

Decoupling of heavy quarks as a tool to determine α_s

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Mattia Dalla Brida, Roman Höllwieser, Francesco Knechtli, Tomasz Korzec, Rainer Sommer, Stefan Sint.

- *Non-perturbative renormalization by decoupling.* [arXiv: 1912.06001]
- *Determination of $\alpha_s(m_Z)$ by the non-perturbative decoupling method.* [arXiv: 2209.14204]



MOTIVATION

Computing the strength of fundamental interactions

- ▶ Take some experimental observable $O(\mu; p)$.
- ▶ Work hard to get

$$O(\mu; p) = A(p)\alpha_{\overline{\text{MS}}}(\mu) + B(p)\alpha_{\overline{\text{MS}}}^2(\mu) + \dots$$

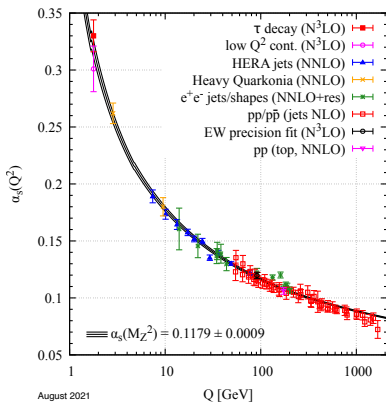
- ▶ Determine $\alpha_{\overline{\text{MS}}}(\mu)$ by comparing experiment and theory computation

$$\begin{array}{ll} g_e - 2 : \alpha_{em} & = 7.297\,352\,5698(24) \times 10^{-3} & \tau : \alpha_s(M_Z) & = 0.1198(15) \\ \text{recoil} : \alpha_{em} & = 7.297\,352\,585(48) \times 10^{-3} & e^+e^- : \alpha_s(M_Z) & = 0.1172(37) \end{array}$$

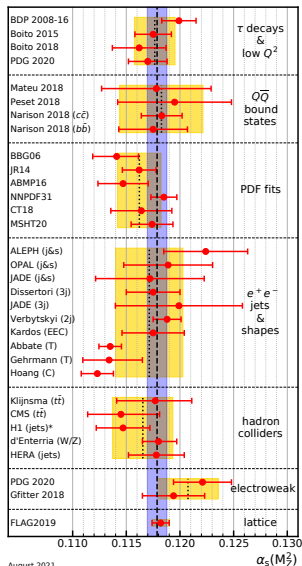
Caveats

- ▶ Asymptotic states are not quarks/gluons (“hadronization”, ...).
- ▶ α_s is larger. Sometimes extracted at a few GeV ($\alpha_s \approx 0.3!$). What about the ...?
 - ▶ Perturbative corrections?
 - ▶ Non-perturbative corrections?

DETERMINATIONS OF $\alpha_s(m_Z)$ [PDG '21]



► Low energy determinations are more precise (!!?)



DETERMINATIONS OF α_s

All uncertainties from this step ($N \sim 2, 3$)

$$\mathcal{O}(Q) \stackrel{Q \rightarrow \infty}{\sim} \alpha_s(Q) + \sum_{n=2}^N c_n \alpha_s^n(Q) + \mathcal{O}(\alpha_s^{N+1}(Q)) + \mathcal{O}\left(\frac{\Lambda^p}{Q^p}\right) + \dots$$

$\mathcal{O}(Q)$ (lattice, experiment) $\implies \alpha_s(Q)$

No uncertainties here (5-loop running in $\overline{\text{MS}}$)

- ▶ Run to a convenient scale (i.e. M_Z)

$$\alpha_s(Q) \longrightarrow \alpha_s(M_Z)$$

- ▶ Quote the RGI invariant

$$\alpha_s(Q) \longrightarrow \Lambda_{\overline{\text{MS}}}$$

Uncertainties in $\alpha_s(M_Z)$:

- ▶ Non-perturbative uncertainties $\propto \left(\frac{\Lambda}{Q}\right)^p$
- ▶ Perturbative uncertainties $\propto \alpha_s^{N+1}(Q)$

THE PROBLEM: SUMMARY

$$O(Q) \stackrel{Q \rightarrow \infty}{\sim} \alpha_s(Q) + \sum_{n=2} c_n \alpha_s^n(Q) + \mathcal{O}(\alpha_s^{N+1}(Q)) + \mathcal{O}\left(\frac{\Lambda^p}{Q^p}\right) + \dots$$

Non-perturbative corrections

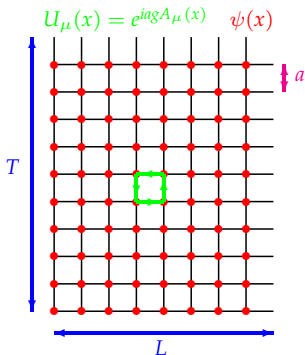
- ▶ Difficult to compute (NP physics is difficult!)
- ▶ Better use smaller $\alpha \implies$ (larger Q)

Perturbative corrections

- ▶ Difficult to estimate (i.e. scale variation might fail)
- ▶ Main source of uncertainty in most lattice QCD extractions of α_s
- ▶ Better use smaller $\alpha \implies$ (exponentially larger Q)

COMPUTING PATH INTEGRALS: LATTICE FIELD THEORY

Lattice field theory \rightarrow Non Perturbative definition of QFT.



- ▶ Discretize space-time in an hyper-cubic lattice (spacing a)
- ▶ Path integral \rightarrow multiple integral (one variable for each field at each point)
- ▶ Compute the integral numerically \rightarrow Monte Carlo sampling.

$$\langle O \rangle = \frac{1}{N_{\text{conf}}} \sum_{i=1}^{N_{\text{conf}}} O(U_i) + \mathcal{O}(1/\sqrt{N_{\text{conf}}})$$

Observable computed averaging over samples

- ▶ This works both in the perturbative and non-perturbative regimes!

$$S_G[U] = \frac{\beta}{6} \sum_{p \in \text{Plaquettes}} \text{Tr}(1 - U_p - U_p^+) \xrightarrow{a \rightarrow 0} -\frac{1}{2} \int d^4x \text{Tr}(F_{\mu\nu} F_{\mu\nu})$$

THE PROBLEM: α_s EXTRACTIONS ARE A MULTI-SCALE PROBLEM

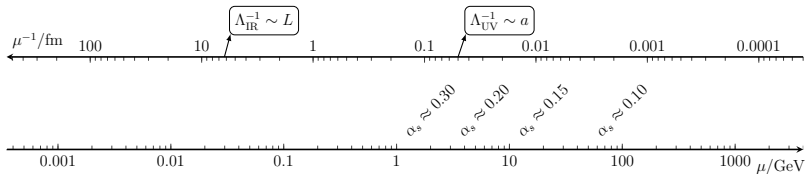
$$O(Q) \stackrel{Q \rightarrow \infty}{\sim} \alpha_s(Q) + \sum_{n=2} c_n \alpha_s^n(Q) + \mathcal{O}(\alpha_s^{N+1}(Q)) + \mathcal{O}\left(\frac{\Lambda^p}{Q^p}\right) + \dots$$

Why not just use larger Q ?

