



# Transverse momentum dependent shape function for $J/\psi$ production in SIDIS

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26/02/2024 **JELLE BOR**



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# Outline

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- Motivation
- Matching procedure
- Poles and the effective delta expansion
- The TMDShF tail
- Universality and process dependence
- Conclusions

# Motivation

- TMDs incorporate the transverse momentum of quarks and gluons inside hadrons:  
“3D PDF”
- At small longitudinal momentum fraction  $x$  the gluons dominate (PDF), but hardly anything is known about the gluon TMDs experimentally.
- Heavy quarks are very sensitive to the gluon content of hadrons:
  - they are predominantly produced from gluons
  - not intrinsically present in hadrons at small momentum fractions.
- Furthermore, some quarkonium states, like the  $J/\psi$ , are relatively straightforward to detect and numerous events can be collected.

⇒ Quarkonium, such as  $J/\psi$  production in SIDIS [Bacchetta et al. 2020](#), can be considered as a main tool to extract gluon TMDs!

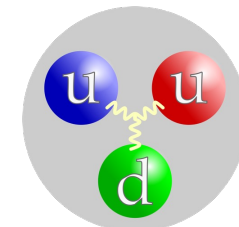
# The Gluon TMDs

- Proton can be parameterized in terms of gluon TMDs, at LO (twist  $\sim 1/\text{hard scale}$ ):

*Mulders and Rodrigues 2001*

		Parent hadron polarization		
		Unpolarized	Longitudinal	Transverse
Parton polarization	U	$f_1$ (Number density)		$f_{1T}^\perp$ (Sivers)
	L		$g_{1L}$ (Helicity)	$g_{1T}$ (Worm-gear)
	T	$h_1^\perp$ (Boer-Mulders)	$h_{1L}^\perp$ (Worm-gear)	$h_{1T}^\perp$ (Transversity) $h_{1T}^\perp$ (Pretzelosity)

- Unpolarized gluon distribution:  $f_1^g \leftarrow$
- Linearly polarized gluon distribution:  $h_1^{\perp g} \leftarrow$



# Models for quarkonium production

- Colour singlet model *Baier and Rückl 1983*

$$d\sigma[Q] = \int dx_a dx_b f_a(x_a) f_b(x_b) d\hat{\sigma}_{a+b \rightarrow Q+X} |R(0)|^2$$

- Nonrelativistic QCD *Bodwin et al. 1997*  $\Leftarrow$

$$d\sigma[Q] = \sum_n \int dx_a dx_b f_a(x_a) f_b(x_b) d\hat{\sigma}_{a+b \rightarrow Q[n]+X} \langle \mathcal{O}^Q[n] \rangle \quad \bullet \quad n = {}^{2S+1}L_J$$

$1, {}^1S_0$	$1, {}^3S_1$	$8, {}^1S_0$	$8, {}^3S_1$	$1, {}^1P_1$	$1, {}^3P_0$	$1, {}^3P_1$	$1, {}^3P_2$	$8, {}^1P_1$	$8, {}^3P_0$	$8, {}^3P_1$	$8, {}^3P_2$
$J/\psi$	1	$v^3$	$v^4$					$v^4$	$v^4$	$v^4$	$v^4$

- Colour evaporation model (improved by *Ma and Vogt 2016*)

$$d\sigma[Q] = \mathcal{F}_Q \int_{2m_Q}^{2m_h} dm_{qq} \frac{d\sigma_{qq}}{dm_{qq}} \quad \bullet \quad J/\psi: m_Q = m_c; m_h = m_D$$

