

# Rare decays



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on behalf of the LHCb collaboration

<sup>1</sup>Heidelberg University

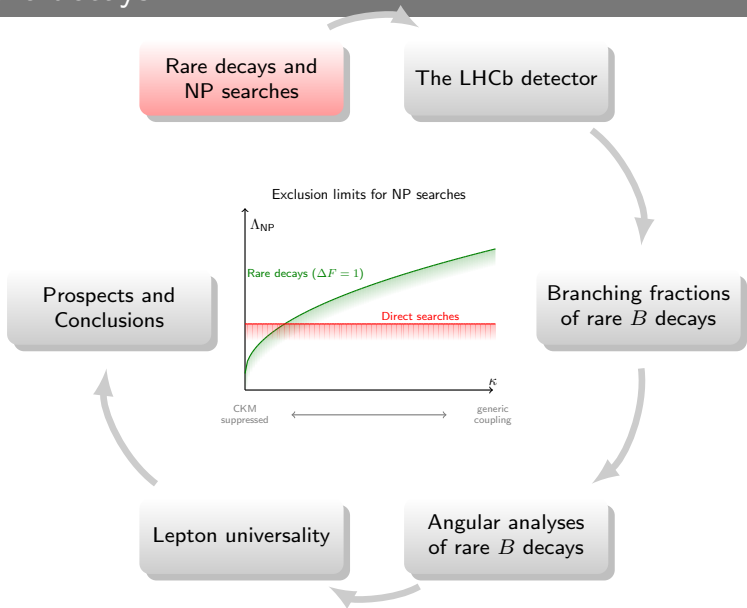


Rencontres de Blois  
May 17<sup>th</sup> 2023



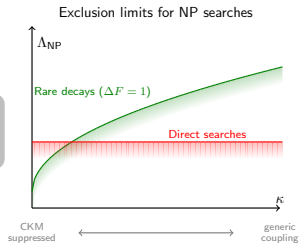


# Rare decays



Rare decays and NP searches

The LHCb detector



Prospects and Conclusions

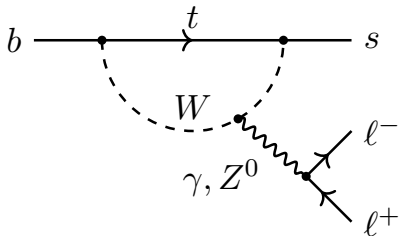
Branching fractions of rare  $B$  decays

Lepton universality

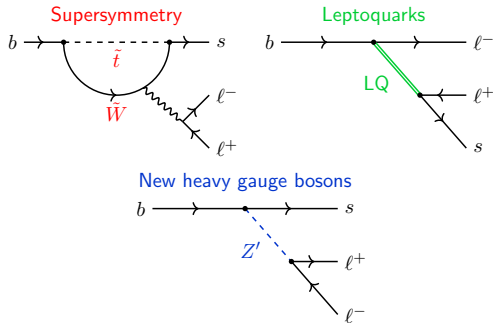
Angular analyses of rare  $B$  decays

# Rare decays as sensitive probes for New Physics

$b \rightarrow s \ell \ell$  decays in the SM

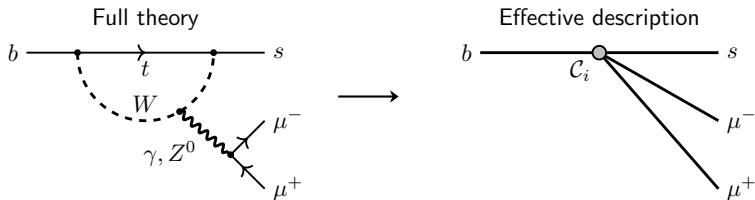


Possible contributions from NP



- Rare decays heavily (loop-)suppressed in the SM
- New heavy particles can significantly contribute and affect decay rates, angular distributions, and rate asymmetries

# Rare $B$ decays in effective field theory



- Model-independent description in effective field theory

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} \sum_i C_i \mathcal{O}_i$$

Local operator

Wilson coefficient ("effective coupling")

$$\Delta\mathcal{H}_{\text{NP}} = \frac{\kappa}{\Lambda_{\text{NP}}^2} \mathcal{O}_i$$

Flavour-violating coupling

NP scale

- Rare  $B$  decays allow to probe several operators  $\mathcal{O}_i^{(\text{NP})}$
- $\Lambda_{\text{NP}}$  up to  $\mathcal{O}(100 \text{ TeV})$  reachable  
[JHEP 11 (2014) 121]

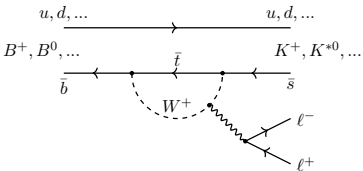
	Wilson coefficient	Operator
$\gamma$ -penguin <sup>1</sup>	$C_7^{(l)}$	$\frac{e}{g^2} m_b (\bar{s} \sigma_{\mu\nu} P_{R(L)} b) F^{\mu\nu}$
ew. penguin	$C_9^{(l)}$	$\frac{e^2}{g^2} (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\mu} \gamma^\mu \mu)$
	$C_{10}^{(l)}$	$\frac{e^2}{g^2} (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\mu} \gamma^\mu \gamma_5 \mu)$
scalar	$C_S^{(l)}$	$\frac{e^2}{16\pi^2} m_b (\bar{s} P_{R(L)} b) (\bar{\mu} \mu)$
pseudoscalar	$C_P^{(l)}$	$\frac{e^2}{16\pi^2} m_b (\bar{s} P_{R(L)} b) (\bar{\mu} \gamma_5 \mu)$

$b \rightarrow s \gamma$   
 $B^0 \rightarrow \mu^+ \mu^-$   
 $b \rightarrow s \ell \ell$

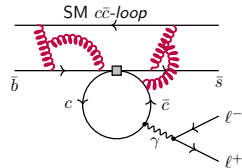
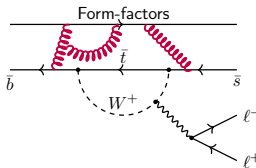
<sup>†</sup> [see backup]

Observables in rare  $b \rightarrow sll$  decays and their cleanliness

Quarks bound in hadrons, e.g.

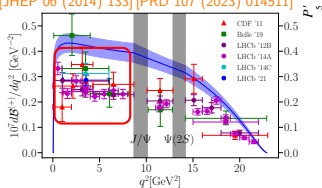


Hadronic uncertainties

 $b \rightarrow sll$  Observables

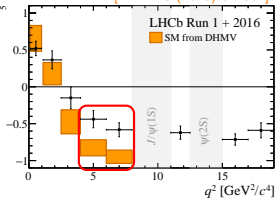
Increasing precision of SM prediction

[JHEP 06 (2014) 133] [PRD 107 (2023) 014511]



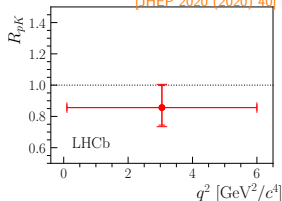
**Branching fractions**  
affected by form-factors  
and  $c\bar{c}$ -loop

[PRL 125 (2020) 011802]



**Angular observables**  
affected by  $c\bar{c}$ -loop

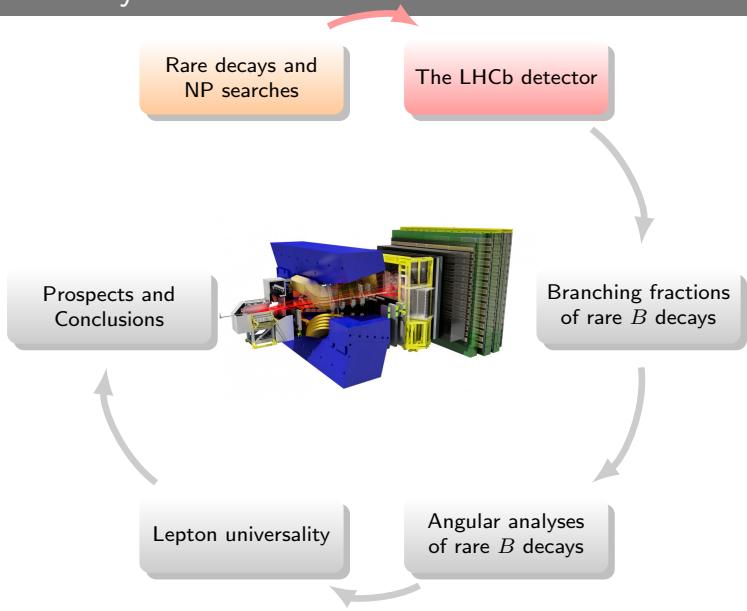
[JHEP 2020 (2020) 40]