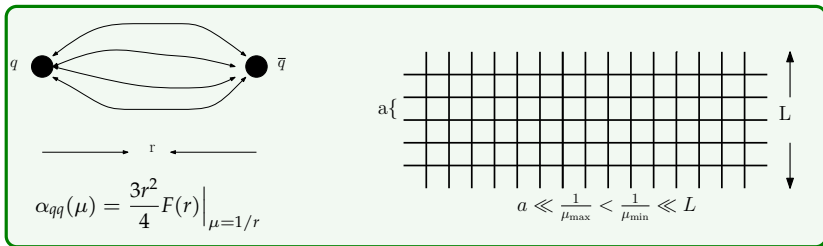
Experimentalist: At large Q the effect you are trying to measure is “weak” \implies Larger uncertainties

Latticero: In all simulations $a^{-1} \gg Q \gg L^{-1}$. You need $m_\pi L \approx 4$, so with current computers ($L/a \approx 128$) we have $Q \ll 4$ GeV. In fact:

- ▶ Computer cost $\propto (L/a)^7$
- ▶ Non-perturbative uncertainties $\propto (a/L)^p$
- ▶ Perturbative uncertainties $\propto 1/\log(L/a)$



THE STRENGTH OF YM



▶ Take $O(Q) = \frac{3r^2}{4} F(r) \Big|_{Q=1/r}$

▶ This defines the “potential scheme”. Non-perturbative coupling definition.

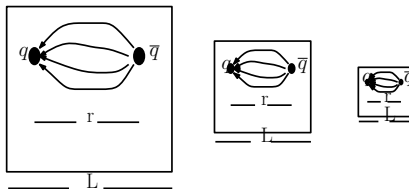
$$\alpha_{qq}(Q) = \frac{3r^2}{4} F(r) \Big|_{Q=1/r} \stackrel{Q \rightarrow \infty}{\sim} \alpha_{\overline{\text{MS}}}(Q) + \dots$$

▶ Useful to define convenient scales. i.e. the CERN scale

$$\alpha_{qq}(\mu_{\text{CERN}}) = 12.34/(4\pi)$$

(NOTE: Many lattice scales are basically this!: $r_0, t_0, w_0, r_1, \dots$)

THE SOLUTION: FINITE SIZE SCALING [LÜSCHER, WEISZ, WOLFF '91]



Finite volume renormalization schemes: fix $QL = \text{constant}$

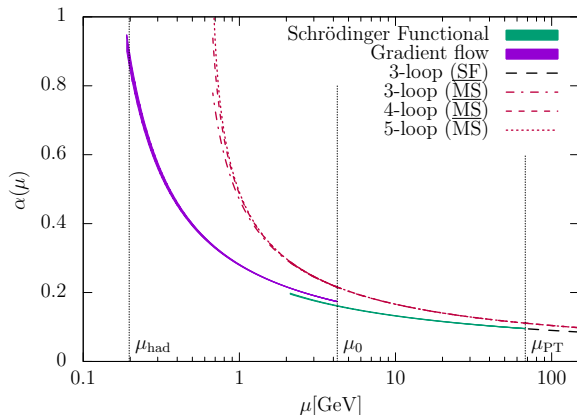
- ▶ Coupling $\alpha(Q)$ depends on no other scale but L (Notation: $\alpha(L), \alpha(1/L)$).
- ▶ Small $L \implies$ small $\alpha(L)$
- ▶ $a \ll 1/Q$ easily achieved: $L/a \sim 10 - 40$
- ▶ Step scaling function: How much changes the coupling when we change the renormalization scale:

$$\sigma(u) = g^2(Q/2) \Big|_{g^2(Q)=u}$$

achieved by simple changing $L/a \rightarrow 2L/a!$

- ▶ $1/L$ is a IR cutoff \Rightarrow simulate directly $m_q = 0$
- ▶ We need dedicated simulations of the **femto-universe**

RESULTS FOR $\alpha_s(M_Z)$ [ALPHA '17. PHYS.REV.LETT (2017) 119. [ARXIV:1706.03821]]



- ▶ Non-perturbative running from 200 MeV to 140 GeV
- ▶ Many technical improvements:
 - ▶ Gradient flow couplings
 - ▶ Symanzik analysis of cutoff effects
 - ▶ ...

$$\alpha_s(M_Z) = 0.11852(84) [0.7\%].$$

CHECKPOINT

- ▶ Extraction of α_s is a very difficult multi-scale problem on the lattice.
- ▶ Computational cost grows like $(L/a)^7$
- ▶ Perturbative uncertainties decrease as $\log \mu$
- ▶ Perturbative uncertainties $\approx 1 - 2\%$ for most large volume approaches [L. Del Debbio, A. Ramos. *Phys.Rep.* (2021) 970 [arXiv:2101.04762]]
- ▶ Dedicated approach: step scaling. **Solves** the multi-scale problem.

$$\alpha_s(M_Z) = 0.11852(84) [0.7\%].$$

MASSLESS RENORMALIZATION SCHEMES: TREMENDOUS ADVANTAGES

- ▶ Renormalization group functions are mass independent

$$\mu \frac{d\bar{g}^2(\mu)}{d\mu} = \beta(\bar{g}, \mathcal{M}).$$

- ▶ RGI invariants that characterize the running (i.e. Λ, M, B_K, \dots) **only** exists in massless schemes

$$\Lambda_s = \mu \left[b_0 \bar{g}_s^2(\mu) \right]^{\frac{-b_1}{2b_0^2}} e^{-\frac{1}{2b_0 \bar{g}_s^2(\mu)}} \exp \left\{ - \int_0^{\bar{g}_s(\mu)} dx \left[\frac{1}{\beta_s(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0 x} \right] \right\}$$

