

# Form factor fits

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Flavour@TH 8-12 May 2023

# Introduction

- Mainly concerned with semileptonic heavy-to-light decays from lattice perspective
- ... where there is a long extrapolation to cover the physical range of  $q^2$ , squared momentum-transfer to the leptons,
- ...including the low- $q^2$  region where experimental data is most precise

# Semileptonic heavy-to-light meson decay on the lattice

$L^{-1}$  finite box  $\ll$  physics of interest  $\ll a^{-1}$  lattice spacing

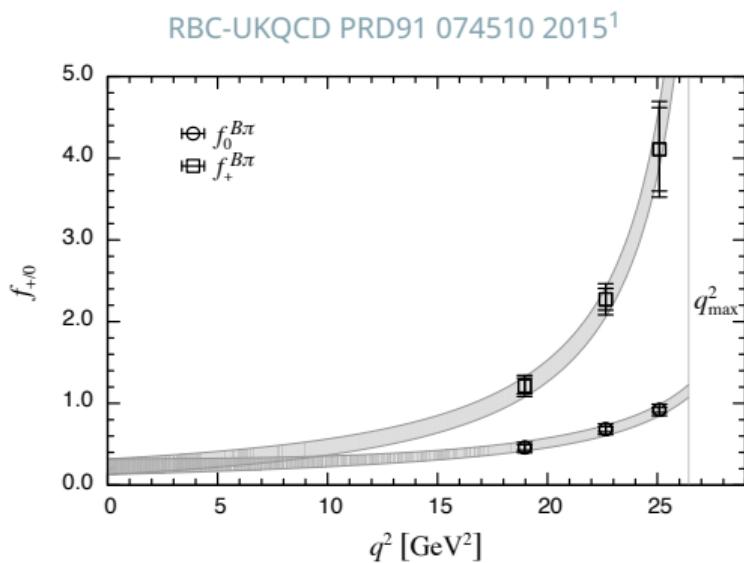
### Kinematics: example $B \rightarrow \pi/\nu$

$$q_{\max}^2 = (m_B - m_\pi)^2 \approx 26.4 \text{ GeV}^2$$

## Lowest Fourier modes on $L = 4$ fm lattice

$ \vec{n}^2 $	0	1	2	3	4
$E_\pi / \text{GeV}$	0.139	0.338	0.457	0.551	0.631
$q^2 / \text{GeV}^2$	26.4	24.3	23.1	22.1	21.2

### Limited coverage of $q^2$ range

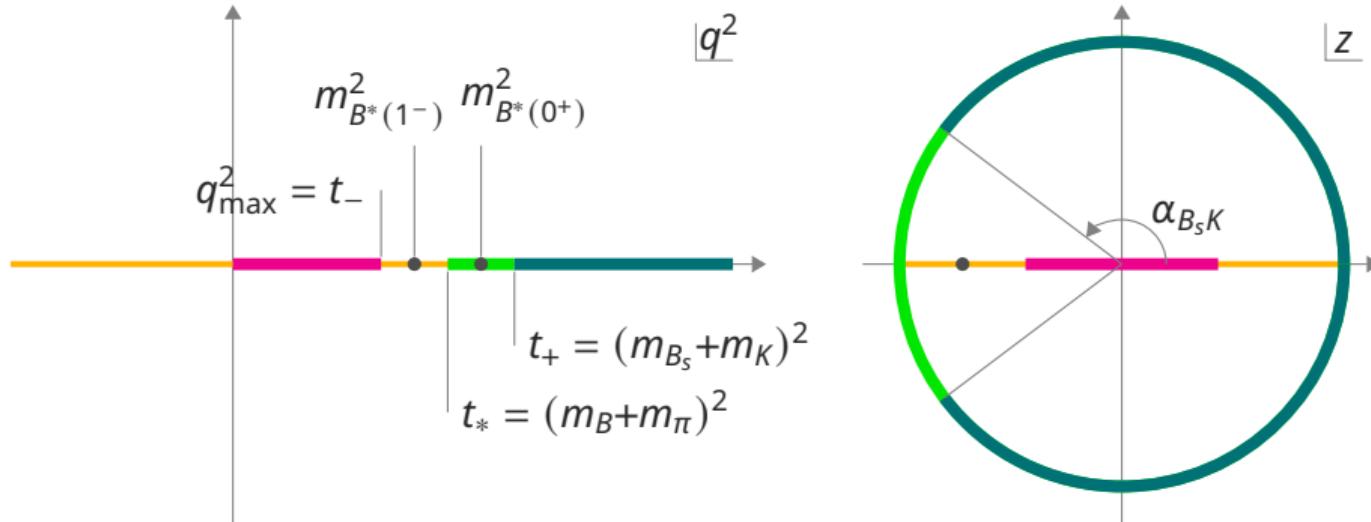


# Exploiting dispersion relations to control $q^2$ extrapolation

Okubo PRD3 2897 1971<sup>2</sup>, PRD4 725 1971<sup>3</sup>; Okubo and Shih PRD4 2020 1971<sup>4</sup>; Bourrely, Machet, de Rafael NPB189 157 1981<sup>5</sup>; Boyd, Grinstein, Lebed PLB353 306 1995<sup>6</sup>, NPB461 493 1996<sup>7</sup>, PRD56 6895 1997<sup>8</sup>; Lellouch NPB479 353 1996<sup>9</sup>

# Extrapolation in $q^2, z$ transformation

- Unitarity/analyticity bounds via dispersion relation  $\rightarrow$  fast-converging model-independent series expansion in  $z$



- Illustrated for  $B_s \rightarrow K$  where start of cut and threshold for  $B_s K$  production differ
- Integrate over arc of unit circle  $[-\alpha_{B_s K}, \alpha_{B_s K}]$  where  $\alpha_{B_s K} = \arg[z(t_+)]$

Gubernari et al 2021, 2022<sup>10,11</sup>, Blake et al 2022<sup>12</sup>

## BGL expansion

$$f_X(q_i^2) = \frac{1}{B_X(q_i^2)\phi_X(q_i^2)} \sum_{n=0}^{K_X-1} a_{X,n} z(q_i^2)^n = Z_{XX,in} a_{X,n}$$

Two constraints

- Kinematic:  $f_+(0) = f_0(0)$  (eliminates one of the  $a_{X,n}$ )
- Unitarity:

$$\frac{1}{2\pi i} \oint_{|z|=1} \frac{dz}{z} \theta_\alpha |B_X(q^2)\phi_X(q^2)f_X(q^2)|^2 \leq 1$$

where  $\theta_\alpha = \theta(\alpha - |\arg(z)|)$

$$a_{X,i} \langle z^i | z^j \rangle_\alpha a_{X,j} \leq 1$$

$$\langle z^i | z^j \rangle_\alpha = \frac{1}{2\pi} \int_{-\alpha}^{\alpha} d\phi (z^*)^i z^j \Big|_{z=e^{i\phi}} = \begin{cases} \frac{\sin(\alpha(i-j))}{\pi(i-j)} & i \neq j, \\ \frac{\alpha}{\pi} & i = j \end{cases}$$

## BGL: frequentist fit

Input (eg lattice ff)

$$\begin{aligned}\mathbf{f}^T &= (\mathbf{f}_+, \mathbf{f}_0)^T \\ &= (f_+(q_0^2), f_+(q_1^2), \dots, f_+(q_{N_+-1}^2), f_0(q_0^2), f_0(q_1^2), \dots, f_0(q_{N_0-1}^2))\end{aligned}$$

Output (BGL params)  $\mathbf{a}^T = (\mathbf{a}_+, \mathbf{a}_0)^T = (a_{+,0}, a_{+,1}, \dots, a_{+,K_+-1}, a_{0,1}, a_{0,2}, \dots, a_{0,K_0-1})$

Frequentist fit  $\chi^2(\mathbf{a}, \mathbf{f}) = (\mathbf{f} - Z\mathbf{a})^T C_f^{-1} (\mathbf{f} - Z\mathbf{a})$

Frequentist result  $\mathbf{a} = (Z^T C_f^{-1} Z)^{-1} Z C_f^{-1} \mathbf{f}, \quad C_a = (Z^T C_f^{-1} Z)^{-1}$

- $Z$  contains BGL ansatz and kinematic constraint
- Written here using constraint to eliminate  $a_{0,0}$ :

$$a_{0,0} = \frac{B_0(0)\phi_0(0)}{B_+(0)\phi_+(0)} \sum_{k=0}^{K_+-1} a_{+,k} z(0)^k - \sum_{k=1}^{K_0-1} a_{0,k} z(0)^k$$

## Frequentist fit practicalities

- Truncation: limited number of (synthetic) input form-factor points, plus kinematic constraint, limits number of terms in z-expansion

$$K_+ + K_0 - 1 < N_+ + N_0$$

- Truncation of series shows up in:
  - Varying locations of the synthetic points shows large variation in  $f_{+,0}(0)$  values
  - Varying  $t_0$  in z-transformation shows large variation in  $f_{+,0}(0)$  values

# Functional matching

FNAL-MILC PRD92 014024 2015<sup>14</sup>, FNAL-MILC PRD100 034501 2019<sup>15</sup>