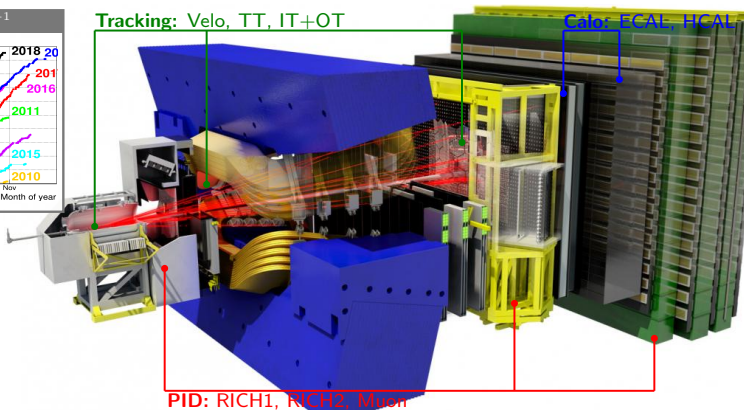
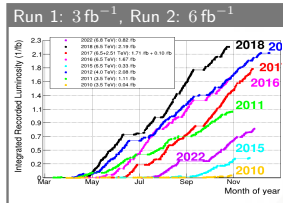
**Lepton Universality Tests**  
clean



# Rare decays

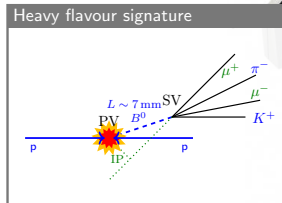
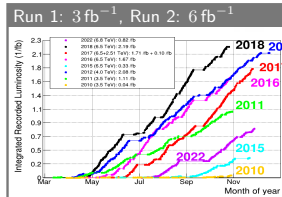


# The LHCb experiment: Optimized for heavy flavour



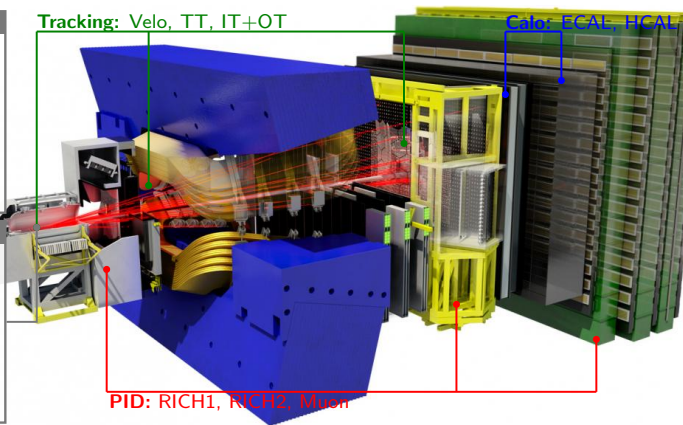
- Large  $\sigma_{b\bar{b}}$ :  $(284 \pm 53) \mu\text{b}$  at 7 TeV and  $(495 \pm 52) \mu\text{b}$  at 13 TeV [PLB 694 (2010) 209-216] [JHEP 10 (2015) 172]
- Excellent IP resolution  $\sim 20 \mu\text{m}$  to identify  $B$  decay vertices,  $\Delta p/p = 0.5 - 1\%$
- Particle identification:  $\epsilon_{K \rightarrow K} \sim 95\%$ ,  $\epsilon_{\pi \rightarrow K} \sim 5\%$  and  $\epsilon_{\mu \rightarrow \mu} \sim 97\%$ ,  $\epsilon_{\pi \rightarrow \mu} \sim 1 - 3\%$
- Low trigger thresholds:  $p_T(\mu) > 1.8 \text{ GeV}$ ,  $E_T(e) > 3.0 \text{ GeV}$

# The LHCb experiment: Optimized for heavy flavour



Tracking: Velo, TT, IT+OT

Calo: ECAL HCAL

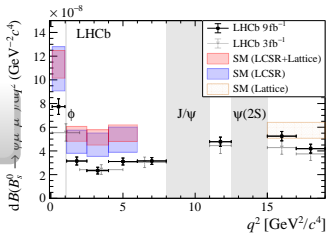
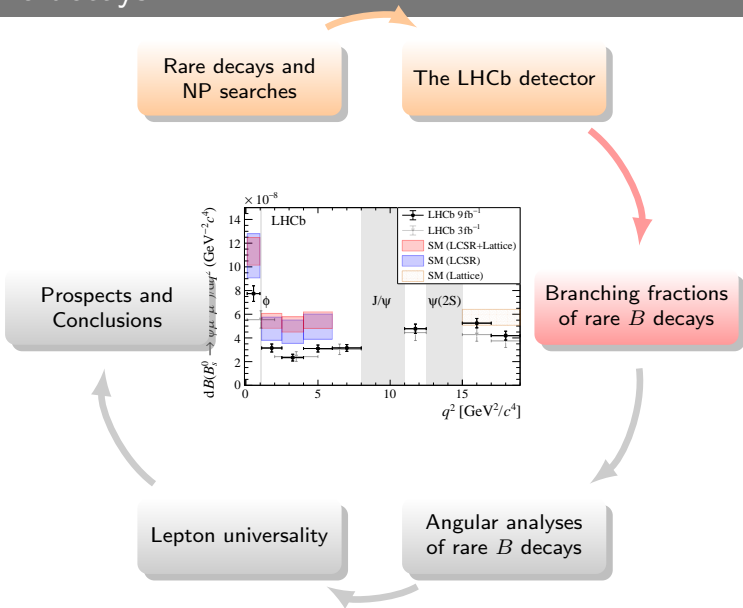


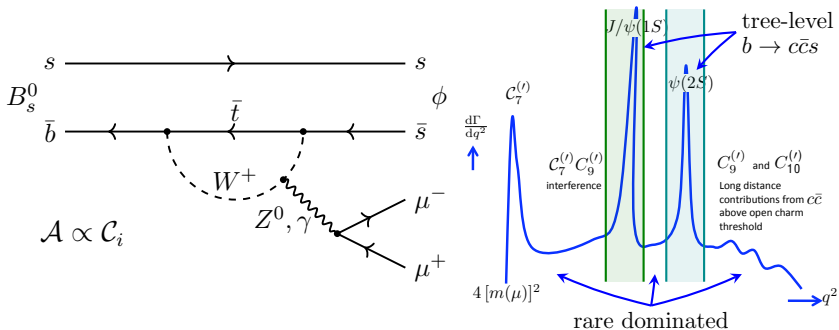
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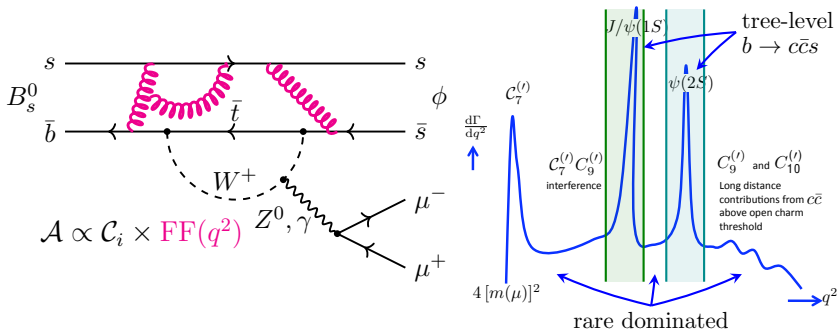
# Rare decays



Branching fraction of  $B_s^0 \rightarrow \phi \mu^+ \mu^-$ 

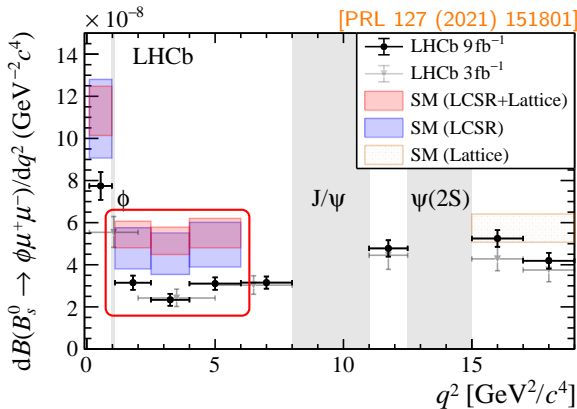
- Branching fraction of semileptonic  $b \rightarrow s \mu^+ \mu^-$  decays can be affected by NP
- Central:  $q^2 = m(\ell^+ \ell^-)^2$ , different operators contribute depending on  $q^2$
- At  $q^2 = m_{J/\psi}^2$  important tree-level  $b \rightarrow c\bar{c}s$  normalisation mode  $B_s^0 \rightarrow J/\psi \phi$
- SM predictions directly affected by significant **form factor** uncertainties

Low  $q^2$ : LCSRs [\[PRD 71 \(2005\) 014029\]](#) [\[JHEP 08 \(2016\) 98\]](#) [\[PRD 75 \(2007\) 054013\]](#) [\[JHEP 09 \(2010\) 089\]](#) High  $q^2$ : Lattice [\[PRD 89 \(2014\) 094501\]](#) [\[PRD 88 \(2013\) 054509\]](#)

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$B_s^0 \rightarrow \phi \mu^+ \mu^-$  branching fraction


SM LCSR

[JHEP 08 (2016) 098]

[EPJC 75 (2015) 8]

