

# Subleading colour, amplitude evolution and all that

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At

Parton Showers for Future Event Generators

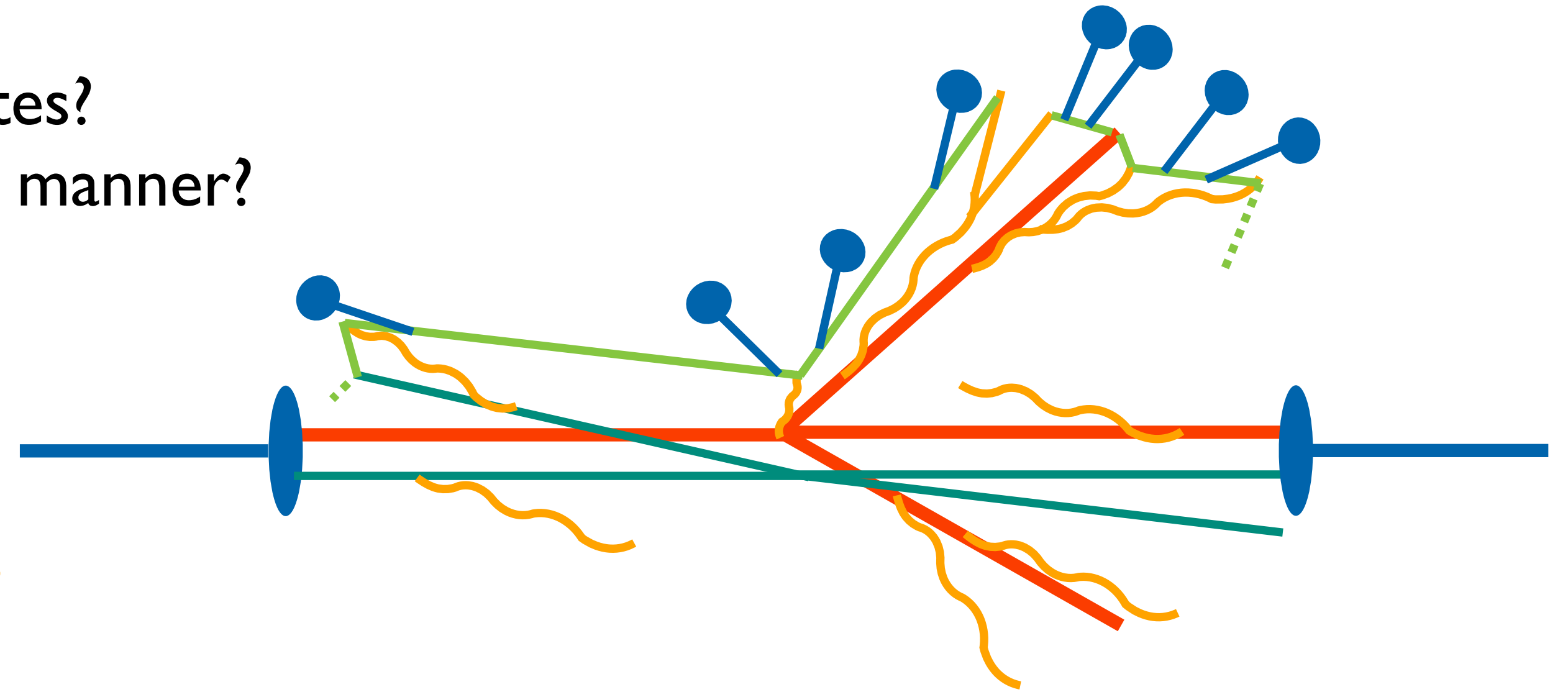
CERN | 25 April 2023

How do we accurately describe details of final states?  
How do we quantify precision in a comprehensive manner?

Matching beyond NLO QCD?  
Solve shower bottlenecks first?

How to benchmark precision of QCD algorithms?  
How to accurately include EW and QED?

How to constrain hadronization models?  
What is their response to perturbative variations?



$$d\sigma \sim \mathbf{L} \times d\sigma_H(Q) \times \mathbf{PS}(Q \rightarrow \mu) \times \mathbf{MPI} \times \mathbf{Had}(\mu \rightarrow \Lambda) \times \dots$$

# Can we understand this better?

Perturbative precision is far from the last word:

E.g. lack of understanding of baryon production is limiting the power of q/g discrimination.

[see also Gieseke's talk]

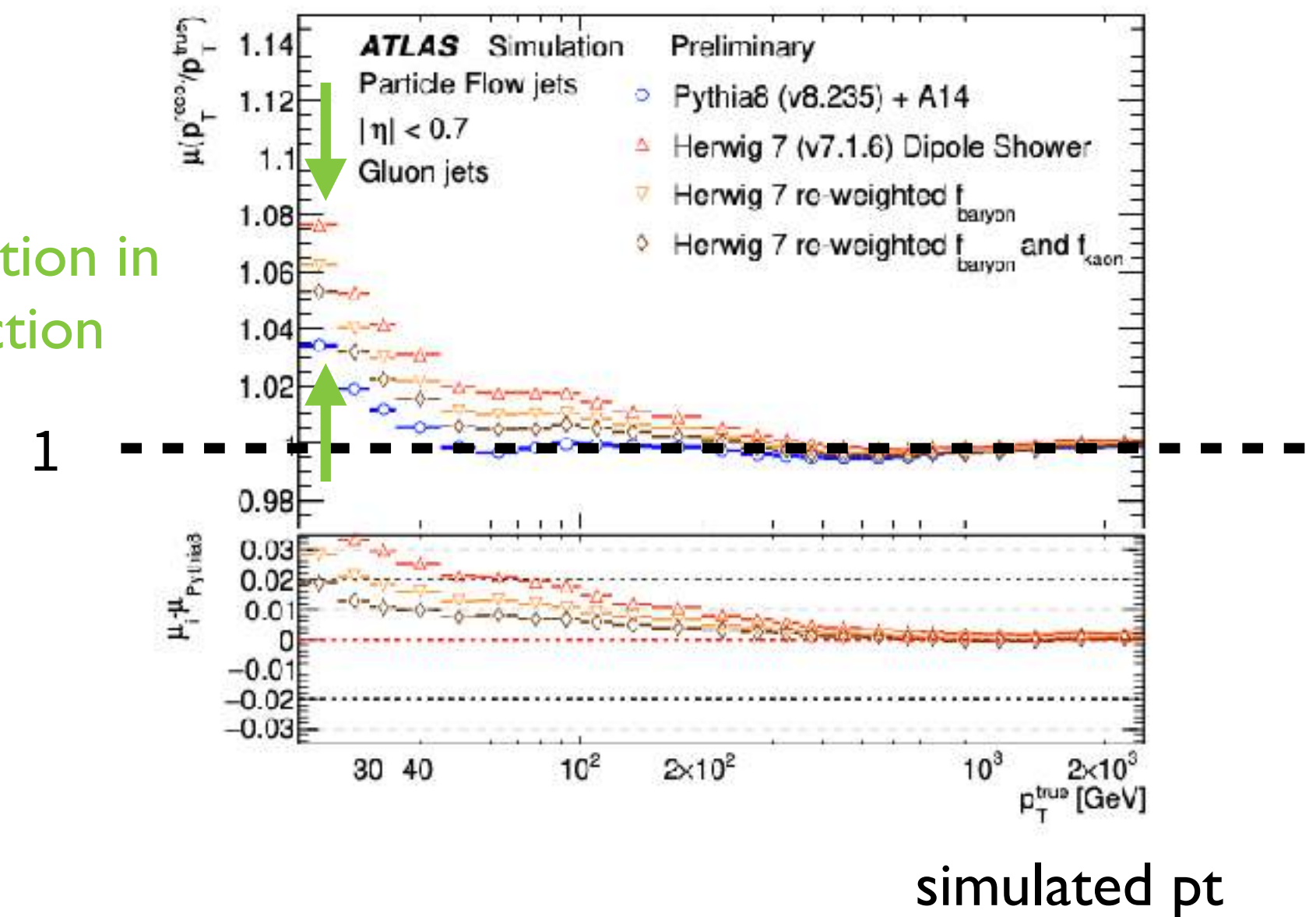
Personal selection of some recent topics:

Parton showers, hadronization and their interface.

And new algorithms.

deviation of reconstructed pt

$O(1)$  variation in the correction



[ATLAS-PUB-2022-021]

$$d\sigma \sim L \times d\sigma_H(Q) \times PS(Q \rightarrow \mu) \times MPI \times Had(\mu \rightarrow \Lambda) \times \dots$$

Colour reconnection and hadronization is about subleading-N.  
So are shower accuracy and interference terms.

Colour factor algorithms

Coherent, NLL-accurate  
dipole showers

[Gustafson] [PanScales '21]  
[Forshaw, Holguin, Plätzer '21]

Colour ME corrections

Colour-exact real  
emissions as far as possible

[Plätzer, Sjö Dahl '12, '18]  
[Höche, Reichelt '20]

Full amplitude evolution

Colour-exact real and  
virtual corrections

[Forshaw, Plätzer, Sjö Dahl, Holguin + ... '13 ...]  
[Nagy, Soper '12 ...]

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Full amplitude evolution

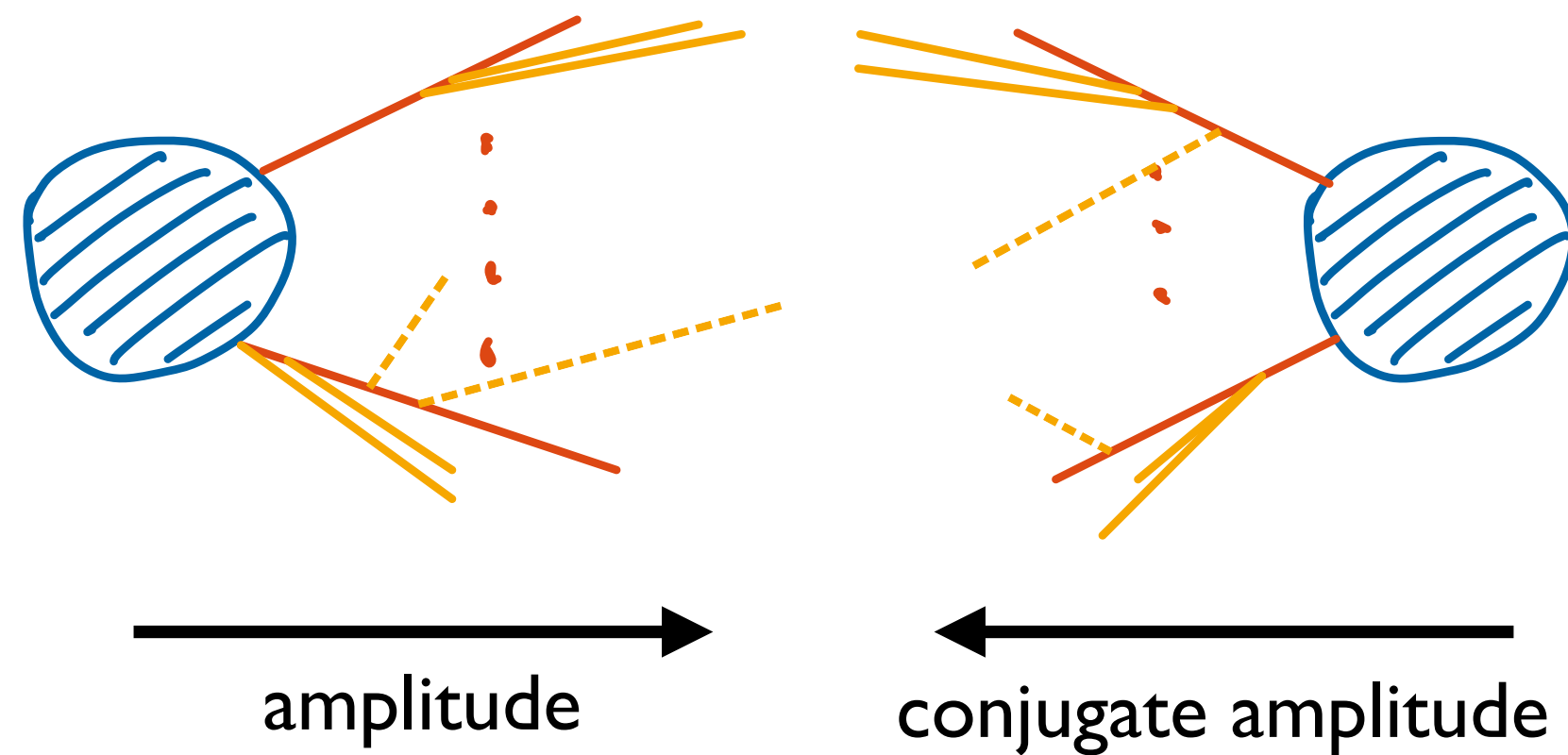
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[Forshaw, Plätzer, Sjö Dahl, Holguin + ... '13 ...]  
[Nagy, Soper '12 ...]

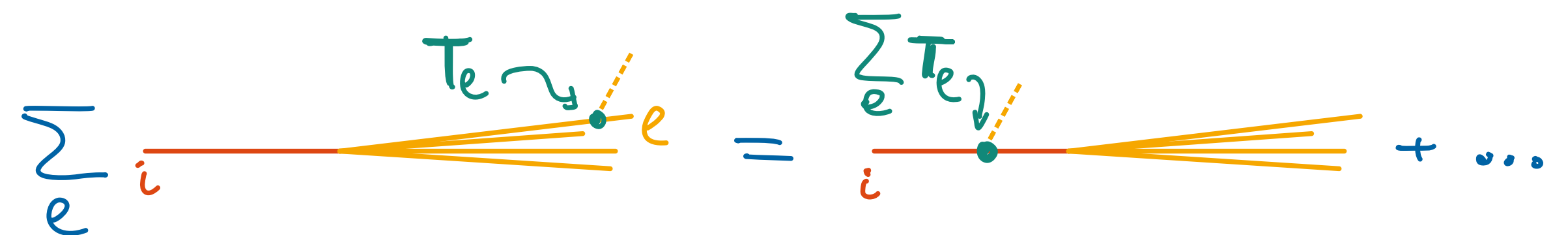
$$d\sigma \sim \text{Tr} \left[ \mathbf{PS}(Q \rightarrow \mu) d\mathbf{H}(Q) \mathbf{PS}^\dagger(Q \rightarrow \mu) \mathbf{Had}(\mu \rightarrow \Lambda) \right]$$

# Coherent branching parton showers

$$d\sigma \sim L \times d\sigma_H(Q) \times PS(Q \rightarrow \mu) \times MPI \times Had(\mu \rightarrow \Lambda) \times \dots$$

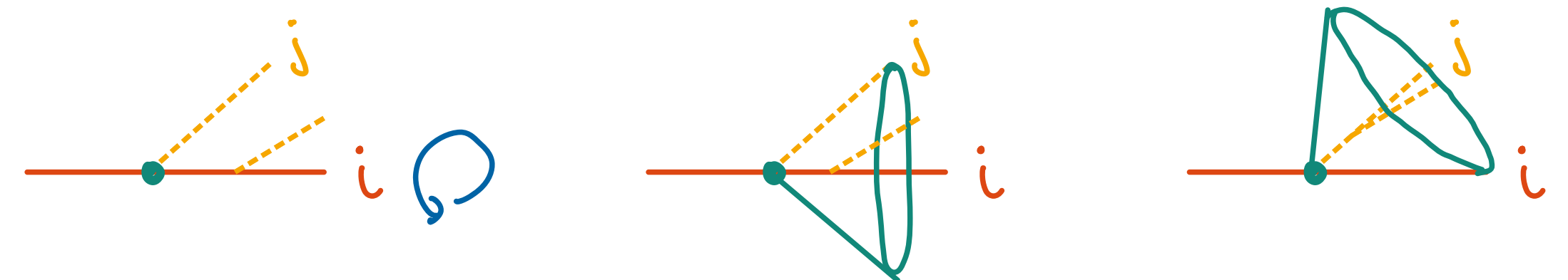


— collinear  
 - - - soft

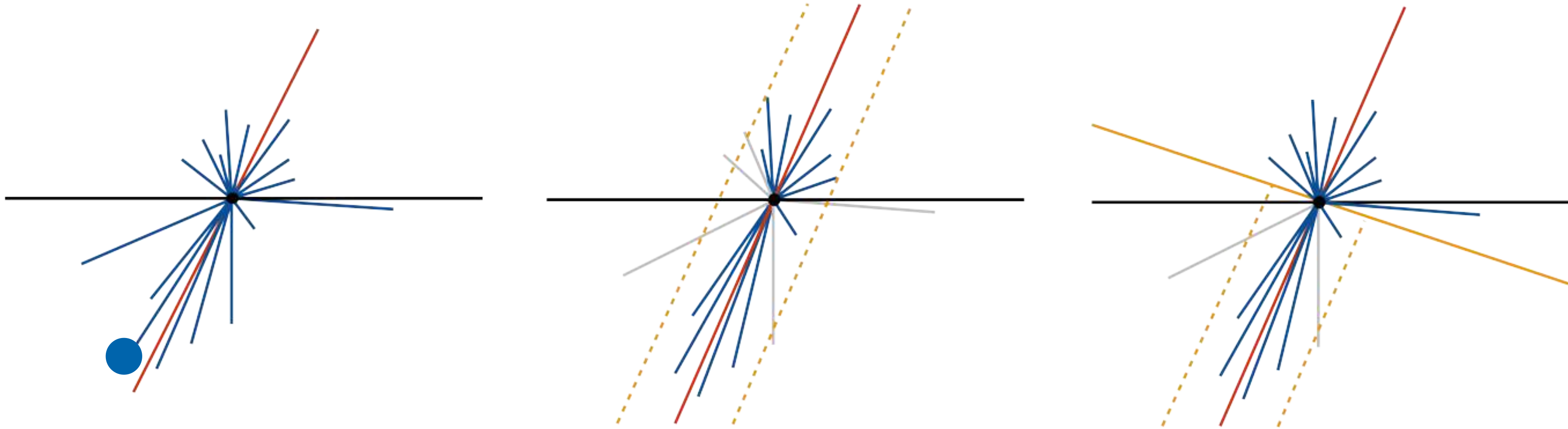
$$\sum_e \sum_i T_i \sim T_e \sim e = \sum_i T_i + \dots$$


Move soft colour charges towards hard process and use angular ordering for azimuthal average around jet axes:

$$T_j T_e T_i \cdot T_i T_m T_j = C_i T_j T_e \cdot T_m T_j$$



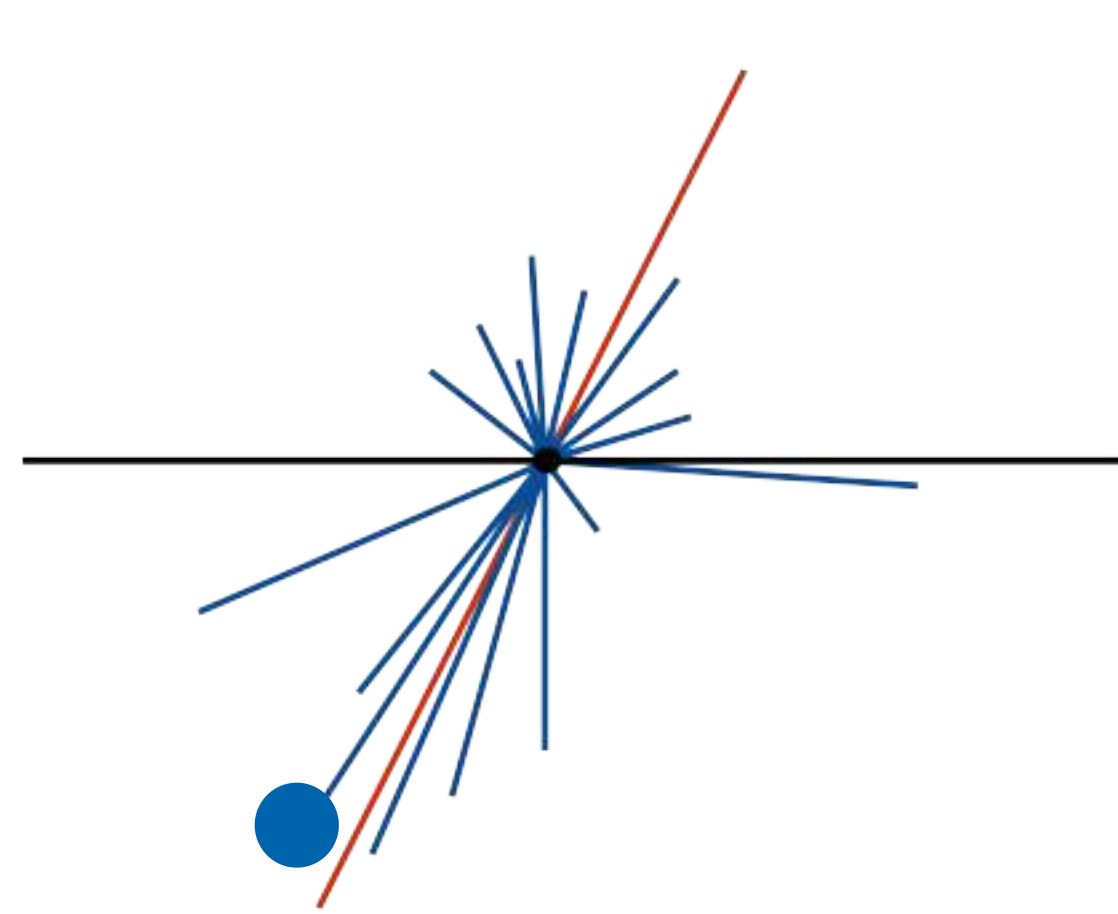
# Accuracy of Parton Showers



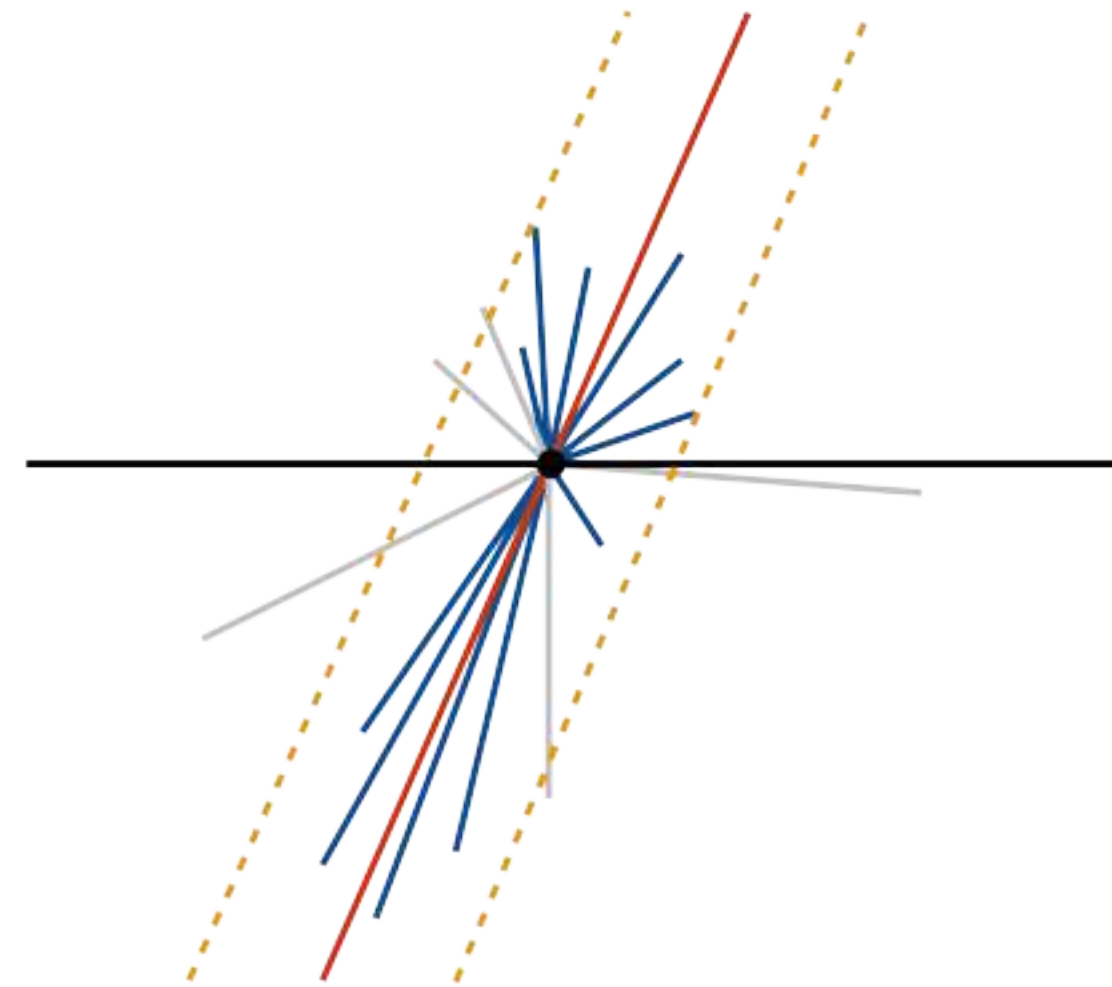
Fragmentation is fine if we get collinear physics right.