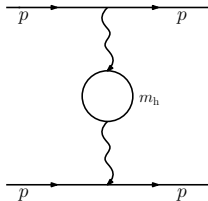
- ▶ Precision: high loop computations available in perturbation theory

$$\beta_{\overline{\text{MS}}}(\bar{g}) \stackrel{\bar{g} \rightarrow 0}{\sim} -\bar{g}^3 (b_0 + b_1 \bar{g}^2 + b_2^{\overline{\text{MS}}} \bar{g}^4 + b_3^{\overline{\text{MS}}} \bar{g}^6 + b_4^{\overline{\text{MS}}} \bar{g}^8 + \text{unknown})$$

Always universal but universal only in massless schemes

- ▶ In LQCD: easier to define the chiral point ($m_q = 0$) than the physical point ($m_q = ??$)

DECOUPLING OF HEAVY QUARKS: PERTURBATION THEORY



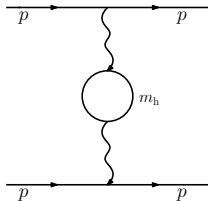
Quark-Quark scattering with N_l light and one heavy

$$T = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \left\{ T_1(p, m) + \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2} + c \right\} + \mathcal{O}(\alpha^3)$$

Five Stages of understanding: (I) Denial

- ▶ If I choose $\mu \approx m_h(\mu)$ the $T_1(p, m)$ gets large...
- ▶ The computation has to be wrong, because this heavy quark cannot break perturbation theory

DECOUPLING OF HEAVY QUARKS: PERTURBATION THEORY



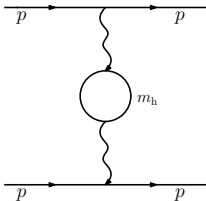
Quark-Quark scattering with N_l light and one heavy

$$T = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \left\{ T_1(p, m) + \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2} + c \right\} + \mathcal{O}(\alpha^3)$$

Five Stages of understanding: (II) Anger

- ▶ So the existence of a quark with $m_h \sim 2000$ TeV is breaking perturbation theory at scale $p \approx \mu \approx 20$ GeV.
- ▶ Nonsense!!!!!!
- ▶ Nothing works!!!!!!

DECOUPLING OF HEAVY QUARKS: PERTURBATION THEORY



Quark-Quark scattering with N_f light and one heavy

$$T = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \left\{ T_1(p, m) + \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2} + c \right\} + \mathcal{O}(\alpha^3)$$

Five Stages of understanding: (III) Bargaining

ALICE: Look, If I only could say that

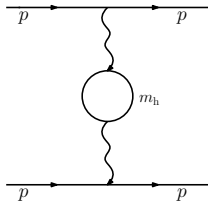
$$\frac{\alpha'(\mu)}{\pi} = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2}$$

Then everything would make sense:

$$T = \frac{\alpha'(\mu)}{\pi} + \frac{\alpha'^2(\mu)}{\pi^2} [T_1(p, m) + c] + \mathcal{O}(\alpha^3)$$

But then the coupling would depend on m_h !

DECOUPLING OF HEAVY QUARKS: PERTURBATION THEORY



Quark-Quark scattering with N_1 light and one heavy

$$T = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \left\{ T_1(p, m) + \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2} + c \right\} + \mathcal{O}(\alpha^3)$$

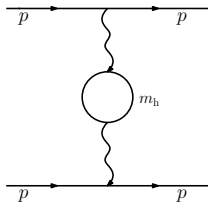
Five Stages of understanding: (IV) The right question

BOB: And this coupling of yours...

$$\frac{\alpha'(\mu)}{\pi} = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2}$$

How would it run?

DECOUPLING OF HEAVY QUARKS: PERTURBATION THEORY



Quark-Quark scattering with N_l light and one heavy

$$T = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \left\{ T_1(p, m) + \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2} + c \right\} + \mathcal{O}(\alpha^3)$$

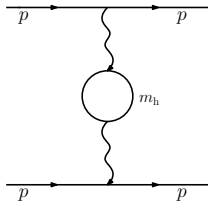
Five Stages of understanding: (V) All fits nicely

$$\frac{\alpha'(\mu)}{\pi} = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2}$$

And determine

$$\beta' = \mu^2 \frac{d}{d\mu^2} \alpha'(\mu) = \left(\mu^2 \frac{\partial}{\partial \mu^2} + \beta \frac{\partial}{\partial \alpha} + \gamma \frac{\partial}{\partial m_h} \right) \left[\alpha'_{\overline{\text{MS}}}(\mu) + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi} \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2} \right]$$

DECOUPLING OF HEAVY QUARKS: PERTURBATION THEORY



Quark-Quark scattering with N_l light and one heavy

$$T = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \left\{ T_1(p, m) + \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2} + c \right\} + \mathcal{O}(\alpha^3)$$

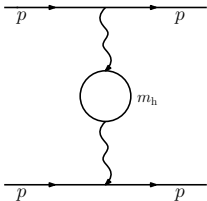
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And determine

$$\beta' = \mu^2 \frac{d}{d\mu^2} \alpha'(\mu) \stackrel{\alpha' \rightarrow 0}{\sim} \beta - \frac{\alpha'^2(\mu)}{6\pi} + \mathcal{O}(\alpha^3)$$

DECOUPLING OF HEAVY QUARKS: PERTURBATION THEORY



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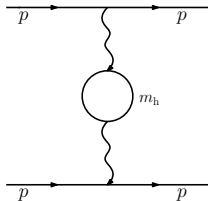
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And determine

$$\beta' = \mu^2 \frac{d}{d\mu^2} \alpha'(\mu) \stackrel{\alpha' \rightarrow 0}{\sim} - \frac{\alpha'^2(\mu)}{\pi} \left(\frac{11}{4} - \frac{1}{6} N_f + \frac{1}{6} \right) + \mathcal{O}(\alpha^3)$$

