

# Calorimetry:

## Basics of Calorimetry for High-Energy Physics

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- Lecture 1
  - Basics of calorimetry for High-Energy Physics
- Lecture 2
  - Modern HEP Calorimetry Systems
- Lecture 3
  - Particle-Flow Calorimeters
- Lecture 4
  - Dual Readout Calorimeters

- Overview
- Electromagnetic showers
- Hadronic showers
- Homogenous calorimeters
- Sampling calorimeters
- Energy resolution

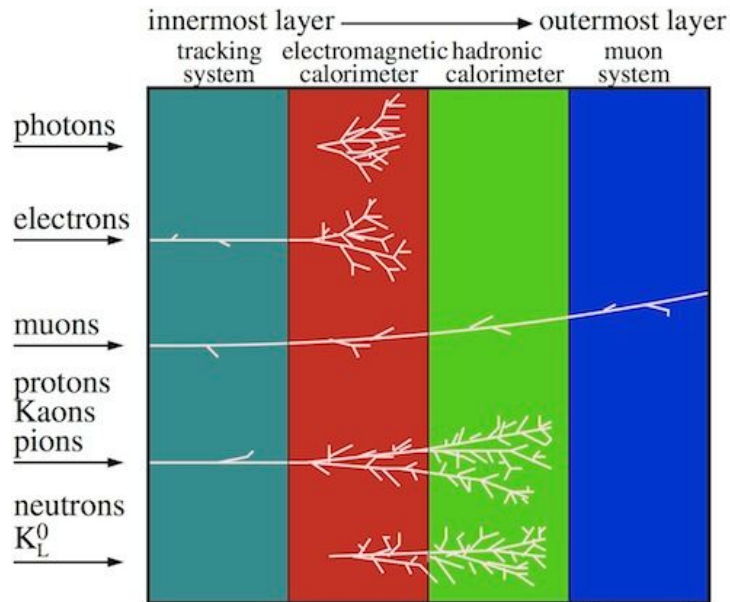
# Overview

# Goal of this lecture

Introduce how calorimeters in High-Energy Physics experiments...

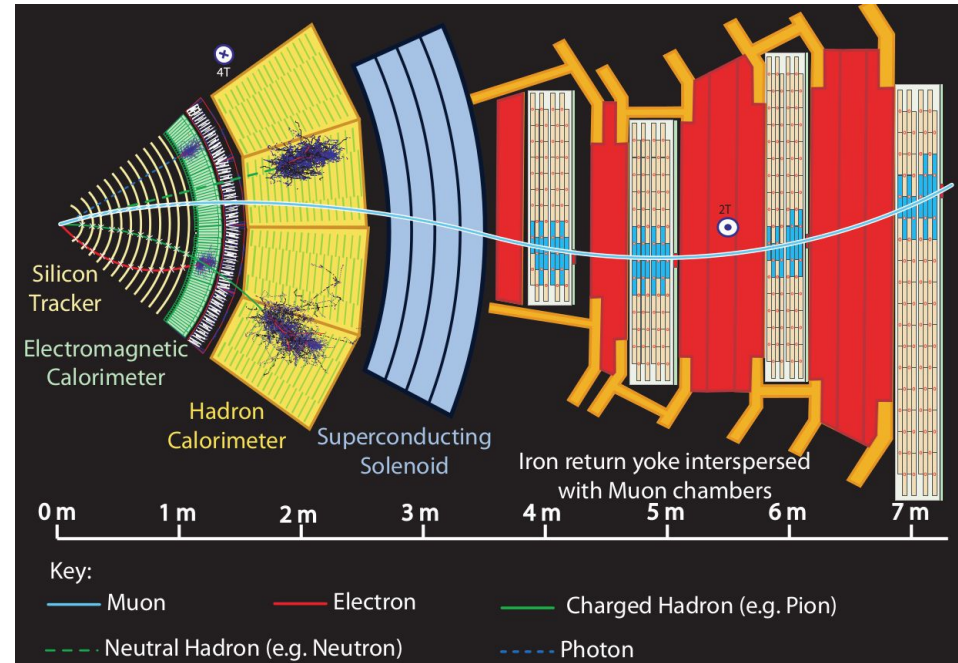
- ... measure **neutral** particles
- ... improve **energy measurement** of high-energetic charged particles
- ... help **identify particles**

## Radial structure of a high-energy physics detector



C. Lippmann – 2003

## CMS detector slice

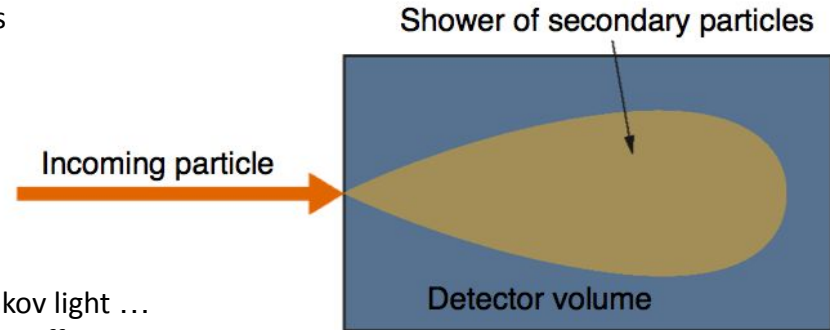


# What is calorimetry?

- In nuclear and particles physics, calorimetry is the **detection of particles** and **measurements of their properties** through **total absorption** in a block of matter
  - Calorimetric measurement process is **destructive**
    - Particles are no longer available for inspection by other devices (except for muons)
  - Name “Calorimetry” originates from the conversion of the particle energy into heat during the absorption process (Latin calor 'heat')
- 
- However:
    - In accelerator experiments, the particle energies go up to O(TeV)
    - 1 calorie (= 1 g water warmed up by 1°C) is equivalent to  $10^7$  TeV
    - Rise in temperature of detector material is negligible
    - Other methods than temperature measurements are needed to determine the particle properties

# Calorimetry: Concepts

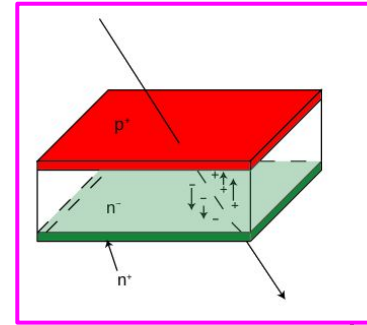
- General idea
  - Primary particle creates shower of secondary particles in dense detector material
  - Each secondary particle deposits energy and produces further particles until the full energy is absorbed
  - Energy conversion into a measurable signal
  
- Shower particles interact with detection material
  - Via ionisation, atomic excitation, production of Cherenkov light ...
  - Depending on the calorimeter type, mostly one of these effects is measured and a signal is deduced
  
- “Practical” calorimeter
  - Measured signal  $\propto$  deposited energy  $\propto$  energy of primary particle
  
- Primary particles that can be measured
  - Stable charged and neutral particles with sufficient long lifetime of  $c\tau > 500\mu\text{m}$ :  
 $e^\pm, \mu^\pm, \pi^\pm, K^\pm, p^\pm, K^0, n, \gamma$



# Calorimetry: Signal generation and detection

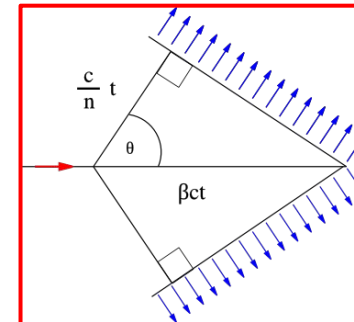
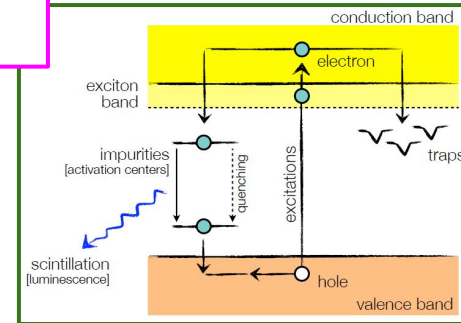
- **Signal generation**

- Shower particles can
  - **ionise** the detector material
    - electron-ion pairs (gases, liquids) via ionisation
    - create electrons-hole pairs (solids) via ionisation
  - Excite atoms in the detector material:  
De-excitation of atoms can produce **scintillation** light
  - Emit **Cherenkov** light in case of  $v > c/n$
  - Create bremsstrahlung,  $\gamma$ -rays electrons, interact hadronically



- **Signal detection methods**

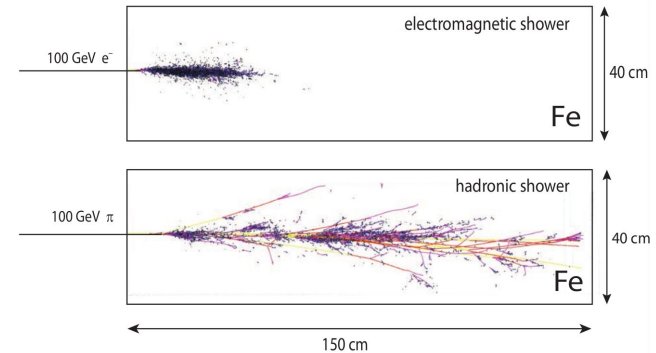
- **Ionisation**
  - Apply an electric field in the detector volume
  - The **ionisation electrons and ions/holes** move in the E field
  - Moving charges induce signal on metal electrodes of detector
  - Read out signals by readout electronics
- **Light**
  - **Cherenkov** or **scintillation** light travels through transparent detector material
  - Read out light signal with photo detectors



# Calorimeter classes and types

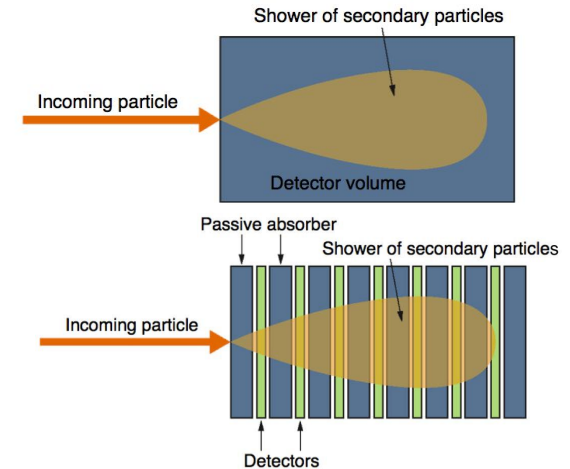
## Calorimeter classes

- **Electromagnetic** calorimeters
  - Measure electrons and photons through their **EM interactions**
  - Targeted at **compact showers**
- **Hadron** calorimeters
  - Measure hadrons through their **strong interactions** and **EM interactions**
  - Targeted at **far reaching** showers



## Construction classes

- **Homogeneous** calorimeters
  - Built of only **one type of material** that performs both tasks, energy degradation and signal generation
- **Sampling** calorimeters
  - Alternating absorber / active medium (many configurations possible):
    - **Absorber**, a dense material used to degrade the energy of the incident particle
    - **Active medium** that provides the detectable signal





# Attractiveness of calorimeters for HEP

1. Energy resolution of calorimeters **improves with energy** and independent from particle direction: Best suited for high E

Calorimeter:  $\frac{\sigma_E}{E} \sim \frac{1}{\sqrt{E}}$

Tracking detector:  $\frac{\sigma_p}{p} \sim p$

e.g. ATLAS:

$$\frac{\sigma_E}{E} \approx \frac{0.1}{\sqrt{E}}$$

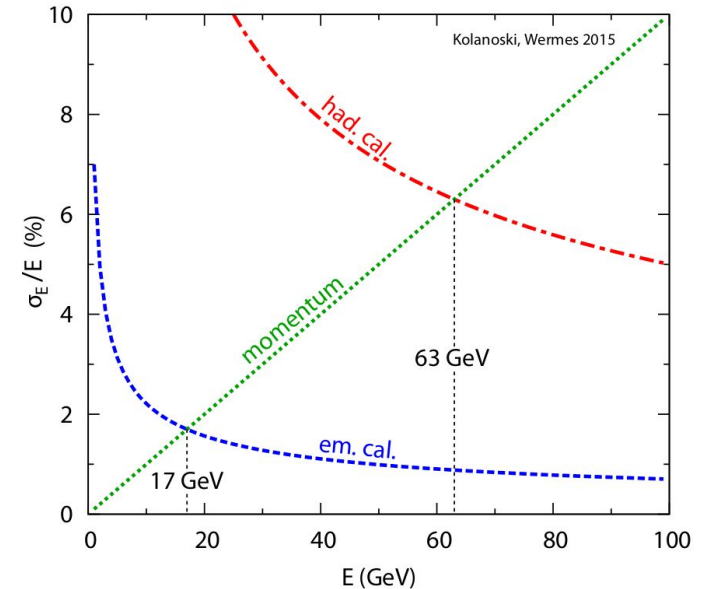
i.e.  $\sigma_E/E = 1\%$  @ 100 GeV

e.g. ATLAS:

$$\frac{\sigma_p}{p} \approx 5 \cdot 10^{-4} \cdot p_t$$

i.e.  $\sigma_p/p = 5\%$  @ 100 GeV

2. Measure both **charged and neutral** particles
  - Even indirect detection of neutrinos via missing energy
3. Versatile detectors
  - Measure shower **energy, position, direction, arrival time, particle identification** from shower shapes
  - **Fast** signal (<100ns), easy to process and interpret → trigger
4. Space and cost effective
  - **Shower depth** (required detector depth) **increases with  $\sim \log E$**
  - Comparison: Bending power  $BL^2$  of a magnetic spectrometer must increase linearly with the particle momentum



Example values used

$\sigma_E/E = 7\%/\sqrt{E}$  for electromagnetic showers

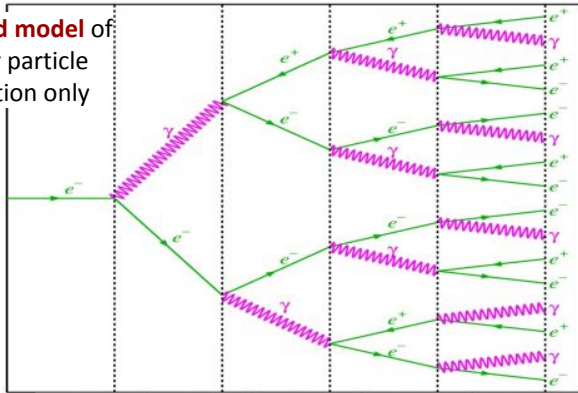
$\sigma_E/E = 50\%/\sqrt{E}$  for hadronic showers

