







#### GA4GH Passport in the European Genomic Data Infrastructure - GDI

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For 17th FIM4R meeting 15th February 2023 CERN Geneva





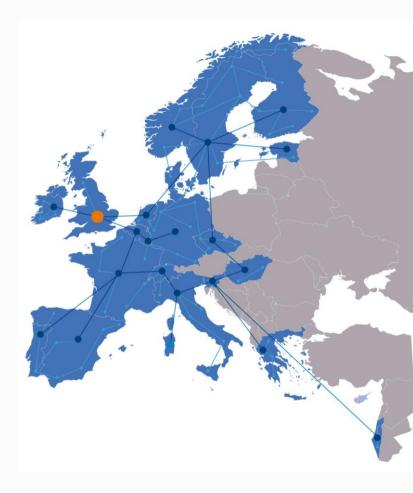
/company/gdi-euproject

## **ELIXIR**



ELIXIR est. 2014 is an intergovernmental organisation that brings together life science resources such as databases, software tools, training materials, standards and compute resources, from across Europe.

- Find and share data
- Exchange expertise
- Agree on best practices in scientific research
- 22 members (countries)
- Part of FIM4R community since the very beginning





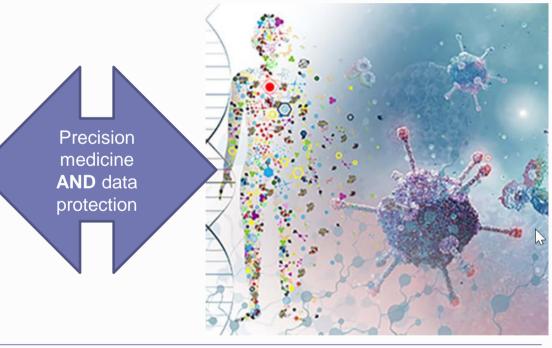
# Sensitive data derived from human subjects is a challenge for data management services



#### What personal data is considered sensitive?

- personal data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs;
- trade-union membership;
- genetic data, biometric data processed solely to identify a human being;
- health-related data;
- data concerning a person's sex life or sexual orientation.

Article 4(13), (14) and (15) and Article and Recitals (51) to (56) of the GDPR





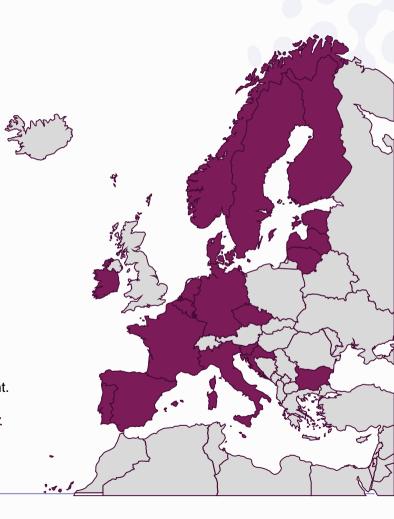


# 20 EU countries



### European Genomic Data Infrastructure

Project launched Nov 2022 Finland and Sweden lead data infrastructure deployment.

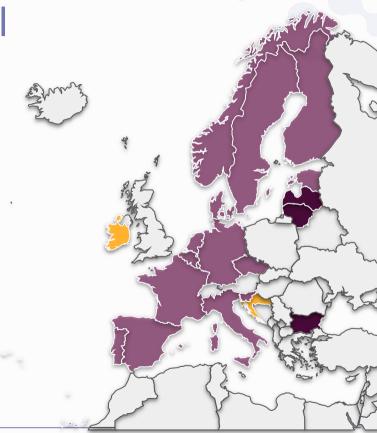




# Countries commitment to GDI by 2026

- Fully operational and integrated into 1+MG infrastructure: Belgium, Czechia, Denmark, Estonia, Finland, France, Germany, Italy, Luxembourg, Portugal, Slovenia, Spain, Sweden, The Netherlands, Norway
- Fully operational national node but not yet integrated in the 1+MG infrastructure:
   Bulgaria, Latvia, Lithuania
- Onboarding:Croatia, Ireland

Infrastructure will exist in 2024 with at least 6 countries







## What is GDI setting out to do?

Support the EU 1+Million Genomes (1+MG) initiative (Digital Europe policy) ambition to enable secure access to high-quality genomics and the corresponding clinical data across Europe for better research, personalised healthcare and health policy making

Establishing a **federated**, **sustainable and secure infrastructure based on open community standards** to access genomic and related phenotypic and clinical data across Europe

