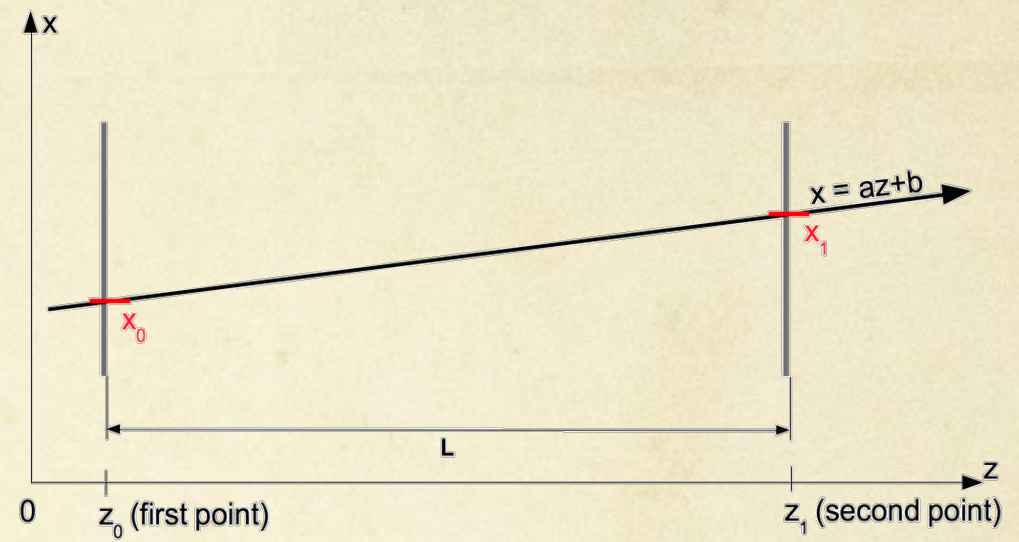




# Tracking 1/3

○ Hypothesis:

- Two sensors
  - perfect positions
  - Infinitely thin
- 1 straight tracks
  - 2 parameters (a,b)



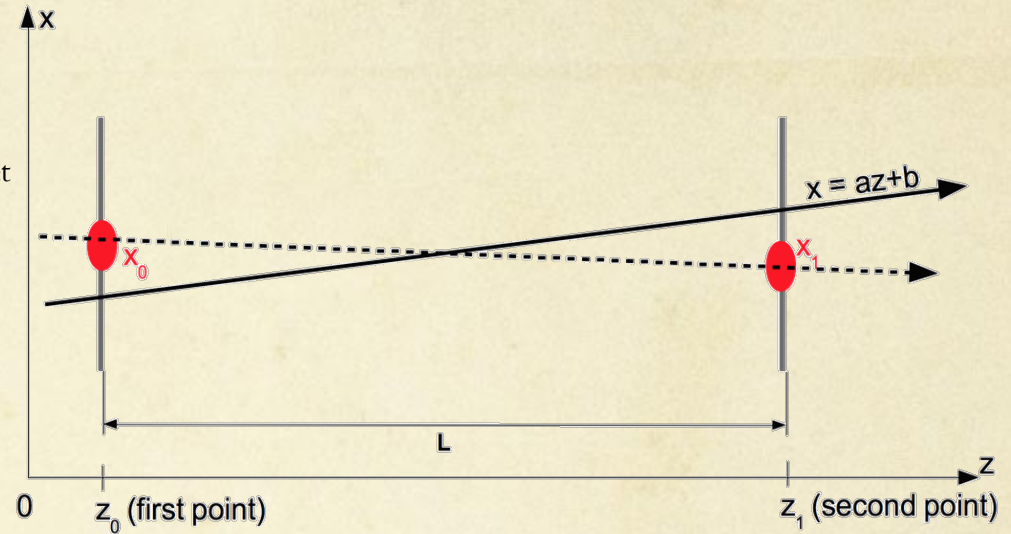
○ Estimation of track parameters

- Assuming track model is straight
  
- No uncertainty !

$$a = \frac{x_1 - x_0}{z_1 - z_0} , b = \frac{x_0 z_1 - x_1 z_0}{z_1 - z_0}$$

○ Hypothesis:

- Two sensors
  - Positions with UNCERTAINTY  $\sigma_{det}$
  - Infinitely thin
- 1 straight tracks
  - 2 parameters (a,b)



○ Estimation of track parameters

- Assuming track model is straight
- Uncertainties from error propagation

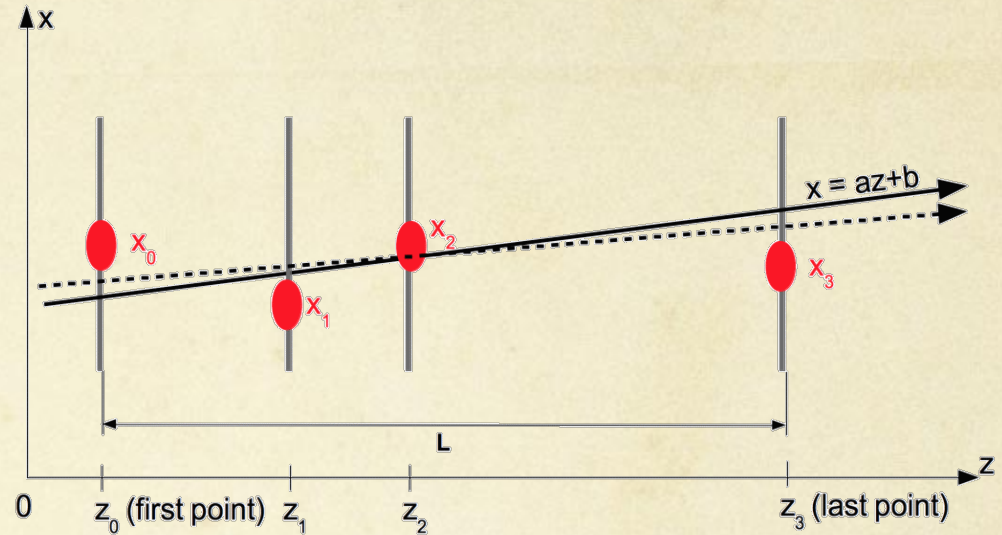
$$a = \frac{x_1 - x_0}{z_1 - z_0}, \quad b = \frac{x_0 z_1 - x_1 z_0}{z_1 - z_0}$$

$$\sigma_a = \frac{\sqrt{2}}{z_1 - z_0} \sigma_{det}, \quad \sigma_b = \frac{\sqrt{z_1^2 + z_0^2}}{z_1 - z_0} \sigma_{det}$$

$$\text{COV}_{a,b} = -\frac{\sqrt{z_1 + z_0}}{z_1 - z_0} \sigma_{det}$$

○ Hypothesis:

- More than two sensors
  - Positions with uncertainty  $\sigma_{det}$
  - Infinitely thin
- 1 straight tracks
  - 2 parameters (a,b)



○ Estimation of track parameters

- Assuming track model is straight
  - Need **FITTING PROCEDURE** least square
  - Need covariance matrix of measurements (here diagonal)
- Uncertainties from error propagation
  - Detail depends on geometry

$$a = \frac{S_1 S_{xz} - S_x S_z}{S_1 S_z^2 - (S_z)^2}, \quad b = \frac{S_x S_z^2 - S_z S_{xz}}{S_1 S_z^2 - (S_z)^2}$$

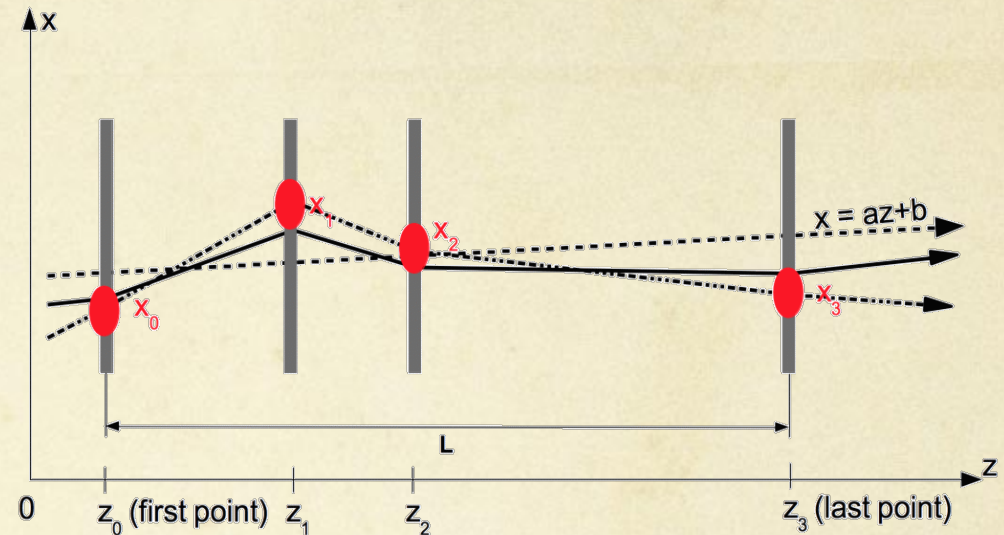
$$\sigma_a^2 = \frac{S_1}{S_1 S_z^2 - (S_z)^2}, \quad \sigma_b^2 = \frac{S_z^2}{S_1 S_z^2 - (S_z)^2}$$

$$\text{COV}_{a,b} = \frac{-S_z}{S_1 S_z^2 - (S_z)^2} \quad \boxed{S_{f(x,z)} = \sum_{i=\text{first point}}^{\text{last point}} \frac{f(x_i, z_i)}{\sigma_{det}^2}}$$

=> Both estimation & uncertainties improve

## ○ Hypothesis:

- More than two sensors
  - Positions with uncertainty  $\sigma_{\text{det}}$
  - **With some THICKNESS**
    - physics effects
- 1 straight tracks
  - 2 parameters (a,b)



## ○ Estimation of track parameters

- Assuming track model is straight
  - Need fitting procedure least square
  - Need covariance matrix of measurements
    - physics effect → **NON DIAGONAL** terms
- Uncertainties from error propagation

=> **Same estimators but uncertainties enlarged**

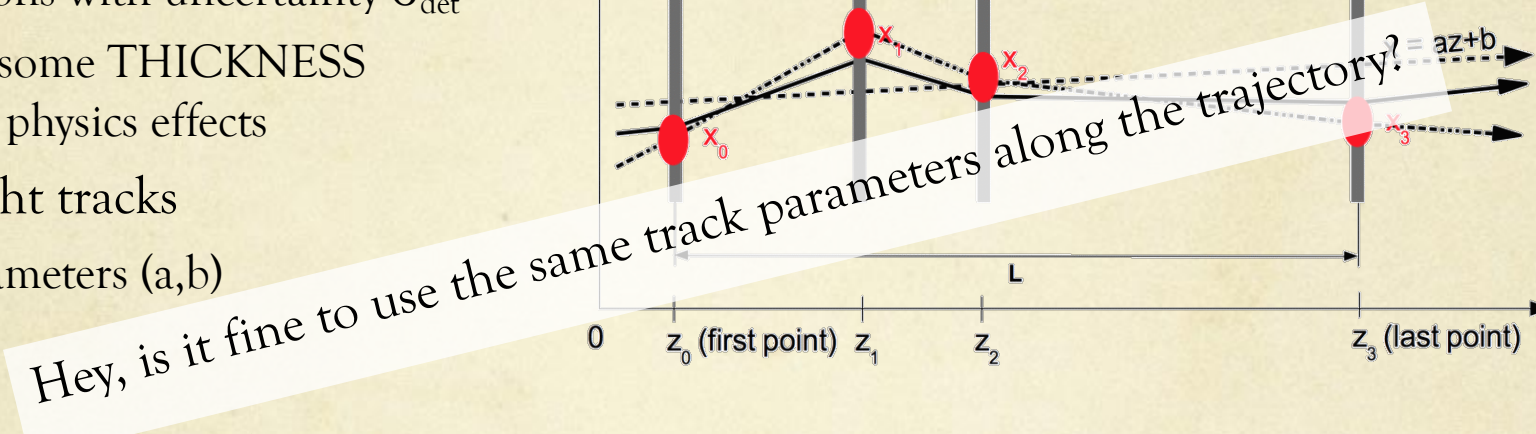
$$a = \frac{S_1 S_{xz} - S_x S_z}{S_1 S_z^2 - (S_z)^2}, \quad b = \frac{S_x S_{z^2} - S_z S_{xz}}{S_1 S_z^2 - (S_z)^2}$$

### Complex covariant matrix expression

- correlation between sensors
- Depends clearly on geometry
- Various implementations possible