- Lattice calculation typically gives a parametrised function for a form factor over a limited range, often linear in the parameters

$$f_{\text{lat}}(z) = c_i \phi_i(z) \quad z_1 \leq z \leq z_2$$

- You know the covariance of  $f_{\text{lat}}(z)$  and  $f_{\text{lat}}(z')$

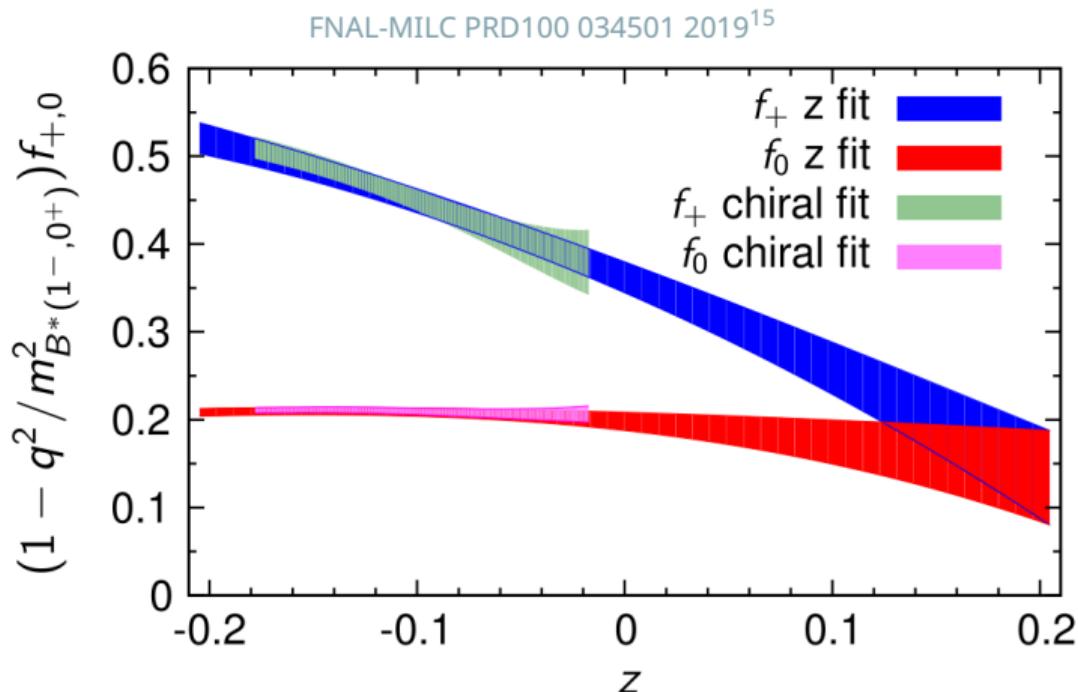
$$K(z, z') = \text{cov}(f_{\text{lat}}(z)f_{\text{lat}}(z')) = \phi_i(z)\text{cov}(c_i c_j)\phi_j(z')$$

- Match to z-expansion (eg BGL or BCL ,  $f(z, \mathbf{a})$ , in  $z_1 \leq z \leq z_2$  by minimising

$$\chi^2_{\text{lat}} = \int_{z_1}^{z_2} dz \int_{z_1}^{z_2} dz' [f_{\text{lat}}(z) - f(z, \mathbf{a})] K^{-1}(z, z') [f_{\text{lat}}(z') - f(z', \mathbf{a})]$$

- Limited number of parameters  $c_i$  shows up as zero eigenvalues of the linear operator defined by  $K(z, z')$
- Regulate by discarding singular modes before inverting  $K$

## Functional matching: $B_s \rightarrow K\ell\nu$



- BCL  $z$ -fits with  $K_{+,0} = 4$  and kinematic constraint
- $\chi^2_{\text{lat}}$ 's frequentist interpretation unclear

# Dispersive matrix bounds

- determine  $f(t)$  with  $f(t_i)$  known at positions  $t_i$  ( $t = q^2$ )
- let  $F(t) = B(t)\phi(t)f(t)$  and write  $F^T = (F_0, F_1, \dots, F_N)$  with  $F_0 = F(t)$  and  $F_i = F(t_i)$
- define inner product

$$\langle g|h \rangle = \frac{1}{2\pi i} \int_{|z|=1} \bar{g}(z)h(z)$$

and

$$g_t(z) \equiv \frac{1}{1 - \bar{z}(t)z} \quad \text{with} \quad \langle g_t | h \rangle = h(t)$$

- build Gram matrix  $M$  of inner products of  $F, g_t, g_{t_1}, g_{t_2}, \dots, g_{t_N}$

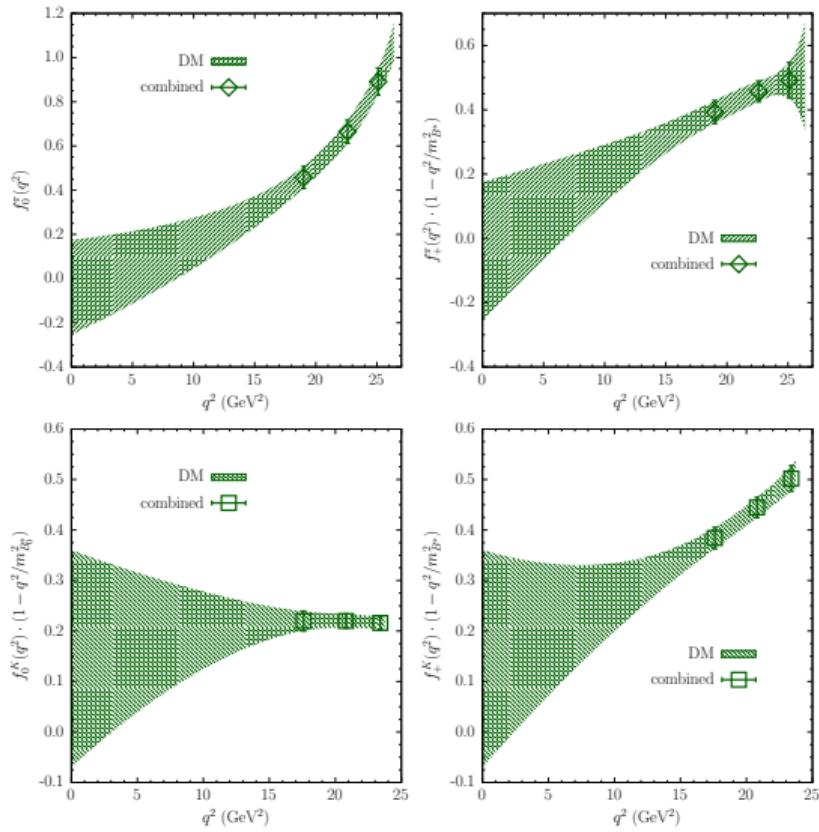
$$M = \begin{bmatrix} \langle F|F \rangle & F^T \\ F & G \end{bmatrix}$$

- $G$  is Gram matrix of inner products of  $g_t, g_{t_1}, \dots, g_{t_N}$
- $\det M = \det G \times (\langle F|F \rangle - F^T G^{-1} F) \geq 0$  with  $\det G \geq 0$ .
- dispersion relation  $\langle F|F \rangle \leq \chi$  gives

$$\chi - F^T G^{-1} F \geq 0$$

quadratic inequality for  $F_0$  and hence  $f(t)$

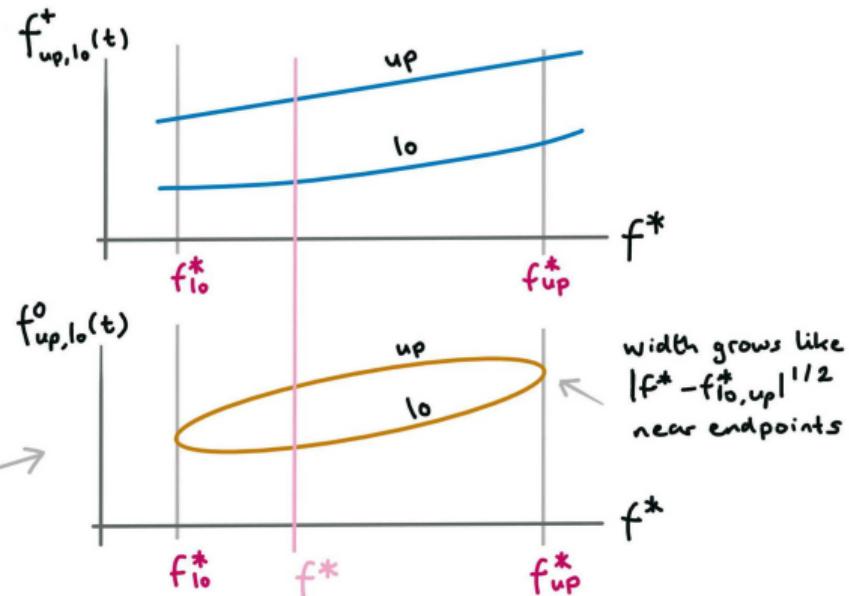
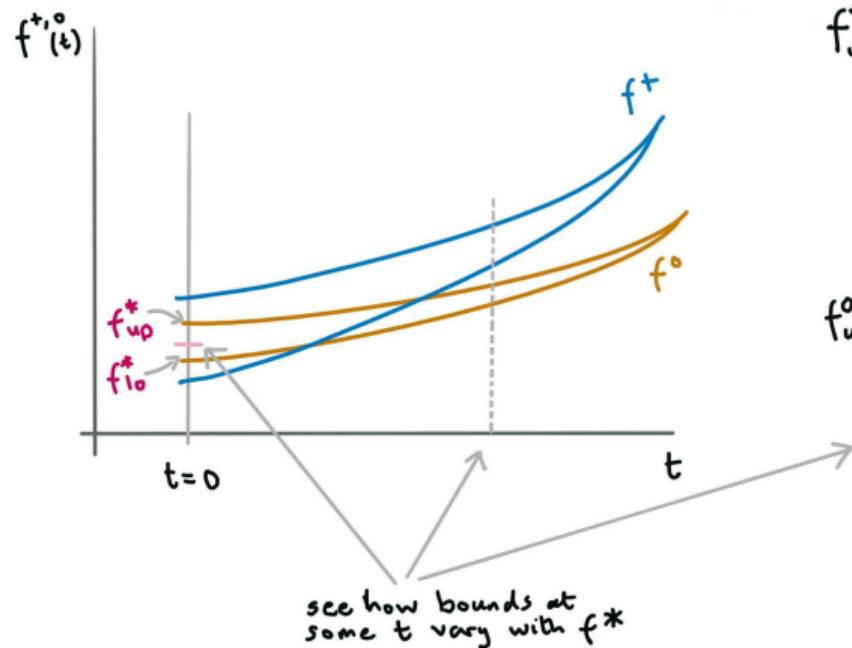
# Dispersive matrix method results