Building on the Beyond 1 Million Genomes (B1MG) project outputs





Data Infrastructure functionalities







#### 1+MG infrastructure & TEHDAS Data Journey

Data Journey		Data Infrastructure
Data Preparation	Pre-processing to agreed standards, annotation with metadata etc	Data Reception
Data Inclusion	Physical transfer of data incl., legal transfer of data to 1+MG to enable visibility in data catalogue	
Data Storage & Management	Including GDPR Compliant processing environment, data versioning, backup etc	Storage and Interfaces
Data Discovery	Discovery of data using GDPR compliant APIs e.g., Beacon	Data Discovery
Data Access	A mechanism(s) by which the data controller can authorise access to select dataset(s)	Data Access Management Tools
Data Use	Data processing for the approved purposes	Data Processing



## Data Discovery

- Public visibility and search of genomic data, requiring descriptive non-sensitive metadata like summary level descriptions of data
- Link to Data Access Management where users can apply for detailed data access. Users need to Login







Access management tools



Data processing



Data reception



Storage and interfaces







## Data Reception

- Uniform processes such as quality control and standardisation
- Receiving (e.g. upload) or access (e.g. API) to data and metadata
  - Adhering to global standards and principles (e.g. GA4GH, FAIR)
  - Genotypic and phenotypic data
- Reception means logical description of datasets
  - Data becomes actionable on the 1+MG infrastructure even if they are stored nationally or locally



Data discovery



Access management tools



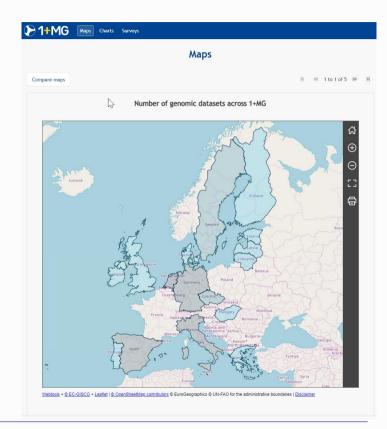
Data processing



Data reception



Storage and interfaces







## Storage & Interfaces

- Organisations store data and offer interfaces (APIs) that form the technically interoperable (standard-based) infrastructure backbone
- Aim is to leverage existing investments in e-infrastructure capacities that can provide data privacy and confidentiality







Access management tools



Data processing

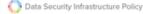


Data reception



Storage and interfaces











## Data Access Management Tools

- Management of data access in an ELSI compliant way, i.e. facilitation and audit of secure access
  - e.g. central access portal, central access review process linking to data custodians, collaboration/data use agreement guidance
- Tools manage
  - user applications for data use
  - data access authorisations from the data controllers
  - communication of access rights to (distributed) infrastructure services providing data and processing







Access management tools



Data processing



Data reception



Storage and interfaces







## Processing (compute)

- Local, high-performance and cloud computing with security standards appropriate for 1+MG to analyse data by user who has acquired the access rights for the intended data use
- Processing happens in many places on the infrastructure (local or distributed)
- Security specification & audit is likely for appropriate processing systems







Access management tools



Data processing



Data reception



Storage and interfaces





## Synthetic datasets

- Synthetic dataset is a genome and phonome data that does not relate to an identifiable natural, useful for driving technology forward in 1+MG
- In Finland produced in collaboration with high-performance computing



Proof-of-concept





### 1+MG Proof of Concept - high-level structure

- Each 1+MG signatory country
  - Will provide a Data hub
  - Each country manages their own internal data (e.g. regional hubs)
  - Data hubs provide cross-border data analysis
  - Overall data infrastructure provides 5 main functionalities



Data discovery



Access management tools



Data processing



Data reception



Storage and interfaces

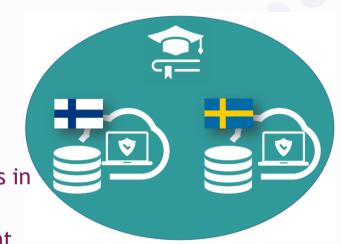




#### Rare Disease Scenario

- Rare disease researcher is investigating congenital myasthenic syndromes
  - Parents and child are all sequenced
  - Child has a de-novo mutation in the RYR1 gene
  - Clinical features include:
    - Neonatal hypotonia & distal athrogryposis
    - Inability to walk & recurrent lower respiratory tract infections
- Proof of Concept data is split between two data hubs in Sweden and Finland
- Driving questions for data infrastructure development
  - 1. Are there any other individuals with the same mutation or allelic variant?
  - 2. If there are, what is their phenotype? What can be derived from them for the prognosis?
  - 3. What is the variant frequency across different populations?
  - 4. Is there a relationship between the gene mutation and disease?







