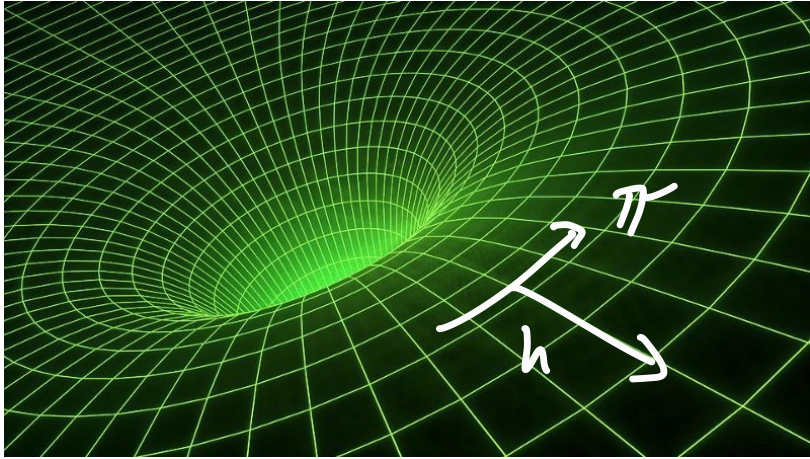


# On-Shell Covariance of QFT Amplitudes



Amplitudes 2023  
CERN 8 Aug

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CERN/EPFL/UOregon

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# The Standard Model as EFT

"Heavy physics decouples"  
(or does it??)

- Only SM dofs
- Symmetries:  $\text{Lorentz} \times SU(3) \times SU(2) \times U(1)$

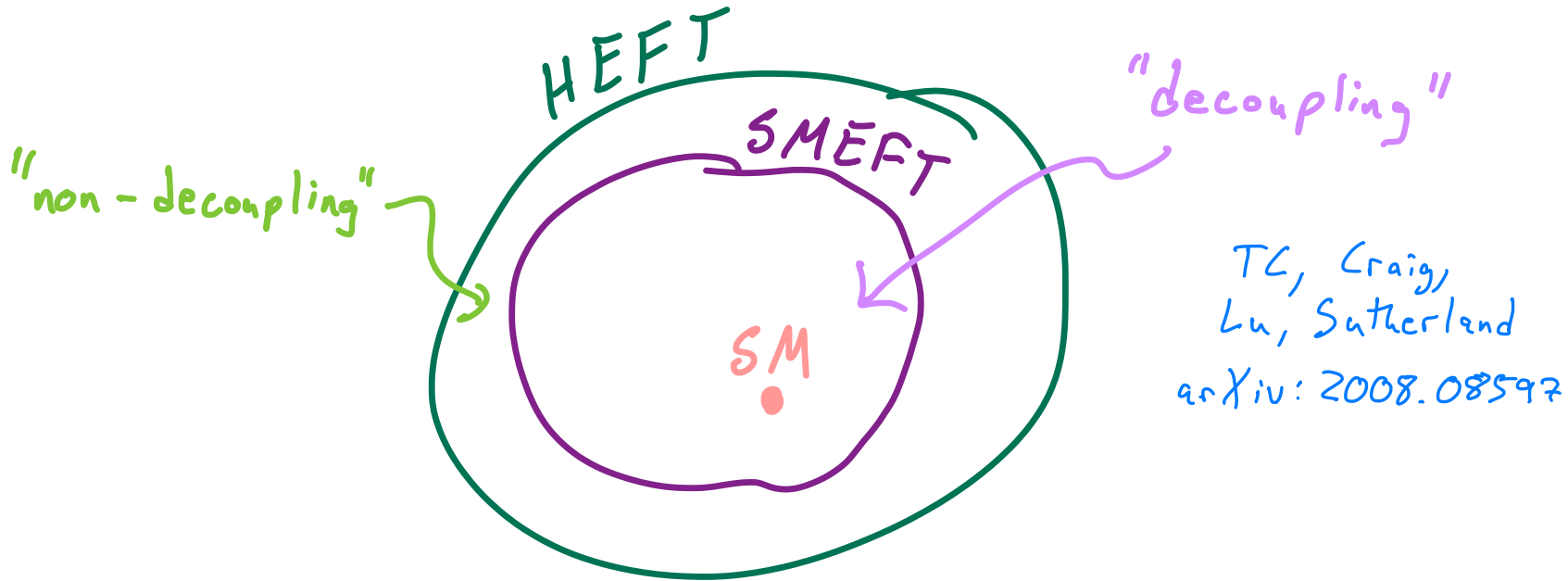
Realize electroweak symmetry

linearly or non-linearly

↑  
SMEFT

↑  
HEFT

# Is SMEFT Enough?



Field redefinitions obscure  
relationship between EFTs

The Geometric Higgs

# Scope (for now)

EFT Lagrangian includes up to  
two derivatives  $\Rightarrow$  defines metric + potential

Field redefinitions without derivatives

Interpret Higgs dofs as coordinates  
on a manifold: Cartesian  $\Rightarrow$  SMEFT  
Polar  $\Rightarrow$  HEFT

# Curvature Invariants

Analog w/ GR: define metric on moduli space

Note  $(d\vec{n})^2 = \left( \delta_{ij} + \frac{n_i n_j}{1 - n^2} \right) (dn_i) (dn_j)$

$$\Rightarrow \mathcal{L}_{\text{HEFT}} \supset \frac{1}{2} [\mathbb{K}(h)]^2 (dh)^2 + \frac{1}{2} [VF(h)]^2 (d\vec{n})^2$$

$\Rightarrow$  metric

$$g_{hh} = \mathbb{K}^2$$

$$g_{ij} = VF^2 \left( \delta_{ij} + \frac{n_i n_j}{1 - n^2} \right)$$

Alonso,  
Jenkins,  
Manoher  
arXiv:1605.03602

# Curvature Invariants

metric  $\Rightarrow$  Christoffel symbols:  $\Gamma_{ab}^c$

$$\Gamma_{hh}^h = \frac{\mathbb{K}'}{\mathbb{K}}, \quad \Gamma_{ij}^h = -\frac{F'}{F\mathbb{K}^2} g_{ij}, \quad \Gamma_{jh}^i = \Gamma_{hj}^i = \frac{F'}{F} \delta_j^i$$

$$\Gamma_{jk}^i = \frac{\pi_i}{(vF)^2} g_{jk} \quad (i = \pi_i, j = \pi_j)$$

$\Rightarrow$  Ricci scalar:

$$R = -\frac{2N_\varphi}{\mathbb{K}^2 F} \left[ (\partial_h^2 F) - (\partial_h \mathbb{K}) \left( \frac{1}{\mathbb{K}} \partial_h F \right) \right]$$

$$+ \frac{N_\varphi(N_\varphi - 1)}{v^2 F^2} \left[ 1 - \left( \frac{v}{\mathbb{K}} \partial_h F \right)^2 \right]$$