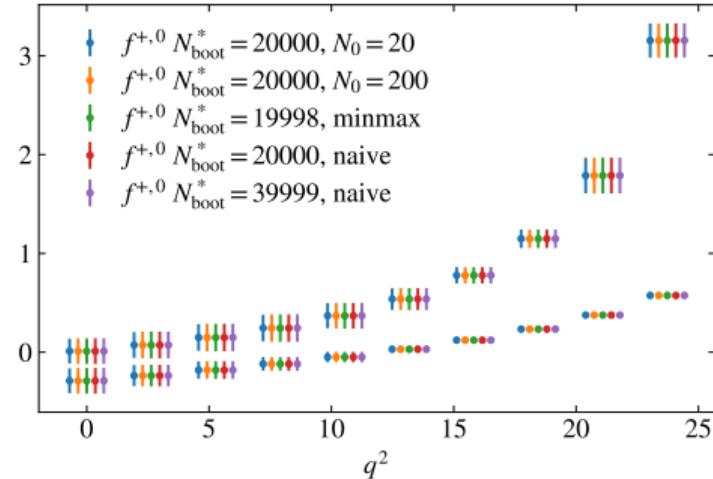
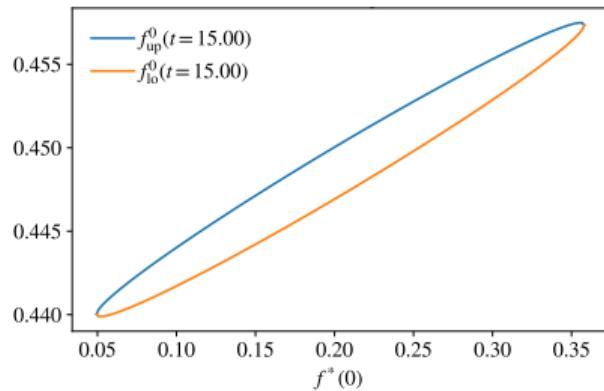
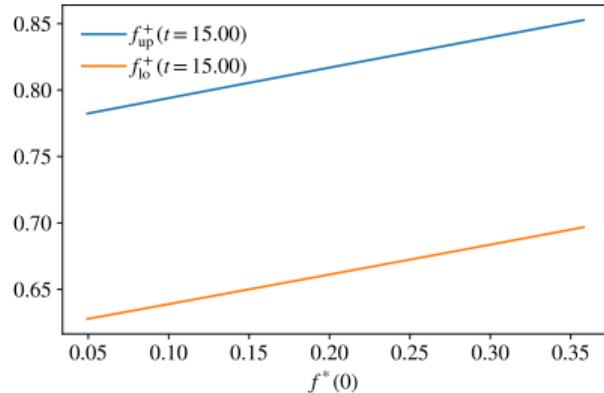
- plots from JHEP 08 022 2022<sup>16</sup>  
top:  $B \rightarrow \pi$  RBC-UKQCD 15<sup>1</sup> FNAL-MILC 15<sup>14</sup>  
bottom:  $B_s \rightarrow K$  HPQCD 14<sup>17</sup>, RBC-UKQCD 15<sup>1</sup>,  
FNAL-MILC 19<sup>15</sup>
- $\chi$ 's from lattice-computed current-current correlators
- indirect implementation of kinematic constraint
- use input data from different sources by combining form-factors at common  $q^2$  points
- lacks frequentist interpretation

Di Carlo et al PRD104 054502 2021<sup>18</sup>; Martinelli et al  
PRD104 094512 2021<sup>19</sup>, PRD105 034503 2022<sup>20</sup>, JHEP 08  
022 2022<sup>16</sup>, PRD106 093002 2022<sup>21</sup>

# $f_+(0) = f_0(0)$ constraint in DM method



# $f_+(0) = f_0(0)$ constraint in DM method 2



- left: variation of upper and lower bounds for  $f_{+,0}(15 \text{ GeV}^2)$  for  $f_{\text{lo}}^* \leq f^* \leq f_{\text{up}}^*$
- above:  $f_{+,0}$  bounds for different treatments of inner bootstrap.  $f_0$  points shifted down to separate them from  $f_+$  at low  $q^2$

# Bayesian BGL form factor fit

- Frequentist fit
  - $N_{\text{dof}} = N_{\text{data}} - N_{\text{params}} \geq 1$  means in practice truncation of z expansion at low order
  - induced systematic
- Bayesian fit [RBC-UKQCD 2303.11280<sup>22</sup>; JF, Jüttner, Tsang 2303.11285<sup>13</sup>]
  - aim to fit full z expansion (no truncation)
  - need regulator to control higher-order coefficients — use unitarity constraint
  - compute (functions of) z-expansion coefficients as expectation values

$$\langle g(\mathbf{a}) \rangle = N \int d\mathbf{a} g(\mathbf{a}) \pi(\mathbf{a}|\mathbf{f}, C_f) \pi_a$$

with probability for parameters given model and data

$$\pi(\mathbf{a}|\mathbf{f}, C_f) \propto \exp\left(-\frac{1}{2}\chi^2(\mathbf{a}, \mathbf{f})\right) \quad \text{where} \quad \chi^2(\mathbf{a}, \mathbf{f}) = (\mathbf{f} - Z\mathbf{a})^T C_f^{-1} (\mathbf{f} - Z\mathbf{a})$$

and prior knowledge from unitarity constraint

$$\pi_{\mathbf{a}} \propto \theta(1 - |\mathbf{a}_+|_{\alpha}^2) \theta(1 - |\mathbf{a}_0|_{\alpha}^2)$$

## Bayesian BGL form factor fit

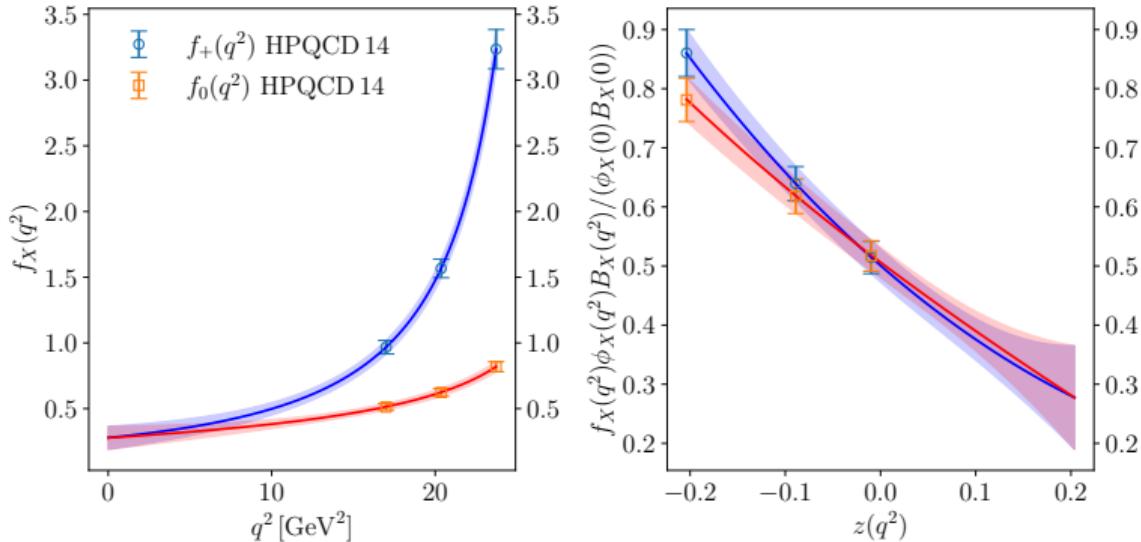
- use MC integration: sample  $\mathbf{a}$  from multivariate normal and drop samples incompatible with unitarity
- in practice, low probability to satisfy unitarity when  $K_+$  and  $K_0$  large
- modify

$$\pi(\mathbf{a}|\mathbf{f}_p, C_{\mathbf{f}_p}) \pi_{\mathbf{a}}(\mathbf{a}_p|M) \propto \theta(\mathbf{a}) \exp\left(-\frac{1}{2}(\mathbf{f}_p - Z\mathbf{a})^T C_{\mathbf{f}_p}^{-1} (\mathbf{f}_p - Z\mathbf{a}) - \frac{1}{2}\mathbf{a}^T \frac{M}{\sigma^2} \mathbf{a}\right)$$