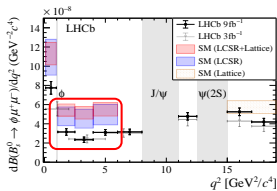
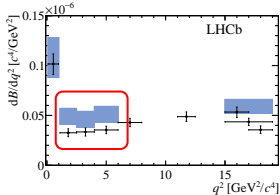
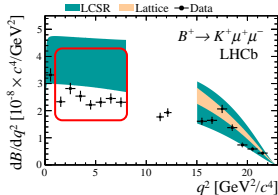
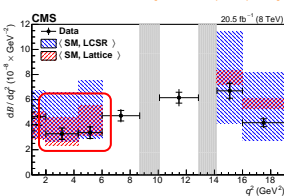
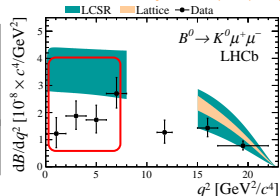
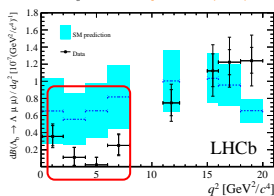
SM LCSR+Lattice

[PRL 112 (2014) 212003]

[PoS Lattice 2014 372]

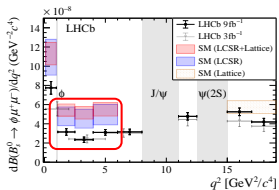
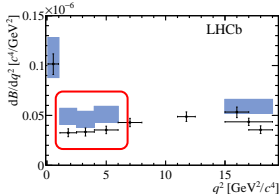
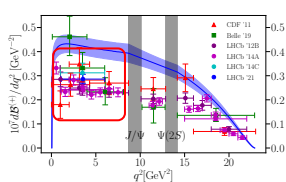
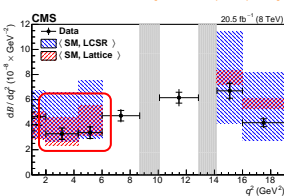
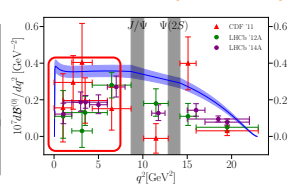
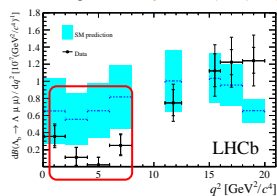
- Recent LHCb measurement using full Run 1+2 sample [PRL 127 (2021) 151801]
- $d\mathcal{B}(B_s^0 \rightarrow \phi \mu^+ \mu^-, 1.1 < q^2 < 6 \text{ GeV}^2/c^4) = (2.88 \pm 0.21)^{-8} \text{ GeV}^2/c^4$
- Tension with SM at **3.6  $\sigma$  (LCSR+Lattice)** and **1.8  $\sigma$  (LCSR only)**

# Low $\mathcal{B}$ also found for other $b \rightarrow s \mu^+ \mu^-$ decays

LHCb  $B_s^0 \rightarrow \phi \mu^+ \mu^-$  [PRL 127 (2021) 151801]LHCb  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  [JHEP 11 (2016) 047]LHCb  $B^+ \rightarrow K^+ \mu^+ \mu^-$  [JHEP 06 (2014) 133]CMS  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  [PLB 753 (2016) 424]LHCb  $B^0 \rightarrow K^0 \mu^+ \mu^-$  [JHEP 06 (2014) 133]LHCb  $\Lambda_b^0 \rightarrow \Lambda \mu^+ \mu^-$  [JHEP 06 (2015) 115]

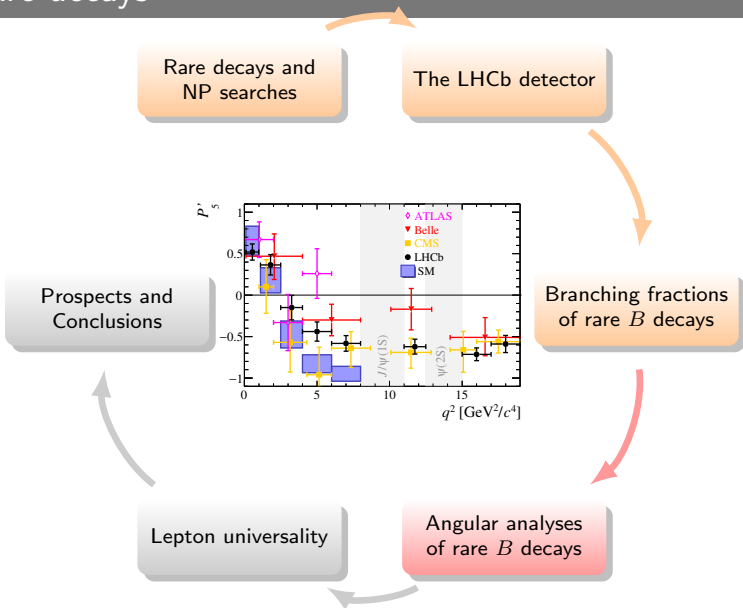
- Data consistently below SM predictions (particularly at low  $q^2$ )
- Tensions at  $1-3\sigma$  level, SM predictions exhibit sizeable had. uncertainties
- Exciting recent developments on non-local corrections [JHEP 09 (2022) 133] and new results from Lattice QCD [HPQCD, PRD 107 (2023) 1]
- Work on updates with full data sample, clean observables like  $A_I$

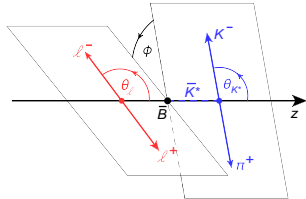
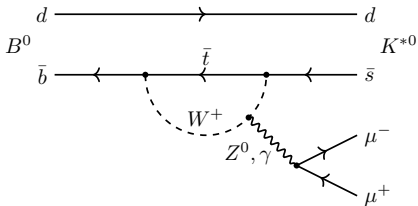
# Low $\mathcal{B}$ also found for other $b \rightarrow s\mu^+\mu^-$ decays

LHCb  $B_s^0 \rightarrow \phi\mu^+\mu^-$  [PRL 127 (2021) 151801]LHCb  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  [JHEP 11 (2016) 047]Lattice  $B^+ \rightarrow K^+\mu^+\mu^-$  [arXiv:2207.13371]CMS  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  [PLB 753 (2016) 424]Lattice  $B^0 \rightarrow K^0\mu^+\mu^-$  [arXiv:2207.13371]LHCb  $A_b^0 \rightarrow \Lambda\mu^+\mu^-$  [JHEP 06 (2015) 115]

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# Rare decays



Angular analysis of  $B^0 \rightarrow K^{*0}[\rightarrow K^+\pi^-]\mu^+\mu^-$ 

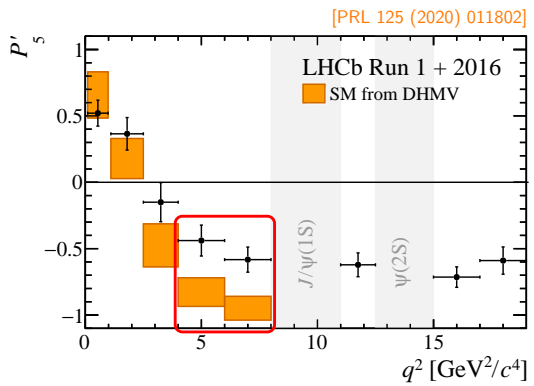
- Decay fully described by three helicity angles  $\vec{\Omega} = (\theta_\ell, \theta_K, \phi)$  and  $q^2 = m_{\mu\mu}^2$

$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^3(\Gamma + \bar{\Gamma})}{d\vec{\Omega}} = \frac{9}{32\pi} \left[ \frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right. \\ \left. - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi \right. \\ \left. + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \right. \\ \left. + \frac{4}{3} A_{FB} \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \right. \\ \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right]$$

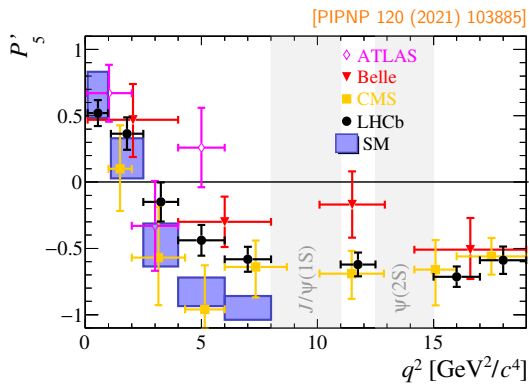
- Angular observables  $F_L, A_{FB}, S_i$  sensitive to NP contributions
- Perform ratios of observables where **form factors** cancel at leading order

Example:  $P'_5 = \frac{S_5}{\sqrt{F_L(1-F_L)}}$  [S. Descotes-Genon *et al.*, JHEP, 05 (2013) 137]



Angular observable  $P'_5$  from  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ 

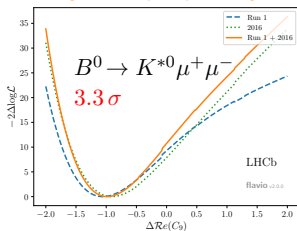
- In  $q^2$  bins [4.0, 6.0] and [6.0, 8.0]  $\text{GeV}^2/c^4$  local tensions of  $2.5\sigma$  and  $2.9\sigma$
- [LHCb, PRL 125 (2020) 011802] consistent with [Belle, PRL 118 (2017) 111801] [CMS, PLB 781 (2018) 517] [ATLAS, JHEP 10 (2018) 047]
- Update using the full LHCb Run 1+2 data sample ongoing

Angular observable  $P'_5$  from  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ 