# Sectional Curvatures

The components of  $R$  are

$$R_{ihhj} = -g_{hh} g_{ij} \lambda_h \quad (i = \pi_i)$$

$$R_{iklj} = (g_{il} g_{kj} - g_{ij} g_{kl}) \lambda_\pi$$

$$\Rightarrow R = G (\lambda_h + \lambda_\pi)$$

Sectional  
curvature



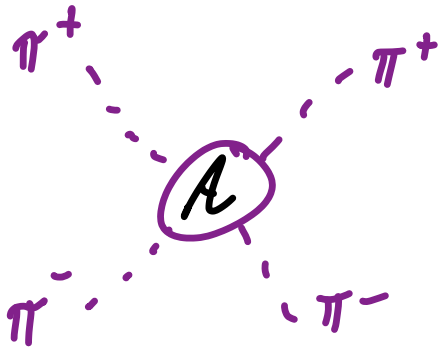
# Geometric Amplitudes

TL, Craig, Lu, Sutherland  
arXiv: 2108.03240

Ex:  $W_L^+ W_L^- \rightarrow W_L^+ W_L^-$

$$\mathcal{L}_{\text{HEFT}} \supset \frac{1}{2v^2} [\partial(\pi^+ \pi^-)]^2 + 2 \overline{F'} h \partial\pi^+ \partial\pi^-$$

'bar' denotes eval at our vac:  $h=0, \pi=0$



$$= -\frac{1}{v^2} (s+t) + \overline{F'}^2 \left[ \frac{s^2}{s-m_h^2} + \frac{t^2}{t-m_h^2} \right]$$

$$= \left( \overline{F'}^2 - \frac{1}{v^2} \right) (s+t) + \overline{F'}^2 \left[ 2m_h^2 + \frac{m_h^4}{s-m_h^2} + \frac{m_h^4}{t-m_h^2} \right]$$

Geometric Amplitudes:  $W_L^+ W_L^- \rightarrow W_L^+ W_L^-$

$$\bar{R}_{+-- +} = -\bar{g}_{+,+} + \bar{\Gamma}_{+-}^h \bar{\Gamma}_{-+}^h = \frac{1}{v^2} - \bar{F}'^2$$

Using  $\bar{V};(h+-) = -\bar{V}_{,hh} \bar{\Gamma}_{+-}^h = -m_h^2 \bar{F}'^2$

$$\bar{V};(++--) = 2\bar{V}_{,hh} \bar{\Gamma}_{+-}^h \bar{\Gamma}_{+-}^h = 2m_h^2 \bar{F}'^2$$

we can express our amplitude geometrically:

$$\mathcal{A} = \left(\bar{F}'^2 - \frac{1}{v^2}\right)(s+t) + \bar{F}'^2 \left[ 2m_h^2 + \frac{m_h^4}{s-m_h^2} + \frac{m_h^4}{t-m_h^2} \right]$$

$$= -\bar{R}_{+-- +}(s+t) + \bar{V};(++--)$$

$$+ \bar{V};(h+-) \bar{g}^{hh} \bar{V};(h+-) \left[ \frac{1}{s-m_h^2} + \frac{1}{t-m_h^2} \right]$$

# Geometric Feynman Rules

Expand the Lagrangian:

$$\mathcal{L} = \frac{1}{2} g_{\alpha\beta}(\varphi) \partial\varphi^\alpha \partial\varphi^\beta - V(\varphi)$$

$$= \sum_n \frac{1}{n!} \varphi^{\gamma_1} \dots \varphi^{\gamma_n} \left( \bar{g}_{\alpha\beta, \gamma_1 \dots \gamma_n} \frac{1}{2} \partial\varphi^\alpha \partial\varphi^\beta - \bar{V}_{, \gamma_1 \dots \gamma_n} \right)$$

propagator:  $\alpha \text{ --- } \beta = \frac{i \bar{g}^{\alpha\beta}}{p^2 - m_\alpha^2} \text{ w/ } m_\alpha^2 \delta_\alpha^\gamma = V_{, \alpha\beta} \bar{g}^{\alpha\gamma}$

vertices:  $\begin{matrix} \cdot & & \cdot \\ & \swarrow & \\ \cdot & \leftarrow & \cdot \\ & \searrow & \\ \cdot & & \cdot \end{matrix}$   $\begin{matrix} 2, \alpha_2 \\ 1, \alpha_1 \\ n, \alpha_n \end{matrix} = -i \bar{V}_{, \alpha_1 \dots \alpha_n}$  omit  
 $\downarrow \quad \downarrow$   
 $-i \sum_{1 \leq i < j \leq n} p_i \cdot p_j \bar{g}_{\alpha_i \alpha_j, \alpha_1 \dots \hat{\alpha}_i \dots \hat{\alpha}_j \dots \alpha_n}$

# Geometric Feynman Rules in Normal Coordinates

propagator:  $\alpha \text{ --- } \beta = \frac{i \bar{g}^{\alpha\beta}}{p^2 - m_\alpha^2}$

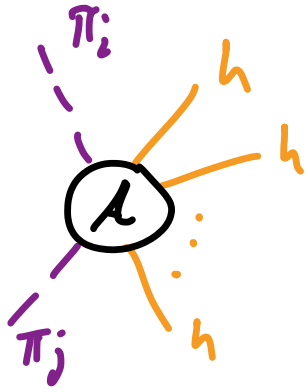
vertices:  $\begin{matrix} \cdot & \cdot & \cdot & \cdot \\ & \cdot & \cdot & \cdot \\ & \cdot & \cdot & \cdot \\ & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{matrix} \begin{matrix} 2, \alpha_2 \\ 1, \alpha_1 \\ n, \alpha_n \end{matrix} = -i \bar{V}_{i, \alpha_1, \dots, \alpha_n}$

becomes covariant

$$-i \sum_{1 \leq i < j \leq n} S_{ij} \left( \frac{n-3}{n-1} \right) \left[ \bar{R}_{\alpha_i}(\alpha_1, \alpha_2 | \alpha_j; | \alpha_3 \dots \hat{\alpha}_i \dots \hat{\alpha}_j \dots \alpha_n) + \mathcal{O}(R^2) \right]$$

$$+ i \sum_{1 \leq i \leq n} (n-1) m_i^2 \bar{g}_{\alpha_i}(\alpha_1 \dots \hat{\alpha}_i \dots \alpha_n) + i \sum_{1 \leq i \leq n} (n-1) (p_i^2 - m_i^2) \bar{g}_{\dots}$$