- choose  $M$  such that  $\mathbf{a}^T M \mathbf{a} \leq 2$  in presence of kinematic constraint
- draw random number
- correct with accept-reject with probability

$$p \leq \frac{\exp(-1/\sigma^2)}{\exp(-\mathbf{a}^T \frac{M}{2\sigma^2} \mathbf{a})}$$

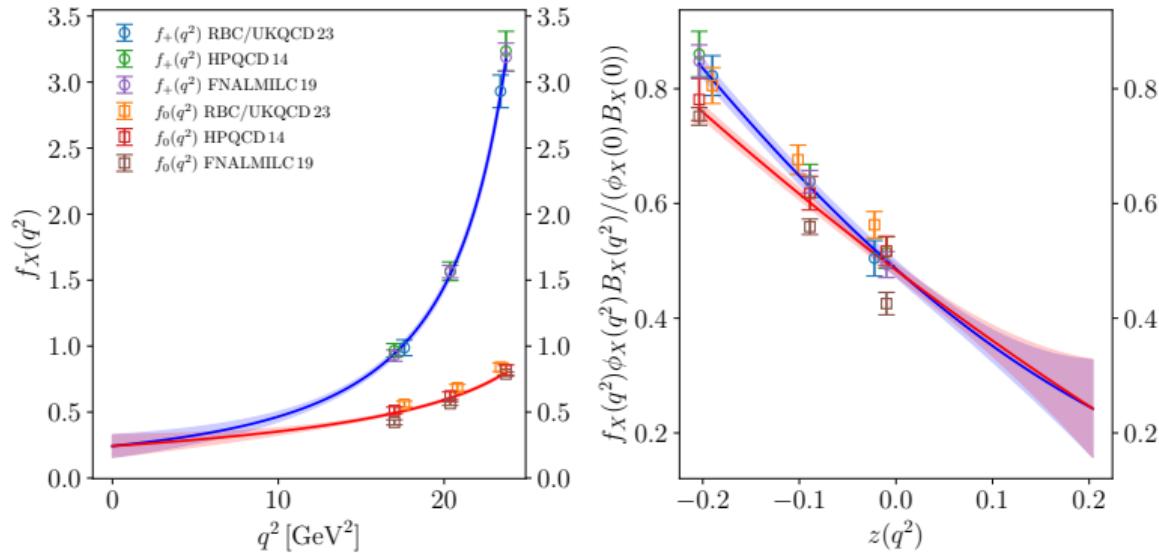
## Example: $B_s \rightarrow K\ell\nu$



Bayesian ( $K_+, K_0$ ) = (5, 5)  
fit for HPQCD 14 data  
HPQCD PRD90 054506 2014<sup>17</sup>

- Frequentist and Bayesian agree when comparison possible
- Frequentist provides  $p$ -value; no quality of fit for Bayesian
- Unitarity constraint stabilises higher orders —> BGL fit without truncation ▶ coeffs
- Stat error on low-order coeffs a little larger than for low-order frequentist fit (Bayes allows more freedom in functional form)

# Easy to combine data: $B_s \rightarrow K\ell\nu$

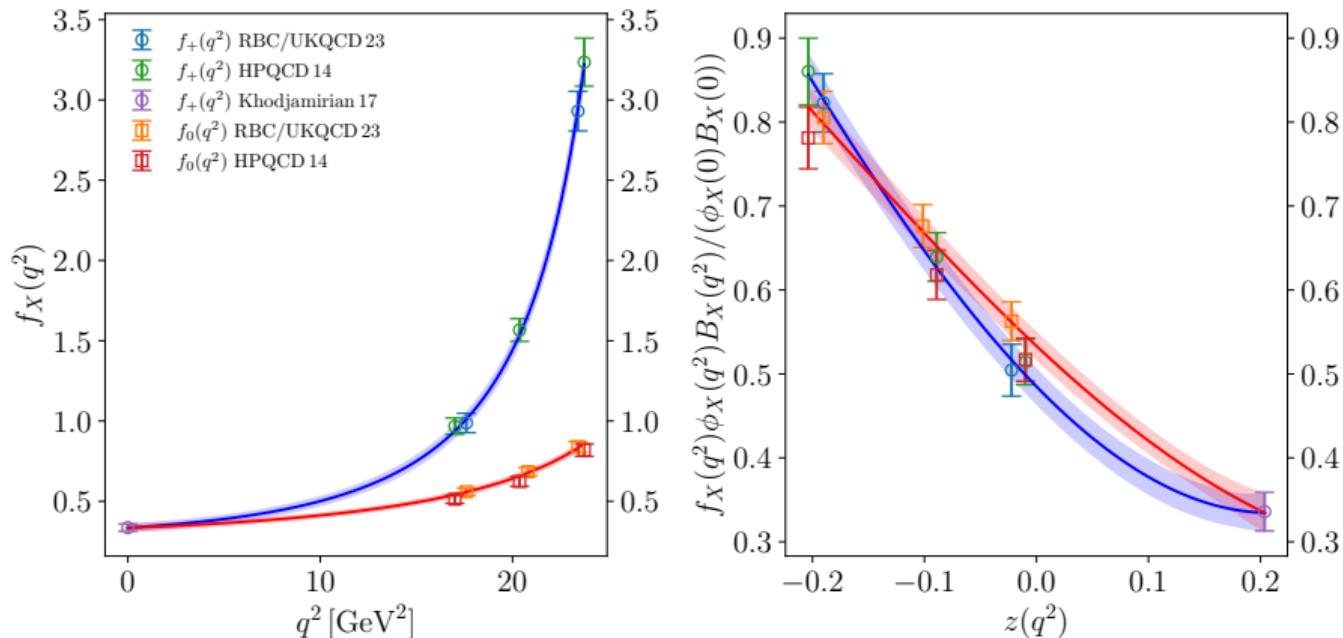


Bayesian ( $K_+, K_0$ ) = (5, 5)  
fit for HPQCD 14,  
FNAL-MILC 2019 and  
RBC-UKQCD 23 data

HPQCD PRD90 054506 2014<sup>17</sup>  
FNAL-MILC PRD100 034501 2019<sup>15</sup>  
RBC-UKQCD 2303.11280<sup>22</sup>

- Bayesian and frequentist provide complementary information; consider both ▶ Freq fit

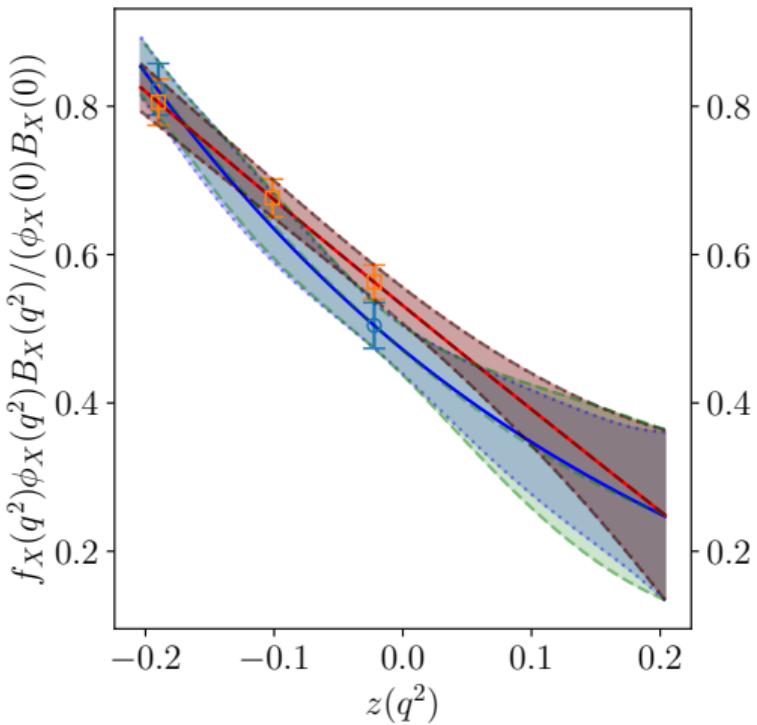
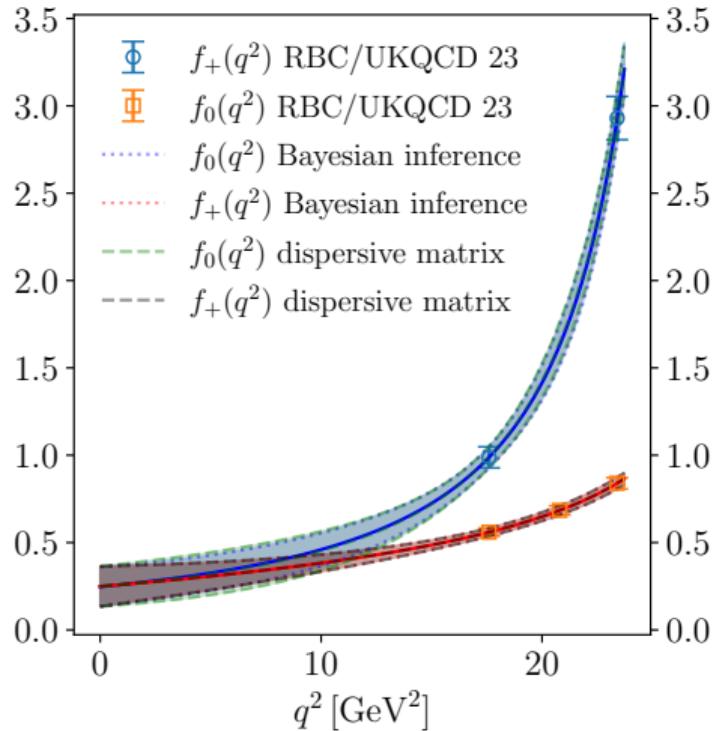
## Combine with non-lattice data: eg sum rules, experiment