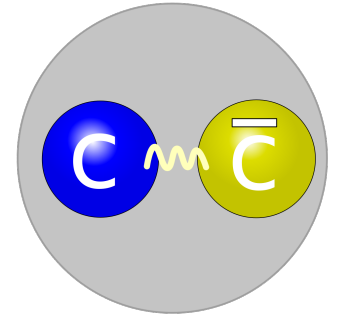
- Fragmentation functions *Kang et al. 2014*

$$d\sigma[Q] = \int dx_a dx_b dz f_a(x_a) f_b(x_b) d\hat{\sigma}_{a+b \rightarrow c+X} D_{c \rightarrow Q}(z) \\ + \int dx_a dx_b dz f_a(x_a) f_b(x_b) d\hat{\sigma}_{a+b \rightarrow QQ+X} D_{QQ \rightarrow Q}(z)$$

# The shape function

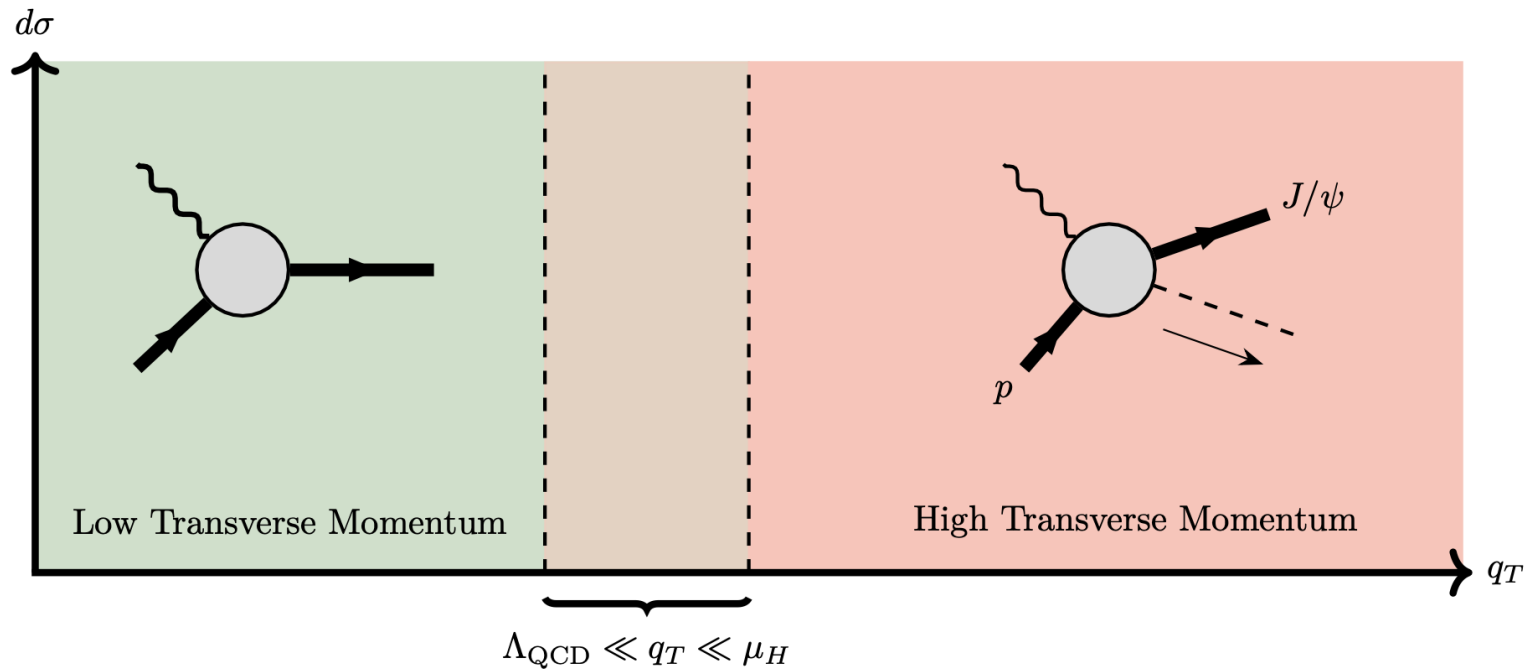
- Binding of quarks described by NRQCD
- However, NRQCD does **not** incorporate:
  - Soft gluon exchange with other color sources that leads to final state smearing and TM dependence
- Therefore one needs to include the TMDShF:  $\Delta^{[n]}(z, \mathbf{k}_T^2)$ 