# Accuracy of Parton Showers

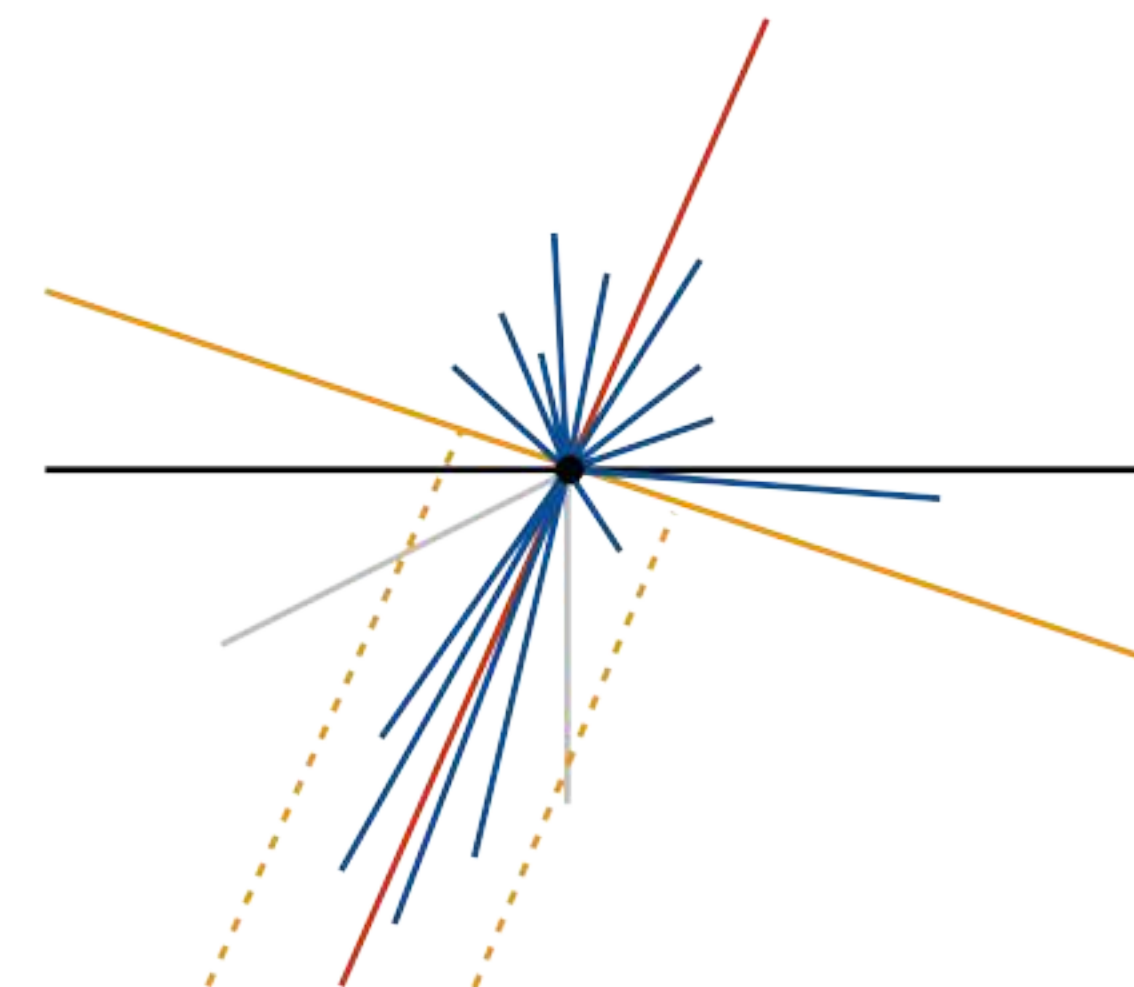
[Catani, Trentadue, Webber, Marchesini ...]



Fragmentation is fine if we get collinear physics right.



Global event shapes from coherent branching — for two jets.



$$H(\alpha_s) \times \exp \left( Lg_1(\alpha_s L) + g_2(\alpha_s L) + \alpha_s g_3(\alpha_s L) + \dots \right)$$

LL — qualitative

NLL — quantitative

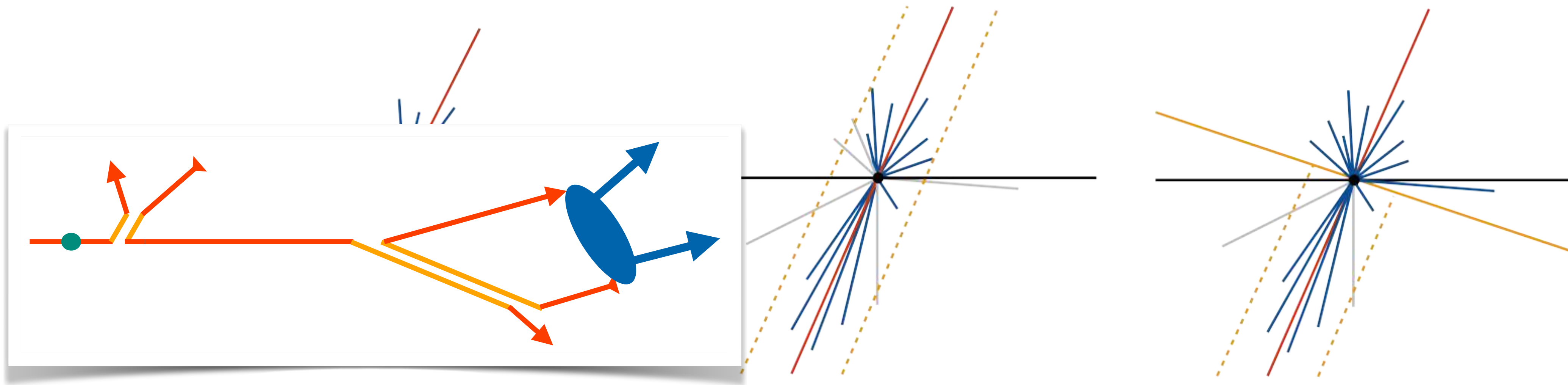
NNLL — precision

$\alpha_s L \sim 1$



# Accuracy of Parton Showers

[Catani, Trentadue, Webber, Marchesini ...]



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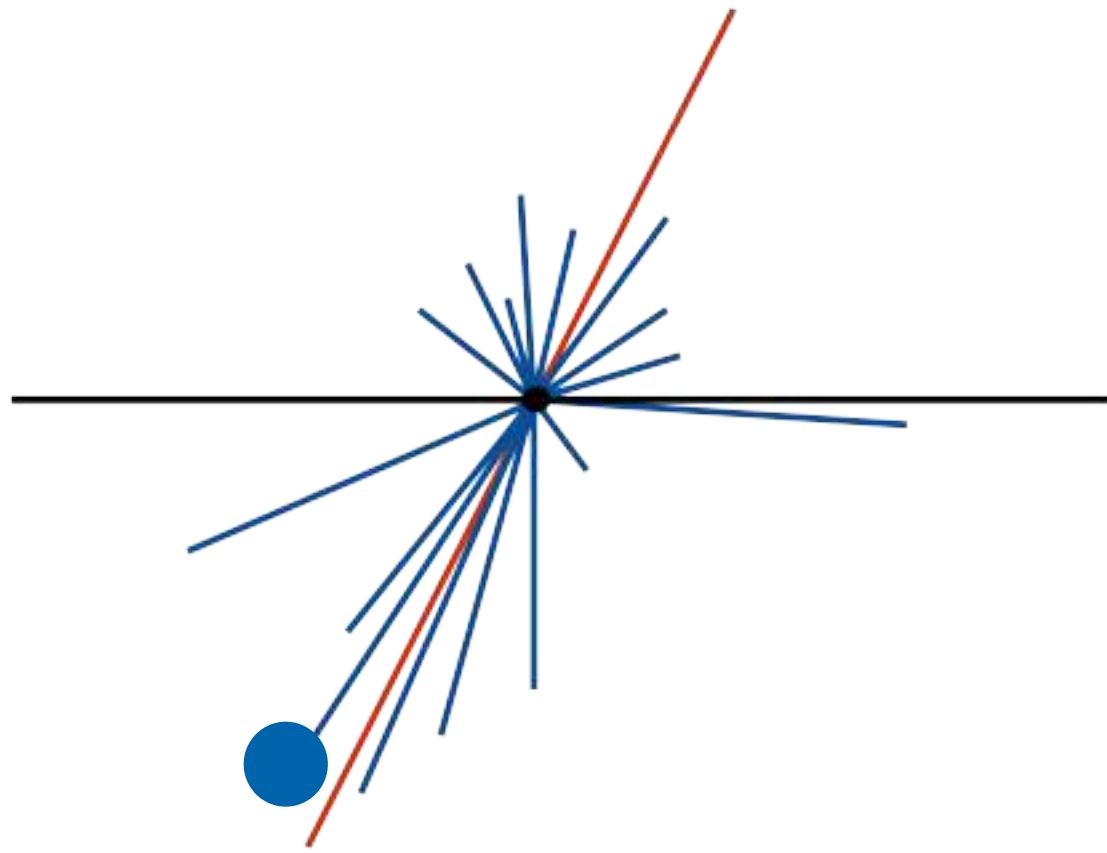
LL — qualitative

NLL — quantitative

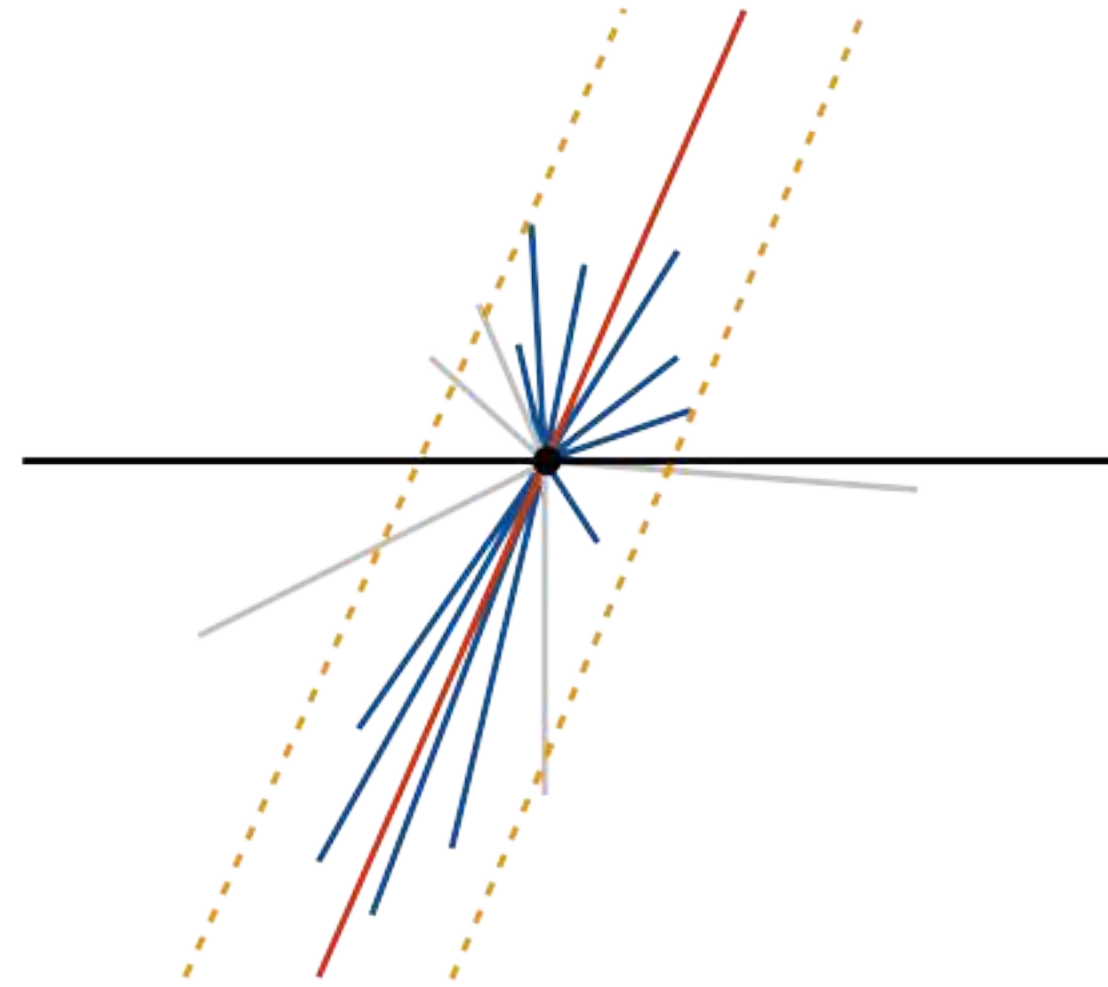
NNLL — precision

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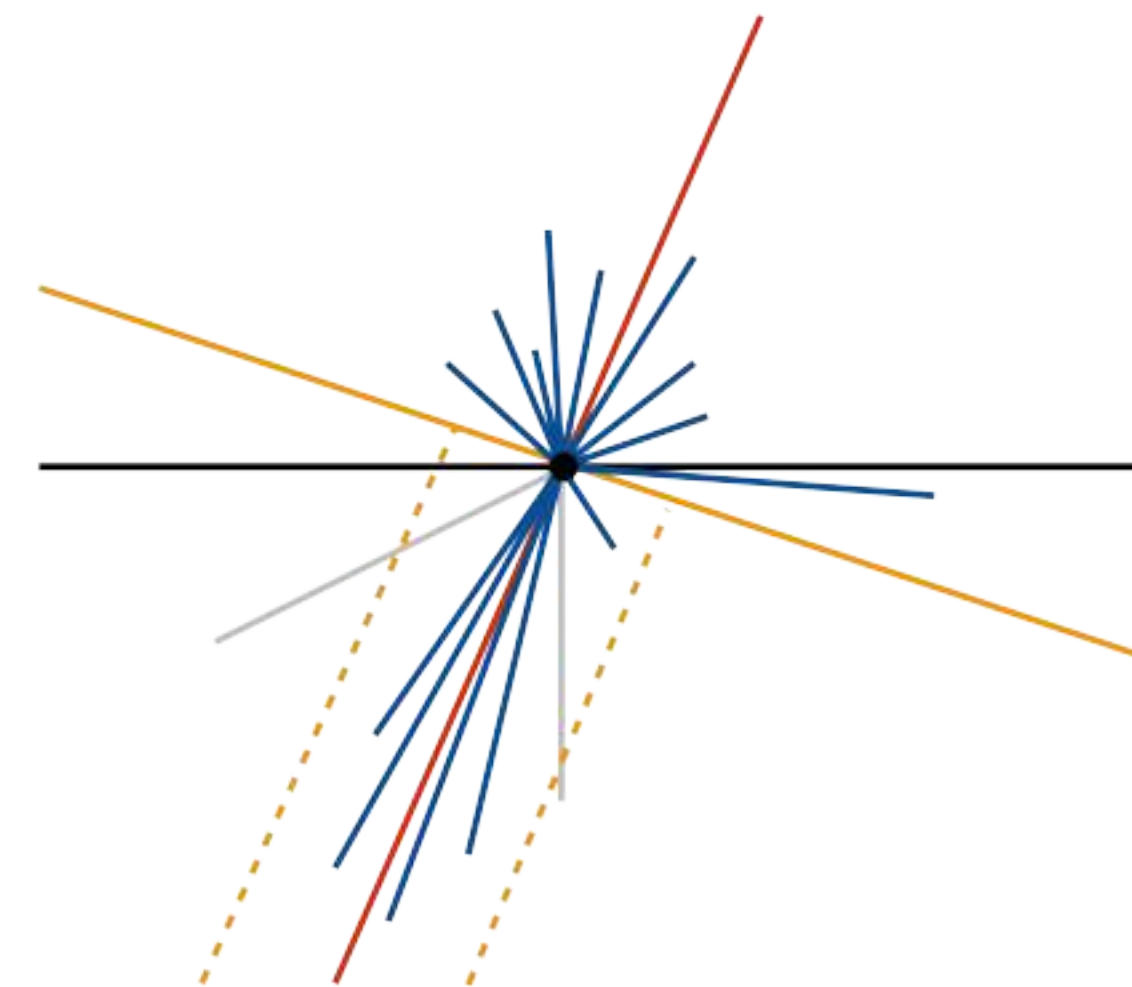
# Accuracy of Parton Showers



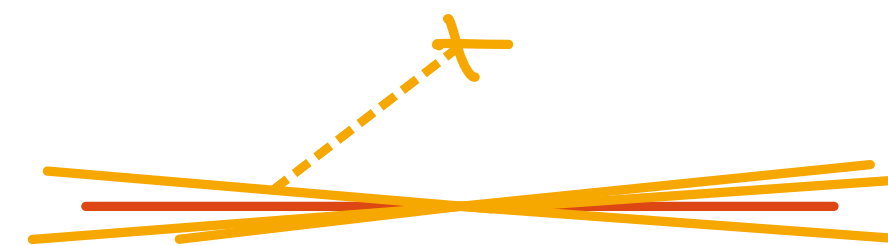
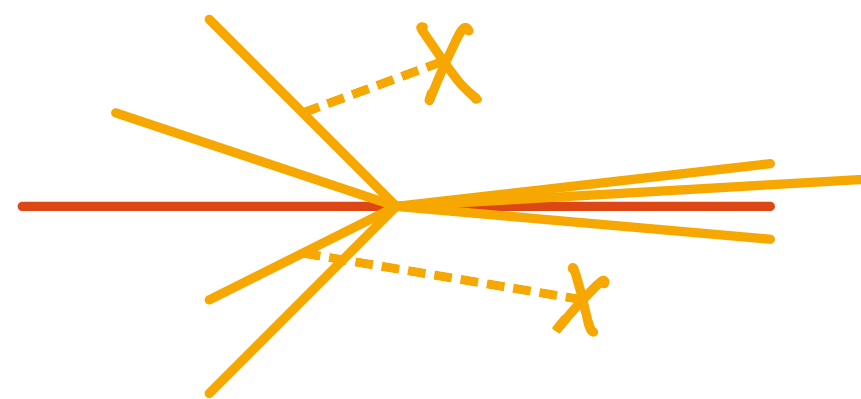
Fragmentation is fine if we get collinear physics right.



Global event shapes from coherent branching — for two jets.



Coherence breaks down for non-global observables.



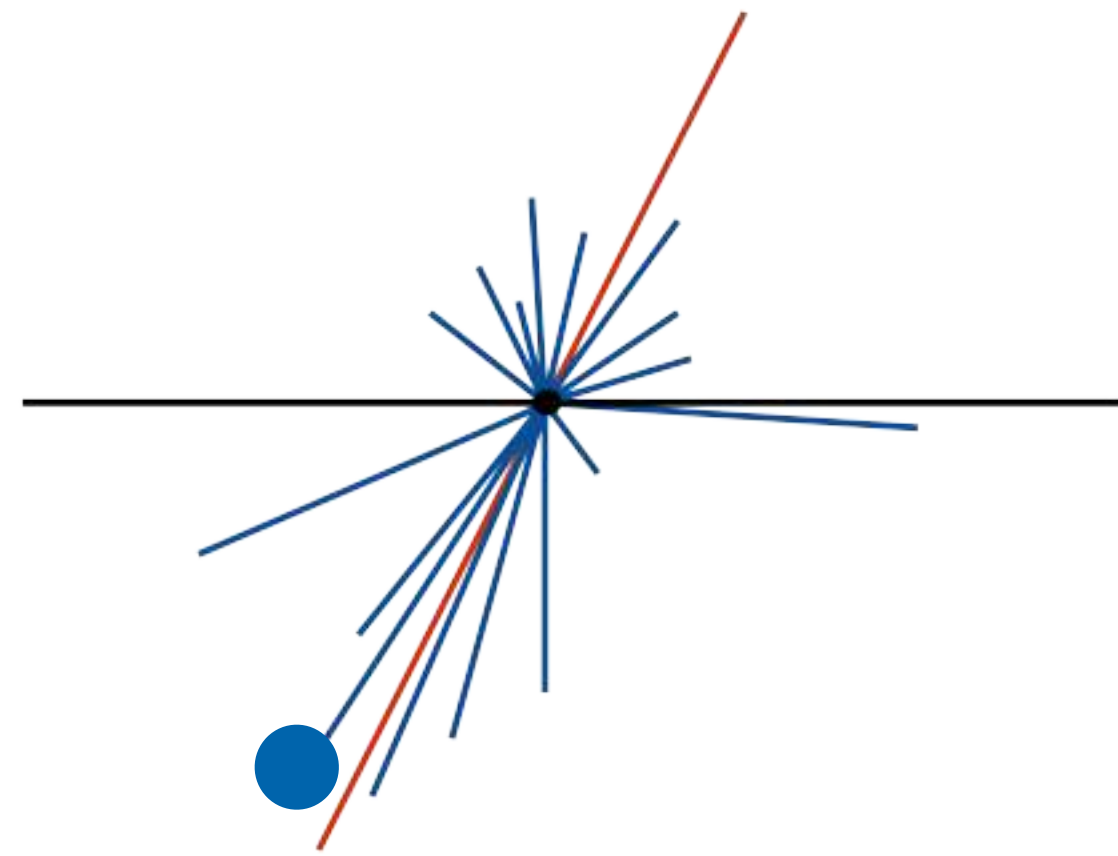
[Banfi, Marchesini, Smye '02]

$$T_h T_e T_i \circ T_j T_m T_n$$

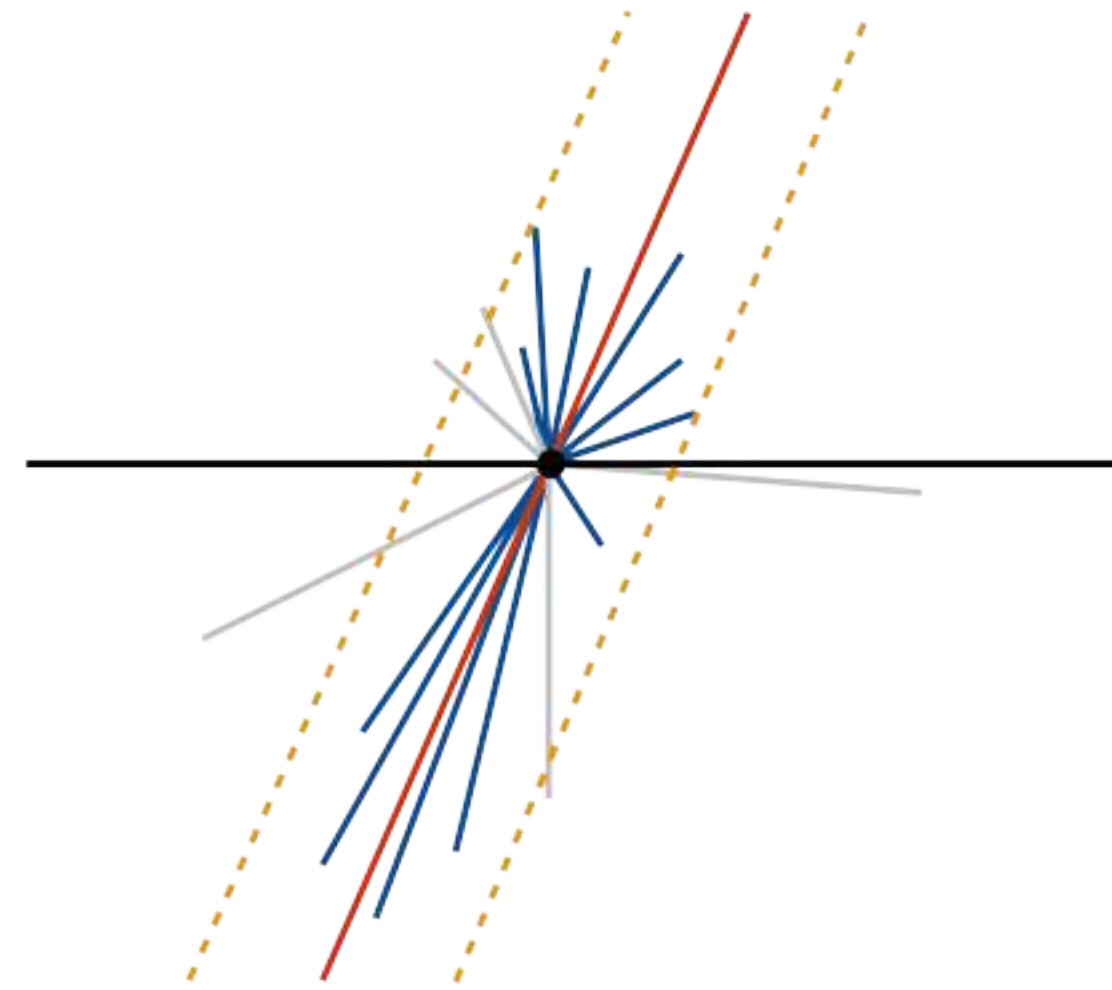
large-N limit



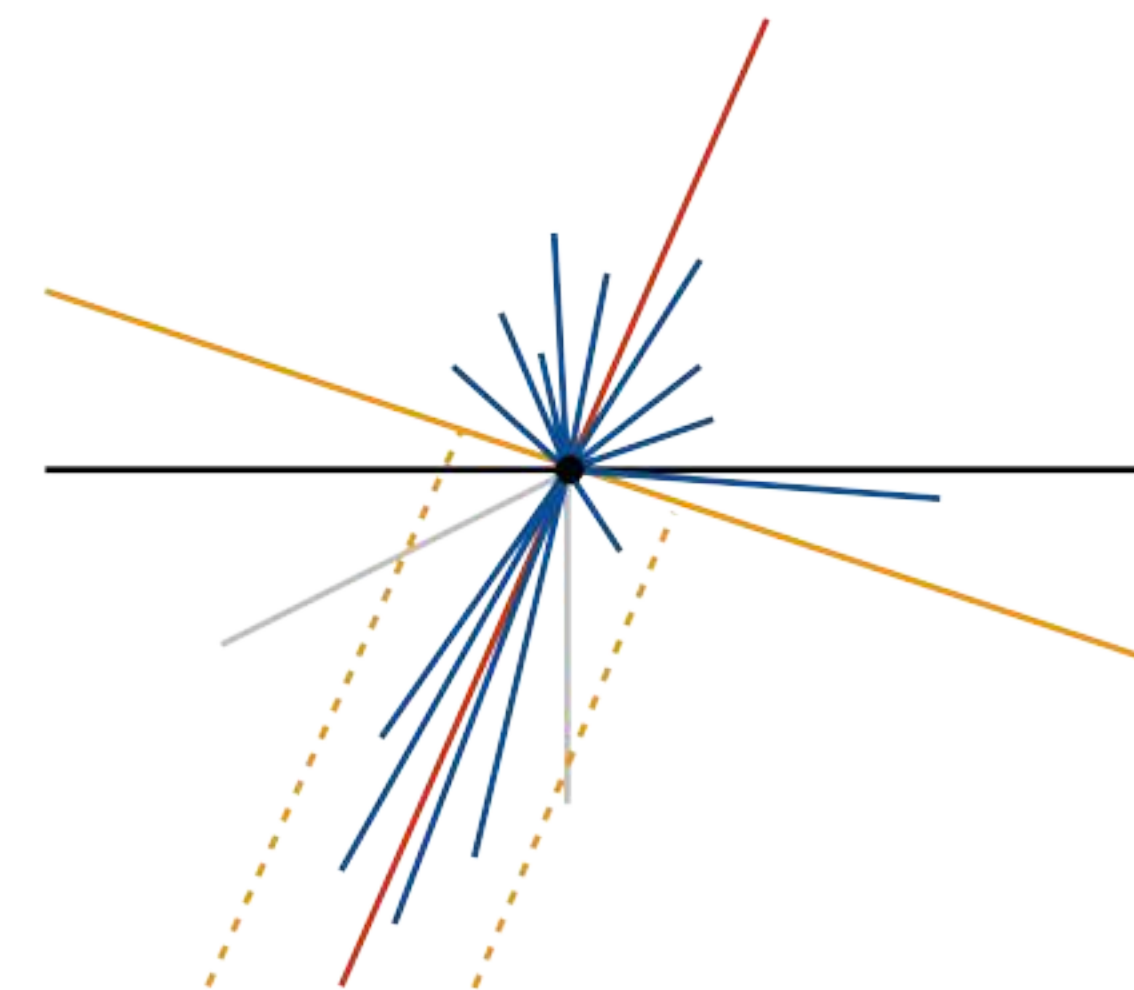
$$\frac{\partial G_{ab}(t)}{\partial t} = - \int_{\text{in}} \frac{d\Omega_k}{4\pi} \omega_{ab}(k) G_{ab}(t) + \int_{\text{out}} \frac{d\Omega_k}{4\pi} \omega_{ab}(k) [G_{ak}(t) G_{kb}(t) - G_{ab}(t)]$$