Bayesian  $(K_+, K_0) = (5, 5)$  fit for HPQCD 14, RBC-UKQCD 23 and sum rule data

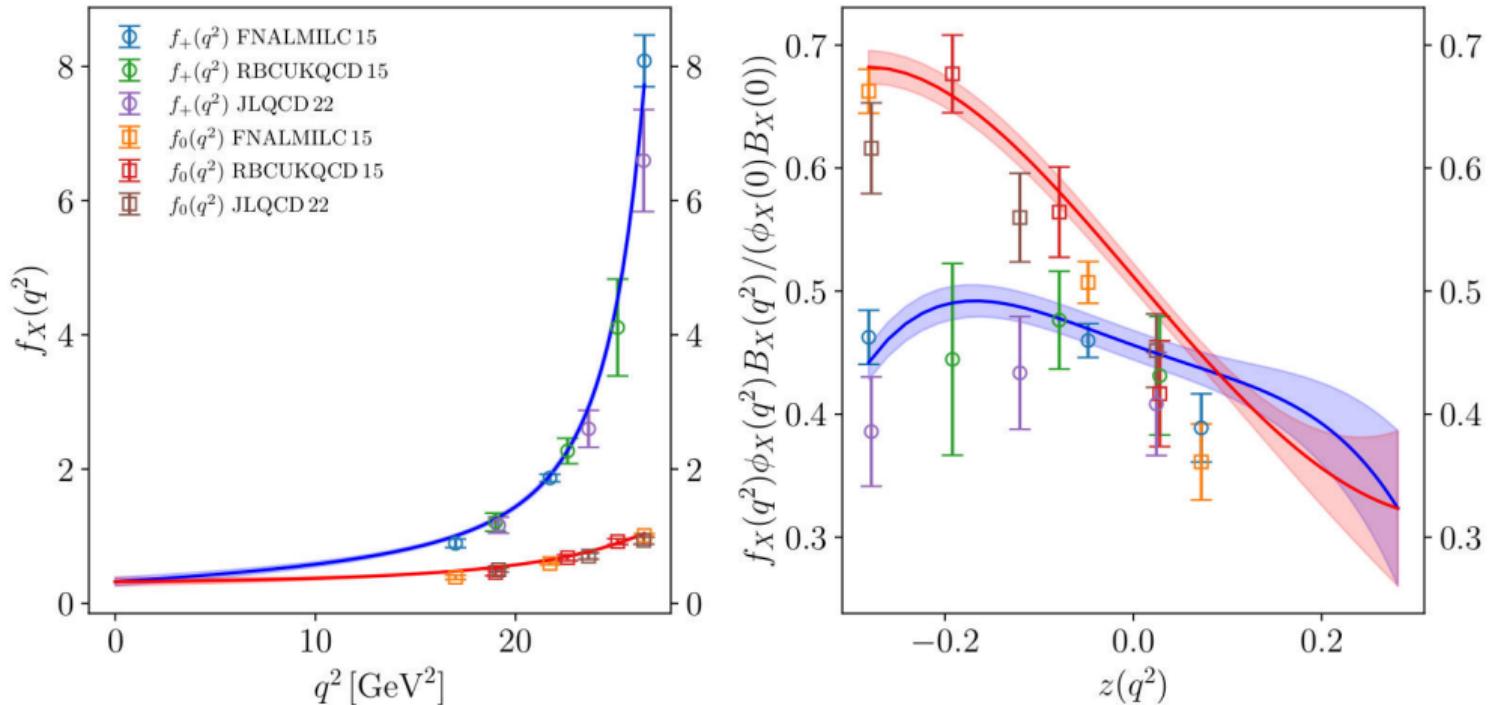
HPQCD PRD90 054506 2014<sup>17</sup>, RBC-UKQCD 2303.11280<sup>22</sup>, Khodjamirian, Rusov JHEP 08 112 2017<sup>23</sup>

## Relation to dispersive matrix approach



Bayesian inference and dispersive matrix approach applied to our own data  
RBC-UKQCD 2303.11280<sup>22</sup>

# $B \rightarrow \pi/\nu$

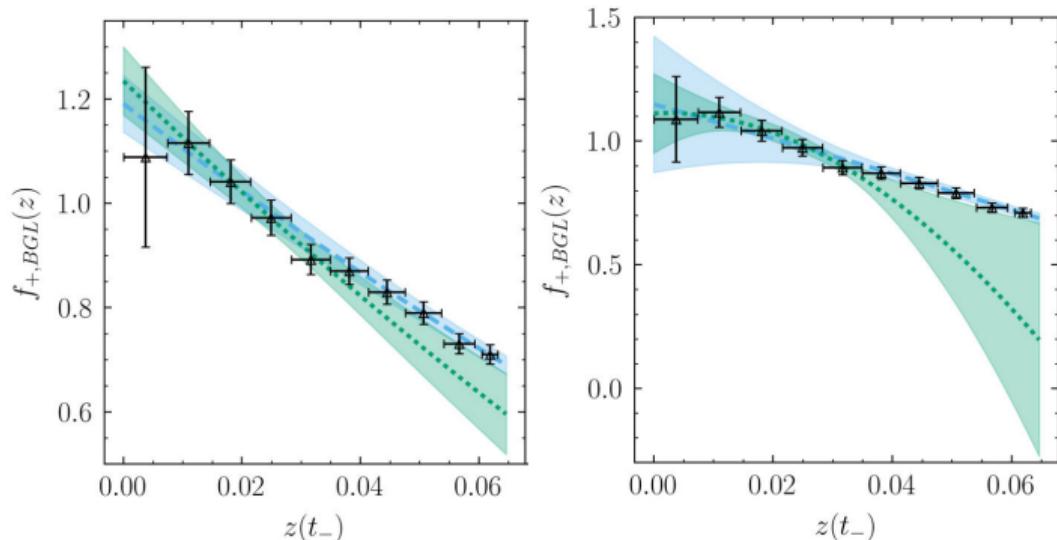


FNAL-MILC PRD92 014024 2015<sup>14</sup>, RBC-UKQCD PRD91 074510 2015<sup>1</sup>, JLQCD PRD106 054502 2022<sup>24</sup>

# Self-consistency in z-expansions

Simons, Gustafson, Meurice 2304.13045<sup>26</sup>

- Perfect knowledge of an analytic function on one part of real axis uniquely determines it on another part
- Extend (approximate) polynomial determined in high-z region to all  $z$ :  $f^{\text{high}}(z)$ . Similarly for low-z region:  $f^{\text{low}}(z)$ .
- Use discrepancy  $f^{\text{high}}(z) - f^{\text{low}}(z)$  over some range of  $z$  to devise a measure of self-consistency



- 2nd (left) or 3rd (right) order fits to  $z$  polys in BGL fits to Belle  $B \rightarrow D$  data PRD93 032006 2016<sup>25</sup>
- blue: high-z fit, green: low-z fit

## $B_s \rightarrow K\ell\nu$ : extrapolation of lattice data

$$f_X(E_K, m_\pi, a) = \frac{1}{E_K + \Delta_X} (d_{X,0} + c_{X,1} E_K + c_{X,2} E_K^2 + d_{X,1} a^2 + d_{X,2} L(m_\pi))$$