*Echevarria 2019 & Fleming et al. 2020*
- “*Extension of the LMDEs in collinear factorization*”
- We assume that the TMDShF can be different when other TMDs are involved



# Matching procedure

$$e(\ell) + p(P) \rightarrow e'(\ell') + \gamma^*[\mathbf{q}] + p(P) \rightarrow e'(\ell') + J/\psi[\mathbf{P}_\psi] + X$$

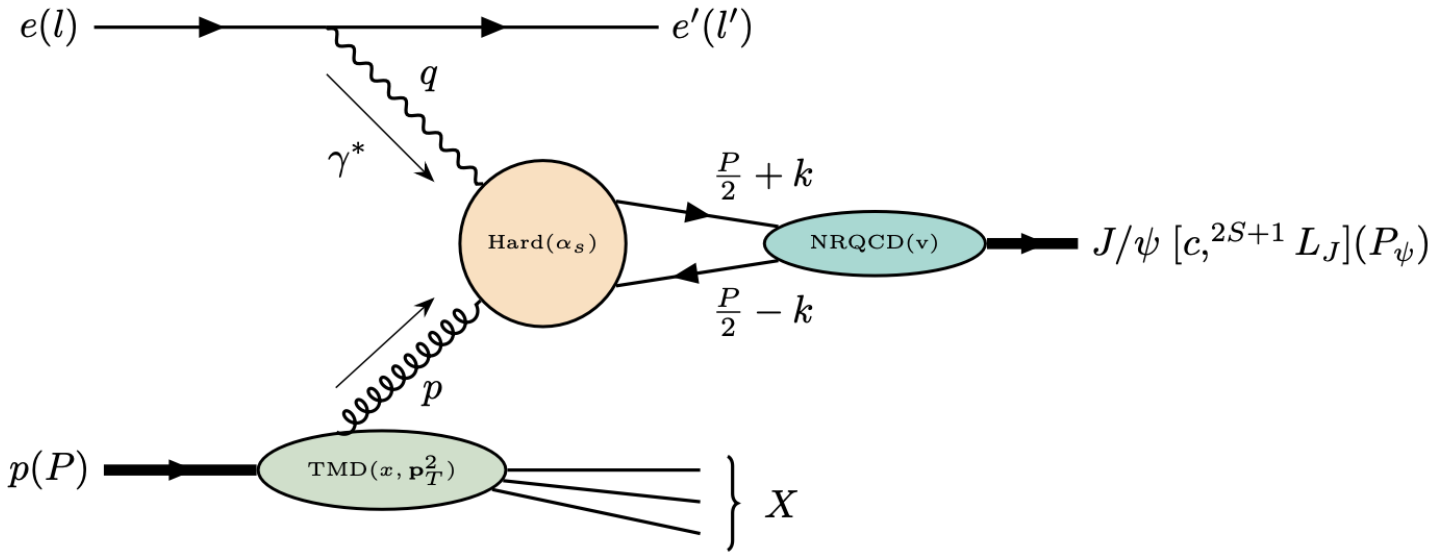


- TMD factorization  
 $|\mathbf{q}_T| \ll \mu_H$
- Collinear factorization  
 $|\mathbf{q}_T| \gg \Lambda_{\text{QCD}}$

- In the overlap region the cross sections should match, which allows for extraction of the TMDShF *Boer et al. 2020*

$$|\mathbf{q}_T| = \frac{1}{z} |\mathbf{P}_{\psi\perp}|$$

# Low Transverse Momentum (LTM)



- At LO 2 diagrams
- Solely CO production
- SIDIS variables:

$$x_B = \frac{Q^2}{2P \cdot q}, \quad y = \frac{P \cdot q}{P \cdot \ell}, \quad z = \frac{P \cdot P_\psi}{P \cdot q}$$