$\sigma_p/p = 0.1\% p$  for E determination by p

# Electromagnetic showers

# Electromagnetic showers: Processes

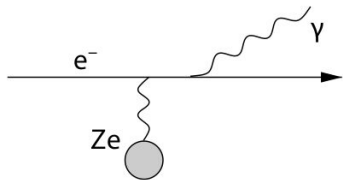
Simplified model of shower particle generation only



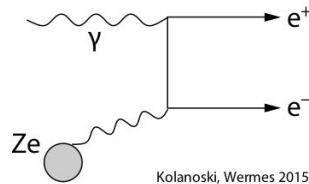
Note: Similar shower development for incoming photon

- An incoming  $e^\pm$  emits a photon via **bremstrahlung**
- The photon creates a  $e^+e^-$  via **pair production**
  - Cross sections:  $\sigma \propto Z^2$
- Rapidly increasing number of  $e^+$  and  $e^-$  and photons until critical energy  $E_c$  is reached
  - $E_c$ : energy at which the energy loss via bremstrahlung and ionisation are equal
- Remaining  $e^\pm$  loose energy via ionisation, photons via Coulomb scattering and photo effect

## Bremstrahlung



## Pair production

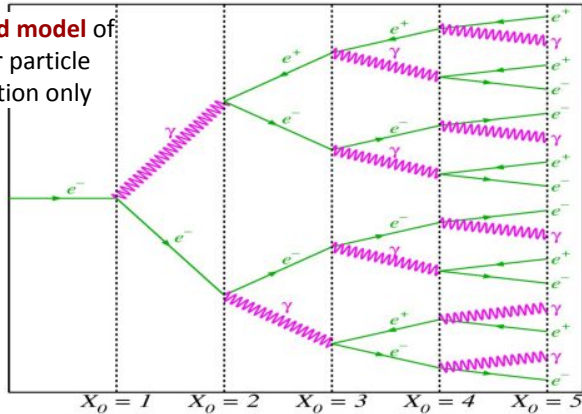


Interactions in Coulomb field of nucleus with charge number  $Z$

E.m. shower development theoretically well known. Can be simulated precisely (e.g. EGS, Geant4).

# Electromagnetic showers: Radiation length

Simplified model of shower particle generation only



$x$	0	$X_0$	$2X_0$	$3X_0$	$4X_0$	
$N$	1	2	4	8	16	0
$\langle E \rangle$	$E_0$	$E_0/2$	$E_0/4$	$E_0/8$	$E_0/16$	$\langle E_c \rangle$

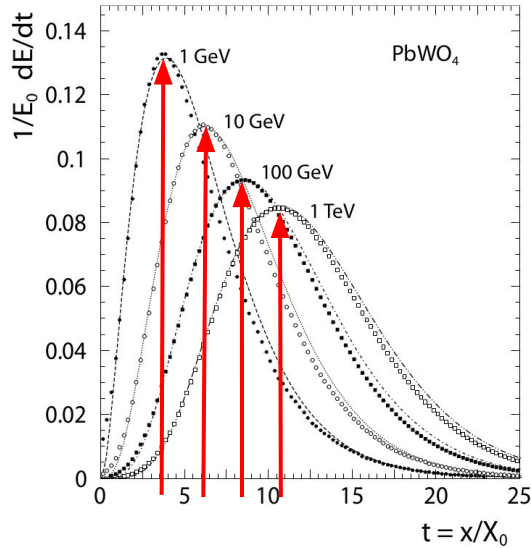
$$N = 2^t$$

$$E_c = \frac{E_0}{2^{t_{max}}} \implies t_{max} = \frac{\ln E_0/E_c}{\ln 2}$$

- $X_0$ : Radiation length
  - Lengths after which the energy of the primary particle  $E_0$  is reduced to  $1/e$  ( $\sim 37\%$ )
  - Number of particles doubles each  $X_0$
  - $X_0$  decreases with increasing nuclear charge  $Z$

Material	Z	Radiation length $X_0$ (mm)
Air 20°C 1 atm	-	$\sim 304\ 000$
Water	-	361
Iron	26	17.6
Tungsten	74	3.5
Lead	82	5.6
Uranium	92	3.2

# Electromagnetic showers: Longitudinal development

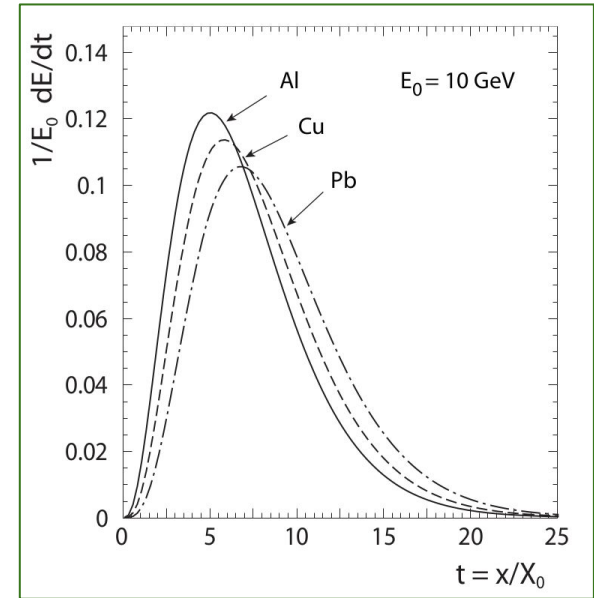


### Important feature of calorimeters:

- ➔ Shower depth increases only logarithmically with incident particle energy
- ➔ Calorimeters can be compact

$E_c = 9.64 \text{ MeV}$   
for electrons

Kolanoski, Wermes 2015



Kolanoski, Wermes 2015

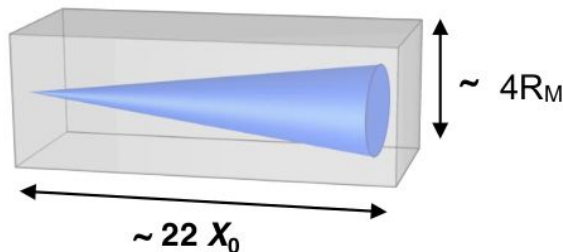
- Empirical formula for depth of shower  $t$ 
  - Shower maximum at  $t_{\text{max}} [X_0] \cong \ln(E_0/E_c) \begin{cases} -0.5 \text{ (electrons)} \\ +0.5 \text{ (photons)} \end{cases}$
- 95% of shower energy deposited after  $t_{98\%} \cong t_{\text{max}} + 13.6 \pm 2.0$
- Number of particles  $N$  scales linearly with energy  $E_0$  of primary particle:  $N \propto E_0/E_c$

- $t_{\text{max}}$  in units of  $X_0$  depends on material through differences in critical energy  $E_c$
- Shower decay after  $t_{\text{max}}$  is slower for materials with higher  $Z$

Kolanoski, Wermes 2015

# Electromagnetic showers: Lateral spread

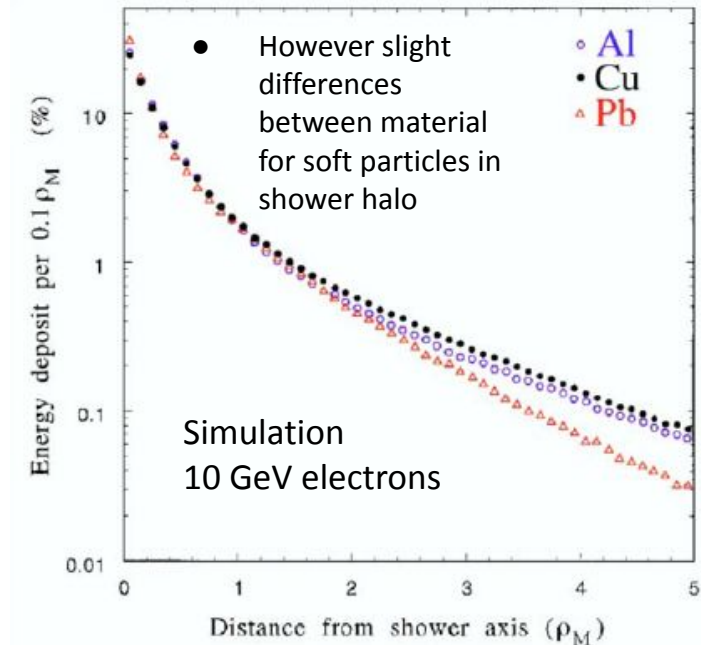
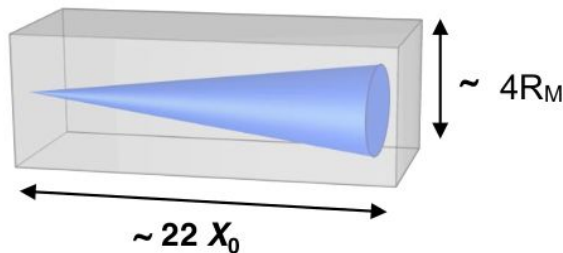
- Longitudinal development governed by the radiation length  $X_0$
- Lateral spread due to  $e^\pm$  undergoing multiple scattering and  $\gamma$  undergoing Compton scattering
  - 95% of the shower cone is located in a cylinder of 2 times the **Molière radius  $\rho_M$**  (also  $R_M$ )
- Molière radius  $\rho_M = E_s/E_c \cdot X_0 = 21\text{MeV}/E_c \cdot X_0$ 
  - Energy scale  $E_s = \sqrt{4\pi/\alpha} (m_e c^2) = 21.2 \text{ MeV}$
- Molière radius important parameter for
  - Measurement of shower position
  - Shower separation
  - Detector design: Granularity in the order of Molière radius or finer



Material	Z	Molière radius $\rho_M$ (mm)
Aluminium	13	44.0
Iron	26	16.9
Copper	29	15.2
Tungsten	74	9.3
Lead	82	16.0
Uranium	92	10.0

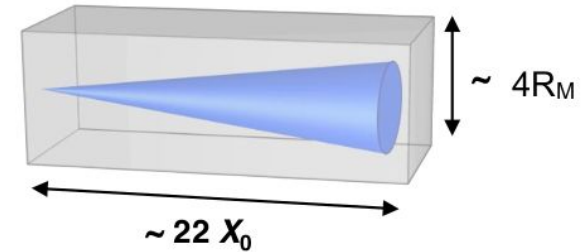
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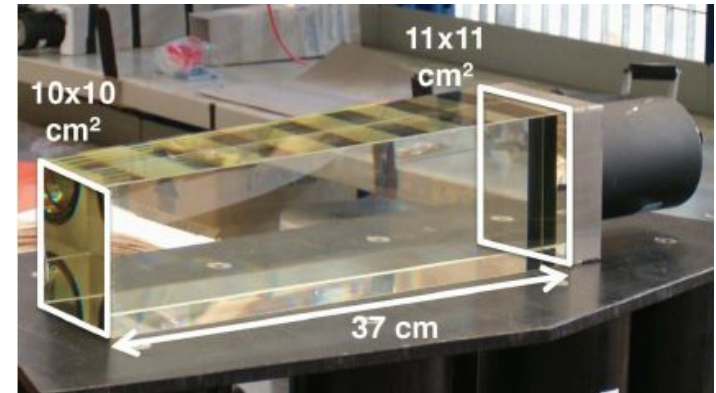


# Electromagnetic showers: Example

- Electron with  $E_0 = 100 \text{ GeV}$ , in lead glass ( $\text{PbO} + \text{SiO}_2$ )
  - $E_c = 11.8 \text{ MeV}$
  - $X_0 \approx 2 \text{ cm}$
- $N_{\text{max}} \approx E_0/E_c \quad \sim 8500$
- $t_{\text{max}} \approx \ln(E_0/E_c) - 0.5 \quad \sim 8.5 X_0 \quad \approx 17 \text{ cm}$
- $t_{98\%} \approx t_{\text{max}} + 13.6 \pm 2.0 \quad \sim (22 \pm 2) X_0 \quad \approx 44 \pm 4 \text{ cm}$
- $\rho_M \approx E_s/E_c * X_0 \quad \sim 3.6 \text{ cm}$
- $\rho_{95\%} \approx 2 \rho_M \quad \sim 7.2 \text{ cm}$



OPAL calorimeter: lead glass blocks (37cm - 52cm)  
(Now in NA62)



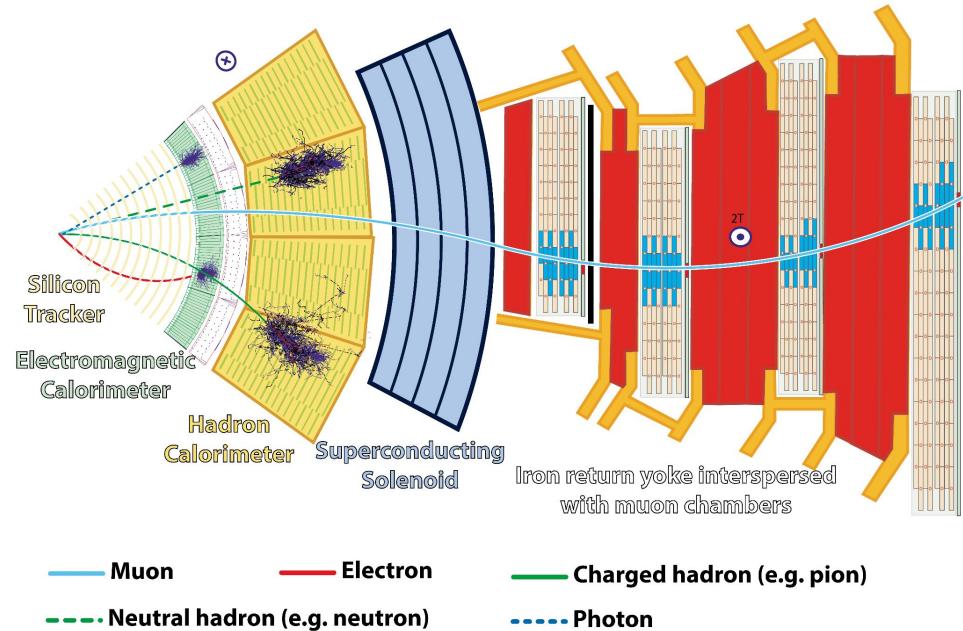
**Rule of thumb** for modern HEP detector design: need about  $25 X_0$