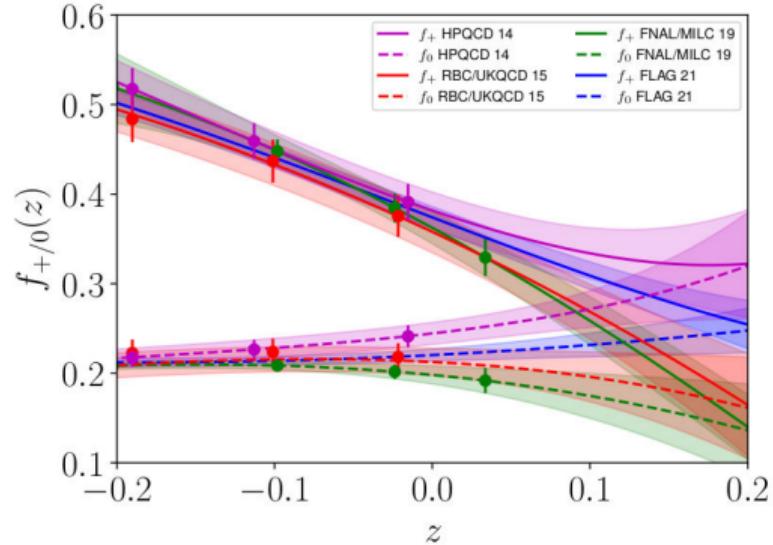
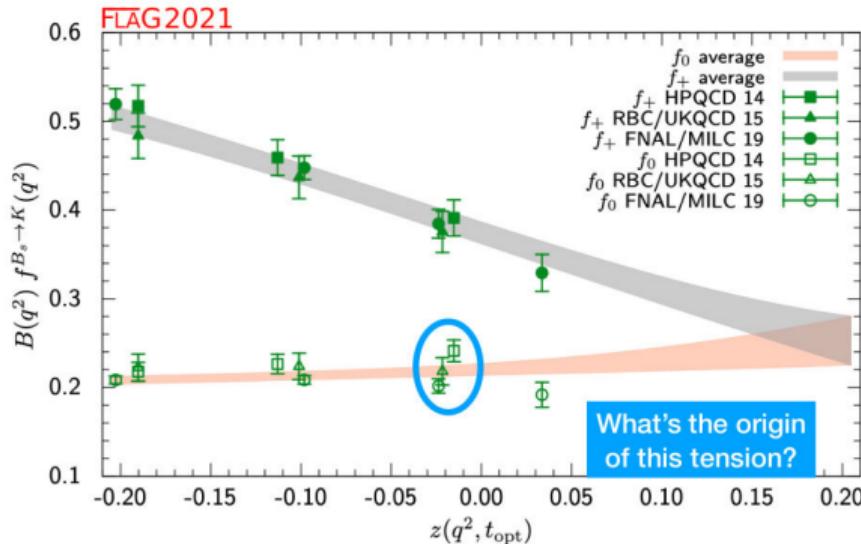
- $\Delta = m_{B^*} - m_{B_s}$  where  $m_B^*$  is  $\bar{b}u$  flavour state with  $J^P = 1^- (0^+)$  for  $f_+(f_0)$
- Form factors  $f_{\parallel, \perp}$  easier to extract on lattice

$$f_+(q^2) = \frac{1}{\sqrt{2m_{B_s}}} [f_{\parallel}(E_K) + (m_{B_s} - E_K)f_{\perp}(E_K)]$$

$$f_0(q^2) = \frac{\sqrt{2m_{B_s}}}{m_{B_s}^2 - m_K^2} [(m_{B_s} - E_K)f_{\parallel}(E_K) + (E_K^2 - m_K^2)f_{\perp}(E_K)]$$

- Chiral/continuum extrapolation in the past done for  $f_{\parallel, \perp}$ , then converted, assuming  $f_{\parallel(\perp)}$  dominated by  $f_{0(+)}$ . FNAL-MILC PRD100 034501 2019<sup>15</sup>, RBC-UKQCD PRD91 074510 2015<sup>1</sup>
- Now chiral/continuum extrapolation for  $f_{+,0}$  RBC-UKQCD 2303.11280<sup>22</sup>

# $B_s \rightarrow K\ell\nu$ : extrapolation of lattice data 2



- $f_{\parallel, \perp}$  vs  $f_{+,0}$  makes a difference at low  $q^2$  in RBC-UKQCD 23<sup>22</sup> data
- Helps explain differences in subsequent extrapolations to  $q^2 = 0$ ?

# Summary

- Aim for truncation-independence in  $z$  fits
- Bayesian inference
  - unitarity constraint built in
  - kinematic constraint directly implemented
  - easy to combine theory and experimental input
  - easy-to-use output (a set of BGL  $z$ -fit coefficients and their correlations)

# Backup

## $z$ transformation

Let  $t = q^2$

$$z(t; \textcolor{red}{t}_*, t_0) = \frac{\sqrt{\textcolor{red}{t}_* - t} - \sqrt{\textcolor{red}{t}_* - t_0}}{\sqrt{\textcolor{red}{t}_* - t} + \sqrt{\textcolor{red}{t}_* - t_0}}.$$

- Maps  $q^2 = t$  plane, with cut along  $t \geq \textcolor{red}{t}_*$ , onto disk  $|z| < 1$
- $\textcolor{red}{t}_*$  is threshold for two-particle production for lowest-mass two-particle state with correct quantum numbers
- Transformation takes cut  $\textcolor{red}{t}_* \leq t < \infty$  onto  $|z| = 1$  and takes  $\textcolor{red}{t}_* > t > -\infty$  onto  $(-1, 1)$
- $z(t_0; t_*, t_0) = 0$ . Choose  $t_0$  to fix range of  $z$  corresponding to  $0 \leq q^2 = t \leq t_-$
- To symmetrize  $z$ -range around the origin:

$$t_0 = \textcolor{red}{t}_* - \sqrt{\textcolor{red}{t}_*(\textcolor{red}{t}_* - t_-)}$$

## BCL parametrisation Bourrely, Caprini, Lellouch PRD79 013008 2009<sup>27</sup>

$$f_+(q^2) = \frac{1}{1 - q^2/m_{B^*(1^-)}^2} \sum_{k=0}^{K_+-1} b_k^+ \left[ z^k - (-1)^k - K_+ \frac{k}{K_+} z^{K_+} \right]$$

$$f_0(q^2) = \frac{1}{1 - q^2/m_{B^*(0^+)}^2} \sum_{k=0}^{K_0-1} b_k^0 z^k$$

- $1/(1 - q^2/m_{B^*(1^-)}^2)$  in  $f_+$  accounts for sub-threshold pole
- pole factors ensure  $f(q^2) \sim 1/q^2$  at large  $q^2$
- ensure correct threshold behaviour near start of cut for  $f_+$
- form used in FNAL-MILC PRD100 034501 2019<sup>15</sup>