### Proof of concept – rare diseases









Solve







https://www.youtube.com/watch?v=6MtIJA4xXdU





### General User Story from 1+MG

 User discovers phenotypes of interest aggregate data in 1+MG data



2. User logs in via LS AAI (registered level), and discovers genomic variant of interest



3. User applies for data access to 1+MG data



4. Data Access Committee grants access to a virtual cohort



5. User executes analysis as a controlled access user on this virtual cohort across federated locations







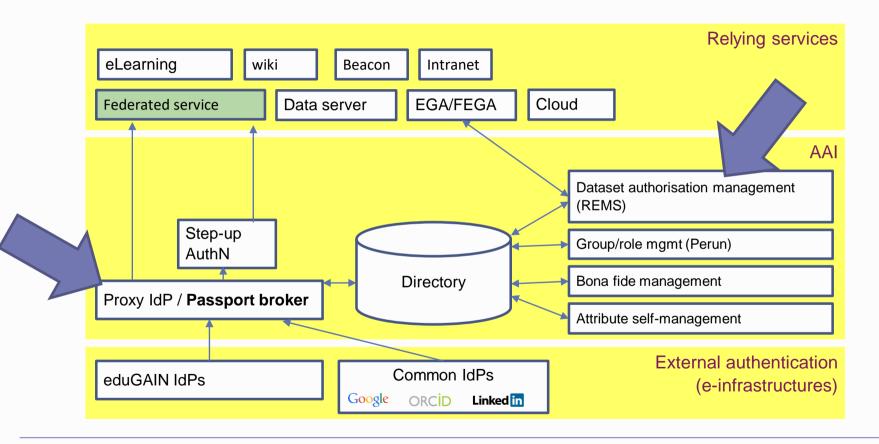


#### **Under the hood** -- Focus:

Federated data access



#### ELIXIR AAI original design – now moved to Life Science Login (2022)



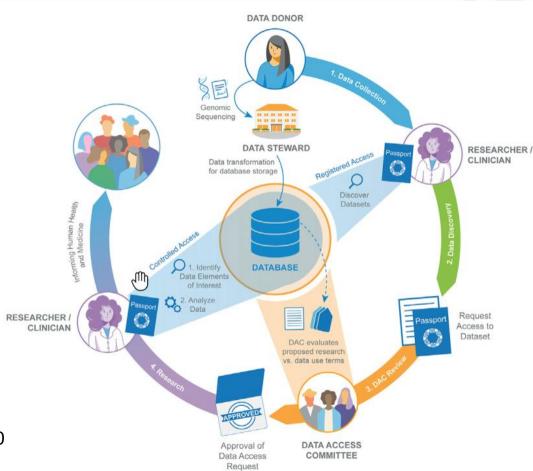


### **GA4GH Passport**

A standard to encode machine-readable data access permissions for individual users. Passports are used as part of a federated data regulatory process to authenticate and authorize data users in managing access to human biomedical datasets.

Passport is one of the technical standards used in GDI.

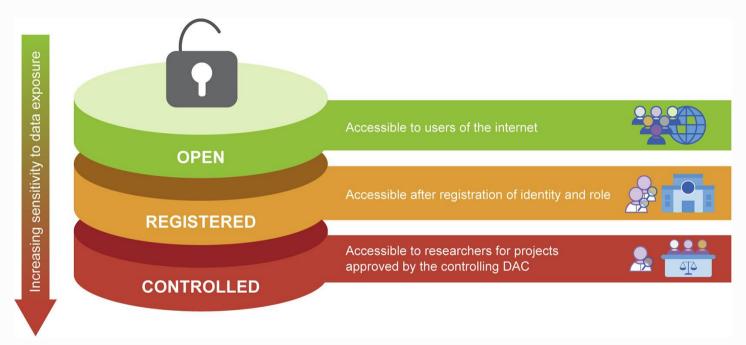
Voisin et al., 2021, Cell Genomics 1, 100030 https://doi.org/10.1016/j.xgen.2021.100030







#### Tiers of data access



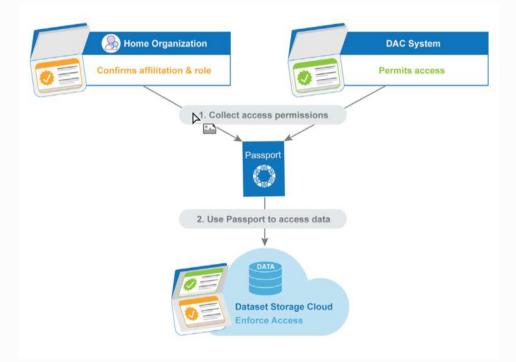
Datasets are commonly shared in databases with either open, registered, or controlled access, depending on the regulatory requirements.

