



Alaric: A NLL accurate Parton Shower algorithm

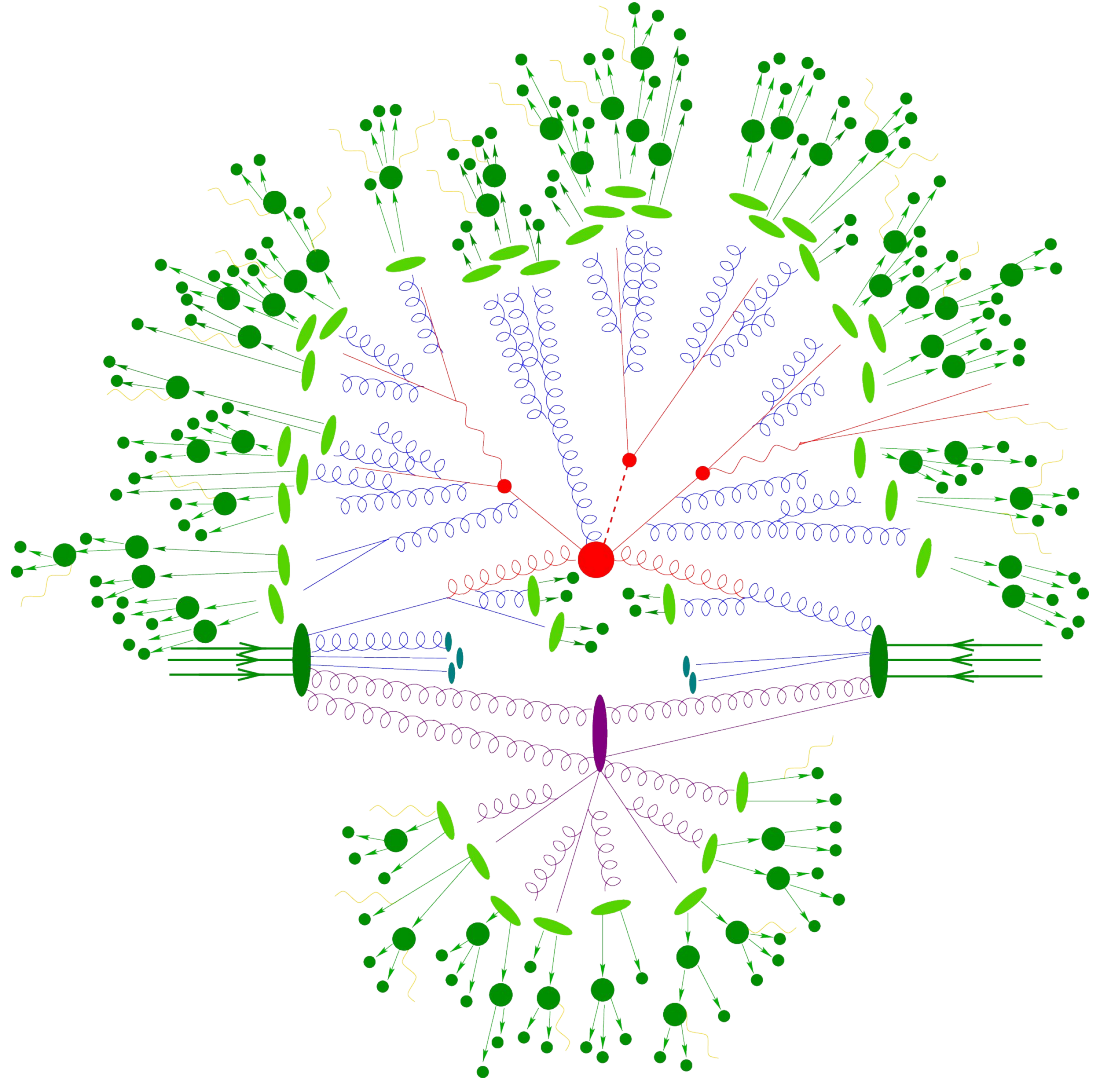
Florian Herren

Event Generators

Crucial for precision Collider Physics

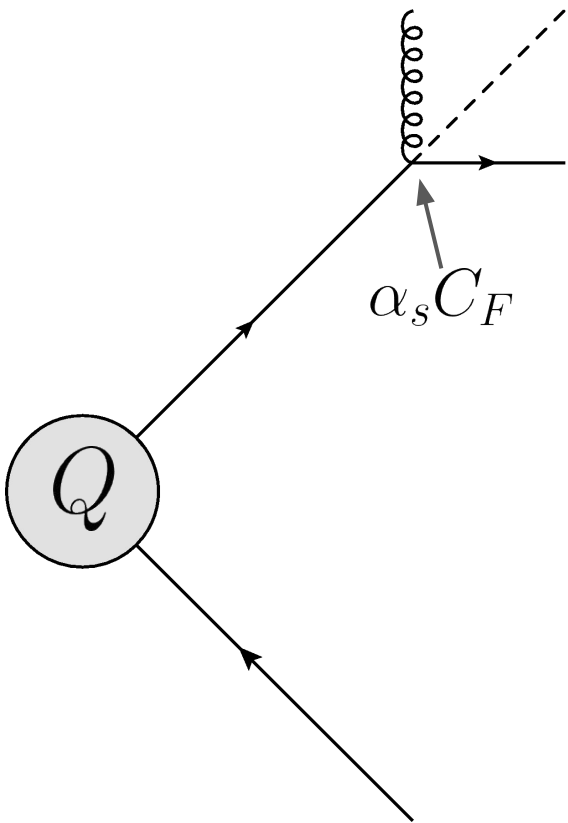
Combine different physics at different scales:

- **Hard Process**
- **Parton Shower**
- **Underlying Interaction**
- **Hadronization**
- **QED FSR**
- **Hadron Decays**



Parton Showers

Here: Leading colour



Gluon emission most likely in singular limits!

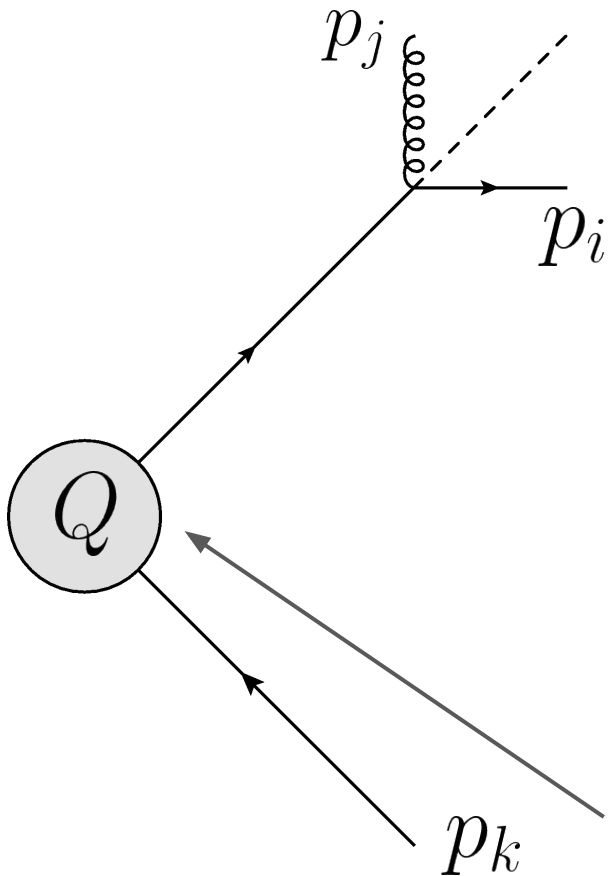
Depends on both dipole members

$$\text{Gluon soft: } |\mathcal{M}_{qqg}|^2 \approx 2 \frac{p_i p_k}{(p_i p_j)(p_j p_k)} |\mathcal{M}_{qq}|^2$$

$$\text{Gluon collinear: } |\mathcal{M}_{qqg}|^2 \approx \frac{P_{qq}(z)}{2(p_i p_j)} |\mathcal{M}_{qq}|^2$$