$$\frac{d\sigma}{dx_B dy dz d\mathbf{q}_T^2 d\phi_\psi} = \frac{\alpha}{yQ^2} \left\{ \left[ 1 + (1-y)^2 \right] \mathcal{F}_{UU,\perp} + 4(1-y) \mathcal{F}_{UU,\parallel} + 4(1-y) \cos 2\phi_\psi \mathcal{F}_{UU}^{\cos 2\phi_\psi} \right\}$$

$$\mathcal{F}_{UU,\perp} = 2\pi^2 \frac{e_c^2 \alpha_s}{M_\psi (M_\psi^2 + Q^2)} \left( c \left[ f_1^g \Delta^{[1S_0^{[8]}]} \right] + 4 \frac{7M_\psi^4 + 2M_\psi^2 Q^2 + 3Q^4}{M_\psi^2 (M_\psi^2 + Q^2)^2} c \left[ f_1^g \Delta^{[3P_0^{[8]}]} \right] \right)$$

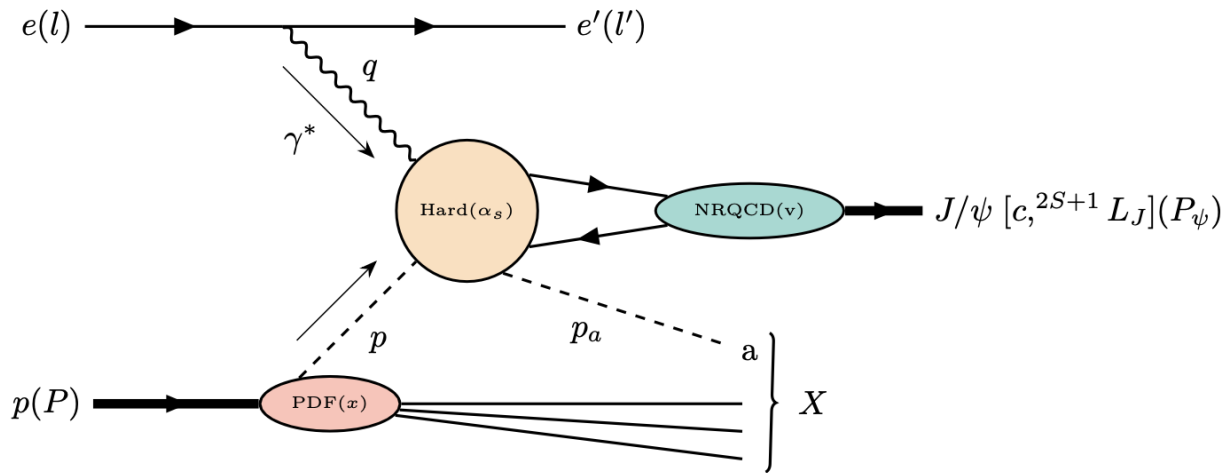
$$\mathcal{F}_{UU,\parallel} = 2\pi^2 \frac{e_c^2 \alpha_s}{M_\psi (M_\psi^2 + Q^2)} \left( 16 \frac{Q^2}{(M_\psi^2 + Q^2)^2} c \left[ f_1^g \Delta^{[3P_0^{[8]}]} \right] \right)$$

$$\mathcal{F}_{UU}^{\cos 2\phi_\psi} = \frac{\pi^2}{2} \frac{e_c^2 \alpha_s}{M_\psi (M_\psi^2 + Q^2)} \left( -c \left[ wh_1^{\perp g} \Delta_h^{[1S_0^{[8]}]} \right] + 4 \frac{3M_\psi^2 - Q^2}{M_\psi^2 (M_\psi^2 + Q^2)} c \left[ wh_1^{\perp g} \Delta_h^{[3P_0^{[8]}]} \right] \right)$$