# Geometric $\mathcal{A}(\pi_i, \pi_j \rightarrow h^{n-2})$



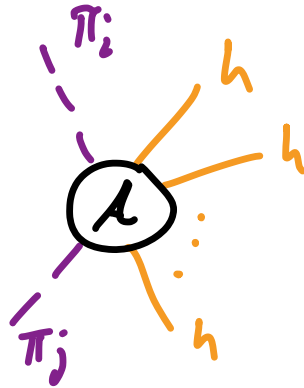
$$= \frac{1}{3} \delta_{ij} \partial_h^{n-2} \overline{(\nabla^2 V - \partial_h^2 V)}$$

Dominates as  
 $E \rightarrow \infty$

$$- \delta_{ij} \overline{\partial_h^{n-4}} \partial_h \left( S_{12} - \frac{2m_h^2}{n-1} \right)$$

+  $\mathcal{O}(\bar{R}^2)$  + factorizable pieces

# Perburbative Unitarity Violation

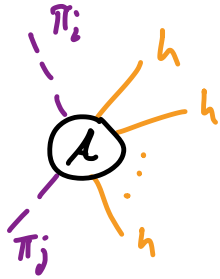


The diagram shows a central vertex labeled  $\lambda$  inside a circle. Two dashed purple lines represent incoming pions, labeled  $\pi_i$  and  $\pi_j$ . Four solid orange lines represent outgoing higgs bosons, labeled  $h$ . An arrow labeled  $E \rightarrow \infty$  points from the vertex to the right, leading to the expression  $-E^2 \delta_{ij} \overline{\partial_h^{n-4}} \partial_h$ .

$$\lambda \xrightarrow{E \rightarrow \infty} -E^2 \delta_{ij} \overline{\partial_h^{n-4}} \partial_h$$

Cauchy-Hadamard Thm relates radius of convergence  $R_*$  to size of successive derivatives. Radius of convergence set by closest pole in complex plane.

# Perturbative Unitarity Violation



$$S^\dagger S = 1 \Rightarrow E \lesssim 4\pi \left| \frac{\overline{\lambda_h^{n-2}}}{n!} \right|^{-1/n} (n!)^{1/n}$$

$n \gtrsim \text{few} \rightarrow E \lesssim 4\pi V_* (n!)^{1/n}$

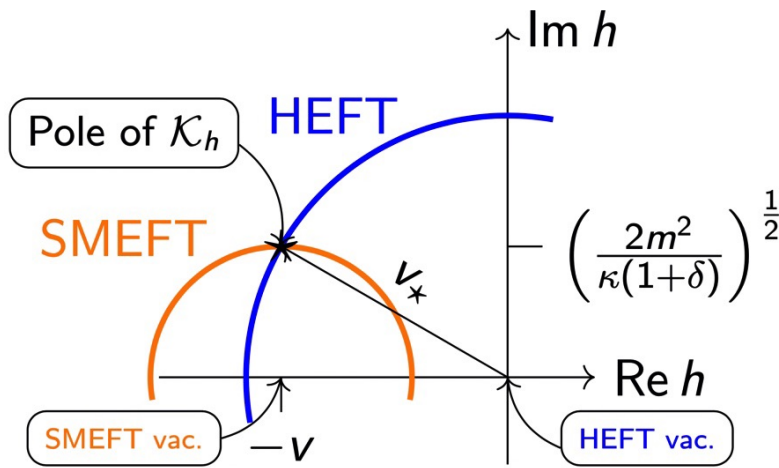
$2 \rightarrow 2 \sim |\overline{\lambda_h}|^{-1/2}$  measures curvature at our vacuum

$2 \rightarrow n \sim V_*$  measures radius of convergence

Many perturbative examples w/  $V_*^2 |\overline{\lambda_h}| \ll 1$

Example:  $Z_{uv} \supset \frac{1}{2} S' (-\partial^2 - m^2 - \lambda |H|^2) S'$

Integrate out  $S'$ :  $\mathcal{K}_h = \delta \frac{\lambda}{2} \frac{m^2}{(n^2 + \frac{1}{2} \lambda (1+\delta)(v+h)^2)^2}$



$\delta = \frac{\lambda}{96\pi^2}$

$m^2 \rightarrow 0$ : most of  $S$  mass from  $v \Rightarrow$  HEFT

$v_* \rightarrow v \Rightarrow E \lesssim 4\pi v$

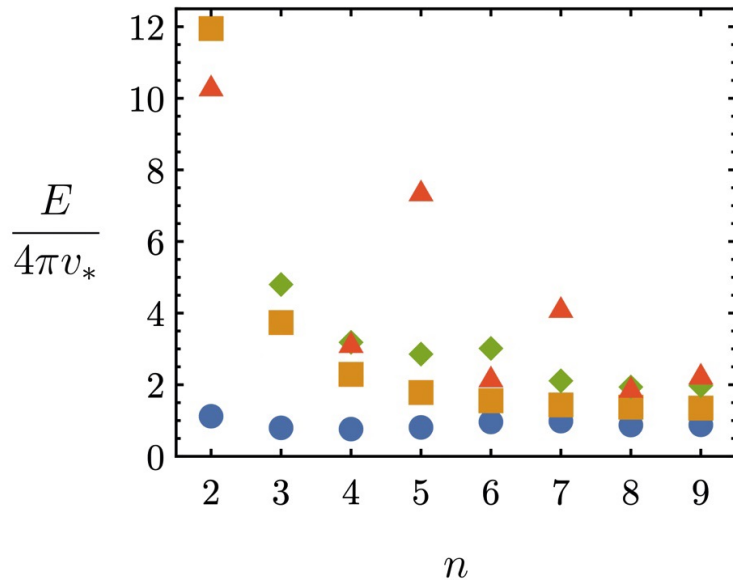
non-decoupling



Example:  $Z_{uv} \supset \frac{1}{2} S(-\partial^2 - m^2 - \alpha |H|^2) S'$

## Benchmarks

	$m^2/v^2$	$\kappa/2$	$v_*/v$	$v_*^2 \bar{\mathcal{K}}_h$	$v_*^2 \bar{\mathcal{K}}_\pi$
A	$(4\pi)^2$	$(4\pi)^2$	2	0.2	0.4
B	1	$(4\pi)^2$	1	$1 \times 10^{-3}$	0.3
C	1	1	1	$1 \times 10^{-3}$	$2 \times 10^{-3}$
D	$10^6$	1	1000	$2 \times 10^{-3}$	$2 \times 10^{-3}$