(N)NLO with matching



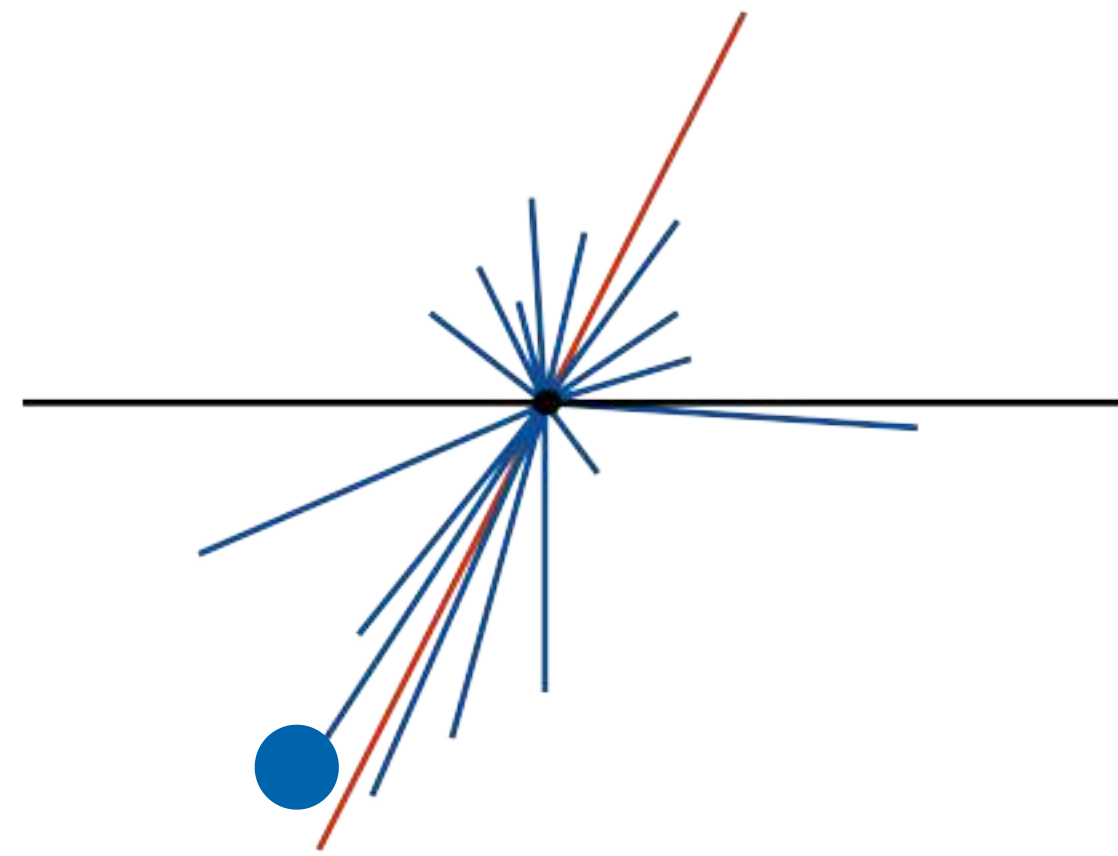
NLL with coherent branching  
Issues in dipole showers



Issues in coherent branching  
LL with dipole showers

Can we push this to  $NLL_{\text{global}} / LL_{\text{non-global}}$  in one (dipole) algorithm?

$$\alpha_s L \sim 1 \quad \alpha_s N^2 \sim 1$$



(N)NLO with matching

## Demonstrate NLL accurate evolution:

- PanScales — numerical

[PanScales — Dasgupta, Monni, Salam, Soyez + ....]

- Deductor — numerical/analytical

[Nagy, Soper]

- Forshaw/Holguin/Plätzer — analytical

[aim at improving Herwig 7 dipole shower]

- Sherpa — numerical/analytical

[Herren, Höche, Krauss, Reichelt, Schönherr]



Based on  
amplitude  
evolution.

Can we push this to NLL<sub>global</sub> / LL<sub>non-global</sub> in one (dipole) algorithm?

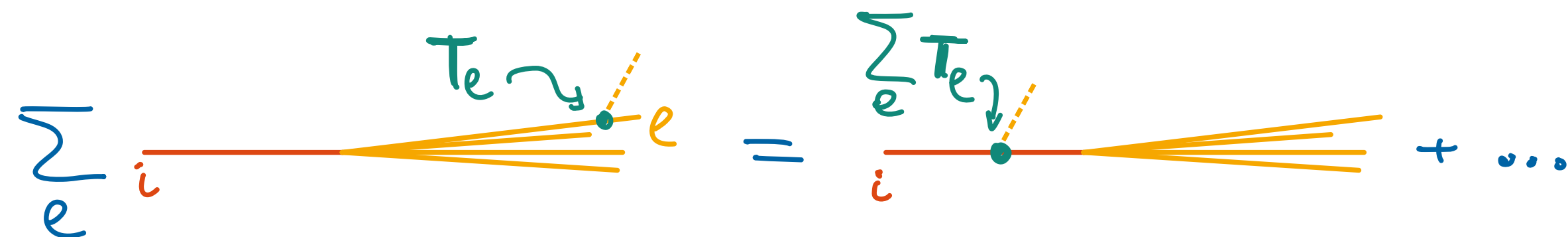
$$\alpha_s L \sim 1 \quad \alpha_s N^2 \sim 1$$

Ingredients for NLL accurate, 2-jet global observables:  
Compatibility of

- evolution ordering,
- partitioning of soft radiation, and
- initial conditions.

From a colour point of view:

- Simplicity of colour structures at the hard process.
- Colour factors as dictated by coherence.

$$\sum_e \int_i \dots \int_e T_e \dots = \sum_i \int_i \dots \int_e T_e \dots + \dots$$


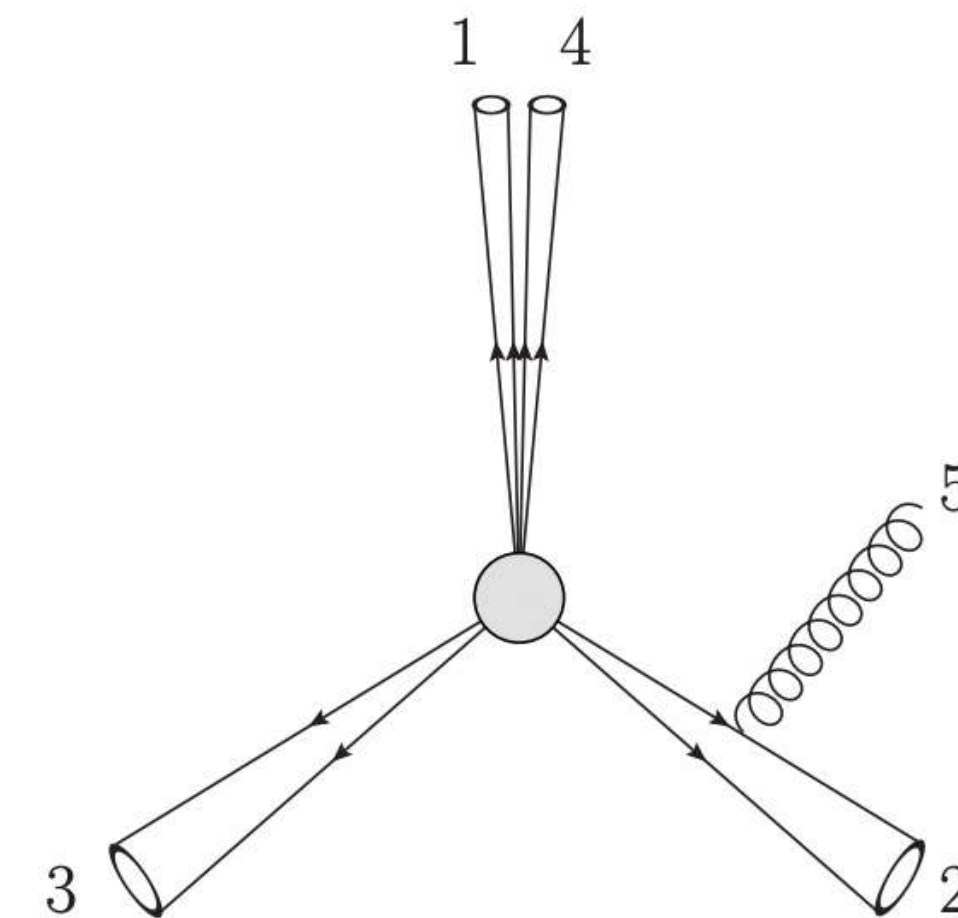
Non-global observables will stick with the large-N limit.

[PanScales — Dasgupta, Monni, Salam, Soyez + ... — '18 ...]

[Holguin, Forshaw, Plätzer — '19]

[Herren, Höche, Krauss, Reichelt, Schönherr — '22]

Can extend to three jets where colours are still trivial.

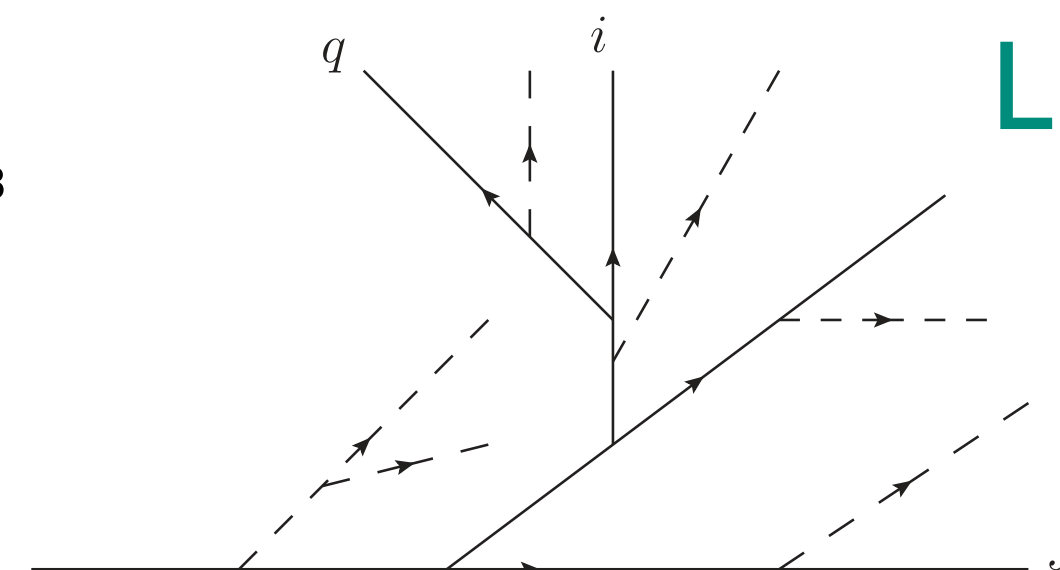
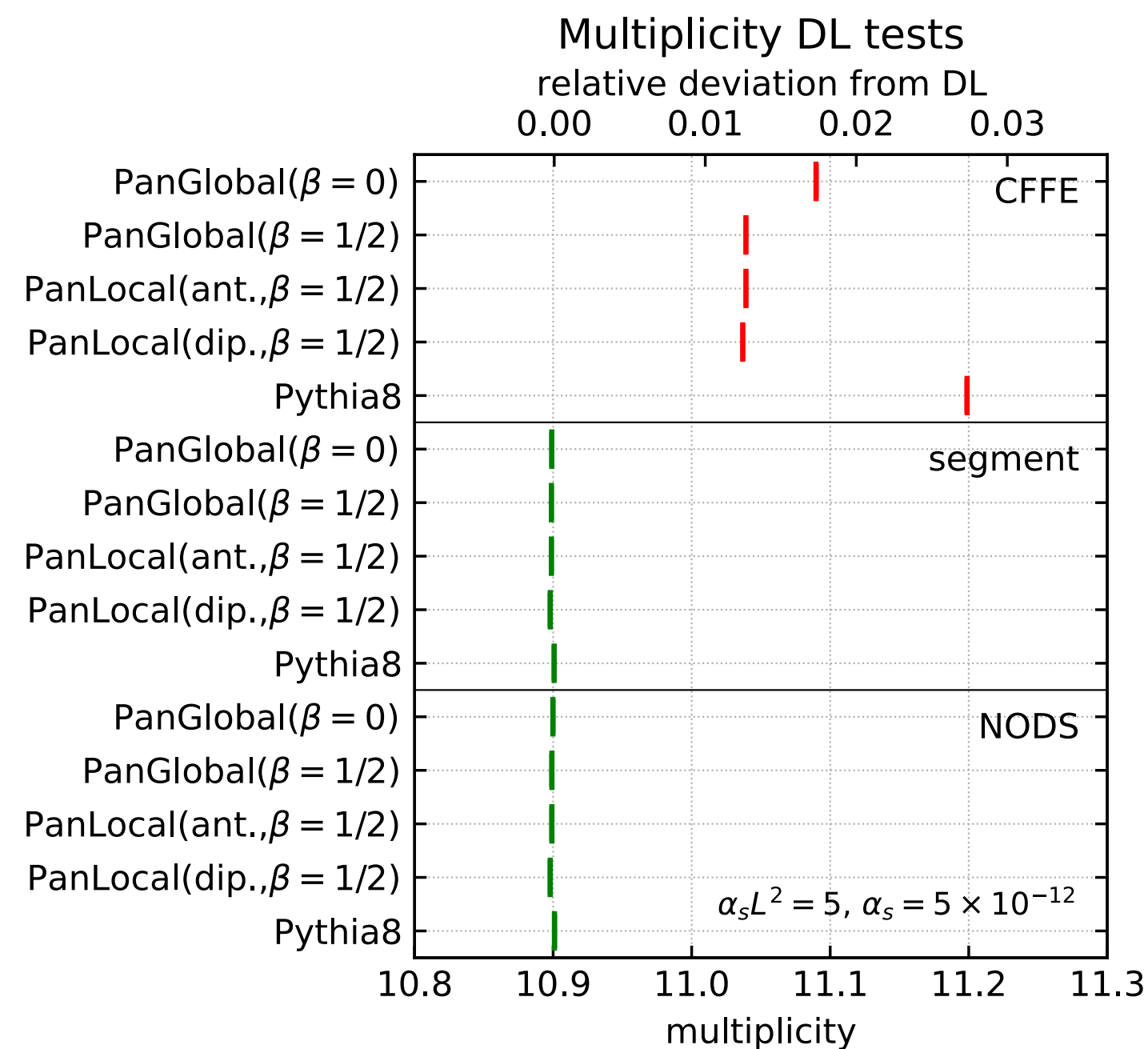


[Holguin, Forshaw, Plätzer — '20]

[Hamilton, Medves, Salam, Szyboz, Soyez '20]

Errors in dipole showers when patron emitted at an angle larger than the **angular extent** of the chain which led to the emission: Coherent branching would know through angular ordering.

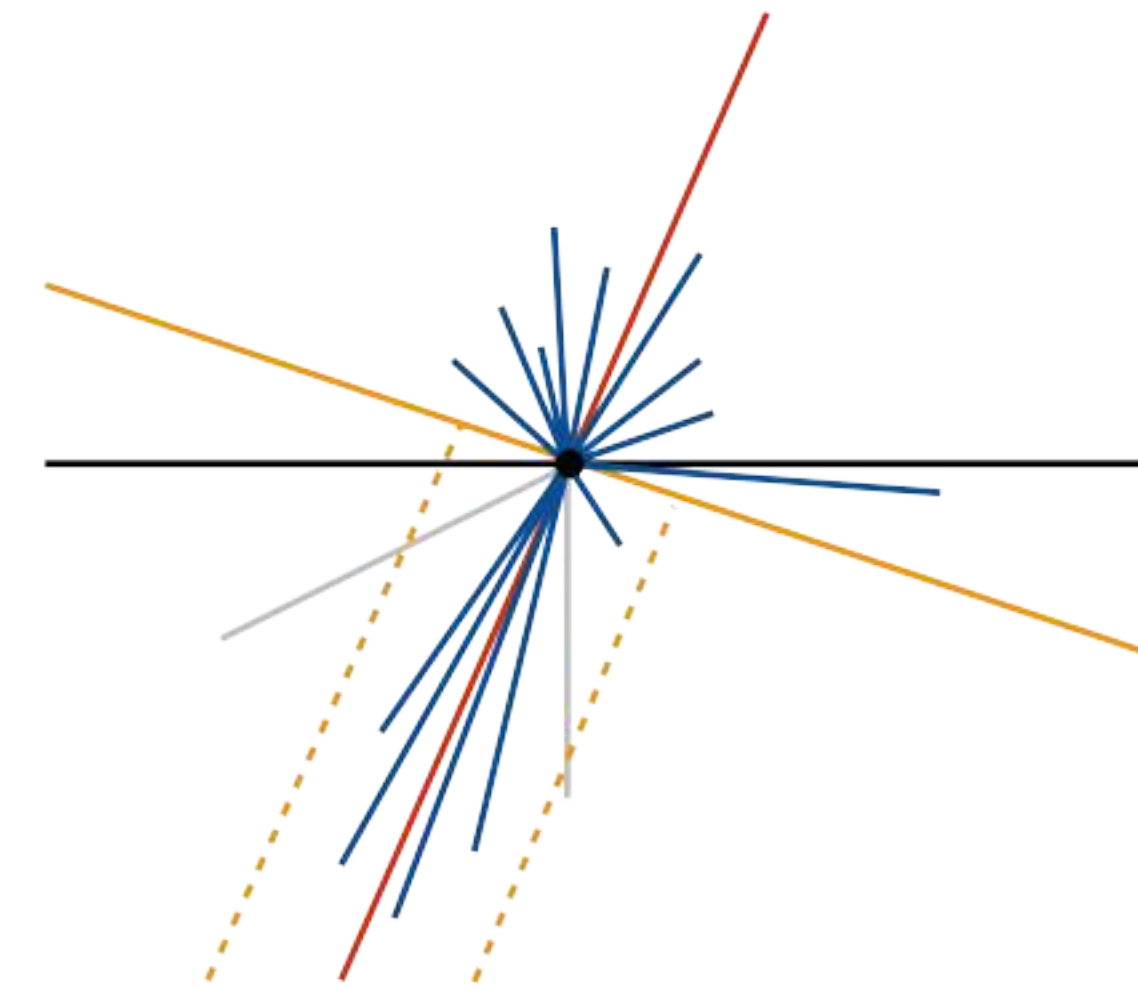
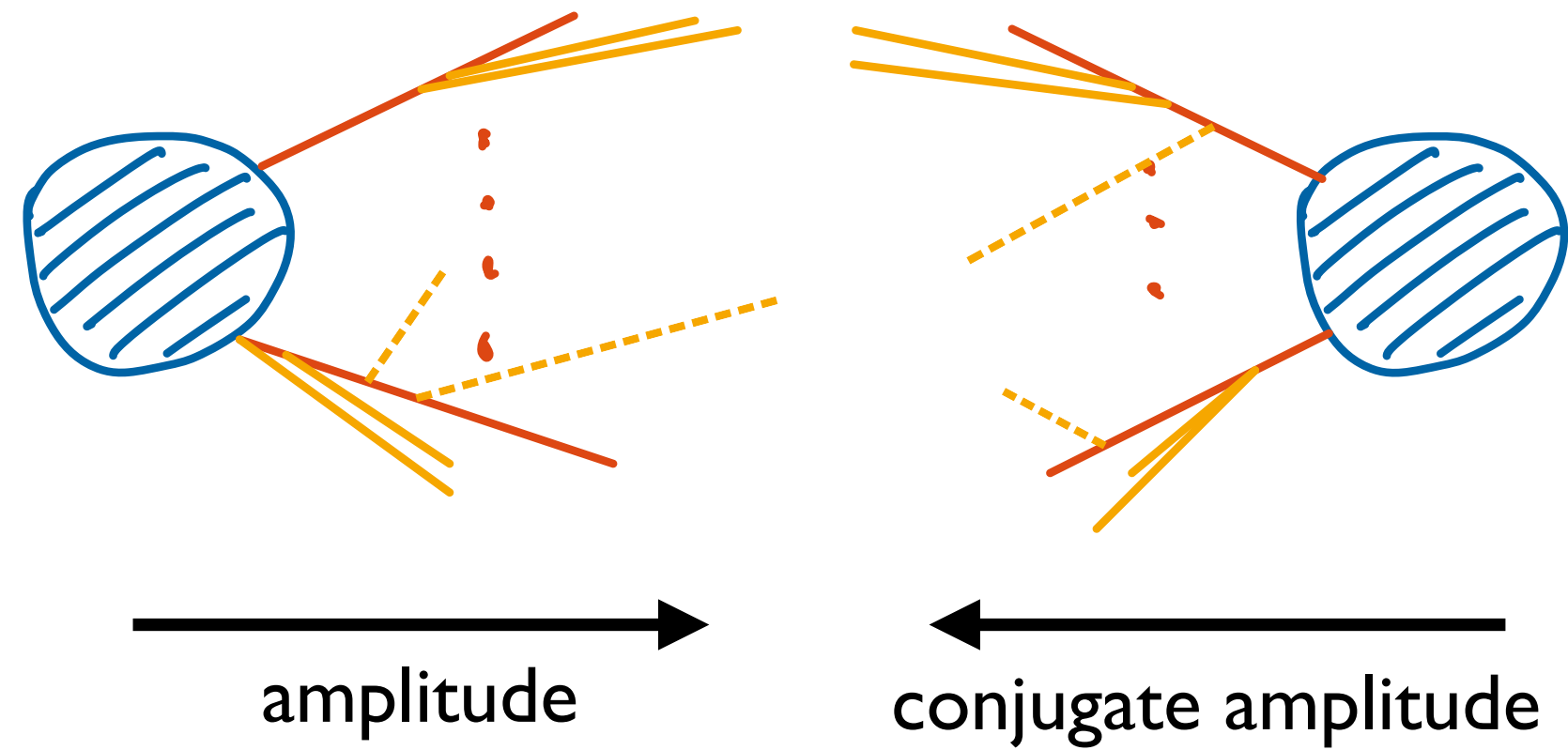
Introduce dynamic colour factors based on branching histories.



$$C_{iJ}(\theta_{iq}, \theta_{LJ}) = \left( C_F \delta_i^{(q)} + \frac{C_A}{2} \delta_i^{(g)} \right) \theta(\theta_{iq} < \theta_{LJ}) + \left( \frac{C_A}{2} \delta_J^{(g)} + C_F \delta_J^{(q)} \right) \theta(\theta_{iq} > \theta_{LJ})$$

[Holguin, Forshaw, Plätzer — '20]

# Tracking (colour) charges



Non-global observables set the level of complexity we need to address.  
We cannot tell how subleading finite  $N$  is until we have the tools to test.