◀ func match

# HPQCD 14 – $\mathbf{a}_+$

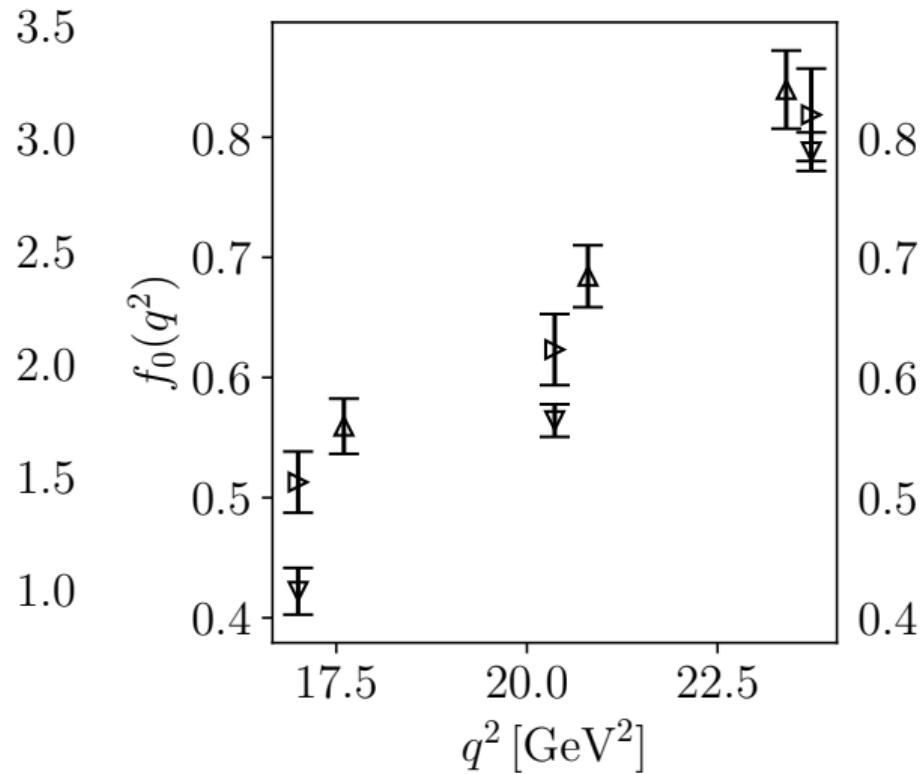
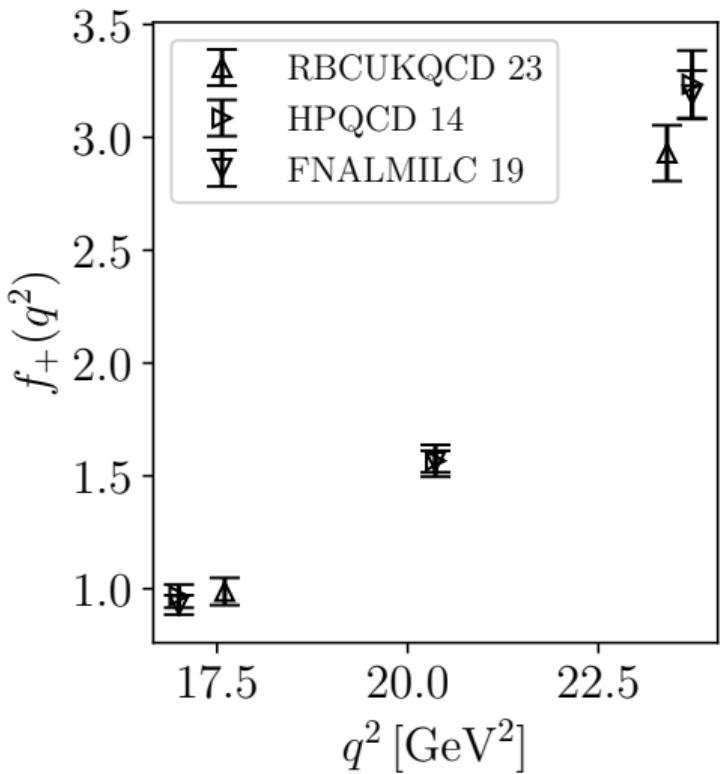
$K_+$	$K_0$	$a_{+,0}$	$a_{+,1}$	$a_{+,2}$	$a_{+,3}$	$a_{+,4}$	$a_{+,5}$	$a_{+,6}$	$a_{+,7}$	$a_{+,8}$	$a_{+,9}$
2	2	0.0270(12)	-0.0792(49)	-	-	-	-	-	-	-	-
2	3	0.0273(13)	-0.0761(63)	-	-	-	-	-	-	-	-
3	2	0.0257(14)	-0.0805(49)	0.069(30)	-	-	-	-	-	-	-
3	3	0.0261(14)	-0.0728(64)	0.096(34)	-	-	-	-	-	-	-
3	4	0.0261(14)	-0.0728(76)	0.096(39)	-	-	-	-	-	-	-
4	3	0.0261(14)	-0.0729(68)	0.096(35)	0.008(90)	-	-	-	-	-	-
4	4	0.0261(14)	-0.0730(77)	0.091(62)	-0.02(20)	-	-	-	-	-	-
5	5	0.0262(15)	-0.0735(79)	0.084(67)	-0.03(19)	0.03(68)	-	-	-	-	-
6	6	0.0261(14)	-0.0735(79)	0.086(69)	-0.03(19)	-0.00(64)	0.01(65)	-	-	-	-
7	7	0.0262(14)	-0.0732(84)	0.088(69)	-0.02(18)	0.01(65)	0.02(73)	-0.03(70)	-	-	-
8	8	0.0261(14)	-0.0732(80)	0.089(72)	-0.02(18)	-0.00(66)	0.03(86)	-0.04(90)	0.03(73)	-	-
9	9	0.0261(14)	-0.0729(84)	0.095(75)	-0.02(19)	-0.04(68)	0.1(1.0)	-0.1(1.2)	0.1(1.1)	-0.06(79)	-
10	10	0.0261(14)	-0.0726(89)	0.101(79)	-0.01(20)	-0.09(73)	0.2(1.3)	-0.3(1.7)	0.2(1.8)	-0.2(1.4)	0.08(87)

# HPQCD 14 – $\mathbf{a}_0$

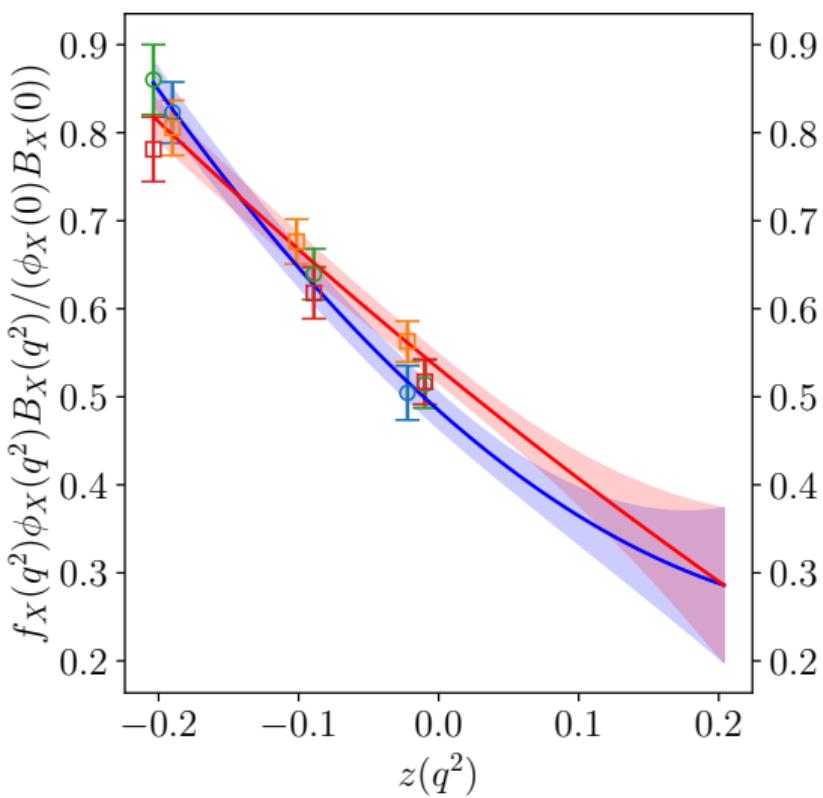
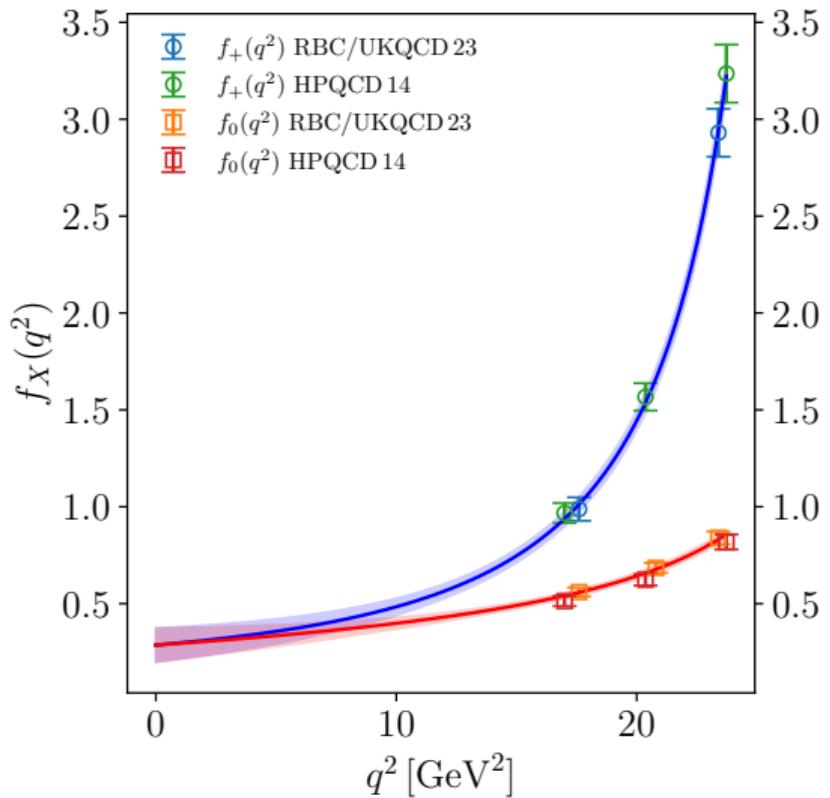
$K_+$	$K_0$	$a_{0,0}$	$a_{0,1}$	$a_{0,2}$	$a_{0,3}$	$a_{0,4}$	$a_{0,5}$	$a_{0,6}$	$a_{0,7}$	$a_{0,8}$	$a_{0,9}$
2	2	0.0883(44)	-0.250(17)	-	-	-	-	-	-	-	-
2	3	0.0880(44)	-0.243(19)	0.052(65)	-	-	-	-	-	-	-
3	2	0.0907(46)	-0.240(17)	-	-	-	-	-	-	-	-
3	3	0.0906(44)	-0.215(22)	0.137(73)	-	-	-	-	-	-	-
3	4	0.0907(47)	-0.215(22)	0.14(11)	-0.01(31)	-	-	-	-	-	-
4	3	0.0907(45)	-0.214(22)	0.139(72)	-	-	-	-	-	-	-
4	4	0.0907(46)	-0.215(25)	0.12(19)	-0.08(60)	-	-	-	-	-	-
5	5	0.0909(46)	-0.218(25)	0.10(19)	-0.12(55)	0.04(63)	-	-	-	-	-
6	6	0.0907(45)	-0.217(25)	0.10(19)	-0.11(53)	0.06(66)	-0.02(66)	-	-	-	-
7	7	0.0907(46)	-0.217(26)	0.11(20)	-0.08(51)	0.03(73)	0.03(81)	-0.04(70)	-	-	-
8	8	0.0908(46)	-0.217(25)	0.11(20)	-0.08(50)	-0.01(84)	0.1(1.0)	-0.09(96)	0.08(74)	-	-
9	9	0.0907(46)	-0.215(25)	0.13(22)	-0.05(50)	-0.06(95)	0.2(1.4)	-0.2(1.5)	0.1(1.2)	-0.05(82)	-
10	10	0.0907(46)	-0.214(27)	0.15(24)	-0.03(49)	-0.2(1.1)	0.4(1.8)	-0.5(2.2)	0.4(2.1)	-0.3(1.6)	0.13(90)