DECOUPLING OF HEAVY QUARKS: PERTURBATION THEORY



Quark-Quark scattering with N_l light and one heavy

$$T = \frac{\alpha_{\overline{\text{MS}}}(\mu)}{\pi} + \frac{\alpha_{\overline{\text{MS}}}^2(\mu)}{\pi^2} \left\{ T_1(p, m) + \frac{1}{6} \log \frac{m_h^2(\mu)}{\mu^2} + c \right\} + \mathcal{O}(\alpha^3)$$

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And determine

$$\beta' = \mu^2 \frac{d}{d\mu^2} \alpha'(\mu) \stackrel{\alpha' \rightarrow 0}{\sim} - \frac{\alpha'^2(\mu)}{\pi} \left[\frac{11}{4} - \frac{1}{6} (N_f - 1) \right] + \mathcal{O}(\alpha^3)$$

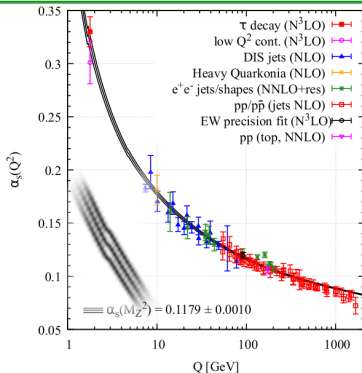
$\alpha'(\mu)$ is the running coupling with $N_l = N_f - 1$ flavors!

DECOUPLING OF HEAVY QUARKS IN MASSLESS SCHEMES

Matching between theories

- ▶ At energy scales Q just forget about all quarks with $m > Q$
- ▶ “Nice” perturbative expressions if you only use **active** quarks
- ▶ Matching between effective theory (with **active quarks**) and fundamental theory (with **active** and heavy quarks)

$$\alpha_{\overline{\text{MS}}}^{(N_f-1)}(\mu) = \alpha_{\overline{\text{MS}}}^{(N_f)}(\mu) \times \left\{ 1 + a_1(m_h/\mu)\alpha_{\overline{\text{MS}}}^{(N_f)}(\mu) + \dots \right\}$$



DECOUPLING OF HEAVY QUARKS IN MASSLESS SCHEMES

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$$\alpha_{\overline{\text{MS}}}^{(N_f-1)}(\mu) = \alpha_{\overline{\text{MS}}}^{(N_f)}(\mu) \times \left\{ 1 + a_1(m_h/\mu)\alpha_{\overline{\text{MS}}}^{(N_f)}(\mu) + \dots \right\}$$

Abuse of language: A single $\alpha_{\overline{\text{MS}}}(\mu)$ that “jumps” at quark thresholds

- ▶ $\alpha_{\overline{\text{MS}}}(4 \text{ GeV})$: This is the four flavor coupling
- ▶ $\alpha_{\overline{\text{MS}}}(10 \text{ GeV})$: This is the five flavor coupling
- ▶ $\alpha_{\overline{\text{MS}}}(M_Z)$: This is the five flavor coupling

Caveats

Power corrections are neglected (more later)

DECOUPLING OF HEAVY QUARKS IN MASSLESS SCHEMES

$$\Lambda_{\overline{\text{MS}}}^{(N_f)} \xrightarrow{P(M/\Lambda)} \Lambda_{\overline{\text{MS}}}^{(N'_f)}$$

Relation between Λ parameters

If you happen to know $\Lambda_{\overline{\text{MS}}}^{(6)}$, then

1. Determine $\alpha_{\overline{\text{MS}}}^{(6)}(\mu) = \bar{g}_{\overline{\text{MS}}}^2(\mu)/(4\pi)$ at some scale $\mu \approx m_t$

$$\frac{\Lambda_{\overline{\text{MS}}}^{(6)}}{\mu} = \left[b_0 \bar{g}_{\overline{\text{MS}}}^2(\mu) \right]^{\frac{-b_1}{2b_0^2}} e^{-\frac{1}{2b_0 \bar{g}_{\overline{\text{MS}}}^2(\mu)}} \exp \left\{ - \int_0^{\bar{g}_{\overline{\text{MS}}}(\mu)} dx \left[\frac{1}{\beta_{\overline{\text{MS}}}^{(6)}(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0 x} \right] \right\}$$

2. Match across the top threshold (4 loops known!)

$$\frac{\bar{g}'^2(\mu)}{4\pi} = \alpha_{\overline{\text{MS}}}^{(5)}(\mu) = \alpha_{\overline{\text{MS}}}^{(6)}(\mu) \times \left\{ 1 + a_1(m_t/\mu) \alpha_{\overline{\text{MS}}}^{(6)}(\mu) + \dots \right\}$$

3. Determine the Λ parameter of the 5 flavor theory

$$\frac{\Lambda_{\overline{\text{MS}}}^{(5)}}{\mu} = \left[b_0 \bar{g}'^2(\mu) \right]^{\frac{-b_1}{2b_0^2}} e^{-\frac{1}{2b_0 \bar{g}'^2(\mu)}} \exp \left\{ - \int_0^{\bar{g}'_{\overline{\text{MS}}}(\mu)} dx \left[\frac{1}{\beta_{\overline{\text{MS}}}^{(5)}(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0 x} \right] \right\}$$

DECOUPLING OF HEAVY QUARKS IN MASSLESS SCHEMES

$$\frac{\Lambda_{\overline{\text{MS}}}^{(N_f)}}{\mu} = \left[b_0 \bar{g}_{\overline{\text{MS}}}^2(\mu) \right]^{\frac{-b_1}{2b_0^2}} e^{-\frac{1}{2b_0 \bar{g}_{\overline{\text{MS}}}^2(\mu)}} \exp \left\{ - \int_0^{\bar{g}_{\overline{\text{MS}}}(\mu)} dx \left[\frac{1}{\beta_{\overline{\text{MS}}}^{(N_f)}(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0 x} \right] \right\}$$