Depends only on radiating parton

Parton Showers



Need momentum
mapping between
on-shell momenta:

$$\begin{array}{ccc} \tilde{p}_i & \longrightarrow & p_i \\ \tilde{p}_k & & p_k \\ & & p_j \end{array}$$

Conditions:

$$p_i \rightarrow z\tilde{p}_i$$

$$p_j \rightarrow (1-z)\tilde{p}_i$$

in collinear limit, and

$$Q^2 = \tilde{Q}^2$$

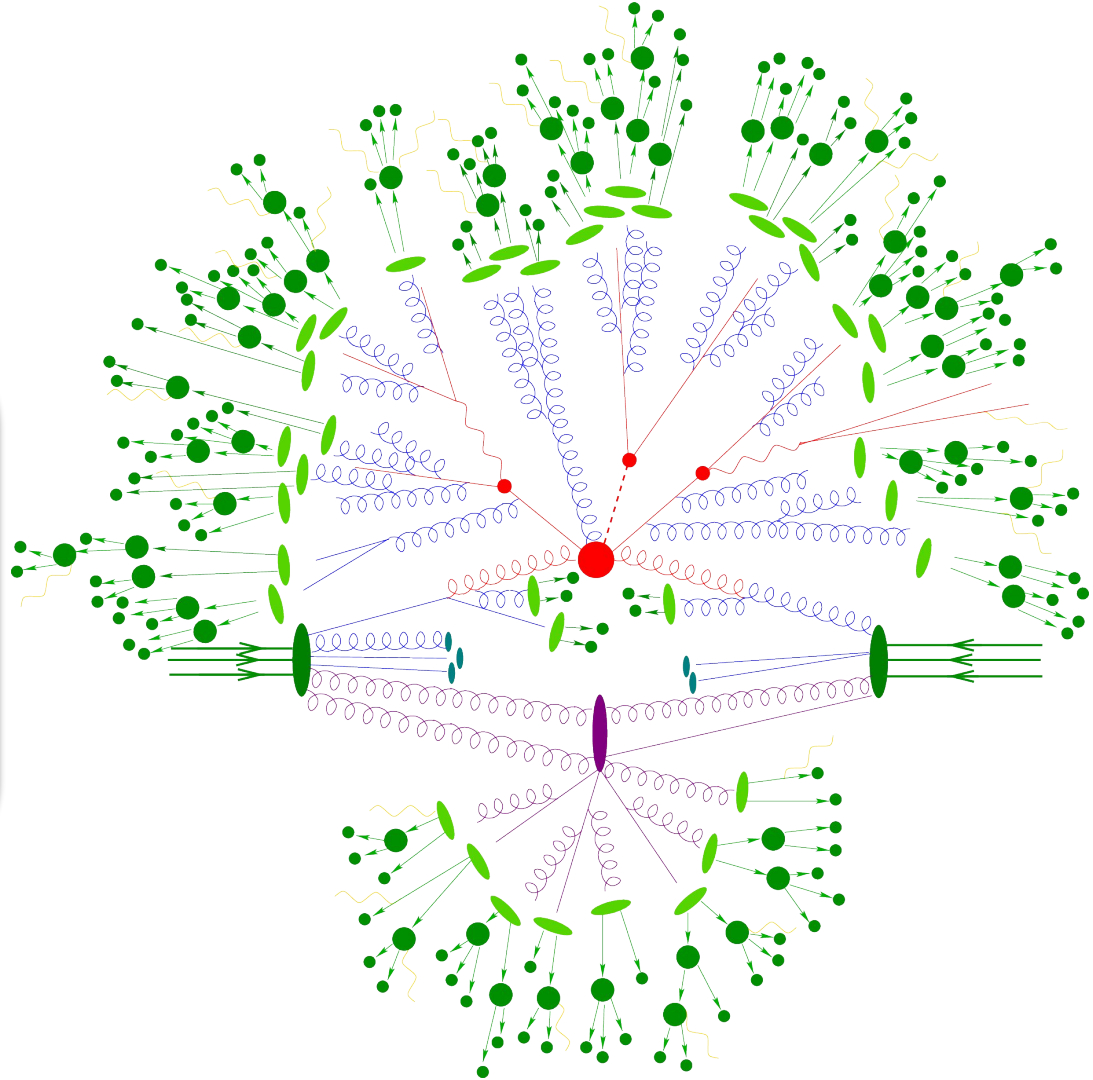
Also \tilde{Q} may change

NLL Showers

Criteria for NLL accuracy:

- Generate correct square tree-level ME when one kinematic variable (e.g. k_{\perp}) for two emissions differ significantly and another one is similar (e.g. η)
- Reproduce NLL results for rIRC safe observables \rightarrow Subsequent Emissions don't change previous ones significantly

[Dasgupta,Dreyer,Hamilton,Monni,Salam,Soyez] [2002.11114](#)



Soft Radiation

Factorisation in the soft limit:

$${}_n \langle 1, \dots, n | 1, \dots, n \rangle_n = -8\pi\alpha_s \sum_{i,k \neq j} {}_{n-1} \langle 1, \dots, \cancel{j}, \dots, n | \mathbf{T}_i \mathbf{T}_k w_{ik,j} | 1, \dots, \cancel{j}, \dots, n \rangle_{n-1}$$

Eikonal factor:

$$w_{ik,j} = \frac{p_i p_k}{(p_i p_j)(p_j p_k)} = \frac{1}{E_j^2} \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})}$$

Implementing the Eikonal in the collinear limit leads to double-counting of soft singularities

[Marchesini, Webber] [Nucl.Phys.B 310 \(1988\) 461-526](#)

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Additive matching of singularities:

$$W_{ik,j} = \tilde{W}_{ik,j}^i + \tilde{W}_{ki,j}^k$$

$$\tilde{W}_{ik,j}^i = \frac{1}{2} \left(W_{ik,j} + \frac{1}{1 - \cos \theta_{ij}} - \frac{1}{1 - \cos \theta_{jk}} \right)$$

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$W_{ik,j}$

Soft Radiation

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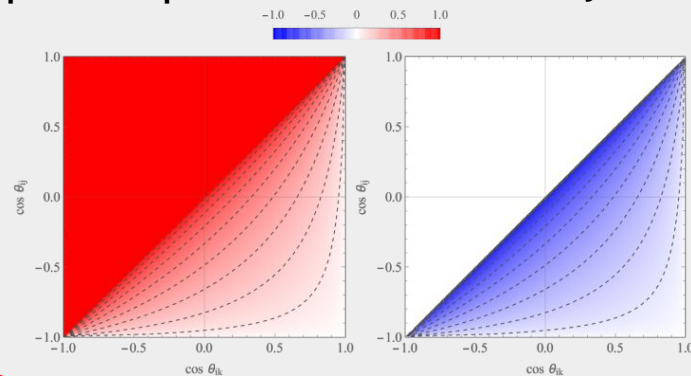
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Option 1:
Angular Ordering \rightarrow Spoils NGLs

Azimuthal average:

$$\frac{1}{2\pi} \int_0^{2\pi} d\phi_{jk}^i \tilde{W}_{ik,j}^i = \frac{\theta(\theta_{ik} - \theta_{ij})}{1 - \cos \theta_{ij}}$$

Option 2: Implement radiator differentially



Soft Radiation

Factorisation in the soft limit:

$${}_n \langle 1, \dots, n | 1, \dots, n \rangle_n = -8\pi\alpha_s \sum_{i,k \neq j} {}_{n-1} \langle 1, \dots, \cancel{j}, \dots, n | \mathbf{T}_i \mathbf{T}_k w_{ik,j} | 1, \dots, \cancel{j}, \dots, n \rangle_{n-1}$$