○ Hypothesis:

- More than two sensors
  - Positions with uncertainty  $\sigma_{det}$
  - With some THICKNESS
    - ➔ physics effects
- 1 straight tracks
  - 2 parameters (a,b)



○ Estimation of track parameters

- Assuming track model is straight
  - Need fitting procedure least square
  - Need covariance matrix of measurements
    - physics effect ➔ NON DIAGONAL terms
- Uncertainties from error propagation
  - => Same estimators but uncertainties enlarged

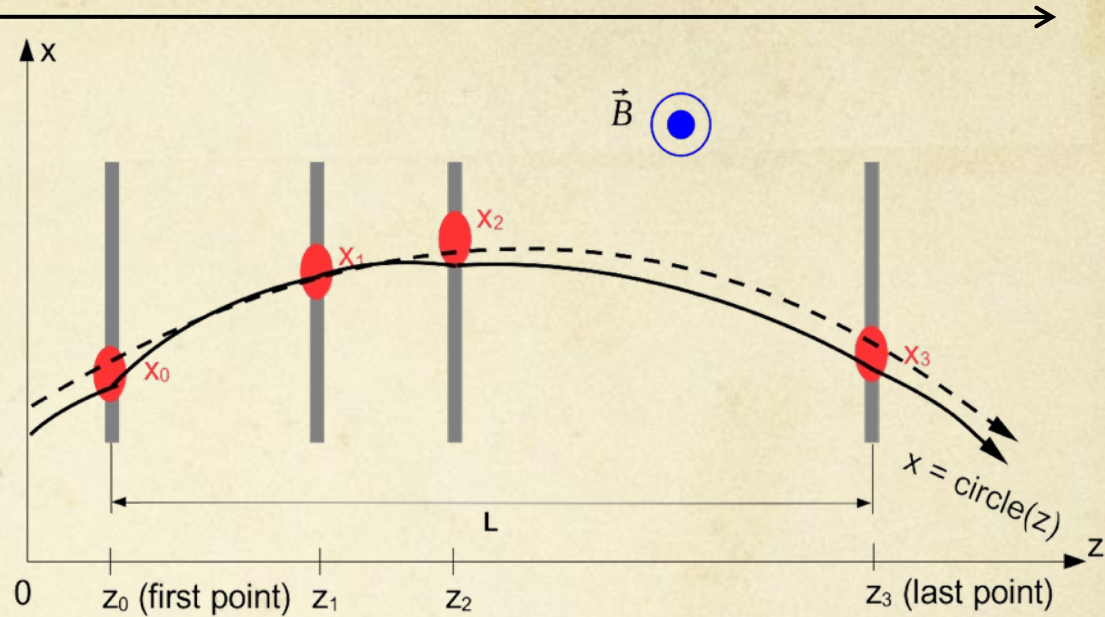
$$a = \frac{S_1 S_{xz} - S_x S_z}{S_1 S_{z^2} - (S_z)^2}, \quad b = \frac{S_x S_{z^2} - S_z S_{xz}}{S_1 S_{z^2} - (S_z)^2}$$

**Complex covariant matrix expression**

- correlation between sensors
- Depends clearly on geometry
- Various implementations possible

Hypothesis:

- More than two sensors
  - Positions with uncertainty  $\sigma_{det}$
  - With some THICKNESS
    - physics effect
- No more straight track
  - Magnetic field → helix
  - 5 parameters → one is  $\vec{p}_T$



Estimation of track parameters

- As before
  - Non diagonal covariance matrix
  - Enlarged uncertainties from physics effect
- BUT fitting more complex
  - Higher dimensions from 5 params
  - Non-linearities from model

Influence of geometry from

- Overall layout
- Interplay with physics layers
- Shape of sensing layers wrt particle incidence

# What are we talking about?

## ○ Hypothesis:

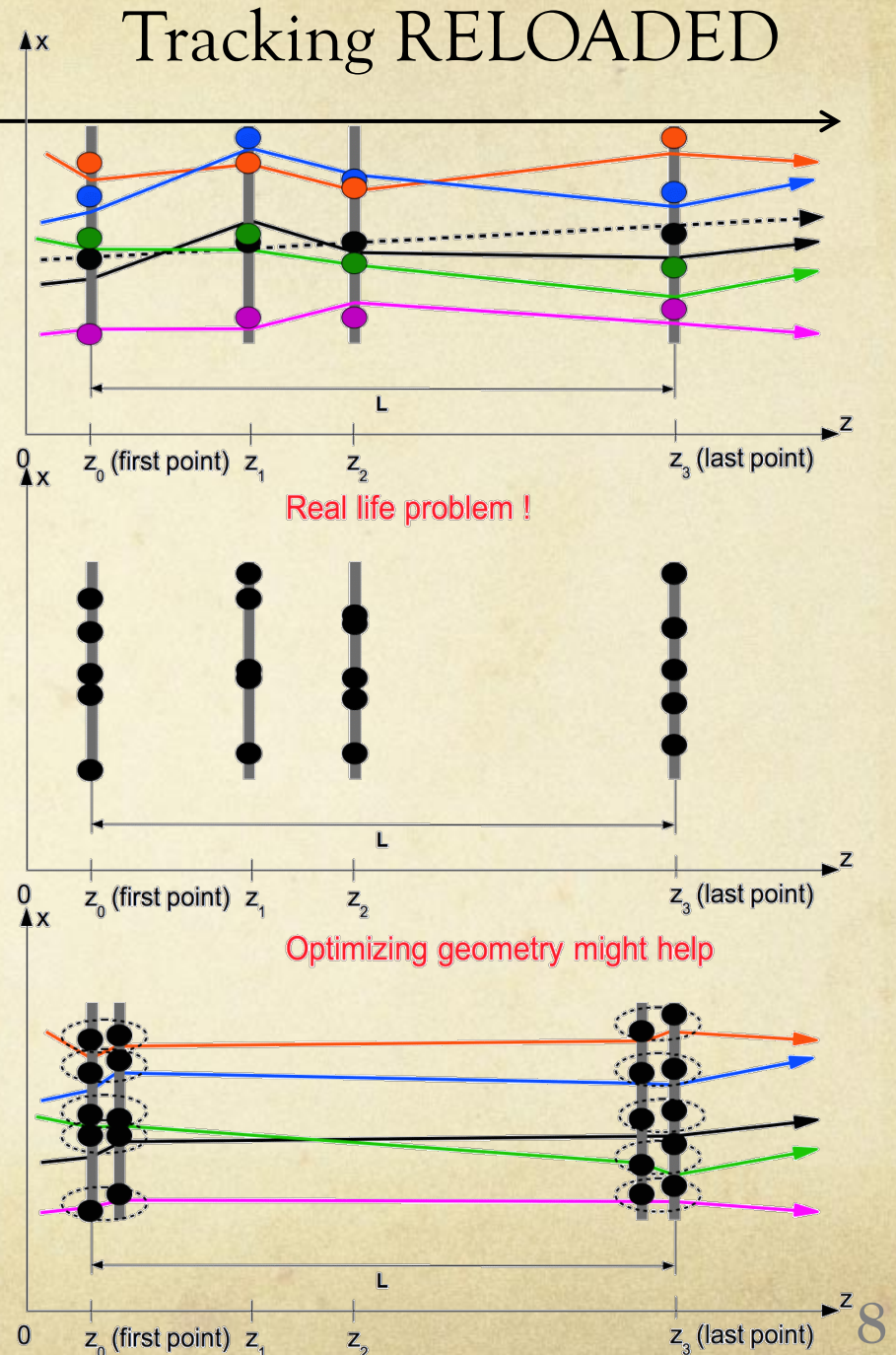
- More than two sensors
  - Positions with uncertainty  $\sigma_{\text{det}}$
  - With some thickness
- **MANY straight tracks**
  - Still 2 parameters (a,b)...per track!
  - But may change along track path

## ○ **New step = FINDING**

- Which hits match which tracks ?
- **Strongly depends on geometry**

## ○ Estimation of track parameters

- Happens after finder
- Uncertainties involve correlation





# What are we talking about?

## ○ Hypothesis:

- More than two sensors
  - Positions with uncertainty  $\sigma_{det}$
  - With some thickness
- MANY straight tracks
  - Still 2 parameters (a,b)...per track!
  - But may change along track path

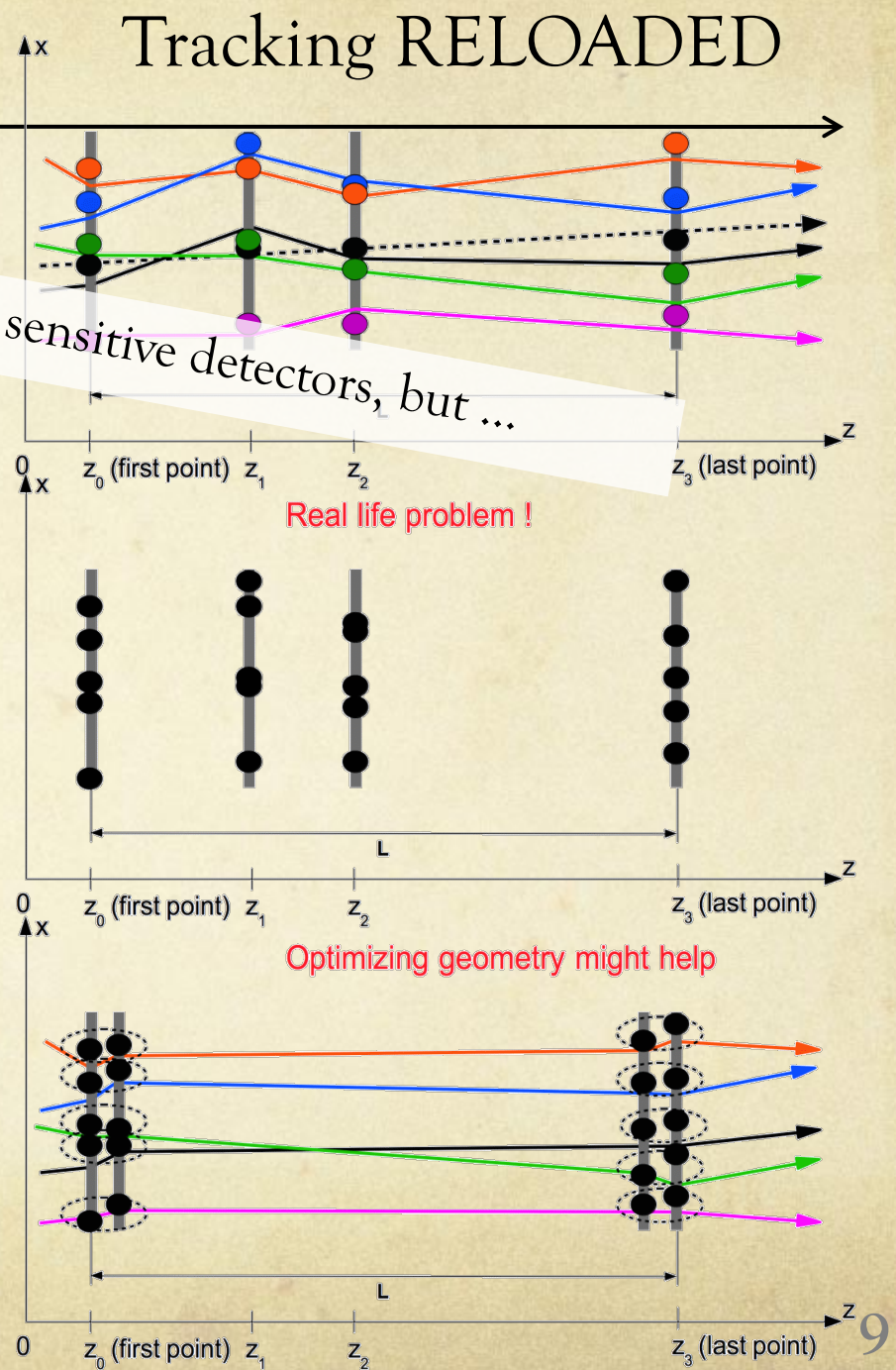
*Tracking needs position sensitive detectors, but ...*

## ○ New step = FINDING

- Which hits to which tracks ?
- Strongly depends on geometry

## ○ Estimation of track parameters

- Happens after finder
- Uncertainties involve correlation



# What are we talking about?

## ○ Hypothesis:

- More than two sensors
  - Positions with uncertainty  $\sigma_{det}$
  - With some thickness
- MANY straight tracks
  - Still 2 parameters (a,b)...per track!
  - But may change along track path