Some numerical examples

- ▶ Start with $\Lambda_{\overline{\text{MS}}}^{(6)} \approx 91.1 \text{ MeV}$
- ▶ Determine $\alpha_{\overline{\text{MS}}}^{(6)}(m_t) \implies \alpha_{\overline{\text{MS}}}^{(5)}(m_t)$
- ▶ Get $\Lambda_{\overline{\text{MS}}}^{(5)} \approx 215 \text{ MeV}$
- ▶ Determine $\alpha_{\overline{\text{MS}}}^{(5)}(m_b) \implies \alpha_{\overline{\text{MS}}}^{(4)}(m_b)$
- ▶ Get $\Lambda_{\overline{\text{MS}}}^{(4)} \approx 298 \text{ MeV}$
- ▶ Determine $\alpha_{\overline{\text{MS}}}^{(4)}(m_c) \implies \alpha_{\overline{\text{MS}}}^{(3)}(m_c)$
- ▶ Get $\Lambda_{\overline{\text{MS}}}^{(3)} \approx 312 \text{ MeV}$
- ▶ We cannot get $\Lambda_{\overline{\text{MS}}}^{(2)}$: No valid perturbative matching at $\mu \approx m_s < \Lambda$

Perturbative uncertainties ridiculously small in this game! [ALPHA '18]

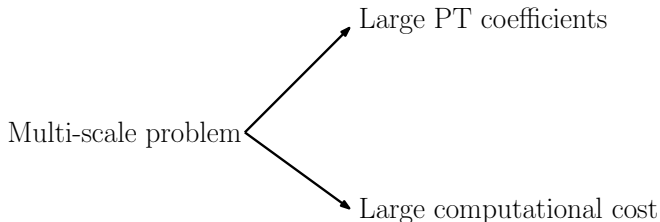
DECOUPLING OF HEAVY QUARKS: NON-PERTURBATIVELY

- ▶ Large coefficients in PT is a **problem of PT**
- ▶ In Lattice QCD we can use as many (heavy) flavors as we want
- ▶ Sometimes useful to consider massive schemes:

$$\alpha_{qq}(\mu, M_u^{\text{phys}}, M_d^{\text{phys}}, M_s^{\text{phys}}, M_c^{\text{phys}})$$

- ▶ But simulating heavy quarks is challenging:
 - ▶ m_h is large
 - ▶ am_h **has to be** small

Requires large computational resources!



CHECKPOINT

- ▶ Massless schemes are needed for precision.
- ▶ One should use perturbative expressions with only the number of **active** quarks
- ▶ Matching between theories

$$\alpha_{\overline{MS}}^{(3)} \rightarrow \alpha_{\overline{MS}}^{(4)} \rightarrow \alpha_{\overline{MS}}^{(5)} \rightarrow \alpha_{\overline{MS}}^{(6)}.$$

- ▶ Non perturbatively one can use massless or massive schemes.

$3M$: A UNIVERSE WITH THREE HEAVY DEGENERATE QUARKS ($M \gg \Lambda$)

Alice uses fundamental theory

$$S_{\text{fund}}[A_\mu, \psi, \bar{\psi}] = \int d^4x \left\{ -\frac{1}{2g^2} \text{Tr}(F_{\mu\nu}F_{\mu\nu}) + \sum_{i=1}^3 \bar{\psi}_i(\gamma_\mu D_\mu + M)\psi_i \right\}$$

Bob uses effective theory

$$S_{\text{eff}}[A_\mu] = -\frac{1}{2g_{\text{eff}}^2} \int d^4x \{ \text{Tr}(F_{\mu\nu}F_{\mu\nu}) \} + \frac{1}{M^2} \sum_k \omega_k \int d^4x \mathcal{L}_k^{(6)} + \dots$$

3M: A UNIVERSE WITH THREE HEAVY DEGENERATE QUARKS ($M \gg \Lambda$)

Alice uses fundamental theory

$$S_{\text{fund}}[A_\mu, \psi, \bar{\psi}] = \int d^4x \left\{ -\frac{1}{2g^2} \text{Tr}(F_{\mu\nu}F_{\mu\nu}) + \sum_{i=1}^3 \bar{\psi}_i (\gamma_\mu D_\mu + M) \psi_i \right\}$$

Bob uses effective theory

$$S_{\text{eff}}[A_\mu] = -\frac{1}{2g_{\text{eff}}^2} \int d^4x \{ \text{Tr}(F_{\mu\nu}F_{\mu\nu}) \} + \frac{1}{M^2} \sum_k \omega_k \int d^4x \mathcal{L}_k^{(6)} + \dots$$

Decoupling

- Dimensionless “low energy quantities” $\sqrt{t_0}/r_0, w_0/\sqrt{8t_0}, r_0/w_0, \dots$ from effective theory

$$\frac{\mu_1^{\text{fund}}(M)}{\mu_2^{\text{fund}}(M)} = \frac{\mu_1^{\text{eff}}}{\mu_2^{\text{eff}}} + \mathcal{O}\left(\frac{\mu^2}{M^2}\right)$$

RENORMALIZATION IN 3M: ALICE DETERMINES THE STRONG COUPLING

$$\frac{\Lambda}{\mu} = \left[b_0 \bar{g}^2(\mu) \right]^{-\frac{b_1}{2b_0^2}} e^{-\frac{1}{2b_0 \bar{g}^2(\mu)}} \exp \left\{ - \int_0^{\bar{g}(\mu)} dx \left[\frac{1}{\beta(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}.$$

- ▶ Determine non-perturbatively the β -function in the fundamental ($N_f = 3$) theory, mass-less scheme.
- ▶ Integral up to $\bar{g}^{(3)}(\mu_{\text{dec}}) = \text{value}$ (in a mass-less scheme!) gives:

$$\frac{\Lambda^{(3)}}{\mu_{\text{dec}}}$$

- ▶ Turn on quark masses and relate μ_{dec} with its massive version ($\bar{g}^{(3)}(\mu_{\text{dec}}(M), M) = \text{value}$)

$$\frac{\mu_{\text{dec}}(M)}{\mu_{\text{dec}}}$$

- ▶ Result

$$\frac{\Lambda^{(3)}}{\mu_{\text{dec}}(M)} = \frac{\Lambda^{(3)}}{\mu_{\text{dec}}} \times \frac{\mu_{\text{dec}}(M)}{\mu_{\text{dec}}}$$