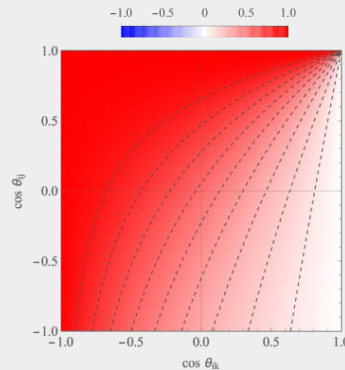
Multiplicative matching of singularities:

$$W_{ik,j} = \bar{W}_{ik,j}^i + \bar{W}_{ki,j}^k$$

$$\bar{W}_{ik,j}^i = W_{ik,j} \frac{1 - \cos \theta_{jk}}{2 - \cos \theta_{ij} - \cos \theta_{jk}}$$

[Catani, Seymour] [hep-ph/9605323](https://arxiv.org/abs/hep-ph/9605323)

Implement radiator differentially



Azimuthal average:

$$\frac{1}{2\pi} \int_0^{2\pi} d\phi_{jk} \bar{W}_{ik,j}^i = \frac{1}{\sqrt{(A_{ik,j}^i)^2 - (B_{ik,j}^i)^2}}$$

$$A_{ij,k}^i = \frac{2 - \cos \theta_{ij}(1 + \cos \theta_{ik})}{1 - \cos \theta_{ik}}$$

$$B_{ij,k}^i = \frac{\sqrt{(1 - \cos^2 \theta_{ij})(1 - \cos^2 \theta_{ik})}}{1 - \cos \theta_{ik}}$$

Soft Radiation

Factorisation in the soft limit:

$$n \langle 1, \dots, n | 1, \dots, n \rangle_n = -8\pi\alpha_s \sum_{i,k \neq j} n_{-1} \langle 1, \dots, \cancel{j}, \dots, n | \mathbf{T}_i \mathbf{T}_k w_{ik,j} | 1, \dots, \cancel{j}, \dots, n \rangle_{n-1}$$

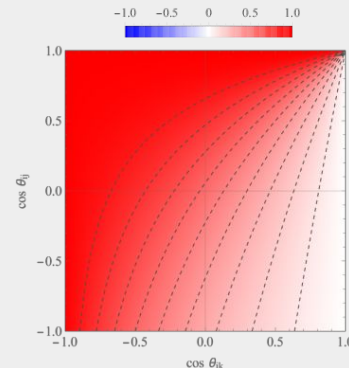
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Implement radiator differentially

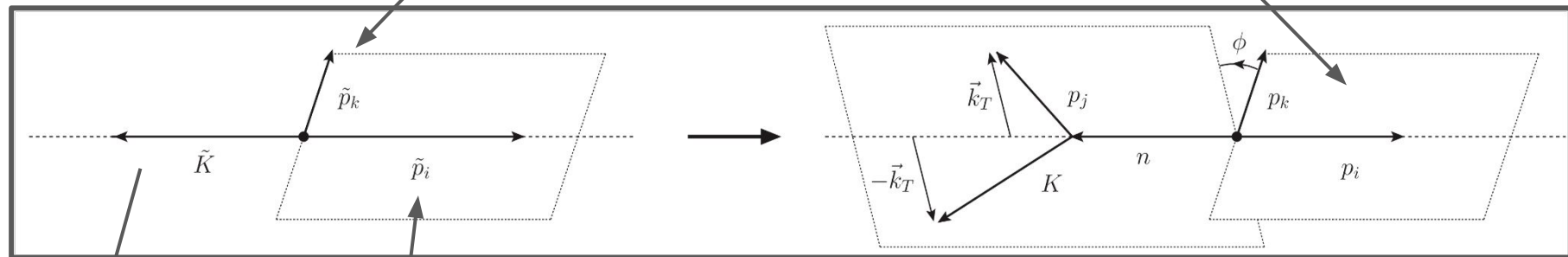


$$\frac{1}{2p_i p_j} P_{(ij)i}(z) \rightarrow \frac{1}{2p_i p_j} P_{(ij)i}(z) + \delta_{(ij)i} \left[\frac{\bar{W}_{ik,j}^i}{E_j^2} - w_{ik,j}^{(\text{coll})}(z) \right]$$

Splitting functions depend on azimuthal direction of spectator, strictly positive

Momentum Mapping

Colour Spectator



Colour neutral System

Emitter

Main Idea:
maintain directions of hard particles exactly

$$p_i = z \tilde{p}_i$$

$$p_k = \tilde{p}_k$$

$$z = \frac{p_i n}{(p_i + p_j) n}$$

Need to find K and p_j such that:

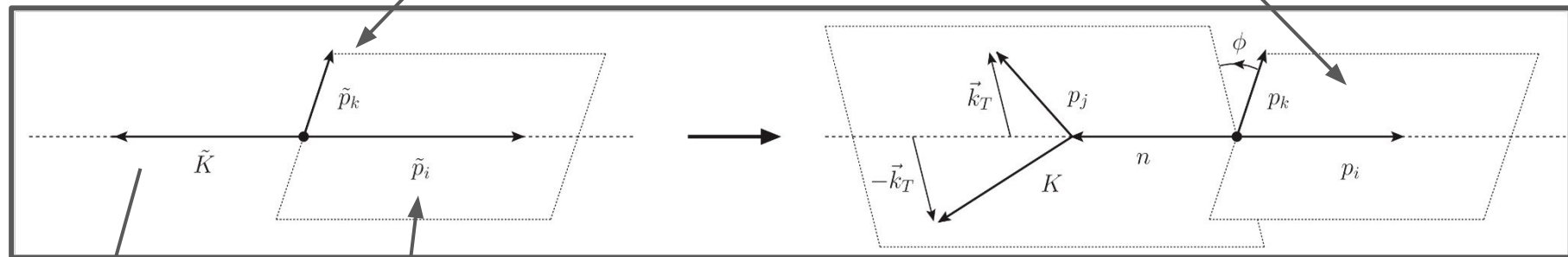
$$K^2 = \tilde{K}^2 \quad p_j \rightarrow (1 - z) \tilde{p}_i$$

Shift:

$$n = \tilde{K} + (1 - z) \tilde{p}_i$$

Momentum Mapping

Colour Spectator



Colour neutral System

Emitter

$$v = \frac{p_i p_j}{p_i \tilde{K}} \quad \kappa = \frac{\tilde{K}^2}{2\tilde{p}_i \tilde{K}}$$

$$p_j = (1-z)\tilde{p}_i + v(\tilde{K} - (1-z+2\kappa)\tilde{p}_i) + k_{\perp}$$

$$K = \tilde{K} - v(\tilde{K} - (1-z+2\kappa)\tilde{p}_i) - k_{\perp}$$

Main Idea:
maintain directions of hard particles exactly

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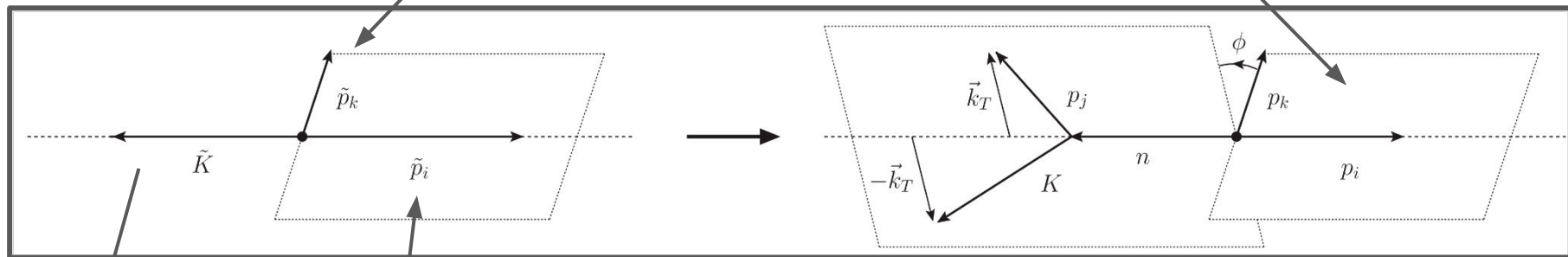
$$z = \frac{p_i n}{(p_i + p_j)n}$$

