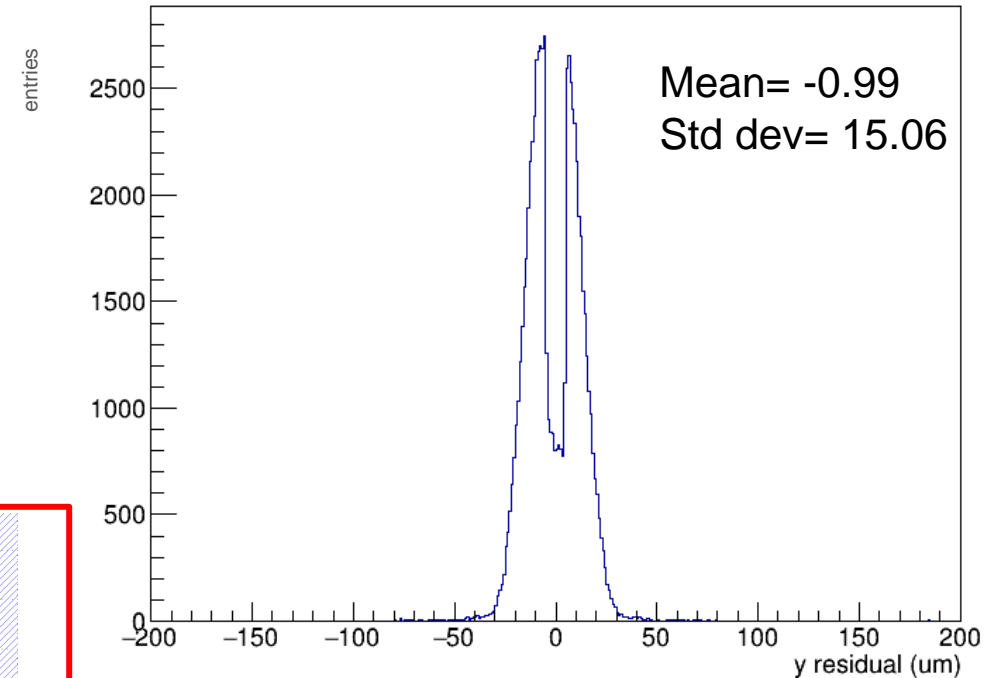
# 25x100 $\mu\text{m}^2$

No asymmetry observed for Y residual distribution for the top half and bottom half of the cells