# Hadronic showers

# Hadronic showers: Introduction

- Hadronic showers:
  - From primary charged hadrons ( $p, \pi^\pm, K^\pm$ ) and neutral hadrons ( $n, K^0$ )
  
- Hadron shower development:
  - Similar to electromagnetic shower development, but with hadronic interactions
  - Hadronic interactions governed by nuclear force, with smaller cross section than electromagnetic cross section



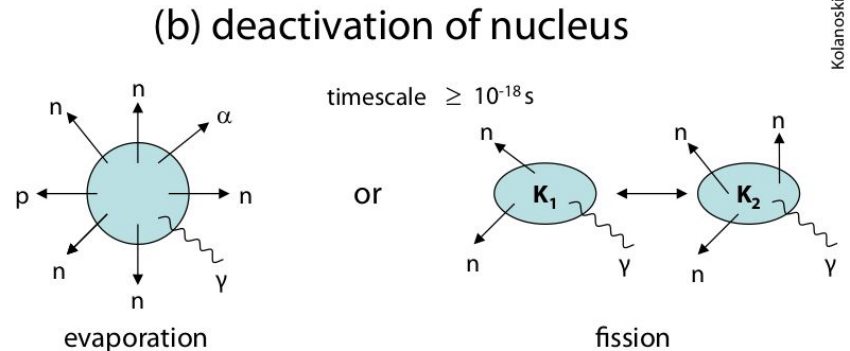
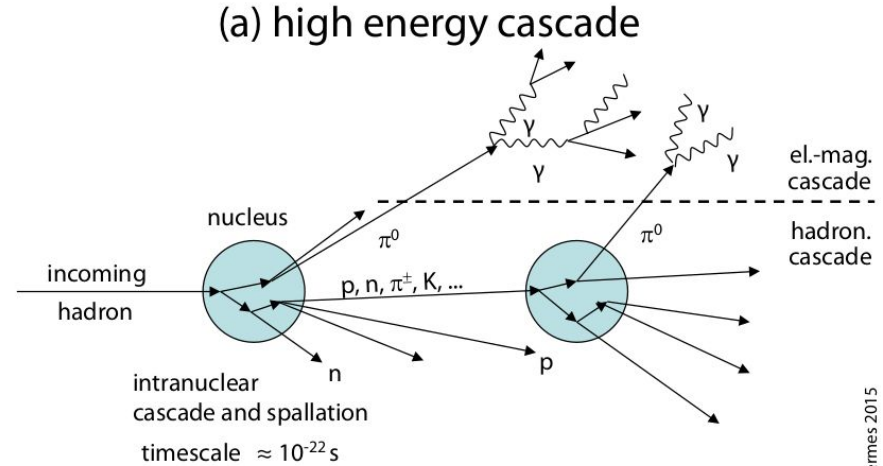
Impact on experiment layout:

- "Slim" e.m. calorimeter located in front of "thick" hadronic calorimeter

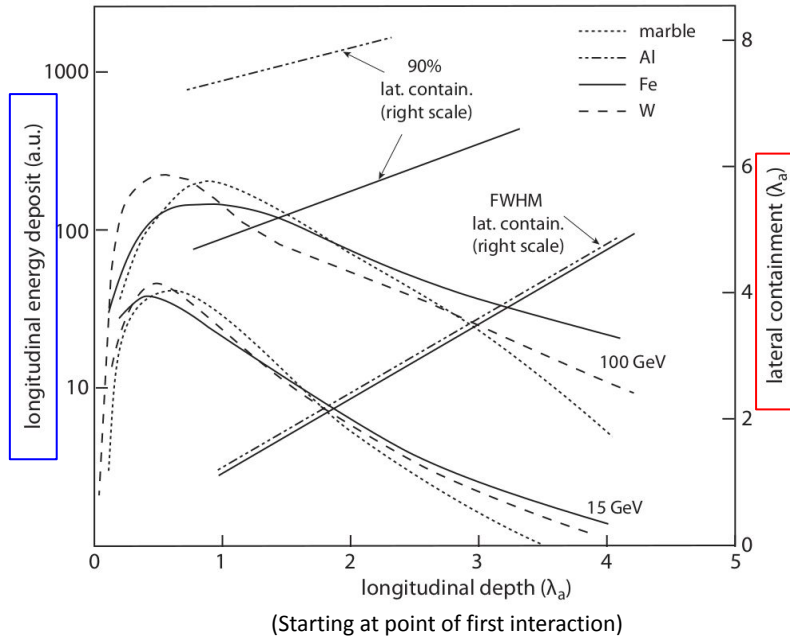
# Hadronic showers: Processes

- Series of **inelastic** hadronic interactions of primary and secondary hadrons with the nuclei of the target material
- **Multitude of possible processes:**
  - **Intra-nuclear cascade:** Components of the nucleus receive enough energy to interact with each other and to produce pions or other hadrons
  - **Inter-nuclear cascade:** Particles escaping the nucleus hit another nucleus
  - **Spallation**
  - Nuclear excitation
  - **Nuclear evaporation**
  - **Nuclear fission**
- **Elastic** interactions
  - Do not produce secondary particles
  - Do not contribute to the hadronic shower development
- Between interactions and at end of shower, shower particles lose energy via ionisation and atomic excitation

**Note:** Hadronic shower development much more complicated than e.m. shower development, more difficult to simulate



# Hadronic showers: Longitudinal & lateral development



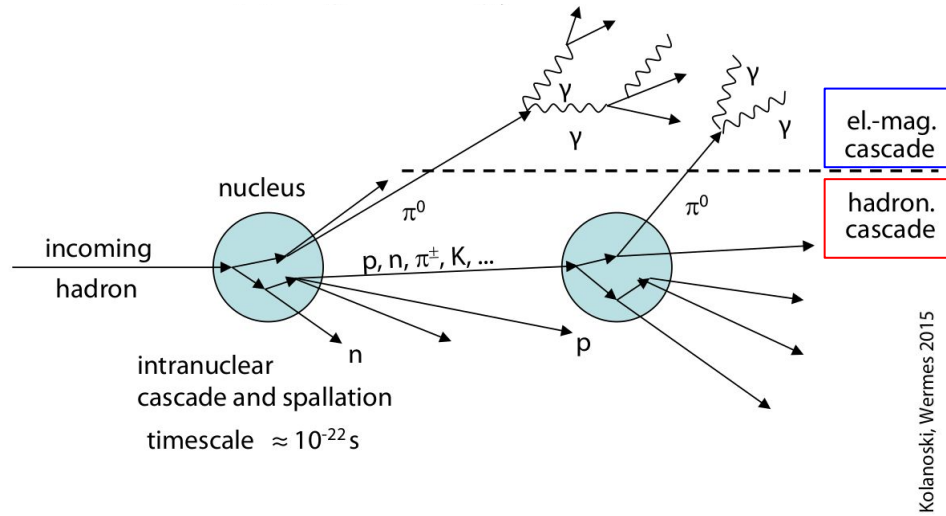
Kolanoski, Wermes 2015

Material	Z	Nuclear interaction length $\lambda_a$ (mm)
Air 20°C 1 atm	-	~747 000
Iron	26	168
Tungsten	74	96
Lead	82	170
Uranium	92	105

- Shower development scales with nuclear interaction length
- Empirical estimates:
  - $t_{\max} \cong 0.2 \ln (E/\text{GeV}) + 0.7 \rightarrow$  in units of  $\lambda_a$
  - $t_{95\%} \cong t_{\max} + 2.5 (E/\text{GeV})^{0.13}$

**Rule of thumb** for modern HEP detector design: need about  $10 \lambda_a$

# Hadronic showers: Shower substructure

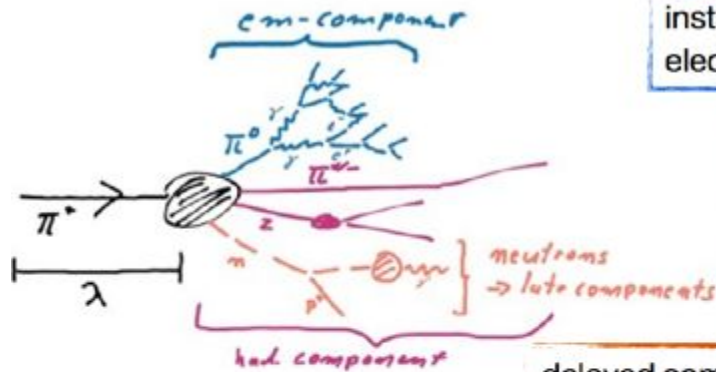


## Shower substructure:

- Secondary **neutral mesons ( $\pi^0, \eta$ )** decay into photons
  - **Electromagnetic sub-showers** within **hadronic shower**
  - Apriori not know which energy fraction carried by electromagnetic sub-shower

# Hadronic showers: Time structure

- Hadronic showers have a complex structure also in time
- Importance of delayed component in signal formation strongly depends on target nucleus
- Sensitivity to time structure depends on the choice of active medium (e.g. H content)



instantaneous, detected via energy loss of electrons and positrons in active medium

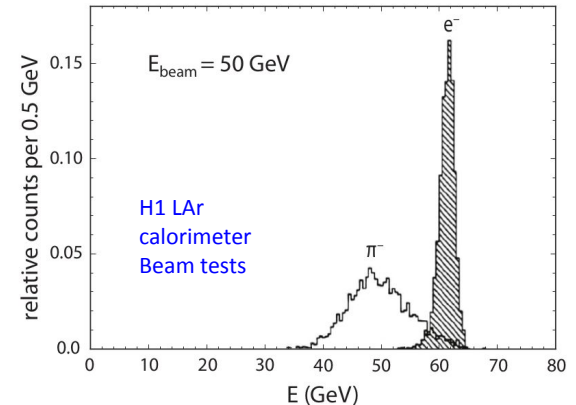
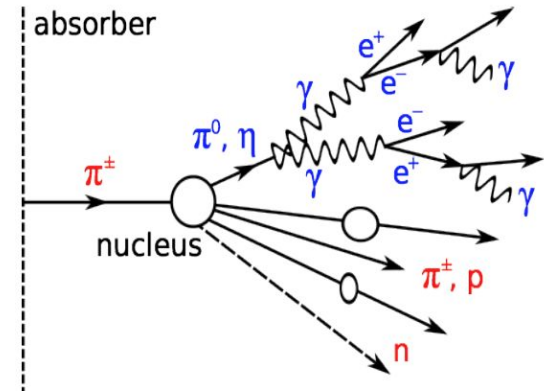
instantaneous component: charged hadrons detected via energy loss of charged hadrons in active medium

delayed component:

- neutrons from evaporation and spallation
- photons, neutrons, protons from nuclear de-excitation following neutron capture
- momentum transfer to protons in hydrogenous active medium from slow neutrons

# Hadronic showers: Energy response

- Absorption of purely hadronic shower involves energy-loss processes which do not create measurable signals:
  - Nuclear binding energy
  - Production of neutrinos and high energy muons
  - Kinetic energy of debris of nuclei
- No such energy loss mechanism in the e.m. shower
- Response of calorimeter
  - to **purely hadronic component (h)** usually smaller than
  - to **purely e.m. component (e)**
- e/h response ratio figure of merit of a hadron calorimeter
  - A priori e/h  $\neq$  1 and energy dependent
  - An ideal calorimeter has similar response for both shower components: e/h=1



# Compensation

- Hadron calorimeter response to hadronic and electromagnetic shower components:
  - $R_h = e \cdot E_e + h \cdot E_h$
- Fraction of energy deposited electromagnetically
  - Fluctuates between events and
  - Increases on average with energy of primary hadron:
    - $E_e / E = f_{EM} = k \cdot \ln(E(\text{GeV})), k \sim 0.1$
    - Response of calorimeter to hadron shower becomes non-linear
    - Energy resolution degrades

a= stochastic term of energy resolution

$$\frac{\sigma(E)}{E} = \frac{a}{\sqrt{E}} + d \left( \frac{e}{h} - 1 \right)$$

But

- e/h depends on geometry and material
- $e/h=1$  can be reached by increasing the hadronic signal
  - **Hardware** compensation
    - Increase neutron activity by use of special absorber (e.g. Uranium)
    - Increase sensitivity to slow neutrons (e.g. use Hydrogen enriched scintillator)
    - Choose favourable sampling fraction (thickness of absorber vs. active layers)
  - **Software** supported compensation
    - Identify dense (e.m.) and sparse regions (had.) in the shower and assign energy weights
      - Requires granularity
  - Software compensation never as good as hardware compensation



# Energy resolution

# Energy measurement: Intrinsic resolution

- For an **ideal calorimeter** with infinite dimensions, the energy resolution is determined by **stochastic fluctuations** of the **number of charged particles N** contributing to the signal

$$\frac{\sigma_E}{E} \propto \frac{\sigma_N}{N} \approx \frac{\sqrt{N}}{N} = \frac{1}{\sqrt{N}}$$

- Maximum number of particles contributing to the signal

$$N_{\max} = E/\eta$$

- $\eta$ : threshold energy = minimum energy to create detectable secondary particle
  - Germanium:  $\eta = 2.9 \text{ eV}$
  - Silicon:  $\eta = 3.6 \text{ eV}$
  - Gas:  $\eta = 30 \text{ eV}$
  - Plastic scintillator:  $\eta = 100 \text{ eV}$

- In a **realistic calorimeter** further terms arise, with different energy dependencies (see later)

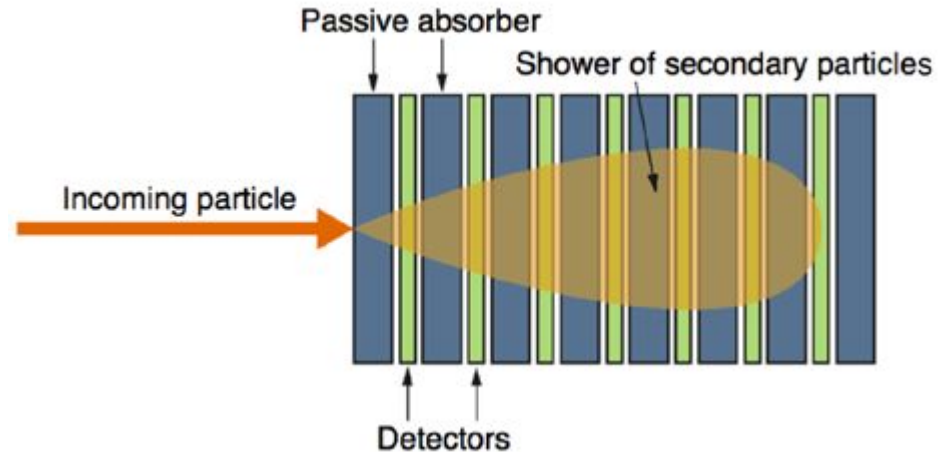
# Energy measurement: Sampling fluctuations

