



ALICE

Studies on the hadronization of charm and beauty quarks with ALICE



34th Rencontres de Blois
14–19 May 2023

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on behalf of the ALICE Collaboration



Physics motivations

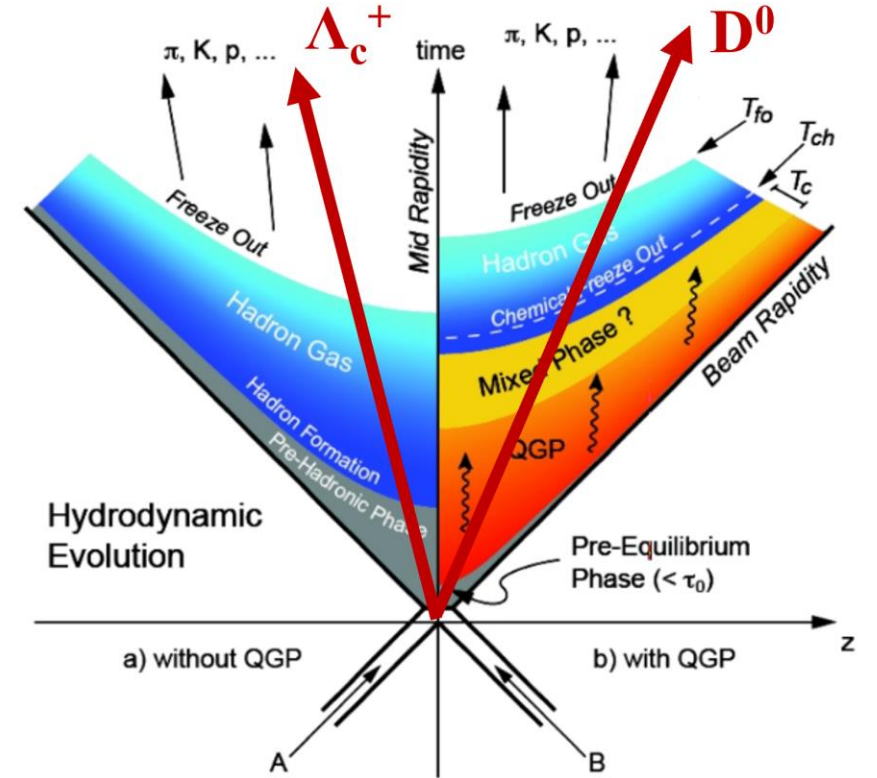
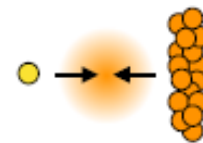
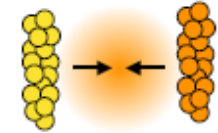
- Heavy quarks are produced in the early stage of heavy-ion collisions, in hard-scattering processes. They experience the whole medium evolution.

Negligible thermal production and annihilation rate.

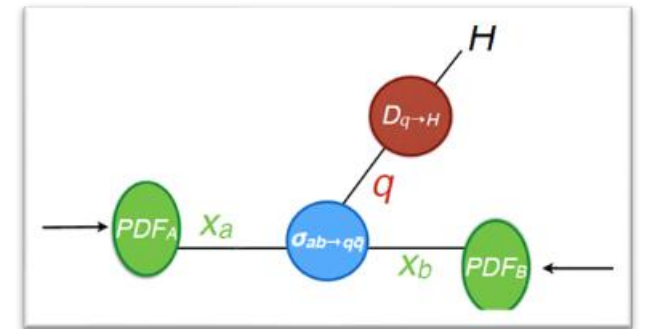
➔ Yield ratios of charm/beauty hadrons are sensitive to **in medium heavy-quark hadronisation**.

➔ **pp collisions test pQCD calculations** (factorization approach, with **FF assumed to be universal** across collision systems).

➔ **p-Pb collisions to study cold nuclear matter (CNM) effects.**



$$d\sigma_{AB \rightarrow H} = \underbrace{PDF(x_a, Q^2) PDF(x_b, Q^2)}_{1. \text{ Parton distribution functions}} \otimes \underbrace{\sigma_{ab \rightarrow qq}(x_a, x_b, Q^2)}_{2. \text{ Partonic cross section}} \otimes \underbrace{D_{q \rightarrow H}(z_q, Q^2)}_{3. \text{ Fragmentation functions}}$$



The ALICE detector

Time Projection Chamber:

- Track reconstruction
- PID via dE/dx

Inner Tracking System:

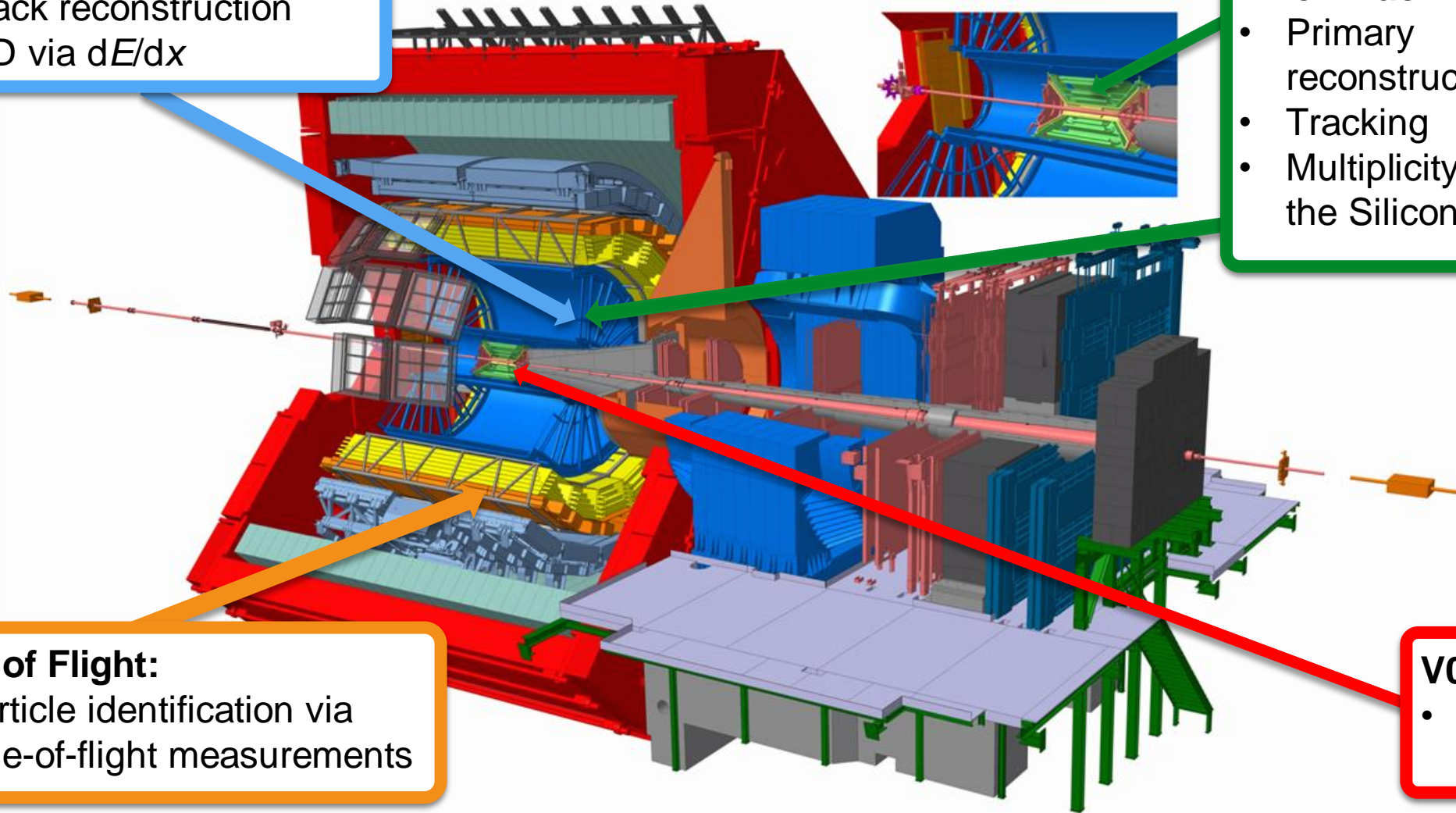
- Primary and decay vertices reconstruction
- Tracking
- Multiplicity measurement with the Silicon Pixel Detector (SPD)

Time of Flight:

- Particle identification via time-of-flight measurements

V0 detectors:

- Multiplicity / centrality estimation



Charm and beauty meson production

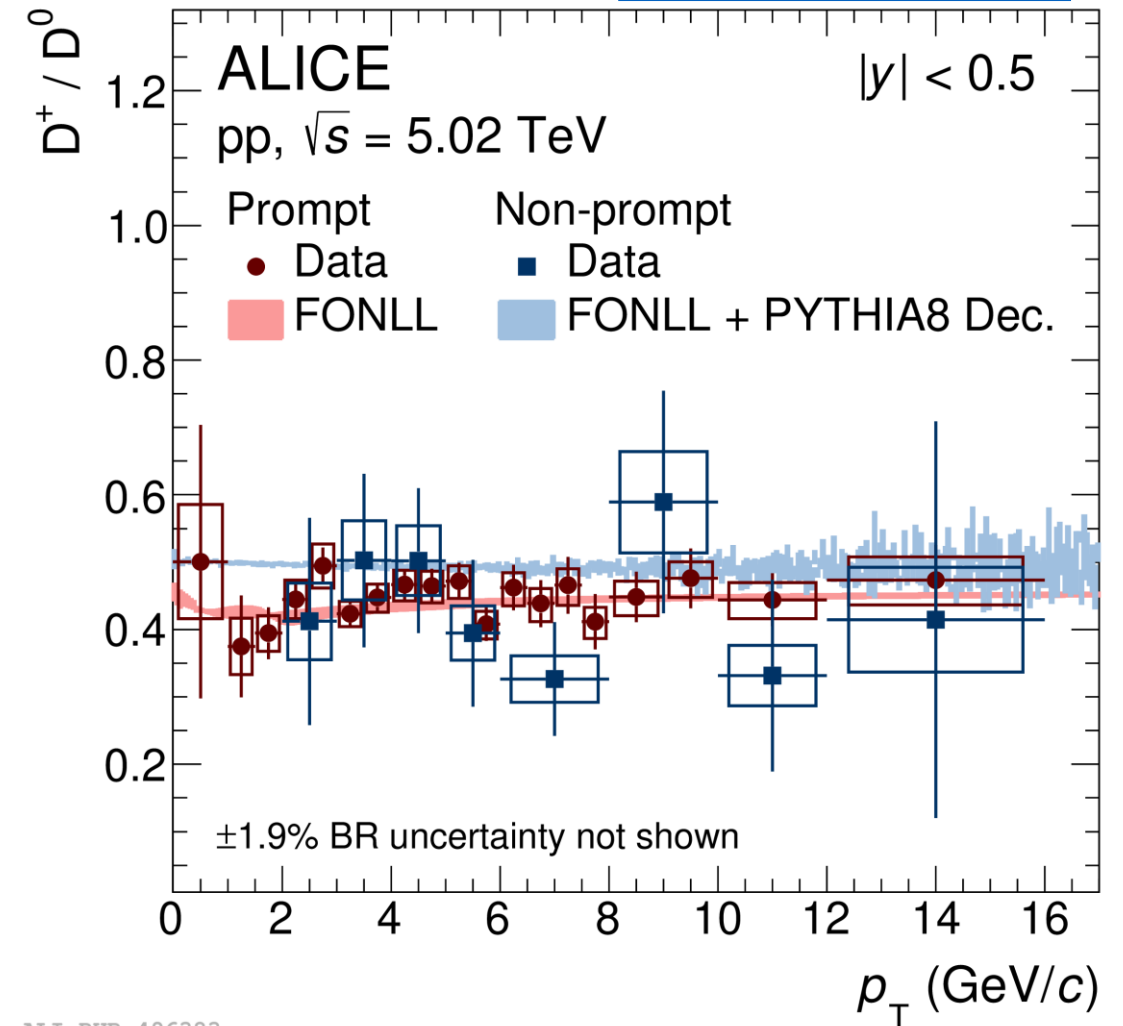


ALICE: [JHEP 05 \(2021\) 220](#)

FONLL: *Cacciari et al.*, [JHEP 1210 \(2012\) 137](#)

- No p_T dependence observed for **both prompt** and **non-prompt** D^+/D^0 meson-to-meson ratios.
- Good agreement with FONLL model calculations based on **factorisation approach** and assuming **FFs universality** ([FFs measured in \$e^+e^-\$ collisions](#)).