## Features

- B, C, D: lower cutoff than  $2 \rightarrow 2$
- A, B, C are non-decoupling ( $v \sim v_*$ ); D is SMEFT<sub>y</sub>

# Summary

Scalar EFT (up to  $Z$  derivatives)  
Can be "geometrized"

- Operator coeffs are  $\partial_\mu^n$  of  $g_{\mu\nu}(\phi)$  and  $\mathcal{V}(\phi)$
- Amplitudes built using  $\partial_\mu^n$  of  $R_{\mu\nu\rho\sigma}(\phi)$  and  $\mathcal{V}(\phi)$   
 $\Rightarrow$  Amplitudes are covariant!

# Summary

Scalar EFT (up to 2 derivatives)  
can be "geometrized"

- Applied to HEFT to show  $Z \rightarrow n$  scattering probes distance to pole in curvature in variant  $U^*$
- Scale of perturbative unitarity violation distinguishes HEFT from SMEFT.

What about field  
redefinitions with  
derivatives?!?

TC, Craig, Lu, Sutherland  
arXiv: 2202.06965 + 23xx.xxxxx

(see also Cheung, Helset, Parra-Martinez arXiv: 2202.06972)

# They Are Unavoidable

Zuv

Redef without  $\partial$

$\tilde{Z}$ uv

Match

Match

ZEFT

Redef with  $\partial$

$\tilde{Z}$ EFT

# Mess Up Geometric Interpretation

$$\mathcal{L} = -V(\phi) + \frac{1}{2} g_{\alpha\beta}(\phi) \partial\phi^\alpha \partial\phi^\beta + \mathcal{O}(\partial^4)$$

$$\text{Let } \phi^\alpha \rightarrow \tilde{\phi}^\alpha + \frac{1}{2} h_{\gamma\delta}^\alpha(\tilde{\phi}) \partial\tilde{\phi}^\gamma \partial\tilde{\phi}^\delta$$

$$\begin{aligned} \Rightarrow \mathcal{L} \rightarrow & -V(\tilde{\phi}) \quad \tilde{g}(\tilde{\phi}) \\ & + \frac{1}{2} \left( g_{\alpha\beta}(\tilde{\phi}) - V_{,\gamma}(\tilde{\phi}) h_{\alpha\beta}^\gamma(\tilde{\phi}) \right) \partial\tilde{\phi}^\alpha \partial\tilde{\phi}^\beta \\ & + \mathcal{O}(\partial^4) \end{aligned}$$

$g(\phi) \neq \tilde{g}(\tilde{\phi}) \Rightarrow$  Curvature invariants change

Want to Generalize Geometry

State of the art

$A \sim \text{geometry} \times \text{kinematics}$

Our approach

Formalism that  
puts kinematics  $\Rightarrow$  "functional  
and geometry geometry"  
on equal footing

# Generating Functionals

Compute amplitudes using  
generating functional  $W[J]$

$J(x)$  is source for field  $\eta(x)$

We also need

1PI effective action

$$-\Gamma = J_x \phi^x - W$$

$$(J_x \phi^x = \int d^4x J(x) \phi(x))$$



# Covariant Amplitudes

Take amplitude  $\mathcal{A}$  and strip LSZ residues

$$\overline{\mathcal{M}}(p_1 \dots p_n) \equiv -Z^{-n/2} \mathcal{A}(p_1 \dots p_n)$$

Go to position space

$$(2\pi)^4 \delta^4(p_1 \dots p_n) \overline{\mathcal{M}} = \int \left( \prod_i d^4 x_i e^{i p_i \cdot x_i} \right) \mathcal{M}_{x_1 \dots x_n} \Big|_{J=0}$$

$$\text{w/ } \underbrace{\mathcal{M}_{x_1 \dots x_n}}_{\text{"covariant amplitude"}} = - \left( -i D_{x_1 y_1}^{-1} \right) \dots \left( -i D_{x_n y_n}^{-1} \right) \frac{\delta^n W}{\delta J_1 \dots \delta J_n}$$

inverse propagator

# On-shell Conditions

To go from  $\mathcal{M} \rightarrow \mathcal{A}$ , must impose

$J=0$  and  $p_i^2 = m_i^2$  for external lines

This ensures that only physical pieces of  $\mathcal{M}$  contribute

Equations of motion:  $\frac{\delta(-\Gamma)}{\delta\varphi^x} = J_x = 0$

On-shell legs:  $\frac{\delta^2(-\Gamma)}{\delta\varphi^x \delta\varphi^y} \Big|_{J=0} = -iD_{xy}^{-1} \Big|_{J=0} = 0$

# Off-Shell Recursion

Will argue that  $\mathcal{M}$  satisfy

$$\mathcal{M}_{x_1 \dots x_n x} = \frac{\delta}{\delta \varphi_x} \mathcal{M}_{x_1 \dots x_n} - \sum_{i=1}^n G_{xx_i}^y \mathcal{M}_{x_1 \dots \overbrace{\hat{x}_i}^{\text{replace}} \dots x_n}$$

$$\equiv \nabla_x \mathcal{M}_{x_1 \dots x_n}$$

$J \neq 0 \Rightarrow$  encodes all-pts

"increase rank of tensor by acting w/ covariant derivative"

Functional  
Christoffel  
Symbol

$$\downarrow$$
$$G_{x_1 x_2}^y = i D^{y z} \mathcal{M}_{z x_1 x_2} = i D^{y z} \frac{\delta^3(-\Gamma)}{\delta \varphi^z \delta \varphi^{x_1} \delta \varphi^{x_2}}$$