- **Sampling fluctuations**

- Sampling calorimeters measure only a small fraction (few %) of the deposited energy
- Energy fraction  $f_s$  deposited in detection layers varies from event to event
- This deteriorates the energy resolution
- Driven by variation in number of charged secondary particles traversing the detector layers

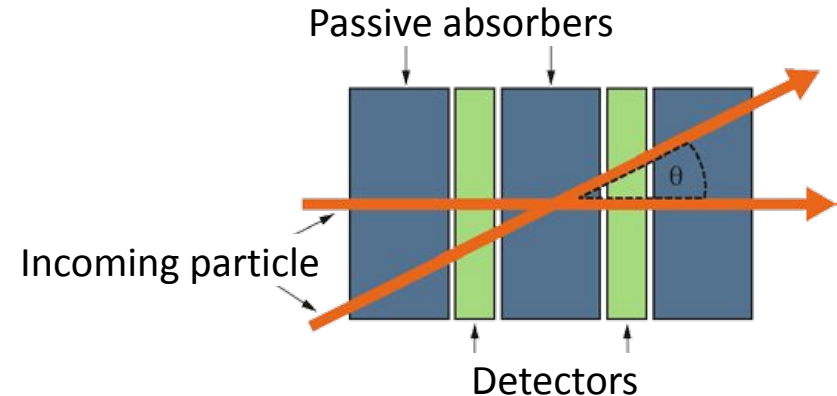
$$f_s = \frac{E_{vis}}{E_{dep}}$$

$$\frac{\sigma_E}{E} \approx \frac{\sqrt{N_{vis}}}{N_{vis}} = \frac{1}{\sqrt{N_{vis}}}$$



# Energy measurement: Other fluctuations

- **Landau fluctuations**
  - Thin detector layers
    - Asymmetric energy loss distribution (Landau instead of Gaussian distribution)
    - Important in gas and thin silicon detectors
  
- **Track-length fluctuations**
  - Secondary particles cross the detector planes under various angles
  - From event to event the total track length of secondary particles fluctuates
    - Deposited energy varies accordingly
    - Contribution to the energy resolution

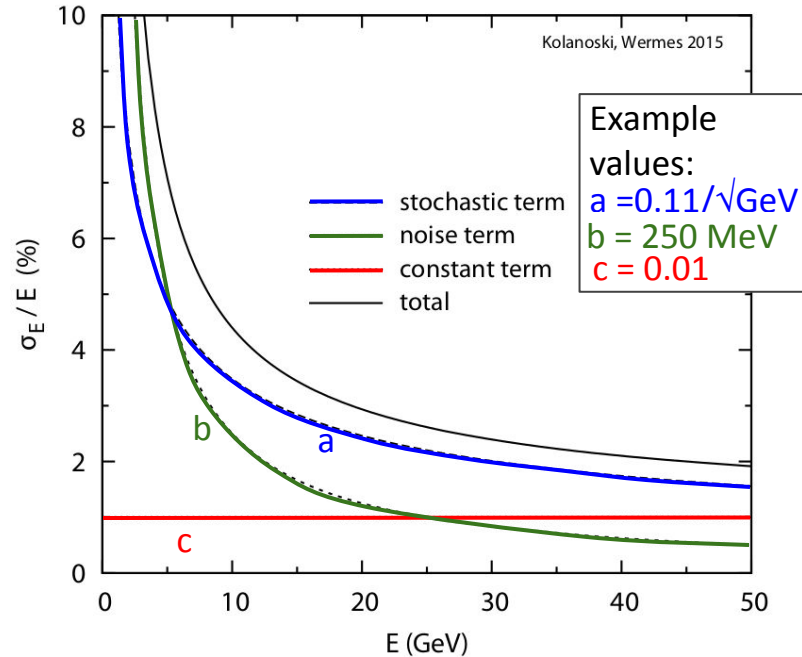


# Energy resolution: Parametrisation

- The energy resolution is parametrized as:

$$\frac{\sigma(E)}{E} = \sqrt{\left(\frac{a}{\sqrt{E}}\right)^2 + \left(\frac{b}{E}\right)^2 + c^2} = \frac{a}{\sqrt{E}} \oplus \frac{b}{E} \oplus c$$

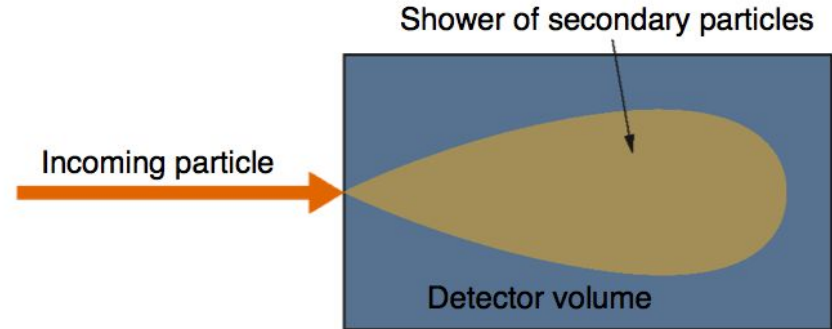
- Stochastic term a**
  - All stochastic fluctuations, e.g. intrinsic fluctuations, sampling fluctuations
- Noise term b** → dominates at low E
  - Electronic noise
  - Energy independent term
- Constant term c** → dominates at high E
  - Mechanical and electronic imperfections, inhomogeneous response, calibration errors, dead channels, leakage
  - Linearly dependent of energy



# Homogeneous calorimeter examples

# Homogeneous calorimeters

- One material used for both purposes
  - passive material that absorbs particle and
  - material that produces detectable signal
- **Pros:**
  - Very good energy resolution (measure the full shower energy)
- **Cons:**
  - Limited selection of materials
  - High costs
  - Detector element needs to be deep enough to absorb the full shower
  - Segmentation is difficult (limited information on shower shape),



Homogenous concept only for electromagnetic calorimeters

Signal	Material
Scintillation	Bismuth Germanate $\text{Bi}_4\text{Ge}_3\text{O}_{12}$ = BGO Lead Tungstate $\text{PbWO}_4$ $\text{BaF}_2$ , $\text{CeF}_3$ , $\text{CsI(Tl)}$
Cherenkov light	Lead glass ( $\text{PbO} + \text{SiO}_2$ )
Ionisation	Liquid noble gasses (Ar, Kr, Xe), Germanium (in nuclear physics)

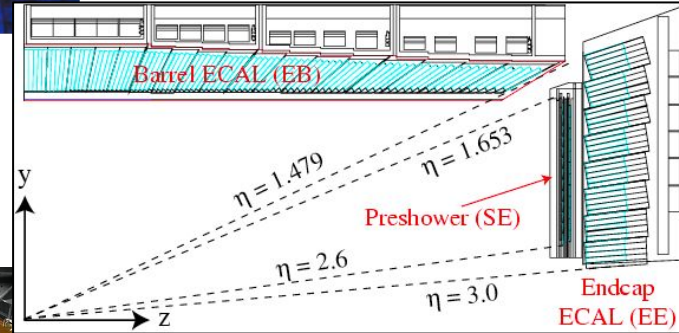
# Homogeneous calorimeters: Scintillators

**PbWO<sub>4</sub> (95% lead) monocrystals for CMS ECAL**

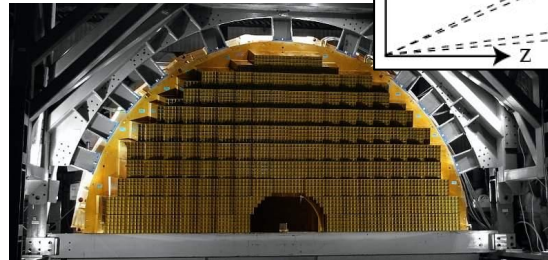
**ALICE PHOS**

- PbWO<sub>4</sub>: Lead tungstate crystal
  - Signal: **Scintillation**
  - Very radiation hard
- Light detection (in CMS ECAL):
  - Avalanche Photo Diodes (APD): Barrel
  - Vacuum Phototriodes (VPT) Endcap

**CMS ECAL: Crystal orientation in direction of interaction point**

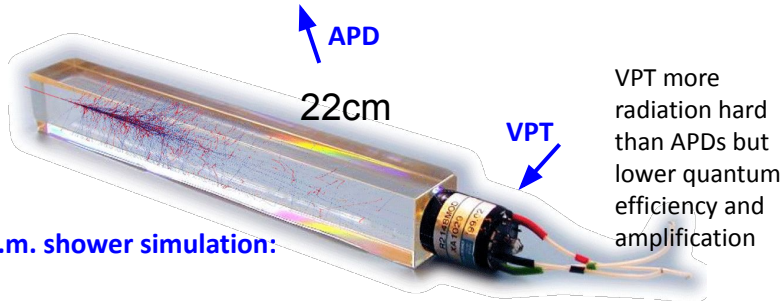


**CMS ECAL Endcap (EE)**



**Typical resolutions:**

$$\frac{\sigma_E}{E} \approx \frac{(2-5)\%}{\sqrt{4E/\text{GeV}}}$$





# Homogeneous calorimeters: Crystals

	NaI(Tl)	CsI(Tl)	CsI	BGO	PbWO <sub>4</sub>
Density (g/cm <sup>3</sup> )	3.67	4.53	4.53	7.13	8.28
X <sub>0</sub> (cm)	2.59	1.85	1.85	1.12	0.89
R <sub>M</sub> (cm)	4.5	3.8	3.8	2.4	2.2
Decay time (ns)	250	1000	10	300	5
slow component			36		15
Emission peak (nm)	410	565	305	410	440
slow component			480		
Light yield $\gamma$ /MeV	$4 \times 10^4$	$5 \times 10^4$	$4 \times 10^4$	$8 \times 10^3$	$1.5 \times 10^2$
Photoelectron yield (relative to NaI)	1	0.4	0.1	0.15	0.01
Rad. hardness (Gy)	1	10	$10^3$	1	$10^5$

- Higher light yield provides better energy resolution
- Selected material needs to fit time and radiation requirement of experiment
- Materials need to be transparent for scintillation signal

BaBar@PEP-II/  
Belle@KEKB

- 10ms interaction rate
- High light yield
- Low radiation dose

L3@LEP

- 25 $\mu$ s bunch crossing
- Medium light yield
- Low radiation dose

CMS@LHC

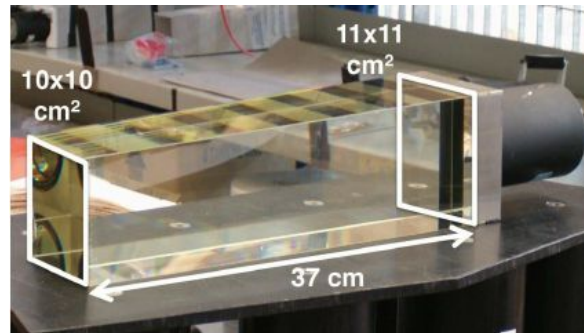
- 25ns bunch crossing
- Lower light yield
- High radiation dose

# Homogeneous calorimeters: Cherenkov

Lead glass ( $\text{PbO} + \text{SiO}_2$ ) calorimeter in OPAL detector

- Lengths between 37 and 52 cm
- Signal: [Cherenkov light](#)
  - Created for electron energy  $> 0.7\text{MeV}$
- Light yield smaller than of scintillating crystal, leading to worse energy resolution than in crystals with scintillation signal
- Light detection: Vacuum photo-triodes (VPT)

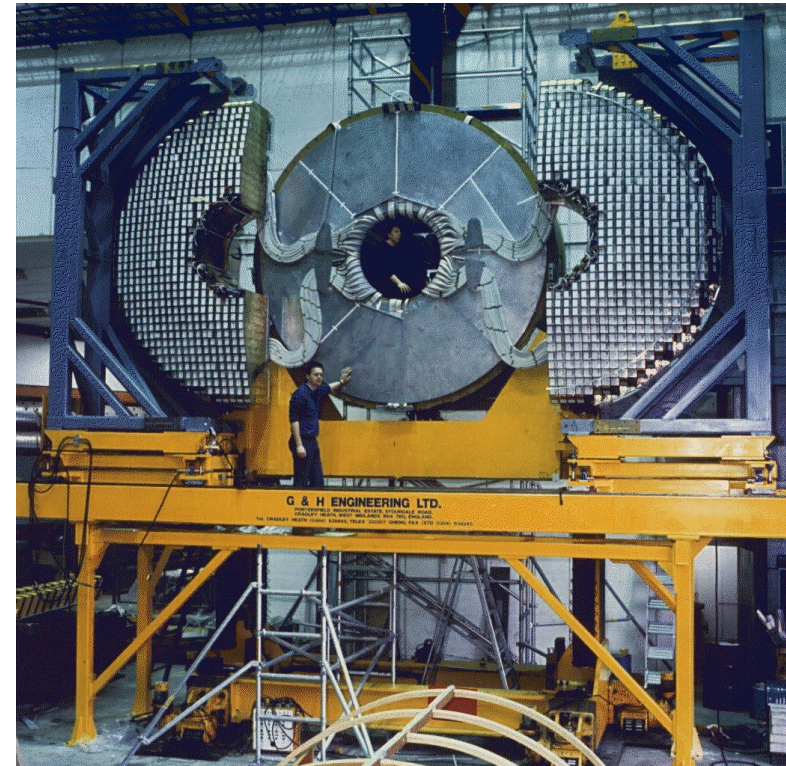
OPAL calorimeter: lead glass  
(Now in NA62)