Top half Y residuals not in-between bumps

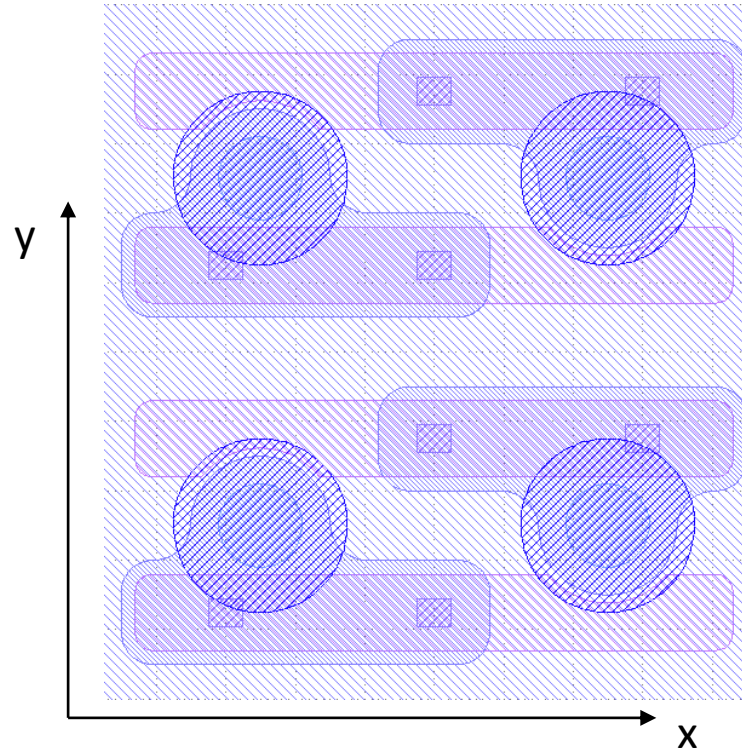


Bottom half Y residuals not in-between bumps



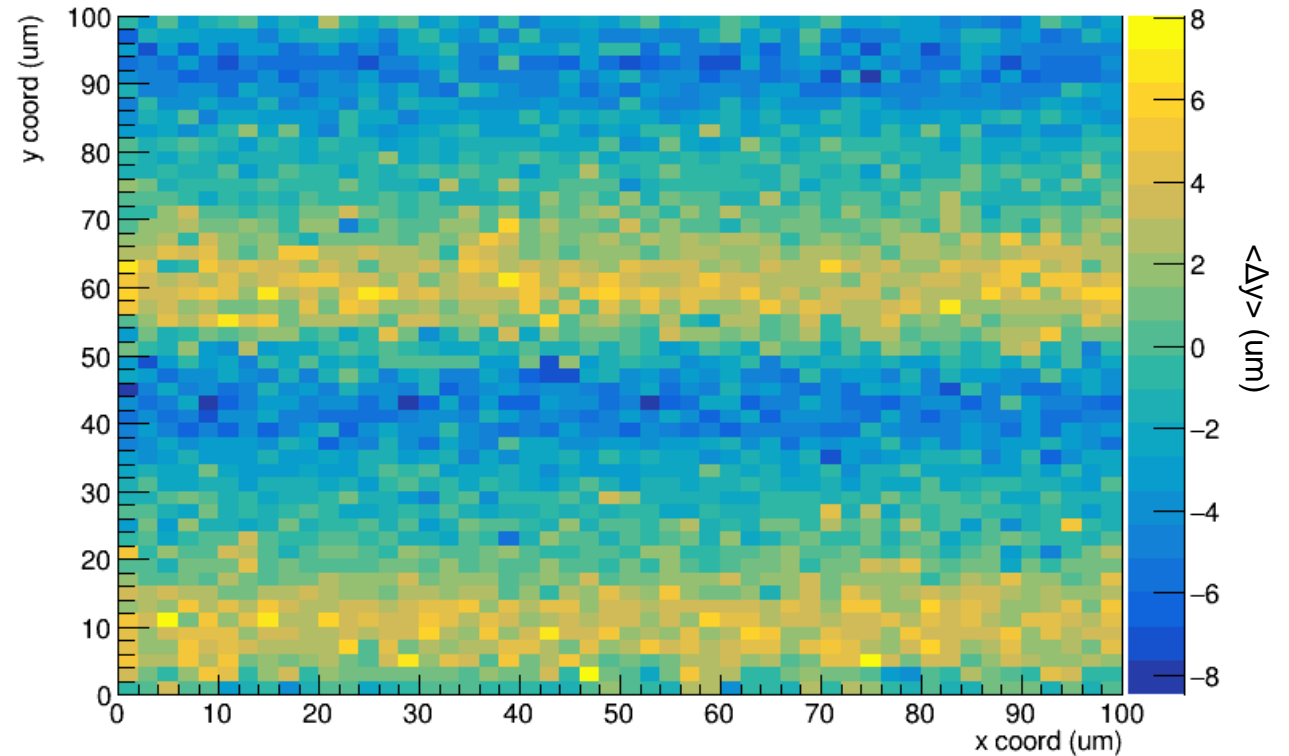
# 2D map of Y Residuals $25 \times 100 \mu m^2$

Confirms the absence of asymmetry for Y residual distribution for the top half and bottom half of the cells