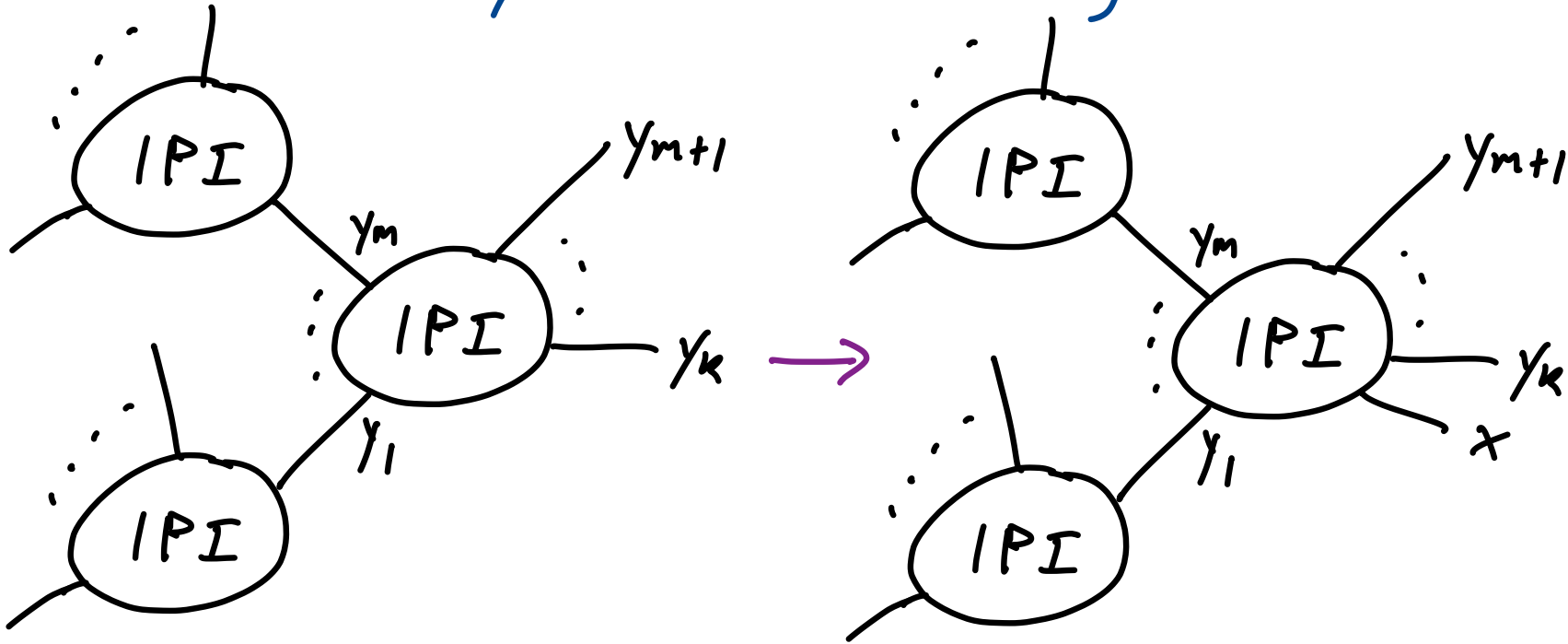
# Off-Shell Recursion

Construct amplitude out of

k-point 1PI vertices:  $-i \frac{\delta^k(-\Gamma)}{\delta\phi^{Y_1} \dots \delta\phi^{Y_k}}$