Typical resolutions:

$$\frac{\sigma_E}{E} \approx \frac{(5-10)\%}{\sqrt{E/\text{GeV}}}$$

OPAL calorimeter endcap

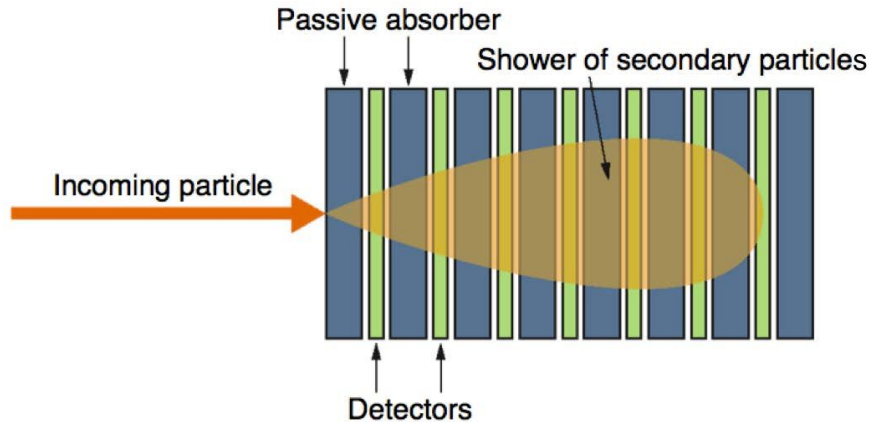


# Sampling calorimeter examples

# Sampling calorimeters

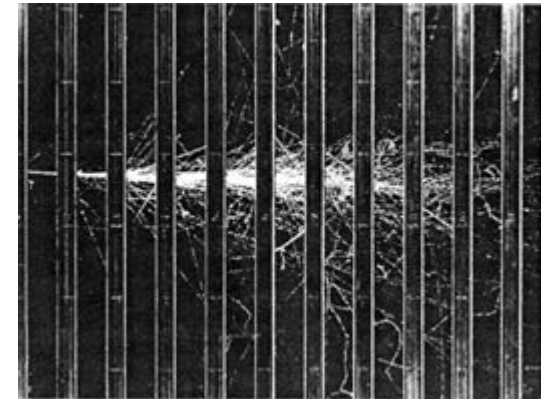
Sampling calorimeter: alternating layers/elements of passive absorbers and active detectors

- Typical absorbers: materials with high density:
  - Fe, Pb, W, U
- Typical active detectors:
  - Plastic scintillators
  - Silicon detectors
  - Noble liquid ionisation chambers
  - Gas detectors

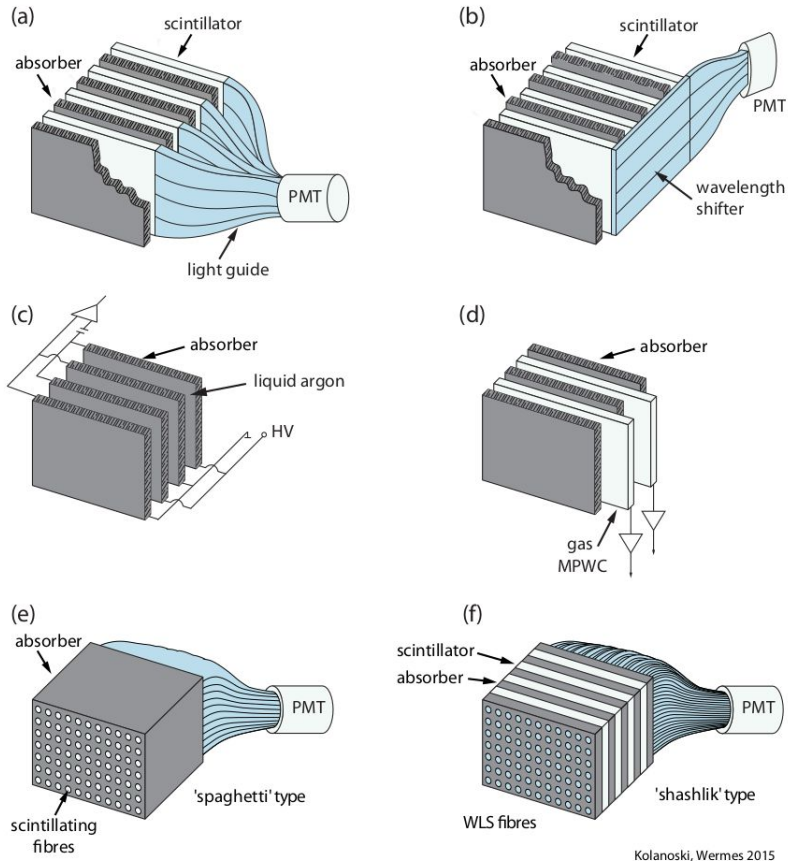


- **Pros:**
  - Optimise absorber and detector material independently, targeted to application
  - Dense absorber material allows for compact calorimeter
  - Absorber material relatively cheap
- **Cons:**
  - Only fraction of shower energy is measured
  - Deteriorates energy resolution

Electromagnetic cascade of 4 GeV photon in cloud chamber filled with 1.3cm lead plates



# Sampling calorimeters: Main layouts



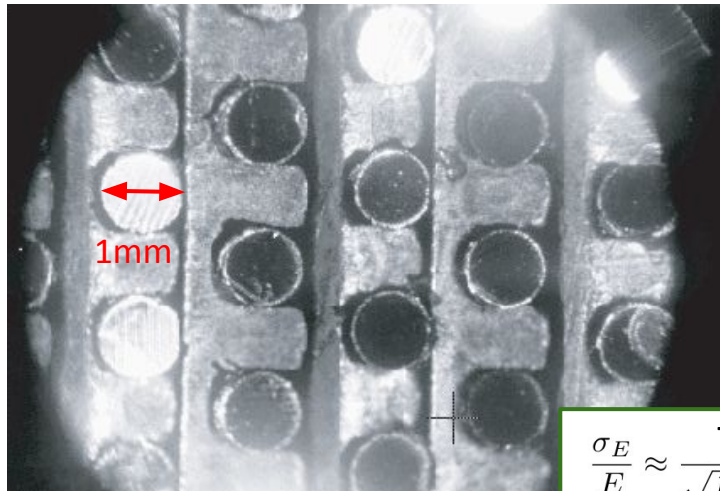
- “Sandwich”
  - (a)+(b): Scintillator
  - (c): Ionisation chamber based on liquid Argon (LAr), silicon
  - (d): Gas Detector: here: Multi-Wire-Proportional Chamber
  
- “Spaghetti”
  - (e): Absorber with scintillating fibres
  
- “Shashlik”
  - (f): Scintillating layers and wavelength shifting fibres

Note: Orientation of active components to particle direction varies in existing detectors



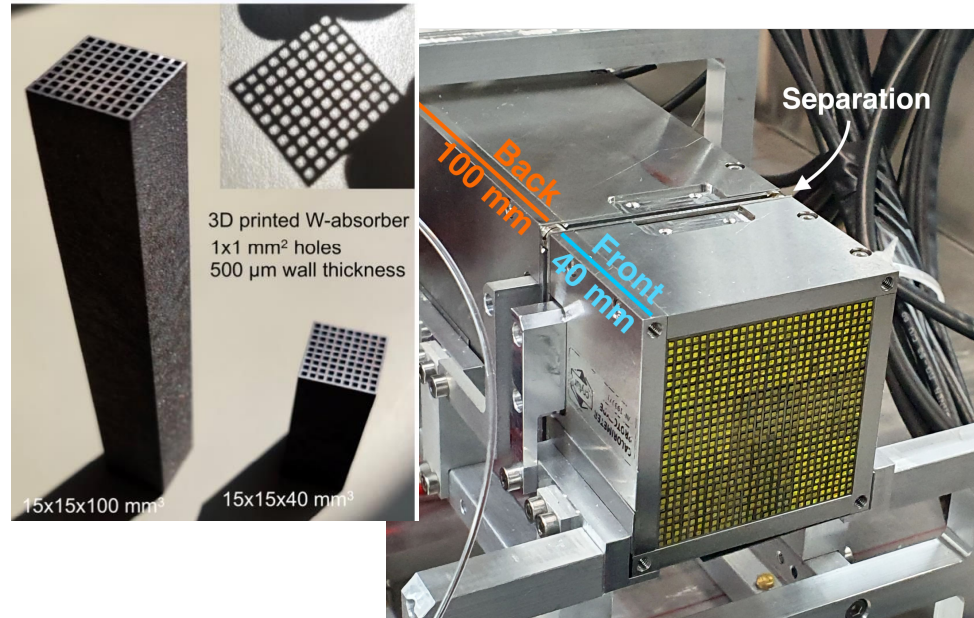
# Sampling calorimeters: Spaghetti Calorimeters

- SPACAL H1 @ HERA
  - Active layers: Scintillating fibres
  - 0.5mm or 1mm diameter (for ECAL or HCAL part)
  - Light generation and readout combined
  - Absorber: lead: Plates with grooves
  - No longitudinal segmentation



Nucl. Instr. Methods A., 386, 397–408 (1997)

$$\frac{\sigma_E}{E} \approx \frac{7\%}{\sqrt{E/\text{GeV}}}$$

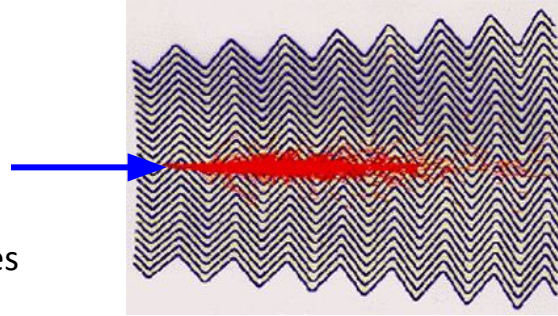


- LHCb SPACAL prototype for HL-LHC
  - Radiation-hard scintillating crystal fibres
  - Tungsten absorber
  - Can now be 3D printed, fibres inserted

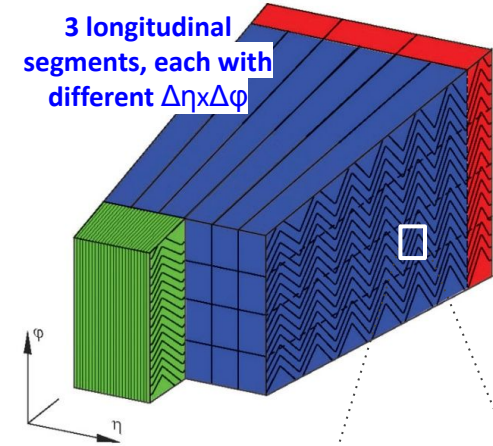
# Sampling calorimeters: Example ATLAS LAr ECAL

- Sampling calorimeter with Accordion structure
  - No cracks in azimuthal angle
- Absorber: Lead
- Active medium: Liquid Argon at 90K
  - Radiation resistant
- Signal used: Ionisation
- Honeycomb spacers position electrodes between lead absorber plates

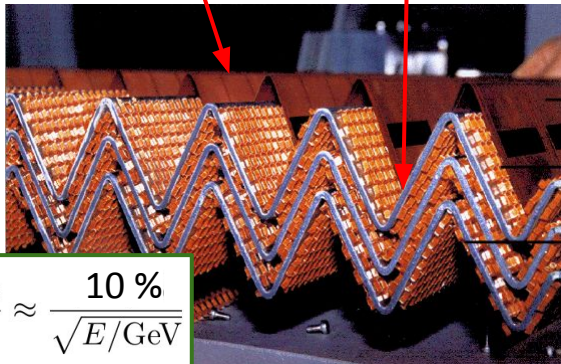
Particle shower simulation



3 longitudinal segments, each with different  $\Delta\eta \times \Delta\phi$

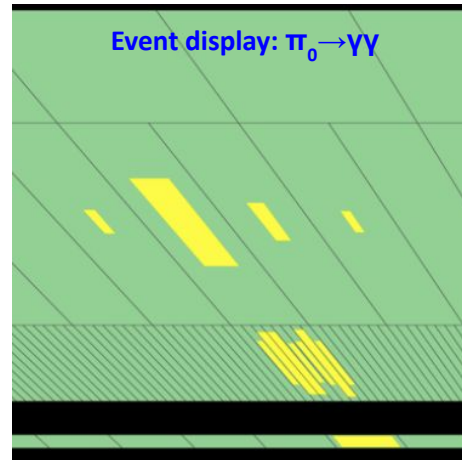


Cu readout electrodes, Pb absorber structure

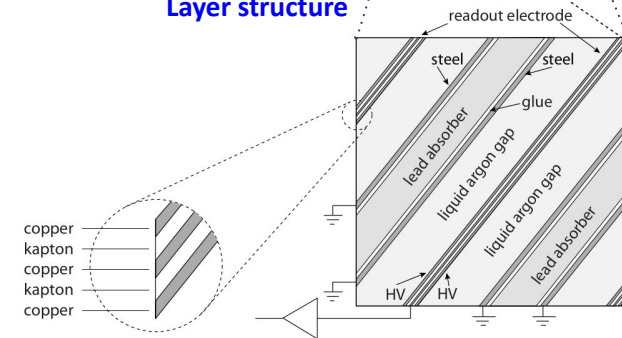


$$\frac{\sigma_E}{E} \approx \frac{10\%}{\sqrt{E/\text{GeV}}}$$

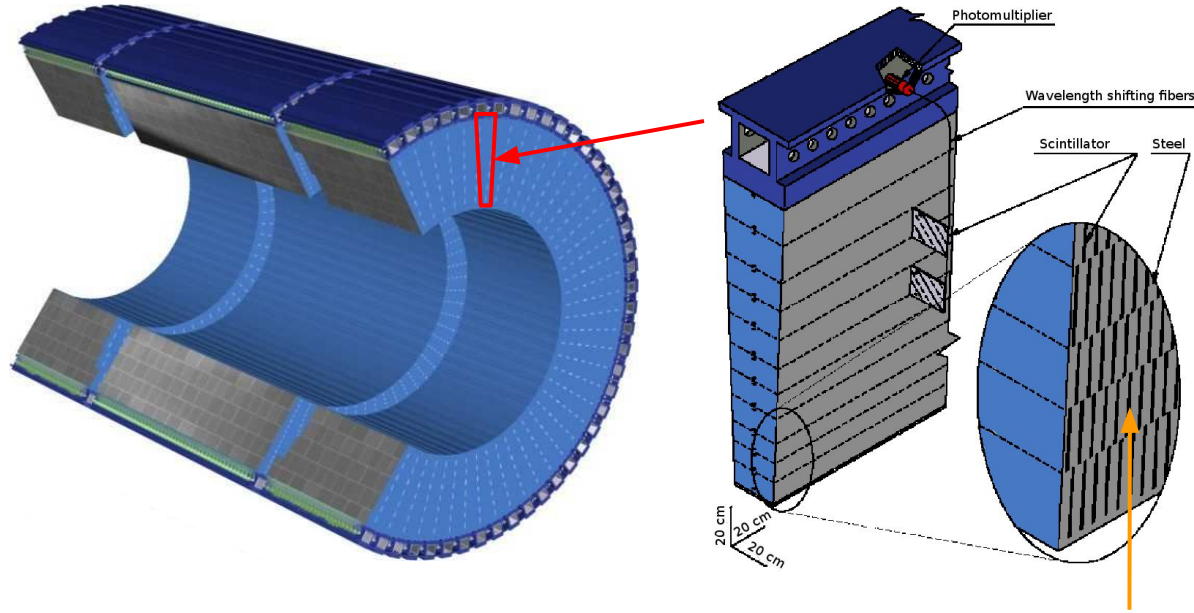
Event display:  $\pi_0 \rightarrow \gamma\gamma$