# Colour matrix element corrections



Colour matrix element corrections:  
Real emissions only amplitude evolution —  
first implementation in a shower algorithm.

$$|\mathcal{M}_n\rangle = \sum_{\alpha=1}^{d_n} c_{n,\alpha} |\alpha_n\rangle$$

$$\mathcal{M}_n = (c_{n,1}, \dots, c_{n,d_n})^T$$

[Plätzer, Sjö Dahl '12]  
[Plätzer, Sjö Dahl, Thoren '18]

$$|\mathcal{M}_n|^2 = \mathcal{M}_n^\dagger S_n \mathcal{M}_n = \text{Tr} (S_n \times \mathcal{M}_n \mathcal{M}_n^\dagger)$$

$$\langle \mathcal{M}_n | \mathbf{T}_{\tilde{i}j} \cdot \mathbf{T}_{\tilde{k}} | \mathcal{M}_n \rangle = \text{Tr} (S_{n+1} \times T_{\tilde{k},n} \mathcal{M}_n \mathcal{M}_n^\dagger T_{\tilde{i}j,n}^\dagger)$$

$$V_{ij,k}(p_\perp^2, z; p_{\tilde{i}j}, p_{\tilde{k}}) \times \frac{-1}{\mathbf{T}_{\tilde{i}j}^2} \frac{\langle \mathcal{M}_n | \mathbf{T}_{\tilde{i}j} \cdot \mathbf{T}_k | \mathcal{M}_n \rangle}{|\mathcal{M}_n|^2}$$

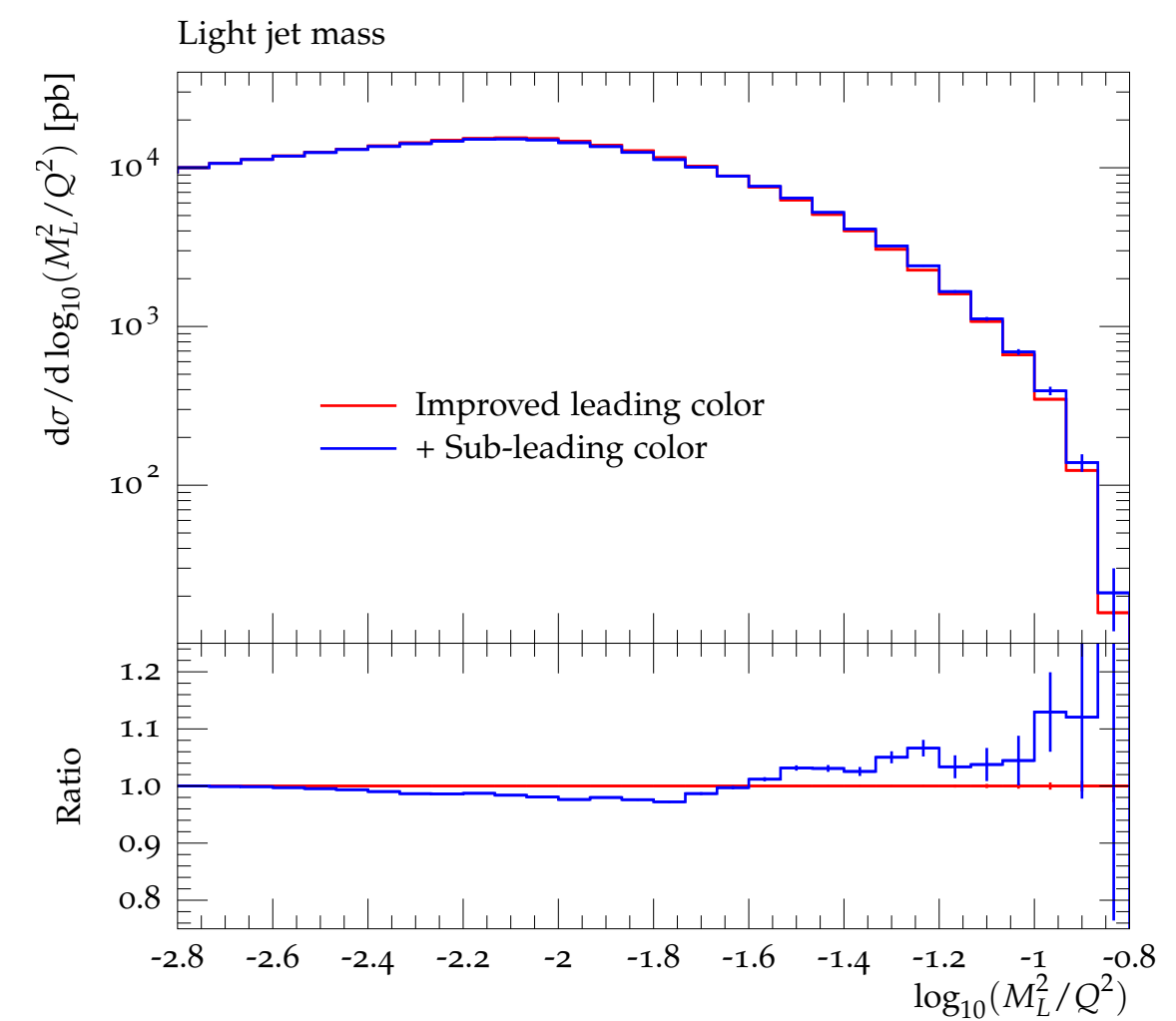
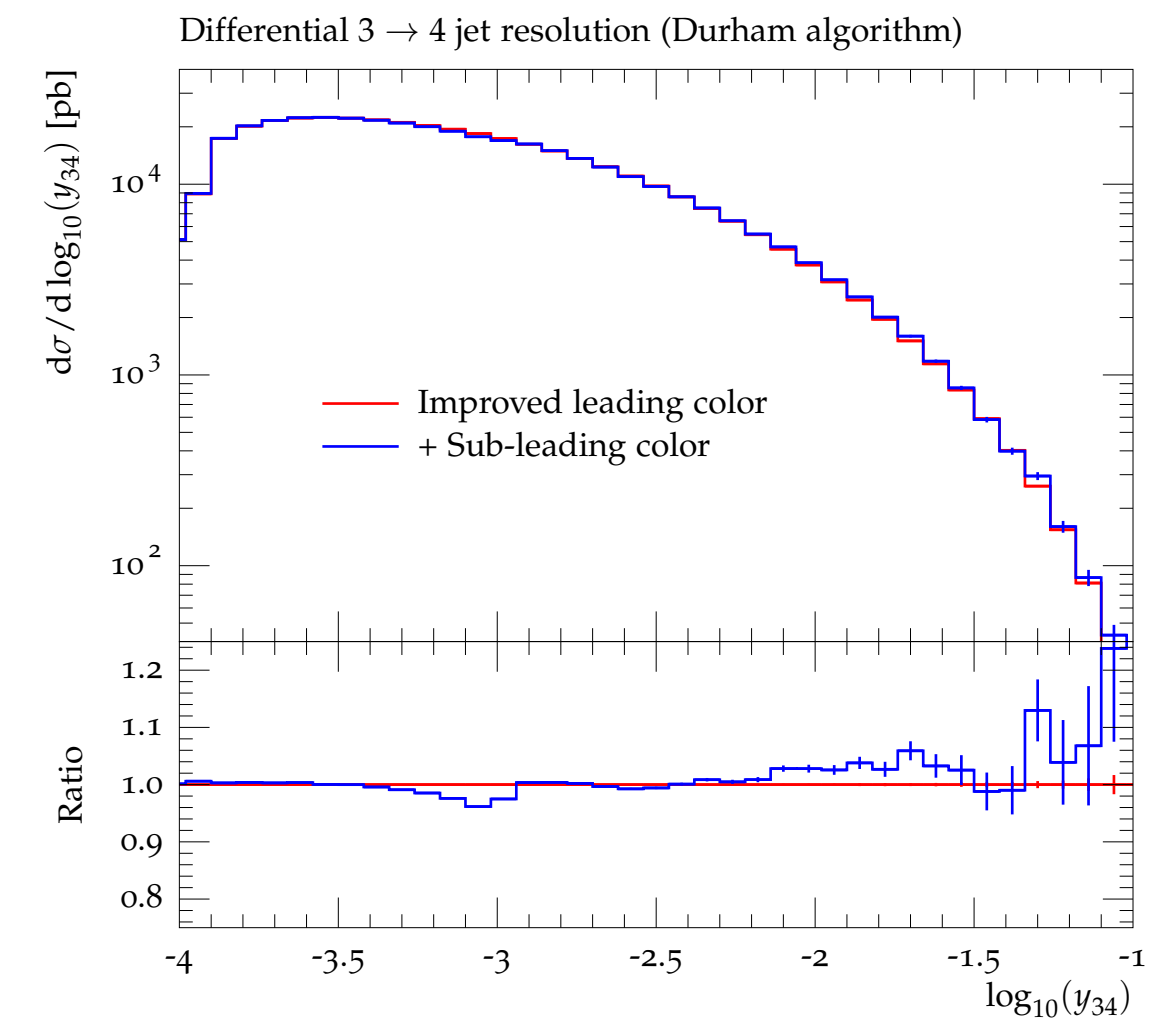
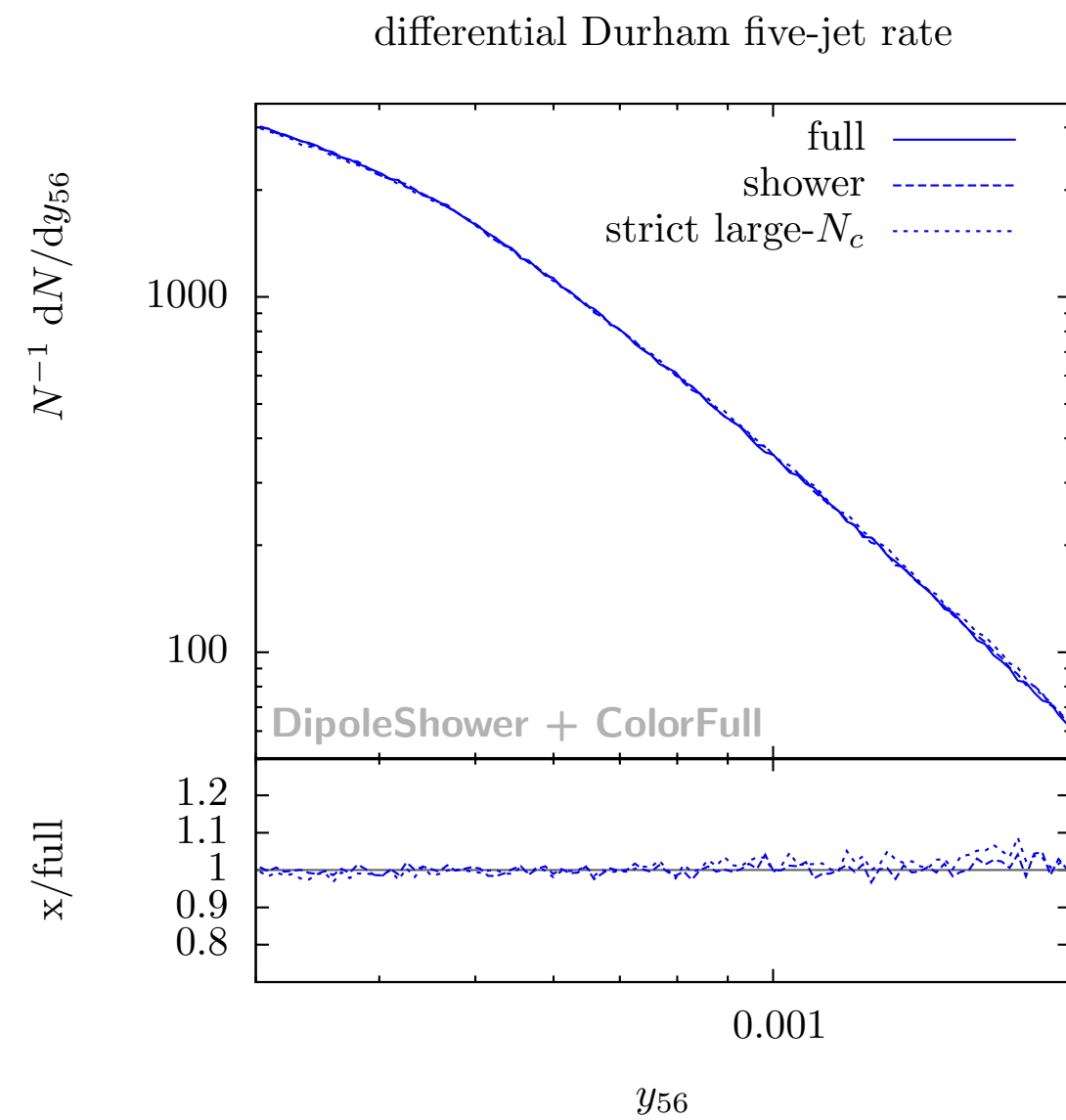
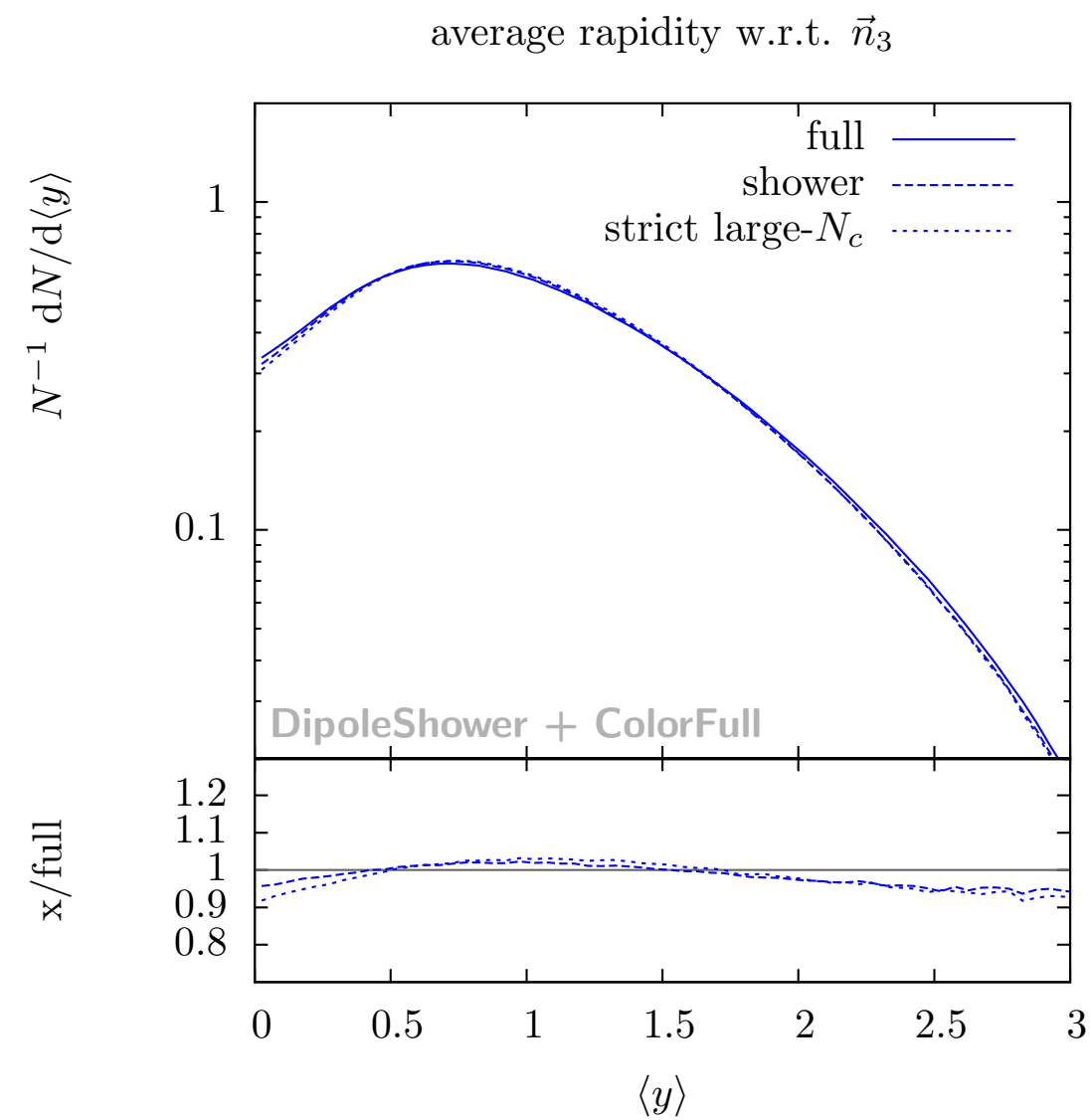
$$M_{n+1} = - \sum_{i \neq j} \sum_{k \neq i,j} \frac{4\pi\alpha_s}{p_i \cdot p_j} \frac{V_{ij,k}(p_i, p_j, p_k)}{\mathbf{T}_{\tilde{i}j}^2} T_{\tilde{k},n} M_n T_{\tilde{i}j,n}^\dagger$$



# Colour matrix element corrections



Detailed understanding of similarities and differences and comparisons are still needed.



[Plätzer, Sjö Dahl '12]  
[Plätzer, Sjö Dahl, Thoren '18]

[Höche, Reichelt '20]  
“Sampling” also explored [Isaacson, Prestel '19]

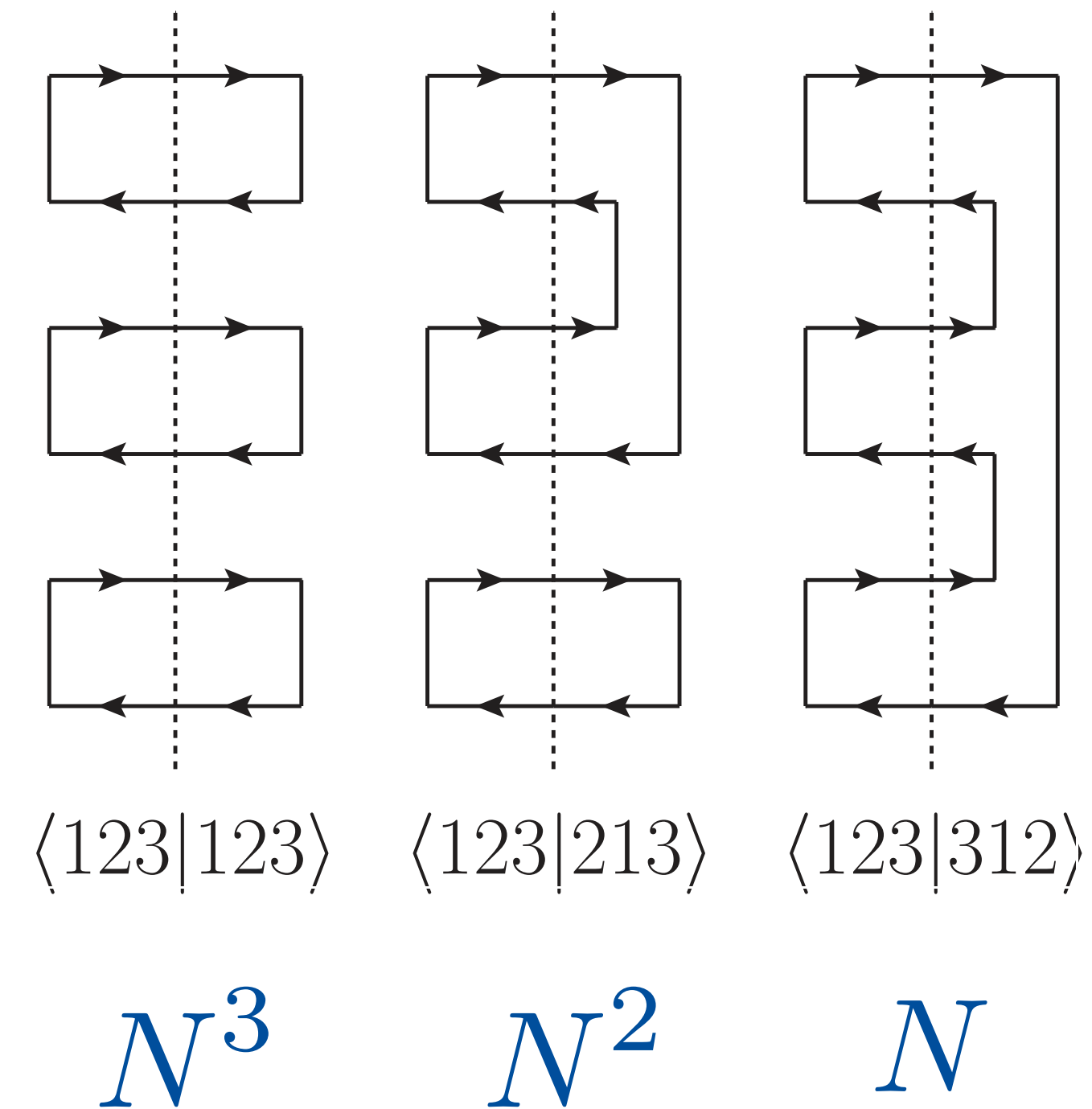
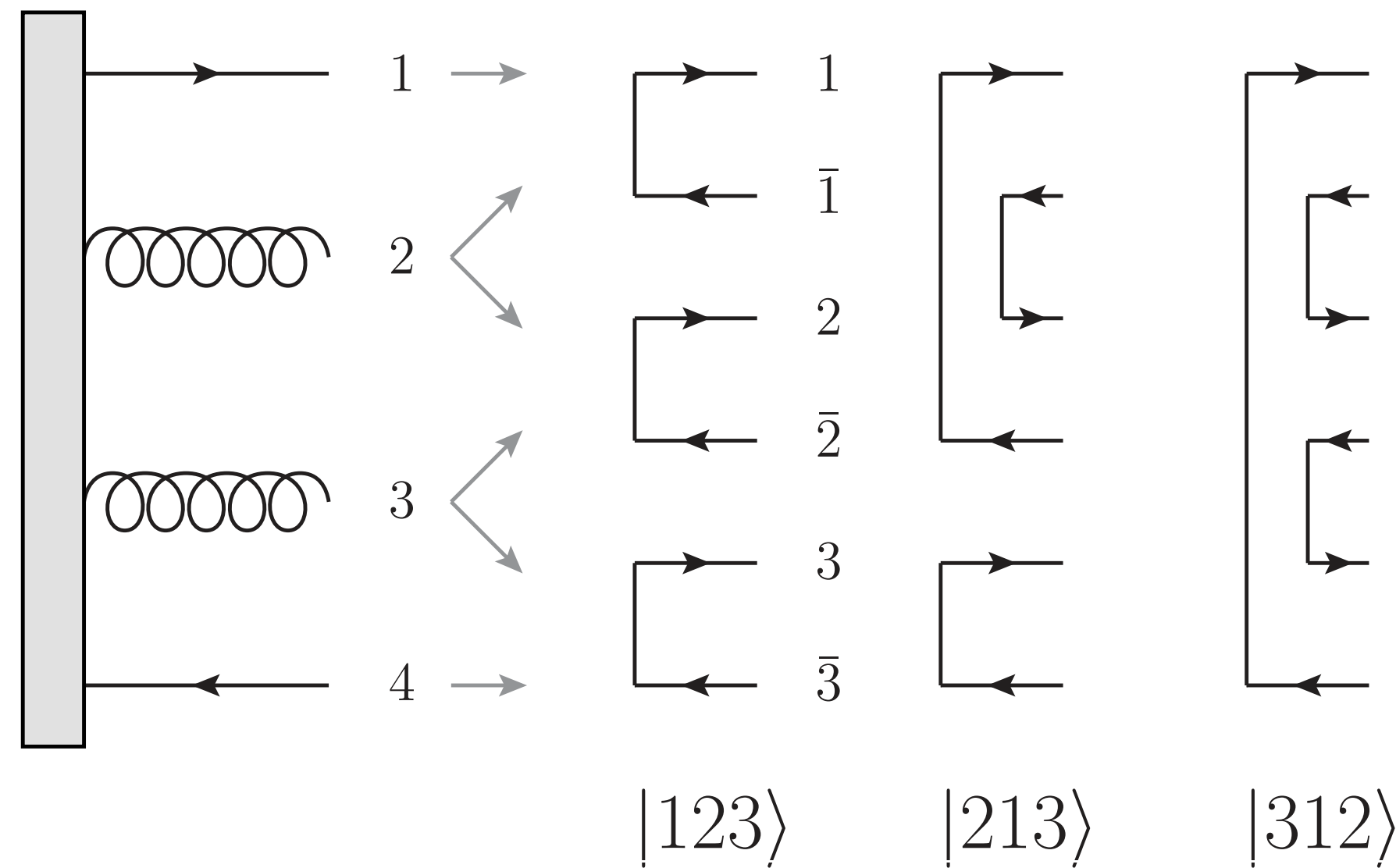
Correct at fixed-order, fixed-multiplicity expansion, not beyond.