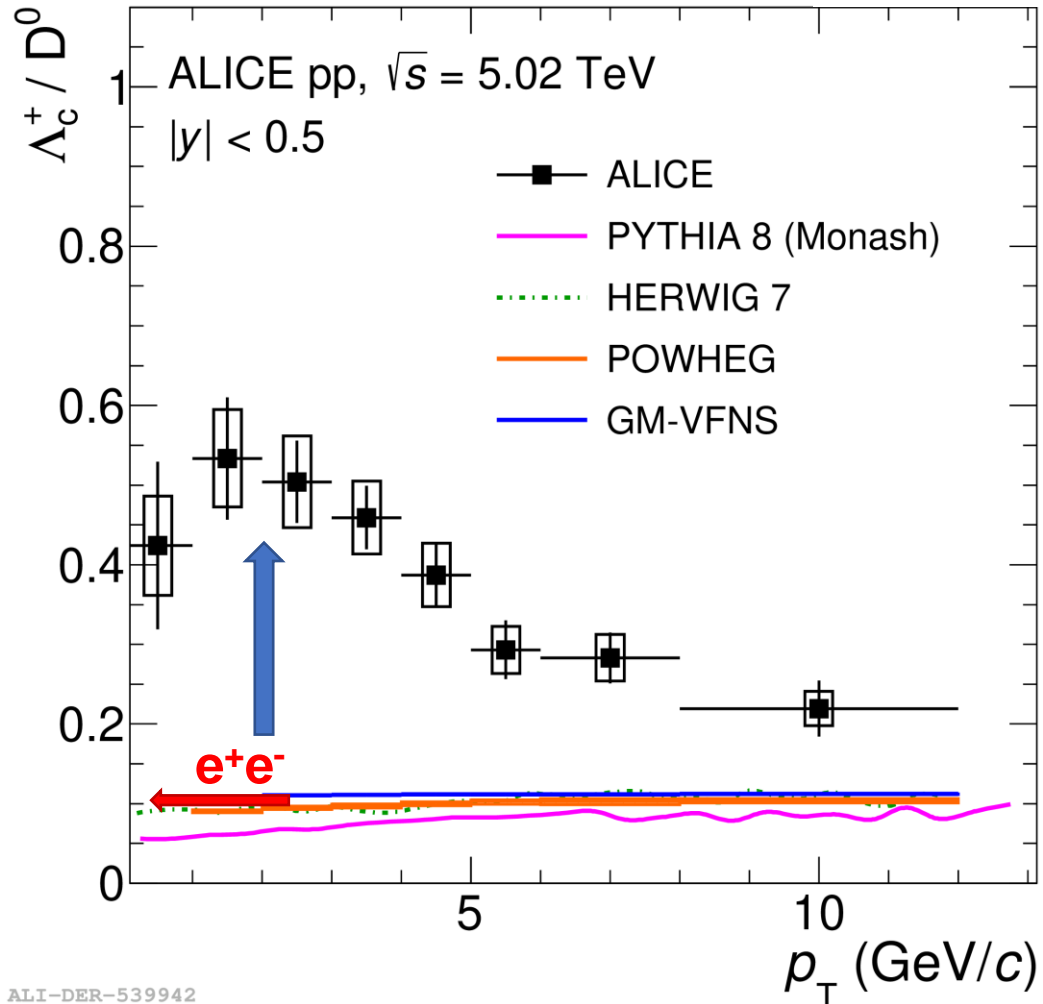
Nothing unexpected in the meson sector!



ALI-PUB-496383

Charm baryon production at LHC

ALICE: [PRL 127 \(2021\) 202301](#)



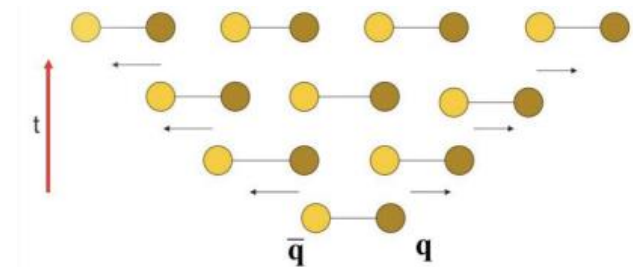
ALI-DER-539942

- Λ_c^+/D^0 baryon-to-meson ratio in pp is significantly enhanced with respect to e^+e^- collisions.

LEP average: $(0.113 \pm 0.013 \pm 0.006)$

[EPJC 75 \(2015\) 19](#)

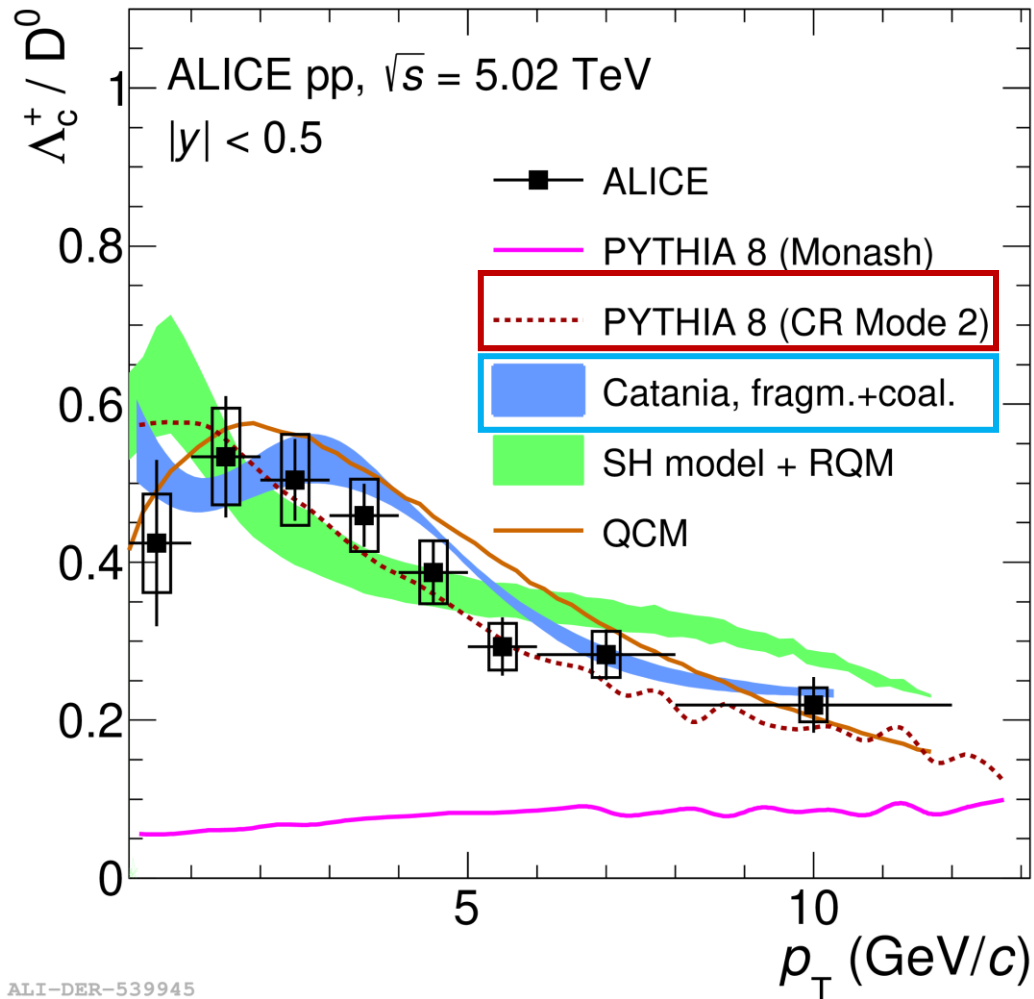
- p_T dependence observed.
- Models based on **simple fragmentation with FF tuned on e^+e^-** collisions cannot describe the data.
➔ **Non universality of the charm FFs among different collision systems.**



Modified hadronization mechanisms needed to catch the data!

Charm baryon production at LHC

ALICE: [PRL 127 \(2021\) 202301](#)

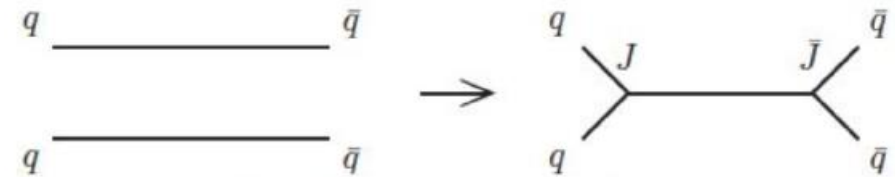


ALI-DER-539945

PYTHIA 8 (CR Mode 2)

- Colour reconnection mechanisms beyond leading colour (BLC) approximation with new junction topologies that favour baryon formation.

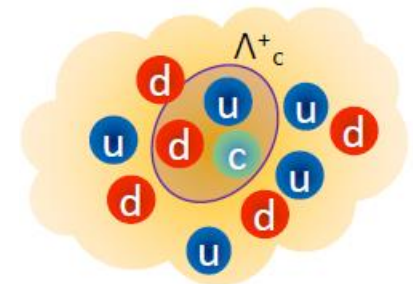
Christiansen & Skands, [JHEP 1508 \(2015\) 003](#)



Catania

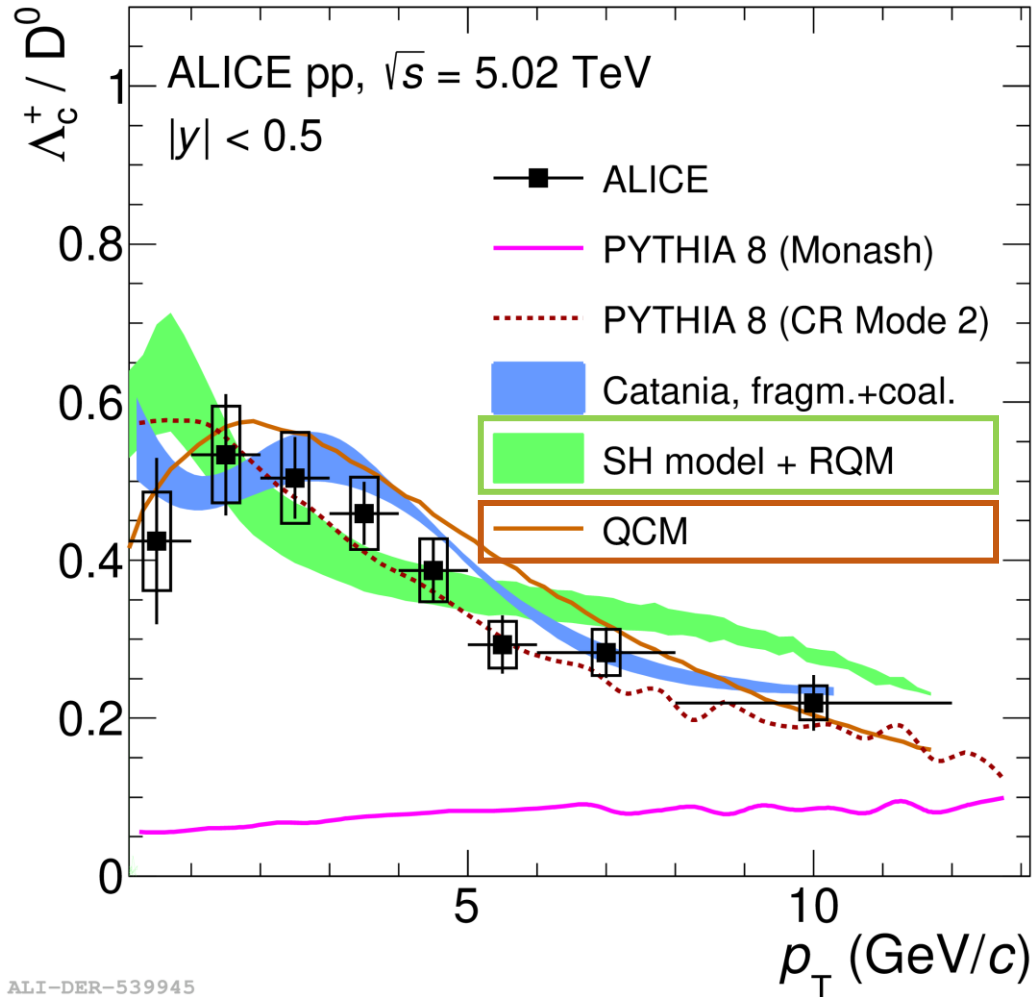
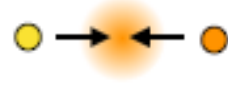
- Thermalized system of u,d,s and gluons.
- Hadronization via interplay of fragmentation and coalescence

V. Minissale et al., [PLB 821 \(2021\) 136622](#)



Charm baryon production at LHC

ALICE: [PRL 127 \(2021\) 202301](#)



ALI-DER-539945

SH model + RQM

- Quark hadronisation driven by statistical weights governed by hadron masses.
- Feddown from excited baryon states predicted by the Relativistic Quark Model (RQM).

Hee & Rapp, [PLB 795 \(2019\) 117-121](#)

QCM

- Pure coalescence model.
- Charm is combined with co-moving light antiquark or two quarks.