Layer structure



# Sampling calorimeters: Example ATLAS TileCal (HCAL)

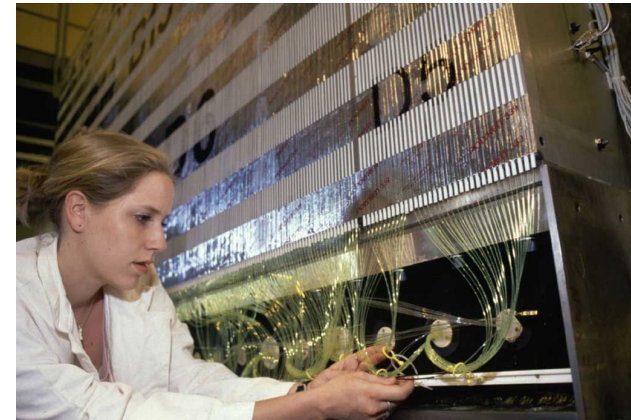


- Iron plates as absorber
- Plastic scintillating tiles as the active material  $10 \lambda_a$
- Scintillation light transmitted by wavelength shifting fibres to photomultiplier tubes (PMTs)

$$\frac{\sigma_E}{E} \approx \frac{56\%}{\sqrt{E/\text{GeV}}}$$

Scintillator tiles in vertical orientation to incoming particle direction

Particles from Interaction point



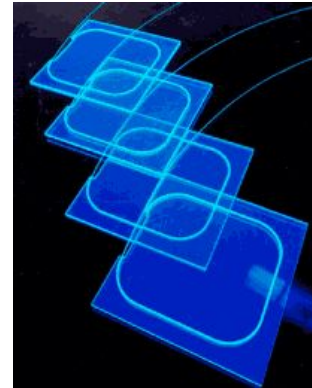


# Sampling calorimeters: Example CMS Barrel HCAL

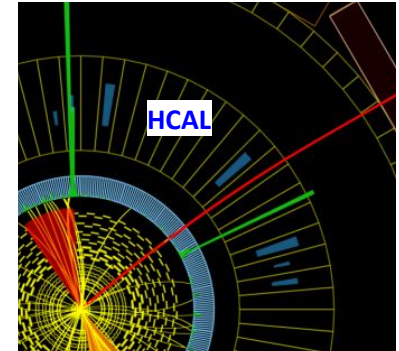
## Absorber:

- Brass (70% Cu / 30% Zn)
- Thickness 50.5-56.5 mm
- Used over a million World War II brass shell casings from the Russian Navy
- Depth: between  $5.5$  and  $10 \lambda_a$  + catcher layer behind magnet

Scintillator tiles with wavelength shifting fibres



Event display



## Detector:

- Plastic scintillator with embedded wavelength shifting fibers
- Thickness 3.7 mm
- Scintillation light 410-425 nm: blue-violet
- The fibers absorb light and re-emit it at 490 nm: green
- Hybrid Photodiodes convert light into electrical signals

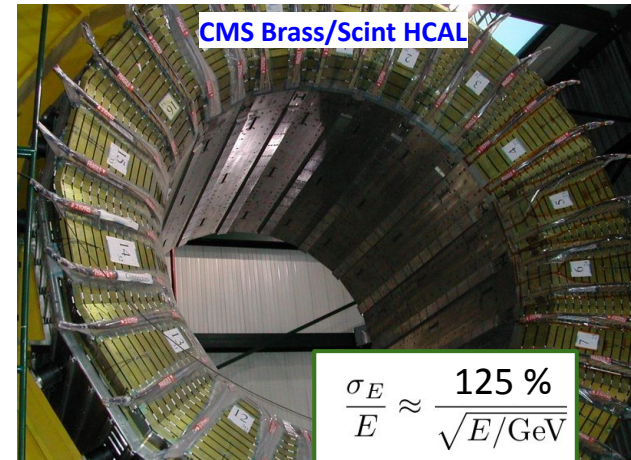
## Readout in towers

- No longitudinal segmentation in barrel, 2 segments in endcap

Brass shell casings → absorber



CMS Brass/Scint HCAL



$$\frac{\sigma_E}{E} \approx \frac{125\%}{\sqrt{E/\text{GeV}}}$$

# Energy resolution examples: ECAL

Homogeneous calorimeters:

Experiment	Material	Energy resolution (E in GeV)
NA48	Liquid Kr	$4.8\%/\sqrt{E} \oplus 0.22\%$
BELLE	CsI(Tl)	$0.8\%/\sqrt{E} \oplus 1.3\%$
CMS	PbWO <sub>4</sub>	$2.7\%/\sqrt{E} \oplus 0.55\%^*$

Energy resolution superior in homogeneous calorimeters w.r.t. sampling calorimeters

Sampling calorimeters:

Experiment	Detector	Detector thickness [mm]	Absorber material	Absorber thickness [mm]	Energy resolution (E in GeV)
UA1	Scintillator	1.5	Pb	1.2	$15\%/\sqrt{E}$
SLD	liquid Ar	2.75	Pb	2.0	$8\%/\sqrt{E}$
DELPHI	Ar + 20% CH <sub>4</sub>	8	Pb	3.2	$16\%/\sqrt{E}$
ALEPH	Si	0.2	W	7.0	$25\%/\sqrt{E}$
ATLAS	liquid Ar		Pb		$10\%/\sqrt{E} \oplus 0.7\%^*$
LHCb	Scintillator		Fe		$10\%/\sqrt{E} \oplus 1.5\%^*$

\* Design values

# Energy resolution examples: HCAL

Experiment	Detectors	Absorber material	$e/h$	Energie resolution (E in GeV)
UA1 C-Modul	Scintillator	Fe	$\approx 1.4$	$80\%/\sqrt{E}$
ZEUS	Scintillator	Pb	$\approx 1.0$	$34\%/\sqrt{E}$
WA78	Scintillator	U	0.8	$52\%/\sqrt{E} \oplus 2.6\%^*$
D0	liquid Ar	U	1.11	$48\%/\sqrt{E} \oplus 5\%^*$
H1	liquid Ar	Pb/Cu	$\leq 1.025^*$	$45\%/\sqrt{E} \oplus 1.6\%$
CMS	Scintillator	Brass (70% Cu / 30% Zn)	$\neq 1$	$100\%/\sqrt{E} \oplus 5\%$
ATLAS (Barrel)	Scintillator	Fe	$\neq 1$	$50\%/\sqrt{E} \oplus 3\%^{**}$
ATLAS (Endcap)	liquid Ar	Brass	$\neq 1$	$60\%/\sqrt{E} \oplus 3\%^{**}$

Energy resolution superior for compensating calorimeters and deep calorimeters that fully contain the showers

\* After software compensation

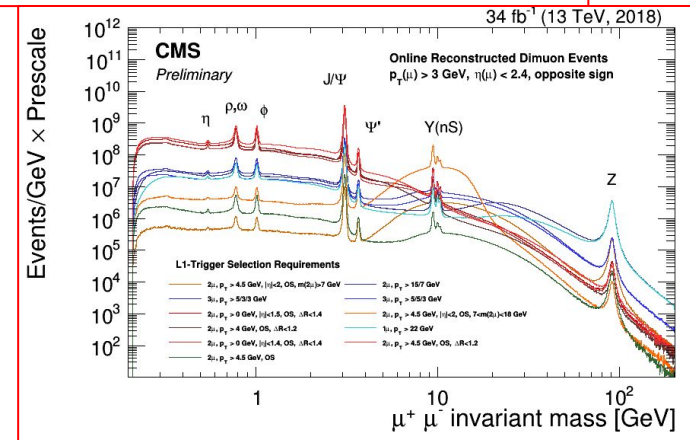
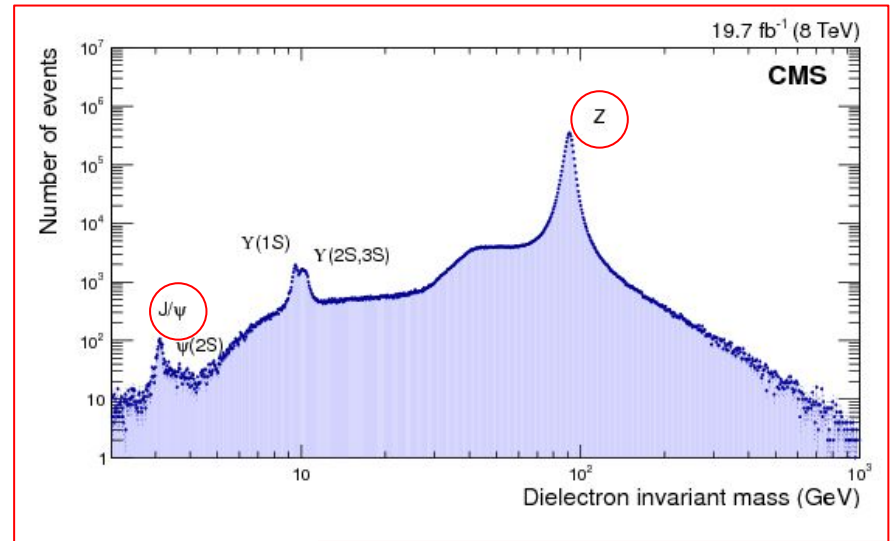
\*\* Design values

# Calibration

# Calibration



- Before detector operation:
  - Beam tests
    - Test calorimeters with variety of particles of known type and energy
- During detector operation:
  - Study known physics processes of collider
    - ECAL
      - Bhabha scattering at  $e^+e^-$  colliders
      - $\pi^0$  reconstruction from two photons
      - Reconstruction of known resonances  $J/\psi$ ,  $Z$  from  $e^+e^-$ 
        - Comparison to other decay channels ( $\mu^+\mu^-$ )
      - Signal from minimum ionising particles (MIPs)
      - Radioactive sources with known gamma lines (when noise level is in keV range)
    - HCAL, combined with ECAL
      - Hadronic decay of vector boson  $W^\pm$  and  $Z$  into two jets



# Summary

- Calorimeters measure the energy and direction of charged and neutral particles
  - Electromagnetic Calorimeters (ECAL)
    - Measure electrons and photons through their EM interactions
  - Hadron Calorimeters (HCAL)
    - Measure hadrons through their strong and EM interactions: Very complex showers
  - Advantages
    - Required depth increases only logarithmically with particle energy → Compact, cost effective
    - Fast, easy to process and interpret → Trigger
  
- Two types of calorimeters in HEP
  - Homogeneous Calorimeters → only used for ECALs
    - Only one material for energy degradation AND signal generation
  - Sampling Calorimeters → used for ECAL and HCAL
    - Alternating detector elements of
      - absorber material to degrade the energy of the incident particle, and
      - active material that provides the detectable signal
  
- Energy resolution
  - Improves with energy about like →
  - Better than tracker at high energies

$$\frac{\sigma_E}{E} \approx \begin{cases} \frac{2-15\%}{\sqrt{E/\text{GeV}}} & \text{(ECAL)} \\ \frac{35-120\%}{\sqrt{E/\text{GeV}}} & \text{(HCAL)} \end{cases}$$

# References

- Book “Particle detectors: fundamentals and applications” by Hermann Kolanoski, Norbert Wermes [Link](#)
- Calorimetry I lecture at EDIT 2020 school by Erika Garutti [Link](#)
- Calorimetry lecture 2017 by Thomas Bergauer [Link](#)
- Calorimetry for particle physics, C.W. Fabjan and F. Gianotti, Rev. Mod. Phys., Vol. 75, N0. 4, 2003 [Link](#)
- Detector lectures at CERN Summer Student Lecture Programme 2023 by Werner Riegler [Link](#)
- Book “Calorimetry - Energy measurement in particle physics” by Richard Wigmans [Link](#)

# Backup



# Energy resolution examples: ECAL

Homogeneous

Sampling

Type	$X_0$ (cm)	$R_M$ (cm)	Distance (cm)	Cell size at front surface (cm <sup>2</sup> )	Thickness/ $X_0$ passive layer	total	Resolutions ( $E$ in GeV)				Experiment
							$a/\sqrt{E}$ (%),	$b$ (MeV)	$c$ (%)	$\sigma_\theta$ (mrad)	
homogeneous calorimeters											
NaI(Tl)	2.59	4.8	25.4	12.9		15.7	$2.8/\sqrt[4]{E}$	$\approx 0.05$		26–35	C. Ball [749]
CsI(Tl)	1.85	3.5	92	$4.7 \times 4.7$		16	$2.3/\sqrt[4]{E}$	$\approx 0.15$	1.4	$4.2/\sqrt{E}$	BaBar [128, 840]
BGO	1.12	2.3	50	$2 \times 2$		22	$\approx 2/\sqrt{E}$		0.7	$\approx 10$	L3 [50, 253]
Pb glass	2.54	3.5	245	$10 \times 10$		25	$6.3/\sqrt{E}$	11	0.2	4.5	OPAL [63]
PbWO <sub>4</sub>	0.89	2.0	130	$2.2 \times 2.2$		25.8	$2.8/\sqrt{E}$	120	0.3	$\approx 0.7$	CMS [298]
LKr	4.7	5.9	$\approx 100$ m	$2.0 \times 2.0$		27	$3.2/\sqrt{E}$	90	0.42	0.001	NA 48 [954]
sampling calorimeters											
Pb/sci (sandwich)	3.2	5.0	230	$10 \times 10$	0.18	12.5	$6.5/\sqrt{E}$	$< 10$	7.2	$6.5/\sqrt{E}$	ARGUS [73]
Pb/LAr	1.1	2.66	90	10–100	0.42	20–30	$11/\sqrt{E}$	150	0.6	$\approx 15/\sqrt{E}$ *	H1 [98, 99]
Pb/sci (shashlik)	1.7	4.15	1350	$5.59 \times 5.59$	0.54	20	$11.8/\sqrt{E}$		1.4	$1.0/\sqrt{E} \oplus 0.2$	HERA-B [135]
Pb/sci (spaghetti)	0.9	2.55	150	$4.05 \times 4.05$		28	$7.1/\sqrt{E}$		1.0	$\approx 1$ at 30 GeV	H1 [111]
Pb/LAr	$\approx 2$	$\approx 4.1$	150	$14.7 \times 0.47$	$\approx 0.4$	22–24	$10/\sqrt{E}$	190	0.5–0.7	$\approx 1/\sqrt{E}$	ATLAS [4]
U/sci	0.56	1.66	120	115–200	1.0	25	$18/\sqrt{E}$			$\approx 40/\sqrt{E}$ *	ZEUS [331]
Pb/gas (PWC)	$\approx 1.85$	4.65	185	$3 \times 3$	0.36	22	$18/\sqrt{E}$		0.9	$3.7/\sqrt{E}$	ALEPH [346]