Recoil distributed to remaining momenta through Lorentz Transformation:

$$p_l^{\mu} \rightarrow \Lambda_{\nu}^{\mu}(K, \tilde{K}) p_l^{\nu}$$

Momentum Mapping

Colour Spectator



Colour neutral System

Emitter

Evolution variable:

$$t = 2E_j^2(1 - \cos \theta_j^i) = v(1 - z)2\tilde{p}_i\tilde{K}$$

Corresponds to Lund plane $k_t^2 \rightarrow \beta_{\text{PS}} = 0$

$$v = \frac{p_i p_j}{p_i \tilde{K}} \quad \kappa = \frac{\tilde{K}^2}{2\tilde{p}_i \tilde{K}}$$

Main Idea:
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Recoil

Recoil distributed to remaining momenta through Lorentz Transformation:

$$p_l^\mu \rightarrow \Lambda_\nu^\mu(K, \tilde{K}) p_l^\nu$$

Define

$$\begin{aligned} X^\mu &= p_j^\mu - (1 - z) \tilde{p}_i^\mu \\ &= v(\tilde{K}^\mu - (1 - z + 2\kappa) \tilde{p}_i^\mu) + k_\perp^\mu \end{aligned}$$

At most $\mathcal{O}(k_\perp)$ in logarithmically enhanced region

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$$\Lambda_\nu^\mu(K, \tilde{K}) = g_\nu^\mu + \tilde{K}^\mu A_\nu + X^\mu B_\nu$$
$$A^\nu = 2 \left[\frac{(\tilde{K} - X)^\nu}{(\tilde{K} - X)^2} - \frac{(\tilde{K} - X/2)^\nu}{(\tilde{K} - X/2)^2} \right] \quad B^\nu = \frac{(\tilde{K} - X/2)^\nu}{(\tilde{K} - X/2)^2}$$

Recoil

Recoil distributed to remaining momenta through Lorentz Transformation:

$$p_l^\mu \rightarrow \Lambda_\nu^\mu(K, \tilde{K}) p_l^\nu$$

Suppressed by

$$\mathcal{O}(k_\perp/K)$$

$$\Lambda_\nu^\mu \approx g_\nu^\mu + \frac{K_\rho X_\sigma}{K^2} T_\nu^{\mu\rho\sigma} + \mathcal{O}(k_\perp^2)$$

Define

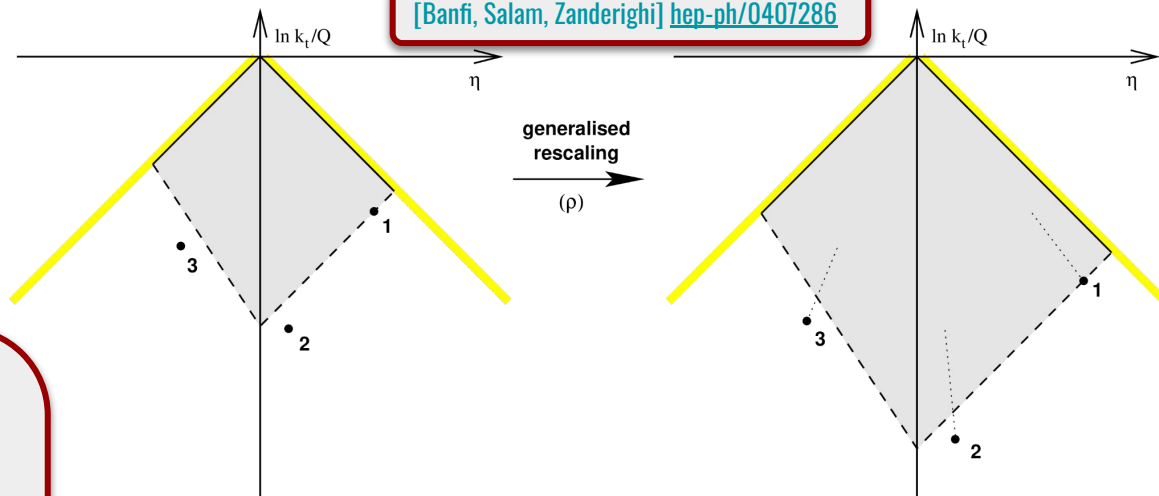
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Recoil

[Banfi, Salam, Zanderighi] [hep-ph/0407286](https://arxiv.org/abs/hep-ph/0407286)



For one emission, kinematic variables in the Lund plane scale like:

$$k_{t,l} \rightarrow k'_{t,l} = k_{t,l} \rho^{(1-\xi_l)/a + \xi_l/(a+b)}$$

$$\eta_l \rightarrow \eta'_l = \eta - \xi_l \frac{\ln \rho}{a+b}$$

$$\xi_l = \frac{\eta_l}{\eta_{l,\max}}$$

where $a = 1$ and $b = 0$ for Alaric

Working in the rest frame of the color dipole, the other momenta scale like:

$$\tilde{p}_l^0 \sim \rho^{1-\xi_l}$$

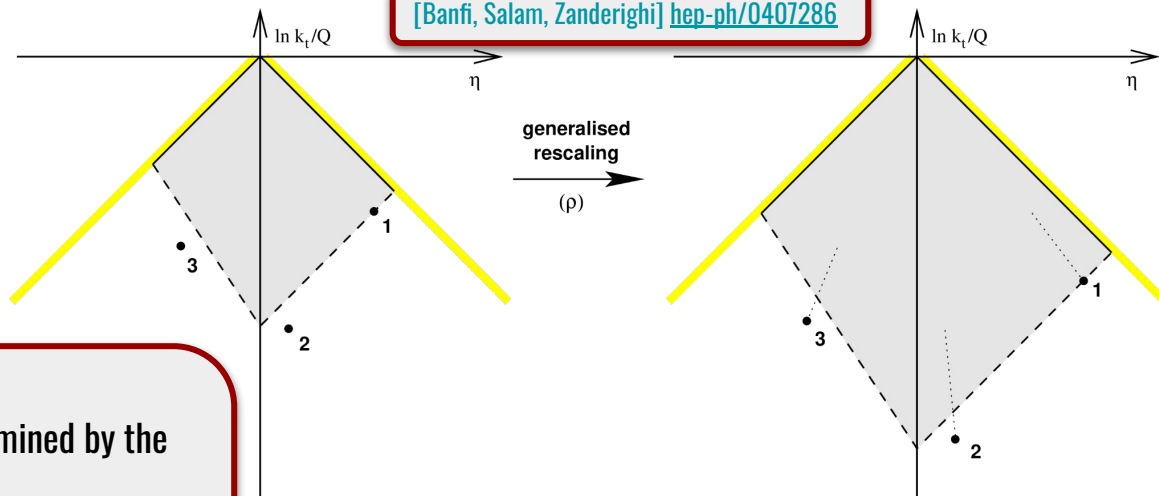
$$\tilde{p}_l^{1,2} \sim \rho$$

$$\tilde{p}_l^3 \sim \rho^{1-\xi_l}$$

for $\rho \rightarrow 0$

Recoil

[Banfi, Salam, Zanderighi] [hep-ph/0407286](https://arxiv.org/abs/hep-ph/0407286)



Scaling under an additional emission is determined by the Lorentz transformation in the limit $\rho \rightarrow 0$:

$$\Delta p_l^\mu = 2 \frac{\tilde{K} X}{\tilde{K}^2} \frac{\tilde{p}_l \tilde{K}}{\tilde{K}^2} \tilde{K}^\mu - \frac{\tilde{p}_l X}{\tilde{K}^2} \tilde{K}^\mu + \frac{\tilde{p}_l \tilde{K}}{\tilde{K}^2} X^\mu$$

Scaling becomes:

$$\Delta p_l^0 \sim \rho^{1-\xi_l} X^0 + \rho^{2-\xi_l - \max(\xi_i, \xi_j)} \tilde{K}^0 + \rho^{1-\xi_l} X^0 \sim \rho^{2-\xi_l - \max(\xi_i, \xi_j)}$$

$$\Delta p_l^{1,2} \sim \rho^{1-\xi_l} X^{1,2} \sim \rho^{2-\xi_l}$$

$$\Delta p_l^3 \sim \rho^{1-\xi_l} X^3 \sim \rho^{2-\xi_l - \max(\xi_i, \xi_j)}$$