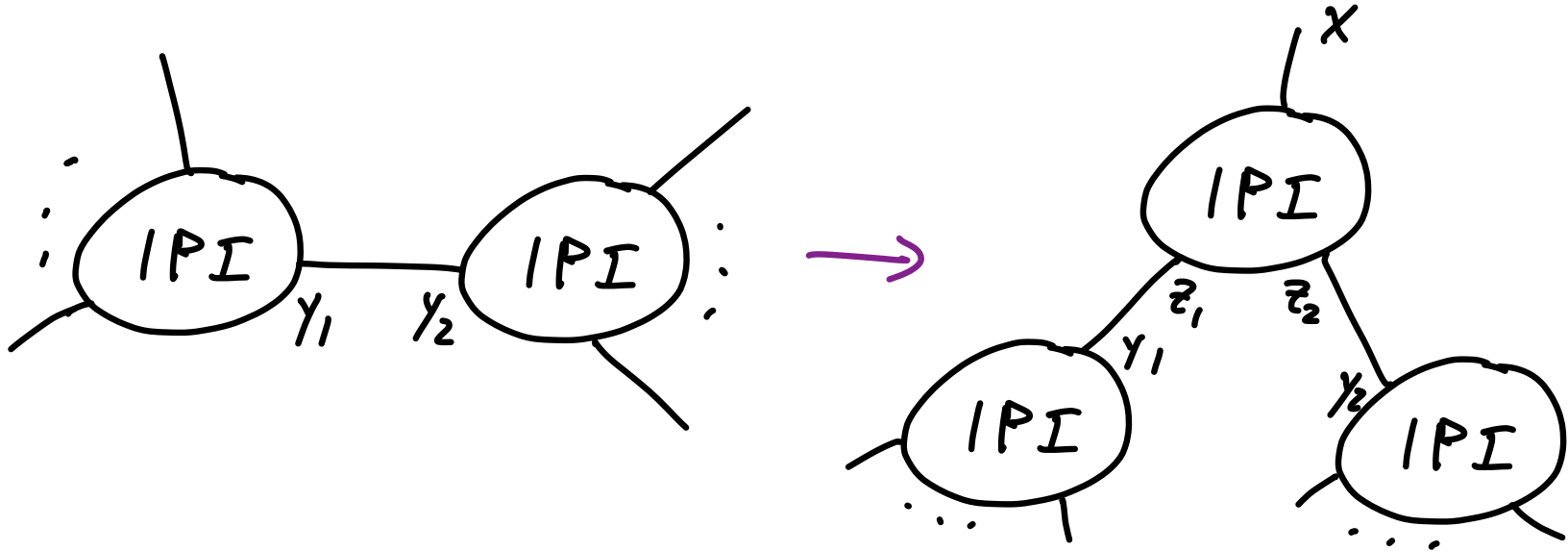
(full) propagators:  $D^{Y_1 Y_2}$

# 3 Ways to Add a Leg: 1



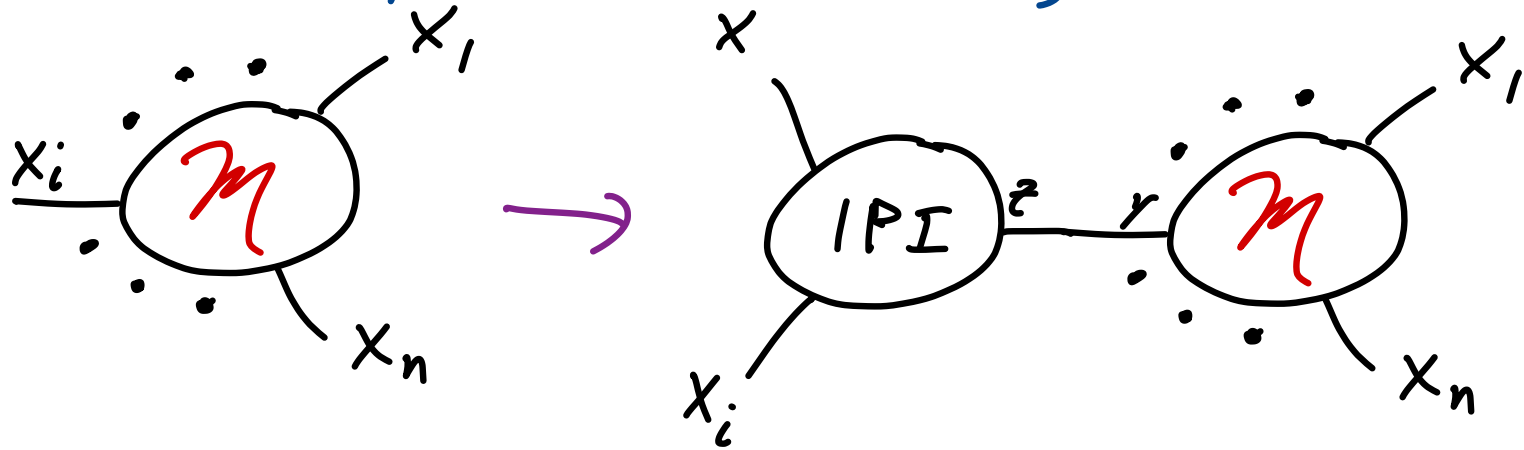
$$-i \frac{\delta^k(-\Gamma)}{\delta\varphi^{y_1} \dots \delta\varphi^{y_k}} \rightarrow -i \frac{\delta^{k+1}(-\Gamma)}{\delta\varphi^{y_1} \dots \delta\varphi^{y_k} \delta\varphi^x} = \frac{\delta}{\delta\varphi^x} \left[ -i \frac{\delta^k(-\Gamma)}{\delta\varphi^{y_1} \dots \delta\varphi^{y_k}} \right]$$

# 3 Ways to Add a Leg: 2



$$D^{y_1 y_2} \rightarrow D^{y_1 z_1} \left[ -i \frac{\delta^3(-\Gamma)}{\delta\phi^{z_1} \delta\phi^x \delta\phi^{z_2}} \right] = \frac{\delta}{\delta\phi^x} D^{y_1 y_2}$$

# 3 Ways to Add a Leg: 3



$$\begin{aligned}
 \mathcal{M}_{x_1 \dots x_i \dots x_n} &\rightarrow -i \frac{\delta^3(-\Gamma)}{\delta \varphi^x \delta \varphi^{x_i} \delta \varphi^z} D^{zy} \mathcal{M}_{x_1 \dots \hat{x}_i y \dots x_n} \quad \text{replace} \\
 &= -G_{xx_i}^y \mathcal{M}_{x_1 \dots \hat{x}_i y \dots x_n}
 \end{aligned}$$

# Berends - Giele Recursion

Enforce  $J=0$

Nucl. Phys. B 306 (1988)

Define  $G_{x_1 \dots x_n}^y = i D^{xy} \mathcal{M}_{xx_1 \dots x_n}$

which satisfy  $G_{x_1 \dots x_n x}^y = \nabla_x G_{x_1 \dots x_n}^y$

Berends - Giele recursion follows from

$$\varphi^y = \hat{J}^y - \sum_{n=2}^{\infty} \frac{1}{n!} (G_{x_1 \dots x_n}^y |_{J=0}) \hat{J}^{x_1} \dots \hat{J}^{x_n}$$

$$w/ \quad \hat{J}^x = (i D^{xy} |_{J=0}) J_y$$



# On-Shell Covariance

At tree-level,  $\Gamma[\phi]$  transforms as a scalar under field redefinitions  $\phi(x) \rightarrow \tilde{\phi}(x)$ .

$$\tilde{\Gamma}[\tilde{\phi}] = \tilde{S}[\tilde{\phi}] = S[\phi[\tilde{\phi}]] = \Gamma[\phi[\tilde{\phi}]]$$

$$\Rightarrow \tilde{\mathcal{M}}_{x_1 \dots x_n} = \left( \frac{\delta \phi^{\gamma_1}}{\delta \tilde{\phi}^{x_1}} \dots \frac{\delta \phi^{\gamma_n}}{\delta \tilde{\phi}^{x_n}} \right) \mathcal{M}_{\gamma_1 \dots \gamma_n} + \mathcal{U}_{x_1 \dots x_n}$$

where  $\mathcal{U}_{x_1 \dots x_n} = a_{x_1 \dots x_n \gamma_1} \frac{\delta(-\Gamma)}{\delta \phi^{\gamma_1}} + \sum_{i=1}^n b_{x_1 \dots \hat{x}_i \dots x_n \gamma_1 \gamma_2} \frac{\delta \phi^{\gamma_2}}{\delta \tilde{\phi}^{x_i}} \frac{\delta^2(-\Gamma)}{\delta \phi^{\gamma_1} \delta \phi^{\gamma_2}}$

*vanish on-shell*  $\downarrow$

# On-Shell Covariance

Functional metric:  $-iD_{xy}^{-1} = \frac{\delta^2(-\Gamma)}{\delta\phi^x \delta\phi^y}$

Functional inverse metric:  $iD^{xy}$

Functional Christoffel symbol:

$$G_{x_1 x_2}^y = iD^{yz} \frac{\delta^3(-\Gamma)}{\delta\phi^z \delta\phi^{x_1} \delta\phi^{x_2}}$$

# Connection to Field Space Geometry

$$\text{Let } \mathcal{L} = -V + \frac{1}{2} g_{ab} \partial \phi^a \partial \phi^b$$

$$\text{Then } \lim_{g^2 \rightarrow \infty} \int d^4 x_1 d^4 x_2 d^4 y e^{i p_1 x_1 + i p_2 x_2 - i g \gamma} \\ \times \left[ G_{ab}^c(x_1, x_2, y) \Big|_{\partial_\mu \phi_i = 0} \right]$$

$$= (2\pi)^4 \delta^4(p_1 + p_2 - q) \frac{1}{2} g^{cd} (g_{da,b} + g_{db,a} - g_{ab,d})$$

$\Rightarrow G_{ab}^c$  reduces to Christoffel symbol  
(Similar story for functional metric.)