Kolanoski, Wermes 2015

# Energy resolution examples: ECAL+HCAL

Experiment & reference	Cal.	Structure	$e/h$	Resolution $\frac{\sigma_E}{E} = \frac{a}{\sqrt{E}} \oplus \frac{b}{E} \oplus c$		
				$a$ (% $\sqrt{\text{GeV}}$ )	$b$ (MeV)	$c$ (%)
ALEPH [346, 264]	EM	Pb/PWC		18	n. s.	1.9
	HAD	Fe/LST	n. s.	85	n. s.	n. s.
DELPHI [11, 33]	EM	Pb/TPC		23	n. s.	4.3
	HAD	Fe/LST	n. s.	120	n. s.	n. s.
L3 [50, 253]	EM	BGO		2.2	n. s.	0.7
	HAD	U/PWC	n. s.	55	n. s.	n. s.
OPAL [63]	EM	Pb glass		6.3	11	0.2
	HAD	Fe/LST	n. s.	120	n. s.	n. s.
SLD [136, 22]	EM	Pb/LAr		15	n. s.	n. s.
	HAD	Pb/LAr, Fe/LST	n. s.	60	n. s.	n. s.
ZEUS [1020, 96]	EM	U/scin.		18	n. s.	n. s.
	HAD	U/scin.	1.00	35	< 500	2.0
H1 [35]	EM	Pb/LAr		11	250	1.0
	HAD	Fe/LAr	1.4	51	900	1.6
CDF [21]	EM	Pb/scin.		14	n. s.	n. s.
	HAD	Fe/scin.	n. s.	80	n. s.	n. s.
D0 [13]	EM	U/LAr		16	n. s.	0.3
	HAD	U/LAr	1.08	45	1300	4.0
CMS [298]	EM	PbWO <sub>4</sub>		2.8	120	0.3
	HAD	brass/scin.	1.40	125	560	3.0
ATLAS [4]	EM	Pb/LAr		10	245	0.7
	HAD	Fe/scin.	1.30	56	1800	3.0

Kolanoski, Wermes 2015

Abbreviations: EM, HAD: electromagnetic, hadronic calorimeter; PWC: proportional wire chamber; LST: limited streamer tubes; LAr: liquid argon; n. s.: not specified.

# Considerations for calorimeter construction and operation

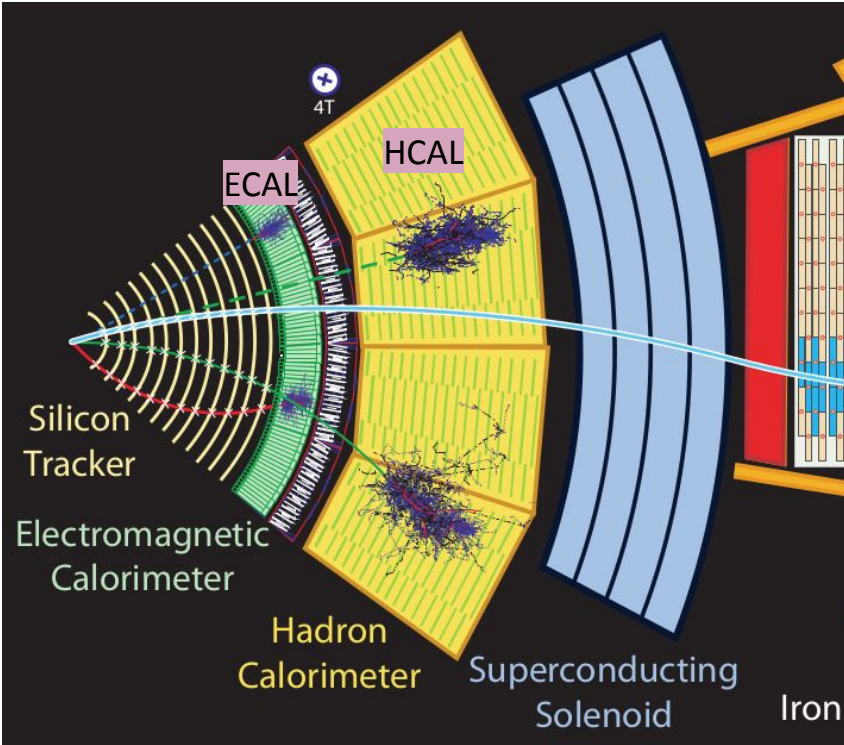


- Energy and position resolution
  - Optimised for most interesting physics processes, leading to different choices
  - Example: LHC experiments gave high importance to  $H \rightarrow \gamma\gamma$  measurement of ECALs
- Longitudinal segmentation
  - Separation of electromagnetic and hadronic showers
- Tail catcher
  - Improve HCAL energy measurement in case of shower leakage
  - Can be muon chambers, active layers in return yoke of magnet
- Pre-sampler
  - Detect if shower has started before calorimeter
  - Tracker and other material can add up to few  $X_0$
  - Important for high-energy photons with mean free path for pair production of  $9/7 X_0$
- Lateral structure
  - Shower separation
  - Shower direction and axis measurement
- Signal collection and time resolution
  - In view of collision rate, pile up rejection
- Calibration
- Radiation hardness

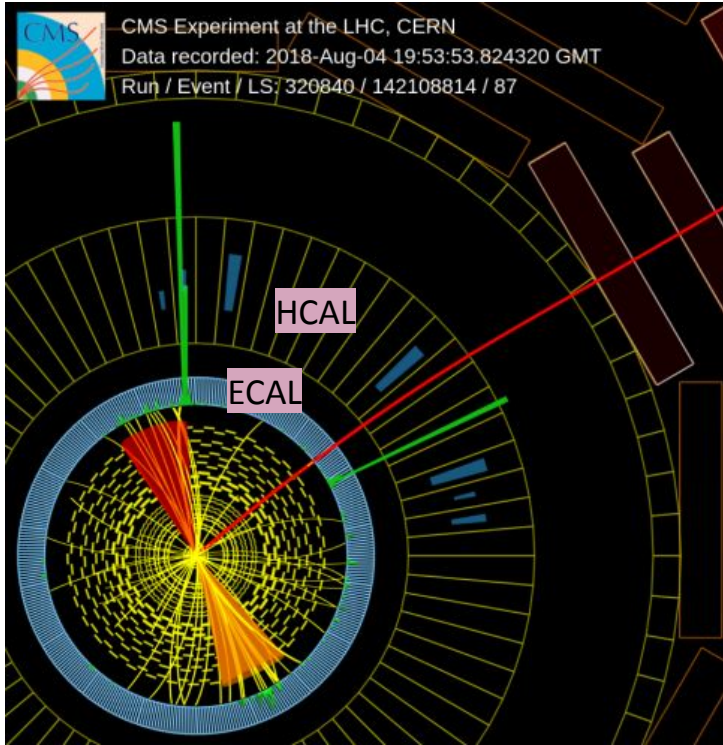
# Side remark: Readout cell size



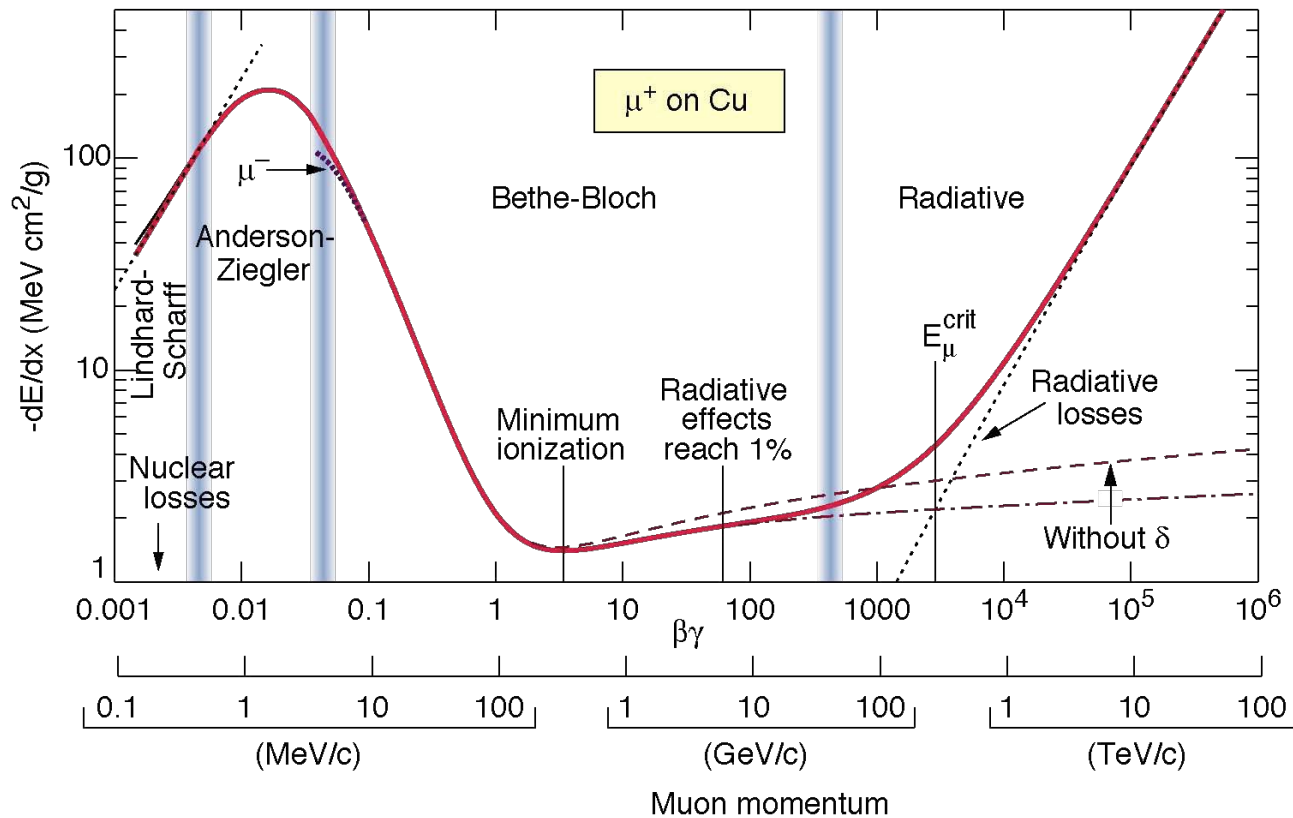
CMS: Simulated showers on top of detector concept



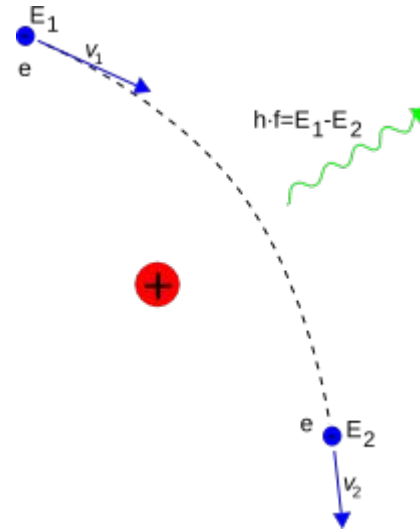
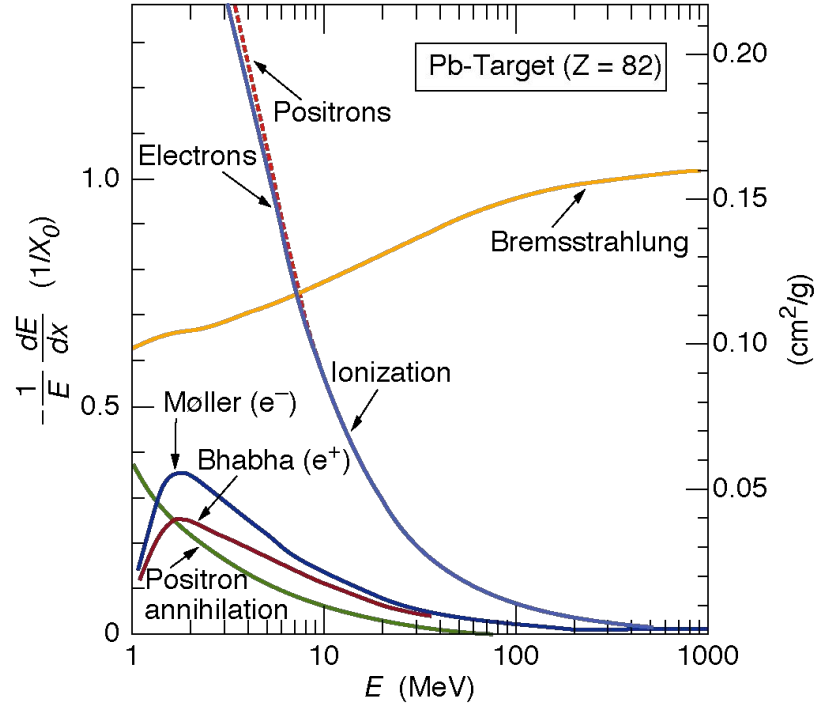
CMS: Event display indicating readout cell size