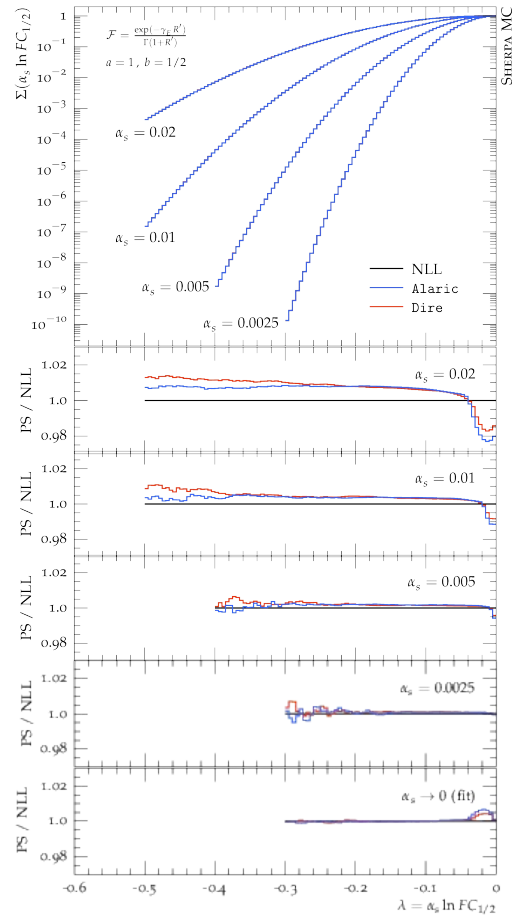
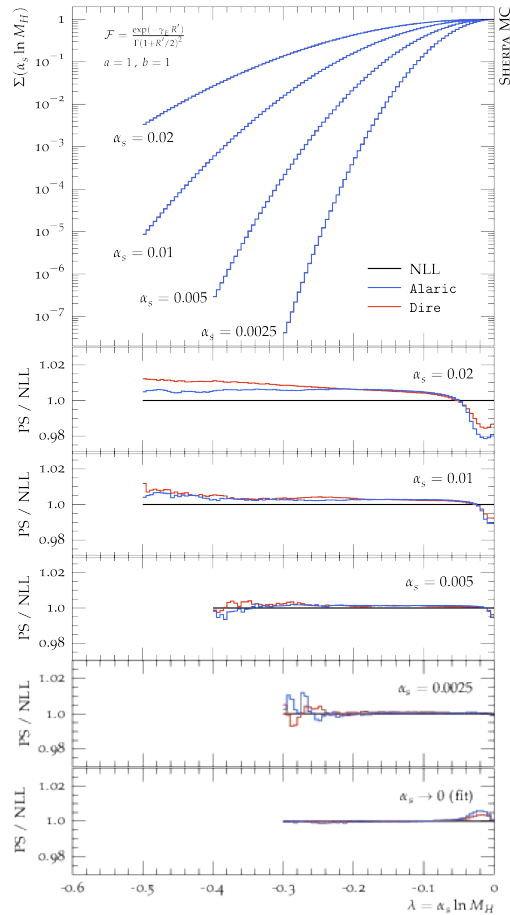
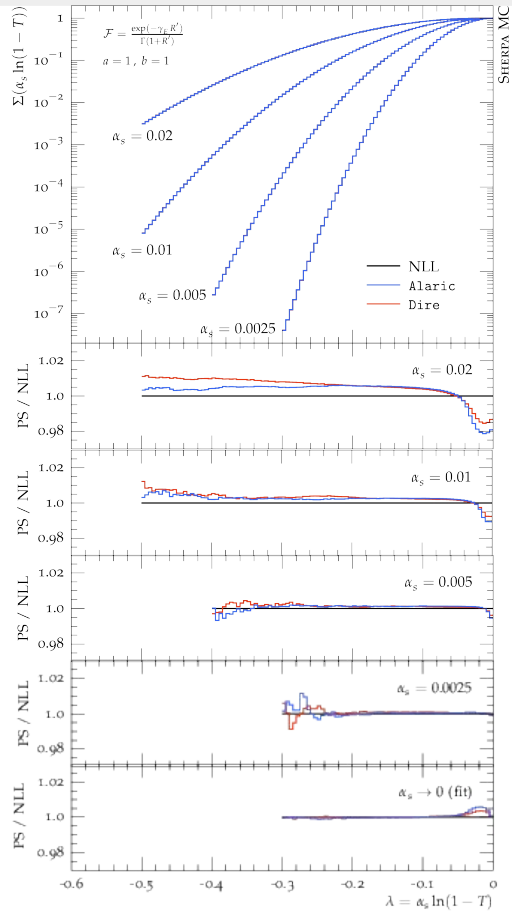
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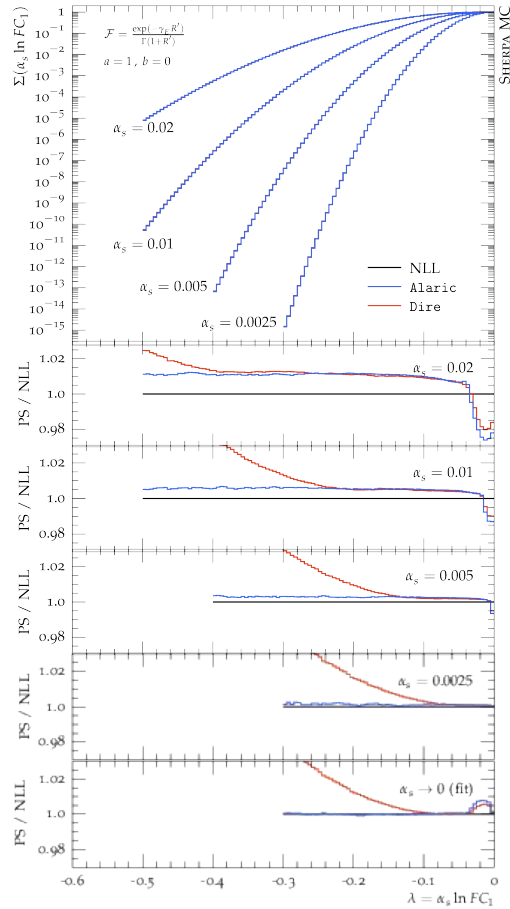
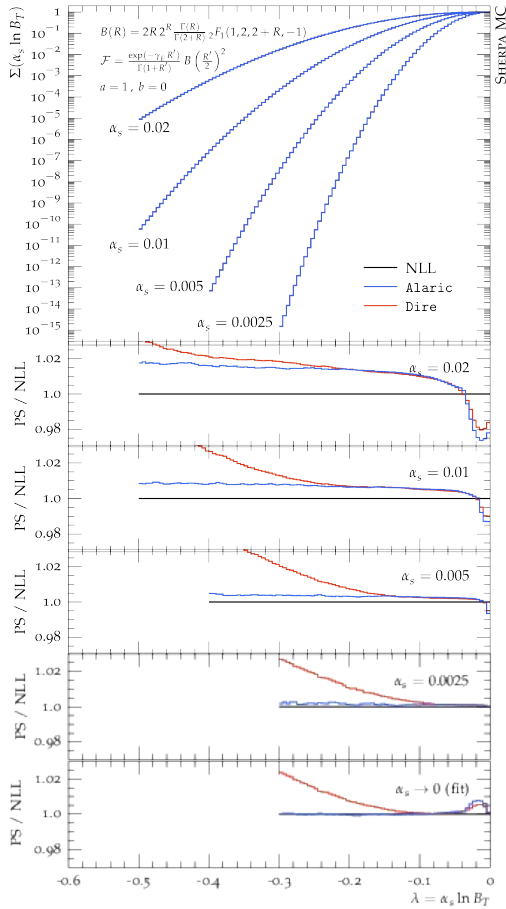
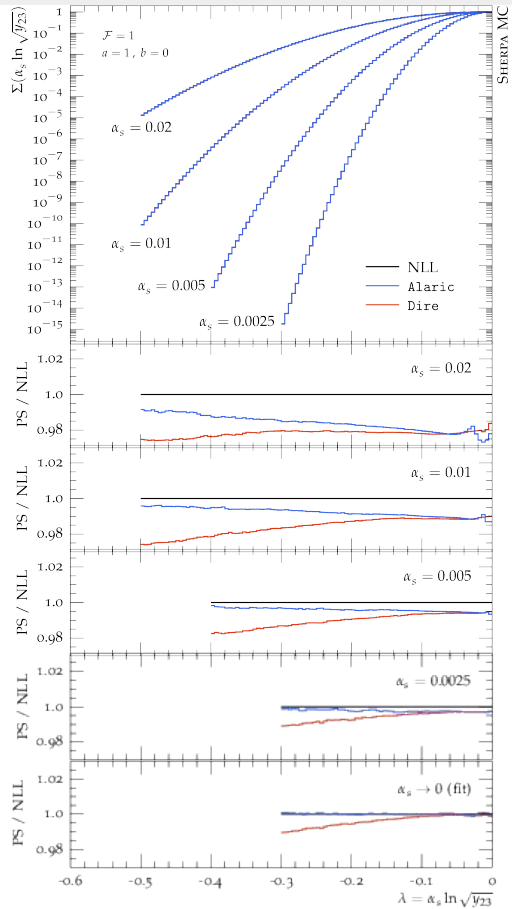
Numerical Tests