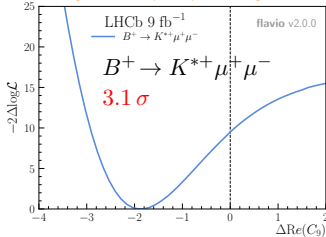
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# Consistency of $b \rightarrow s \mu^+ \mu^-$ angular analyses

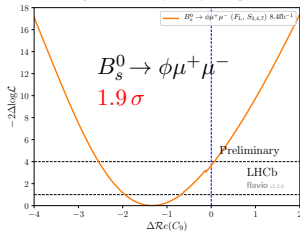
[PRL 125 (2020) 011802]



[PRL 126 (2021) 161802]

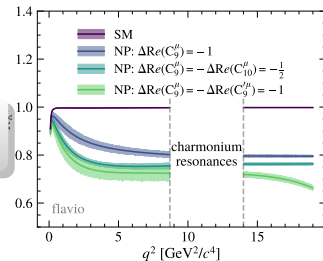
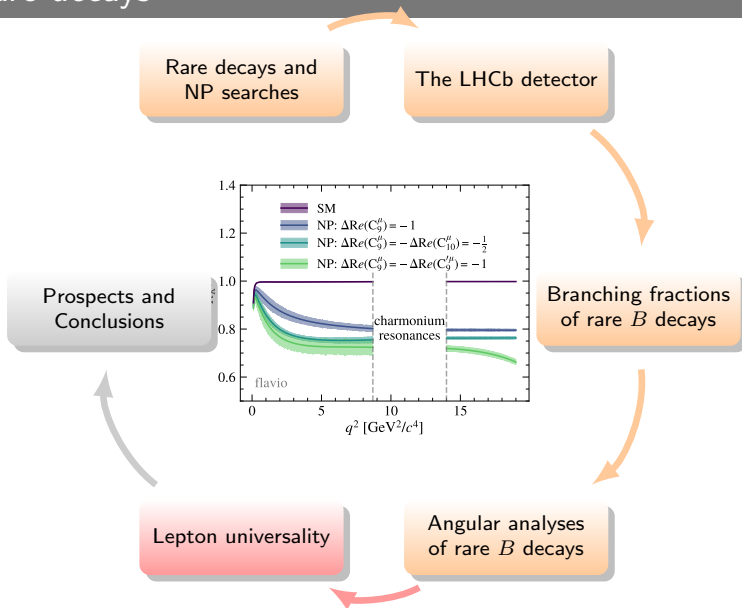


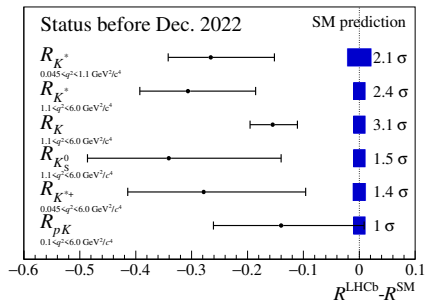
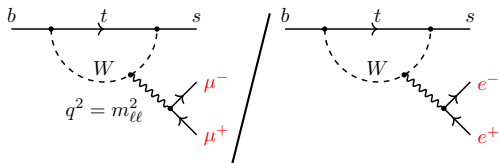
[JHEP 11 (2021) 043]



- Use flavio [arXiv:1810.08132] to determine tension with SM hypothesis
- Variation of vector coupling  $\text{Re}(C_9)$  results in improved description of data
- Consistent trend for  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  [PRL 125 (2020) 011802],  $B^+ \rightarrow K^{*+} \mu^+ \mu^-$  [PRL 126 (2021) 161802] and  $B_s^0 \rightarrow \phi \mu^+ \mu^-$  [JHEP 11 (2021) 043] angular observables
- However, interpretation not clear due to significant hadronic uncertainties

# Rare decays



Lepton Flavour Universality tests in  $b \rightarrow sl\ell$  decays

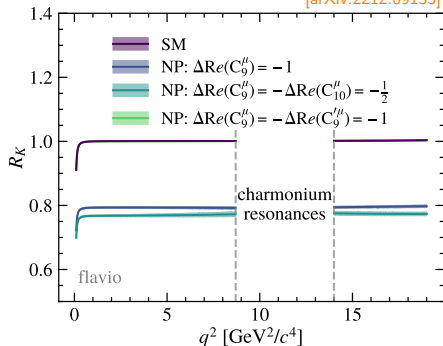
- Lepton flavour universality central property of SM
- Testable using ratios of branching fractions of rare  $b \rightarrow sl^+l^-$  decays:

$$R_{K,K^*} = \frac{\mathcal{B}(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{\mathcal{B}(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}$$

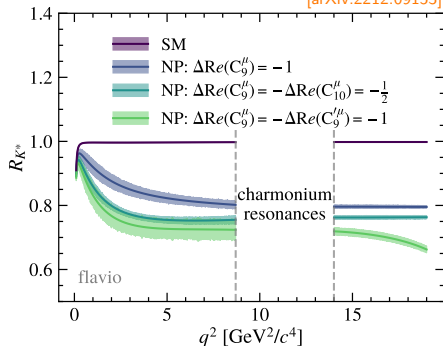
- Exactly unity in SM, differences only through lepton mass effects
- QED corrections  $\mathcal{O}(1\%)$  [EPJC 76 (2016) 440]
- Hadronic uncertainties (form-factors and  $c\bar{c}$ -loop) cancel in the ratio

# $R_K$ and $R_{K^*}$ in different NP scenarios

[arXiv:2212.09153]



[arXiv:2212.09153]



- Example NP models assuming NP only in muons
- Some ability to disentangle different scenarios with  $R_K$  and  $R_{K^*}$
- Simultaneous  $R_K$  and  $R_{K^*}$  determination with  $9\text{ fb}^{-1}$  Run 1+2 data
  - low- $q^2$ :  $q^2 \in [0.1, 1.0] \text{ GeV}^2/c^4$
  - central- $q^2$ :  $q^2 \in [1.1, 6.0] \text{ GeV}^2/c^4$

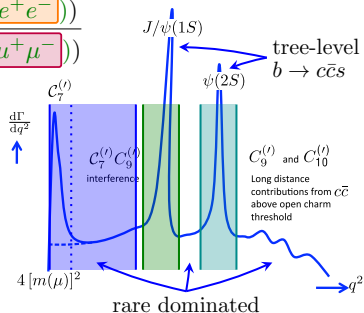
# Analysis strategy: Double ratio (Example: $R_K$ )

- Analysis strategy: Double ratio of rare modes  $B^+ \rightarrow K^+ \ell^+ \ell^-$  with resonant decays  $B^+ \rightarrow K^+ J/\psi (\rightarrow \ell^+ \ell^-)$ :

$$r_{J/\psi}^{-1} = 1 \quad [\text{PRD 88 (2013) 3}]$$

$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)} \times \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}$$

- Electron and Muon reconstruction very different at LHCb
- Efficiencies from corrected simulation
- Double ratio cancels most experimental systematic effects in efficiency ratios

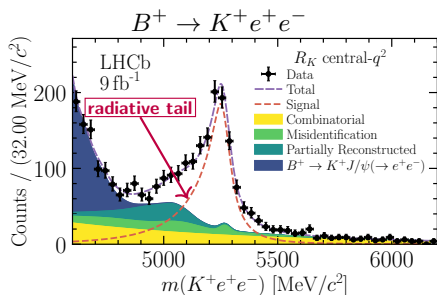
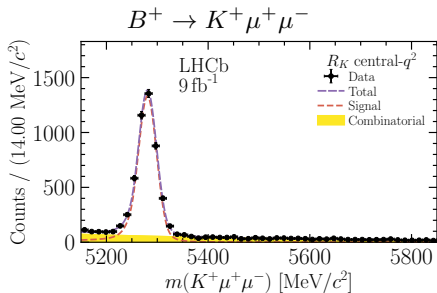


- Important cross-checks:  $r_{J/\psi} = \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}$  and

$$R_{\psi(2S)} = \frac{\mathcal{B}(B^+ \rightarrow K^+ \psi(2S) (\rightarrow \mu^+ \mu^-))}{\mathcal{B}(B^+ \rightarrow K^+ \psi(2S) (\rightarrow e^+ e^-))} \times \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}$$

- Both  $r_{J/\psi}$  and  $R_{\psi(2S)}$  compatible with unity at better than  $2\sigma$  ✓

# Experimental challenges for electron modes at LHCb



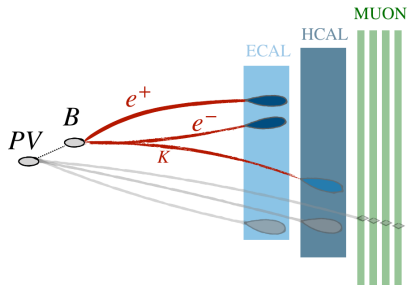
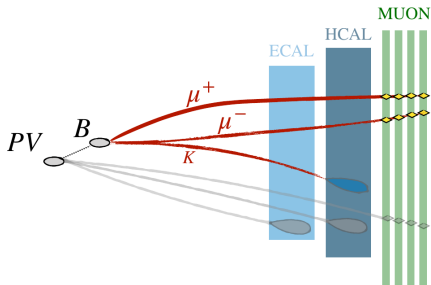
[arXiv:2212.09152] [arXiv:2212.09153]

Experimental Challenges for electron modes:

- 1 Low  $e$  trigger efficiencies due to higher thresholds compared to muons
- 2 Electrons strongly emit **Bremsstrahlung** traversing material
- 3 Contribution from several background sources, bkg. modeling critical

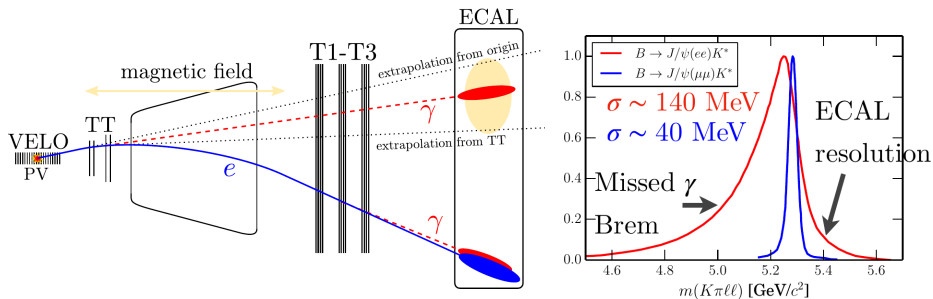


# Experimental challenge: 1. Electron trigger



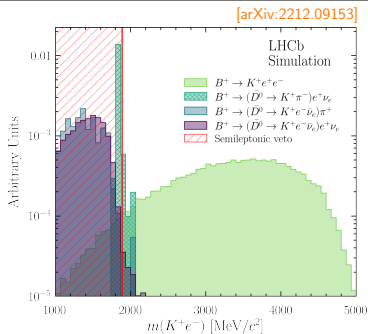
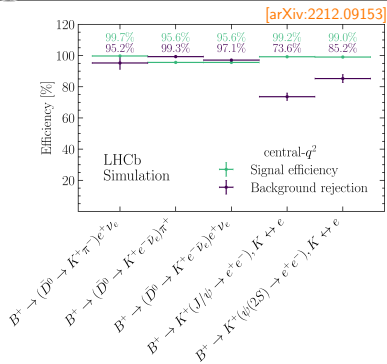
- Trigger signatures for muon and electron modes very different
- $p_T^{\text{L0 trigger}}(\mu) > 1.5\text{--}1.8 \text{ GeV}$   
 $E_T^{\text{L0 trigger}}(e) > 2.5\text{--}3.0 \text{ GeV}$
- Combine exclusive trigger categories to improve  $\epsilon$  for electron modes:
  - 1 Trigger on rest of event (independent of signal)
  - 2 Trigger on  $e/\mu$  from signal

# Experimental challenge: 2. Bremsstrahlung



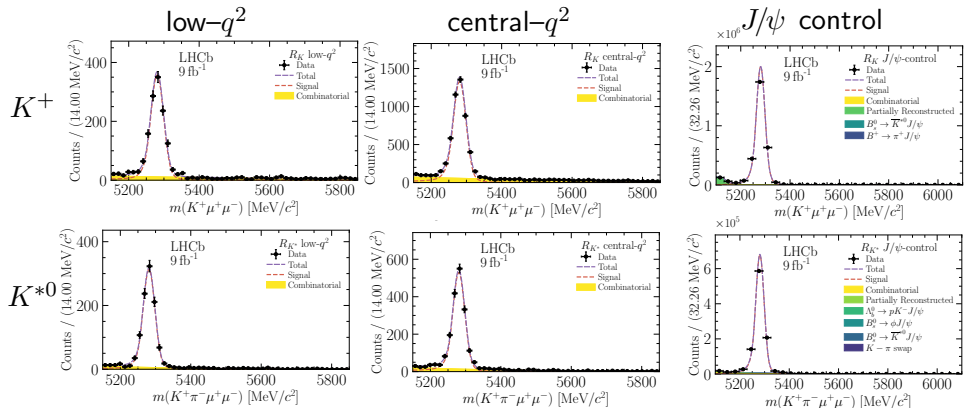
- Correct electron momentum by adding matching photons ( $E_T > 75 \text{ MeV}/c^2$ ) reconstructed in the ECAL
- Bremsstrahlung recovery  $\sim 50\%$  efficient, well simulated
- Bremsstrahlung reconstruction impacts momentum resolution  
 $\rightarrow$  higher background pollution and more sensitive to bkg. modeling

# Experimental challenge: 3. Background suppression



- Combinatorial: multivariate classifier using kinematic quantities and vertex quality information
- Partially reconstructed: multivariate classifier in electron mode and corrected mass exploiting PV/SV reconstruction
- Misidentification: Lepton and hadron particle identification
- Residual backgrounds from misidentification explicitly modeled with data-driven approach [see talk by R.-D. Moise] [backup]

# Muon mode fits

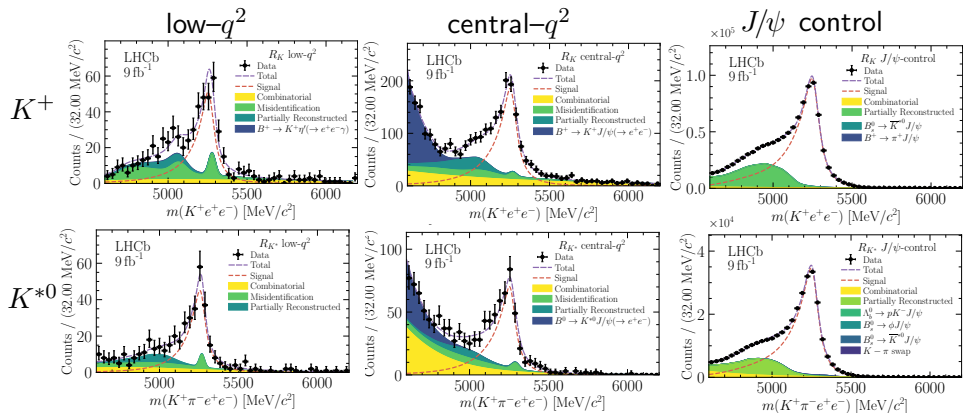


[arXiv:2212.09152] [arXiv:2212.09153]

- Muon mode is very clean!
- Muon branching fraction compatible with published results

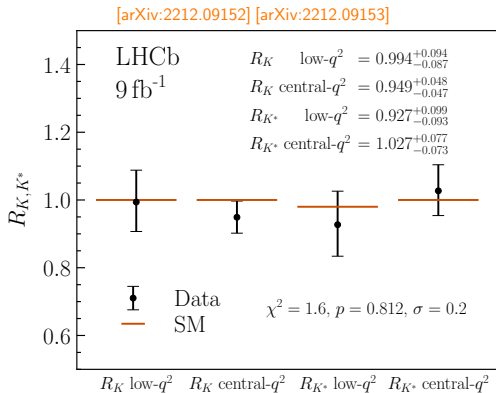
[JHEP 06 (2014) 133] [JHEP 11 (2016) 047]

## Electron mode fits

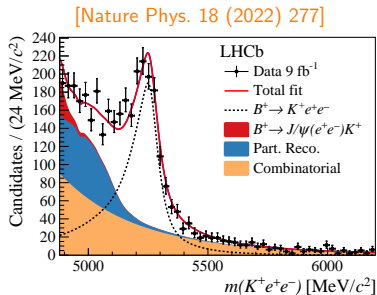


[arXiv:2212.09152] [arXiv:2212.09153]

- Brems. tails from  $J/\psi$  entering rare modes constrained in sim. fit
- Partially reconstructed bgk. from  $K^{*0} e^+ e^-$  constrained in  $K^+ e^+ e^-$

 $R_K$  and  $R_{K^*}$  results

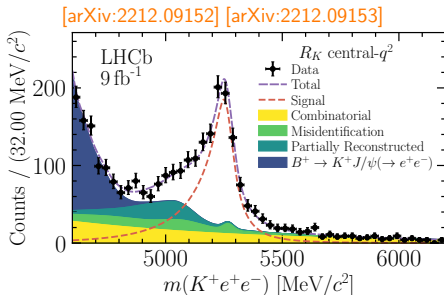
- Most precise test of LFU in  $b \rightarrow s \ell^+ \ell^-$  transitions
- Supersedes previous results
- Compatible with the SM at  $0.2\sigma$  using simple  $\chi^2$  test
- Statistical uncertainty dominates

Difference to previous  $R_K$  measurement

$$R_K = 0.846_{-0.039-0.012}^{+0.042+0.013}$$

[Nature Phys. 18 (2022) 277]