RENORMALIZATION IN 3M: BOB DETERMINES THE STRONG COUPLING

$$\frac{\Lambda}{\mu} = \left[b_0 \bar{g}^2(\mu) \right]^{-\frac{b_1}{2b_0^2}} e^{-\frac{1}{2b_0 \bar{g}^2(\mu)}} \exp \left\{ - \int_0^{\bar{g}(\mu)} dx \left[\frac{1}{\beta(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}.$$

- ▶ Determine non-perturbatively the β -function in the effective ($N_f = 0$) theory.
- ▶ Integral up to $\bar{g}^{(0)}(\mu'_{\text{dec}}) = \text{value}$ gives:

$$\frac{\Lambda^{(0)}}{\mu'_{\text{dec}}}$$

- ▶ Match across quark threshold to convert to $\Lambda^{(3)}$ (using perturbation theory)

$$\frac{\Lambda^{(3)}}{\mu'_{\text{dec}}} = \frac{\Lambda^{(0)}}{\mu'_{\text{dec}}} \times \frac{1}{P(\Lambda/M)}.$$

RELATION BETWEEN ALICE AND BOB COMPUTATION

$$\left. \begin{array}{l} \bar{g}^{(3)}(\mu_{\text{dec}}(M), M) = \text{value} \\ \bar{g}^{(0)}(\mu'_{\text{dec}}) = \text{value} \end{array} \right\} \Rightarrow \frac{\mu_{\text{dec}}(M)}{\mu'_{\text{dec}}} = 1 + \mathcal{O}(\mu_{\text{dec}}^2/M^2)$$

Relation between Alice and Bob computations

$$\frac{\Lambda^{(3)}}{\mu_{\text{dec}}(M)} = \frac{\Lambda^{(0)}}{\mu'_{\text{dec}}} \times \frac{1}{P(\Lambda/M)} + \mathcal{O}(\alpha^4(m^*)) + \mathcal{O}\left(\frac{\mu_{\text{dec}}^2}{M^2}\right)$$

Bob is telling us that $\Lambda^{(3)}$ can be computed from $\Lambda^{(0)}$

$$\Lambda^{(3)} = \lim_{M \rightarrow \infty} \mu_{\text{dec}}(M) \times \frac{\Lambda^{(0)}}{\mu'_{\text{dec}}} \times \frac{1}{P(\Lambda/M)}$$

We need

- ▶ Running in pure gauge: $\Lambda^{(0)}/\mu'_{\text{dec}}$
- ▶ A scale in a world with degenerate massive quarks: $\mu_{\text{dec}}(M)$ in fm/MeV.

Lattice QCD can simulate *unphysical* worlds

$$\mu_{\text{dec}}(M) = M_p \times \frac{\mu_{\text{dec}}(M)}{M_p} = M_p^{\text{PDG}} \lim_{a \rightarrow 0} \frac{a\mu_{\text{dec}}(M)}{aM_p}$$

MATCHING WORLDS

All lattice simulations depends only on dimensionless input: $g_0, am_i, L/a$. No dimensionfull output possible!

$$\begin{aligned} W1(\text{"our" world}) &: \quad \frac{M_\pi}{M_p} = 0.14; \quad \frac{M_K}{M_p} = 0.37. \\ W2 &: \quad \frac{M_\pi}{M_p} = 0.5; \quad \frac{M_K}{M_p} = 0.5. \end{aligned}$$

How much changes the proton mass between W1 and W2?

- ▶ Choose one g_0 , tune $am_i \ll 1$ to match LCP of W1, W2
- ▶ Repeat for several values g_0 and perform continuum limit:

$$\frac{M_p(W2)}{M_p(W1)} = \lim_{aM_p \rightarrow 0} \frac{aM(W2)}{aM(W1)}.$$

- ▶ Since W1 is "our" world:

$$M_p(W2) = M_p^{\text{exp}} \times \lim_{aM_p \rightarrow 0} \frac{aM(W2)}{aM(W1)}.$$

OUR SETUP: CHOICES OPTIMIZED TO BE ABLE TO SIMULATE HEAVY QUARKS

$$\Lambda^{(3)} = \mu_{\text{dec}}(M) \times \frac{\Lambda^{(0)}}{\mu_{\text{dec}}} \times \frac{1}{P(\Lambda/M)} + \mathcal{O}(\alpha^4(m^*)) + \mathcal{O}\left(\frac{\mu_{\text{dec}}}{M}\right) + \mathcal{O}\left(\frac{\mu_{\text{dec}}^2}{M^2}\right)$$

- ▶ Work in finite volume schemes with Schrödinger Functional boundary conditions: $T \times L^3$ with Dirichlet bcs. in time. ($\mu \sim 1/L$): “Only” two scales.
- ▶ Use Gradient Flow couplings

$$\bar{g}^2(\mu) = \mathcal{N}^{-1}(c, a/L) t^2 \langle E(t) \rangle \Big|_{\mu^{-1} = \sqrt{8t} = cL}$$

- ▶ Fix $\bar{g}^2(\mu_{\text{dec}}) \Big|_{N_f=3, M=0, T=L} = 3.95$. This defines $\mu_{\text{dec}} = 1/L \sim 800$ MeV
- ▶ Small volume \implies We can simulate heavy quarks (i.e. $a \sim 30 - 50$ GeV $^{-1}$)
- ▶ Matching condition ($\{N_f = 3, M\} \leftrightarrow \{N_f = 0\}$) between massive scheme and effective theory

$$\bar{g}^2(\mu_{\text{dec}}(M)) \Big|_{N_f=3, M, T=2L} = \bar{g}^2(\mu_{\text{dec}}) \Big|_{N_f=0, T=2L}$$

Matching: QCD in a finite volume!

- ▶ Convenient variable: $z = M/\mu_{\text{dec}}$

OUR SETUP: CHOICES OPTIMIZED TO BE ABLE TO SIMULATE HEAVY QUARKS

$$\Lambda^{(3)} = \mu_{\text{dec}}(M) \times \frac{\Lambda^{(0)}}{\mu_{\text{dec}}} \times \frac{1}{P(\Lambda/M)} + \mathcal{O}(\alpha^4(m^*)) + \mathcal{O}\left(\frac{\mu_{\text{dec}}}{M}\right) + \mathcal{O}\left(\frac{\mu_{\text{dec}}^2}{M^2}\right)$$

We only need to fill in a table!