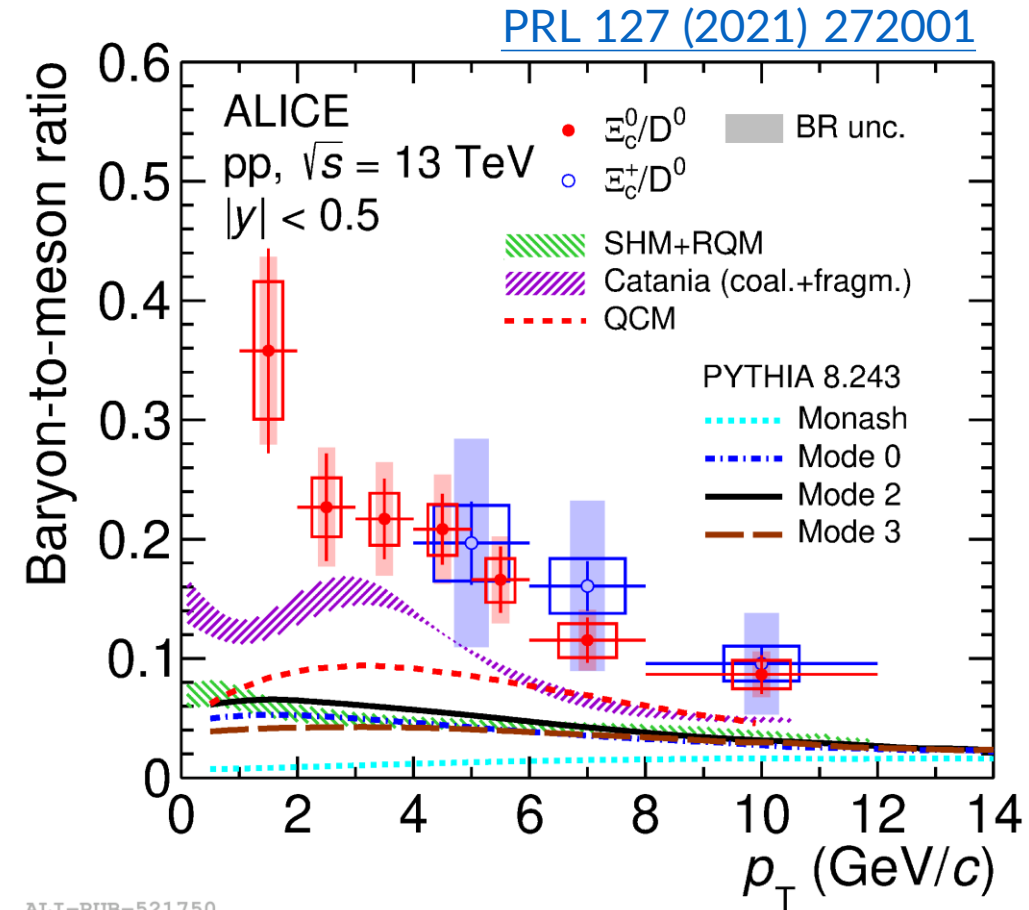
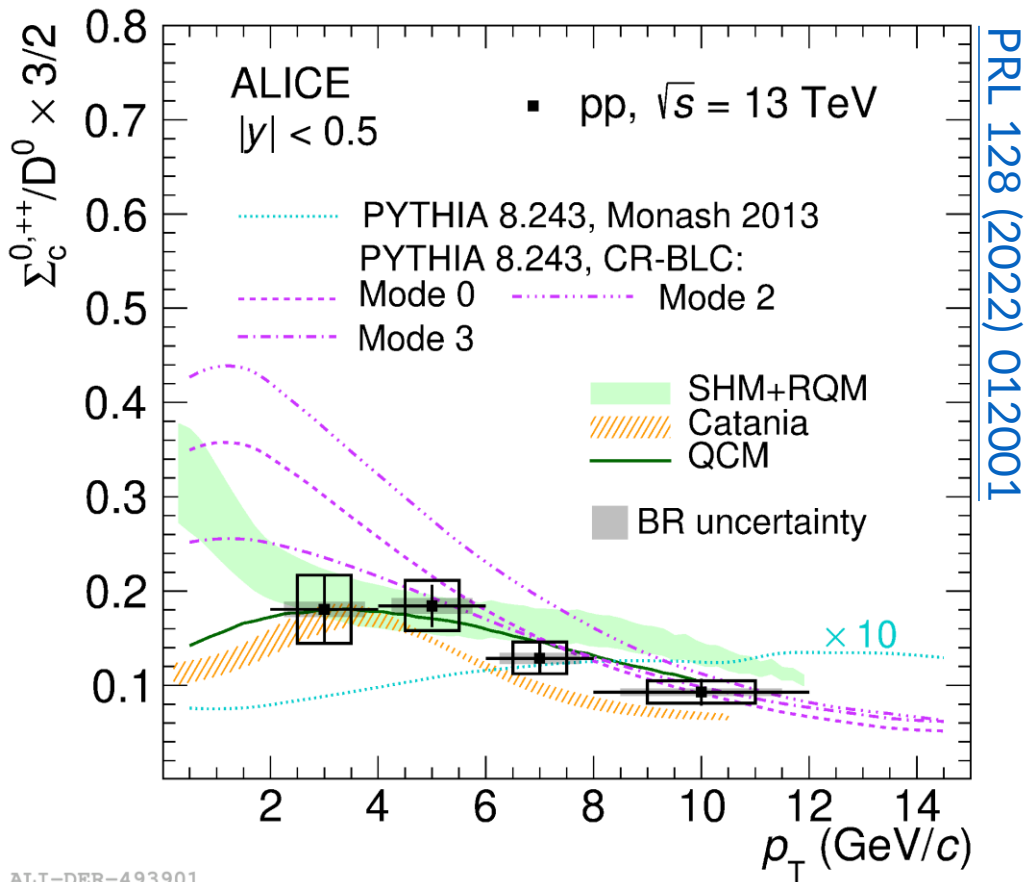
Song, Lii & Shao, [EPJC 78 \(2018\) 344](#)

Λ_c^+ / D^0 ratio properly described by **Pythia8 (CR-BLC)**,
Catania, **SHM+RQM** and **QCM**

Charm baryon production at LHC

- Enhancement observed also for heavier charm baryons ($\Sigma_c^{0,++}$, $\Xi_c^{0,+}$, Ω_c^0).

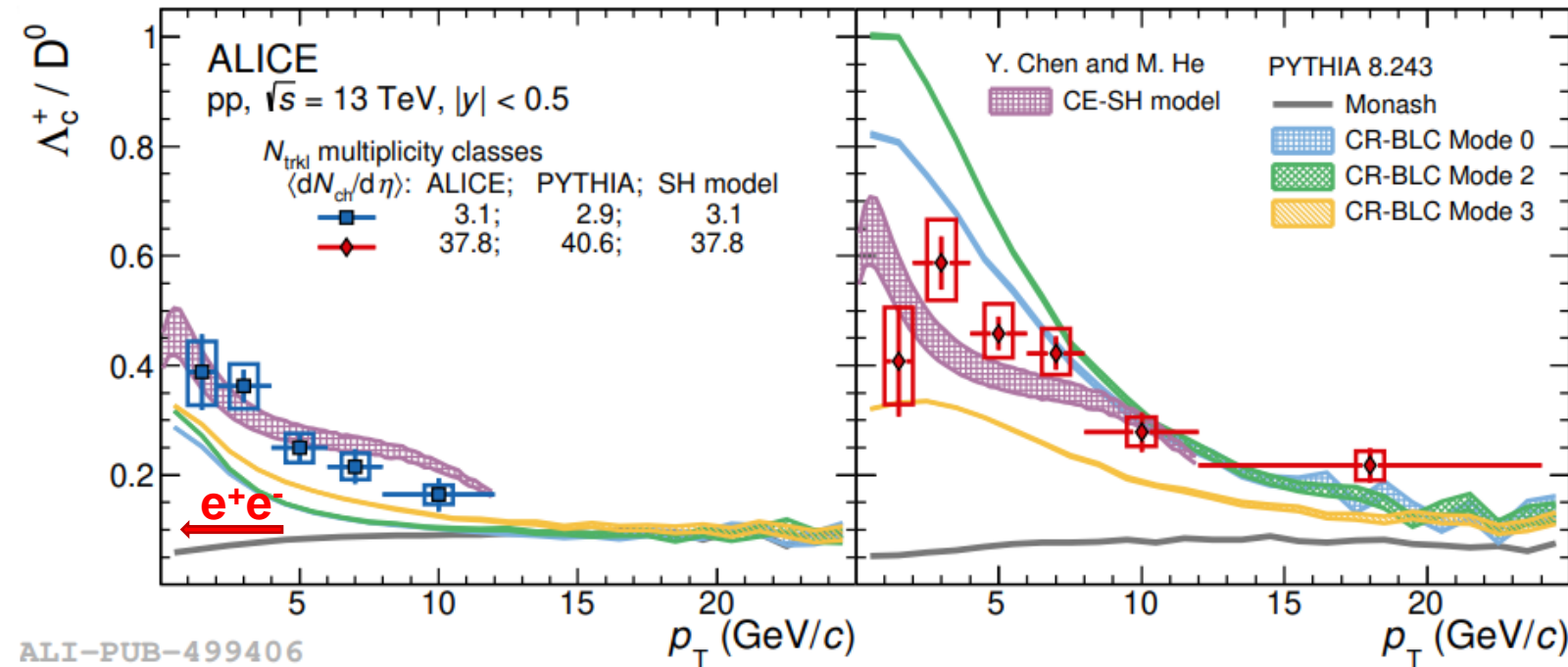
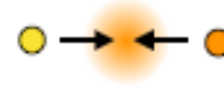
- **Pythia with CR beyond LC**, **Catania** and **QCM** models describe the $\Sigma_c^{0,++}/D^0$ ratio.



- Enhancement in the charm-strange sector even larger. $\Xi_c^{0,+}$ qualitatively described only by **Catania** model.

Charm baryon-to-meson ratio vs multiplicity

PLB 829 (2022) 137065

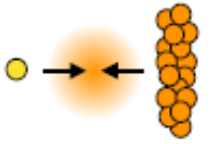
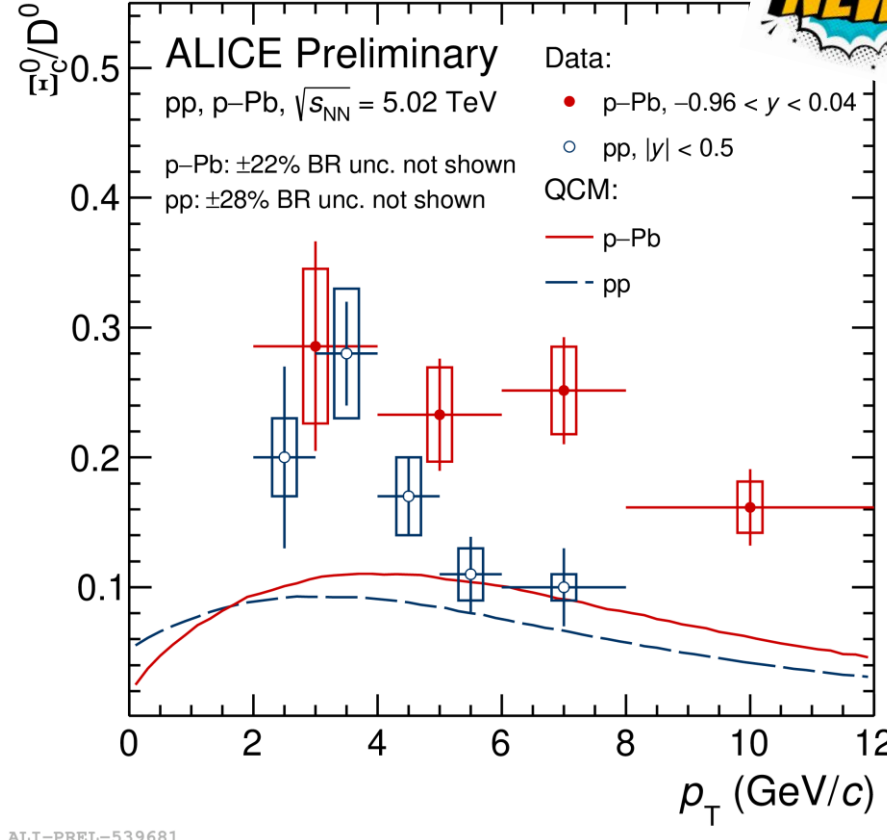
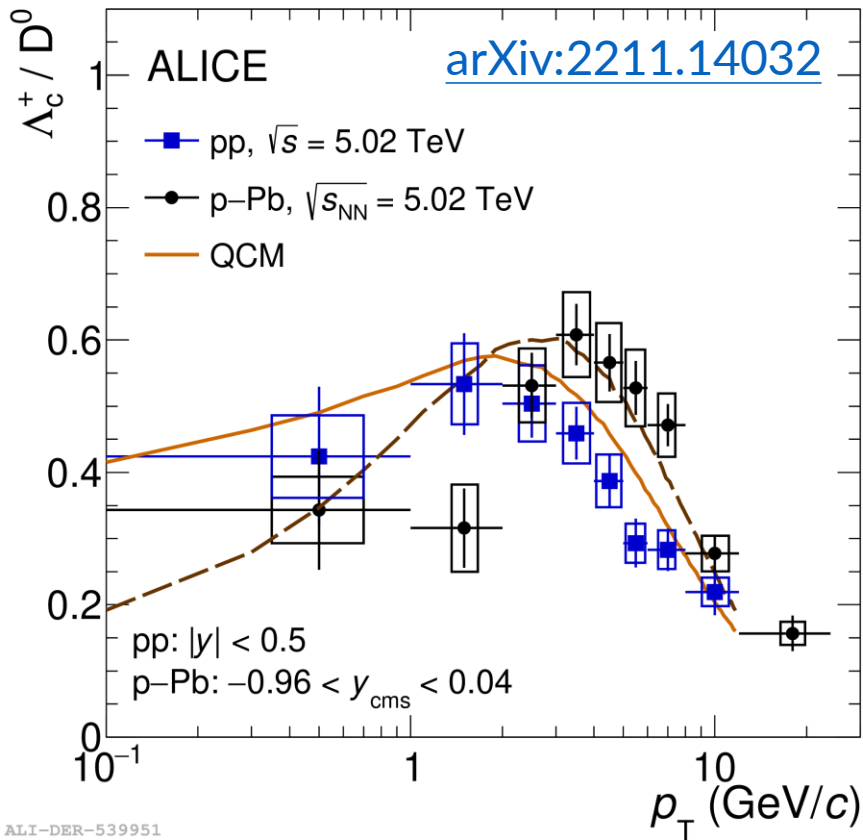


- Significant (5.3σ) dependence on multiplicity in $1 \leq p_T < 12$ GeV/c.
- Λ_c^+ / D^0 ratios in pp are enhanced w.r.t. e^+e^- collisions also in the lowest multiplicity interval.