[Forshaw, Holguin, Plätzer – '19]

# Tracking colour

Decompose amplitudes in flow of colour charge.

$$\text{Tr} [\mathbf{A}_n] = \sum_{\sigma, \tau} A_{\tau\sigma} \langle \sigma | \tau \rangle$$



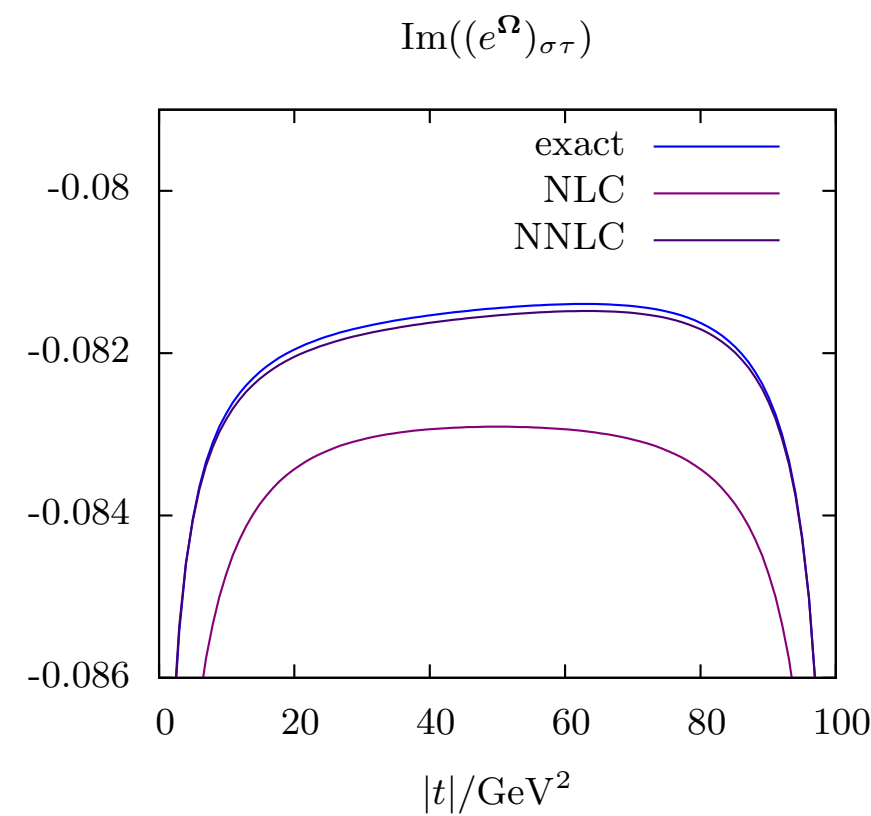
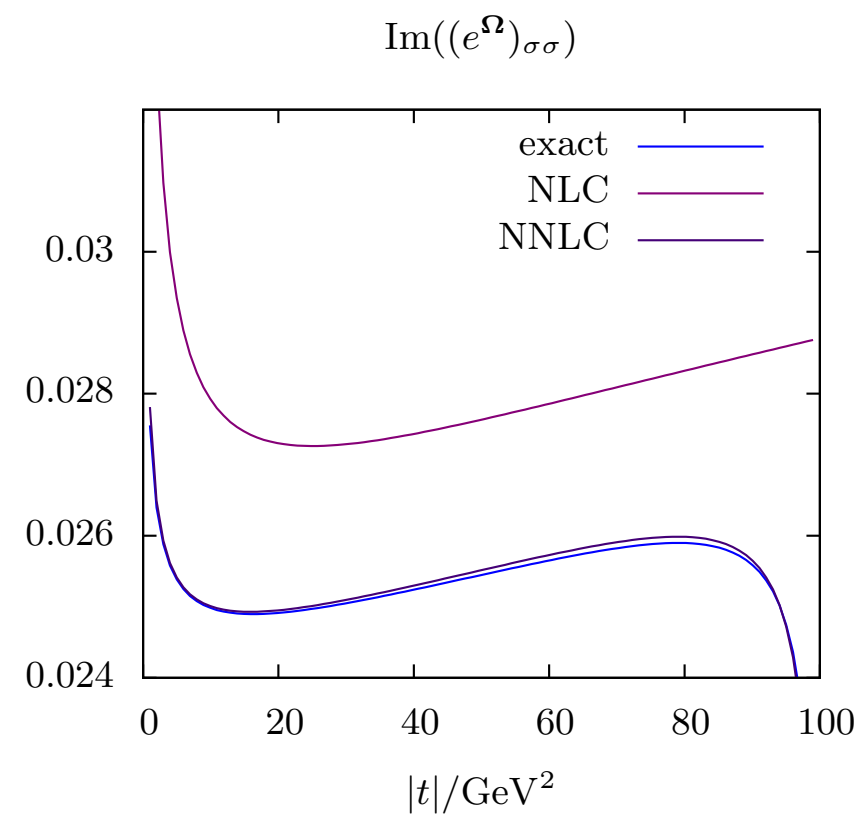
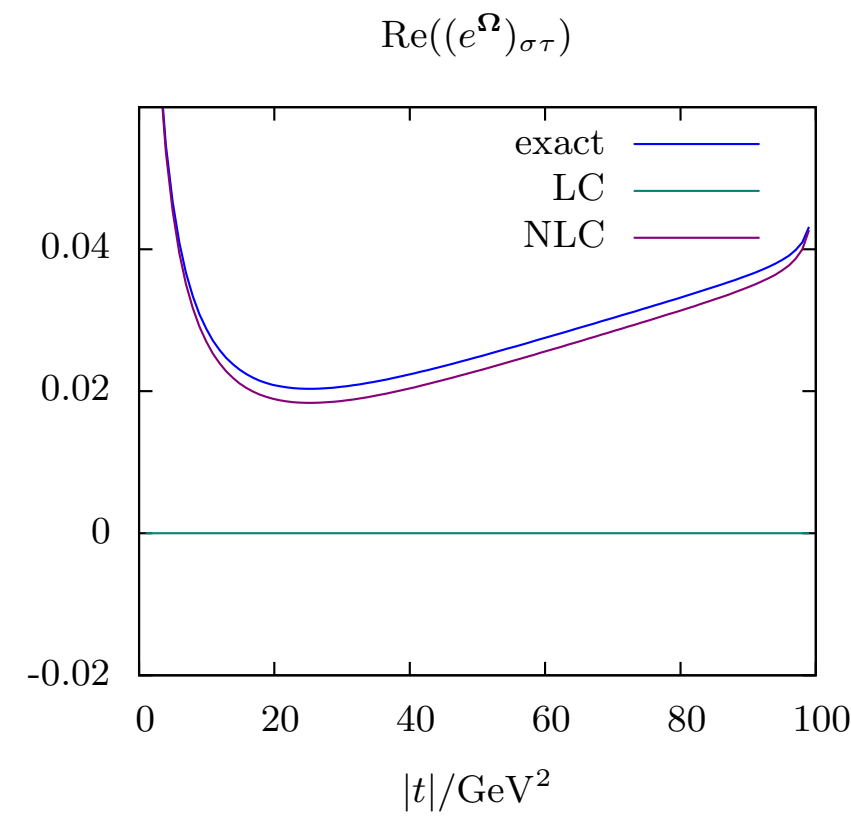
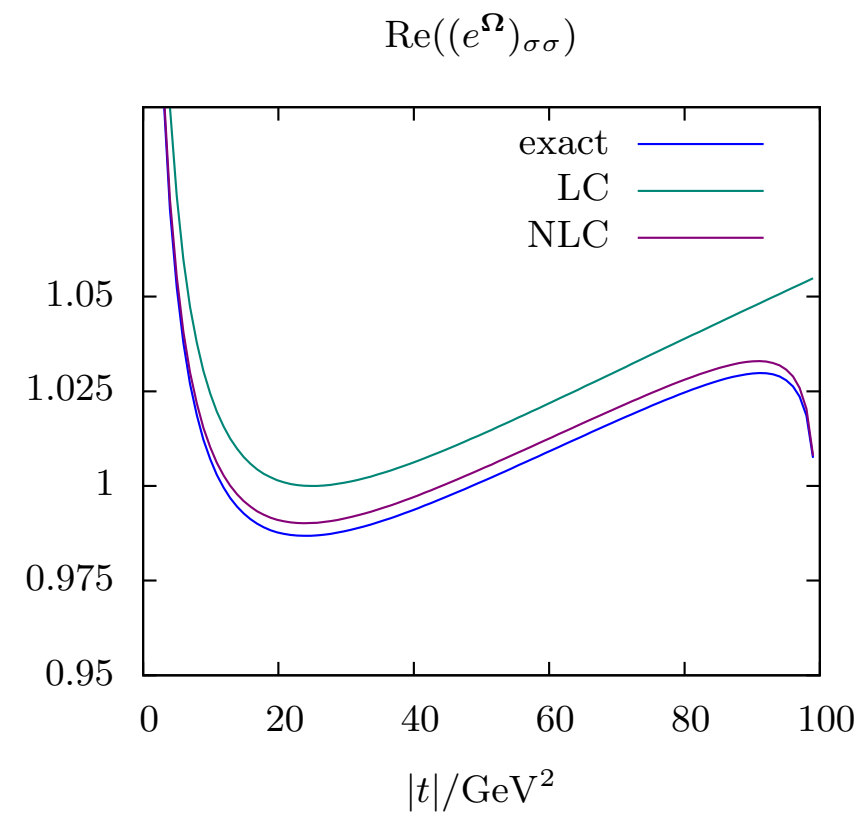
$$N^3$$

$$N^2$$

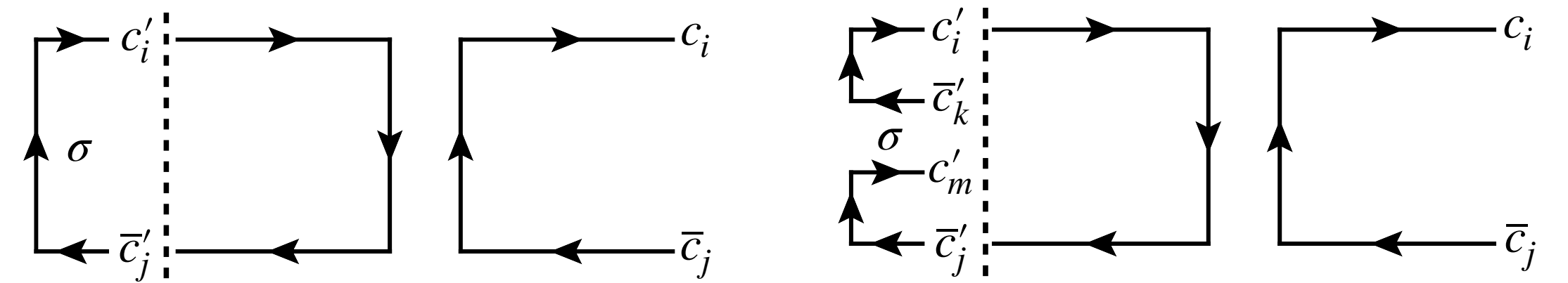
$$N$$

$$(t^a)^i_k (t^a)^j_l = T_R \left( \delta_l^i \delta_k^j - \frac{1}{N} \delta_k^i \delta_l^j \right)$$

Understand structure and kinematic dependence in (soft) anomalous dimensions:



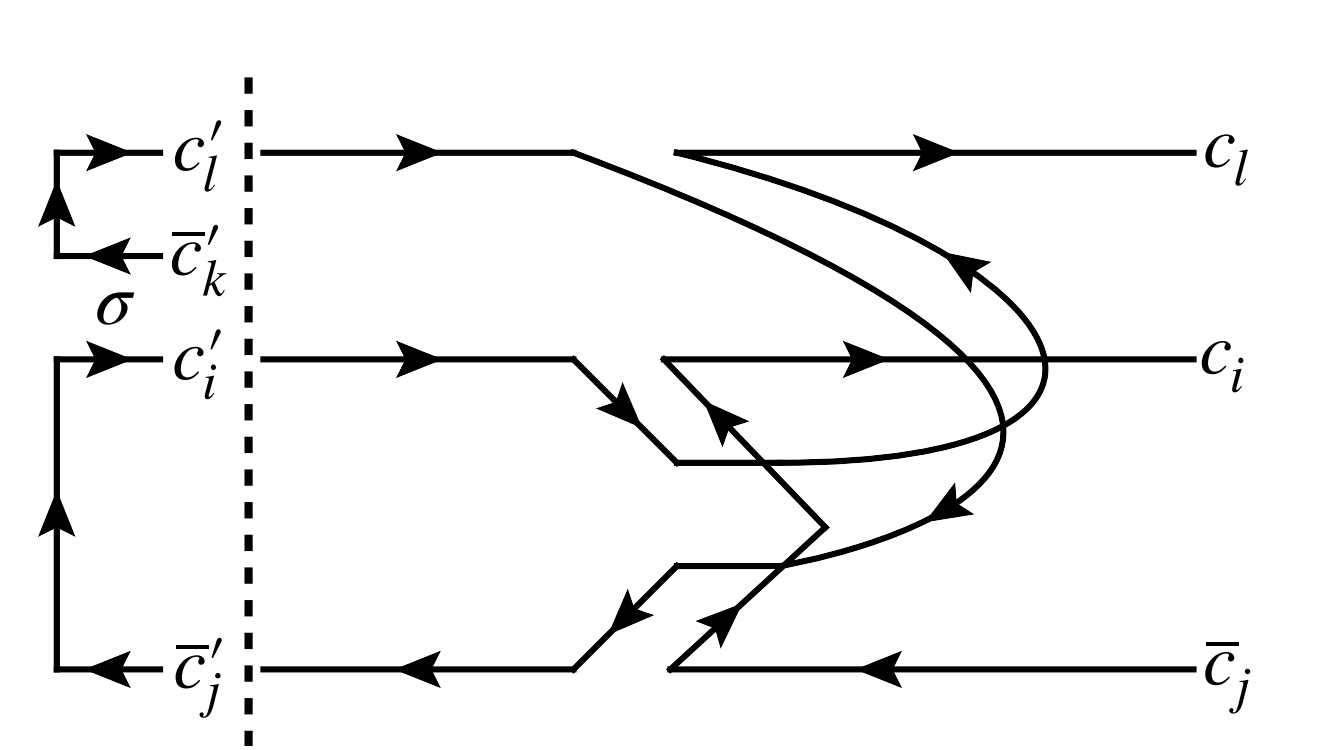
[Plätzer '13]



$$[\tau|\mathbf{\Gamma}^{(1)}|\sigma\rangle = \left( \Gamma_{\sigma}^{(1)} + \frac{1}{N^2} \rho^{(1)} \right) \delta_{\sigma\tau} + \frac{1}{N} \Sigma_{\sigma\tau}^{(1)}$$

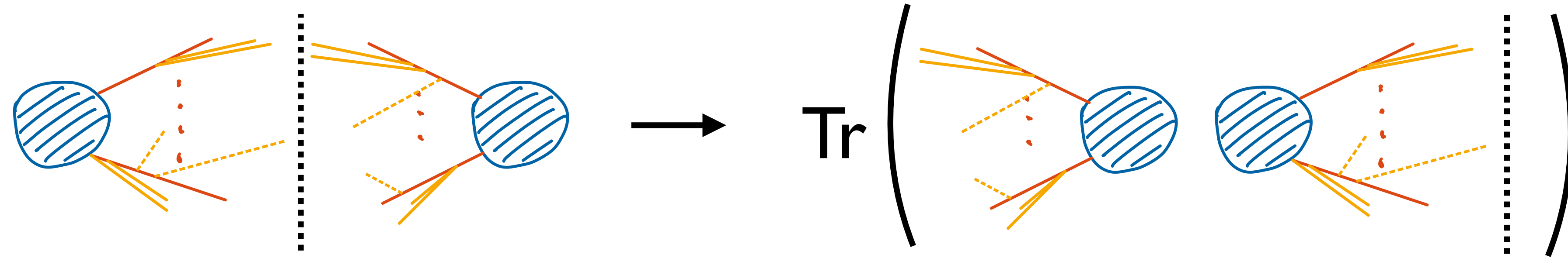
[Plätzer '13]

$$[\tau|\mathbf{\Gamma}|\sigma\rangle = (\alpha_s N) [\tau|\mathbf{\Gamma}^{(1)}|\sigma\rangle + (\alpha_s N)^2 [\tau|\mathbf{\Gamma}^{(2)}|\sigma\rangle + \dots]$$



[Plätzer, Ruffa '21]

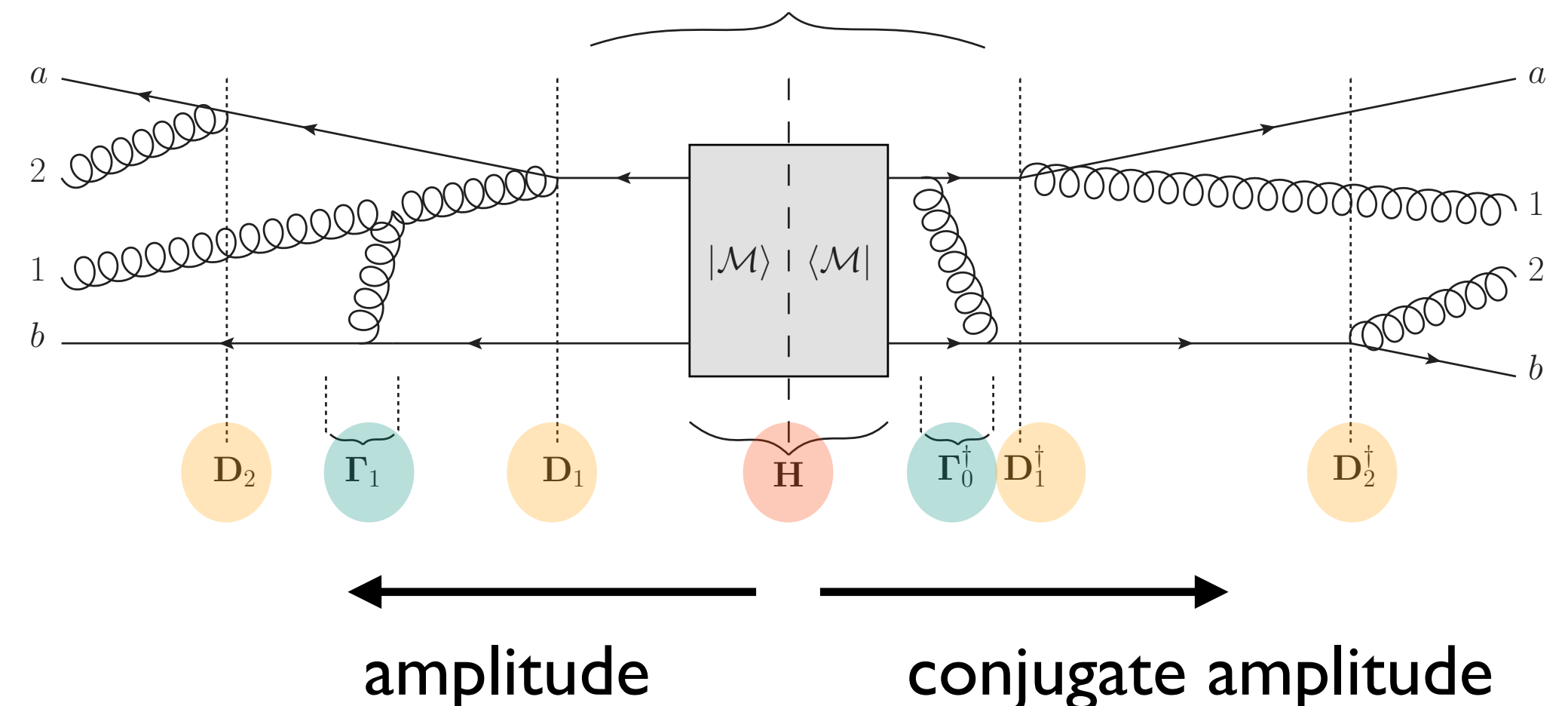
# Amplitude evolution: CVolver



$$\mathbf{A}_n(q) = \int_q^Q \frac{dk}{k} \mathbf{P} e^{-\int_q^k \frac{dk'}{k'} \mathbf{\Gamma}(k')} \mathbf{D}_n(k) \mathbf{A}_{n-1}(k) \mathbf{D}_n^\dagger(k) \bar{\mathbf{P}} e^{-\int_q^k \frac{dk'}{k'} \mathbf{\Gamma}^\dagger(k')}$$

Markovian algorithm at the amplitude level:  
Iterate **gluon exchanges** and **emission**.

Different histories in amplitude and conjugate amplitude needed to include interference.



[Angeles, De Angelis, Forshaw, Plätzer, Seymour – '18]

[Forshaw, Holguin, Plätzer – '19]

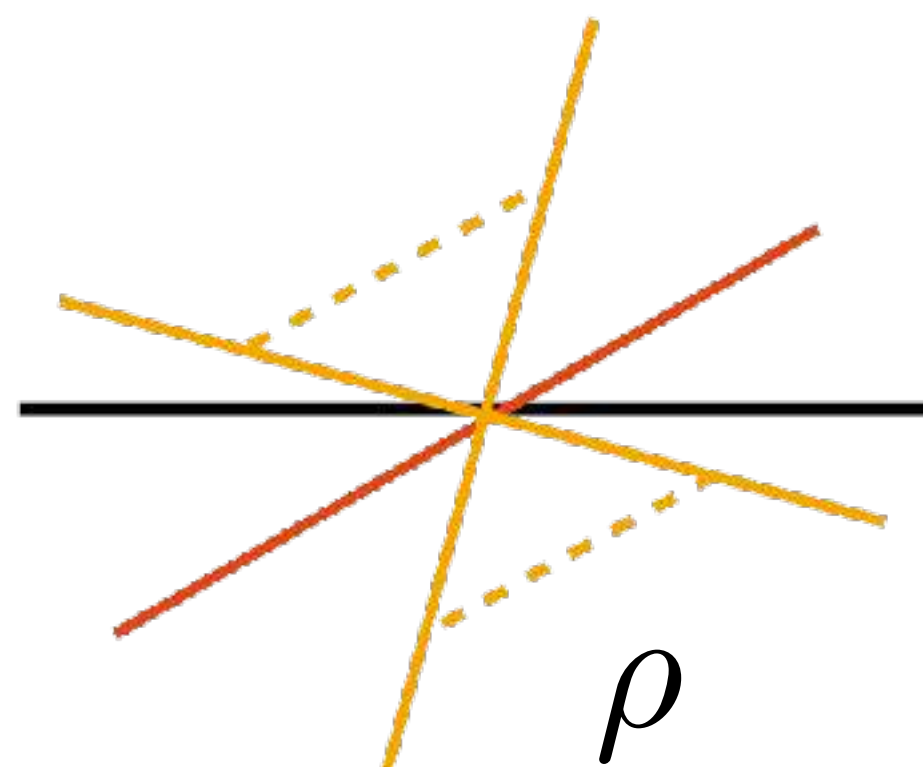
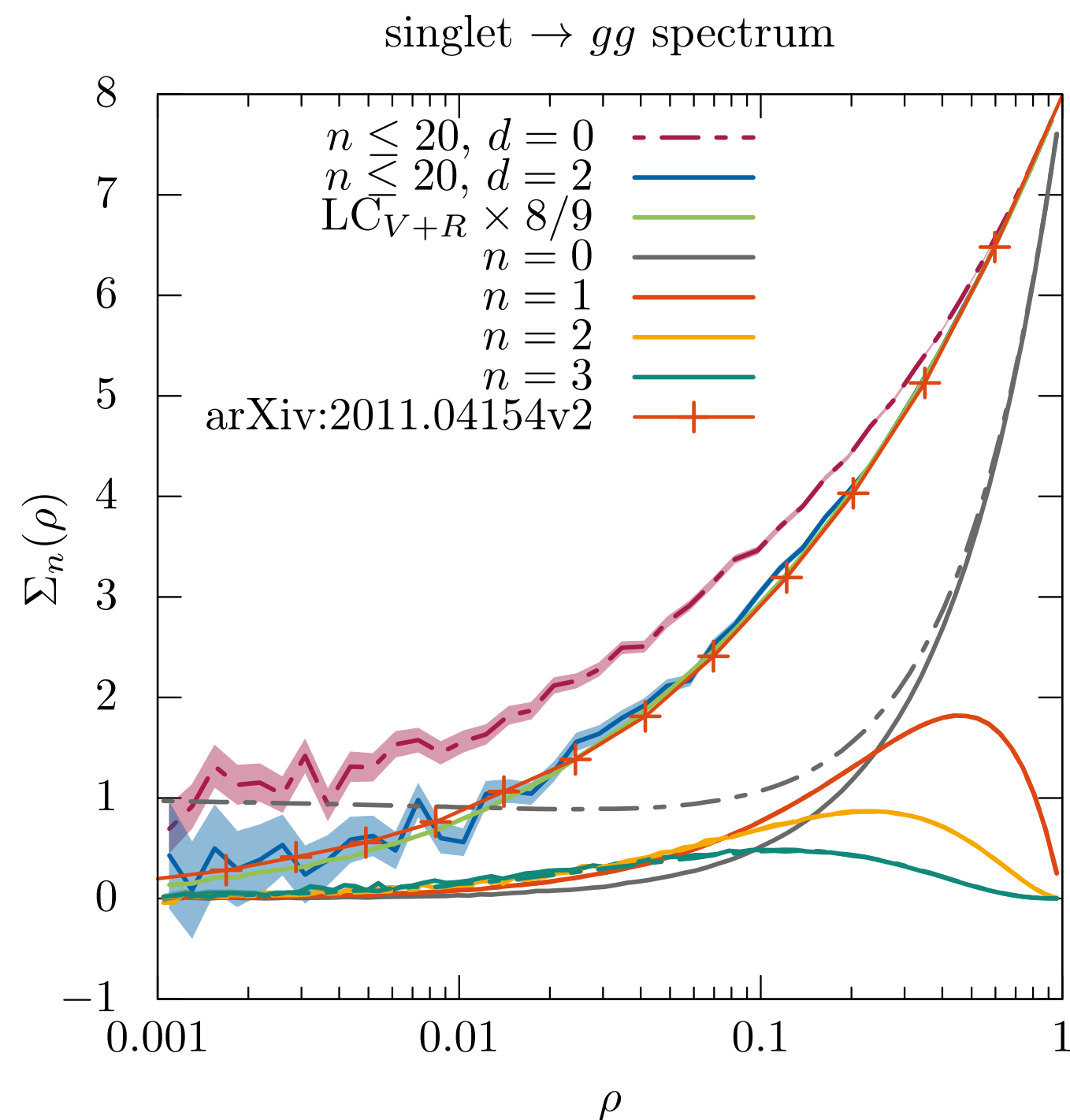
# Amplitude evolution: CVolver