- $\mathcal{F}_{UU}^{\cos \phi_\psi}$  is subleading power/twist



# High Transverse Momentum (HTM)



- Large transverse momentum generated by extra outgoing particle
- At LO 12 diagrams
- CO & CS production
- Parton and outgoing particle are the same

$$\frac{d\sigma}{dx_B dy dz d\mathbf{q}_T^2 d\phi_\psi} = \frac{\alpha}{yQ^2} \left\{ \left[ 1 + (1-y)^2 \right] F_{UU,\perp} + 4(1-y) F_{UU,\parallel} \right. \\ \left. + 2(2-y)\sqrt{1-y} \cos\phi_\psi F_{UU}^{\cos\phi_\psi} + 4(1-y) \cos 2\phi_\psi F_{UU}^{\cos 2\phi_\psi} \right\}$$

$$\hat{x} = \frac{Q^2}{2p_a \cdot q} = \frac{x_B}{\xi}, \quad \hat{z} = \frac{p_a \cdot P_\psi}{p_a \cdot q} = z \\ \hat{x}_{\max} = \frac{Q^2}{M_\psi^2 + Q^2}$$

$$F_{UU,P}^\Phi = \frac{z}{4} \sum_{n,a} \int_{x_B}^{\hat{x}_{\max}} \frac{d\hat{x}}{\hat{x}} \int_0^1 \frac{d\hat{z}}{\hat{z}} f_1^a \left( \frac{x_B}{\hat{x}}; \mu \right) \hat{H}_{P,\Phi}^{a[n]}(\hat{x}, \hat{z}) \langle \mathcal{O}^{J/\psi}(n) \rangle \\ \times \delta \left( \frac{\mathbf{q}_T^2}{Q^2} + \frac{1-\hat{z}}{\hat{z}^2} \frac{M^2}{Q^2} - \frac{(1-\hat{x})(1-\hat{z})}{\hat{x}\hat{z}} \right) \delta(z - \hat{z}),$$

# Intermediate Transverse Momentum (ITM)

- The ITM can be obtained from the HTM by expanding the Dirac-delta at small  $q_T$  using **continuous** test functions *Boer et al. 2020*

$$\delta\left(\frac{(1-\hat{x})(1-\hat{z})}{\hat{x}\hat{z}} - \frac{1-\hat{z}}{\hat{z}^2} \frac{M_\psi^2}{Q^2} - \frac{q_T^2}{Q^2}\right) \sim \hat{x}_{\max} \left[ \log \frac{M_\psi^2 + Q^2}{q_T^2} \delta(1-\hat{x}') \delta(1-\hat{z}) + \frac{\hat{x}'}{(1-\hat{x}')_+} \delta(1-\hat{z}) + \frac{M_\psi^2 + Q^2}{M_\psi^2/\hat{z} + Q^2} \frac{\hat{z}}{(1-\hat{z})_+} \delta(1-\hat{x}') \right]$$

$$\hat{x}' = \frac{\hat{x}}{\hat{x}_{\max}}$$

- Agrees with SIDIS *Meng et al. 1996*

$$\hat{x}_{\max} \rightarrow 1$$

- However, the hard factor contains **discontinuities**, made explicit by a decomposition into poles:

$$\hat{H}_{\mathcal{P},\Phi}^{a[n]}(\hat{x}', \hat{z}) = \hat{H}_{\mathcal{P},\Phi}^{a[n];(0)}(\hat{x}', \hat{z}) + \sum_{k=1}^{\infty} \left( \frac{1-\hat{z}}{1-\hat{x}'} \right)^k \hat{H}_{\mathcal{P},\Phi}^{a[n];(k)}(\hat{z})$$

$$\bullet a = g, \mathcal{P} = \perp, \parallel; k = 1, 2$$

$$\frac{d\sigma}{dx_B dy dz d\mathbf{q}_T^2 d\phi_\psi} \equiv d\sigma_A + d\sigma_B + d\sigma_C$$

# The effective Dirac-delta expansion

$$\hat{H}_{\mathcal{P},\Phi}^{a[n]}(\hat{x}', \hat{z}) = \hat{H}_{\mathcal{P},\Phi}^{a[n];(0)}(\hat{x}', \hat{z}) + \sum_{k=1}^{\infty} \left( \frac{1 - \hat{z}}{1 - \hat{x}'} \right)^k \hat{H}_{\mathcal{P},\Phi}^{a[n];(k)}(\hat{z})$$