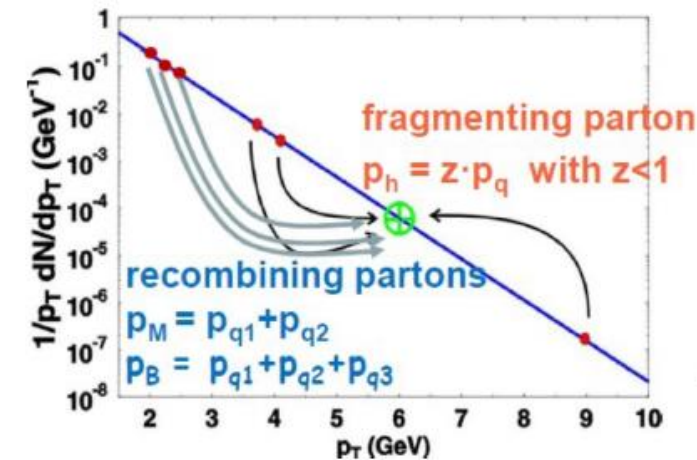
- Pythia Monash underestimates the data and fails to reproduce the multiplicity dependence.
- Λ_c^+ / D^0 ratios p_T and multiplicity dependence qualitatively described by **Pythia with CR beyond LC** and by **SHM** with an augmented set of charm baryon states.

Charm baryon-to-meson ratio in p-Pb and Pb-Pb

And in p-A and A-A collisions?



- Charmed baryon-to-meson ratio **enhancement** at intermediate p_T (> 2 GeV/c) moving from pp to p-Pb collisions.



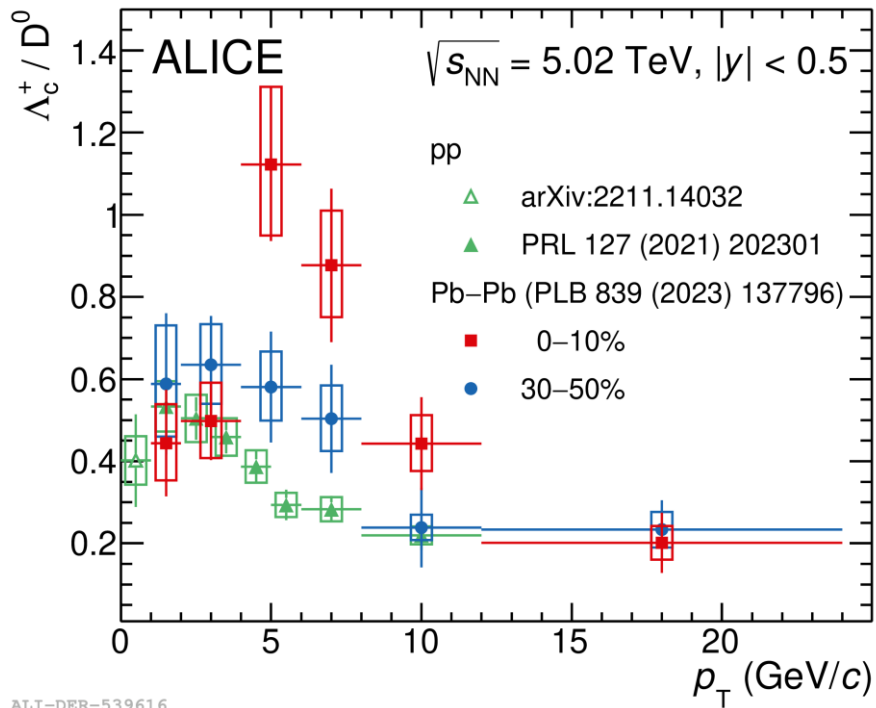
ALI-DEP-539951

ALI-PREL-539681

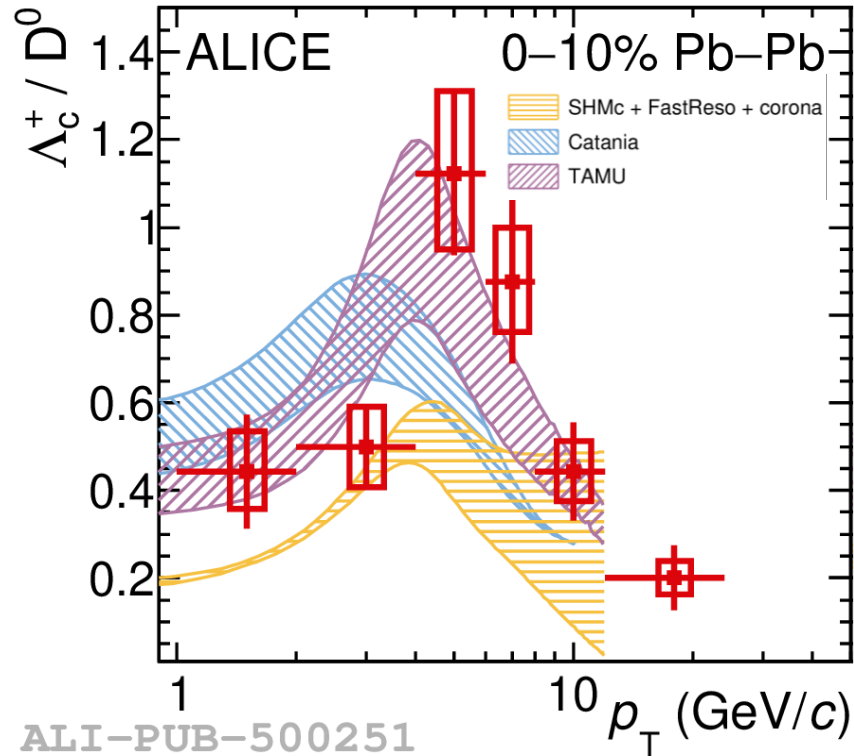
- Shift of the spectra towards higher p_T is predicted by **coalescence models**.
- QCM** catches the Λ_c^+/D^0 ratio, but it underestimates the Ξ_c^0/D^0 .

Charm baryon-to-meson ratio in p-Pb and Pb-Pb

And in **p-A** and **A-A** collisions?



[arXiv:2112.08156](https://arxiv.org/abs/2112.08156)

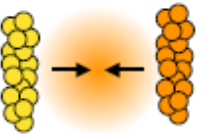


SHMc: [JHEP 07 \(2021\) 035](https://arxiv.org/abs/2107.0035)

Catania: [PRC 96 \(2017\) 044905](https://arxiv.org/abs/1704.04490)

TAMU: [PRL 124 \(2020\) 042301](https://arxiv.org/abs/2004.04230)

- Charmed baryon-to-meson ratio **enhancement** at intermediate p_T ($> 2 \text{ GeV/c}$) moving from pp to p-Pb to **Pb-Pb** collisions.



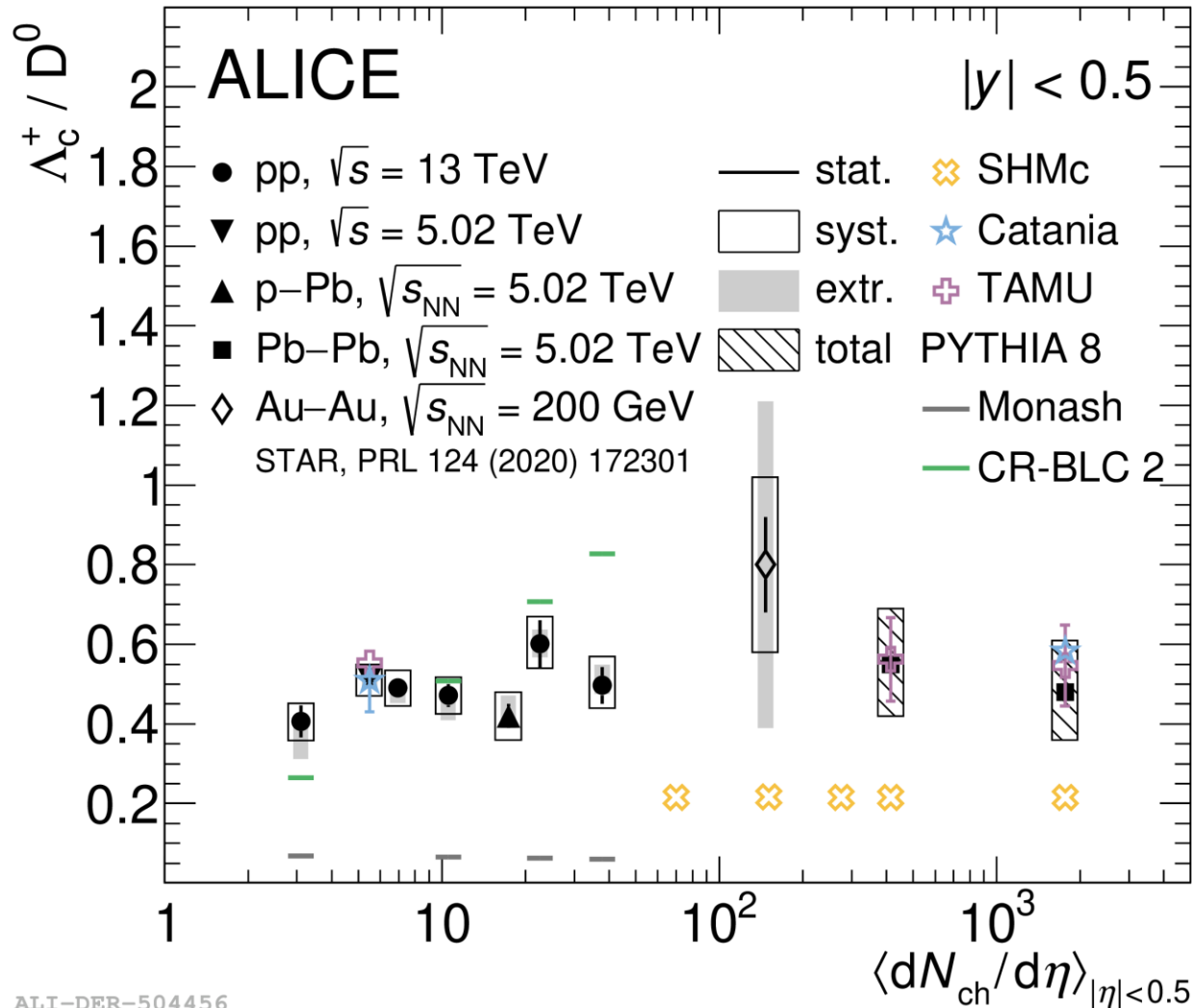
- Catania** and **SHMc** qualitatively predict the observed shape but underestimate the result at intermediate p_T . **TAMU** catches both the shape and the magnitude of the data.

Overall baryon production enhancement or redistribution of p_T between baryons and mesons?

Integrated Charm baryon-to-meson ratio

p-Pb: [Phys. Rev. C 104, 054905](#)

Pb-Pb: [arXiv:2112.08156](#)



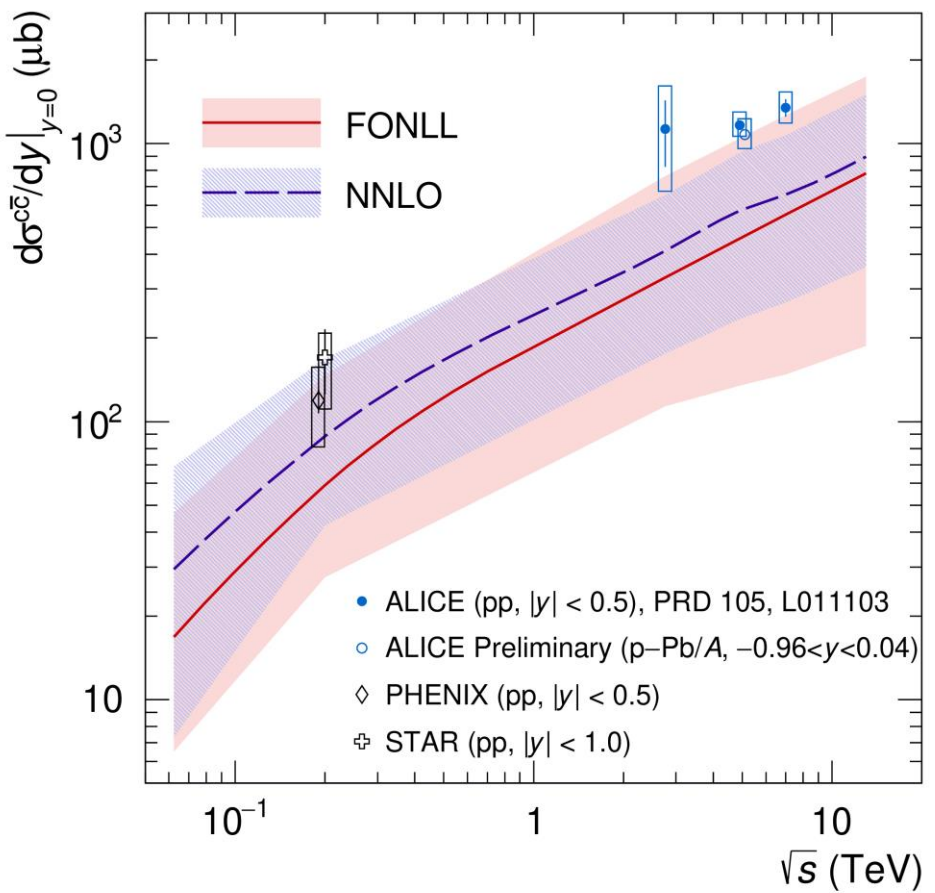
- p_T -integrated Λ_c^+/D^0 ratio measurements in pp, p-Pb and Pb-Pb compatible with one another.

- Re-distribution of p_T that acts differently for baryons and mesons. **No modification of overall p_T -integrated yield ratios.**

**Same mechanism in all collision systems?
Modified hadronization? Radial flow?**

- Flat trend reproduced by models with hadronization via **fragmentation + recombination** (Catania, TAMU).