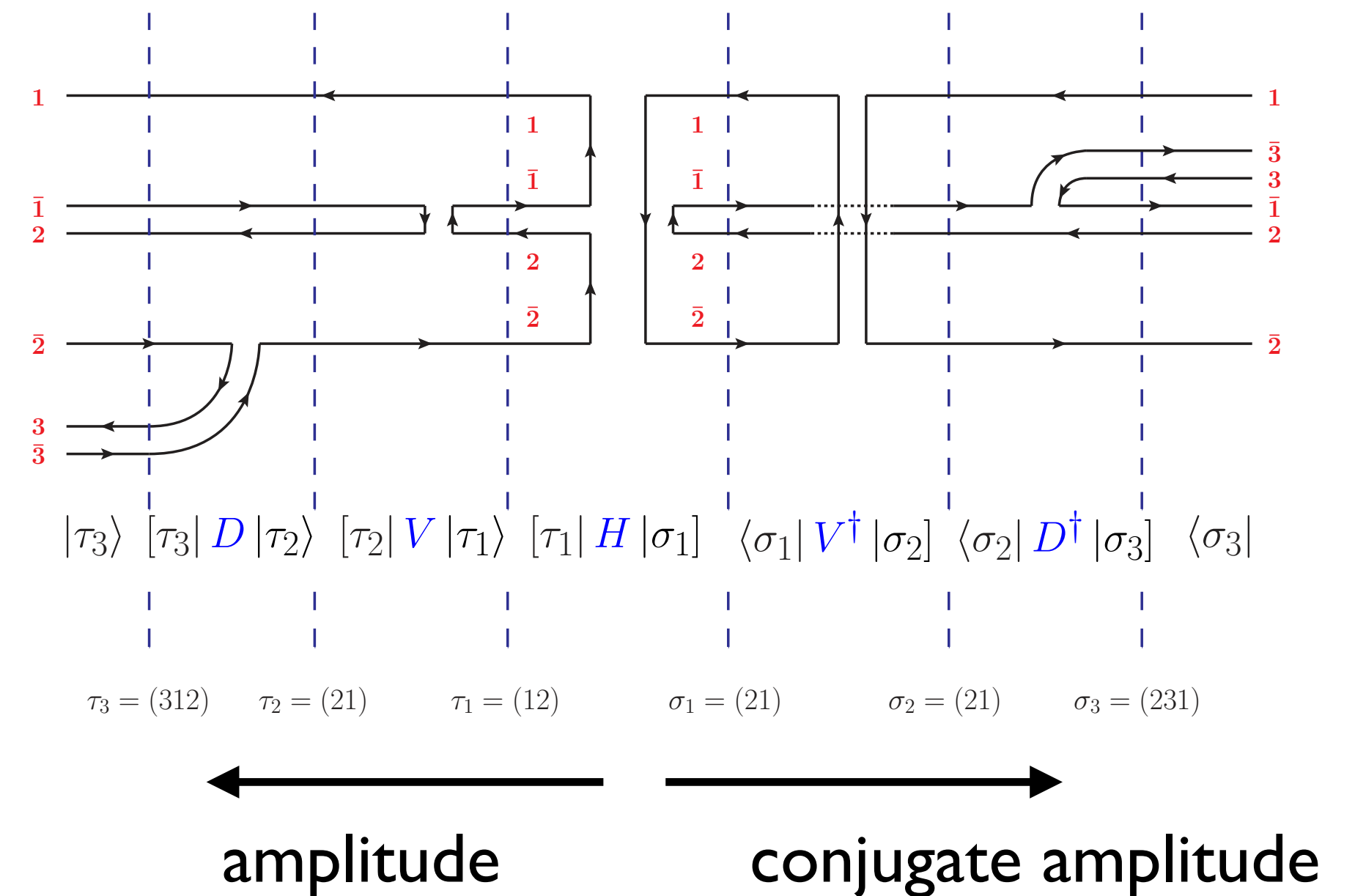
**CVolver** solves evolution equations in colour flow space

[De Angelis, Forshaw, Plätzer '21]  
[Plätzer '13]

$$\mathbf{A}_n(q) = \int_q^Q \frac{dk}{k} \mathbf{P} e^{-\int_q^k \frac{dk'}{k'} \mathbf{\Gamma}(k')} \mathbf{D}_n(k) \mathbf{A}_{n-1}(k) \mathbf{D}_n^\dagger(k) \bar{\mathbf{P}} e^{-\int_q^k \frac{dk'}{k'} \mathbf{\Gamma}^\dagger(k')}$$



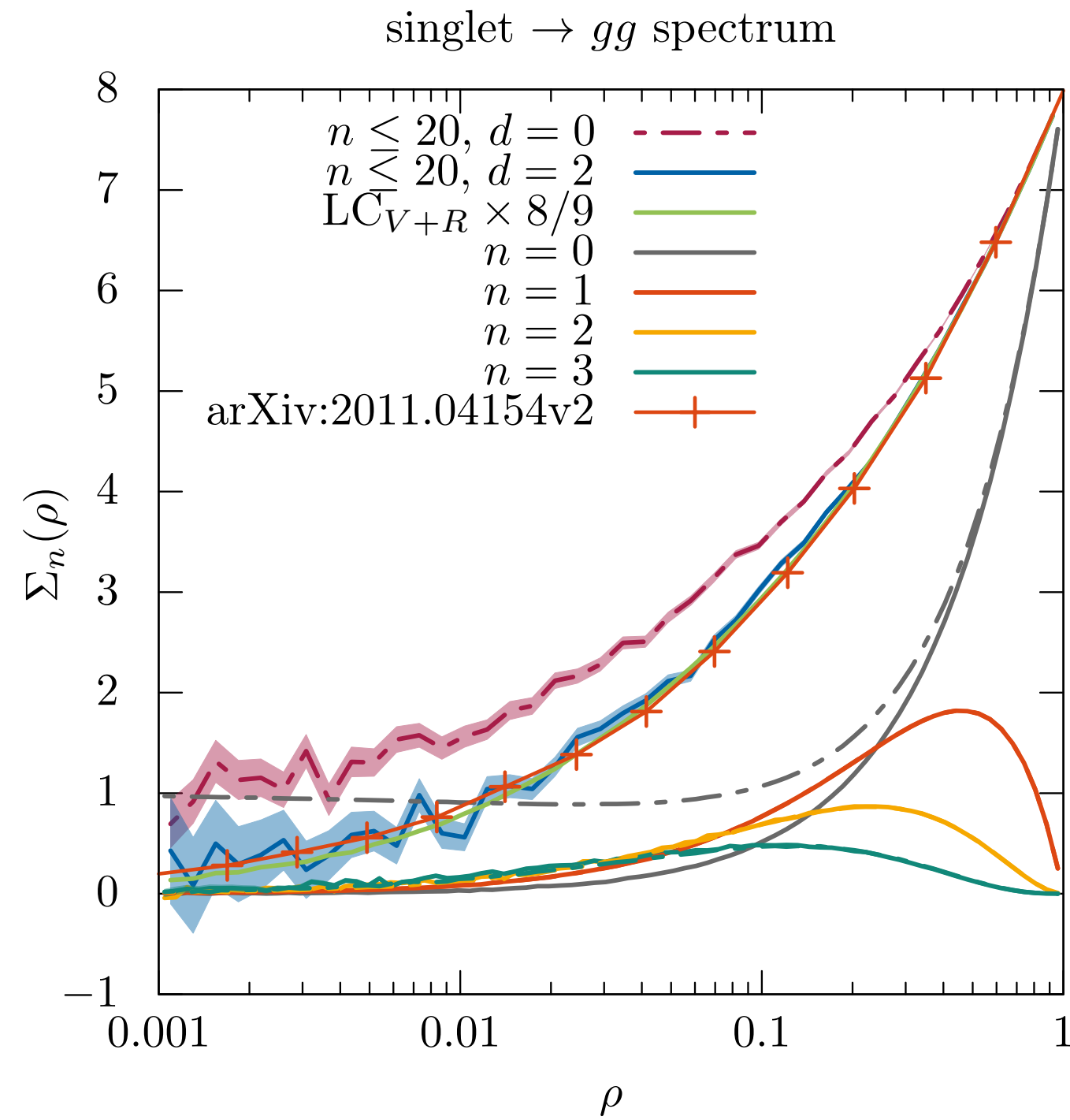
$$\Sigma(\rho) = \sum_n \int d\sigma(\{p_i\}) \prod_i \theta_{\text{in}}(\rho - E_i)$$



# Comparing approximations & results



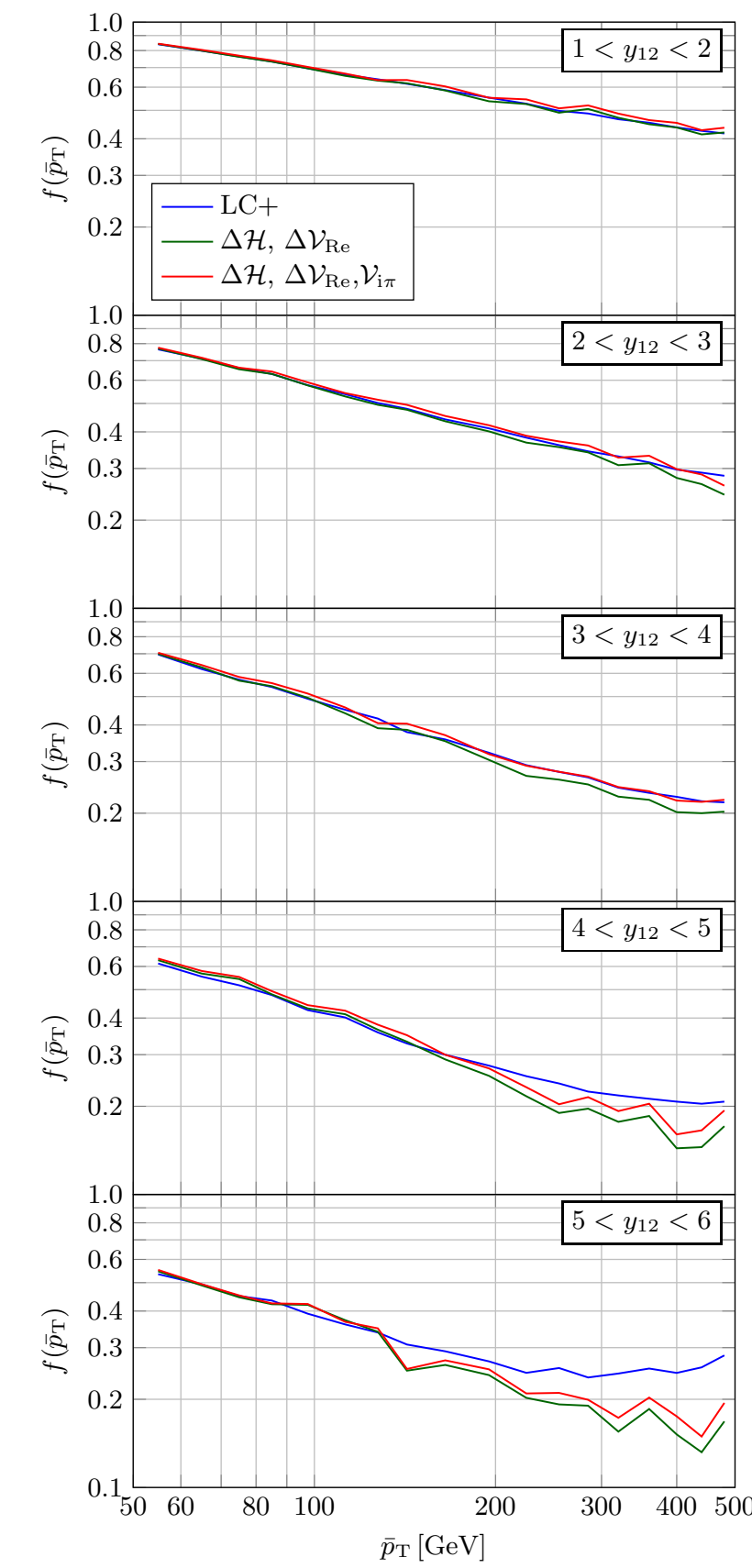
Level of approximation and definition of observables crucial.  
Start simple, don't claim everything ...



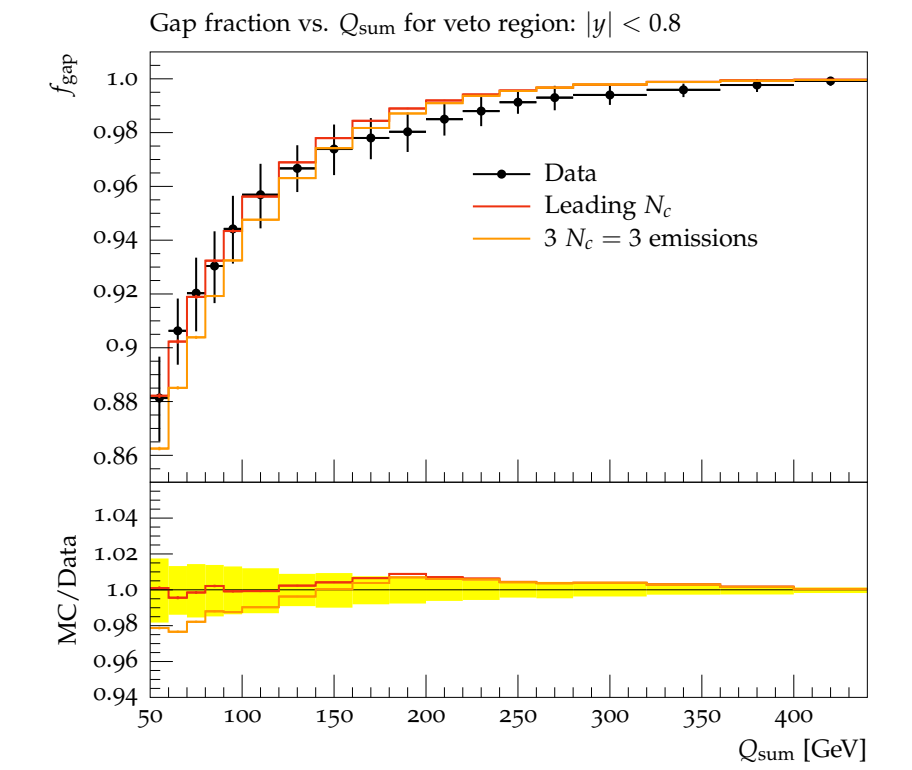
[De Angelis, Forshaw, Plätzer '21]

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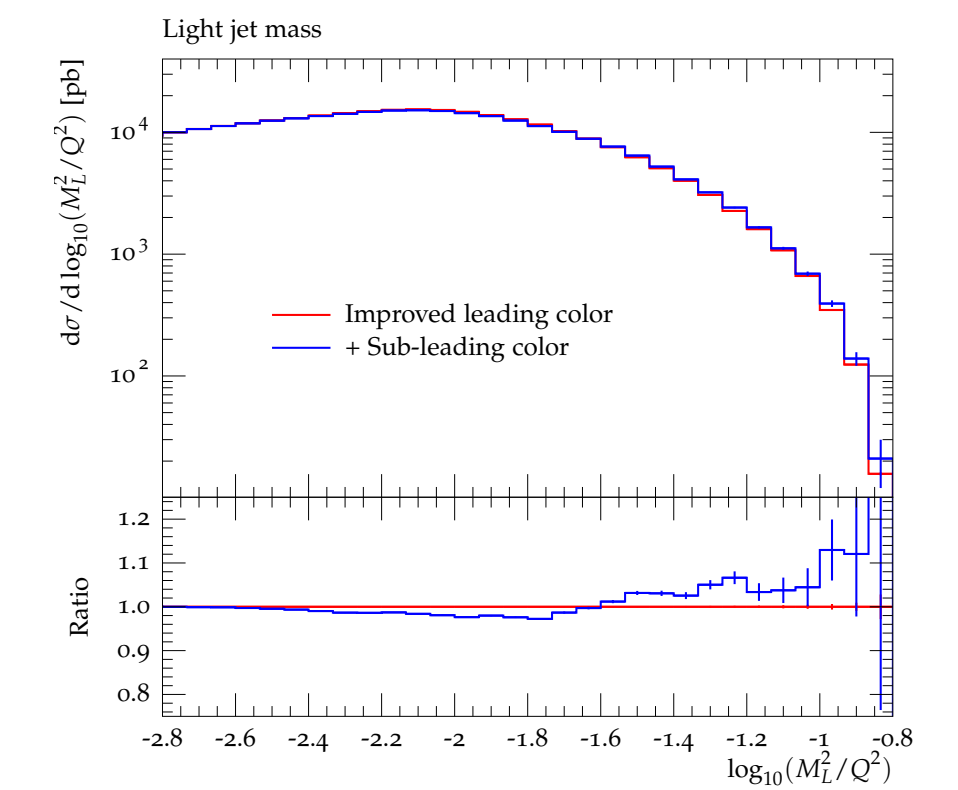
[Hatta et al. '21]



[Nagy, Soper '19]



[Plätzer, Sjö Dahl '12]  
[Plätzer, Sjö Dahl, Thoren '18]



[Höche, Reichelt '20]

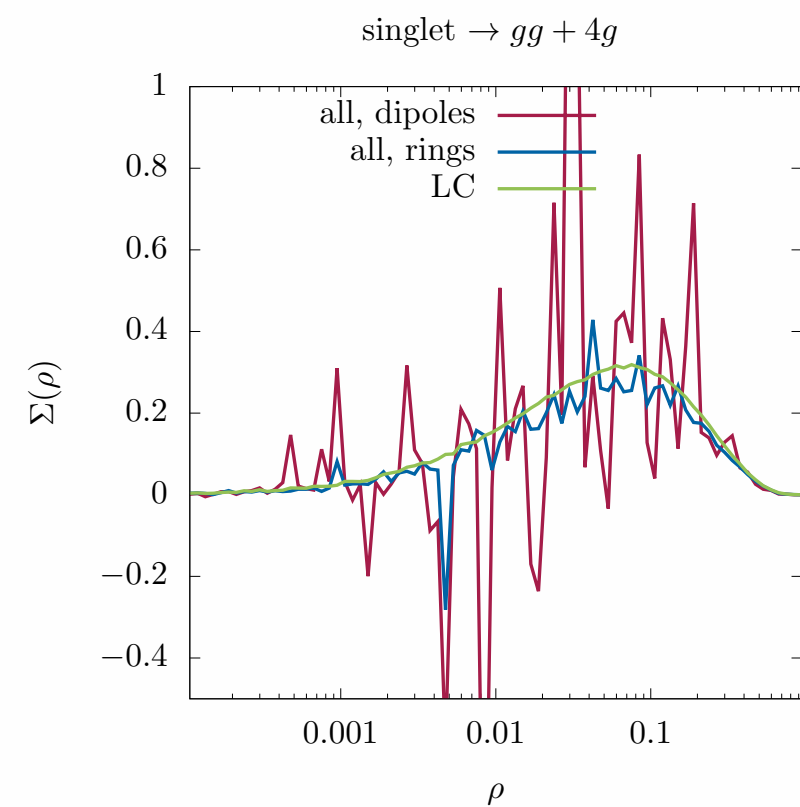
# Comparing approximations & results



Level of approximation and definition of observables crucial.  
Start simple, don't claim everything ...

Promising basis of functions to express sub-leading colour.

$\omega_{ij}$  dipole



$$\omega_{ij} + \omega_{ik} - \omega_{jk}$$

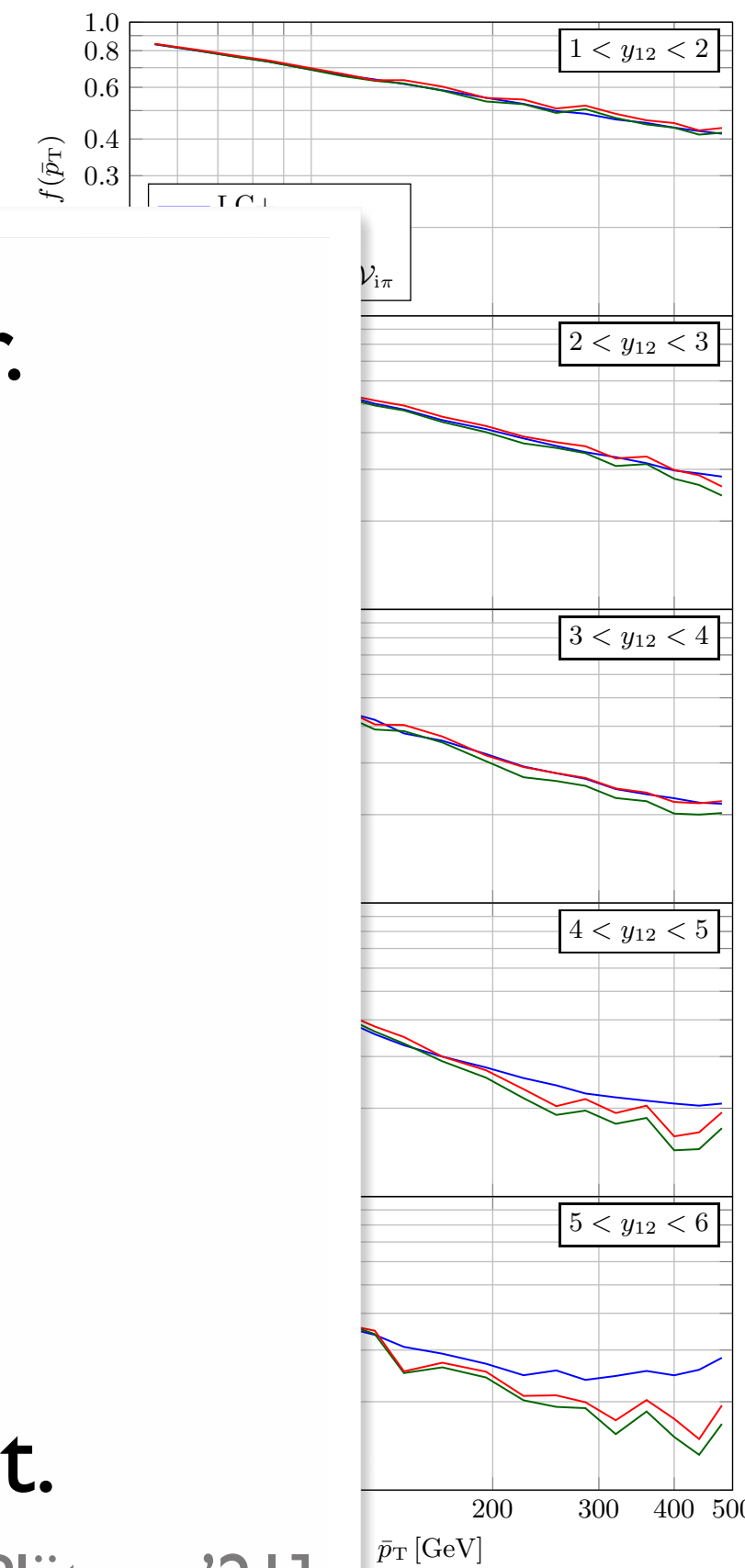
string

$$\omega_{il} + \omega_{kj} - \omega_{kl} - \omega_{ij}$$

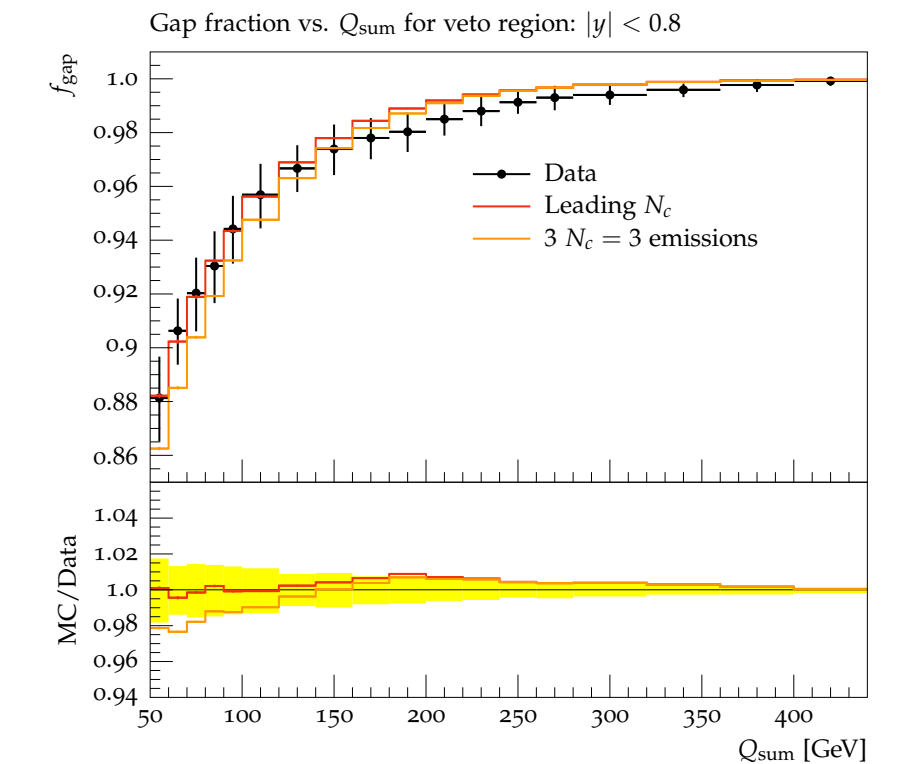
ring — collinear finite

Gives us a notion of coherent branching beyond 2-jet limit.