Dyke et al., 2021, *Eur J Hum Genet* **26**, https://doi.org/10.1038/s41431-018-0219-y





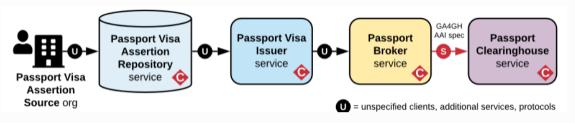
## Federated data access with Passport



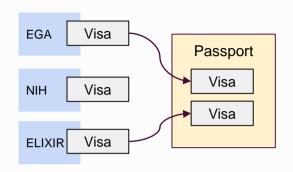




### **GA4GH Passport structure**



Visa type	Description
AffiliationAndRole	User's role within their institution - e.g. faculty@cam.ac.uk (eduPersonAffiliation)
AcceptedTermsAndPolicies	Acknowledged terms, policies, and conditions  e.g. attestations for registered access
ResearcherStatus	Bona fide researcher status - e.g. for registered access
ControlledAccessGrants	Permission to controlled access datasets  e.g. EGA, dbGaP
LinkedIdentities	Mapping of user identities  e.g. mikael@elixir-europe.org equal to mlinden@csc.fi





## Controlled Access (ControlledAccessGrants Visa)

#### FLIXIR Broker can collect and deliver controlled access visa

- issued by EGA
- issued by REMS

```
Example JWT (decoded, header and signature stripped)
  "sub": "EGAW00000019020",
  "iss": "https://eqa.ebi.ac.uk:8053/eqa-openid-connect-server/",
  "ga4gh_visa_v1": {
    "type": "ControlledAccessGrants",
    "asserted": 1623936445,
    "value": "https://ega-archive.org/datasets/EGAD00001006673",
    "source": "https://ega-archive.org/dacs/EGAC00001000908",
    "by": "dac"
  "exp": 1624274513,
  "iat": 1624270913.
  "jti": "7e223df4-ed66-4426-8b6c-fbb1c1f2de3e"
```

#### **Changes in Passport and AAI OIDC Profile 1.2**



- https://ga4gh.github.io/data-security/changes-1\_2
- Minor version, backward compatible with version 1.0
- Terminology changes
  - Unified and simplified terminology in both specs
  - Claim → Visa Assertion
  - Embedded Token → Visa
  - Passport Bearer Token → Passport-Scoped Access Token
- Introduced standard **OAuth 2.0 Token Exchange** mechanism from RFC 8693
- Redefined Passport as "a signed and verifiable JWT container for holding Visas"
  - Passport in 1.0 was a tuple of an access token and a list of Visas
  - Passport in 1.2 is a token that can be passed among systems
- Added ES256 signing algorithm based on Elliptic Curve Cryptography (shorter keys than RSA)
- Media types for distinguishing multiple types of JWTs
  - o Passports use vnd.ga4gh.passport+jwt, Visas vnd.ga4gh.visa+jwt

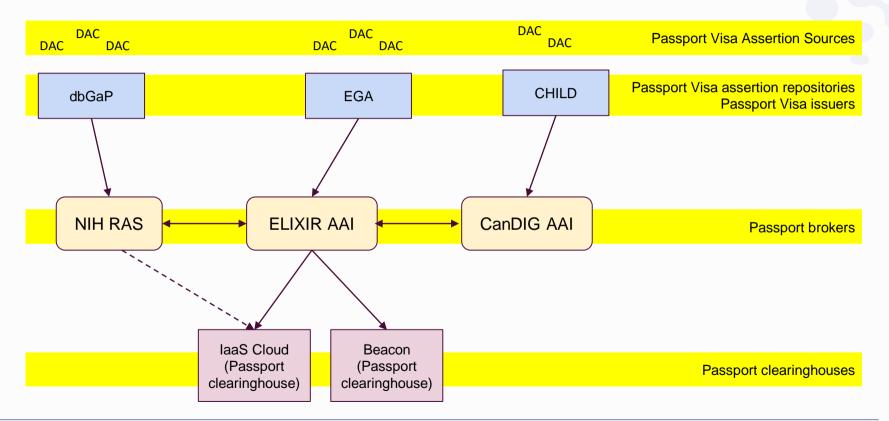
## Main change - Passport redefined as a token