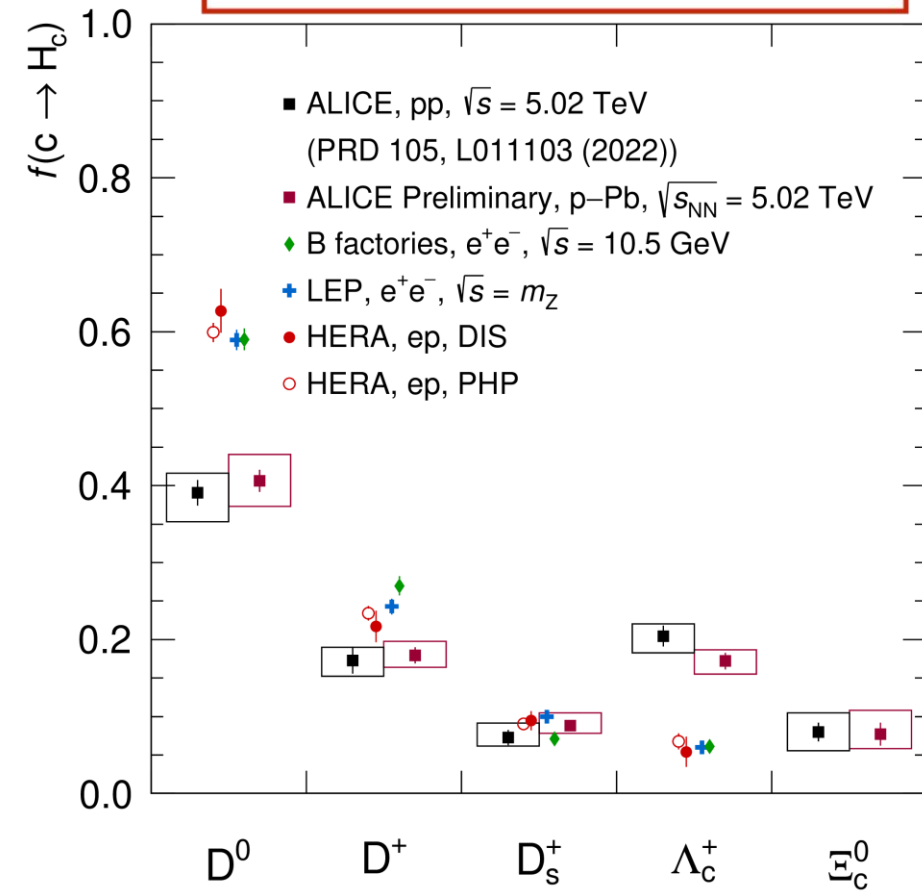
Total charm cross section and FF



PRD 105 (2022) L011103

- $c\bar{c}$ production cross section measured at midrapidity compatible with the upper edge of the FONLL and NNLO calculations.

$$f(c \rightarrow H_c) = \sigma(H_c) / \sum_i \sigma(H_{c,i})$$



ALI-PREL-539828

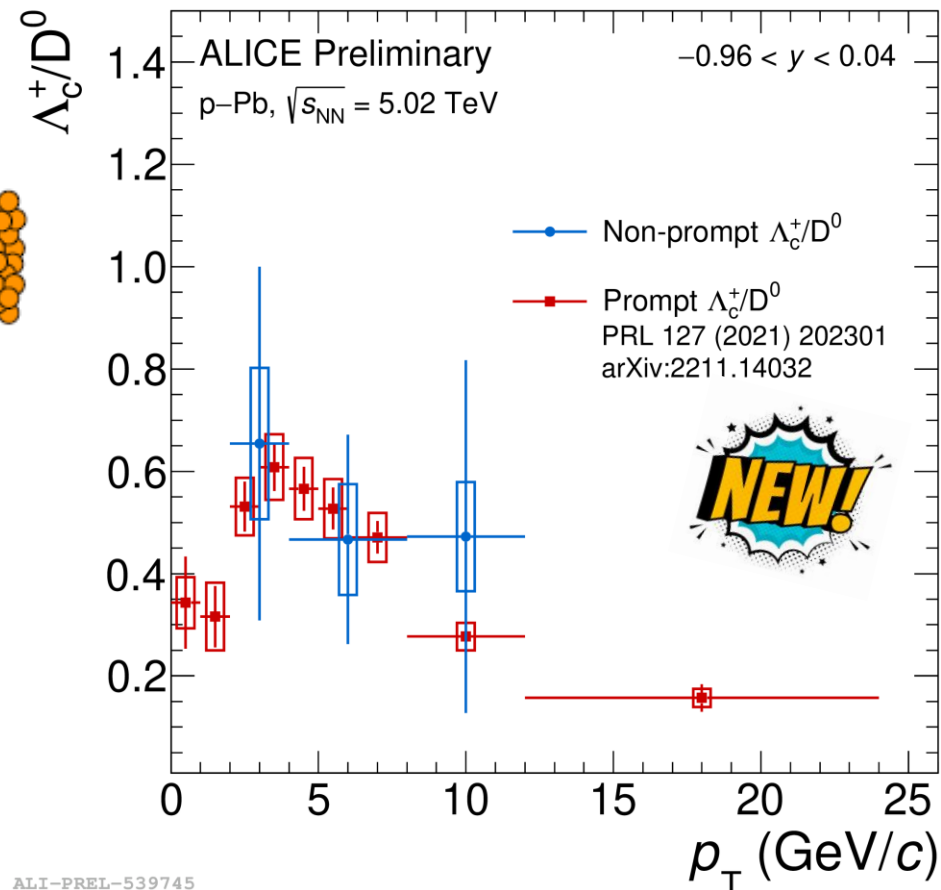
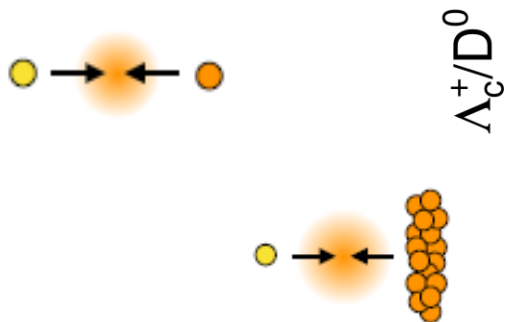
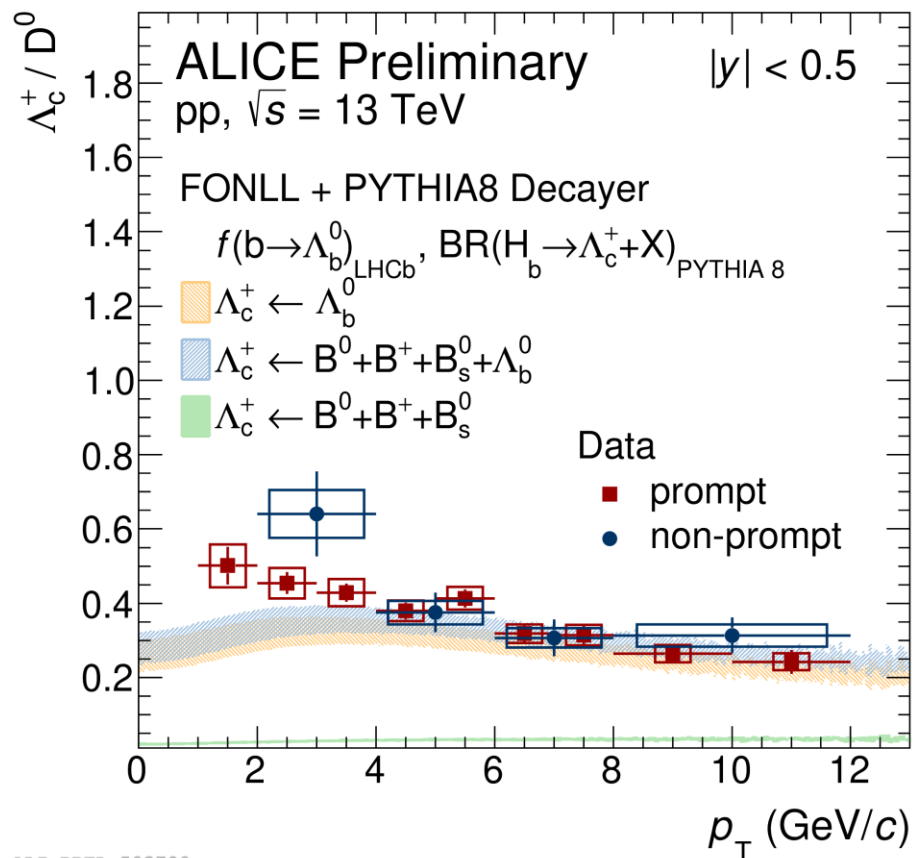
- Significant baryon enhancement with respect to e^+e^- and $e^- p$.
- Measurements in pp and p-Pb at $\sqrt{s} = 5.02$ TeV compatible within uncertainties.

ALI-PREL-541012

Beauty baryon-to-meson ratio

What about the **beauty sector**?

- Agreement between prompt and non-prompt Λ_c^+/D^0 in both pp and p-Pb collisions.
- Non-prompt Λ_c^+/D^0 ratio are well described by **FONLL + Pythia8*** model calculations.



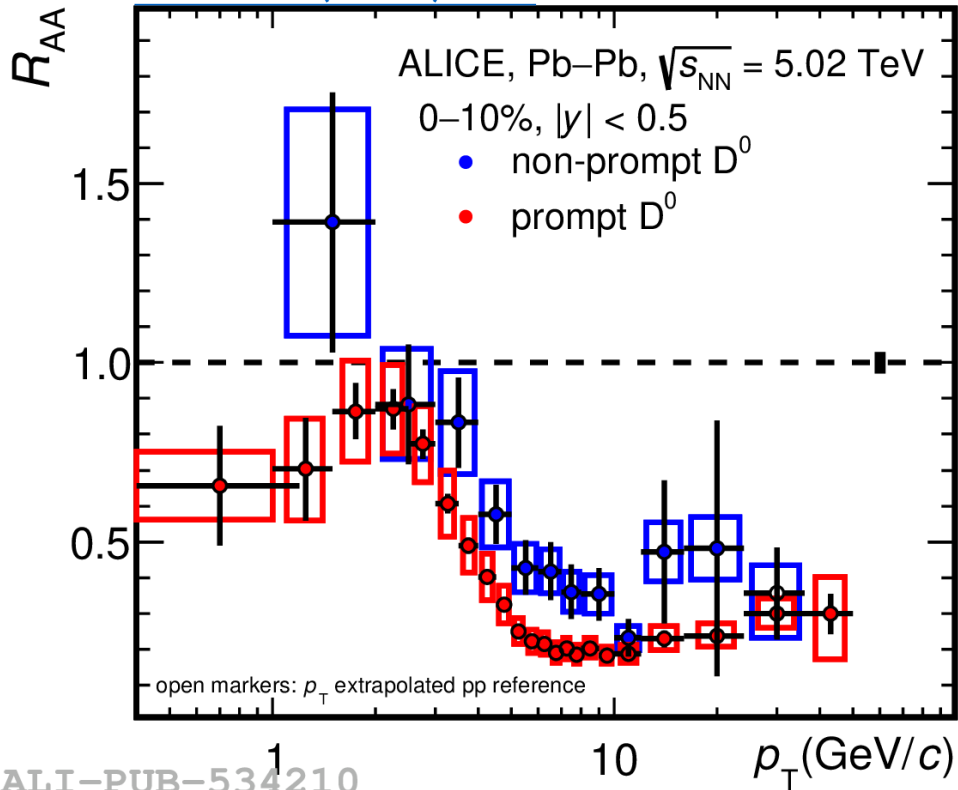
ALI-PREL-503700

ALI-PREL-539745

* $b \rightarrow \Lambda_b^0$ fragmentation fractions measured by LHCb. [Phys. Rev.D 100 \(2019\) 031102\(R\)](#)

In-medium energy loss

JHEP 12 (2022) 126

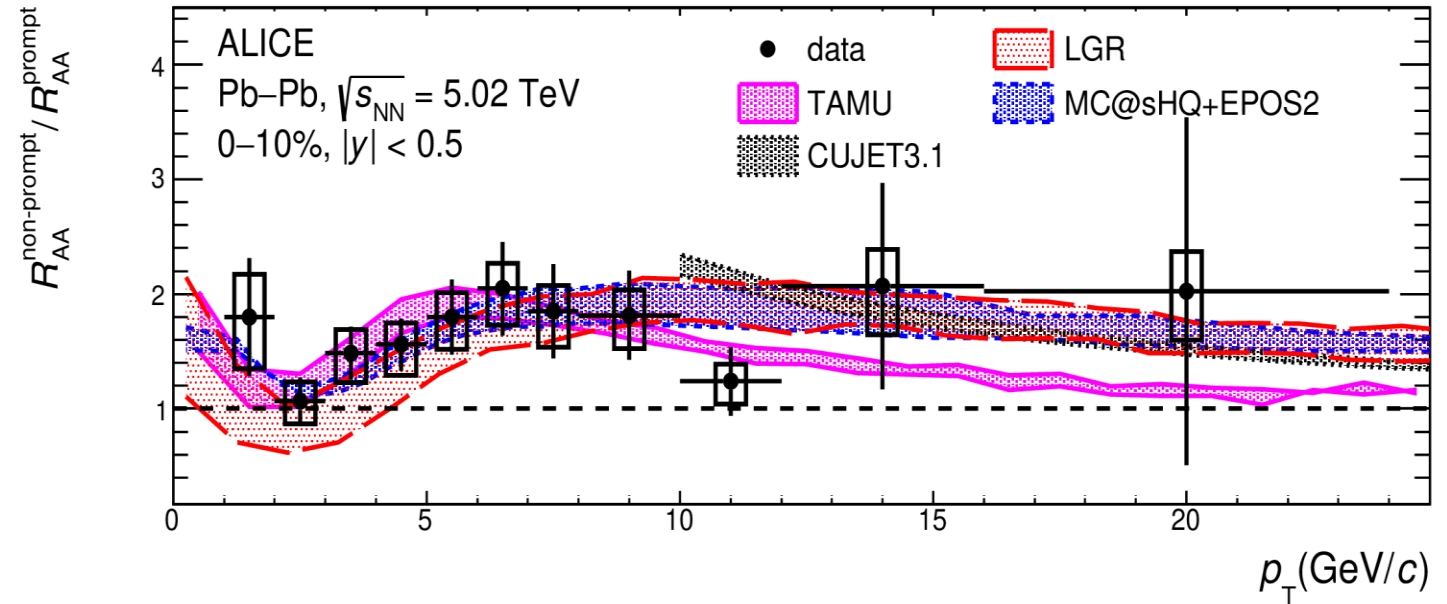
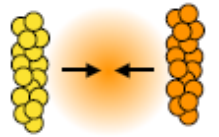


ALI-PUB-534210

LGR: EPJC 80 (2020) 671

CUJET3: JHEP 02 (2016) 169

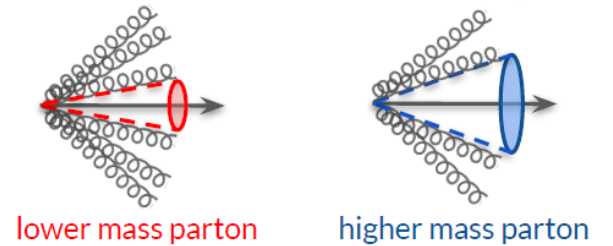
MC@sHQ+EPOS2: PRC 89 (2014) 014905



ALI-PUB-534213

- $R_{AA}(b \rightarrow D^0) > R_{AA}(c \rightarrow D^0)$ at intermediate p_T .