[Forshaw, Holguin, Plätzer '21]

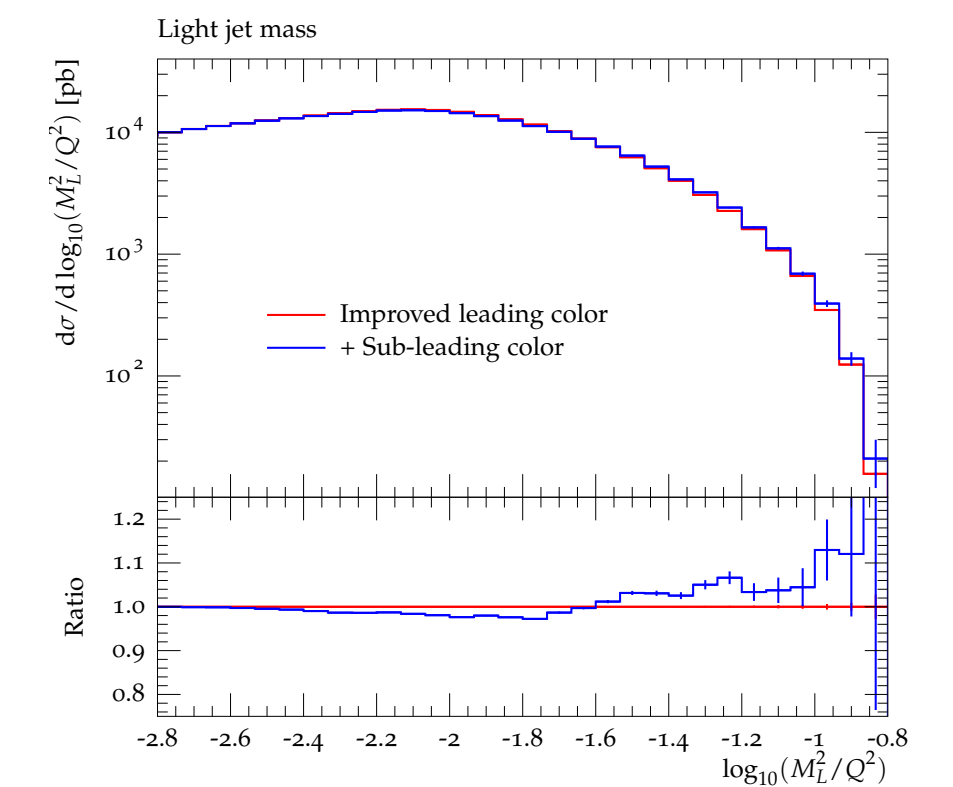


[Nagy, Soper '19]



[Plätzer, Sjö Dahl '12]

[Plätzer, Sjö Dahl, Thoren '18]



[Höche, Reichelt '20]

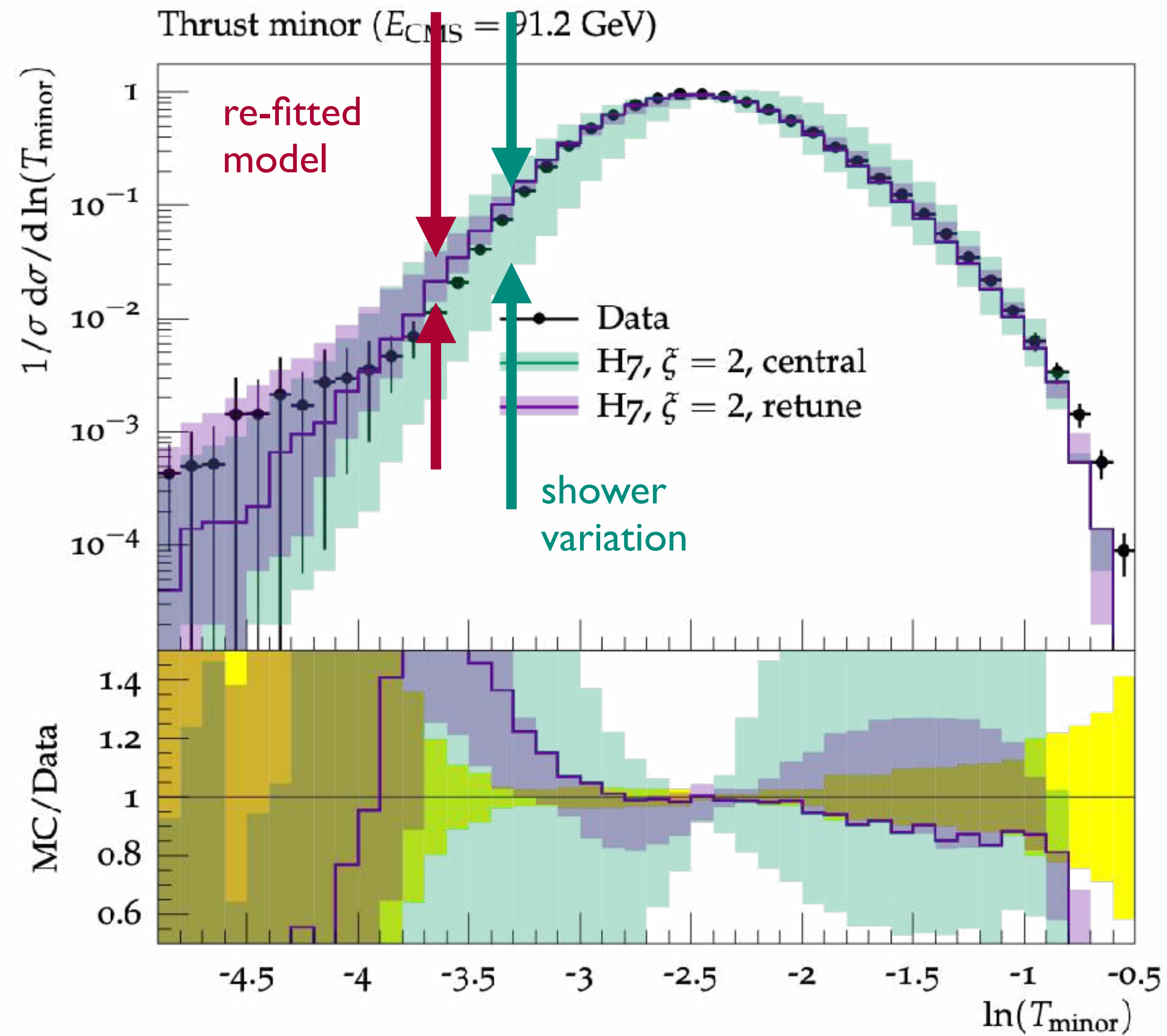
[De Angelis, Forshaw, Plätzer '21]

=

[Hatta et al. '21]

# The interface to hadronization

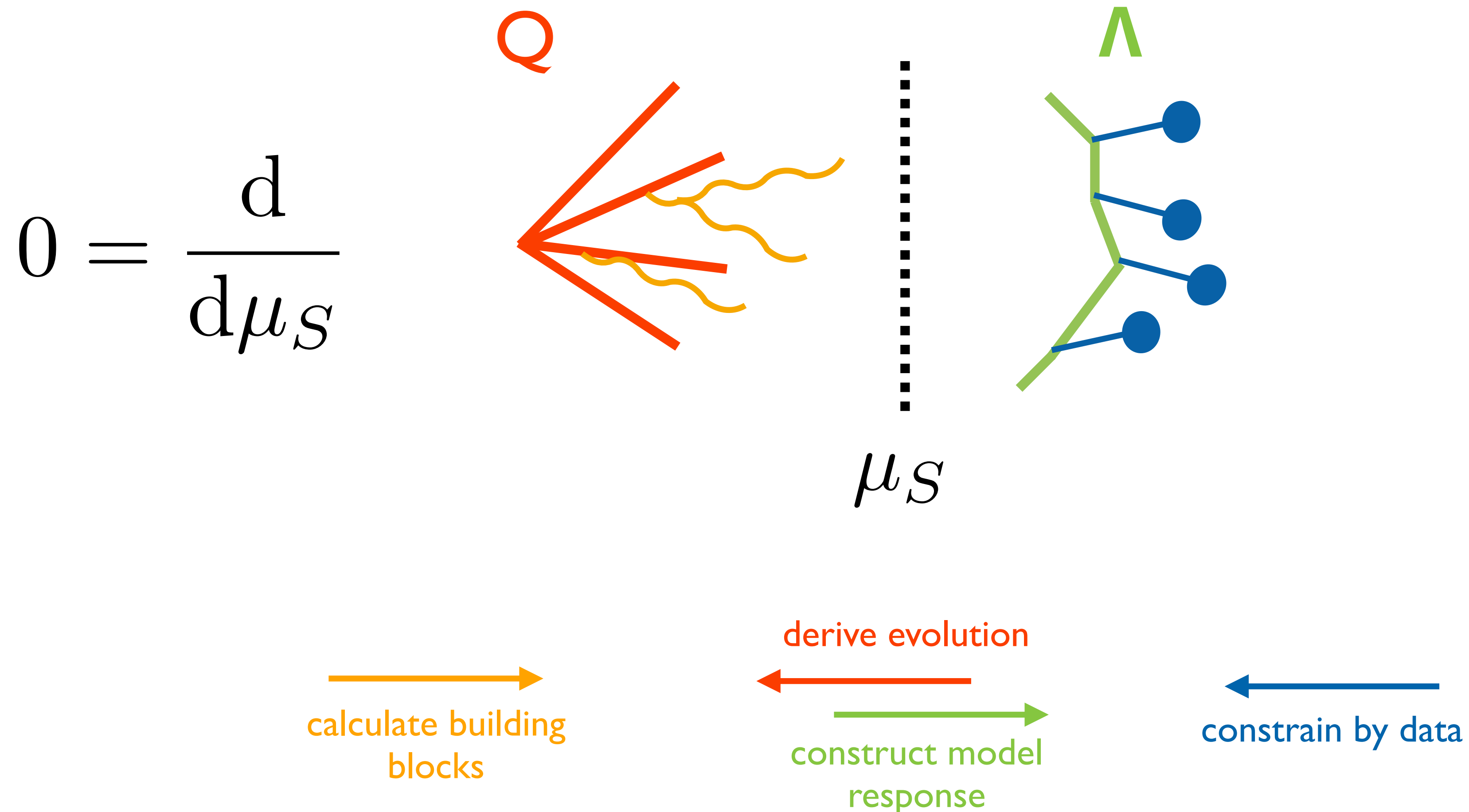
[Bellm, Lönnblad, Prestel, Plätzer, Samitz, Siodmok, Hoang — for Les Houches 2017]





# Constructing evolution algorithms

IR cutoff of shower is UV cutoff of hadronization. Cross section is invariant under varying unphysical scales.



# Constructing evolution algorithms

How do we consistently hadronize in light of (improved) shower algorithms?

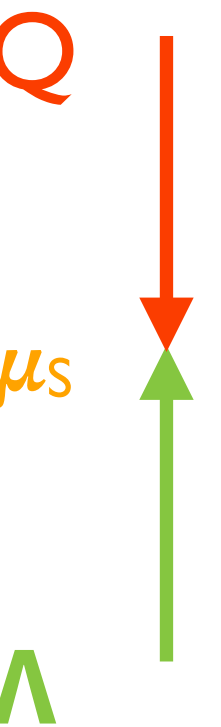
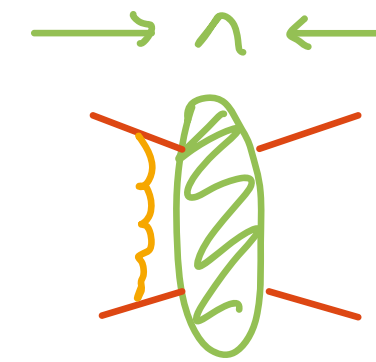
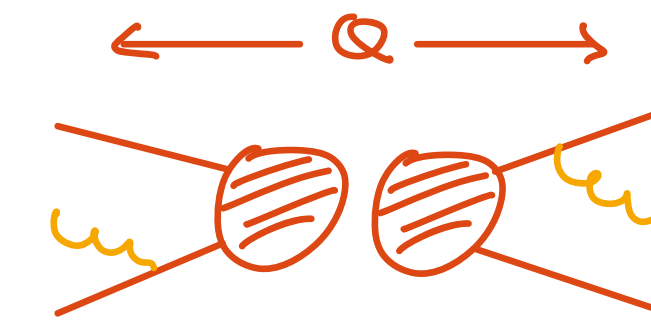
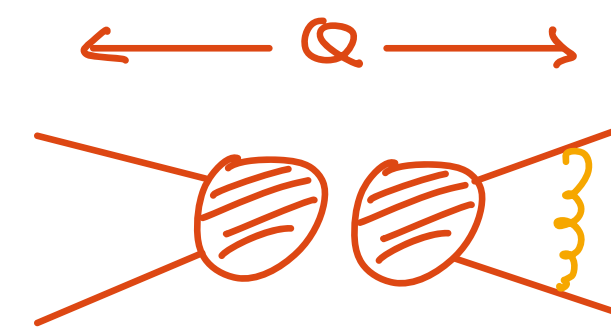
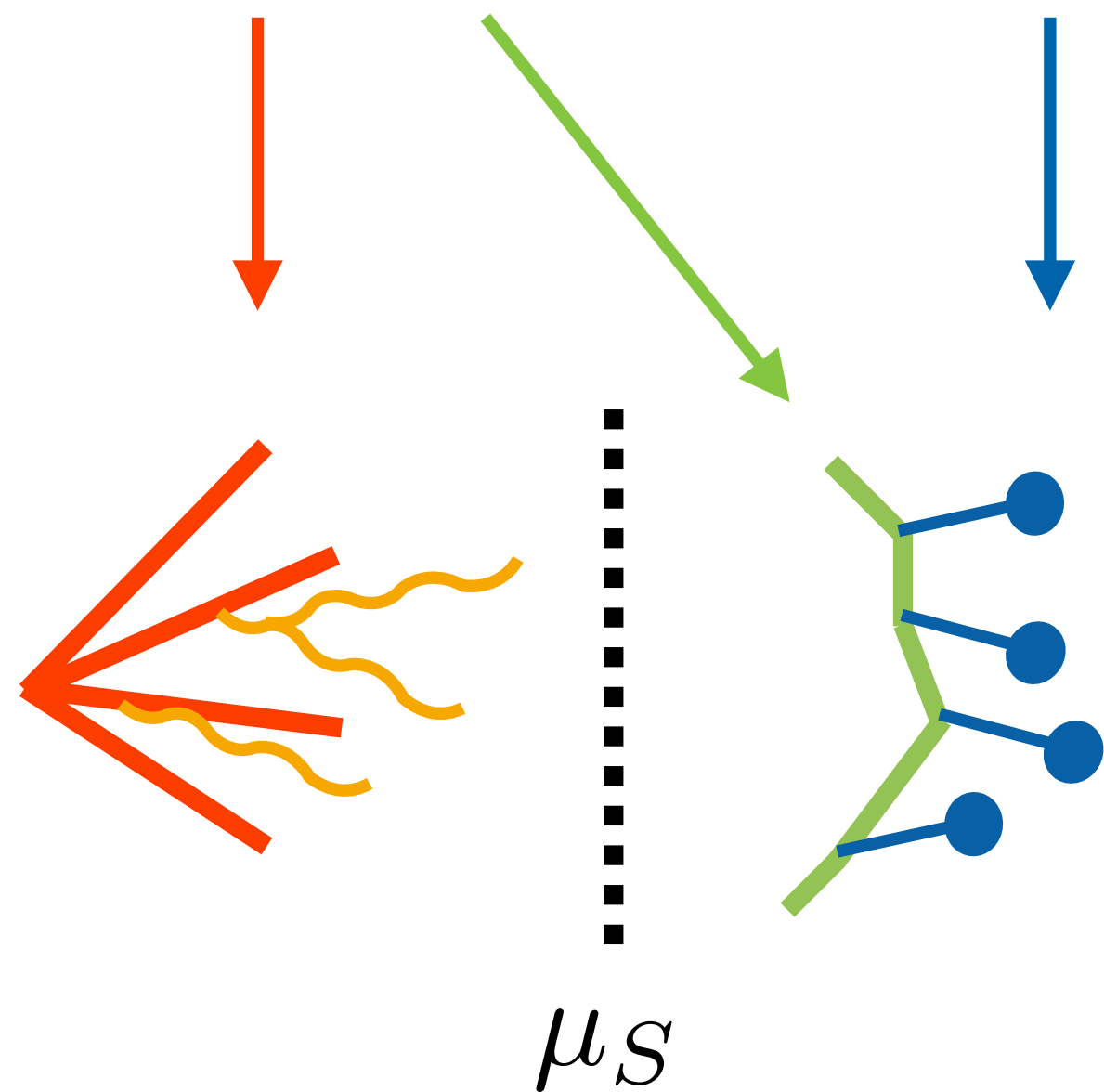
[Plätzer – '22]

How to do this at subleading N and higher order shower evolution?

$$\sigma = \sum_{n,m} \int \int \text{Tr}_n [\mathbf{M}_n \mathbf{U}_{nm}] d\phi_m u(\phi_m)$$

Implies evolution equations,  
cross section invariant after redefinition.

$$0 = \frac{d}{d\mu_S}$$



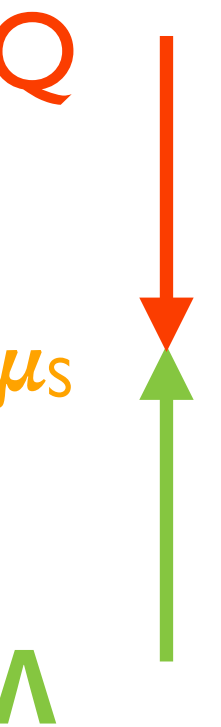
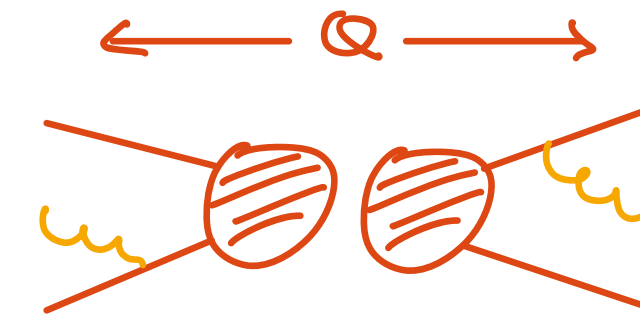
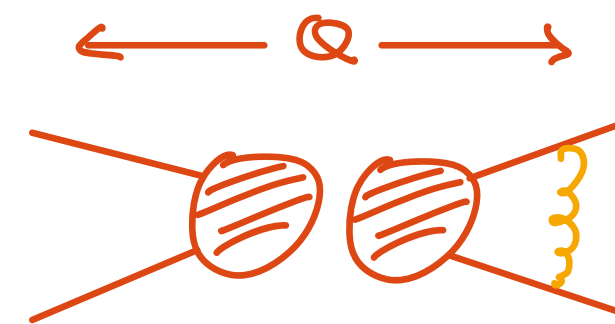
# Constructing evolution algorithms

How do we consistently hadronize in light of (improved) shower algorithms?  
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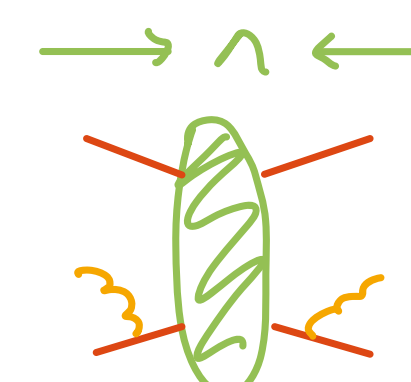
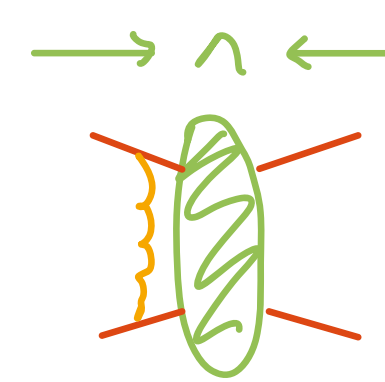
[Plätzer – '22]

Hard factor reproduces CVolver algorithm and predicts key features of second order evolution.

$$\partial_S \mathbf{A}_n = \mathbf{\Gamma}_{n,S} \mathbf{A}_n + \mathbf{A}_n \mathbf{\Gamma}_{n,S}^\dagger - \sum_{s \geq 1} \alpha_S^s \mathbf{R}_{S,n}^{(s)} \mathbf{A}_{n-s} \mathbf{R}_{S,n}^{(s)\dagger}$$



$$\partial_S \mathbf{S}_n = -\tilde{\mathbf{\Gamma}}_{S,n}^\dagger \mathbf{S}_n - \mathbf{S}_n \tilde{\mathbf{\Gamma}}_{S,n} + \sum_{s \geq 1} \alpha_S^s \int \tilde{\mathbf{R}}_{S,n+s}^{(s)\dagger} \mathbf{S}_{n+s} \tilde{\mathbf{R}}_{S,n+s}^{(s)} \prod_{i=n+1}^{n+s} [dp_i] \tilde{\delta}(p_i)$$



Soft factor governed by evolution in the inverse direction.

# Constructing evolution algorithms

How do we consistently hadronize in light of (improved) shower algorithms?  
 How to do this at subleading N and higher order shower evolution?

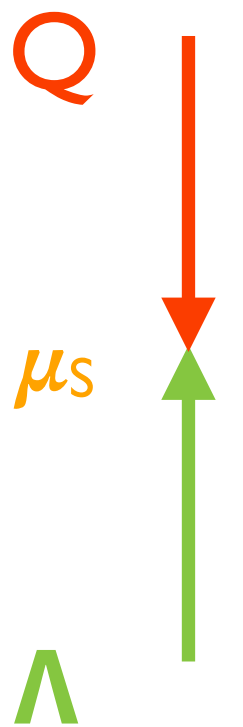
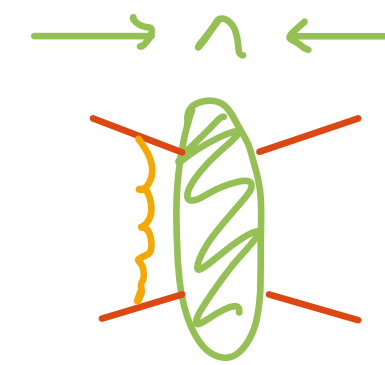
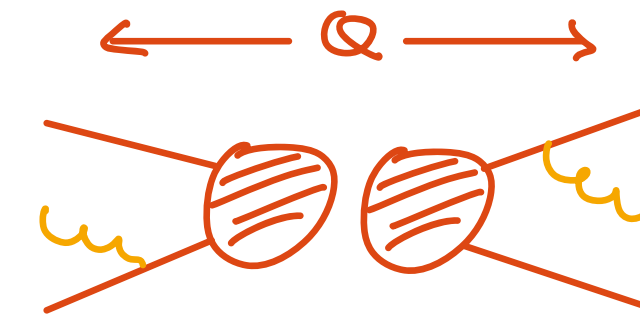
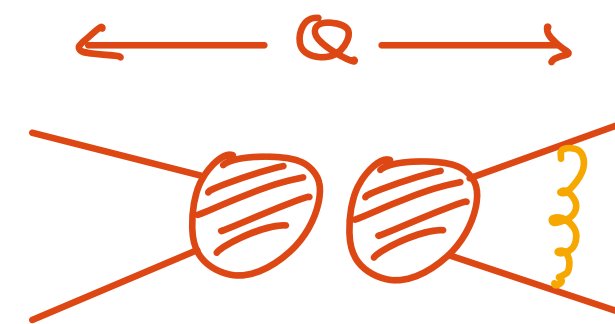
[Plätzer – '22]

Hard factor reproduces CVolver algorithm and predicts key features of second order evolution.

Subtract iterated contribution in ordered phase space.

$$\mathbf{R}_n^{(2,0)} \circ \mathbf{R}_n^{(2,0)\dagger} = \left( \hat{\mathbf{D}}_n^{(0,2)} \circ \hat{\mathbf{D}}_n^{(0,2)\dagger} \hat{\Theta}_{n,2} - \hat{\mathbf{D}}_n^{(0,1)} \hat{\mathbf{D}}_{n-1}^{(0,1)} \circ \hat{\mathbf{D}}_{n-1}^{(0,1)\dagger} \hat{\mathbf{D}}_n^{(0,1)\dagger} \hat{\Theta}_{n-1,1} \hat{\Theta}_{n,1} \right) \times \theta(E_{n-1} - \mu_S) \delta(E_n - \mu_s) + \hat{\mathbf{D}}_n^{(0,2)} \circ \hat{\mathbf{D}}_n^{(0,2)\dagger} \hat{\Theta}_{n,2} \theta(E_n - \mu_S) \delta(E_{n-1} - \mu_S)$$

Use full double gluon matrix element outside.



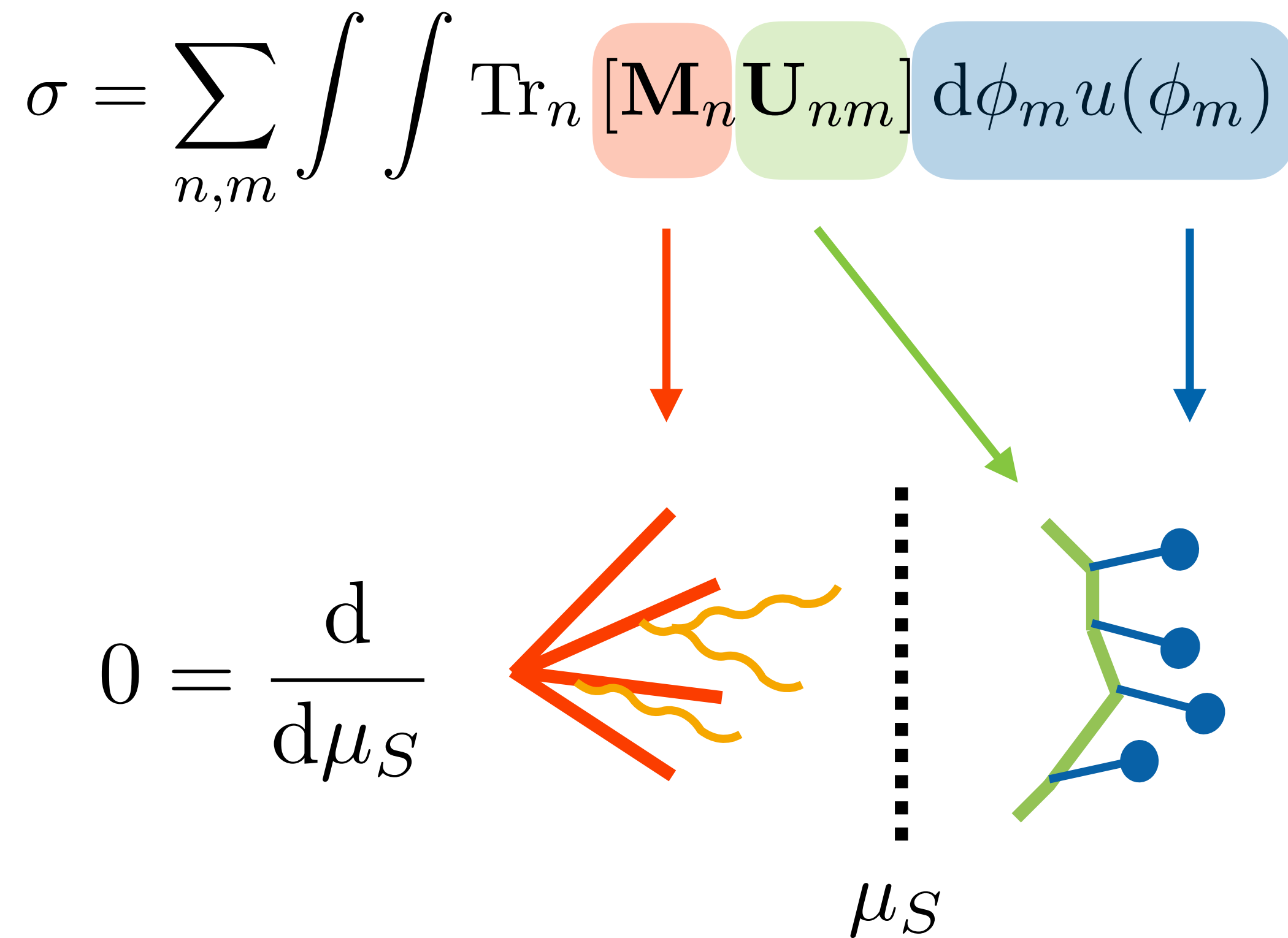
Similar consequences for virtual corrections.