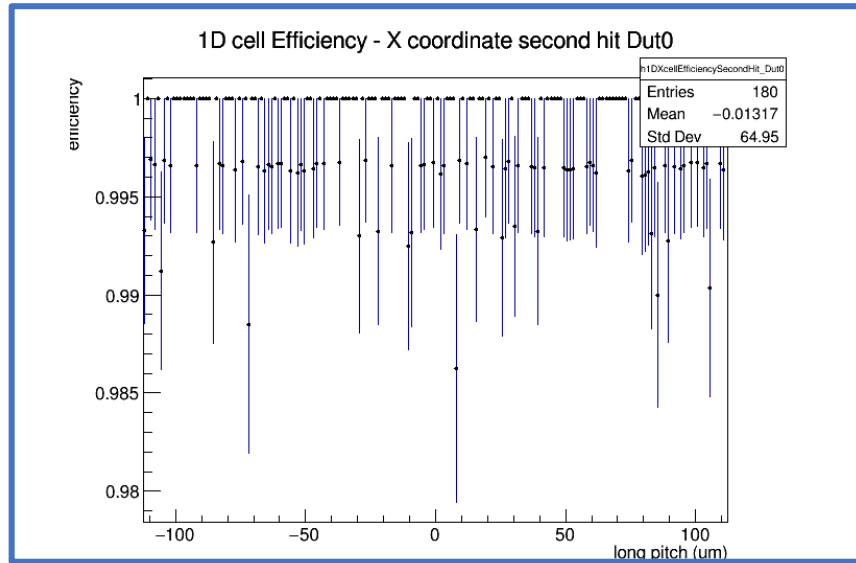
Average Y Residuals 2D map

All cluster sizes



Schematic drawing of a 1x4 pixel grid of the DUT (left) and corresponding average Residuals map (V bias=100V) (right)

# Efficiency studies $25 \times 225 \mu m^2$

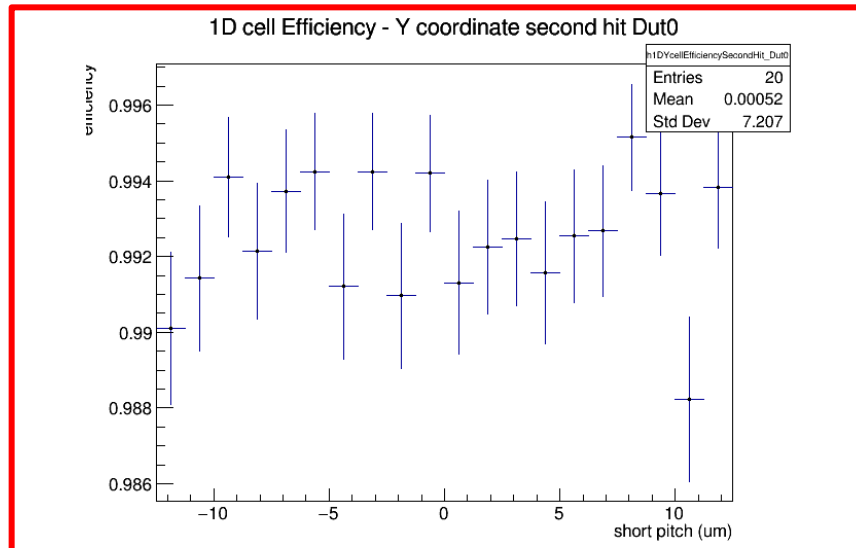


Short pitch ( $25 \mu m$ )