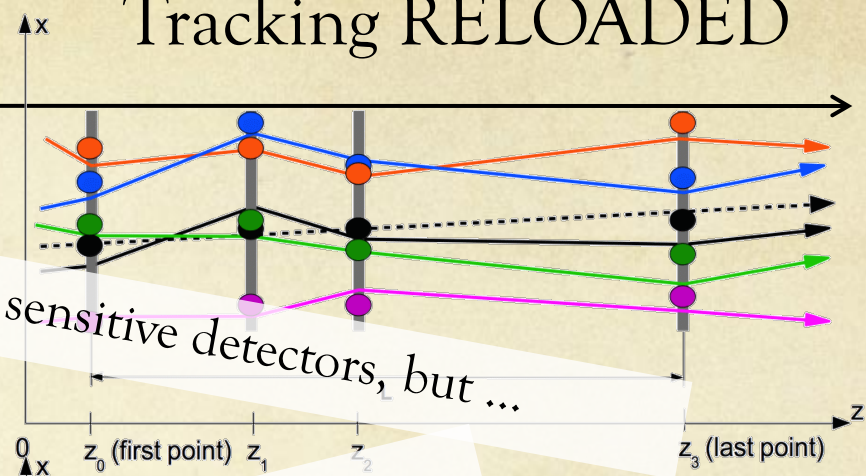
## ○ New step = FINDING

- Which hits to which tracks?
- Strongly depends on geometry

## ○ Estimation of track parameters

- Happens after finder
- Uncertainties involve correlation

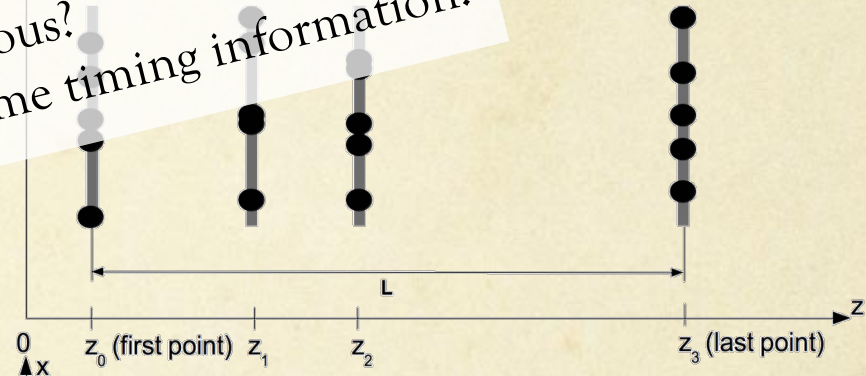
# Tracking RELOADED



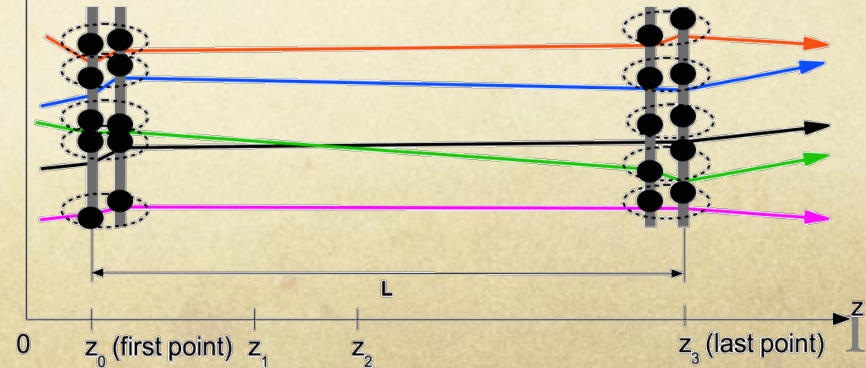
Tracking needs position sensitive detectors, but ...

Real life problem !

hey, are all these tracks simultaneous?  
Could finding be helped with some timing information?

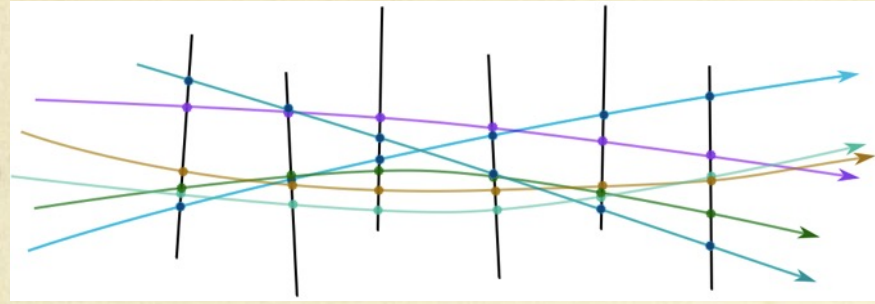


Optimizing geometry might help

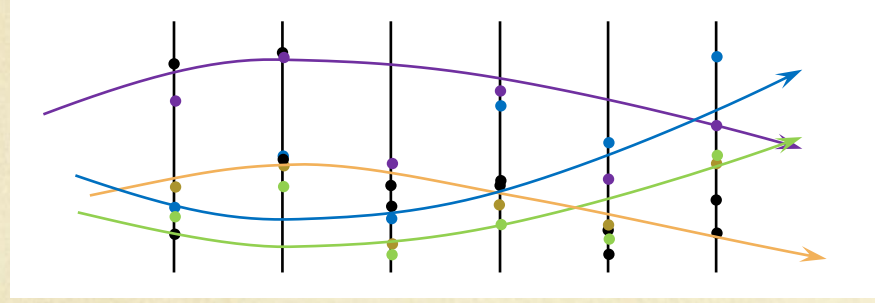


## ○ Alignment:

→ What was mechanically constructed



→ What you think you built!



**Alignment procedure needed**

## ○ Radiation environment

- Intensity & energy frontiers
  - ↳ large radiation exposure
- Total ionizing dose
  - Possible effects starting ~ kGy
  - Worst conditions ~ GGy
- Non ionizing energy loss fluence
  - Possible effects starting ~  $10^{12} n_{eq(1MeV)}/cm^2$
  - Worst conditions ~  $10^{18} n_{eq(1MeV)}/cm^2$



**Hardening/Monitoring needed**

## ○ Temperature

- Electronics heat-up
  - => performance degrades with temperature
- Radiation tolerance depends on temperature



**Cooling => additional material**

# Lecture outline

---

1. Basic concepts

first lecture

2. Finding algorithms

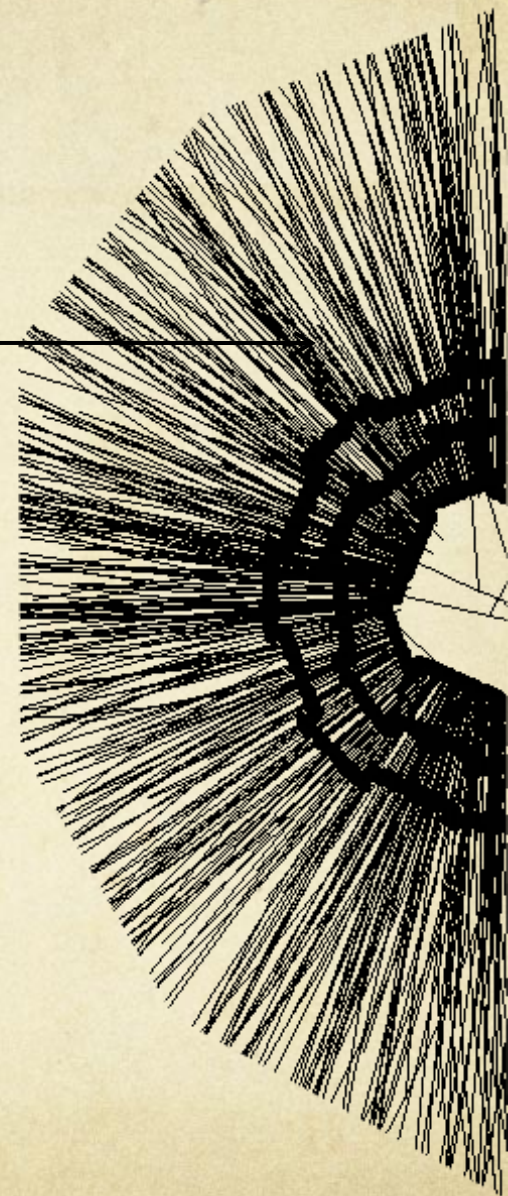
second lecture

3. Fitting algorithms

third lecture

4. Existing tracking systems

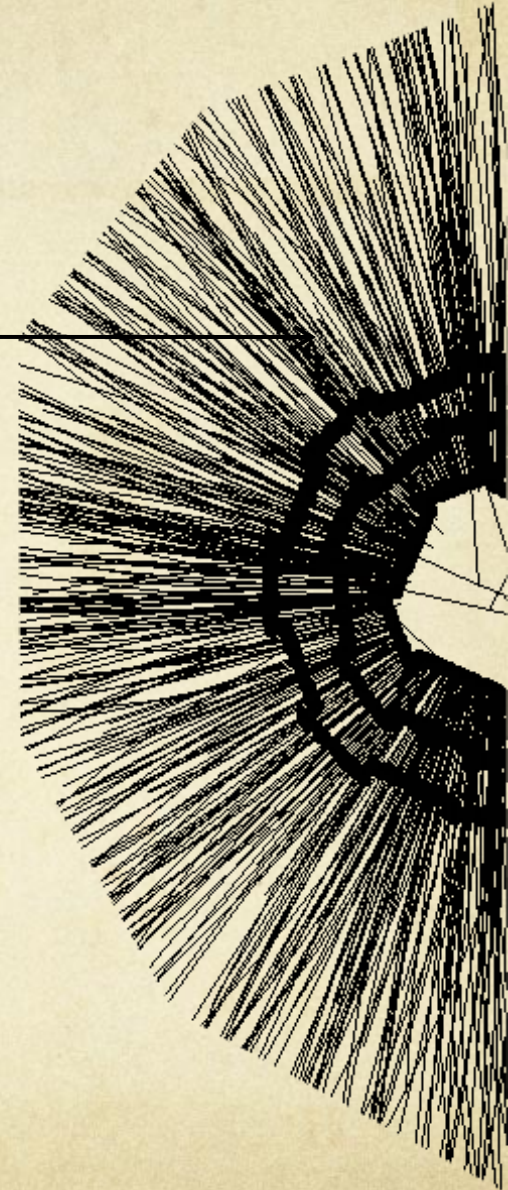
References



# 1. Motivations & basic concepts

---

- Motivations
- Types of measurements
- The 2 main tasks
- Environmental considerations
- Figures of merit



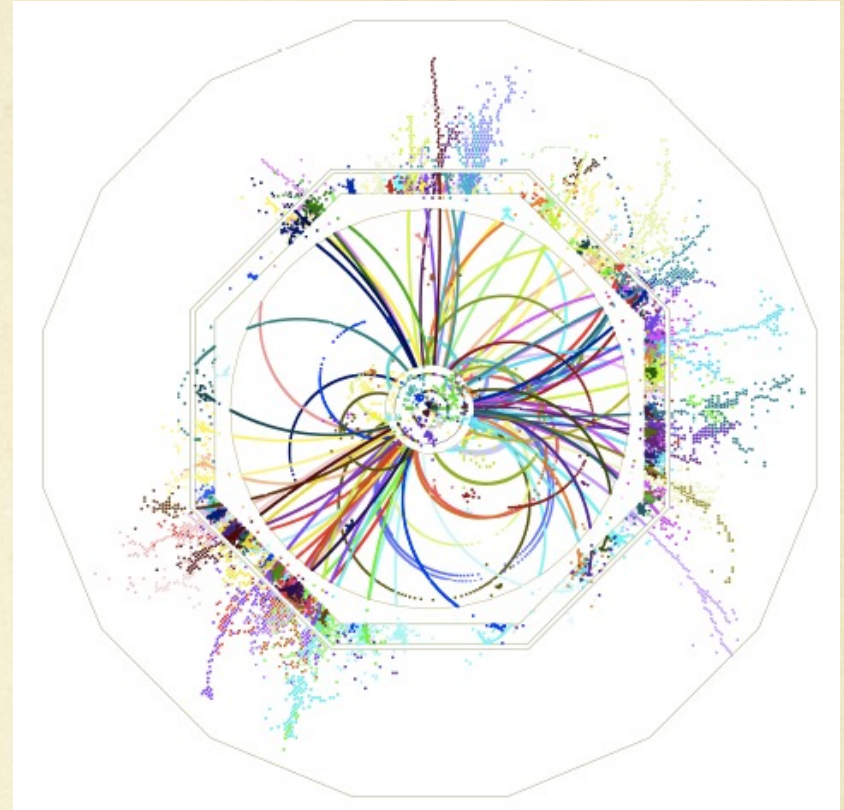


## ○ Understanding an event

- Individualize tracks  $\approx$  particles
- Measure their properties
- LHC:  $\sim 1000$  particles per 25 ns “event”