# Ionisation



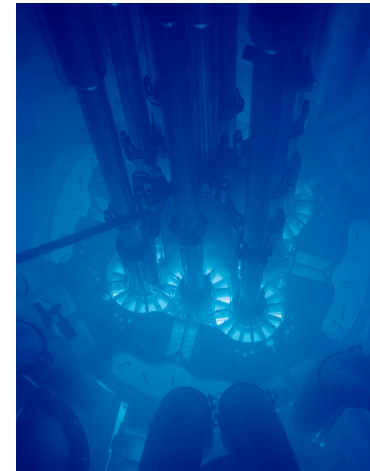
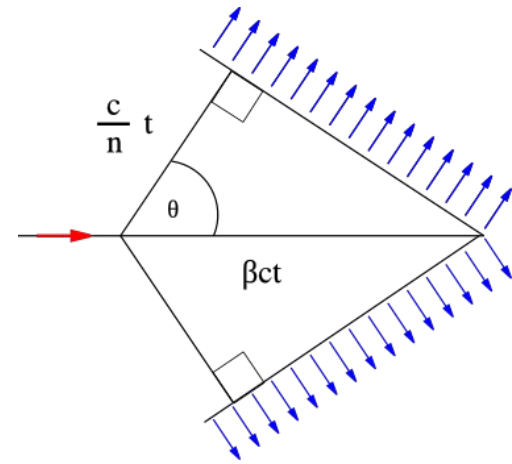
# Bremsstrahlung



$$-\frac{dE}{dx} = 4\alpha N_A \frac{Z(Z+1)}{A} z^2 \left( \frac{1}{4\pi\epsilon_0} \cdot \frac{e^2}{mc^2} \right)^2 E \cdot \ln 183Z^{-1/3}$$

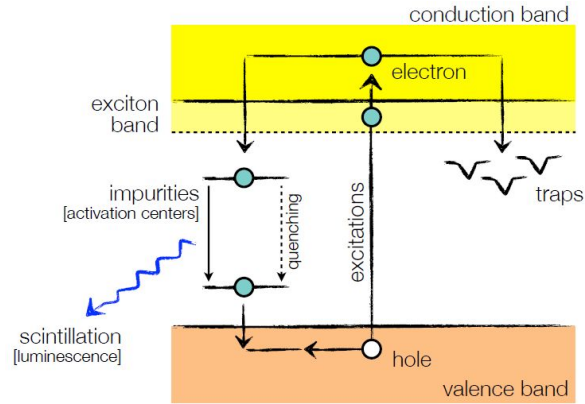
# Cherenkov radiation

- Cherenkov radiation is emitted when particle velocity is larger than speed of light in the material
  - $v > c/n$   
with  $n$  = refractive index of material
- Electromagnetic shock wave of conical shape
- Emission angle  $\theta$ 
  - $\cos \theta = 1/\beta n$   
with  $\beta = v/c$
- Contribution to energy loss 1%



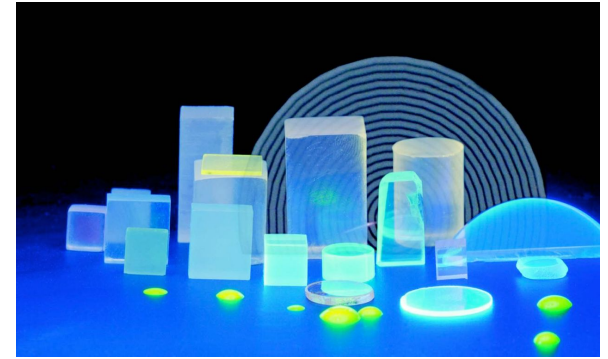


# Scintillation



Scintillation: Emission of photons during de-excitation of atoms, typically UV to visible light

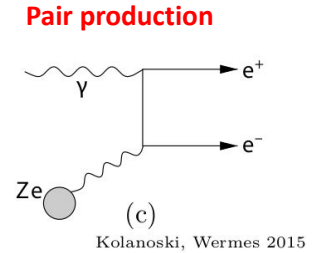
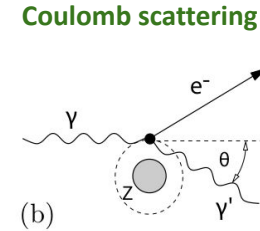
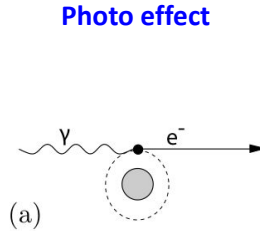
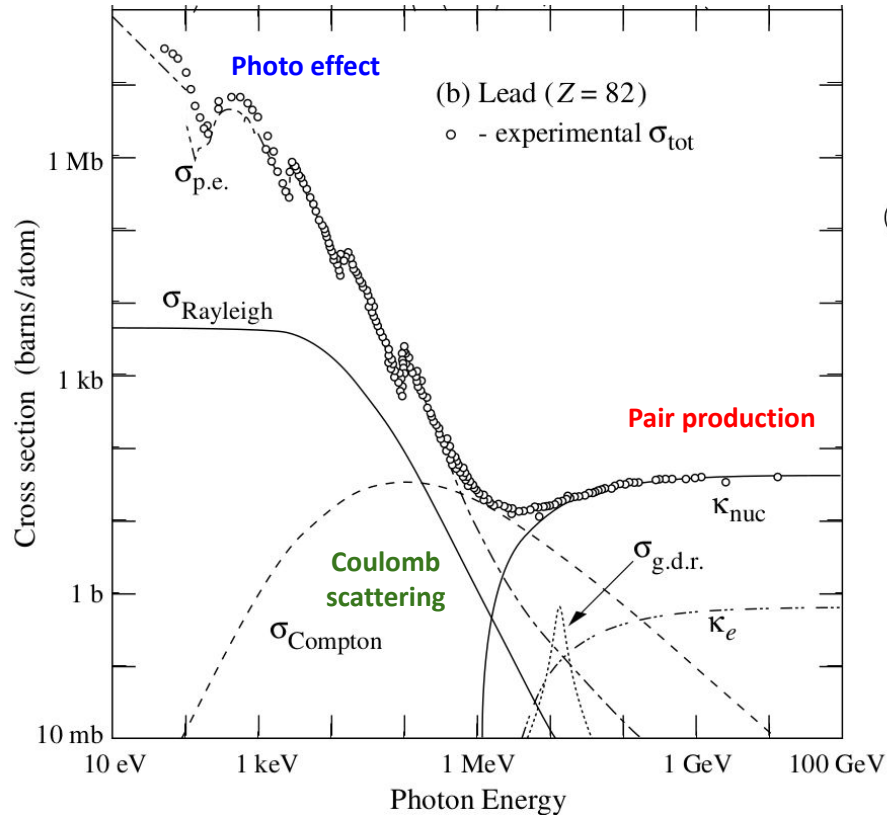
1. (Liquid) Noble Gases
2. Inorganic Crystals
  - Substances with largest light yield
  - Expensive
3. Polycyclic hydrocarbons (organic scintillators)
  - e.g. naphthalene, anthracene,
  - Large scale industrial production
  - mechanically and chemically quite robust



- Few % of the total energy loss converted into visible photons.
- Example: 1cm plastic scintillator,  $1, dE/dx=1.5$  MeV,  $\sim 15$  keV in photons; i.e.  $\sim 15$  000 photons produced.



# Excursion: Photon interactions with matter



$\kappa_{\text{nuc}}$  = pair production, nuclear field  
 $\kappa_e$  = pair production, electron field

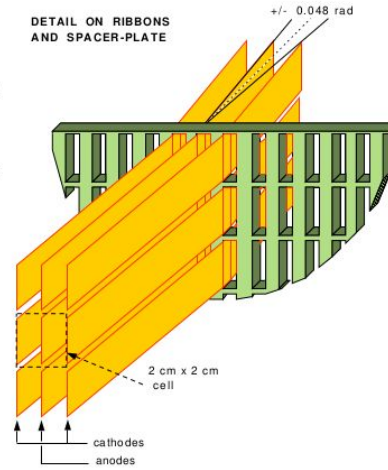
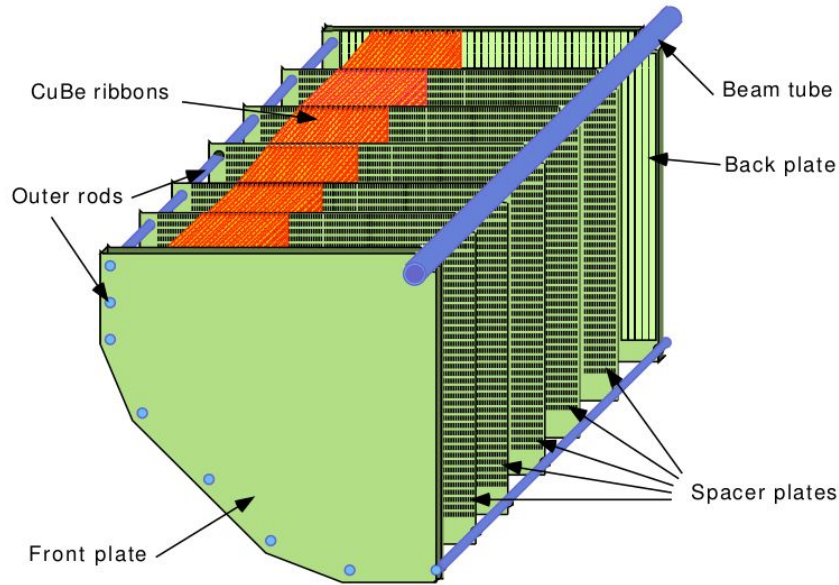
# Liquid noble gases used in calorimeters

	Ar	Kr	Xe
$Z$	18	36	58
$A$	40	84	131
$X_0$ (cm)	14	4.7	2.8
$R_M$ (cm)	7.2	4.7	4.2
Density ( $\text{g/cm}^3$ )	1.4	2.5	3.0
Ionization energy (eV/pair)	23.3	20.5	15.6
Critical energy $\epsilon$ (MeV)	41.7	21.5	14.5
Drift velocity at saturation ( $\text{mm}/\mu\text{s}$ )	10	5	3

- Energy loss of charged particles
  - Half via ionisation
  - Half via scintillation
- Best energy resolution would be reached when collecting both charge and light signal, but rarely done due to complex technical implementation

- Liquid noble gases with high-Z: Liquid **Krypton and Xenon**
  - Small radiation length and Molière radius
  - Can serve both as converters and as active media → Application in homogenous calorimeters
- **Liquid Argon** common as active medium in sampling calorimeters

# Homogeneous calorimeters: Liquid Noble Gas

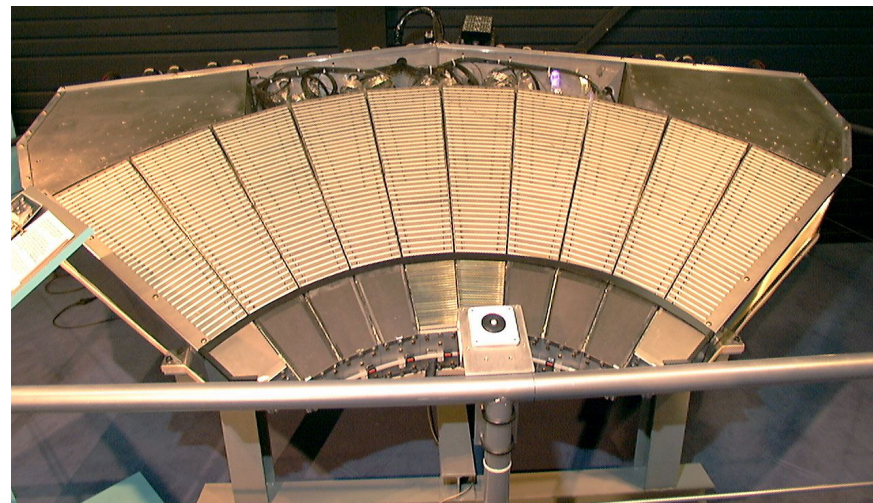
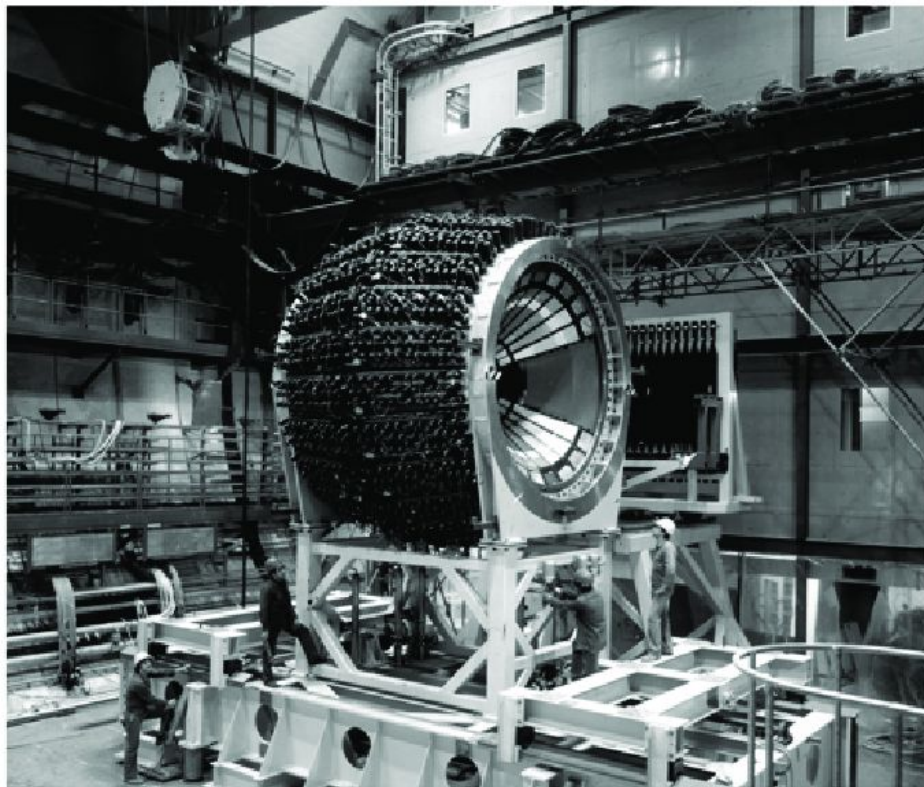


- Liquid Krypton calorimeter of NA48 (later re-used in NA62)
  - Quasi-homogeneous ionisation calorimeter,  $27 X_0$
  - Filled with  $10 \text{ m}^3$  of liquid krypton as active medium and absorber
  - Ionisation charges collected on thin electrodes: Copper-Beryllium ribbons
  - Shower sampling with high granularity and hence high position resolution
  - Combines the advantages of a homogeneous calorimeter with those of a sampling calorimeter

Typical resolutions:

$$\frac{\sigma_E}{E} \approx \frac{(3-5)\%}{\sqrt{E/\text{GeV}}}$$

# Sampling calorimeters: Example UA2 @ $\bar{S}ppS$



- Built in orange-like structure with 24 slices
- Active layers:
  - Plastic scintillator + light guides
- Absorber
  - Inner part: lead absorber
  - Outer part: Iron absorber