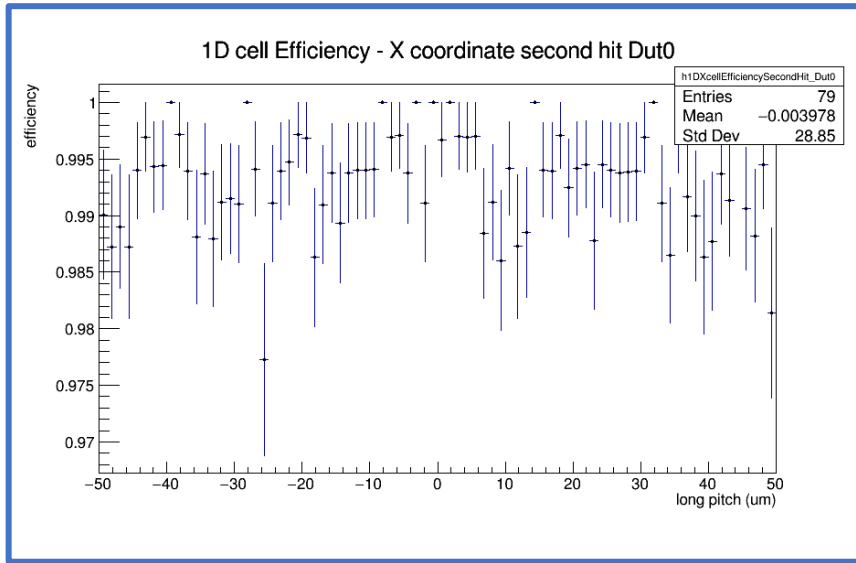


Long pitch ( $225 \mu m$ )

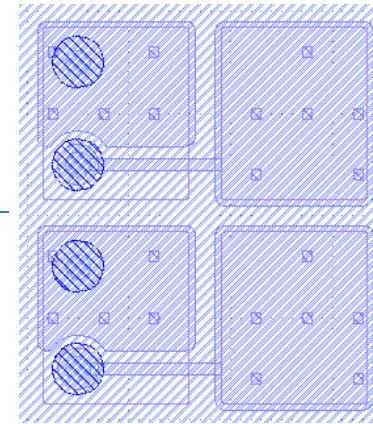
Efficiency as a function of the track impact point from the divide between two pixel cells along the short and long pitch



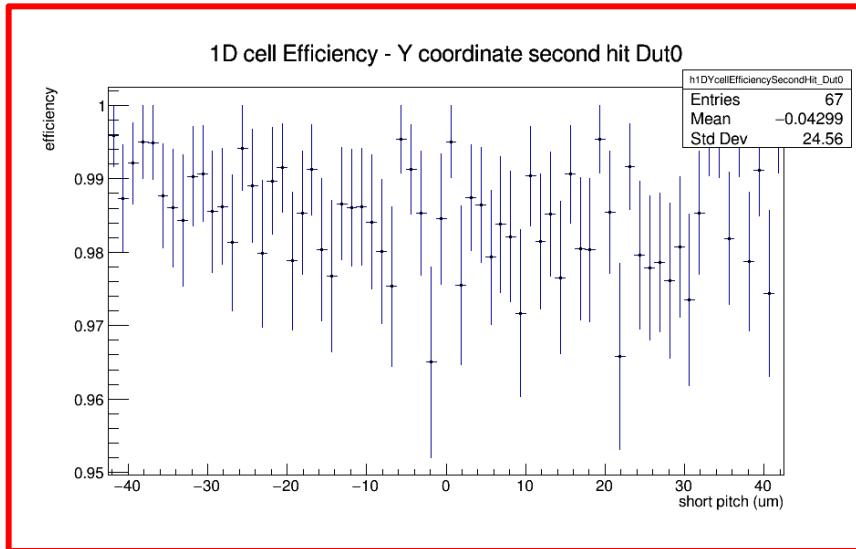
# Efficiency studies $87.5 \times 100 \mu\text{m}^2$



Long pitch ( $100 \mu\text{m}$ )



Short pitch ( $87.5 \mu\text{m}$ )



Efficiency as a function of the track impact point form the divide between two pixel cells along the short and long pitch

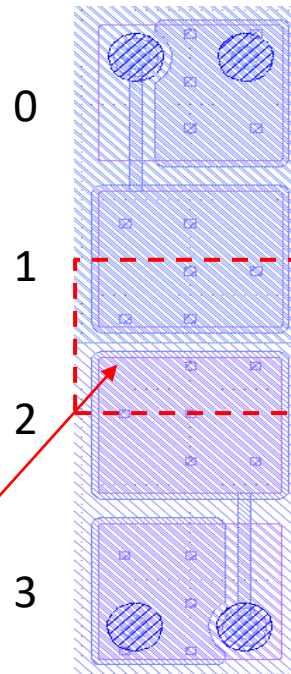
# 87.5x100 $\mu\text{m}^2$

At high bias voltage (100V) the cluster size is higher at the intersection of non coupled pixels