## ○ Particle/Track properties

- **Momentum/charge**  $\Leftrightarrow$  curvature in B field
  - Reconstruct invariant masses
  - Contribute to jet energy estimation
    - particle flow algorithm (pfa)
- **Origin**  $\Leftrightarrow$  vertexing (connecting track)
  - Identify decays
  - Measure flight distance
- **Mass**  $\Leftrightarrow$  dE/dx measurement
- **Time/collision**  $\Leftrightarrow$  Identification through time of flight
- **Energy**  $\Leftrightarrow$  range measurement
  - Limited to low penetrating particle (typically ions at few 100 MeV/u)



8 jets event ( $t\bar{t}h$ ) @ 1 TeV ILC

# 1. Motivations & Basic Concepts

# Momentum measurement

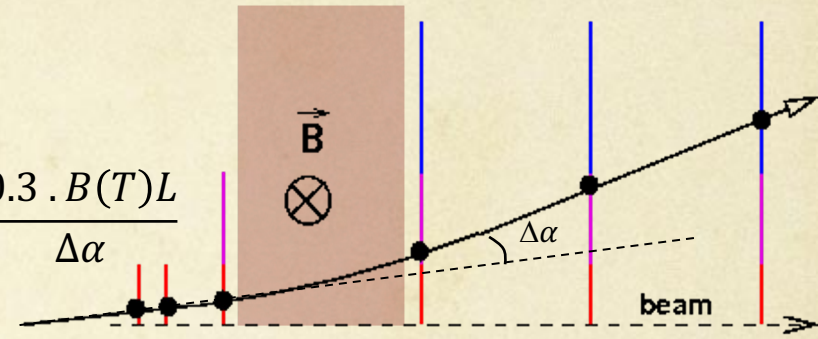
○ Magnetic field curves trajectories  $\frac{d\vec{p}}{dt} = q\vec{v} \times \vec{B}$   $\left\{ \begin{array}{l} (r-\phi) \text{ plane: circle } p_T = 0.3zBR \\ (r-z) \text{ plane: straight line } p_z = p \cos \lambda \end{array} \right. \quad \vec{p} = \vec{p}_T + \vec{p}_z$

→ In B=4T a 10 GeV/c particle will get a sagitta of 1.5 cm @ 1m

○ Fixed-target experiments

- Dipole magnet on a restricted path segment
- Measurement of deflection (angle variation)

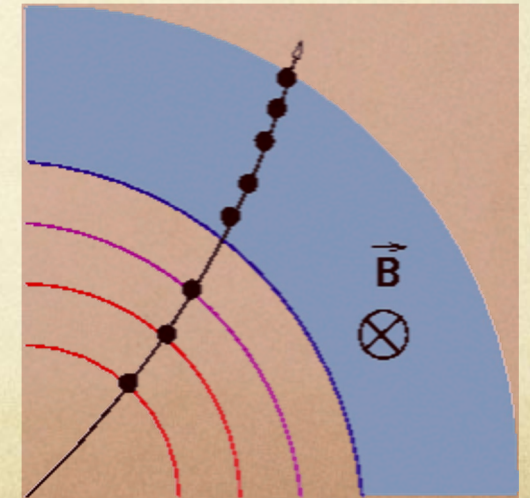
$$\frac{p_T}{q} = \frac{0.3 \cdot B(T)L}{\Delta\alpha}$$



○ Collider experiment

- Barrel-type with axial B over the whole path
- Measurement of curvature (sagitta)

$$\frac{p_T(\text{GeV}/c)}{q} = 0.3 \cdot B(T) \cdot R(m)$$



○ Other arrangements

- Toroidal B... not covered

○ Two consequences

- Position sensitive detectors needed
- Perturbation effects on trajectories
  - limit precision on track parameters

## Identifying through topology

### Short-lived weakly decaying particles

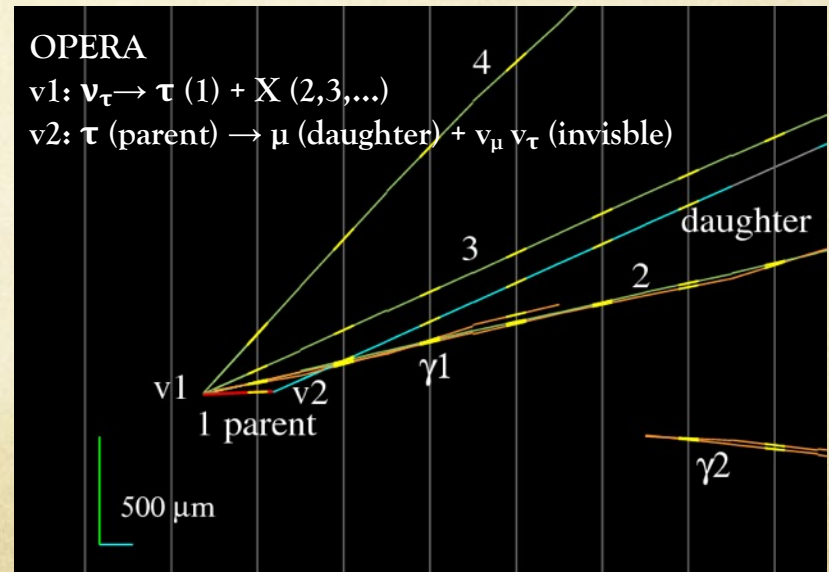
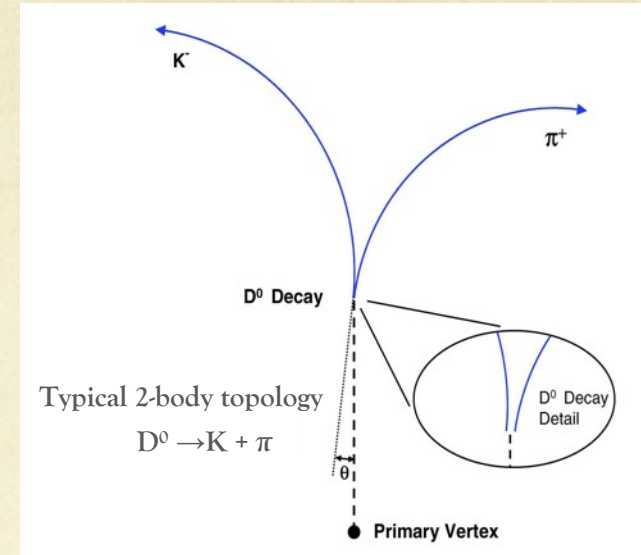
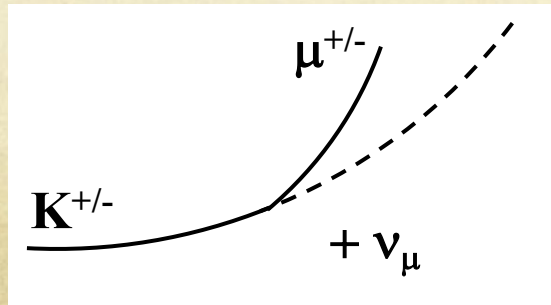
- Charm  $c\tau \sim 120 \mu\text{m}$
- Beauty  $b\tau \sim 470 \mu\text{m}$
- $\tau$ , strange ( $K_S, \Lambda$ )/charmed (D)/beauty (B) particles

## Exclusive reconstruction

- Decay topology with secondary vertex
- Exclusive = all particles in decay associated

## Inclusive “kink” reconstruction

- Some particles are invisible ( $\nu$ )



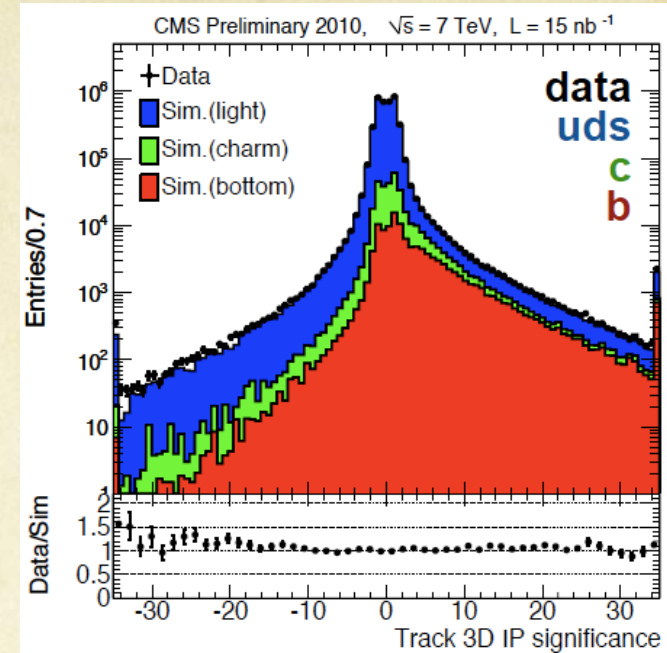


## ○ Inclusive reconstruction

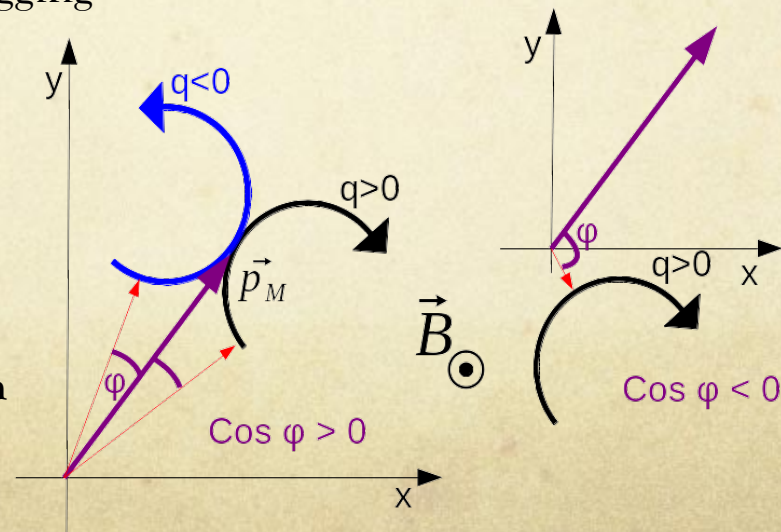
- Selecting parts of the daughter particles = flavor tagging for high energy colliders
- based on impact parameter (IP)
- $\sigma_{IP} \sim 20\text{-}100 \mu\text{m}$  and  $SIGN_{IP}$  requested

## ○ Definition of impact parameter (IP)

- Also **DCA = distance of closest approach** from the trajectory to the primary vertex
- Full 3D or 2D (transverse plane:  $d_\rho$ ) + 1D (beam axis:  $d_z$ )
- Sign extremely useful for flavor-tagging



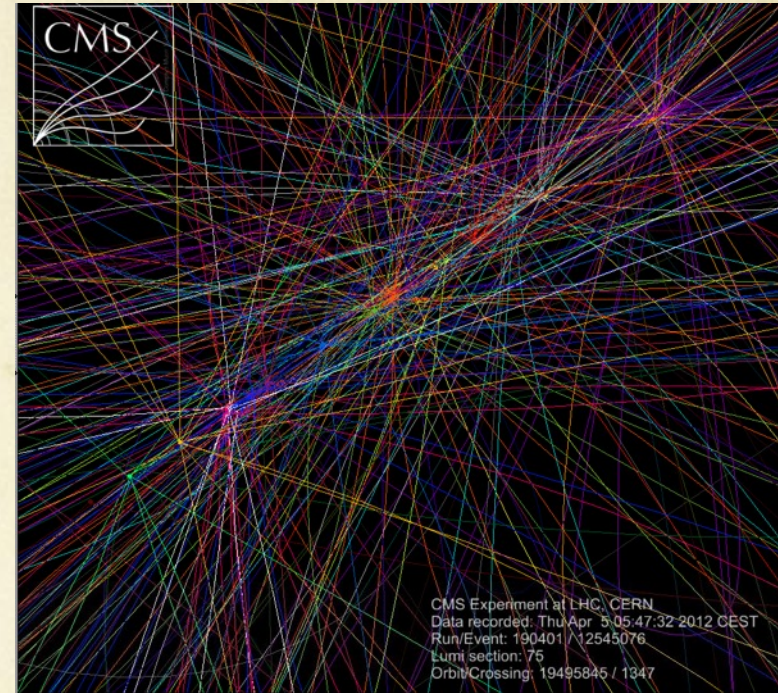
Sign defined by angle dca / jet momentum