→



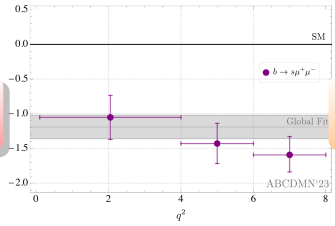
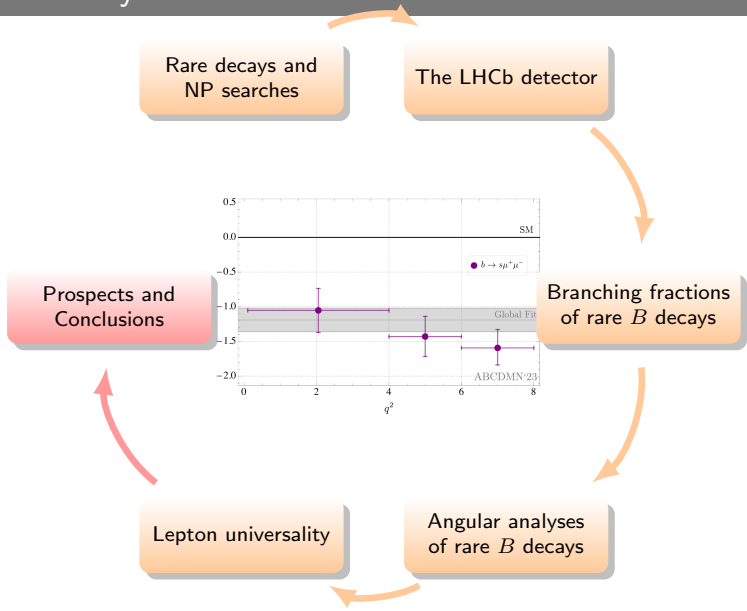
$$R_K = 0.949_{-0.041-0.022}^{+0.042+0.022}$$

[arXiv:2212.09152] [arXiv:2212.09153]

- Different selection allows for statistical scatter of  $\pm 0.033$
- Shift of  $\sim 0.1$  due to pollution by misidentified backgrounds not appropriately accounted for in [Nature Phys. 18 (2022) 277]
  - Tighter particle identification cuts: Shift of +0.064
  - Explicit inclusion of residual misid. backgrounds: Shift of +0.038

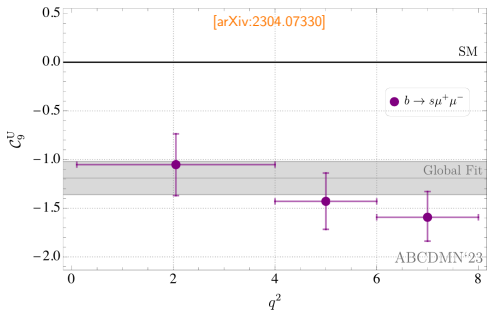
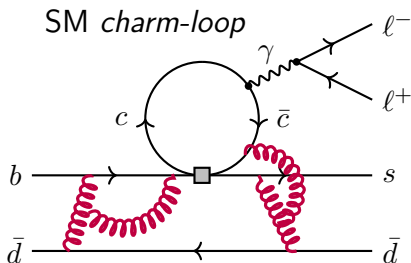


# Rare decays





# Disentangling hadronic contributions from potential NP



- Disentangling hadr. contributions requires work from theory and experiment
  - Progress on theory side:
    - Form-factors are systematically improved on the lattice [PRD 107 (2023) 1]
    - Recent more precise estimation of charm-loop effect [JHEP 09 (2022) 133]
  - Exploit  $q^2$ -dependence:
    - charm-loop rises towards  $c\bar{c}$ -resonances
    - NP  $q^2$ -independent
  - $q^2$ -unbinned approaches to better exploit data [JHEP 11 (2017) 176]
- Different  $c\bar{c}$ -loop parameterisations pursued [EPJC 78 (2018) 453] [JHEP 10 (2019) 236]  
 [EPJC 80 (2020) 12] [JHEP 09 (2022) 133]

# Summary and conclusions

- SM describes large majority of results with excellent precision, but some tensions appeared in the sector of rare decays
- Status of these *flavour anomalies*
  - Branching fractions of  $b \rightarrow s\mu^+\mu^-$  decays  $\sim 1-3\sigma$
  - Angular observables of  $b \rightarrow s\mu^+\mu^-$  decays  $\sim 2-3\sigma$
  - Lepton universality in  $R_{K,K^*}$   ~~$3\sigma$~~   $\rightarrow 0.2\sigma$
- Tensions in  $b \rightarrow s\mu^+\mu^-$   $\mathcal{B}$  and angular observables not theoretically clean, Progress requires synergistic work between experiment and theory!
- LHC Run 3 just started, will allow for unprecedented reach with flavour observables with brand new LHCb detector
- Belle 2 will provide important additional and complementary information





Backup

# NP contributions and reach with indirect searches

- NP can contribute to different operators  $\mathcal{O}_i$  depending on its type

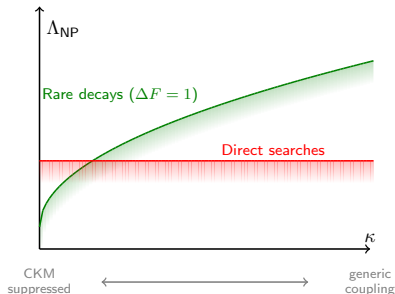
$$\mathcal{H}_{\text{eff}} = - \underbrace{\frac{4G_F}{\sqrt{2}} V_{\text{tb}} V_{\text{ts}}^*}_{\sim 1/(35 \text{ TeV})^2} \frac{e^2}{16\pi^2} \sum_i \mathcal{C}_i \mathcal{O}_i \quad \Delta\mathcal{H}_{\text{NP}} = \frac{\kappa}{\Lambda_{\text{NP}}^2} \mathcal{O}_i$$

$\kappa$  ← Flavour-viol. coupling  
 $\Lambda_{\text{NP}}^2$  ← NP scale

$$\Rightarrow \Lambda_{\text{NP}} \sim 35 \text{ TeV} \sqrt{\kappa / \Delta\mathcal{C}_i}$$

- NP reach not limited by  $\sqrt{s}$ , complementary with direct searches

Exclusion limits for NP searches



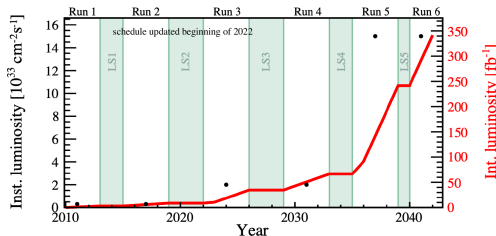
NP Scenario	Coupling $\kappa$
Tree-level generic	1
Tree-level CKM suppressed	$V_{\text{tb}} V_{\text{ts}}$
Loop-level generic	$\frac{1}{16\pi^2}$
Loop-level CKM suppressed	$\frac{V_{\text{tb}} V_{\text{ts}}}{16\pi^2}$

# Prospects in Run 3 and the Upgrade II

Prospects from [\[arXiv:1808.08865\]](https://arxiv.org/abs/1808.08865)

$B^0 \rightarrow K^{*0} \mu^+ \mu^-$  angular analysis

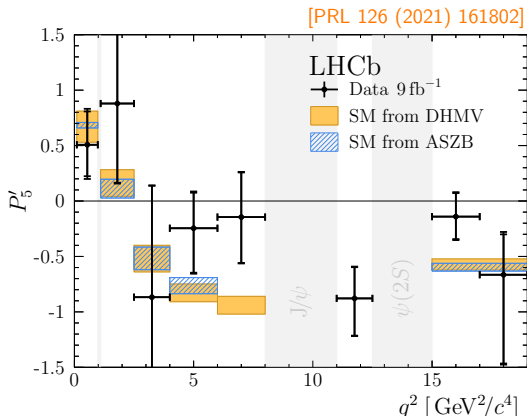
$\int \mathcal{L} dt$	$3 \text{ fb}^{-1}$	$23 \text{ fb}^{-1}$	$300 \text{ fb}^{-1}$
$\sigma^{\text{stat}}(S_i)$	$\leq 0.058$	$\leq 0.016$	$\leq 0.004$
$\sigma(C'_{10})$	0.31	0.15	0.06
$\Lambda_{\text{NP}}^{\text{tree generic}} [\text{TeV}]$	50	75	115



- Run 3 just started, will more than double  $\int \mathcal{L} dt$  from  $9 \text{ fb}^{-1}$  (Run 1+2) to around  $23 \text{ fb}^{-1}$
- Upgrade 2 will increase  $\int \mathcal{L} dt$  to  $300 \text{ fb}^{-1}$   
 Framework TDR [\[CERN-LHCC-2021-012\]](https://arxiv.org/abs/2012.01574),  
 Physics case [\[CERN-LHCC-2018-027\]](https://arxiv.org/abs/1812.07638),  
 CERN Yellow report [\[arxiv:1812.07638\]](https://arxiv.org/abs/1812.07638)
- NP reach in Upgrade II increases by more than factor 2 wrt. Run 1+2
- $\Lambda_{\text{NP}}$  reach beyond 100 TeV through precision



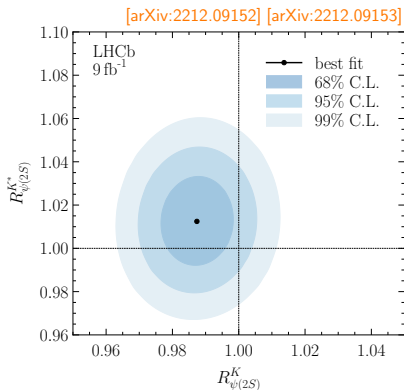
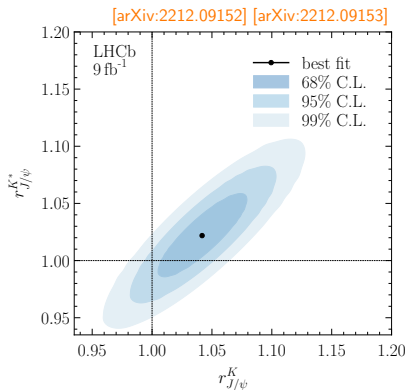
# Angular observable $P'_5$ from $B^+ \rightarrow K^{*+}(\rightarrow K_S^0 \pi^+) \mu^+ \mu^-$