- Hard factors can be Taylor expanded (higher order terms solve the indeterminacy)

$$\hat{H}_{\mathcal{P}}^{a[n];(k)}(\hat{z}) = \hat{H}_{\mathcal{P}}^{a[n];(k)}(1) + \sum_m (1 - \hat{z})^m \left. \frac{d^m \hat{H}_{\mathcal{P},\Phi}^{a[n];(k)}(\hat{z})}{d\hat{z}^m} \right|_{\hat{z}=1}$$

$$\hat{H}_{\mathcal{P}}^{g[n];(1)}(1) = -2 \frac{M^2}{Q^2 + M^2} \hat{H}_{\mathcal{P}}^{g[n];(0)}(1, 1),$$

$$\hat{H}_{\mathcal{P}}^{g[n];(2)}(1) = \left( \frac{M^2}{Q^2 + M^2} \right)^2 \hat{H}_{\mathcal{P}}^{g[n];(0)}(1, 1).$$

$$\delta \left( \frac{(1 - \hat{x})(1 - \hat{z})}{\hat{x}\hat{z}} - \frac{1 - \hat{z}}{\hat{z}^2} \frac{M_\psi^2}{Q^2} - \frac{\mathbf{q}_T^2}{Q^2} \right) \sim \hat{x}_{\max} \left[ \log \frac{M_\psi^2 + Q^2}{\mathbf{q}_T^2} \delta(1 - \hat{x}') \delta(1 - \hat{z}) \right. \\ \left. + \frac{\hat{x}'}{(1 - \hat{x}')_+} \delta(1 - \hat{z}) + \frac{M_\psi^2 + Q^2}{M_\psi^2/\hat{z} + Q^2} \frac{\hat{z}}{(1 - \hat{z})_+} \delta(1 - \hat{x}') \right]$$

$$\delta_{\text{eff}}(\hat{x}', \hat{z}) = \hat{x}_{\max} \left[ \frac{1}{2} \left( \log \frac{M_\psi^2 + Q^2}{\mathbf{q}_T^2} - 1 - \log \frac{M_\psi^2}{M_\psi^2 + Q^2} \right) \delta(1 - \hat{x}') \delta(1 - \hat{z}) \right. \\ \left. + \frac{\hat{x}'}{(1 - \hat{x}')_+} \delta(1 - \hat{z}) + \frac{M_\psi^2 + Q^2}{M_\psi^2/\hat{z} + Q^2} \frac{\hat{z}}{(1 - \hat{z})_+} \delta(1 - \hat{x}') \right].$$

# TMDSHF tail

$$\mathcal{C}[f_1^g \Delta^{[n]}](x, \mathbf{q}_T^2) = \int d^2 \mathbf{p}_T \int d^2 \mathbf{k}_T \delta^2(\mathbf{p}_T + \mathbf{k}_T - \mathbf{q}_T) f_1^g(x, \mathbf{p}_T^2) \Delta^{[n]}(\mathbf{k}_T^2)$$

$$\mathcal{C}[wh_1^{\perp g} \Delta_h^{[n]}](x, \mathbf{q}_T^2) = \int d^2 \mathbf{p}_T \int d^2 \mathbf{k}_T \delta^2(\mathbf{p}_T + \mathbf{k}_T - \mathbf{q}_T) w(\mathbf{p}_T, \mathbf{k}_T) h_1^{\perp g}(x, \mathbf{p}_T^2) \Delta_h^{[n]}(\mathbf{k}_T^2)$$

$$w(\mathbf{p}_T, \mathbf{k}_T) = \frac{1}{2M_h^2 \mathbf{q}_T^2} [2(\mathbf{p}_T \cdot \mathbf{q}_T)^2 - \mathbf{p}_T^2 \mathbf{q}_T^2]$$