### ○ Finding the event origin

- Where did the collision did occur?
  - = Primary vertex
- (life)Time dependent measurements
  - CP-asymmetries @ B factories ( $\Delta z \approx 60-120 \mu\text{m}$ )
- Case of multiple collisions / event
  - $\gg 10$  (100) vertex @ LHC (HL-LHC)



### ○ Remarks for collider

- Usually no measurement below 1-2 cm / primary vertex
  - Due to beam-pipe maintaining vacuum
  - Vacuum operation practically easier for fixed target (eg MVD of CBM)  
BUT realized for LHCb (VERtex LOcator) at LHC
- Requires **extrapolation** → expect “unreducible” uncertainties

○ **Reminder on the physics (see other courses)**

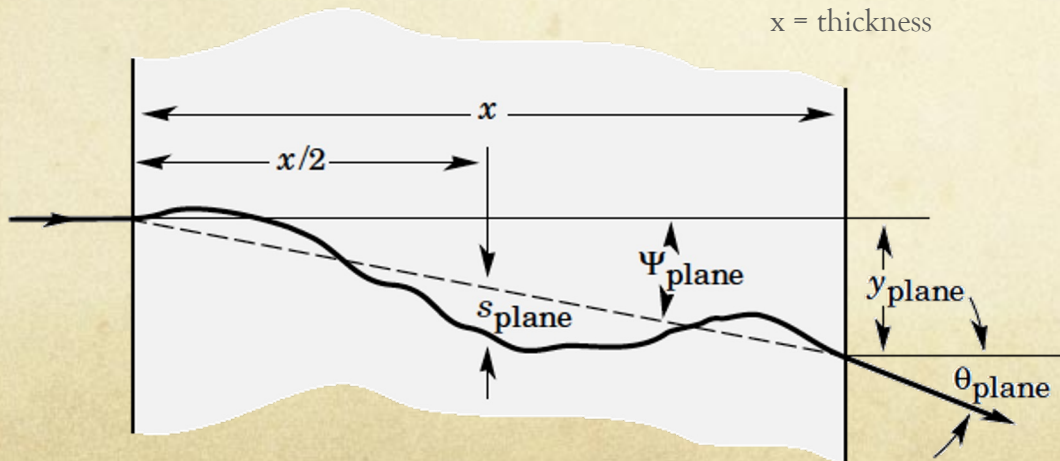
- Coulomb scattering mostly on nuclei
- Molière theory description as a **centered** gaussian process
  - the thinner the material, the less true ➤ large tails

○ **In-plane description (defined by vectors  $\mathbf{p}_{in}$ ,  $\mathbf{p}_{out}$ )**

- Corresponds to  $(\varphi, \theta = \theta_{plane})$  with  $\mathbf{p}_{in} = \mathbf{p}_z$  and  $p_{out}^2 = p_{out,z}^2 + p_{out,T}^2$ 

$$\begin{cases} p_{out} \cos\theta \approx p_{out,z} \\ p_{out,T} = p_{out} \sin\theta \approx p_{out}\theta \end{cases}$$

Highland formula: 
$$\sigma_{\theta} = \frac{13.6 \text{ (MeV/c)} \cdot z \cdot \sqrt{\frac{\text{thickness}}{X_0}} \cdot \left[ 1 + 0.038 \ln\left(\frac{\text{thickness}}{X_0}\right) \right]}{\beta p}$$
 (note :  $\phi \in [0, 2\pi]$  uniform)  
 $\beta, p, z$  = particle boost, momentum, charge



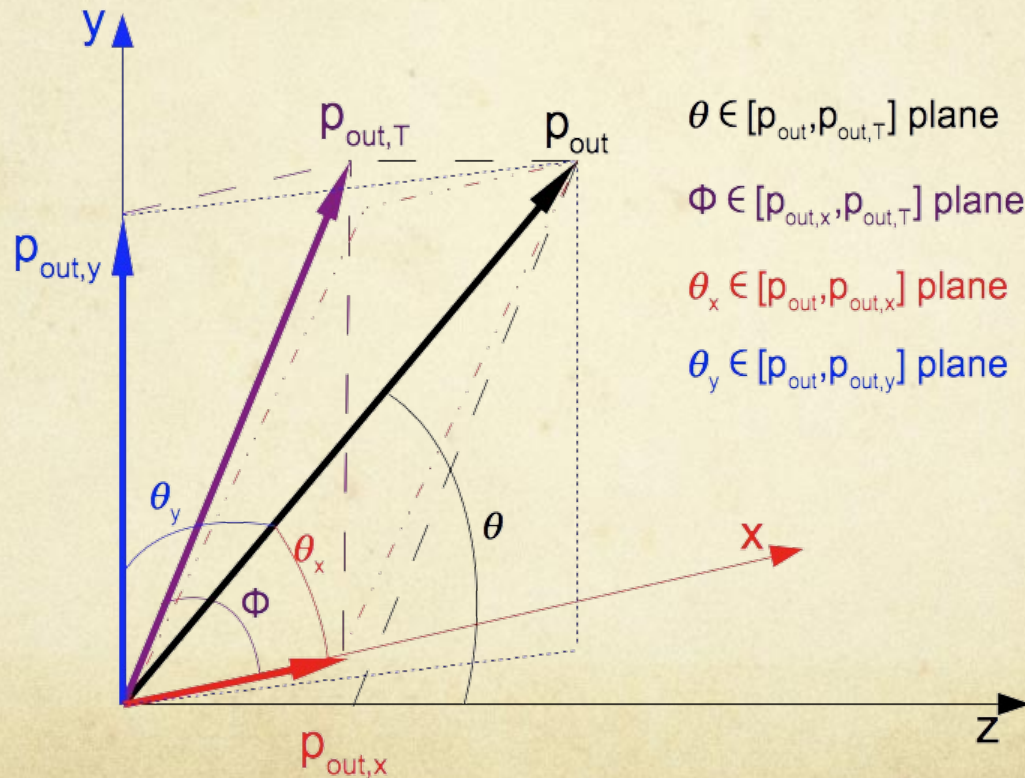
**Xo = radiation length**  
 Same definition as in calorimetry  
 ... though this is accidental

○ In-space description (defined by fixed x/y axes)

→ Corresponds to  $(\theta_x, \theta_y)$  with  $p_{out,T}^2 = p_{out,x}^2 + p_{out,y}^2$   $\begin{cases} p_{out} \sin\theta_x \approx p_{out}\theta_x \\ p_{out} \sin\theta_y \approx p_{out}\theta_y \end{cases} \Rightarrow \theta_{plane}^2 = \theta_x^2 + \theta_y^2$

→  $\theta_x$  and  $\theta_y$  are independent gaussian processes

$$\sigma_{\theta_x} = \sigma_{\theta_y} = \frac{\sigma_{\theta_{plane}}}{\sqrt{2}}$$





## ○ Important remark when combining materials

→ Total thickness  $T = \sum T_i$ , each material (i) with  $X_0(i)$

→ Definition of effective radiation length  $\Rightarrow X_{0,eff} = \frac{\sum_i T_i X_0(i)}{T}$

→ Consider **single gaussian** process  $\sigma_{eff} = \sqrt{\frac{T}{X_{0,eff}}}$

and never do variance addition  
(which minimize deviation)

~~$$\sigma_{eff} = \sqrt{\sum_i \frac{T_i}{X_0(i)}}$$~~



## ○ Some common materials in trackers

material	$X_0$	std deviation for protons at 1 GeV/c			B=2T, p=1 GeV/c	Relative difference
		thickness	Budget	$\sigma_{hit}$ @ 10 cm	sagitta at 10 cm	
Silicon	9.4 cm	100 $\mu$ m	0.1 %	50 $\mu$ m	760 $\mu$ m	6 %
Epoxy	30 cm	1 mm	0.3 %	90 $\mu$ m		12 %
NaI	2.6 cm	1 mm	3.8%	350 $\mu$ m		46 %
Gas (air-CH4)	300-700 m	10 cm	<10 <sup>-3</sup>	-		



Actually very large!  
/ goal  $\frac{\sigma_p}{p} \approx 10^{-3}$

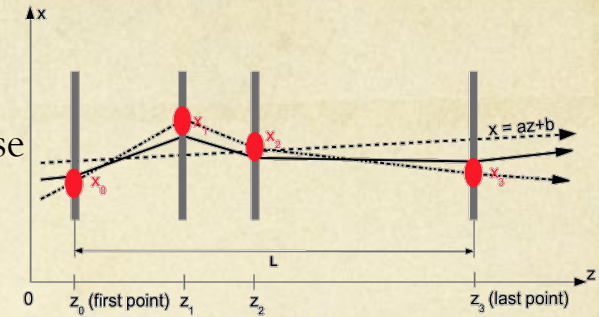
### Particle type matters!

$$\sigma_{\theta} \propto \frac{1}{\beta} \text{ with depending on mass}$$

## ○ Impact on tracking algorithm

- The track **parameters evolves** along the track !
- May drive choice of reconstruction method
- Effect amplitude depends on particle mass hypothesis

Remember this simple case



## ○ Photon conversion

- Alternative definition of radiation length

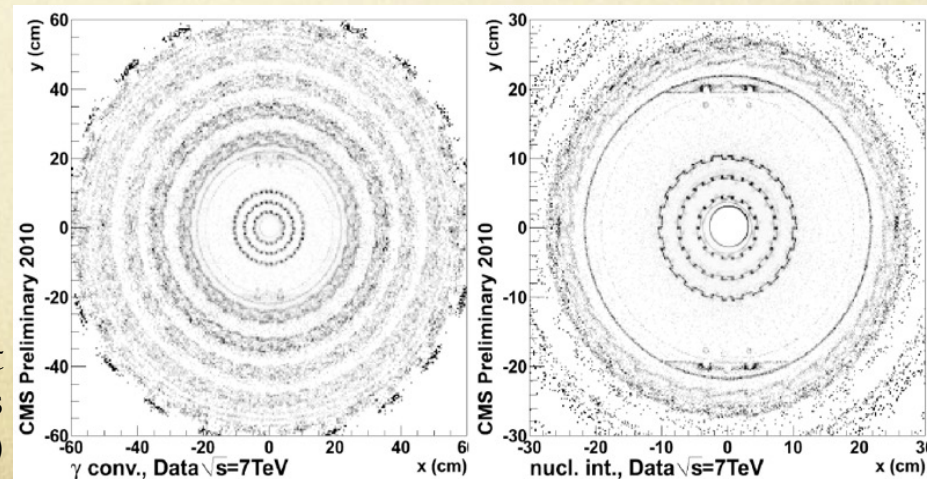
probability for a high-energy photon to generate a pair over a path  $dx$ :  $\text{Prob} = \frac{dx}{\frac{9}{7}X_0}$

- $\gamma \rightarrow e^+e^-$  = conversion vertex

- Generate troubles :

- Additional unwanted tracks
- Decrease statistics for electromagnetic calorimeter

CMS “picture” of material budget through photon conversion vertices (silicon tracker only)



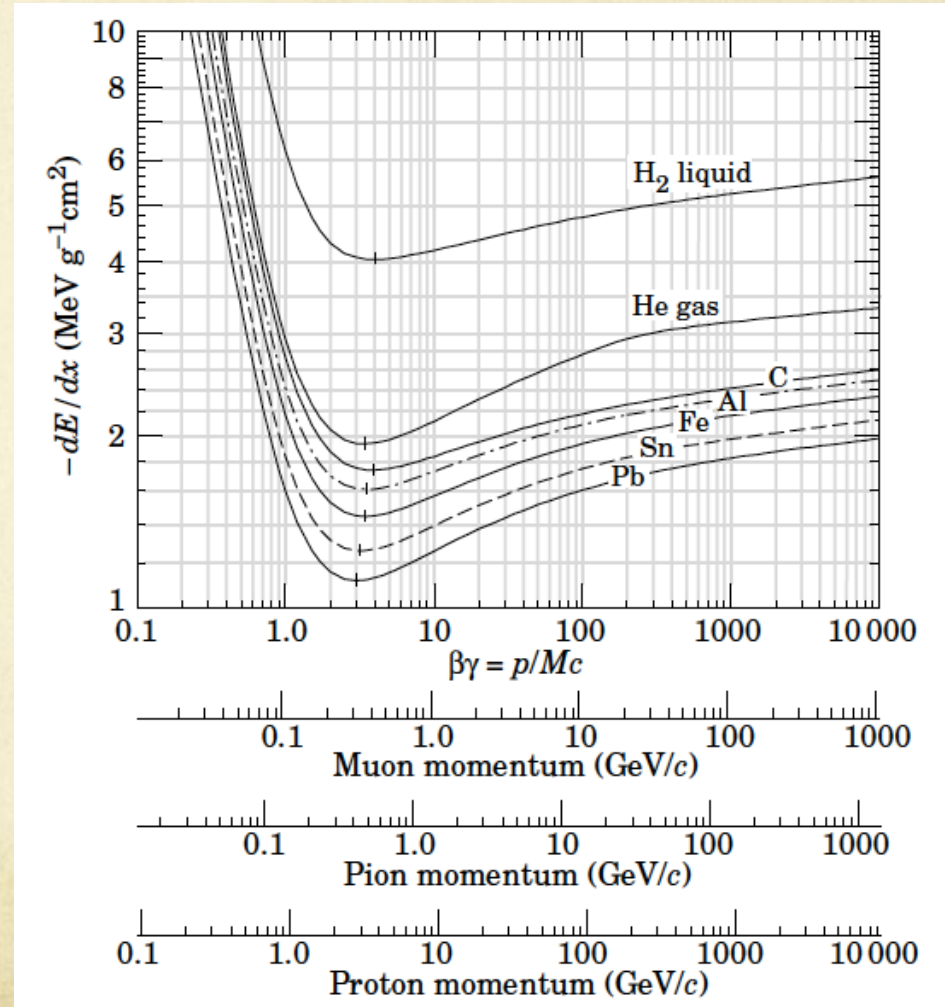


## ○ 2<sup>nd</sup> reminder on physics

- Detector measures something because there is energy loss:  $dE/dx$
- Typically
  - $\Delta E \sim 100$  keV over 300  $\mu\text{m}$  Si
  - $\Delta E \sim 2.5$  keV over 1 cm Ar