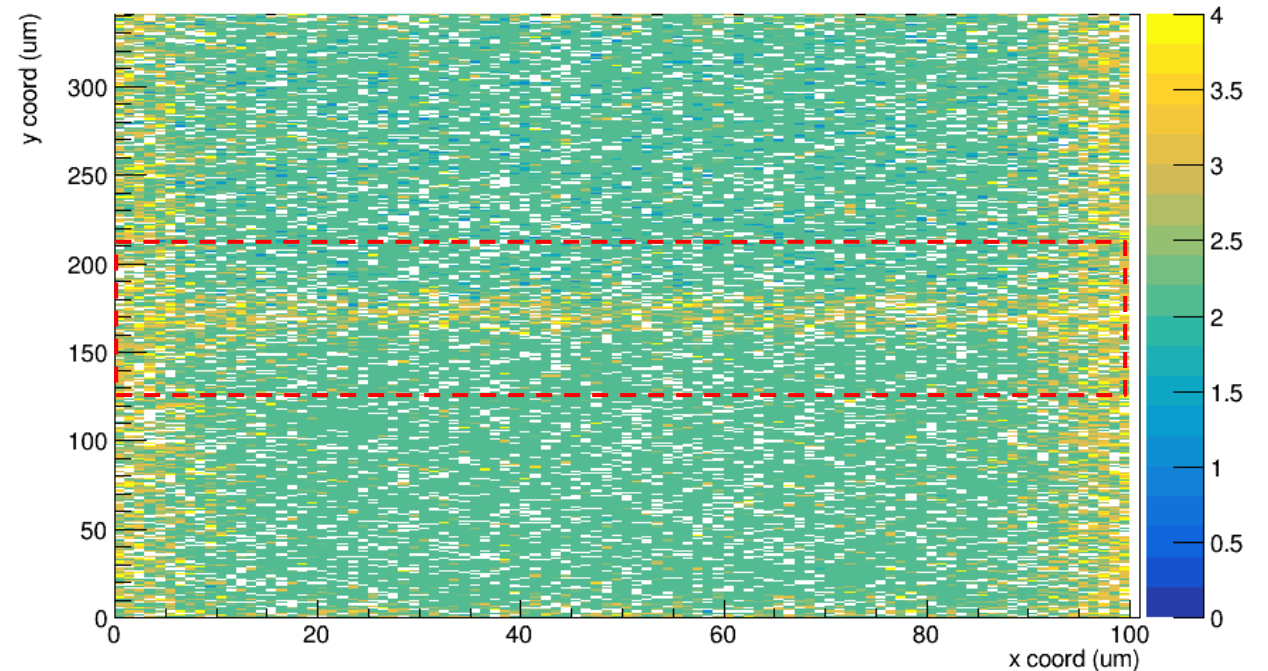
When a particles passes through the area where the pixels are not coupled there is regular charge sharing between pixels 1 and 2.

On top of regular charge sharing adjacent pixels might light up.

This results in an increased cluster size in this area.