- Recent LHCb measurement using Run 1+2 data [PRL 126 (2021) 161802]
- Global tension corresponding to  $3.1\sigma$ , consistent with  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$
- Angular analysis ( $F_L + A_{\text{FB}}$ ) also by CMS [JHEP 04 (2021) 124]

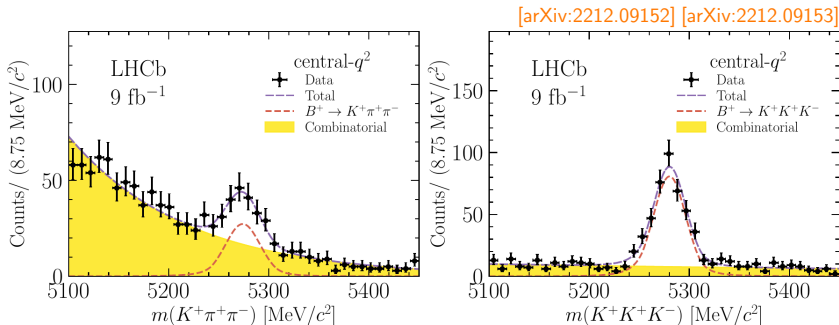


# Crosschecks $r_{J/\psi}$ and $R_{\psi(2S)}$



- Both  $r_{J/\psi}$  and  $R_{\psi(2S)}$  compatible with unity at better than  $2\sigma$

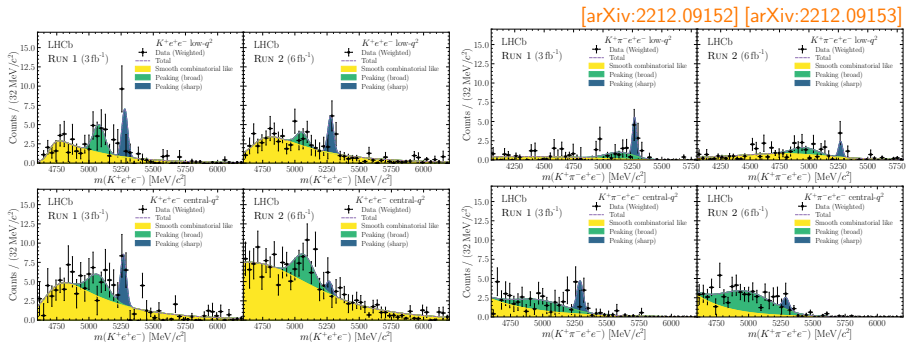
# Residual backgrounds from misidentification



- Misidentified backgrounds can be isolated by inverting particle ID cuts: Examples are (left)  $B^+ \rightarrow K^+ \pi^+ \pi^-$  and (right)  $B^+ \rightarrow K^+ K^+ K^-$
- Similar backgrounds for  $K^{*0} e^+ e^-$ , however Dalitz structure not well known
- Backgrounds from single misidentification less well known, complex shape
- Developed new inclusive data-driven treatment of misidentified residual backgrounds

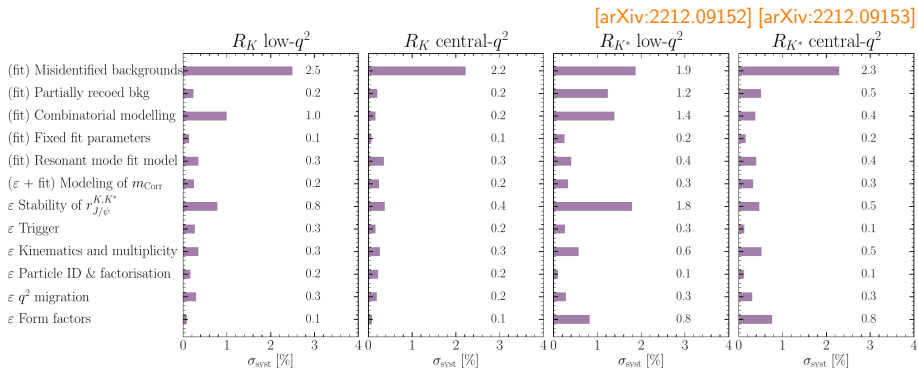


# Rare decays from misidentification



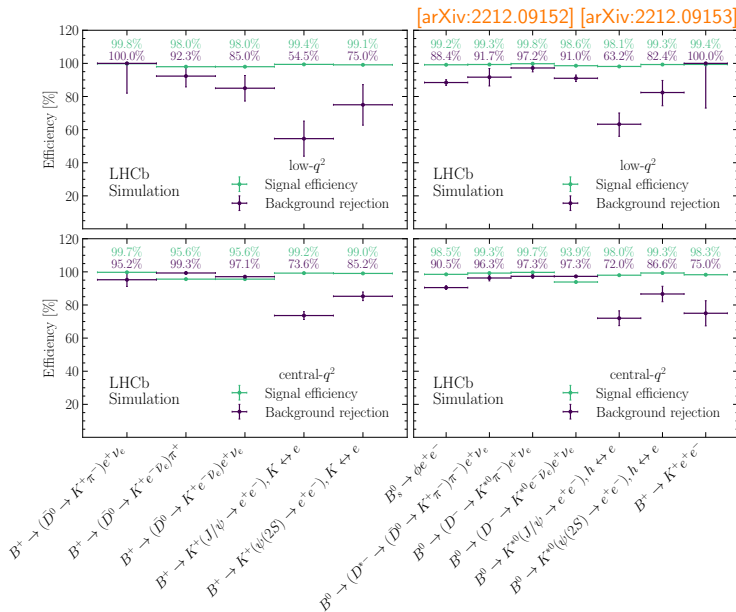
- Invert electron PID selection to obtain control region
- Use control samples from data to weight control region events according to their misidentification probability  $w_e = \epsilon_{\text{pass PID}} / \epsilon_{\text{fail PID}}$
- Resulting distribution and expected background yield used in nominal rare electron mode fit

# Systematic uncertainties



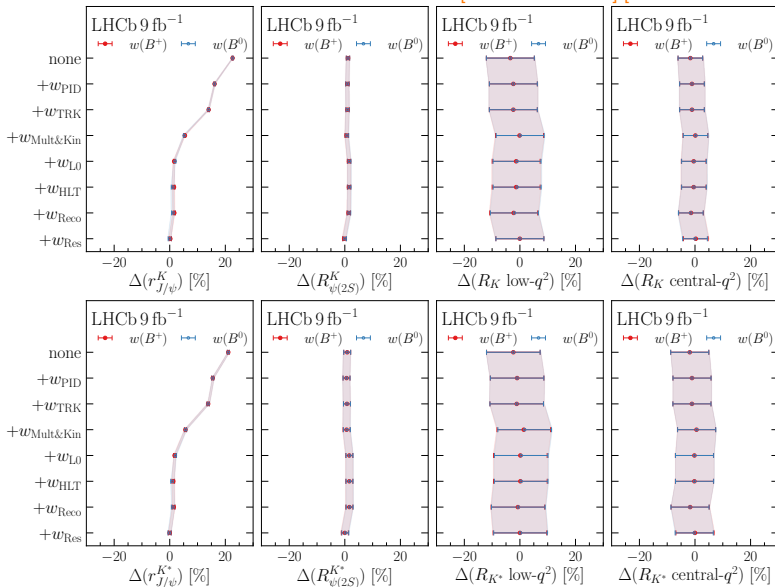
- Dominant systematic: Modeling of residual misidentified bgs.
- Measurement statistically limited

# $R_{K,K^*}$ specific background vetos



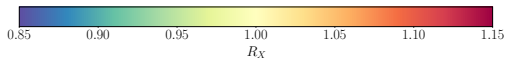
# $R_{K,K^*}$ efficiency corrected ratios

[arXiv:2212.09152] [arXiv:2212.09153]



# $R_{K,K^*}$ PID dependence

LHCb



$R_K$  low- $q^2$

DLL(e) > 7	0.960 ±	0.971 ±	0.988 ±	0.997 ±	0.982 ±	0.973 ±	0.967 ±	0.967 ±	0.977 ±
	0.097	0.099	0.102	0.102	0.100	0.099	0.099	0.099	0.102
DLL(e) > 5	0.961 ±	0.964 ±	0.969 ±	0.983 ±	0.973 ±	0.981 ±	0.979 ±	0.961 ±	0.985 ±
	0.086	0.086	0.088	0.090	0.089	0.091	0.092	0.090	0.095
DLL(e) > 2	0.873 ±	0.904 ±	0.908 ±	0.958 ±	0.950 ±	0.954 ±	0.938 ±	0.940 ±	0.969 ±
	0.073	0.078	0.079	0.087	0.086	0.087	0.086	0.087	0.093
	> 0.20	> 0.25	> 0.30	> 0.35	> 0.40	> 0.45	> 0.50	> 0.55	> 0.60

ProbNN(e)