➔ in-medium energy-loss mass dependence (dead cone effect)



- Theoretical models that include **quark coalescence** and **collisional** and **radiative energy loss** catch the data.

Summary and outlook

- Models based on **in-vacuum fragmentation** assuming **universal FF** among collision systems **do not describe charm-baryon production** in hadronic collisions at the LHC.
 - **Charm hadronization not a universal** process across different collision systems.
 - **Modified hadronisation mechanisms** needed to describe the heavy-flavour baryon measurements.
- **LHC Run 3 data taking:**
 - **Upgraded apparatus** → ITS 2 with improved impact parameter resolution.
 - **Larger data samples** → Continuous readout at 50 kHz interaction rate for Pb–Pb collisions.

More on heavy-flavour measurements with ALICE:

Vit Kucera, May 16 (18:40):

“Heavy-flavour jet measurements in pp and Pb-Pb collisions with ALICE”

Thomas Herman, May 16 (17:40):

“Recent results on J/psi photoproduction in UPCs with ALICE”



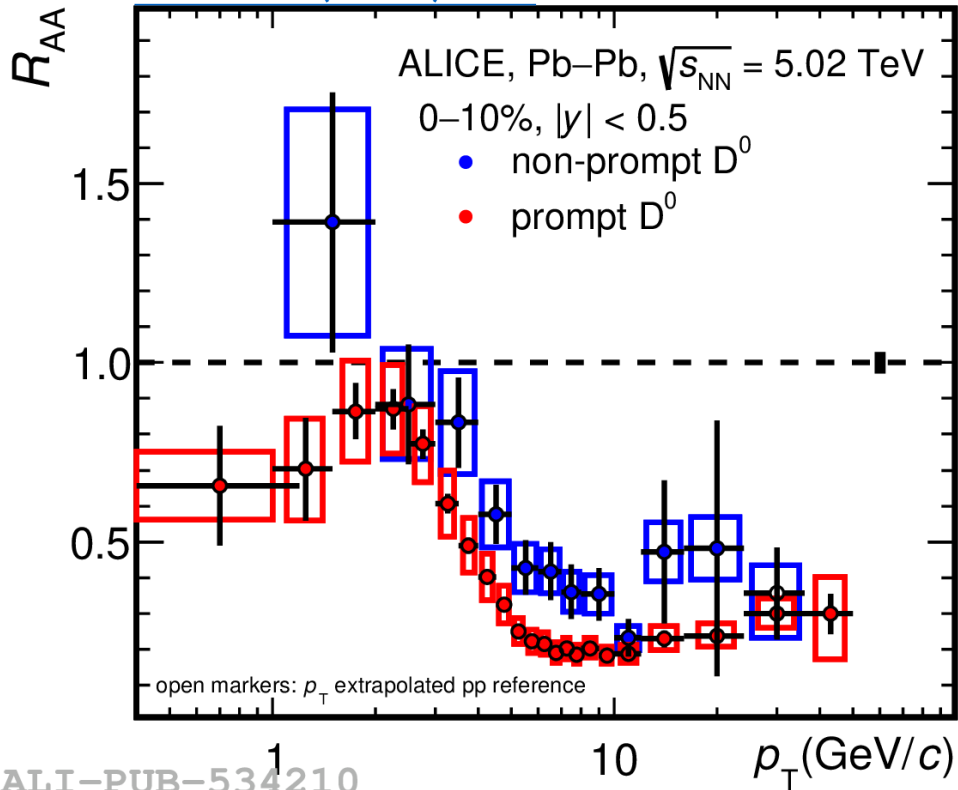
New precise measurements of charm and beauty hadrons!

*Stay
Tuned*

Backup

Beauty in-medium energy loss

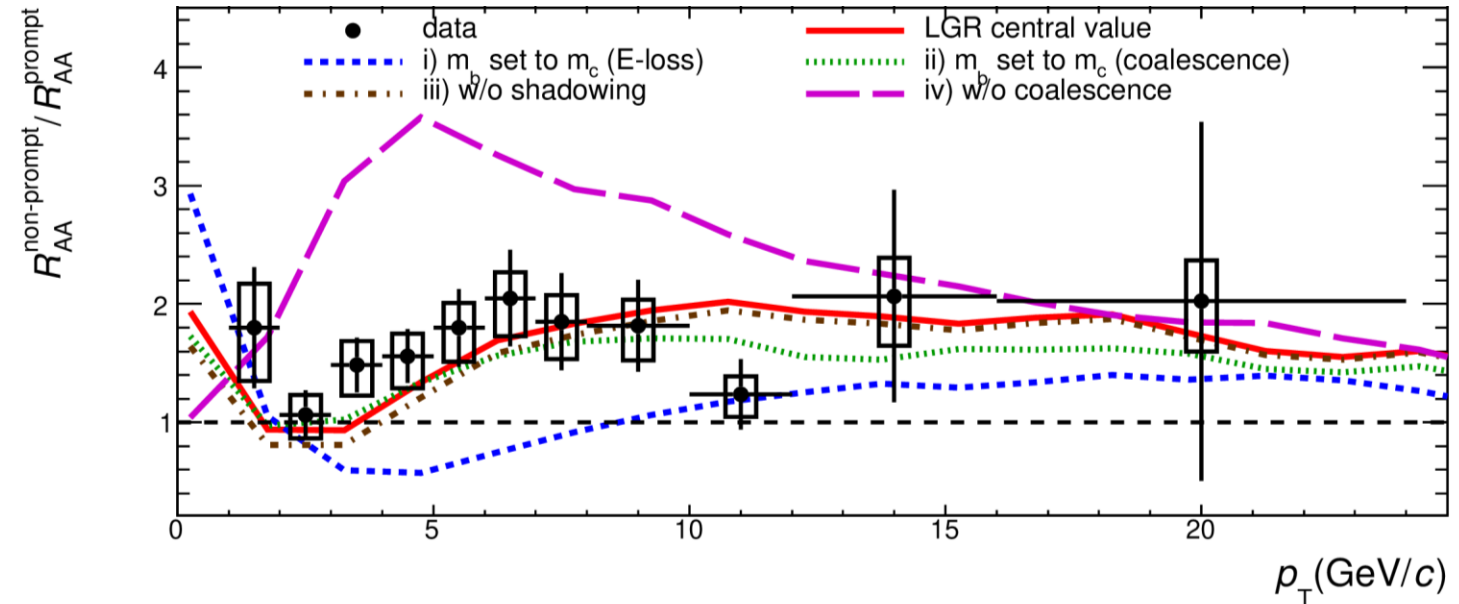
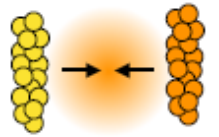
JHEP 12 (2022) 126



LGR: [EPJC 80 \(2020\) 671](#)

CUJET3: [JHEP 02 \(2016\) 169](#)

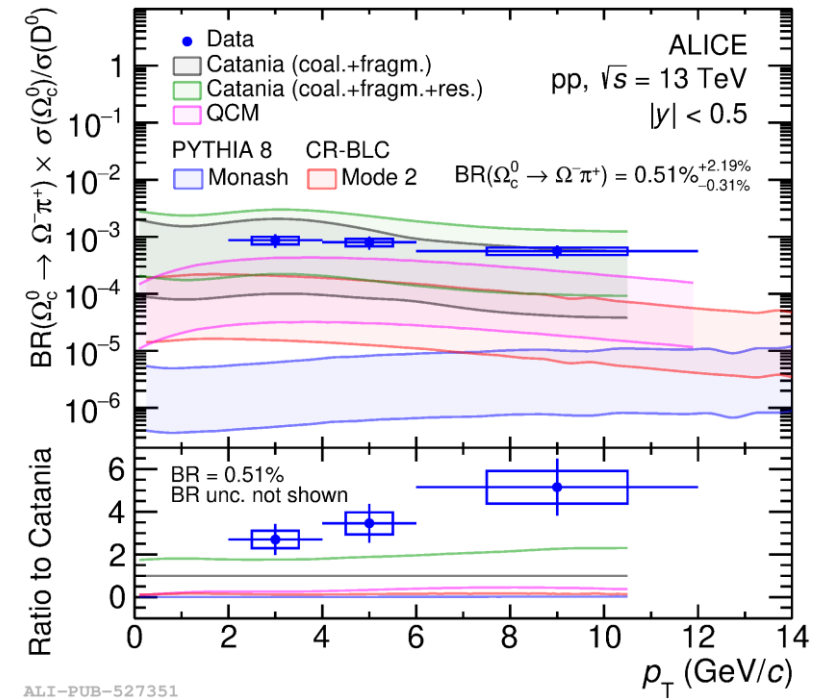
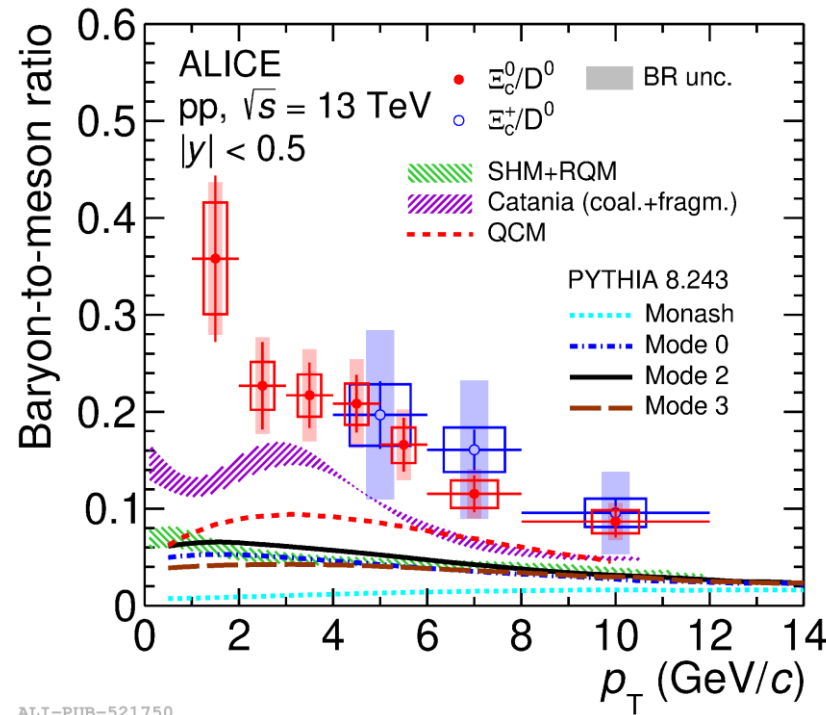
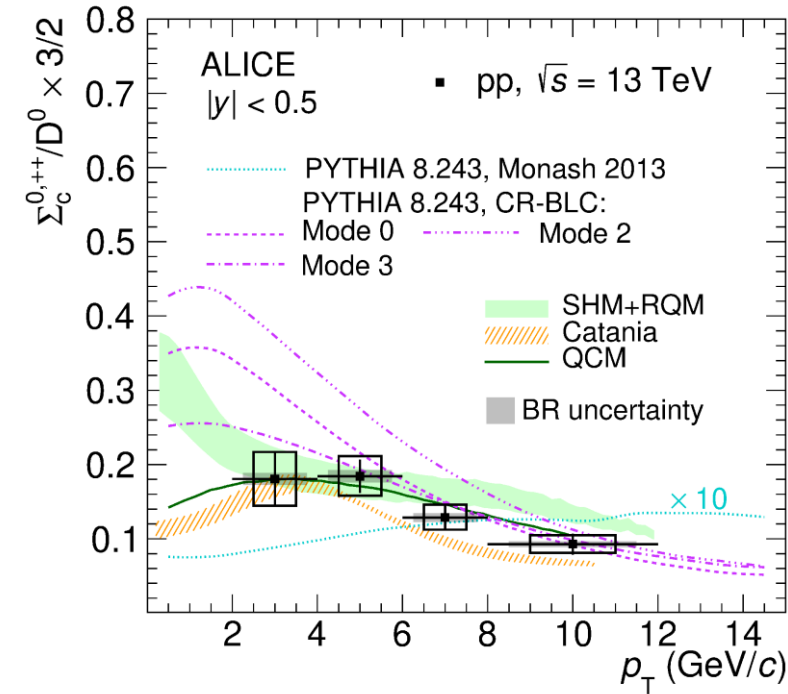
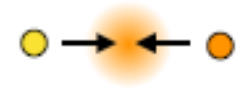
MC@sHQ+EPOS2: [PRC 89 \(2014\) 014905](#)