In-pixel Cluster Size - 4 pixel matrix Dut0

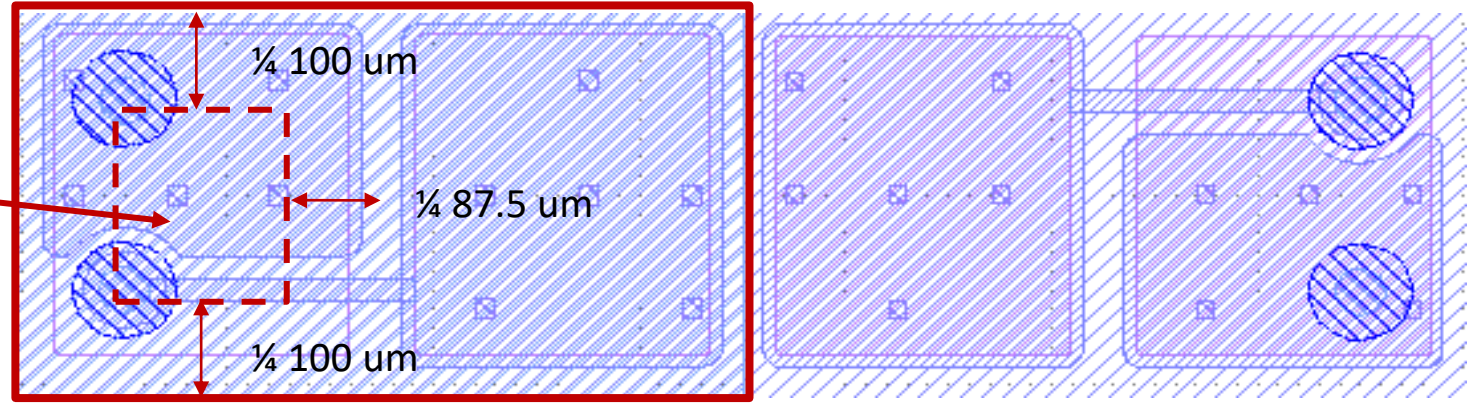


Schematic drawing of a 1x4 pixel grid of the DUT (left) and corresponding cluster size map ( $V_{\text{bias}}=100\text{V}$ ) (right)

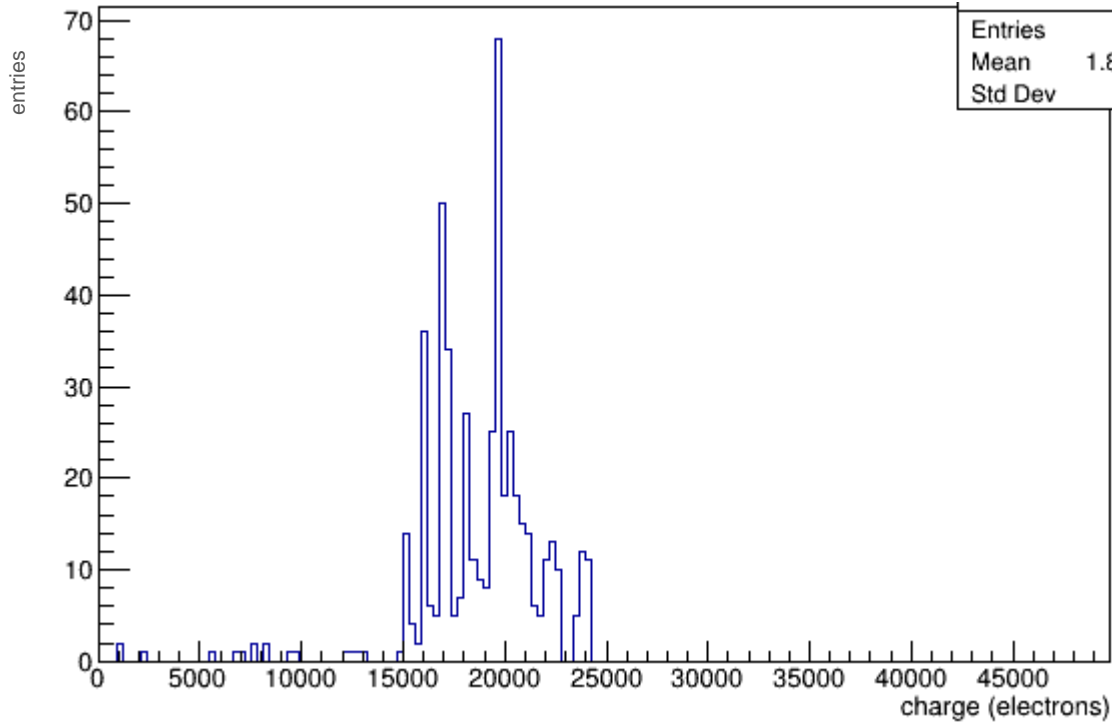
# Charge spectrum $87.5 \times 100 \mu\text{m}^2$

Fiducial area inside the cell defined as a rectangle of  $50 \mu\text{m} \times 43.75 \mu\text{m}$