$\mu_{\text{dec}}(M)$ [MeV]	$M/\mu_{\text{dec}}(M)$	\bar{g}_z^2	$\Lambda^{(0)}/\mu_{\text{ref}}$	$\Lambda_{\text{eff}}^{(3)}$
789(15)	1.972	-	-	-
789(15)	4	-	-	-
789(15)	6	-	-	-
789(15)	8	-	-	-
789(15)	10	-	-	-
789(15)	12	-	-	-

- ▶ Difficult continuum extrapolations to determine $\bar{g}_z^2 = \bar{g}^2(\mu_{\text{dec}}(M)) \Big|_{N_f=3, M, T=2L}$
- ▶ Use combined Heavy-Quark / Symanzik effective theories.

CONTINUUM EXTRAPOLATION ANSATZE

Quadratic dependence on lattice spacing (a) via $a\mu_{\text{dec}}$ and aM

For large enough masses, effective theory applies:

$$\bar{g}^2(z_i, a) = C_i + p_1 [\alpha_{\overline{\text{MS}}}(a^{-1})]^{\hat{\Gamma}} (a\mu_{\text{dec}})^2 + p_2 [\alpha_{\overline{\text{MS}}}(a^{-1})]^{\hat{\Gamma}'} (aM_i)^2.$$

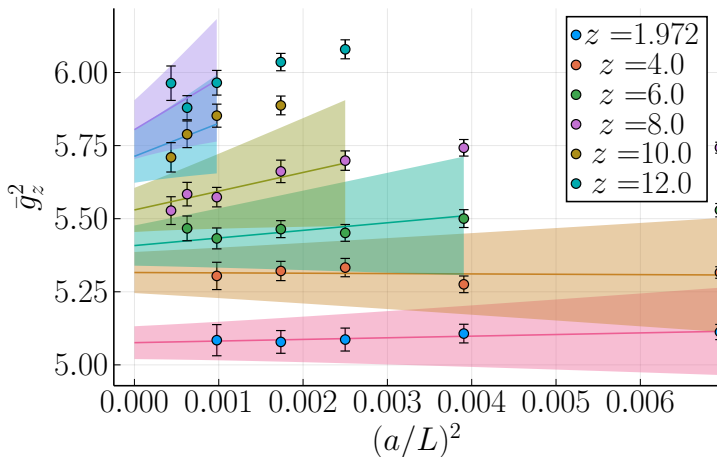
- ▶ **Continuum values** (our target quantity)
- ▶ **Mass independent cutoff effects**
- ▶ **Mass dependent cutoff effects**
- ▶ **Loop corrections in effective theory:** $-1 \leq \hat{\Gamma} \leq 1$ and $-1/9 \leq \hat{\Gamma}' \leq 1$

Additional assumptions about $\mathcal{O}(aM)$ effects

Partial knowledge based on PT: **Propagate difference between last known orders as additional uncertainty**

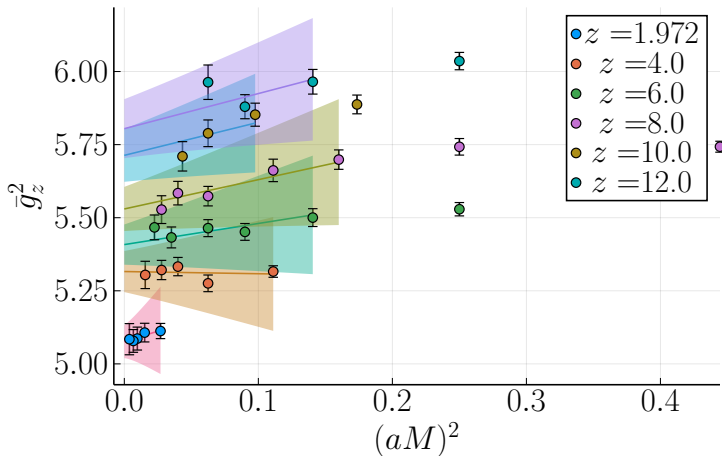
- ▶ Schrödinger functional boundaries: Small (negligible to our level of precision). Explicit computation.
- ▶ Quark mass improvement: b_m, b_A, b_P, \dots **Very** small effect.
- ▶ Improved bare coupling: b_g . Large effect at large masses (comparable to statistical uncertainties). Decreases as $aM \rightarrow 0$.

CONTINUUM EXTRAPOLATIONS



Continuum extrapolations with $L/a = 12, 16, 20, 24, 32, 40, 48$

CONTINUUM EXTRAPOLATIONS



Continuum extrapolations with $L/a = 12, 16, 20, 24, 32, , 40, 48$

TABLE CAN BE FILLED

$$\Lambda^{(3)} = \mu_{\text{dec}}(M) \times \frac{\Lambda^{(0)}}{\mu_{\text{dec}}} \times \frac{1}{P(\Lambda/M)} + \mathcal{O}(\alpha^4(m^*)) + \mathcal{O}\left(\frac{\mu_{\text{dec}}}{M}\right) + \mathcal{O}\left(\frac{\mu_{\text{dec}}^2}{M^2}\right)$$

$\mu_{\text{dec}}(M)$ [MeV]	$M/\mu_{\text{dec}}(M)$	\bar{g}_z^2	$\Lambda^{(0)}/\mu_{\text{ref}}$	$\Lambda_{\text{eff}}^{(3)}$ [MeV]
789(15)	1.972	5.076(56)	0.540(14)	426(14)
789(15)	4	5.316(70)	0.492(14)	388(13)
789(15)	6	5.408(69)	0.460(12)	363(12)
789(15)	8	5.530(76)	0.445(12)	351(12)
789(15)	10	5.713(90)	0.443(13)	349(12)
789(15)	12	5.80(10)	0.434(13)	343(12)