But

all curvature invariants  
evaluate to zero...

Does functional  
manifold exist???

# Stay Tuned

Formal proof of on-shell covariance

Extension to one-loop

Reproduce geometric soft theorems

Cheung, Heiset, Parra-Martinez  
arXiv:2111.03045



Geometric  
interpretation?

# Summary

We have presented an interpretation of field redefinition invariance as an "on-shell covariance."

We demonstrated how one can recursively construct amplitudes by acting with a covariant derivative.

LSZ stripped amplitudes transform covariantly up to terms that vanish on-shell.

# Outlook

What is "Functional Geometry?"

Connection to jet bundles?

Craig, Lee arXiv: 2307.15742

Alminawi, Brivio, Davighi arXiv: 2308.00017

Insight into finding optimal basis choice?

Characterize allowed space of  
field redefinitions?

Backup Slides



# SMEFT ( $v=0$ )

Let  $\vec{\phi}$  be an  $O(4)$  vector

$$\vec{\phi} \rightarrow O \vec{\phi}$$

$SU(2) \times U(1)$  +  
custodial  
symmetry

I identify  $H = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_1 + i\phi_2 \\ \phi_4 + i\phi_3 \end{pmatrix}$

s.t.  $\langle H \rangle \neq 0 \Leftrightarrow \langle \phi_4 \rangle \neq 0$

# HEFT ( $v \neq 0$ )

Non-linearly realized Sym breaking

$$O(4)/O(3) \quad \text{Calan, Coleman, Wess, Zumino (1969)}$$

$h$  (physical Higgs)

$$\vec{\varphi} = (v_0 + h) \vec{n}$$

$\vec{n}$  (Goldstone bosons)

$$\vec{n} \in S^3 \quad \vec{n} \cdot \vec{n} = 1$$

$$\vec{n} = \begin{pmatrix} n_1 = \pi_1/v \\ n_2 = \pi_2/v \\ n_3 = \pi_3/v \\ n_4 = \sqrt{1 - \pi_i^2} \end{pmatrix}$$

# HEFT ( $v \neq 0$ )

$O(4)$  transformation:  $h \rightarrow h$ ,  $\vec{n} \rightarrow O\vec{n}$

$\Rightarrow \vec{n}$  in non-linear rep

$$\mathcal{I}_{\text{HEFT}} = \frac{1}{2} [\mathbb{K}(h)]^2 (\partial h)^2 + \frac{1}{2} [v F(h)]^2 (\partial \vec{n})^2 - V(h) + \mathcal{O}(\partial^4) \quad \langle h \rangle = 0$$

( $\mathbb{K}(0) = 1$  is canonical norm)

HEFT  $\rightarrow$  SMEFT?

Map:  $|H|^2 = \frac{1}{2} \vec{\phi} \cdot \vec{\phi} = \frac{1}{2} (v+h)^2$

$$|\partial H|^2 = \frac{1}{2} (\partial \vec{\phi})^2 = \frac{1}{2} (\partial h)^2 + \frac{1}{2} (v+h)^2 (\partial \vec{n})^2$$

$$(\partial |H|^2)^2 = (\vec{\phi} \cdot \partial \vec{\phi})^2 = (v+h)^2 (\partial h)^2$$

Naively:

$$\mathcal{L}_{\text{HEFT}} = \frac{v^2 F}{2 |H|^2} |\partial H|^2 + \frac{1}{2} (\partial |H|^2)^2 \frac{1}{2 |H|^2} \left( \mathbb{K}^2 - \frac{v^2 F^2}{2 |H|^2} \right) + \tilde{V}(|H|^2) + \mathcal{O}(\partial^4) \quad \text{Analytic @ } |H|=0?$$

# Field Redefinitions of $h$

Let

$$\begin{aligned}\mathcal{L} &= \frac{1}{2} \left(1 + \frac{h}{2v}\right)^2 (\partial h)^2 + \frac{1}{2} (v+h)^2 \left(\frac{3}{4} + \frac{h}{4v}\right)^2 (\partial \vec{n})^2 - V \\ &= \frac{1}{4} \left(1 + \frac{\sqrt{2|H|^2}}{v} + \frac{|H|^2}{2v^2}\right) (\partial H)^2 \\ &\quad + \frac{1}{4v^2} \left(\frac{v}{\sqrt{2|H|^2}} + \frac{3}{4}\right) \frac{1}{2} (\partial |H|^2)^2 - \tilde{V}\end{aligned}$$

w/  $V = V(h)$   
 $V'(0) = 0$   
 $V$  analytic

Looks like no SMEFT expansion...

# Field Redefinitions of $h$

But let  $h_1 = h + \frac{1}{4\nu} h^2$  (no shift in min of  $V$ )

$$\Rightarrow \partial_\mu h_1 = \left(1 + \frac{h}{2\nu}\right) \partial_\mu h$$

and  $(\nu_1 + h_1)^2 = (\nu + h)^2 \left(\frac{3}{4} + \frac{h}{4\nu}\right)$   $\nu_1 = \frac{3}{4}\nu$

$$\begin{aligned} \Rightarrow \mathcal{I} &= \frac{1}{2} (\partial_\mu h_1)^2 + \frac{1}{2} (\nu_1 + h_1)^2 (\partial \vec{n})^2 + V \\ &= |\partial H_1|^2 + \tilde{V} \Rightarrow \text{SMEFT!} \end{aligned}$$

## Field Redefinitions of $h$

We learned that analytic field redefs of  $h$  can obscure analyticity in terms of  $H$ .

Field redefs within HEFT can obscure SMEFT

Can we make field redef invariance of Observables manifest?

- EFT is useful for parametrizing BSM
- SMEFT: linear realized EW sym  
decoupling manifest
- HEFT: non-linear realized EW sym  
useful when new physics scale  
is near  $v$
- HEFT required
  - BSM state gets all mass from  $H$
  - BSM source of sym breaking
- HEFT violates unitarity @  $s \sim (4\pi v)^2$
- Viable Lanyon parameter space exists!



# HEFT ( $v \neq 0$ )

Does HEFT know that  $\langle H \rangle = v$ ?