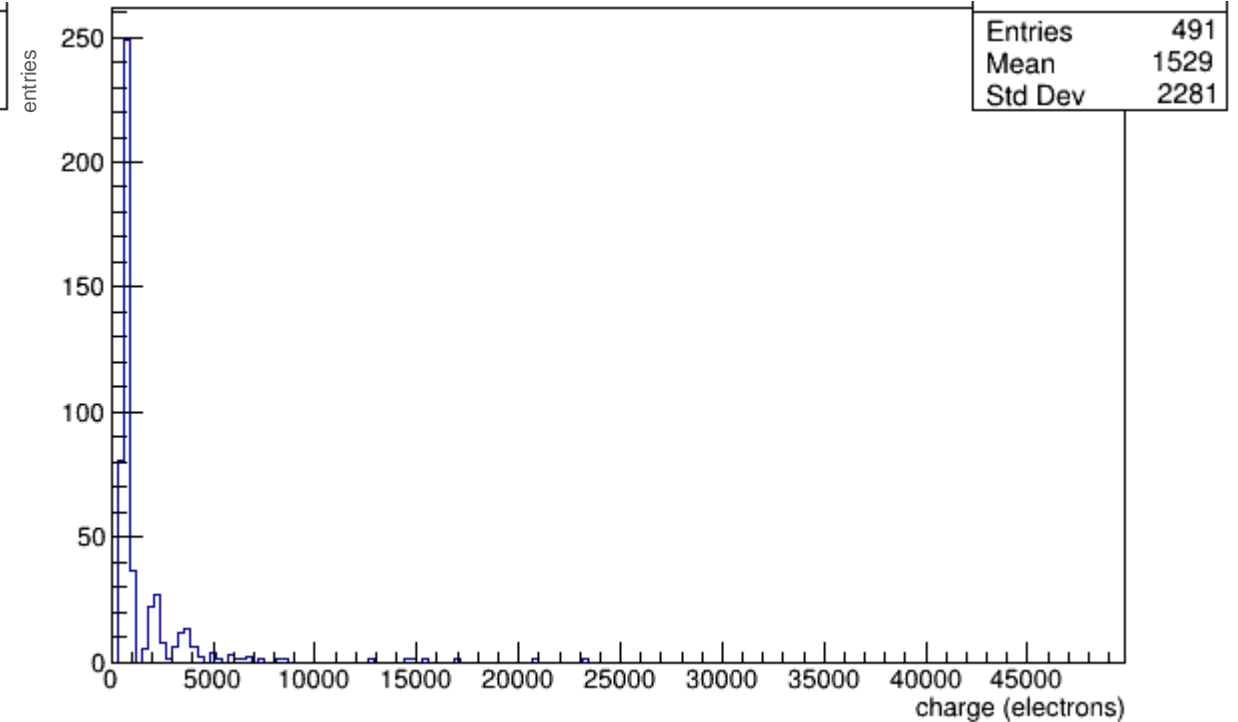
Cluser size 2



Charge distribution for cell pointed by the track

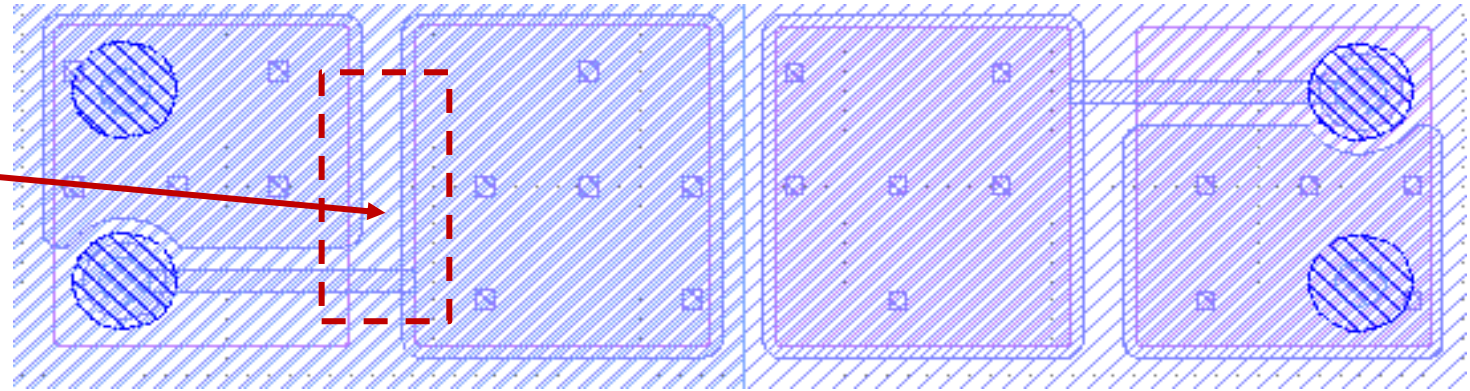


Charge distribution for cell not pointed by the track

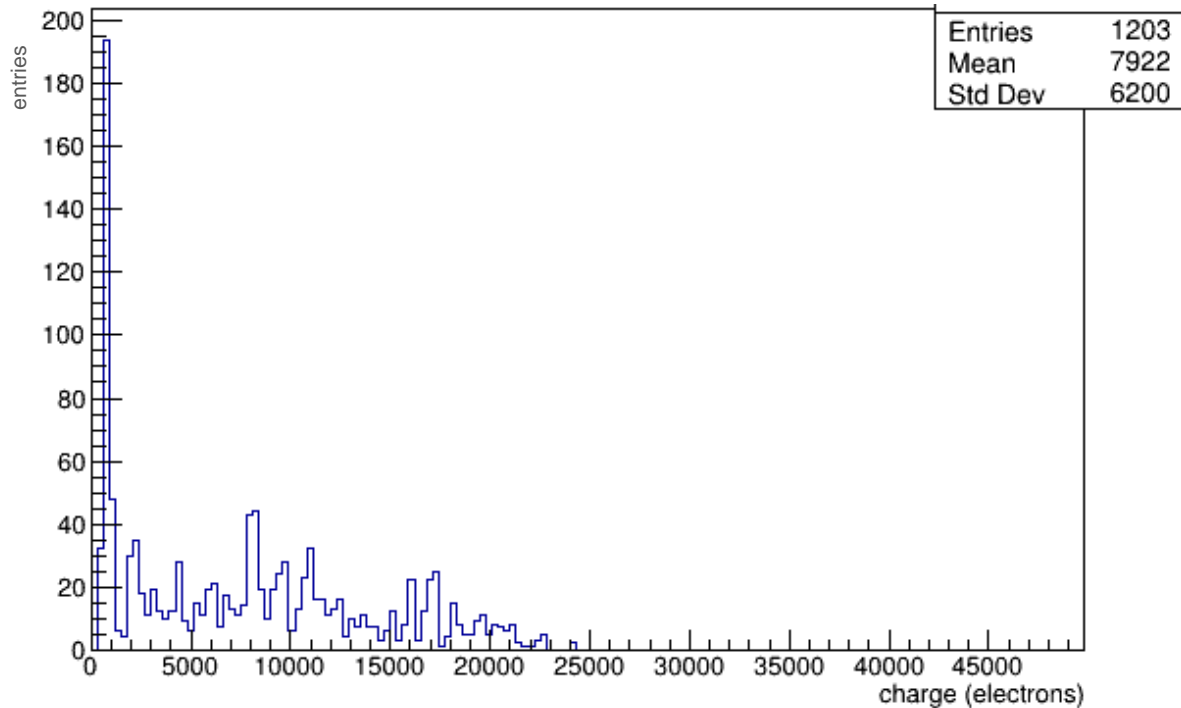


# Charge spectrum $87.5 \times 100 \mu\text{m}^2$

Fiducial area inside the cell defined as a rectangle of  $70 \mu\text{m} \times 30 \mu\text{m}$



Charge distribution for cell on the left



Charge distribution for cell on the right