- in version 1.0
  - Passport was defined as "GA4GH-compatible access token along with the Passport Claim that is returned from Passport Broker service endpoints using such an access token"
  - a tuple of an access token and a list of Visas
  - obtained from UserInfo endpoint
- in version 1.1 (proposed by NIH, not released, skipped number)
  - Passport was a JWT obtained from UserInfo endpoint
- in version 1.2
  - Passport is defined as "a signed and verifiable JWT container for holding Visas"
  - a token that can be passed among systems
  - o obtained from token endpoint using OAuth 2.0 Token Exchange mechanism



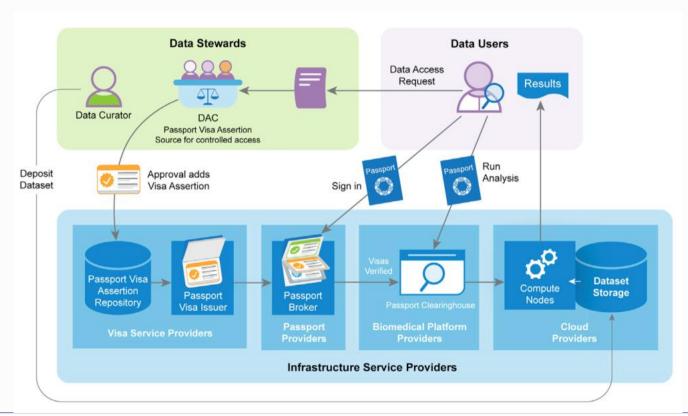
### Example deployment







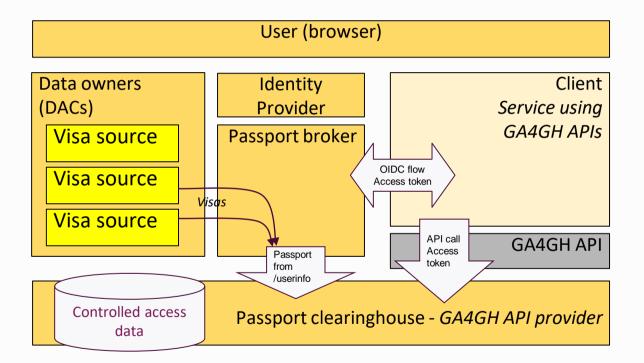
#### An ecosystem of service providers needs to work together







### Passports/AAI work



- 1. A client retrieves an access token from a broker
- 2. The client attaches the access token to the call of a GA4GH API provided by a Passport clearinghouse
- 3. Passport clearinghouse retrieves and validates the Passport
- Passport clearinghouse enforces access to data based on the Visas





### Conclusions





### Passport prospects

- Digital Europe elD coming online expected to help resolve identity vetting as part of LS AAI. Thus, more life science AAI technology development emphasis moves to federated authorisation.
- GA4GH Passport defines the format and types of Visas JWTs carrying user attributes that
  define data access metadata coming from data access authoristies, this information is
  required on e-Infrastructure services
  - 5 standard types of Visas AffiliationAndRole, AcceptedTermsAndPolicies, ResearcherStatus,
     LinkedIdentities and ControlledAccessGrants and custom visas
  - "View my passport": <a href="https://echo.aai.elixir-czech.org/">https://echo.aai.elixir-czech.org/</a>
- Currently implemented using OIDC. However! Self-sovereign Identity (SSI) also looks
   promising because the sources of data access decisions are distributed globally





#### GDI 2022-2027

- Advances technical interoperability between countries, which is a prerequisite for improved semantic interoperability
- Forms a technical network of 1+MG infrastructure experts who can train and provide knowledge and technology transfer between existing and aspiring nodes.
- Co-develops existing services, including dissemination of new developments and requirements of the infrastructure, across nodes, building technical capacity and resilience. Enabling growth in national expertise. FIM4R!
- Work in close collaboration with use cases and roll out innovative solutions on federated analysis and learning







Acknowledgements





### GDI Consortium







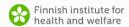












































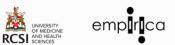


















## GDI Consortium (continued)



























Consiglio Nazionale delle Ricerche





























#### **CSC** Collaboration







Global Alliance for Genomics & Health Collaborate, Innovate, Accelerate,













































#### Tommi Nyrönen CSC

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