$R_K$  central- $q^2$

	0.948	0.944	0.944	0.939	0.939	0.941	0.934	0.935	0.937
	0.051	0.051	0.051	0.051	0.051	0.051	0.051	0.051	0.052
	0.941	0.938	0.942	0.933	0.939	0.951	0.946	0.953	0.949
	0.044	0.044	0.044	0.044	0.045	0.046	0.046	0.047	0.048
	0.906 ±	0.902 ±	0.907 ±	0.895 ±	0.904 ±	0.916 ±	0.920 ±	0.925 ±	0.919 ±
	0.040	0.040	0.040	0.040	0.041	0.042	0.043	0.044	0.044
	> 0.20	> 0.25	> 0.30	> 0.35	> 0.40	> 0.45	> 0.50	> 0.55	> 0.60

ProbNN(e)

$R_{K^*}$  low- $q^2$

DLL(e) > 7	0.985 ±	0.982 ±	0.966 ±	0.952 ±	0.971 ±	0.975 ±	0.984 ±	0.970 ±	0.960 ±
	0.112	0.112	0.109	0.107	0.111	0.112	0.114	0.112	0.111
DLL(e) > 5	0.980 ±	0.993 ±	0.978 ±	0.979 ±	1.007 ±	1.014 ±	1.010 ±	1.010 ±	1.019 ±
	0.097	0.100	0.099	0.100	0.103	0.105	0.106	0.108	0.110
DLL(e) > 2	0.855 ±	0.848 ±	0.830 ±	0.847 ±	0.883 ±	0.901 ±	0.915 ±	0.925 ±	0.934 ±
	0.080	0.079	0.076	0.080	0.086	0.088	0.089	0.092	0.117
	> 0.20	> 0.25	> 0.30	> 0.35	> 0.40	> 0.45	> 0.50	> 0.55	> 0.60

ProbNN(e)

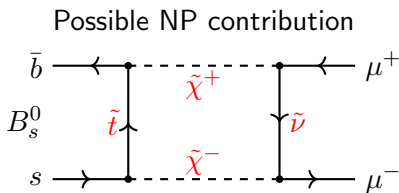
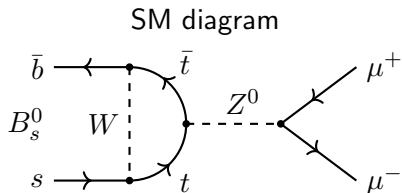
$R_{K^*}$  central- $q^2$

	1.127	1.119	1.116	1.103	1.097	1.083	1.097	1.113	1.119
	0.100	0.099	0.099	0.098	0.097	0.095	0.099	0.101	0.103
	1.021	1.016	1.016	0.997	1.016	1.001	1.012	1.035	1.049
	0.074	0.074	0.075	0.073	0.076	0.075	0.077	0.081	0.084
	0.965 ±	0.990 ±	0.986 ±	0.993 ±	1.024 ±	1.006 ±	1.014 ±	1.038 ±	1.039 ±
	0.066	0.069	0.069	0.071	0.075	0.073	0.075	0.079	0.081
	> 0.20	> 0.25	> 0.30	> 0.35	> 0.40	> 0.45	> 0.50	> 0.55	> 0.60

ProbNN(e)

[arXiv:2212.09152] [arXiv:2212.09153]

# The very rare decay $B_s^0 \rightarrow \mu^+ \mu^-$



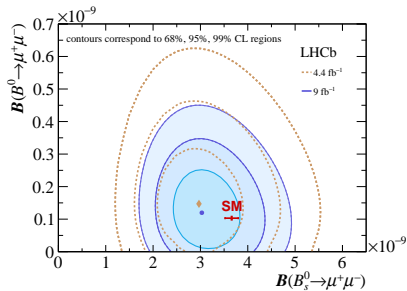
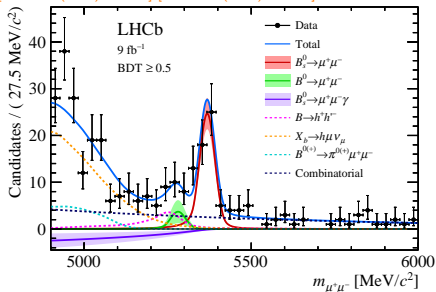
- Loop-, helicity- and CKM suppressed
- Purely leptonic final state, theoretically and experimentally very clean
- Precise SM prediction<sup>1</sup> [PRL 112 (2014) 101801] [JHEP 10 (2019) 232]
 
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.66 \pm 0.14) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (1.03 \pm 0.05) \times 10^{-10}$$
- Very sensitive to new scalar sector (e.g. extended Higgs sector, SUSY)

<sup>1</sup>SM prediction without  $V_{cb}$  dependence available, in good agreement [APP B 53 (2021) 6]

# Measurements of $B_{(s)}^0 \rightarrow \mu^+ \mu^-$

[PRL 128 (2022) 041801] [PRD 105 (2022) 012010]



- Recent LHCb measurement [PRL 128 (2022) 041801] [PRD 105 (2022) 012010]

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.09^{+0.46+0.15}_{-0.43-0.11}) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (1.2^{+0.8}_{-0.7} \pm 0.1) \times 10^{-10} \quad (\mathcal{B} < 2.6 \times 10^{-10} \text{ @ 95\% CL})$$

in good agreement with SM

- New precise CMS measurement [arXiv:2212.10311] moves average further to SM

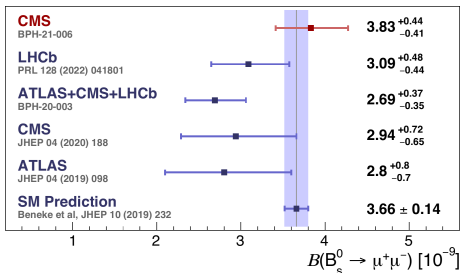
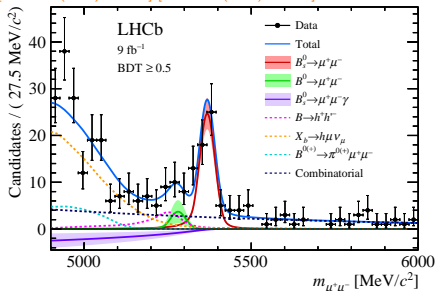
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.83^{+0.38}_{-0.36}(\text{stat})^{+0.19}_{-0.16}(\text{syst})^{+0.14}_{-0.13}(f_s/f_u)) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (0.37^{+0.75+0.08}_{-0.67-0.09}) \times 10^{-10} \quad (\mathcal{B} < 1.9 \times 10^{-10} \text{ @ 95\% CL})$$

# Measurements of $B_{(s)}^0 \rightarrow \mu^+ \mu^-$

[PRL 128 (2022) 041801] [PRD 105 (2022) 012010]

[arXiv:2212.10311]



- Recent LHCb measurement [PRL 128 (2022) 041801] [PRD 105 (2022) 012010]

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.09_{-0.43}^{+0.46} \pm 0.15) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (1.2_{-0.7}^{+0.8} \pm 0.1) \times 10^{-10} \quad (\mathcal{B} < 2.6 \times 10^{-10} \text{ @ 95\% CL})$$

in good agreement with SM

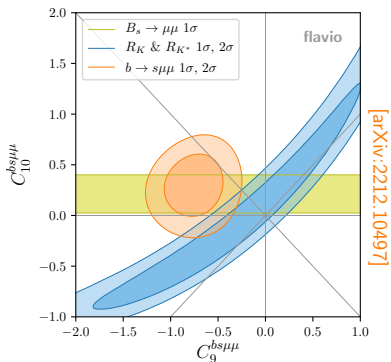
- New precise CMS measurement [arXiv:2212.10311] moves average further to SM

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.83_{-0.36}^{+0.38}(\text{stat})_{-0.16}^{+0.19}(\text{syst})_{-0.13}^{+0.14}(f_s/f_u)) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (0.37_{-0.67}^{+0.75} \pm 0.08) \times 10^{-10} \quad (\mathcal{B} < 1.9 \times 10^{-10} \text{ @ 95\% CL})$$

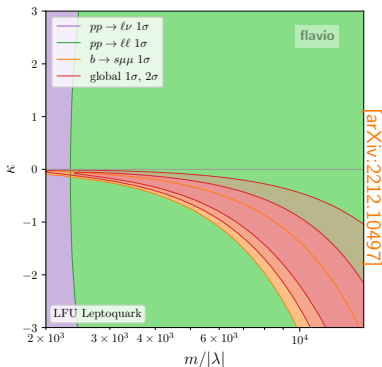
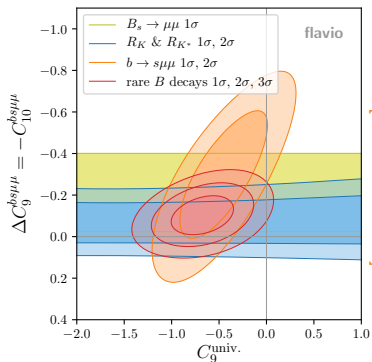


# Interpretation in global fits



- $b \rightarrow s\ell^+\ell^-$  data can be interpreted using *global fits* of Wilson coefficients
- Assuming NP only in muon-sector ( $\mathcal{R}e(C_9^{bs\mu\mu})$  and  $\mathcal{R}e(C_{10}^{bs\mu\mu})$ ) reveals tension between  $b \rightarrow s\mu^+\mu^-$  angular and  $\mathcal{B}$  measurements and  $R_{K,K^*}$
- Can be resolved in presence of LFU NP which does not affect  $R_{K,K^*}$
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