- Knowing the perturbative tail of the gluon TMDs (relate to PDFs), we obtain the LO TMDSHF tail:

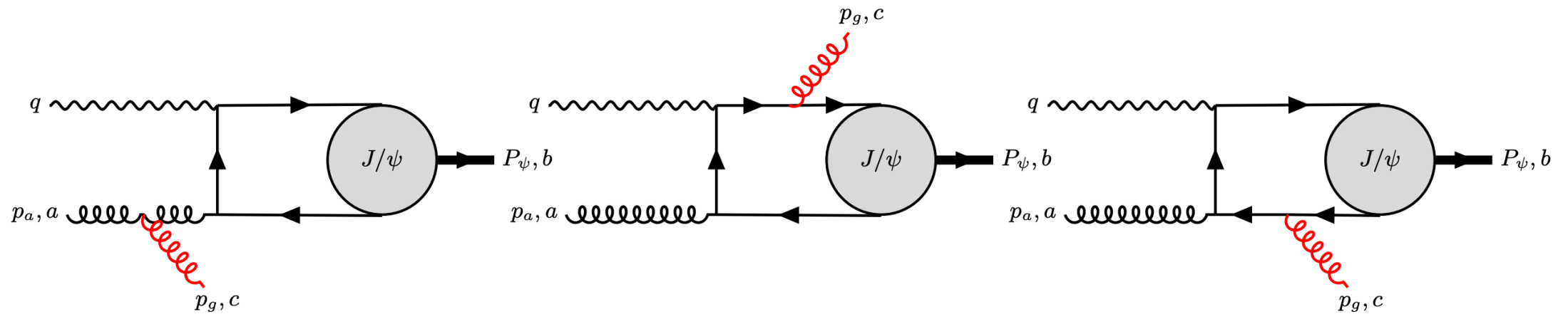
$$\tilde{\Delta}^{[n]}(z, \mathbf{b}_T^2; \mu^2 = \tilde{Q}^2) = \frac{1}{2\pi} \left[ 1 + \frac{\alpha_s}{2\pi} C_A \left( 1 + \log \frac{M_\psi^2}{M_\psi^2 + Q^2} \right) \log \frac{\tilde{Q}^2}{\mu_b^2} \right] \langle \mathcal{O}[n] \rangle \delta(1-z) + \mathcal{O}(\alpha_s^2) + \mathcal{O}(b_T \Lambda_{\text{QCD}}) \quad \begin{array}{l} b_0 = 2e^{-\gamma_E} \approx 1.123 \\ \mu_b = b_0/|\mathbf{b}_T| \end{array}$$

$$\Delta^{[n]}(z, \mathbf{k}_T^2; \tilde{Q}^2) = -\frac{\alpha_s}{2\pi^2 \mathbf{k}_T^2} C_A \left( 1 + \log \frac{M_\psi^2}{M_\psi^2 + Q^2} \right) \langle \mathcal{O}[n] \rangle \delta(1-z) + \mathcal{O}(\alpha_s^2) \quad |\mathbf{k}_T| \gg \Lambda_{\text{QCD}}$$

- Particular scale:  $\mu_H^2 \equiv \tilde{Q}^2 = M_\psi^2 + Q^2$

- And the trivial TMDSHF tail  $\Delta_h^{[n]}(z, \mathbf{k}_T^2) = \delta(\mathbf{k}_T^2) \langle \mathcal{O}[n] \rangle \delta(1-z) + \mathcal{O}(\alpha_s)$
- Order  $\alpha_s^3$  needed