## ○ Non-negligeable impact on p

- Assuming MIP around  $p \approx 1$  GeV/c
- Assume 10 Si layers of 300  $\mu\text{m}$  or 40cm of Ar
- $\delta p \sim 1$  MeV  $\Rightarrow \frac{\delta p}{p} \approx 10^{-3}$
- Reminder typical goal  $\frac{\sigma_p}{p} \approx 10^{-3}$

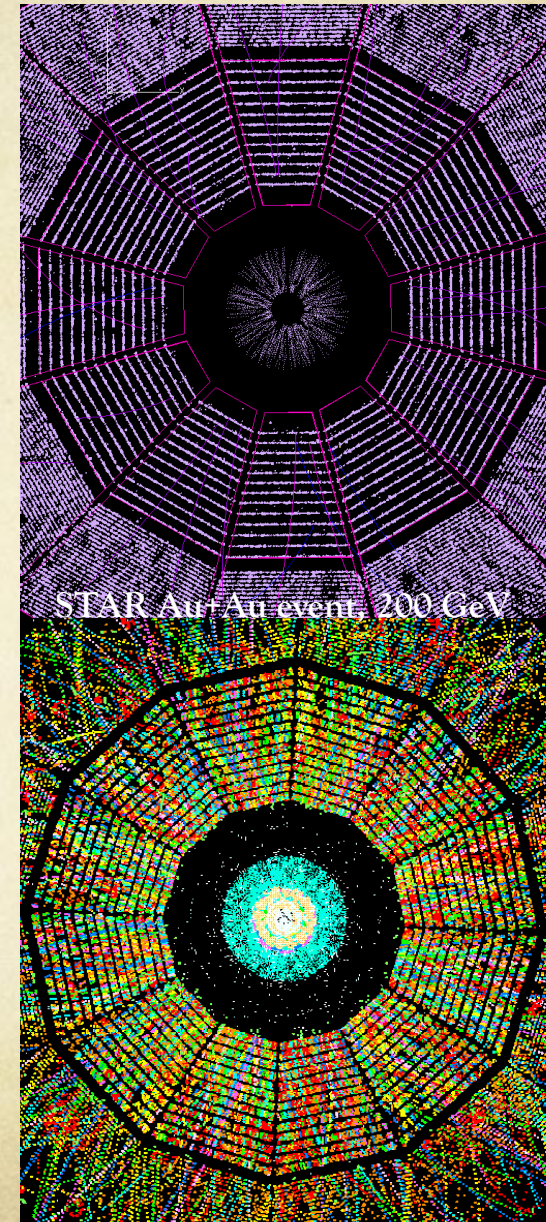






### The collider paradigm

- Basic inputs from detectors
  - Succession of 2D or 3D points (or track segments)  
=> Who's who ?
- 2 steps process
  - Step 1: track identification = **finding** = pattern recognition
    - Associating a set of points to a track
  - Step 2: track **fitting**
    - Estimating trajectory parameters → momentum
- Both steps require
  - **Track model** (signal, background)
  - Knowledge of **measurement uncertainties** (position resolution)
  - Knowledge of **materials traversed** (Eloss, mult. scattering)
- Vertexing needs same 2 steps
  - Identifying tracks belonging to same vertex
  - Estimating vertex properties (position + 4-vector)

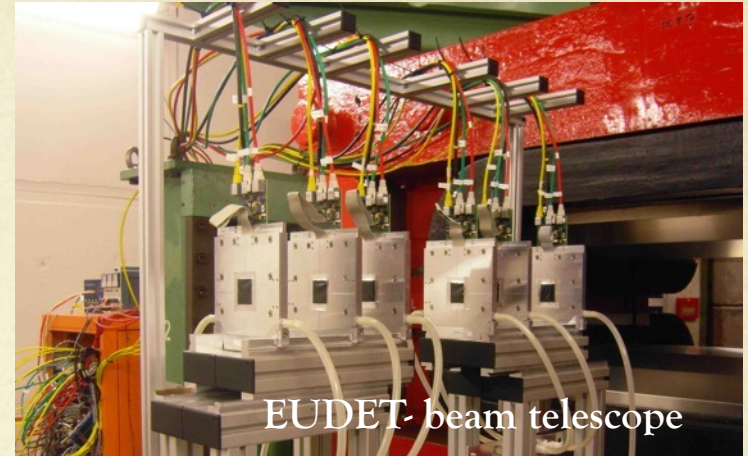




### The Telescope mode

#### ○ Beam test

- Single (or a few) particle at a time
  - Sole nuisances = noise and material budget
- Trigger from beam
  - Often synchronous
- Goal = extrapolate particle position on detector under test

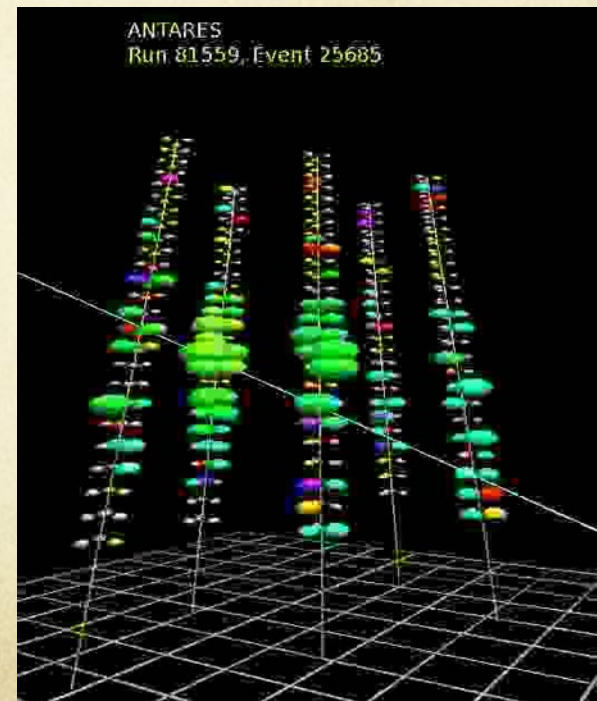


#### ○ The astroparticle way

- Similar to telescope mode but estimate incoming direction
- No synchronous timing
- Ex: deep-water  $\nu$  telescopes

=> For 2 last cases: mostly a fitting problem

- Usually with straight track model





- Life in a real experiment is tough (*for detectors of course, students are welcome!*)
    - Chasing small cross-sections → large luminosity and/or energy
    - Short interval between beam crossing (LHC: 25 ns)
    - Pile-up of events (HL-LHC >100 collisions / crossing)
    - **Large amount of particles** (could be >  $10^8$  part/cm<sup>2</sup>/s)
      - ⇒ background, radiation
    - Vacuum could be required (space, very low momentum particles (CBM, LHCb))
- } ⇒ Finding more complicated!  
⇒ Requirements on detectors:  
• Fast timing  
• High granularity
- Radiation tolerance
    - Two types of energy loss
      - **Ionizing** (generate charges): dose in Gy = 100 Rad
      - **Non-ionizing** (generate defects in solid): fluence in  $n_{\text{eq}}(1\text{MeV})/\text{cm}^2$
    - The innermost the detection layer, the harder the radiation (radius<sup>2</sup> effect)
    - Examples for most inner layers:
      - LHC:  $10^{15}$  to  $<10^{17}$   $n_{\text{eq}}(1\text{MeV})/\text{cm}^2$  with 50 to 1 MGy
      - ILC:  $<10^{12}$   $n_{\text{eq}}(1\text{MeV})/\text{cm}^2$  with 5 kGy

## ○ Timing consideration

- **Integration time** drives occupancy level (important for finding algorithm)
  - Currently range in the ns (CMS, ATLAS) to  $\mu$ s (ALICE) levels
- **Time resolution** offers time-stamping of tracks
  - Tracks in one “acquisition event” could be associated to their proper collision, event if several have piled-up
  - O(100) ps required
- **Time ultra-resolution** for particle identification with Time Of Flight method
  - O(10) ps required

## ○ Heat concerns

- Spatial resolution → segmentation → many channels  
Readout speed → power dissipation/channel
  - Efficient cooling techniques exist BUT  
add material budget and may not work everywhere (space)
- } Hot cocktail!

## ○ Summary

- Tracker technology driven by environmental conditions: hadron colliders (LHC)
- Tracker technology driven by physics performances: lepton colliders (B factories, ILC), heavy-ion colliders (RHIC, LHC)
- Of course, some intermediate cases: superB factories, CLIC

# 1. Motivations & Basic Concepts:

## Figures of Merit

### ○ For detection layer

#### → Detection efficiency

- Mostly driven by Signal/Noise
- Note: Noise = signal fluctuation  $\oplus$  readout (electronic) noise

#### → Intrinsic spatial resolution

- Driven by segmentation (not only)
- Useful tracking domain  $\sigma < 1\text{mm}$
- Linearity and resolution on  $dE/dx$  for PID
- Material budget

### ○ For detection systems (multi-layers)

#### → Track finding efficiency & purity

#### → Two-track resolution

- Ability to distinguish two nearby trajectories
- Mostly governed by signal spread / segments

#### → Momentum resolution $\frac{\sigma(p)}{p}$

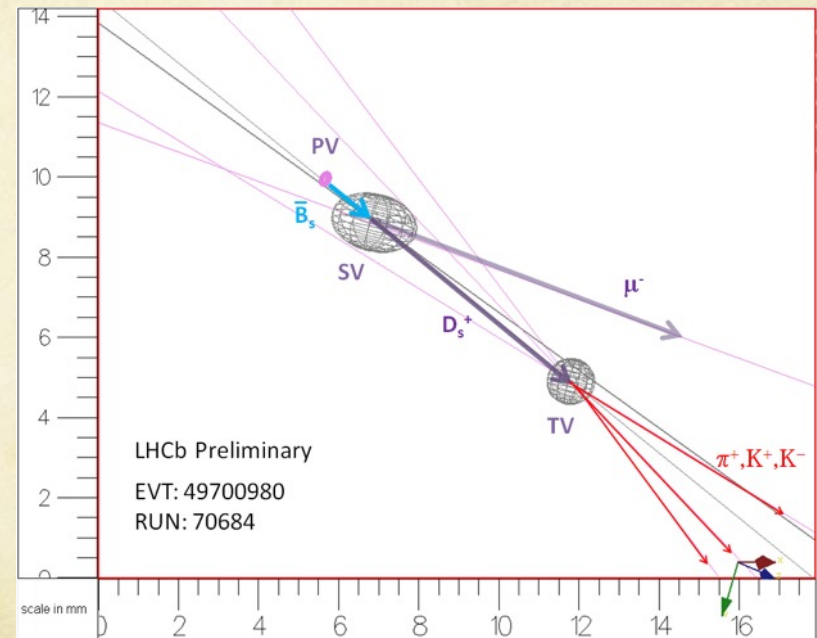
#### → Impact parameter resolution

- Sometimes called “distance of closest approach” to a vertex

#### → “Speed” (time resolution, hit rate)

- Driven by signal collection & electronics

#### → Radiation tolerance



# 1. Motivations & Basic Concepts:

## Figures of Merit: initial estimates

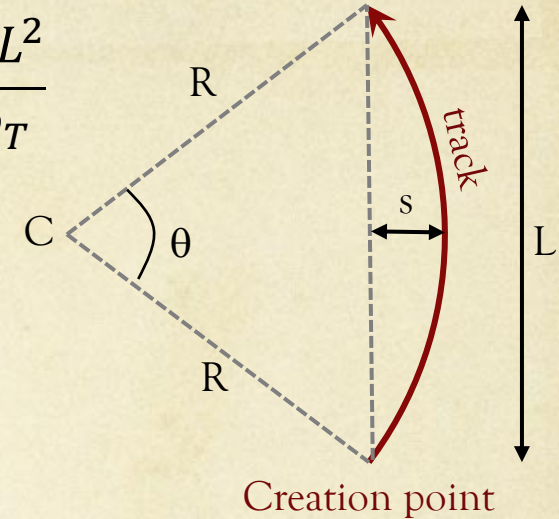
Units: T, GeV/c, m

### ○ Momentum resolution

- Based on sagitta ( $s$ ) measurement in collider geometry
- $L$  = lever arm of measurements
- $R$  = curvature radius  $p_T/0.3B \gg L$