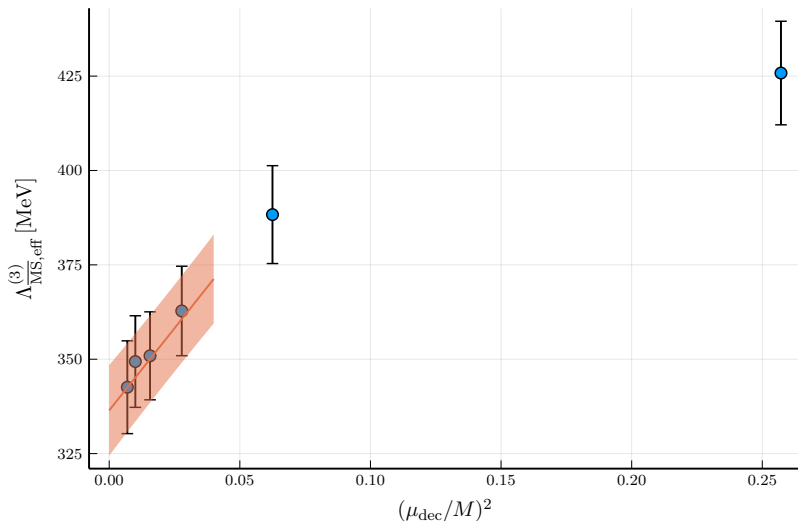
Perturbative uncertainties

$$\mathcal{O}(\alpha^4(m^*))$$

Completely negligible!. (Take difference between 4-loops and 2-loops as estimate)

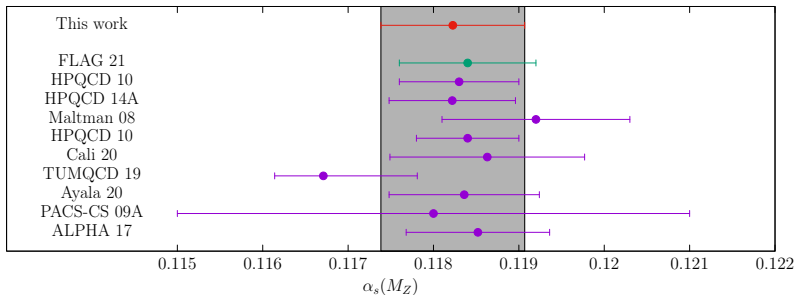
DETERMINATION OF $\Lambda^{(3)}$ FROM DECOUPLING: $\Lambda_{\overline{\text{MS}}}^{(3)} = 336(12) \text{ MeV}$

$$\Lambda_{\text{eff}}^{(3)} = \Lambda^{(3)} + \frac{B}{z^2} [\alpha(m_*)] \Gamma_m$$



RESULT

$$\alpha_s(m_Z) = 0.11823(69)(42)_{b_g(20)\Gamma_m(6)_{3\rightarrow 5,PT(7)_{3\rightarrow 5,NP}} = 0.11823(84).$$



SEVERAL VARIATIONS OF ANALYSIS

Continuum extrapolation

- ▶ $(aM)^2 < 0.16, 0.25$
- ▶ Various values of $\hat{\Gamma}, \Gamma'$
- ▶ Several coupling definitions (statistically correlated) labeled by $c = 0.30, 0.33, 0.36, 0.39, 0.42$

$M \rightarrow \infty$

- ▶ Several values of Γ_m
- ▶ Several values of c
- ▶ $z4, 6, 8$

EXAMPLE

$z \geq 4$			$z \geq 6$			$z \geq 8$		
c	$\Lambda_{\overline{MS}}^{(3)}$	Q [%]	c	$\Lambda_{\overline{MS}}^{(3)}$	Q [%]	c	$\Lambda_{\overline{MS}}^{(3)}$	Q [%]
0.30	349(11)	2	0.30	340(12)	11	0.30	338(13)	4
0.33	345(11)	8	0.33	338(12)	13	0.33	338(13)	4
0.36	342(11)	16	0.36	336(12)	16	0.36	338(13)	6
0.39	339(11)	21	0.39	335(12)	16	0.39	338(13)	7
0.42	336(11)	23	0.42	333(12)	15	0.42	337(13)	7

CONCLUSIONS

- ▶ Extraction of α_s is a **very hard** multi-scale problem
 - ▶ Computational cost $\implies (L/a)^7$
 - ▶ Perturbative uncertainties $\implies \log(L/a)^\#$
- ▶ Perturbative uncertainties hard to estimated with data in a limited range of scales
- ▶ One should take “non-perturbative” limit seriously (i.e. $\alpha \rightarrow 0$)
- ▶ Perturbative uncertainties using scale variation are a guide: Common framework to all approaches? [L. Del Debbio, A. Ramos Phys.Rep.(2021)190]
- ▶ One **real solution**: Step scaling
 - ▶ Non-perturbative running from 200 MeV to 140 GeV: $\alpha_s(M_Z) = 0.1185(8)$
- ▶ *Exponential* improvement (still a multi-scale problem): Decoupling of heavy quarks
 - ▶ Perturbative uncertainties negligible ($M \approx 10$ GeV)
 - ▶ Non-perturbative corrections can be extrapolated
 - ▶ Relies on *pure gauge determinations of $\Lambda^{(0)}$*
 - ▶ Precise result: $\alpha_s(M_Z) = 0.1182(8)$
- ▶ $\delta\alpha_s(M_Z) \approx 0.4\%$ certainly possible (uncertainties dominated by pure gauge (!!)) and low energy running (!).
- ▶ $\delta\alpha_s(M_Z) < 0.3\%$ requires serious thinking.
- ▶ Potential for **other lattice approaches**: How difficult to simulate high M ?

CONCLUSIONS

- ▶ Extraction of α_s is a **very hard** multi-scale problem
 - ▶ Computational cost $\implies (L/a)^7$
 - ▶ Perturbative uncertainties $\implies \log(L/a)^\#$
- ▶ Perturbative uncertainties hard to estimated with data in a limited range of scales
- ▶ One should take “non-perturbative” limit seriously (i.e. $\alpha \rightarrow 0$)

Personal opinion

Uncertainties using scale variation are a guide: Common

Future belongs to **dedicated** approaches, **not** to beating an exponentially hard problem with your machines

Many thanks!

- ▶ Precise result: $\alpha_s(M_Z) = 0.1162(\delta)$
- ▶ $\delta\alpha_s(M_Z) \approx 0.4\%$ certainly possible (uncertainties dominated by pure gauge (!!)) and low energy running (!).
- ▶ $\delta\alpha_s(M_Z) < 0.3\%$ requires serious thinking.
- ▶ Potential for **other lattice approaches**: How difficult to simulate high M ?