Testing different assumptions with LGR model:

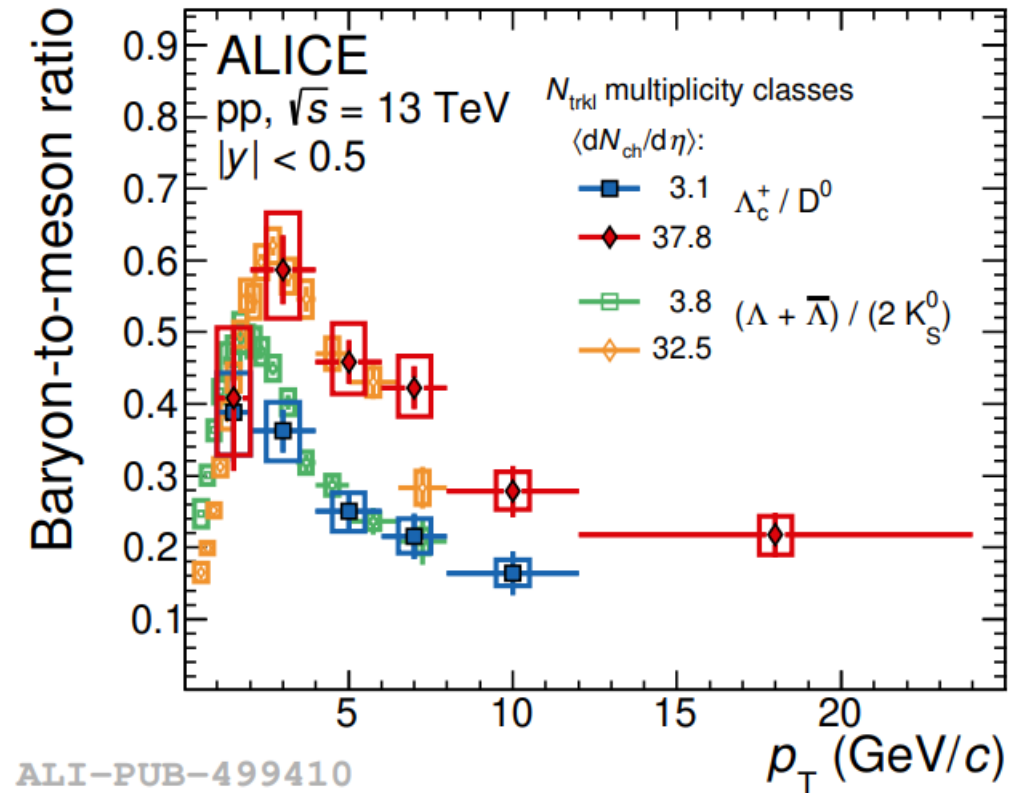
- Valley structure at low p_T explained by **quark coalescence** (case iv).
- Beauty R_{AA} enhancement at high p_T due by **mass dependent quark in-medium energy loss** effect (case i).

Charm baryon production at LHC

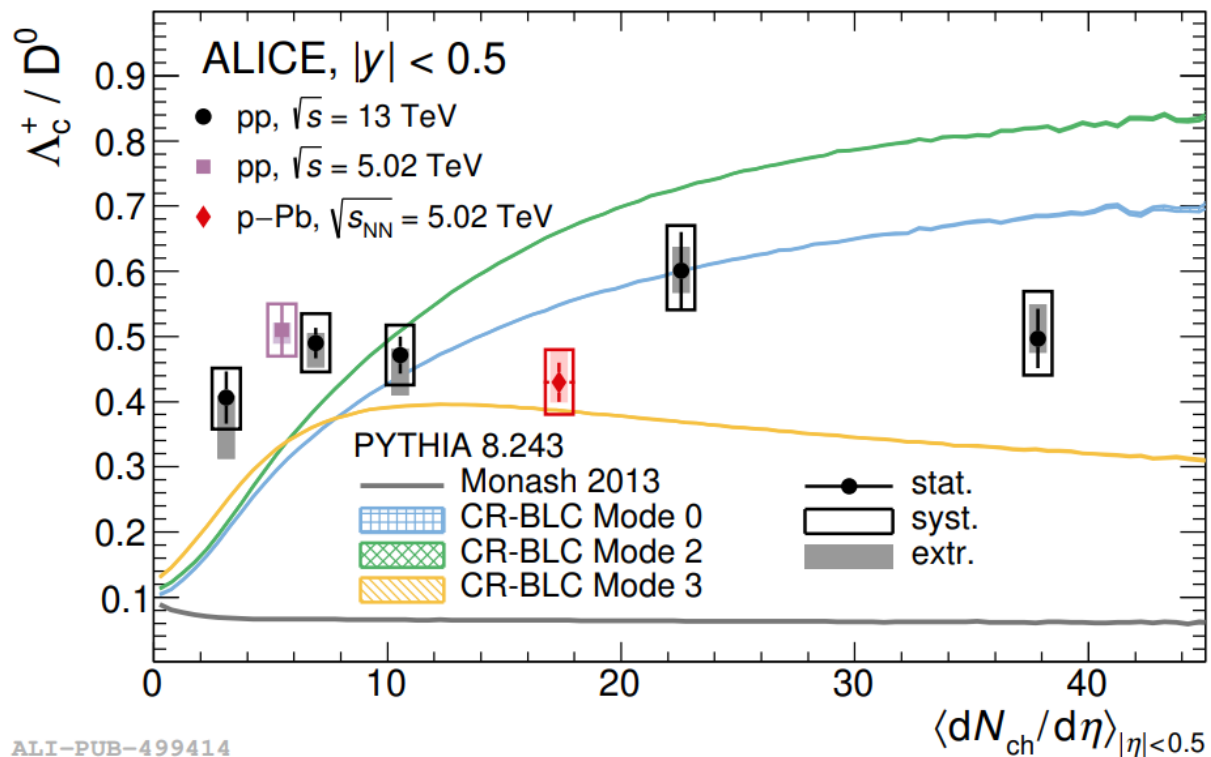


Baryon-to-meson ratio

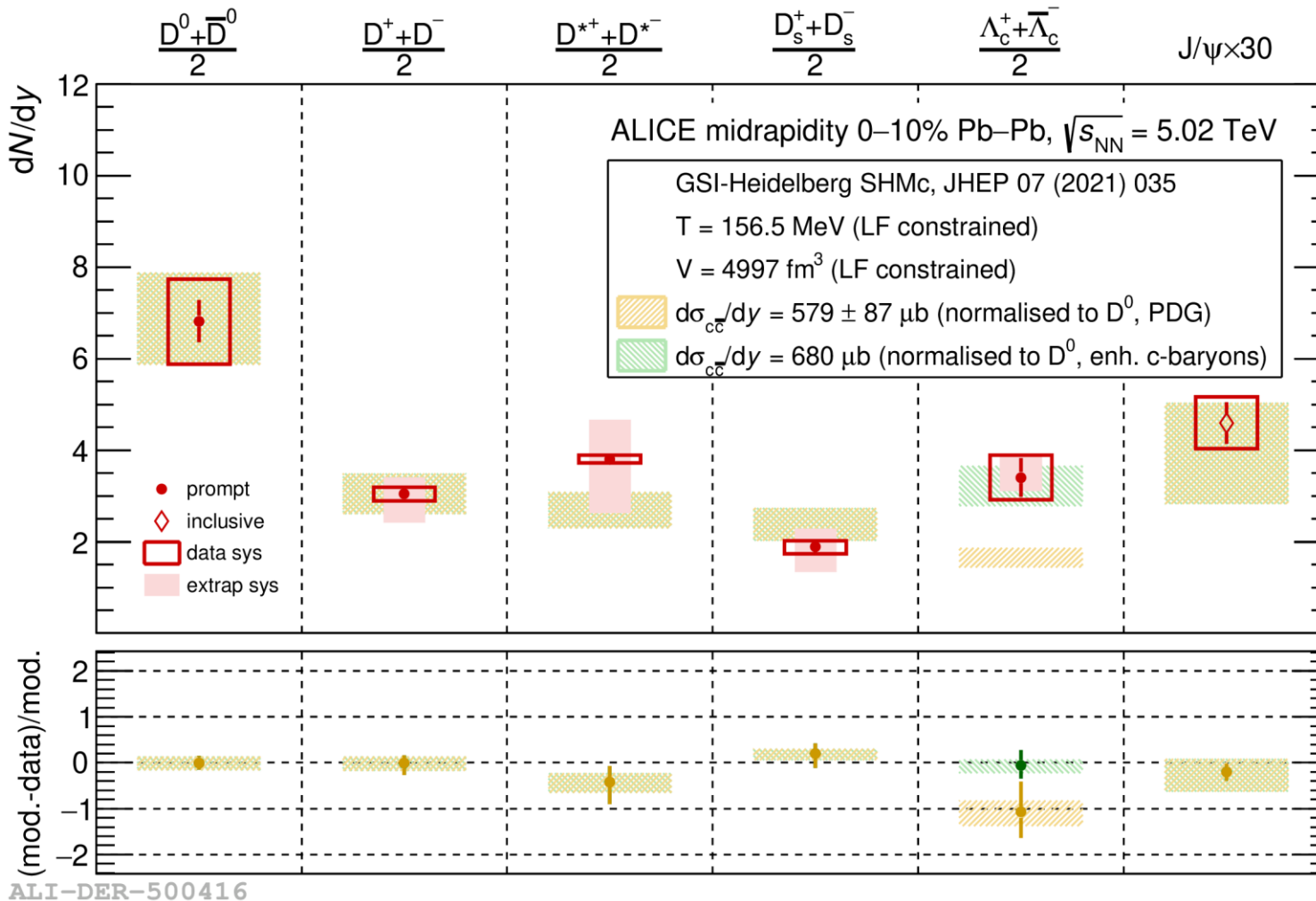
- Similar trend as a function of multiplicity for light- and heavy-flavour baryon-to-meson ratios, Λ/K_s^0 and Λ_c^+/D^0 .
- Hint of a potential common mechanism for light- and charm-baryon formation in hadronic collisions at LHC energies.



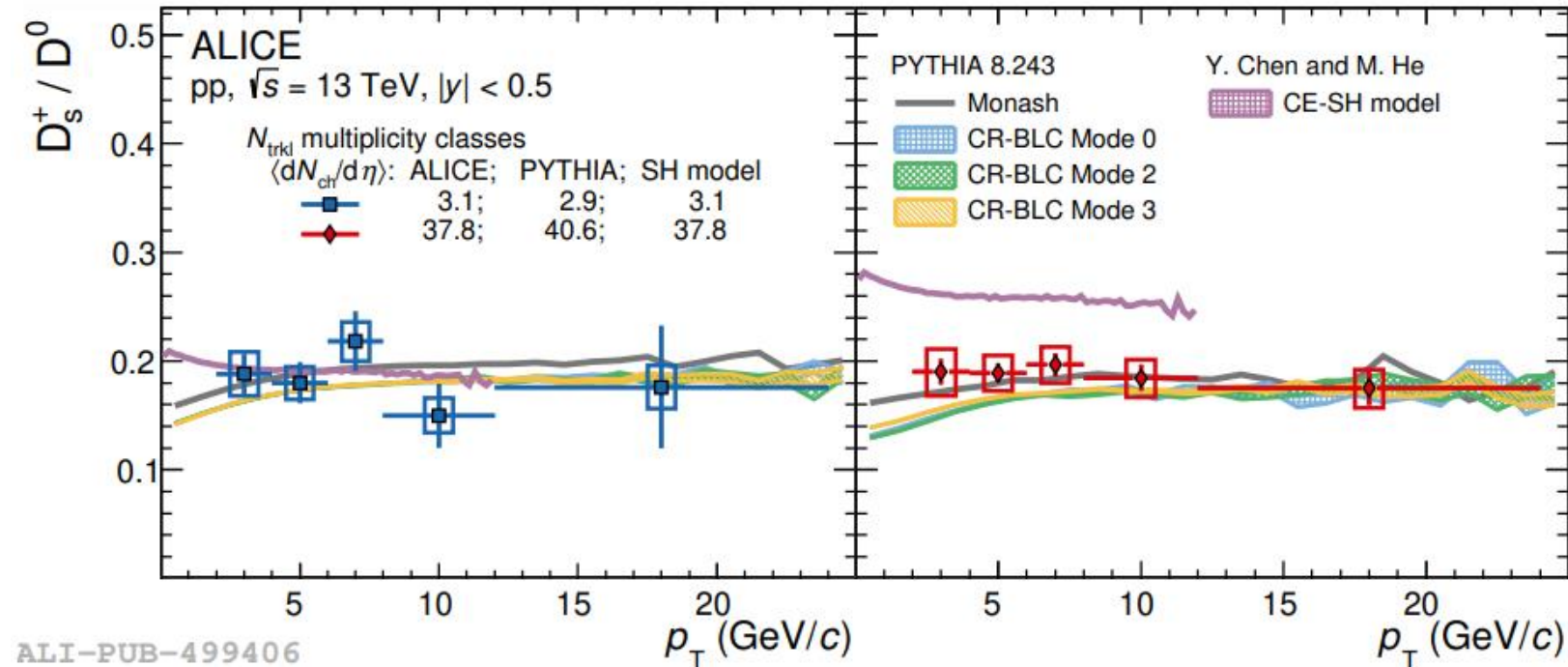
Integrated prompt Λ_c^+ / D^0 baryon-to-meson ratio