$$s \approx \frac{L^2}{8R} = 0.038 \frac{BL^2}{p_T}$$

$$\frac{\sigma_{p_T}}{p_T} = \frac{\sigma_s}{s}$$



### ○ Impact parameter resolution

- Based on two layers measurements
- assume track straight over small distance:  $R_{ext} \ll$  curvature
- Each layer with spatial resolution:  $\sigma_{int}, \sigma_{ext}$
- Material budget  $\rightarrow \sigma_\theta$
- Telescope equation:

$$\sigma_{IP} \propto \frac{\sqrt{R_{ext}^2 \sigma_{int}^2 + R_{int}^2 \sigma_{ext}^2}}{R_{ext} - R_{int}} \oplus \frac{R_{int} \sigma_{\vartheta(ms)}}{p \sin^{3/2}(\theta)}$$



# 1. Motivations & Basic Concepts:

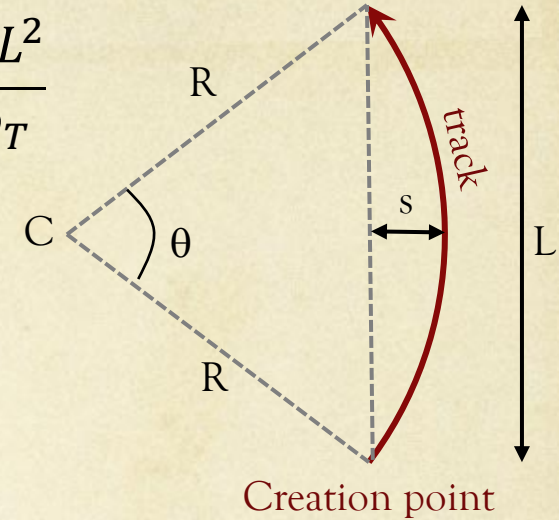
## Figures of Merit: initial estimates

### ○ Momentum resolution

- Based on sagitta ( $s$ ) measurement in collider geometry
- $L =$  lever arm of measurements
- $R =$  curvature radius  $p_T/0.3B \gg L$

$$s \approx \frac{L^2}{8R} = 0.038 \frac{BL^2}{p_T}$$

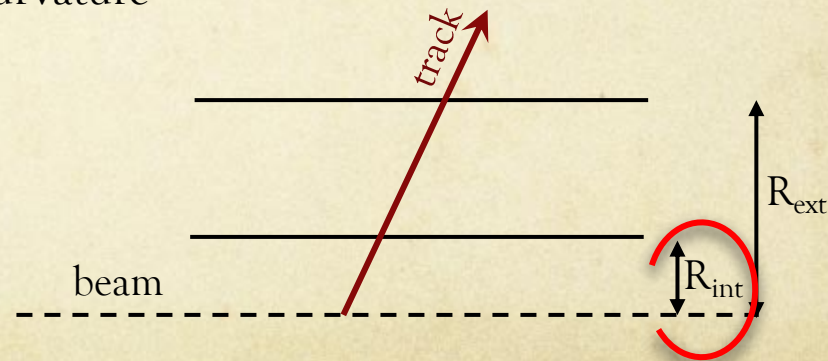
$$\frac{\sigma_{p_T}}{p_T} = \frac{\sigma_s}{s}$$



### ○ Impact parameter resolution

- Based on two layers measurements
- assume track straight over small distance:  $R_{ext} \ll$  curvature
- Each layer with spatial resolution:  $\sigma_{int}, \sigma_{ext}$
- Material budget  $\rightarrow \sigma_\theta$
- Telescope equation:

$$\sigma_{IP} \propto \frac{\sqrt{R_{ext}^2 \sigma_{int}^2 + R_{int}^2 \sigma_{ext}^2}}{R_{ext} - R_{int}} \oplus \frac{R_{int} \sigma_{\vartheta(ms)}}{p \sin^{3/2}(\theta)}$$





### ○ Of course GEANT 4 is there for you (<https://geant4.web.cern.ch>)

- But specialized for radiation-matter interaction & description of large geometries
- detail detector response to a given energy loss ? => specialized simulator


### ○ Some specialized tools

#### → Semiconductors:

- Allpix2 (<https://cern.ch/allpix-squared>)

#### → Gas:

- Garfield++ (<http://cern.ch/garfieldpp>)

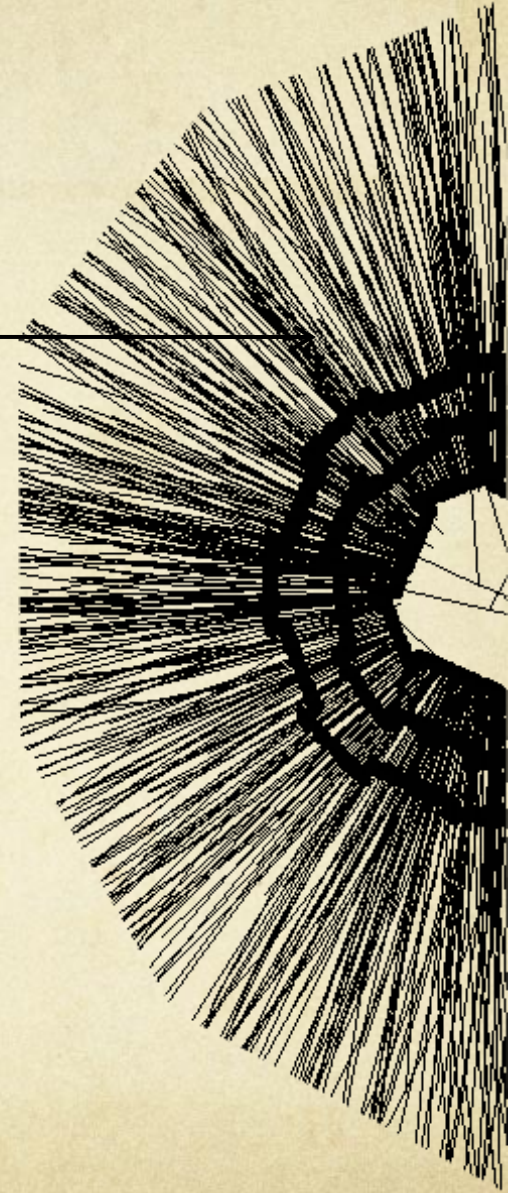
- 
- Solve or implement electric field in your drift volume
  - Drift charges from ionization
  - Simulate avalanche in gas
  - Model electric signal



# 2. Finding algorithms

---

- Local method
- Global method
- Methods based on machine learning



### ○ Global methods

- Transform the coordinate space into **pattern space**
  - “pattern” = parameters used in track model
- Identify the “best” solutions in the new phase space
- Use all points at a time
  - No history effect
- Well adapted to evenly distributed points with same accuracy

### ○ Local methods

- Start with a **track seed** = restricted set of points
  - Could require good accuracy from the beginning
- Then extrapolate to next layer-point
  - And so on...**iterative procedure**
- “Wrong” solutions discarded at each iteration
- Possibly sensitive to “starting point”
- Well adapted to redundant information

**FINDING drives  
tracking efficiency  
fake track rate**

### ○ A simple example

- Straight line in 2D: model is  $x = a \cdot z + b$
- Track parameters (a,b); N measurements  $x_i$  at  $z_i$  ( $i=1..N$ )

### ○ A more complex example

- Helix in 3D with magnetic field
- Track parameters ( $\gamma_0, z_0, D, \tan\lambda, C=R$ )
- Measurements/point ( $r, \varphi, z$ )

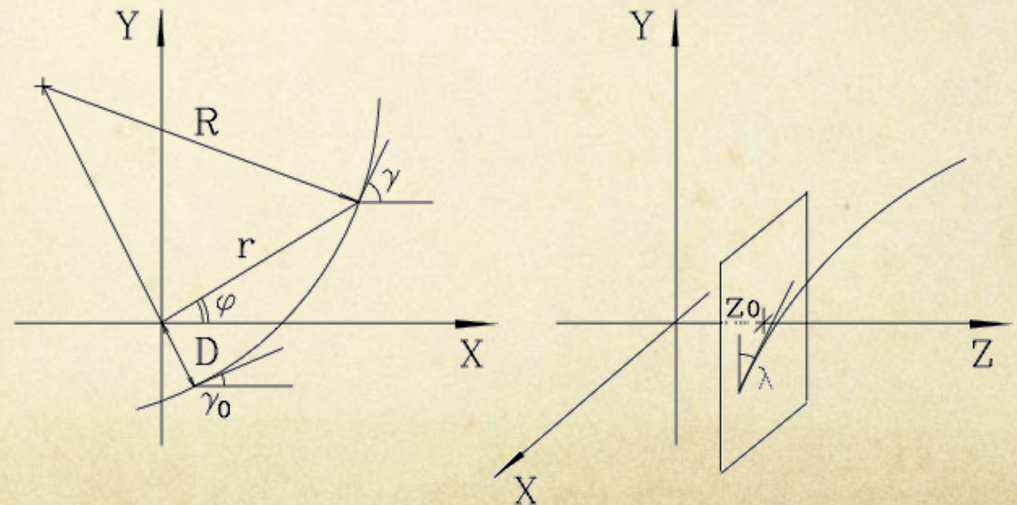
$$\varphi(r) = \gamma_0 + a \sin \frac{C r (1 + CD) D / r}{1 + 2CD}$$

$$z(r) = z_0 + \frac{\tan\lambda}{C} a \sin \left( C \sqrt{\frac{r^2 - D^2}{1 + 2CD}} \right)$$

### ○ Generalization

- Parameters: P-vector  $\mathbf{p}$
- Measurements: N-vector  $\mathbf{c}$
- Model: function  $f(\mathcal{R}^P \rightarrow \mathcal{R}^N)$

$$f(\mathbf{p}) = \mathbf{c} \leftrightarrow \text{propagation}$$





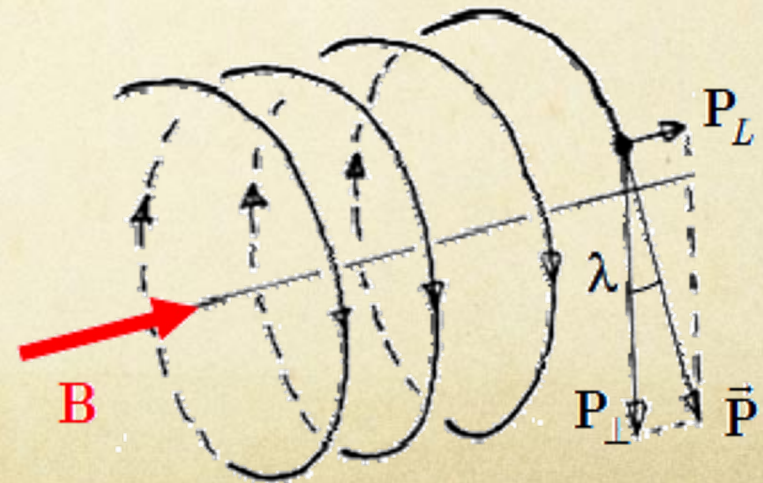
### ○ Another view of the helix

- $s$  = track length
- $h$  = rotation direction
- $\lambda$  = dip angle
- Pivot point ( $s=0$ ):
  - position  $(x_0, y_0, z_0)$
  - orientation  $\varphi_0$

$$x(s) = x_0 + R \left[ \cos \left( \Phi_0 + \frac{hs \cos \lambda}{R} \right) - \cos \Phi_0 \right]$$