# Eikonal method: a validation



$$d\sigma_1 \propto \frac{g_s^2}{2(2\pi)^3} C_A \int_{\frac{p_{g\perp}^2}{M_\psi^2 + Q^2}}^1 \frac{dx_g}{x_g} \left[ 2S_g(p_a, P_\psi) - S_g(P_\psi, P_\psi) \right] |M_0|^2$$

$$= \frac{\alpha_s}{2\pi^2 p_{g\perp}^2} C_A \left[ \log \frac{M_\psi^2 + Q^2}{p_{g\perp}^2} + \log \frac{M_\psi^2 + Q^2}{M_\psi^2} - 1 \right] |M_0|^2$$

$$x_g = \frac{p_g \cdot p_a}{q \cdot p_a}$$

$$S_g(v_1, v_2) = \frac{v_1 \cdot v_2}{(v_1 \cdot p_g)(v_2 \cdot p_g)}$$

- The TMDSHF may also be seen as a fragmentation-like function of a  $c\bar{c}$  pair into a  $J/\psi$  evaluated at ITM; inclusion of next order contributions might shine a light on their relation

# Universality

- The  $q_T$ -divergent terms from the collinear limit are resummed in the Sudakov factor of the process, for a **general scale**  $\mu_H$ :

$$S_A^{ep,\psi}(\mathbf{b}_T^2; \mu_H^2) = \frac{1}{2} S_A^g(\mathbf{b}_T^2; \mu_H^2) + B_{ep}(\mu_H^2) \log \frac{\mu_H^2}{\mu_b^2} \quad B_{ep}(\mu_H^2) = -\frac{\alpha_s}{2\pi} C_A \left( 1 + \log \frac{M_\psi^2 \mu_H^2}{(M_\psi^2 + Q^2)^2} \right)$$

- In agreement with limit of open heavy quark- pair *Zhu et al. 2013*
- TMDShF should only depend on the scale  $M_\psi$ ;  $Q$  may only enter through  $\mu_H$

$$\Delta_{ep}^{[n]}(\mu_H) = \Delta_{\text{ShF}}^{[n]}(\mu_H) \times S_{ep}(\mu_H)$$

$$\tilde{\Delta}_{\text{ShF}}^{[n]}(z, \mathbf{b}_T^2; \mu_H^2) = \frac{1}{2\pi} \left[ 1 + \frac{\alpha_s}{2\pi} C_A \left( 1 + \log \frac{M_\psi^2}{\mu_H^2} \right) \log \frac{\mu_H^2}{\mu_b^2} \right] \langle \mathcal{O}[n] \rangle \delta(1-z),$$

$$S_{ep}(\mathbf{b}_T^2; \mu_H^2) = 1 + \frac{\alpha_s}{2\pi} C_A \left( 2 \log \frac{\mu_H^2}{M_\psi^2 + Q^2} \right) \log \frac{\mu_H^2}{\mu_b^2}.$$

$$pp \rightarrow J/\psi + X$$

*Sun et al. 2013*

$$S_{pp}(\mu_H^2) = 1 + \frac{\alpha_s}{2\pi} C_A \left( 3 \log \frac{\mu_H^2}{M_\psi^2} \right) \log \frac{\mu^2}{\mu_b^2}$$

# Conclusions

- Revision of the procedure to derive the LO TMDShF perturbative tail for heavy quarkonium production: poles in the small  $q_T$  limit provide non-negligible finite terms in the expansion at small  $q_T$ ; less divergent behavior as compared to the TMD fragmentation functions of light hadrons (what was found before).
- We expect that the presence of the poles is an intrinsic feature of any inclusive CO quarkonium production.
- Agreement with eikonal approximation and with the Sudakov factors obtained for open heavy-quark pair production in electron-proton and proton-proton collisions.
- Our TMDShF tails hold for every CO quarkonium state (unpolarised, L and T polarised) with the same quantum numbers as the  $J/\psi$ , e.g.  $Y(nS)$  and  $\psi(2S)$ .
- Only their magnitude is different by the LDMEs. This holds up to the precision considered, corresponding to the  $\alpha\alpha_s^2$  and  $v^4$  orders in the NRQCD double expansion.
- We split up into two terms: a process-independent quantity that we identify as the universal TMDShF, and an extra process-dependent soft factor.
- The universal TMDShFs are possible to extract at the EIC and LHC by appropriate choices of scales, which allows to relate different processes.