Integrated prompt Λ_c^+/D^0 baryon-to-meson ratio

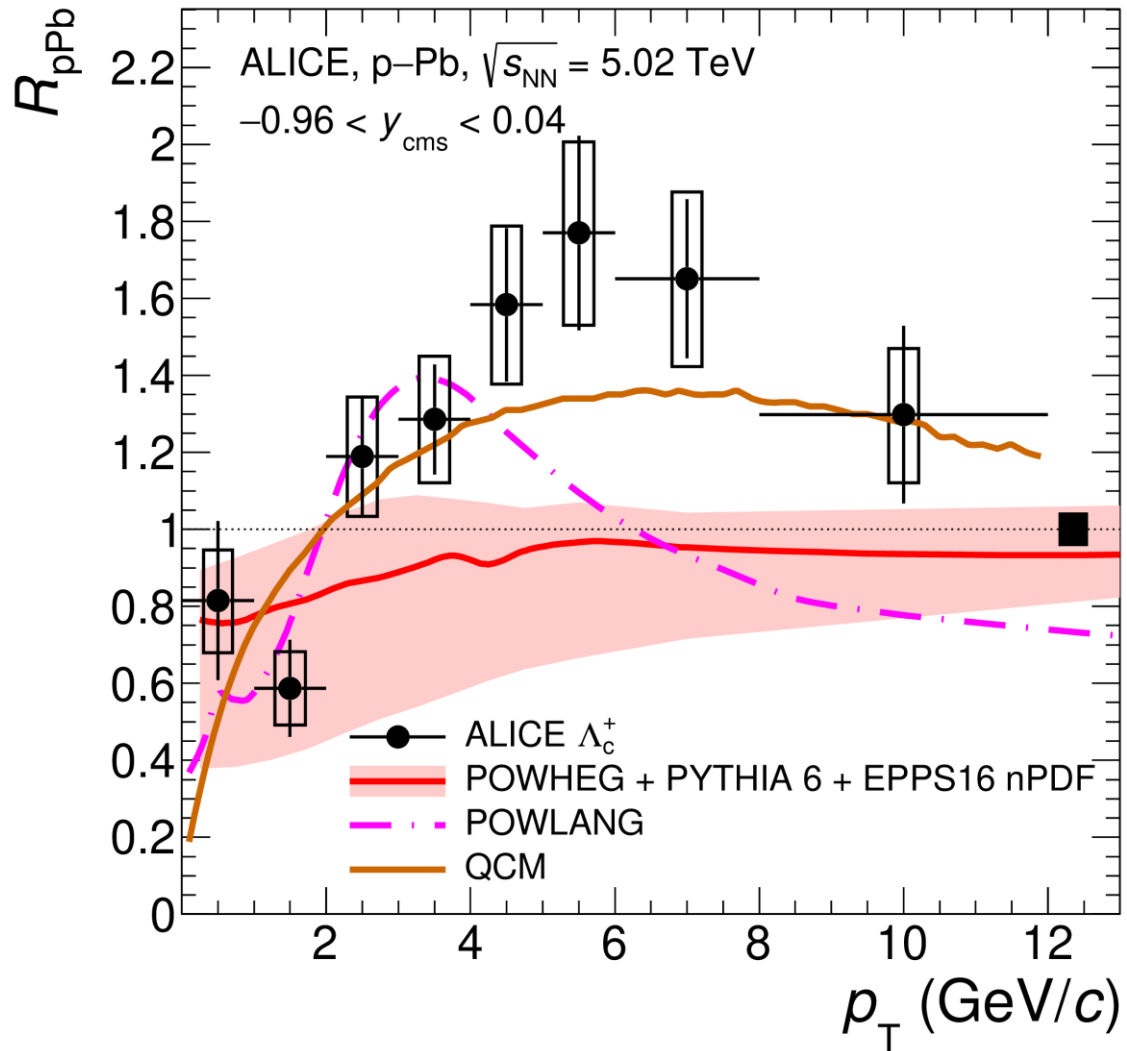


Prompt D_s^+/D^0 strange to non-strange meson ratio



- D_s^+/D^0 ratios are p_T independent in the measured p_T range.
- Dependence of D_s^+/D^0 ratio on multiplicity not observed within the uncertainties.
- The results are comparable with the measurements performed in e^+e^- collisions.

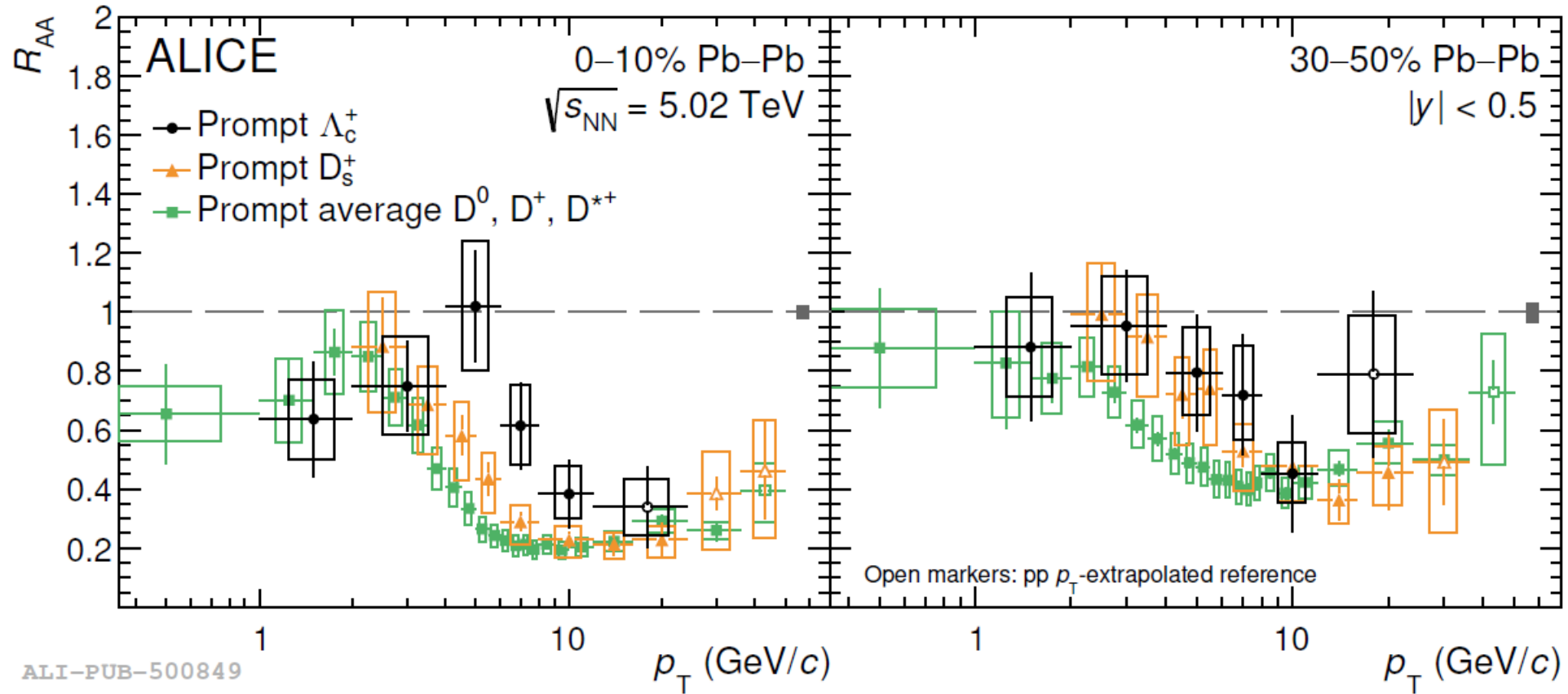
- D_s^+/D^0 ratios compatible with **PYTHIA Monash** and **CR-BLC**.
- The **CE-SH** model describes the low multiplicity D_s^+/D^0 measurement, but it overestimates the data in the highest multiplicity interval.



Enhancement of the $\Lambda_c^+ R_{pPb}$ at intermediate p_T .
Radial flow from hydrodynamic evolution?

What could be the reason of such flow?

D meson and Λ_c^+ R_{AA}

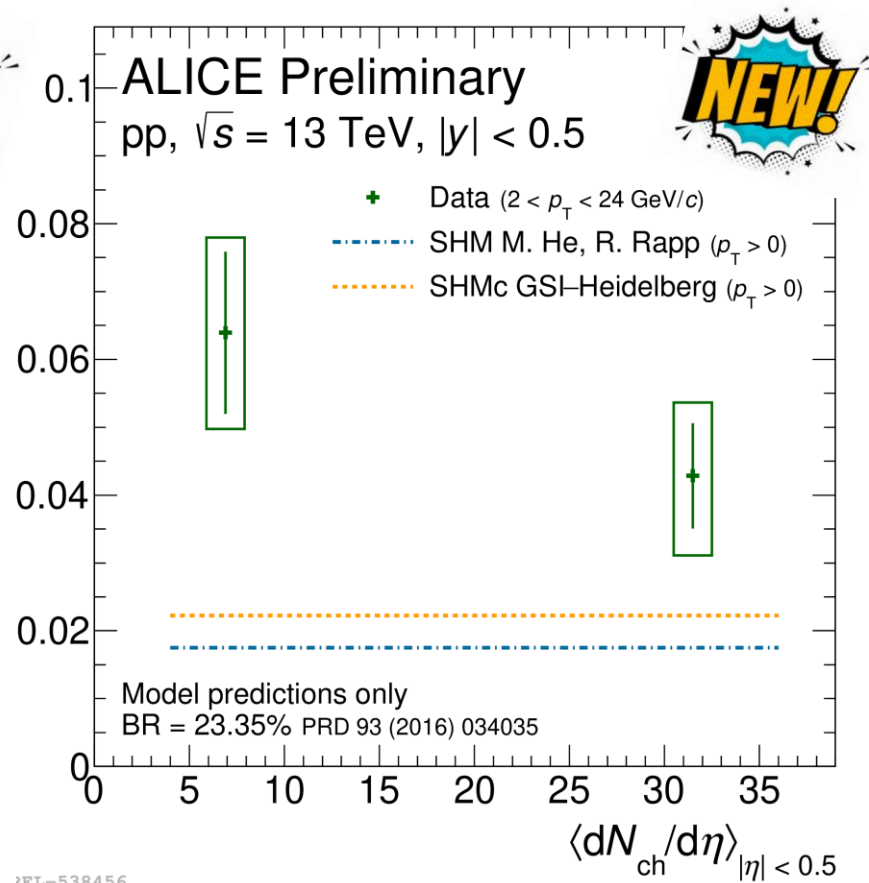
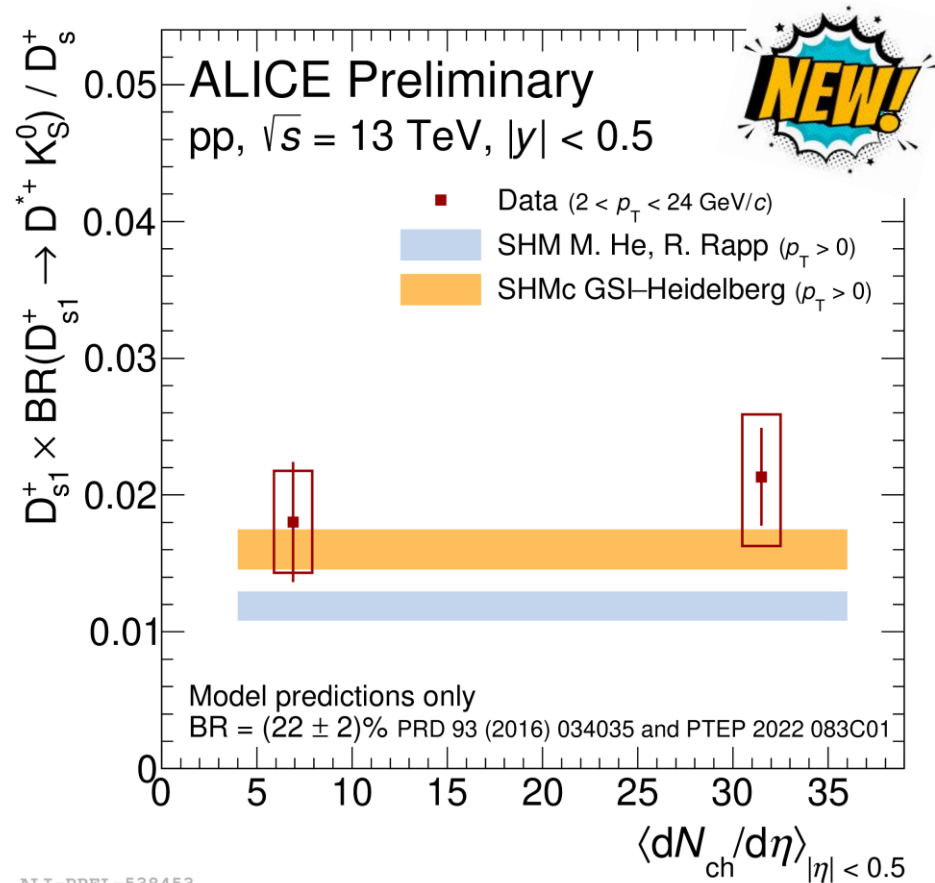


D_s^+ excited states ρ_T -integrated yield ratio

- First measurement of D_{s1}^+ and D_{s2}^{*+} production at the LHC.

SHM: Hee & Rapp, [PLB 795 \(2019\) 117-121](#)

SHMc: Andronic et al., [JHEP 07 \(2021\) 035](#)



- Compared to ground state (D_s^+) in MB and HM vs. multiplicity.
- No clear multiplicity dependence observed in data.

- SHM and SHMc models compatible with the D_{s1}^+/D_s^+ .
- Tension between models and D_{s2}^{*+}/D_s^+ measurements (2.5σ at low multiplicity).