## Combine data



## Combine lattice data



$K_+$	$K_0$	$a_{+,0}$	$a_{+,1}$	$a_{+,2}$	$a_{+,3}$	$a_{+,4}$	$p$	$\chi^2/N_{\text{dof}}$	$N_{\text{dof}}$
2	2	0.02641(58)	-0.0824(26)				0.00	5.15	14
2	3	0.02668(68)	-0.0811(31)				0.00	5.50	13
3	2	0.02477(68)	-0.0829(26)	0.054(12)			0.00	3.95	13
3	3	0.02534(73)	-0.0792(31)	0.062(12)			0.00	3.89	12
3	4	0.02534(73)	-0.0781(34)	0.067(14)			0.00	4.19	11
4	3	0.02535(73)	-0.0776(38)	0.074(20)	0.023(30)		0.00	4.19	11
4	4	0.02592(97)	-0.033(50)	0.69(69)	2.1(2.3)		0.00	4.53	10
5	5	0.0266(10)	0.052(65)	2.21(97)	11.1(5.6)	17.2(15.1)	0.00	5.04	8

$K_+$	$K_0$	$a_{0,0}$	$a_{0,1}$	$a_{0,2}$	$a_{0,3}$	$a_{0,4}$	$p$	$\chi^2/N_{\text{dof}}$	$N_{\text{dof}}$
2	2	0.0854(17)	-0.2565(75)				0.00	5.15	14
2	3	0.0856(18)	-0.2527(91)	0.021(27)			0.00	5.50	13
3	2	0.0858(18)	-0.2501(77)				0.00	3.95	13
3	3	0.0864(18)	-0.2379(95)	0.061(28)			0.00	3.89	12
3	4	0.0869(19)	-0.231(13)	0.067(29)	-0.08(10)		0.00	4.19	11
4	3	0.0869(19)	-0.229(15)	0.091(48)			0.00	4.19	11
4	4	0.0887(27)	-0.08(17)	2.2(2.4)	7.0(7.9)		0.00	4.53	10
5	5	0.0887(28)	0.07(20)	6.1(3.3)	41.5(19.0)	93.3(44.0)	0.00	5.04	8



# Determining $|V_{ub}|$

Combining LHCb results [LHCb2021<sup>28</sup>] for

$$R_{\text{BF},[q^2_{\min}, q^2_{\max}]} = \frac{\int_{q^2_{\min}}^{q^2_{\max}} \frac{d\mathcal{B}(B_s^0 \rightarrow K^- \mu^+ \nu_\mu)}{dq^2}}{\mathcal{B}(B_s^0 \rightarrow D_s^- \mu^+ \nu_\mu)}$$

in low ( $q^2 \leq 7 \text{ GeV}^2$ ), high ( $q^2 \geq 7 \text{ GeV}^2$ ) and combined bins

$$R_{\text{BF,low}} = 1.66(08)(09) \times 10^{-3}$$

$$R_{\text{BF,high}} = 3.25(21)(^{+18}_{-19}) \times 10^{-3}$$

$$R_{\text{BF,all}} = 4.89(21)(^{+24}_{-25}) \times 10^{-3}$$

and for [LHCb2020<sup>29</sup>]

$$\mathcal{B}(B_s^0 \rightarrow D_s^- \mu^+ \nu_\mu) = 2.49(12)(21) \times 10^{-2}$$

Find  $|V_{ub}|$  from

$$|V_{ub}| = \sqrt{\frac{R_{\text{BF,bin}} \mathcal{B}(B_s^0 \rightarrow D_s^- \mu^+ \nu_\mu)}{\tau_{B_s^0} \Gamma_{0,\text{bin}}(B_s \rightarrow K \ell \nu)}}$$

where  $\Gamma_{0,\text{bin}} = \Gamma_{\text{bin}} / |V_{ub}|^2$ . Using RBC-UKQCD23 ( $K_+, K_0$ ) = (5, 5)

$$|V_{ub}| = \begin{cases} 4.10(240) \times 10^{-3} & \text{low} \\ 3.76(55) \times 10^{-3} & \text{high} \\ 3.78(61) \times 10^{-3} & \text{all} \end{cases}$$

For comparison

$$|V_{ub}|_{\text{exclusive}}^{\text{FLAG21}} = 3.74(17) \times 10^{-3} [1, 14, 30-34]$$

# $R$ ratios for LFU tests

$$R(P) = \frac{\int_{m_\tau^2}^{q_{\max}^2} dq^2 \frac{d\Gamma(B_{(s)} \rightarrow P\tau\bar{\nu}_\tau)}{dq^2}}{\int_{m_\ell^2}^{q_{\max}^2} dq^2 \frac{d\Gamma(B_{(s)} \rightarrow P\ell\bar{\nu}_\ell)}{dq^2}}$$

$$R^{\text{new}}(P) = \frac{\int_{q_{\min}^2}^{q_{\max}^2} dq^2 \frac{d\Gamma(B_{(s)} \rightarrow P\tau\bar{\nu}_\tau)}{dq^2}}{\int_{q_{\min}^2}^{q_{\max}^2} dq^2 \frac{\omega_\tau(q^2)}{\omega_\ell(q^2)} \frac{d\Gamma(B_{(s)} \rightarrow P\ell\bar{\nu}_\ell)}{dq^2}}$$

- Adopt idea proposed for  $B_{(s)} \rightarrow V$  decays [Isidori-Sumensari<sup>35</sup>]
  - Common integration range;  $q_{\min}^2 \geq m_\tau^2$  [Freytsis et al<sup>36</sup>, Bernlochner et al<sup>37</sup>, Soni<sup>38</sup>]
  - Same weights for vector parts in integrands for  $\tau$  and  $\ell$
- Write

$$\frac{d\Gamma(B_{(s)} \rightarrow P\ell\nu)}{dq^2} = \Phi \omega_\ell(q^2) [F_V^2 + (F_S^\ell)^2]$$

$$\Phi = \eta \frac{G_F^2 |V_{xb}|^2}{24\pi^3}$$

$$\omega_\ell = \left(1 - \frac{m_\ell^2}{q^2}\right)^2 \left(1 + \frac{m_\ell^2}{2q^2}\right)$$

$$F_V^2 = \vec{k}^3 |f_+(q^2)|^2$$

$$(F_S^\ell)^2 = \frac{3}{4} |\vec{k}| \frac{m_\ell^2}{m_\ell^2 + 2q^2} \frac{(M^2 - m^2)^2}{M^2} |f_0(q^2)|^2$$

# $R$ ratios for LFU tests

$$R(P) = \frac{\int_{m_\tau^2}^{q_{\max}^2} dq^2 \frac{d\Gamma(B_{(s)} \rightarrow P\tau\bar{\nu}_\tau)}{dq^2}}{\int_{m_\ell^2}^{q_{\max}^2} dq^2 \frac{d\Gamma(B_{(s)} \rightarrow P\ell\bar{\nu}_\ell)}{dq^2}}$$

$$R^{\text{new}}(P) = \frac{\int_{q_{\min}^2}^{q_{\max}^2} dq^2 \frac{d\Gamma(B_{(s)} \rightarrow P\tau\bar{\nu}_\tau)}{dq^2}}{\int_{q_{\min}^2}^{q_{\max}^2} dq^2 \frac{\omega_\tau(q^2)}{\omega_\ell(q^2)} \frac{d\Gamma(B_{(s)} \rightarrow P\ell\bar{\nu}_\ell)}{dq^2}}$$

- Adopt idea proposed for  $B_{(s)} \rightarrow V$  decays [Isidori-Sumensari<sup>35</sup>]
  - Common integration range;  $q_{\min}^2 \geq m_\tau^2$  [Freytsis et al<sup>36</sup>, Bernlochner et al<sup>37</sup>, Soni<sup>38</sup>]
  - Same weights for vector parts in integrands for  $\tau$  and  $\ell$
- Write

$$\frac{d\Gamma(B_{(s)} \rightarrow P\ell\nu)}{dq^2} = \Phi \omega_\ell(q^2) [F_V^2 + (F_S^\ell)^2]$$

$$R^{\text{new,SM}}(P) = 1 + \frac{\int_{q_{\min}^2}^{q_{\max}^2} dq^2 \omega_\tau(q^2) (F_S^\tau)^2}{\int_{q_{\min}^2}^{q_{\max}^2} dq^2 \omega_\tau(q^2) F_V^2}$$

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