AJM  $\Rightarrow$  There might be special place on manifold  $h_* = -v$  where  $O(4)$  symmetry is manifest

Determined by  $F(h_*) = 0$

If  $h = h_*$  exists  $\Rightarrow$

HEFT  $\rightarrow$  SMEFT possible

# Curvature Criterion

See paper  
for proof

A HEFT can be expressed as SMEFT iff

- 1)  $F(h=h_*)=0$ : candidate  $O(4)$  invariant point
- 2) The metric is analytic @  $h_*$ 
  - $F + \bar{U}$  have convergent Taylor exp @  $h_*$
  - Curvature invariants  $(D^{2n})R$  are finite @  $h_*$
- 3) The potential is analytic @  $h_*$ 
  - $V$  has convergent Taylor exp @  $h_*$
  - $(D^{2n})V$  are finite @  $h_*$

HEFT is a Black Hole

Conjecture: Checking finiteness of  $R$  &  $V$   
is sufficient.

Two classes of models need HEFT:

Conical singularity: BSM state gets  
all of its mass from  $H$

Horizon: BSM sources of symmetry  
breaking

# Conical Singularity

Ex: Singlet w/  $S^1/H^2 + S^3 \Rightarrow$  tree level

$$\Rightarrow R(h = -v) = \frac{a^2}{m^4} N_\varphi (N_\varphi + 1)$$

finite w/  $m^2 \neq 0$  but diverges as  $m^2 \rightarrow 0$

Ex: Singlet w/  $S^2/H^2 \Rightarrow$  loop level

$$\Rightarrow R(h = -v) = \frac{1}{192\pi^2} \frac{\lambda}{3m^2} N_\varphi (N_\varphi + 1)$$

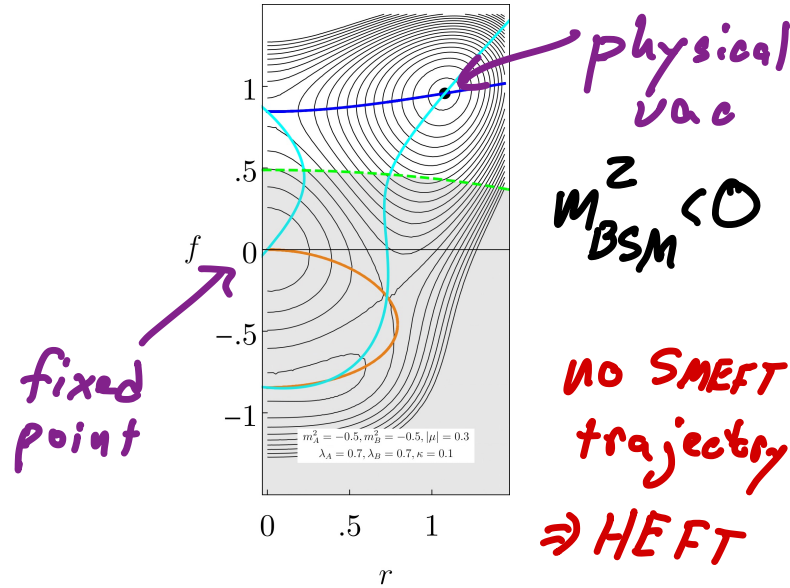
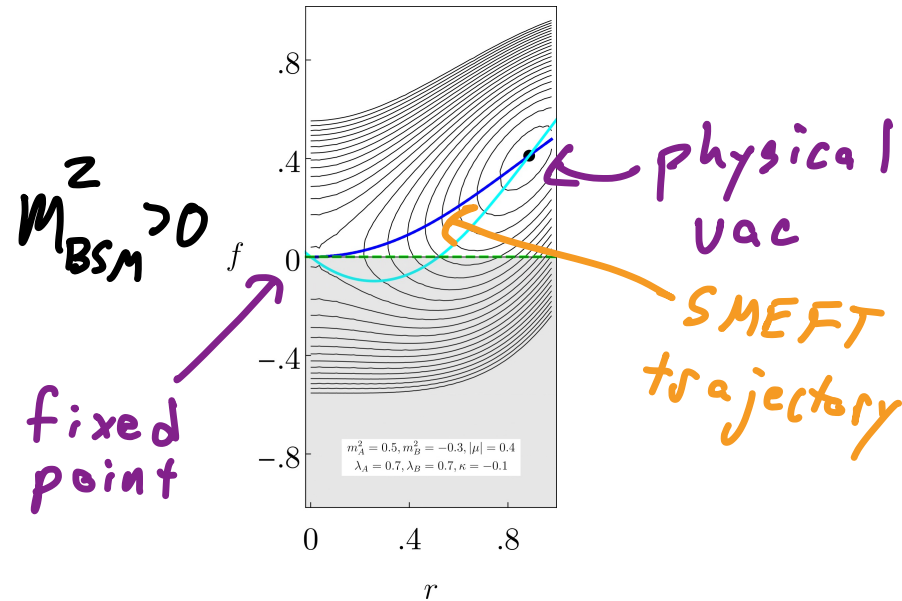
but  $R|_{m^2=0} = \frac{N_\varphi(N_\varphi - 1)}{(v+h)^2} \frac{\lambda}{96\pi^2 + \lambda} \xrightarrow{h \rightarrow -v} \infty$

# Horizon

We provide three examples in paper.

Rely on "EFT submanifold" picture

Ex: Abelian toy model w/ vevs  $f$  &  $r$



# No Curvature for Functional Geometry

Connection:  $G_{x_1 x_2}^Y = -i D^{Yz} \Gamma_{,z x_1 x_2}$

$$\Rightarrow G_{x_1 x_2 x_3}^Y = -[i D^{Yz}]_{,x_3} \Gamma_{,z x_1 x_2} - i D^{Yz} \Gamma_{,z x_1 x_2 x_3}$$

$$= -[i D^{Wz}] \Gamma_{,W z x_3} [i D^{tY}] \Gamma_{,z x_1 x_2} - i D^{Yz} \Gamma_{,z x_1 x_2 x_3}$$

and  $G_{W x_3}^Y G_{x_1 x_2}^W = [i D^{Wz}] \Gamma_{,W z x_3} [i D^{tY}] \Gamma_{,z x_1 x_2} = 0$

$$\Rightarrow R_{x_1 x_2 x_3}^Y = G_{x_1 x_2 x_3}^Y + G_{W x_3}^Y G_{x_1 x_2}^W - [x_2 \leftrightarrow x_3] = -i D^{Yz} \Gamma_{,z x_1 x_2 x_3} + [x_2 \leftrightarrow x_3]$$