For Thrust, Heavy Jet mass and Fractional Energy Correlators with $b = 1$, Alaric is NLL and Dire is indistinguishable from NLL



Numerical Tests

For the Two-Jet rate, total Broadening and FC with $b = 1$
Alaric and Dire differ, here only Alaric is NLL accurate



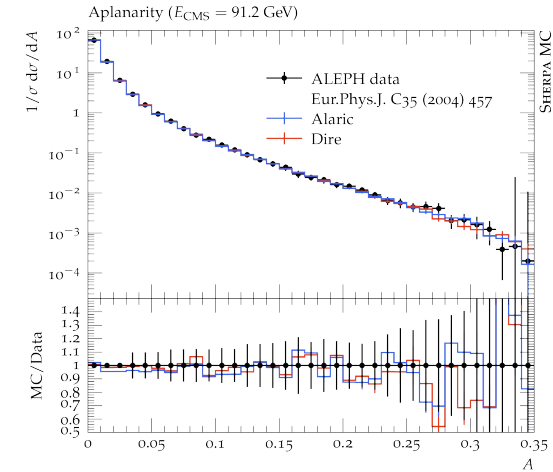
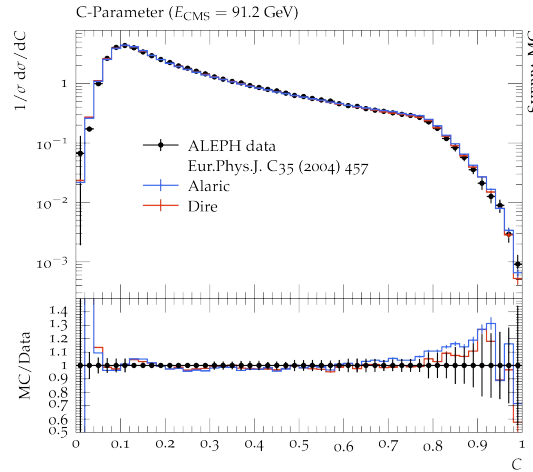
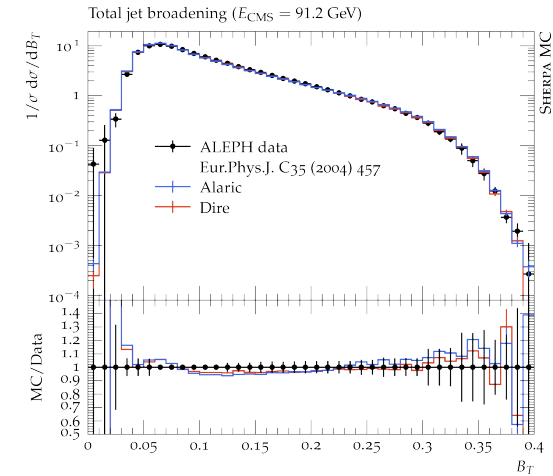
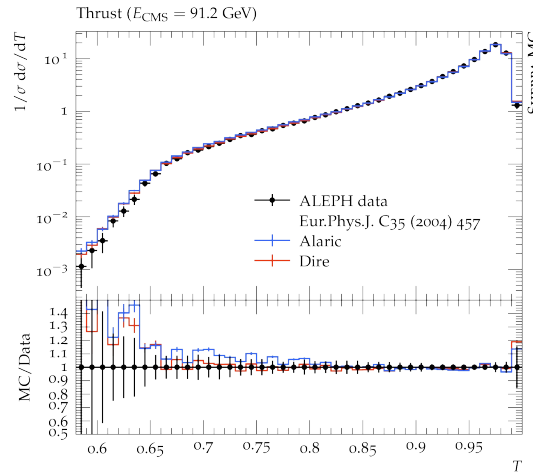
Let's look at Data

Details:

- CMW scheme
- Massless b- and c-quarks
- Flavour thresholds
- Hadronization through Lund string fragmentation

Comments:

- Perturbative region to the right, except for thrust
- Some deviations for Broadening and Aplanarity
- MECs and massive quarks will improve situation



Let's look at Data

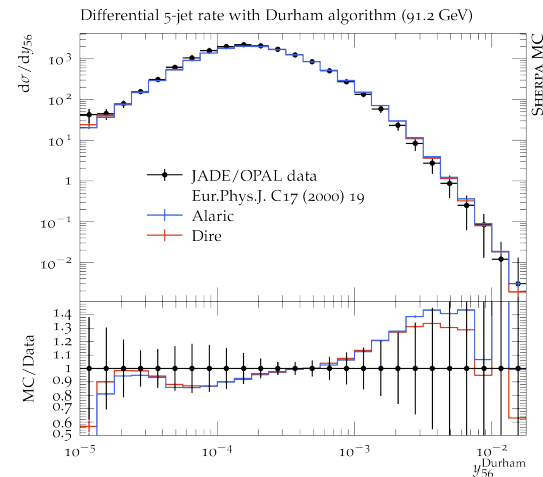
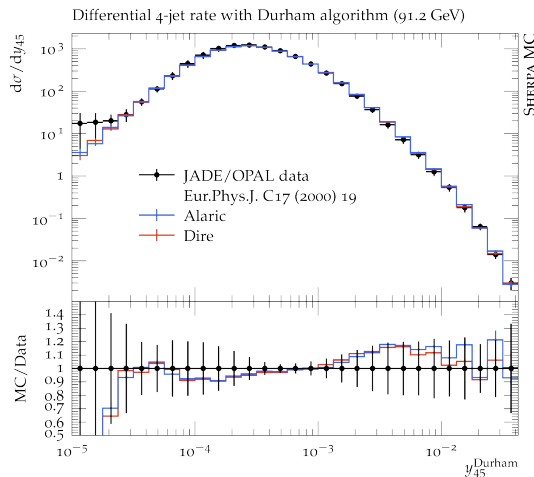
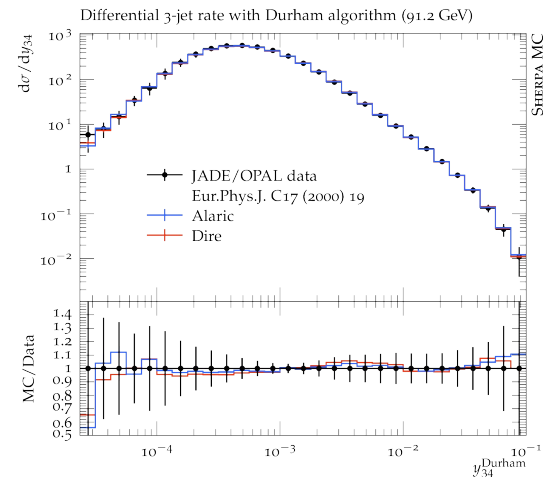
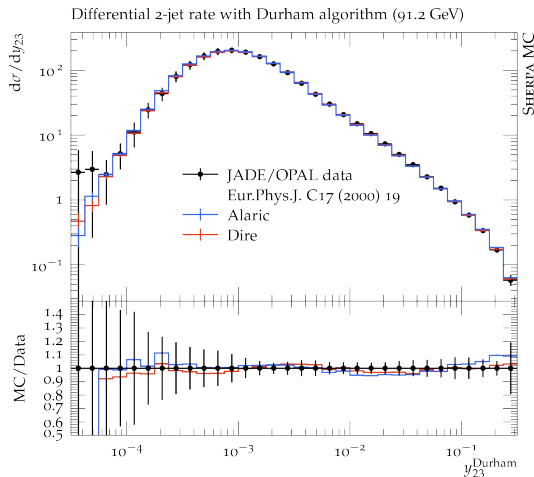
Details:

- CMW scheme
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Comments:

- Perturbative region to the right
- b-quark mass corresponds to

$$y \approx 2.8 \times 10^{-3}$$



MC@NLO Matching

$$\sigma^{(\text{NLO})} = \int d\Phi_n \left[B + V + \int d\Phi_{+1} S \right] + \int d\Phi_{n+1} [R - S]$$

If we compare NLO calculation and PS expanded to first order in the strong coupling:

- NLO calculation: contains virtual corrections, one hard, soft or collinear emission
- PS: contains one soft or collinear emission virtual corrections approximated (unitarity)

→ Double counting of soft and collinear radiation!

In the MC@NLO scheme, the subtraction terms are chosen to be the PS evolution kernels → Need to compute integrated terms with our momentum mapping, but the matching is fully differential!

[Fraxione, Webber] [hep-ph/0204244](https://arxiv.org/abs/hep-ph/0204244)

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Evaluating the soft counterterm tells us something about efficient scale choices. In our case we obtain:

$$I_{\text{soft}} \propto \left(\frac{\mu^2(p_k n)}{(p_i p_k)(p_i p_n)} \right)^\epsilon \propto \left(\frac{\mu^2}{E_i^2 (1 - \cos \theta_k^i)} \right)^\epsilon \propto \left(\frac{\mu^2}{t} \right)^\epsilon$$

→ The logarithms resummed by the RG-evolution are large when the soft parton is emitted from a Dipole that originates from a soft or collinear splitting of $k \rightarrow k+i$ and correspond to the respective k_\perp

→ We can minimize the number of explicit higher-order corrections by choosing t as the renormalization scale

Conclusion

2208.06057

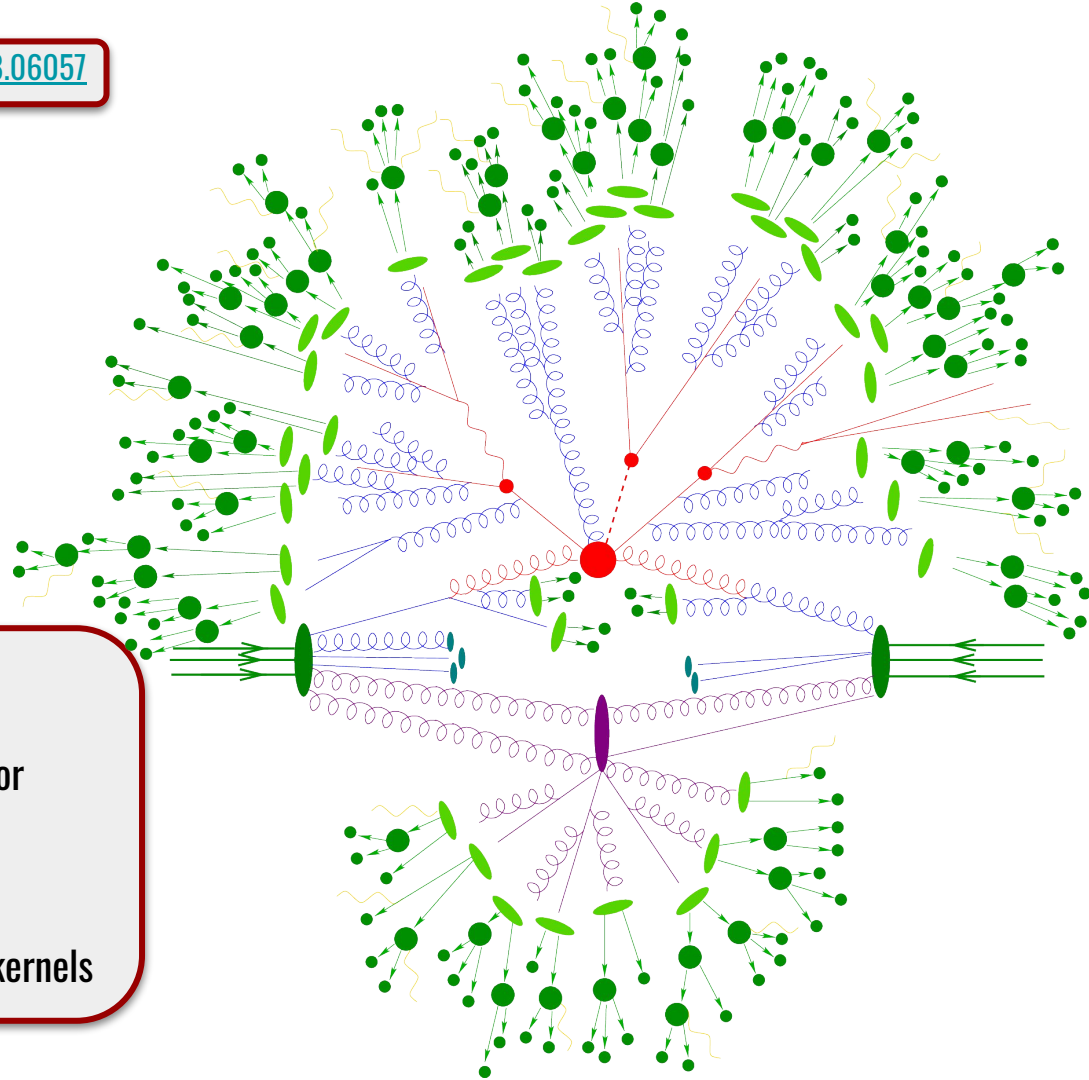
- New NLL accurate Parton Shower Algorithm: Alaric
- First dipole-like algorithm to disentangle colour and kinematics
- Strict positivity of evolution kernels
- Momentum mapping preserves directions of hard partons

Conceptually clear but need to be implemented:

- Initial state emitter and spectator
- Initial state emitter and final state spectator
- NLO matching

Next goals:

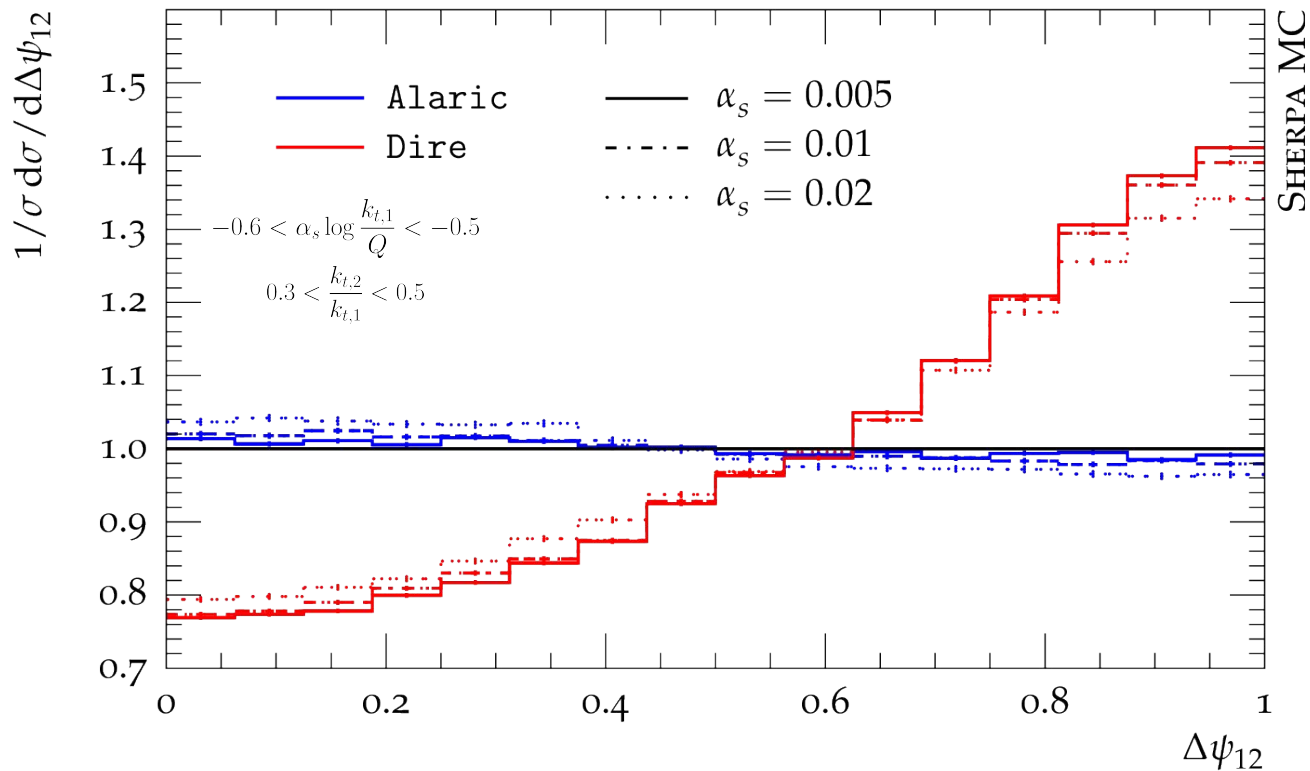
Massive quarks (see Benoit's talk), NLO splitting kernels



Backup

Numerical Tests

Azimuthal angle between two Lund plane declusterings
Tests soft and rapidity separated emissions



NLO Matching

Alaric shares many similarities with
Catani-Seymour identified particle subtraction
→ MC@NLO matching straightforward
Follow [\[Höche, Liebschner, Siebert\] 1807.04348](#)

Combined integrated subtraction term for identified parton production with a partonic fragmentation function:

$$\int_{m+1} d\sigma^S + \int_m d\sigma^C = \frac{1}{2} \sum_{i=g,q,\bar{q}} \sum_{\tilde{i}=1}^m \int_0^1 \frac{dz}{z^{2-2\epsilon}} \int_m d\sigma^B(p_1, \dots, \frac{p_i}{z}, \dots, p_m) \otimes \hat{\mathbf{I}}_{\tilde{i}}^{(\text{FS})}$$

Insertion operator:

$$\hat{\mathbf{I}}_{\tilde{i}}^{(\text{FS})} = \delta(1-z) \mathbf{I}_{\tilde{i}} + \mathbf{P}_{\tilde{i}} + \mathbf{H}_{\tilde{i}}$$

$$\mathbf{I}_{\tilde{i}}(p_1, \dots, p_i, \dots, p_m; \epsilon) = -\frac{\alpha_s}{2\pi} \frac{1}{\Gamma(1-\epsilon)} \sum_{k=1, k \neq \tilde{i}}^m \frac{\mathbf{T}_{\tilde{i}} \mathbf{T}_k}{\mathbf{T}_{\tilde{i}}^2} \left(\frac{4\pi\mu^2}{2p_i p_k} \right)^\epsilon \mathcal{V}_{\tilde{i}}(\epsilon)$$

$$\mathbf{P}_{\tilde{i}}(p_1, \dots, \frac{p_i}{z}, \dots, p_m; z; \mu_F) = \frac{\alpha_s}{2\pi} \sum_{k=1, k \neq \tilde{i}}^m \frac{\mathbf{T}_{\tilde{i}} \mathbf{T}_k}{\mathbf{T}_{\tilde{i}}^2} \ln \frac{z\mu_F^2}{2p_i p_k} \delta_{\tilde{i}} P_{\tilde{i}}(z)$$

$$\mathbf{H}_{\tilde{i}}(p_1, \dots, p_i, \dots, p_m; n; z) = -\frac{\alpha_s}{2\pi} \sum_{k=1, k \neq \tilde{i}}^m \frac{\mathbf{T}_{\tilde{i}} \mathbf{T}_k}{\mathbf{T}_{\tilde{i}}^2} [\tilde{K}^{\tilde{i}i}(z) + \bar{K}^{\tilde{i}i}(z) + 2P_{\tilde{i}}(z) \ln z + \mathcal{L}^{\tilde{i}i}(z; p_i, p_k, n)]$$

NLO Matching

Alaric shares many similarities with
Catani-Seymour identified particle subtraction
→ MC@NLO matching straightforward
Follow [\[Höche, Liebschner, Siegert\] 1807.04348](#)

Combined integrated subtraction term for identified parton production with a partonic fragmentation function:

$$\int_{m+1} d\sigma^S + \int_m d\sigma^C = \frac{1}{2} \sum_{i=g,q,\bar{q}} \sum_{\tilde{i}=1}^m \int_0^1 \frac{dz}{z^{2-2\epsilon}} \int_m d\sigma^B(p_1, \dots, \frac{p_i}{z}, \dots, p_m) \otimes \hat{\mathbf{I}}_{\tilde{i}}^{(\text{FS})}$$

Non-trivial integral:

$$\int_0^1 dz \mathbf{H}_{\tilde{i}}(p_1, \dots, p_i, \dots, p_m; n; z) = -\frac{\alpha_s}{2\pi} \sum_{k=1, k \neq \tilde{i}}^m \frac{\mathbf{T}_{\tilde{i}} \mathbf{T}_k}{\mathbf{T}_{\tilde{i}}^2} \left\{ \mathcal{K}^{\tilde{i}\tilde{i} + \delta_{\tilde{i}\tilde{i}}} \text{Li}_2 \left(1 - \frac{2\tilde{p}_i \tilde{p}_k \tilde{K}^2}{(\tilde{p}_i \tilde{K})(\tilde{p}_k \tilde{K})} \right) - \int_0^1 dz P_{\text{reg}}^{qq}(z) \ln \frac{n^2 \tilde{p}_i \tilde{p}_k}{2z(\tilde{p}_i n)^2} \right\}$$

Evolution

Rewrite partial radiators:

$$\bar{w}_{ik,j}^i = \frac{\bar{W}_{ik,j}^i}{E_j^2} = \frac{\bar{W}_{ik,j}}{p_i p_j}$$
$$\bar{W}_{ik,j}^i = \frac{z}{1-z} (1 - \cos \theta_j^i) \bar{W}_{ik,j}$$

Bounded from above by 2

$$\bar{w}_{ik,j}^i \leq 2w_{ik,j}^{(\text{coll})}$$

Evolution variable:

$$t = 2E_j^2 (1 - \cos \theta_j^i) = v(1-z)2\tilde{p}_i \tilde{K}$$

Corresponds to Lund plane $k_i^2 \rightarrow \beta_{\text{PS}} = 0$

Differential splitting probabilities:

$$dP_{ik,j}^{i(\text{soft})}(t, z, \phi) = dt dz \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi t} 2C_i \bar{W}_{ik,j}$$

$$dP_{ik,j}^{i(\text{coll})}(t, z, \phi) = dt dz \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi t} C_{ii}$$