$$y(s) = y_0 + R \left[ \sin \left( \Phi_0 + \frac{hs \cos \lambda}{R} \right) - \sin \Phi_0 \right]$$

$$z(s) = z_0 + s \sin \lambda$$



## 2. Finding algorithms:

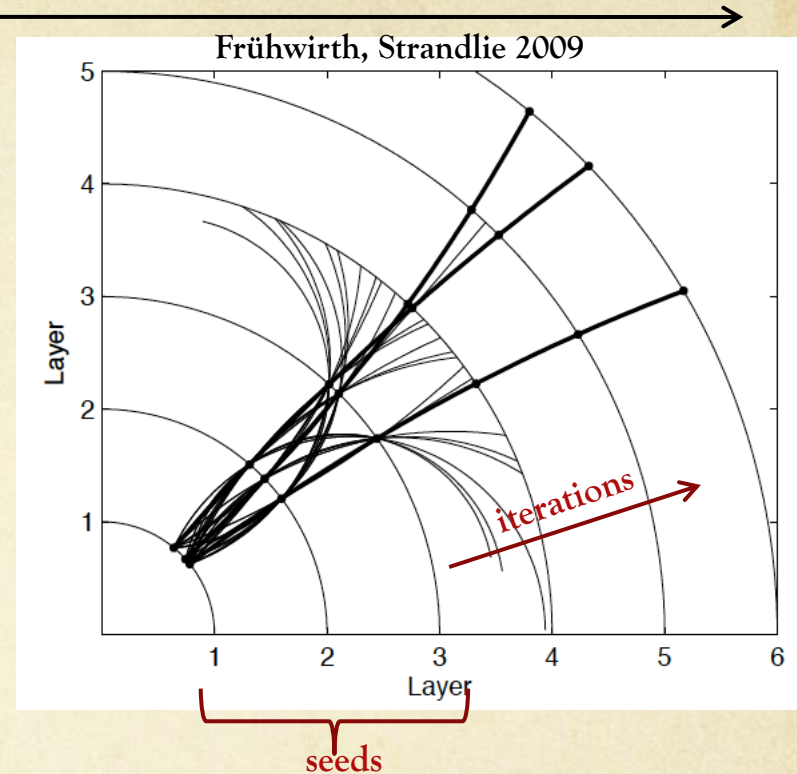
## Local method 1/3

### ○ Track seed = initial segment

- Made of few (2 to 4) points
  - One point could be the expected primary vtx
- Allows to initialize parameter for track model
- Choose most precise layers first
  - usually inner layers
- But if high hit density
  - Start farther from primary interaction @ lowest density
  - Limit mixing points from different tracks

### ○ Extrapolation step

- Out or inward (=toward primary vtx) onto the next layer
- Not necessarily very precise, especially **only local model** needed
  - Extrapolation uncertainty  $\lesssim$  layer point uncertainty
  - Computation speed important
- Match (associate) nearest point on the new layer
  - Might skip the layer if point missing
  - Might reject a point: if worst track-fit or if fits better with another track



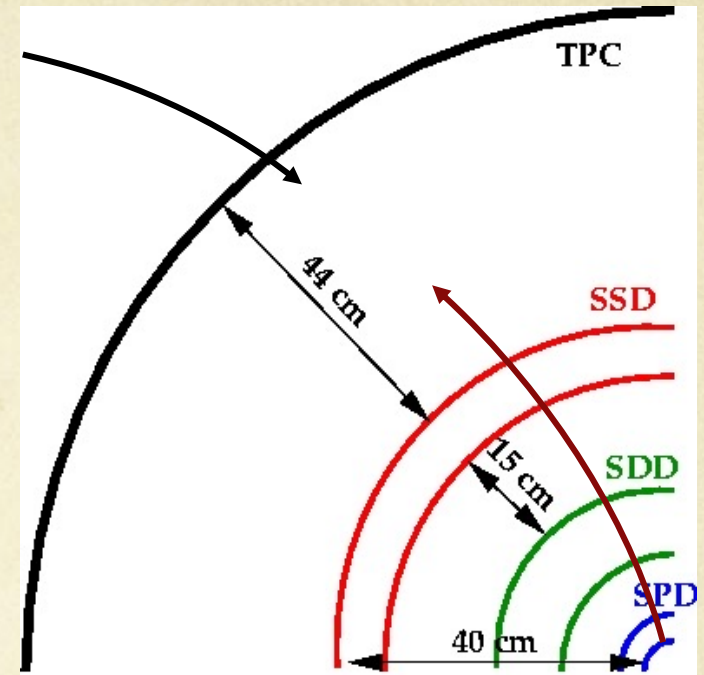
## 2. Finding algorithms:

## Local method 2/3

### ○ Variant with track segments

- First build “tracklets” on natural segments
  - Sub-detectors, or subparts with same resolution
- Then match segments together
- Typical application:
  - Segments large tracker (TPC) with vertex detector (Si)
    - layers dedicated to matching

### ○ (Variant with Kalman filter → See later)



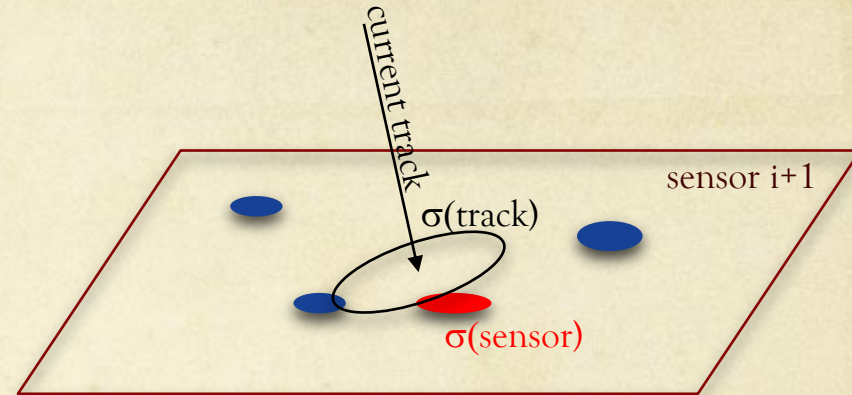
### ○ Figure of merit

→  $\sigma_{\text{eff}} = \sigma(\text{sensor}) \oplus \sigma(\text{track extrapolation})$   
 = effective spatial resolution

→  $\rho$  = background hit density

→ Probability to match correct hit

=> decided on distance hit to track-extrapolation ( $\chi^2$  test)



$$\text{Proba} = \frac{1}{1 + 2\pi\sigma_{\text{eff},z} \times \sigma_{\text{eff},\phi} \times \rho_{\text{bkg}}}$$

$$\sigma_{\text{eff},\phi} \times \sigma_{\text{eff},z} \times \rho_{\text{bckgrnd}}$$

### ○ Best suited to

→ Accommodate diverse extrapolation precision at each layer

- Multi-layer system with non-equidistant & non-equivalent resolution layers

→ Easy to include timing information (just sum position & time  $\chi^2$ )

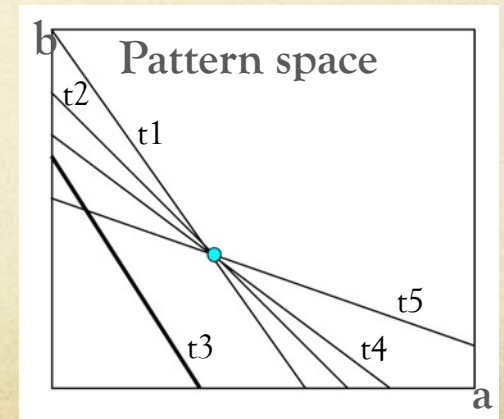
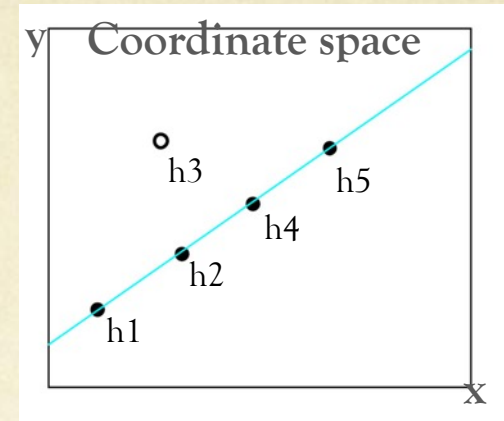
### ○ Brute force = combinatorial way

- Consider all possible combination of points to make a track
- Keep only those compatible with model
- Usually too time consuming...

### ○ Hough transform

#### → Example straight track:

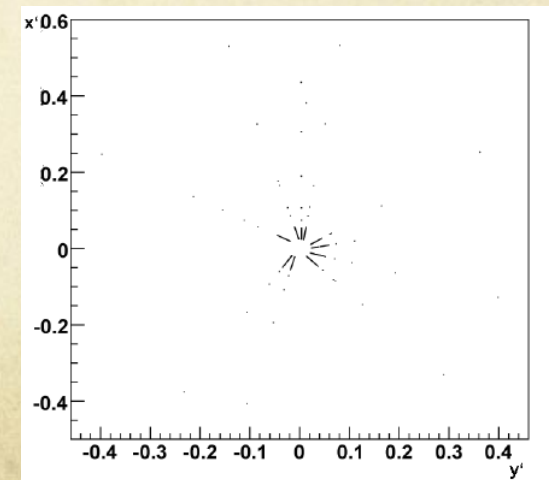
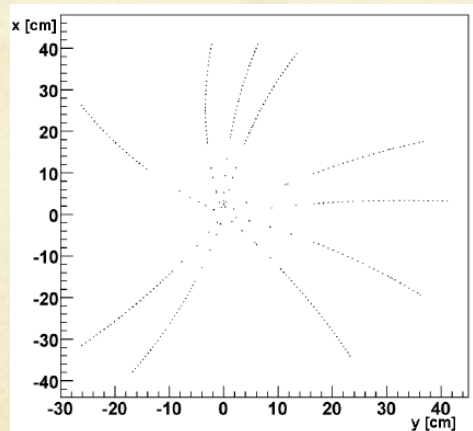
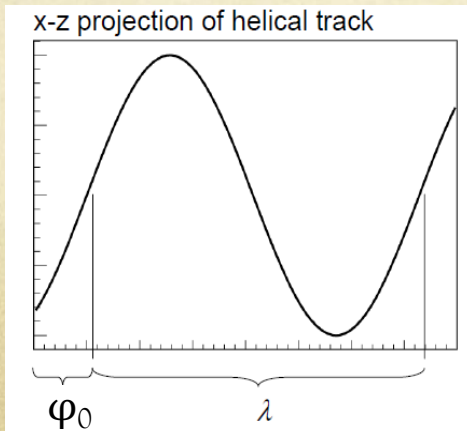
- Coord. space  $y = a \cdot x + b \Leftrightarrow$  pattern space  $b = y - x \cdot a$
- Each point  $(y,x)$  defines a line in pattern space
- All lines, from points belonging to same straight-track, cross at same point  $(a,b)$
- In practice:
  - discretize pattern space and search for maximum
- Applicable to circle finder
  - needs two parameters as well  $(r, \varphi$  of center)
  - if track is assumed to originate from  $(0,0)$
- More difficult for more than 2 parameters...





### ○ Conformal mapping for helix

- $(x_0, y_0, z_0)$  a (pivot) point on the helix with  $(a, b)$  the center of the projected circle of radius  $r$ 
  - $(x-a)^2 + (y-b)^2 = r^2$
- Transforming to  $x' = \frac{x-x_0}{r^2}, y' = \frac{y-y_0}{r^2}$  leads to  $y' = -\frac{a}{b}x' + \frac{1}{2b}$  i.e. a line!
  - So all measured points  $(x, y)$  in circles are aligned in  $(x', y')$  plane
- Use Hough transform  $(x', y') \rightarrow (r, \theta)$  so that  $r = x' \cos \theta + y' \sin \theta$ 
  - To find the lines corresponding to true circles with  $a = r \cos \theta$  and  $b = r \sin \theta$
- Repeat for different  $z_0$ 
  - New Hough transforms
  - $\lambda =$  dip angle
  - $\varphi_0 =$  orientation of pivot point





### ○ Figure of merit

- Search precision in pattern space depends on bin-size in the pattern space
- Such bin-size  $\sim$  uncertainty on the measurements =  $\sigma(\text{sensor}) \oplus \sigma(\text{multiple scatt.})$

$$\sigma_{eff,\phi} \times \sigma_{eff,z} \times \rho_{bckgrnd}$$

### ○ Best suited for

- Homogenous set of measurements
- Typically large gas volume  
or multi equidistant equivalent layers