# Constructing evolution algorithms

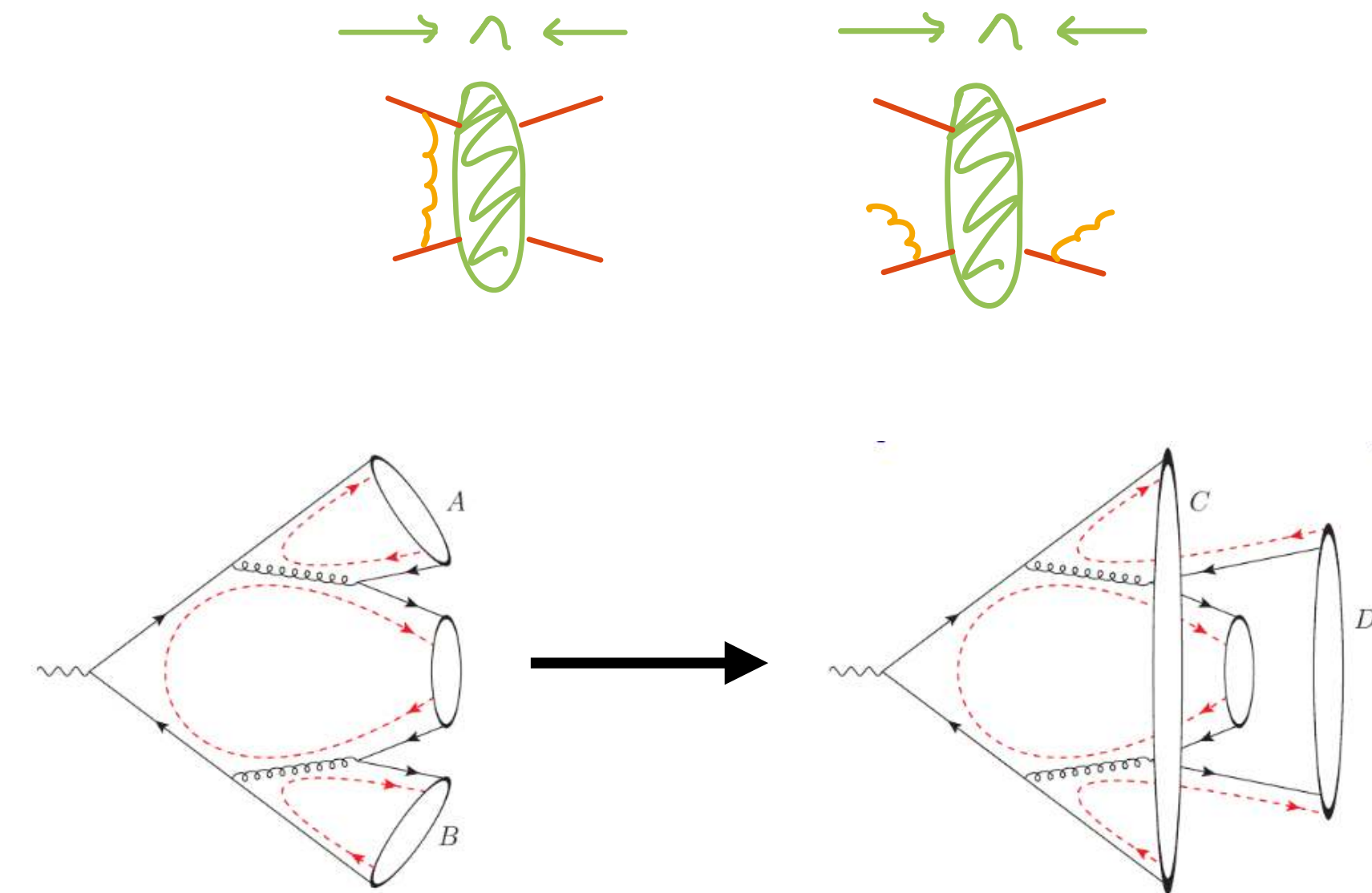
How do we consistently hadronize in light of (improved) shower algorithms?

[Plätzer – '22]

How to do this at subleading N and higher order shower evolution?



Construct perturbative end of hadronization.



e.g. colour reconnection *implied* just as observed in  
[Gieseke, Kirchgaesser, Plätzer – '18 ...]

## Sudakov-type densities central to Showers

[Olsson, Plätzer, Sjö Dahl — '20]

[Plätzer, Sjö Dahl — '12]

$$\frac{dS_P(q|Q, z, x)}{dq dz} = \Delta_P(Q_0|Q, x)\delta(q - Q_0)\delta(z - z_0) + \Delta_P(q|Q, x)P(q, z, x)\theta(Q - q)\theta(q - Q_0)$$

no emission

emission

Negative P or unknown overestimate requires weighted veto algorithm, with in principle arbitrary proposal kernel and veto probability.

---

$Q' \leftarrow Q, w \leftarrow w_0$

**loop**

A trial splitting scale and variables,  $q, z$ , are generated according to  $S_R(q|Q', z, x)$ , for example using Alg. 1.

**if**  $q = Q_0$  **then**

There is no emission and the cut-off scale  $Q_0$  is returned while the event weight is kept at  $w$ .

**else**

**if**  $\text{rnd} \leq \epsilon$  **then**

The trial splitting variables  $q, z$  are accepted, and

$$w \leftarrow w \times \frac{1}{\epsilon} \times \frac{P(Q', z, x)}{R(Q', z, x)}. \quad (3)$$

**else**

The emission is rejected, and the algorithm continues with

$$w \leftarrow w \times \frac{1}{1 - \epsilon} \times \left(1 - \frac{P(q, z, x)}{R(q, z, x)}\right) \\ Q' \leftarrow q. \quad (4)$$

**end if**

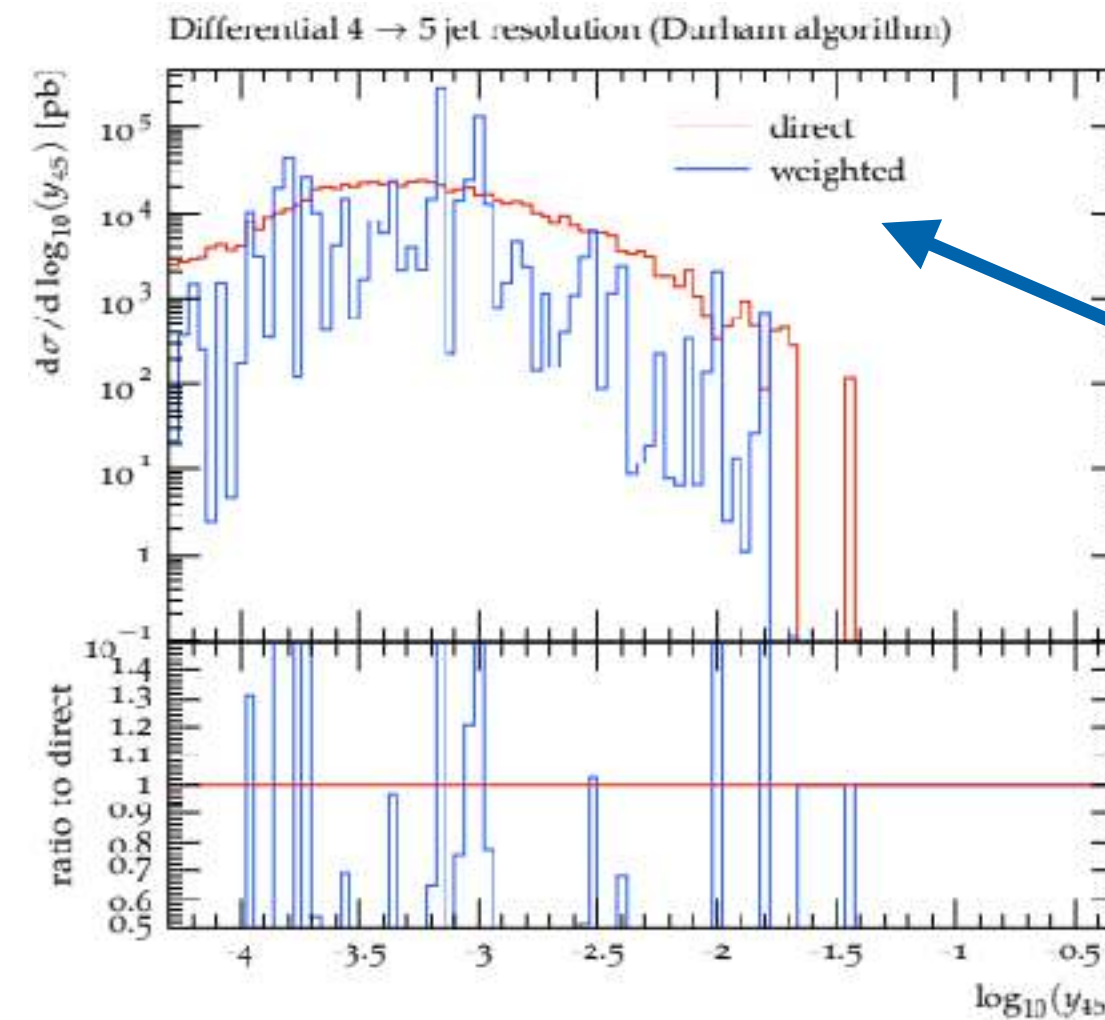
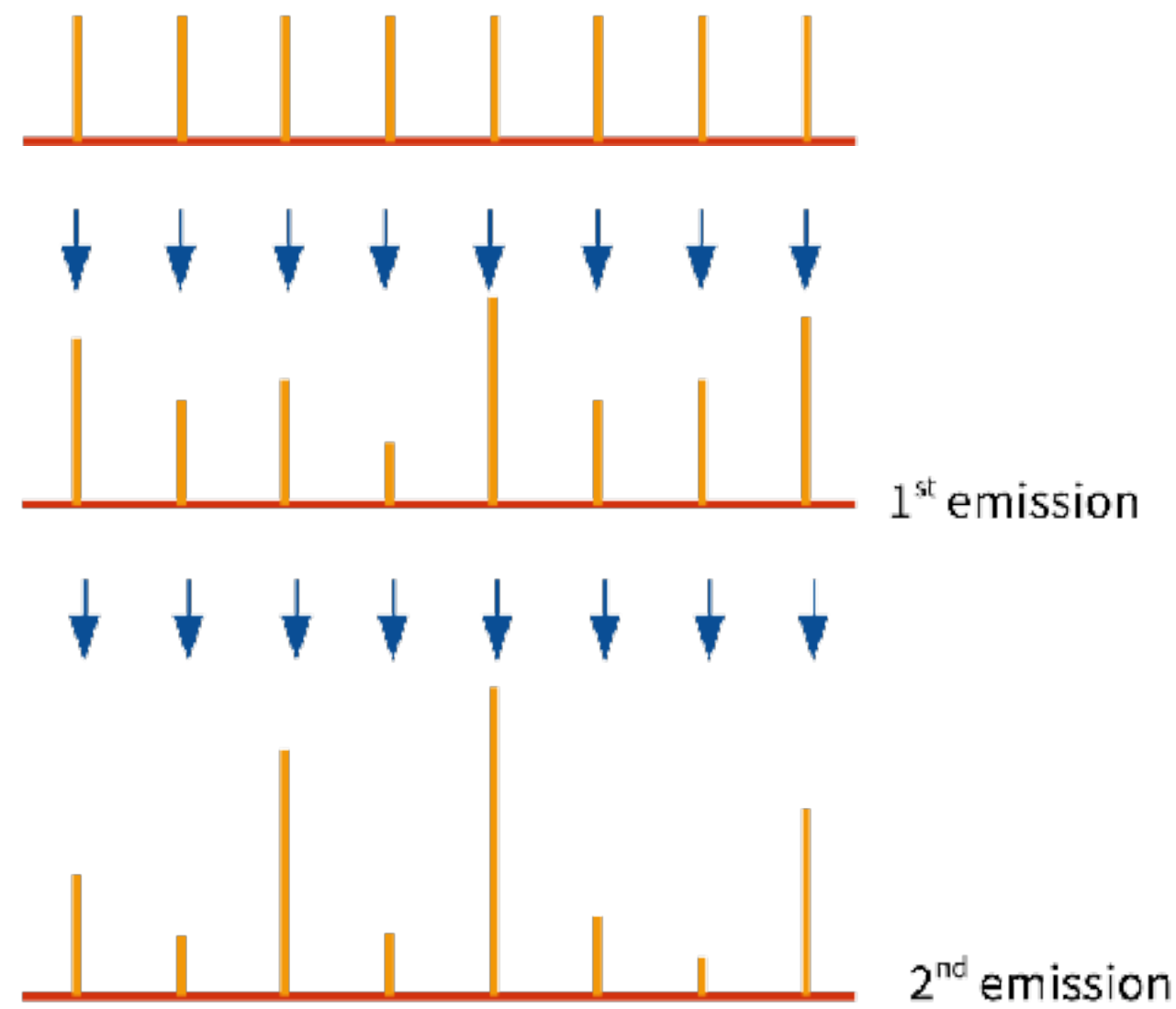
**end if**

**end loop**

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# Weighted Veto Algorithms & Resampling

[Olsson, Plätzer, Sjödal — '20]



Weighted branching algorithms exhibit prohibitive weight distributions & convergence issues.

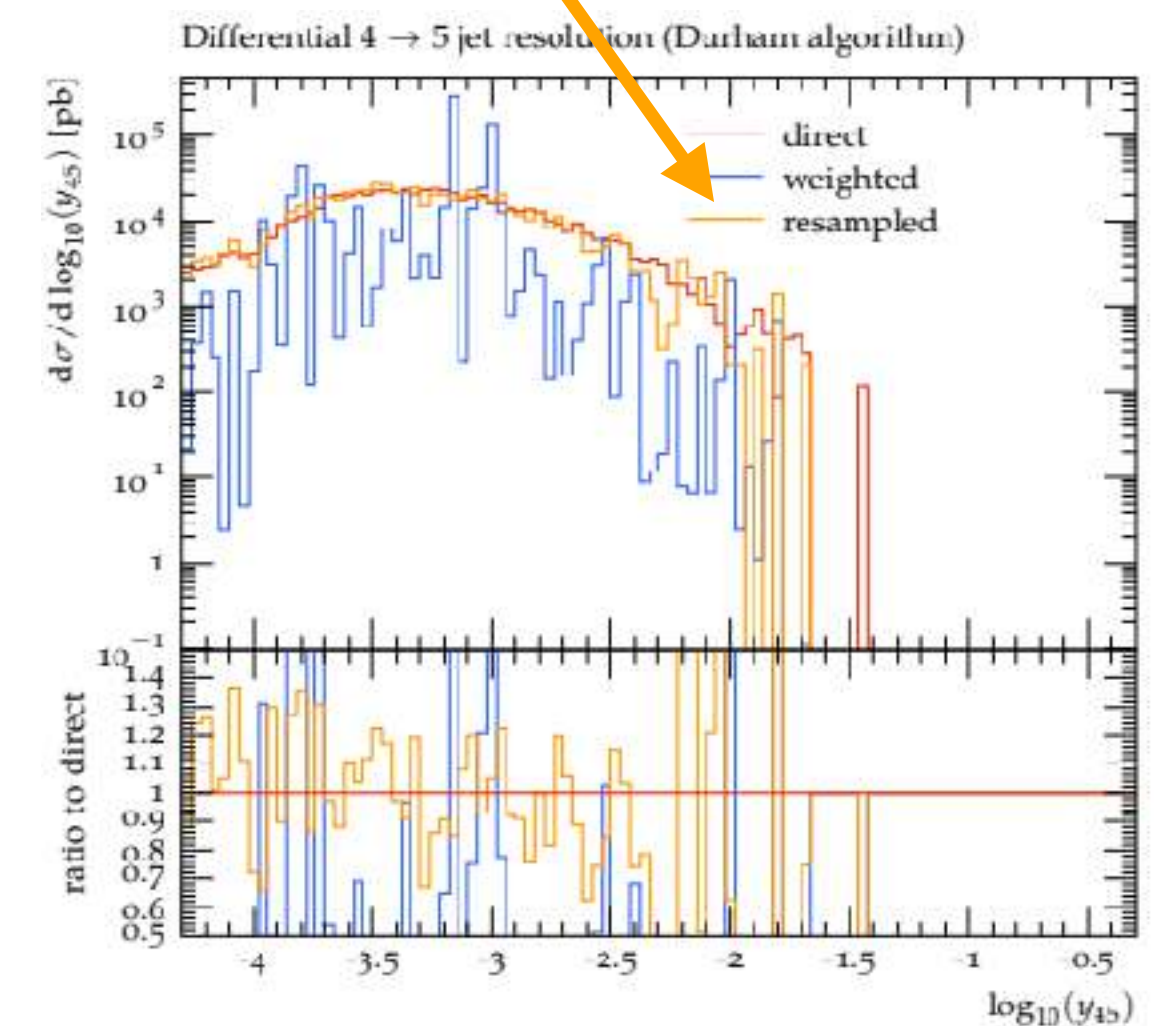
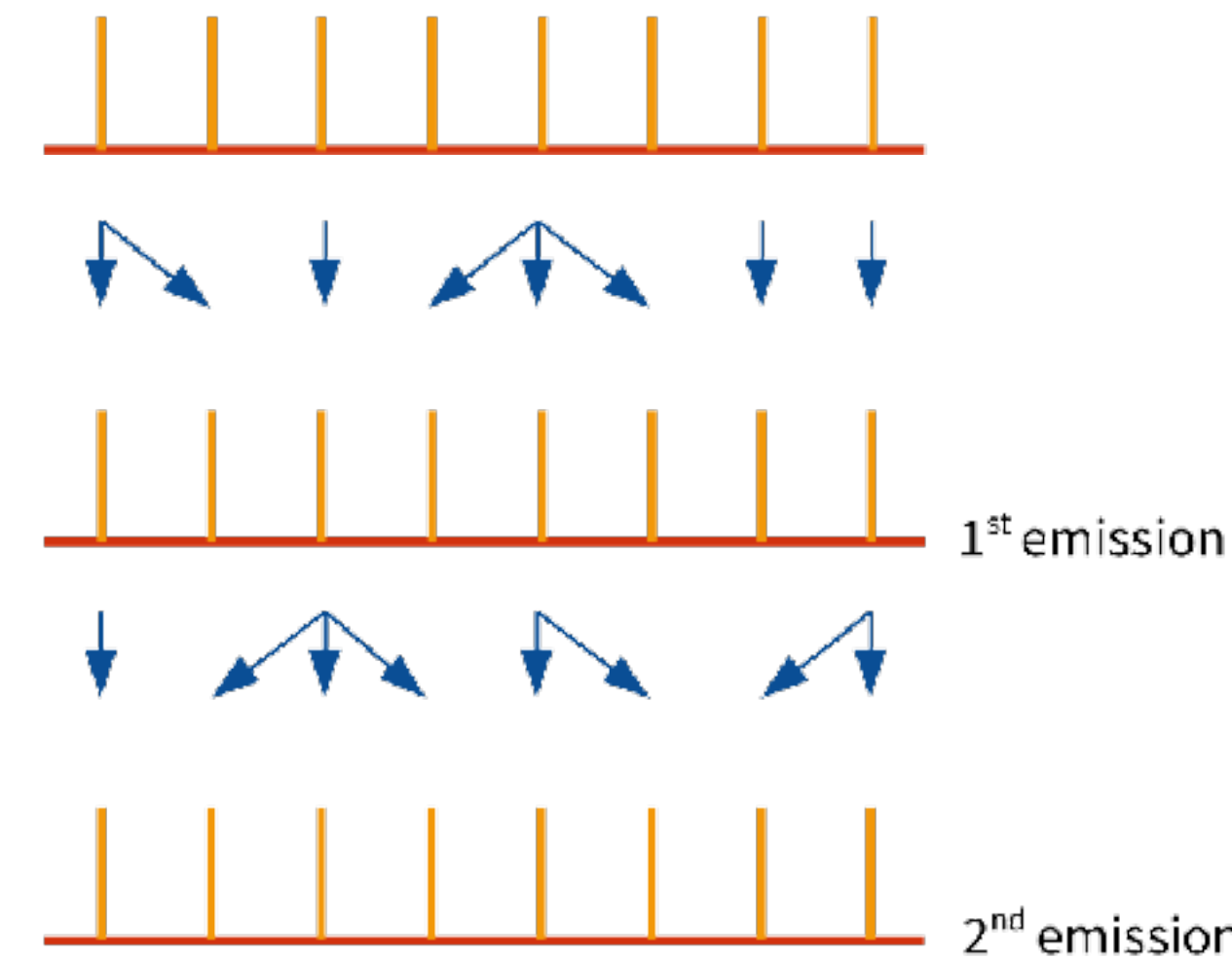
Result without resampling

Result with resampling

Resampling algorithms can compress weight distributions at intermediate steps.

Different resampling method developed as event generator after-burner.

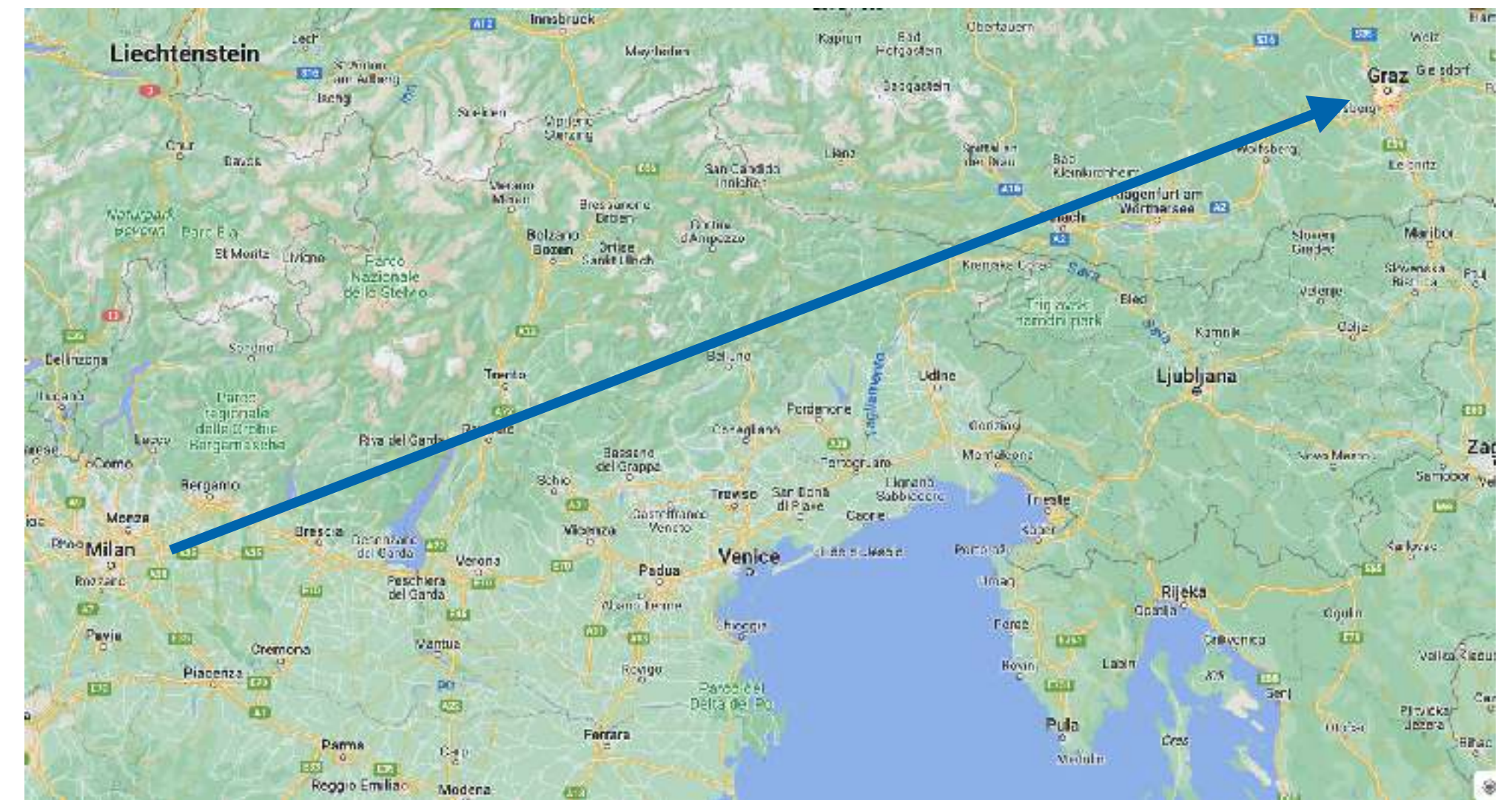
[Andersen, Gütschow, Maier, Prestel — '20]



## Parton Showers & Resummation Milan, Italy



6 - 8 June 2023





Thank you.



# Redefinitions of “bare” operators



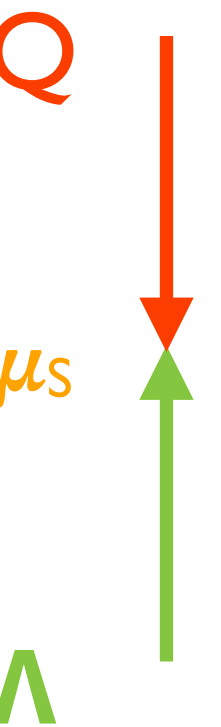
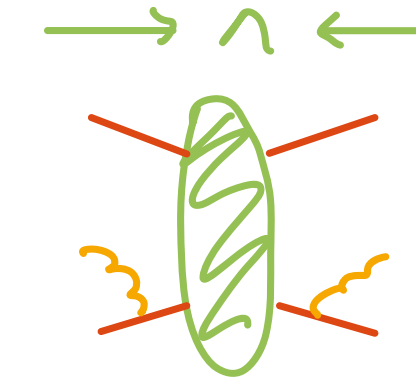
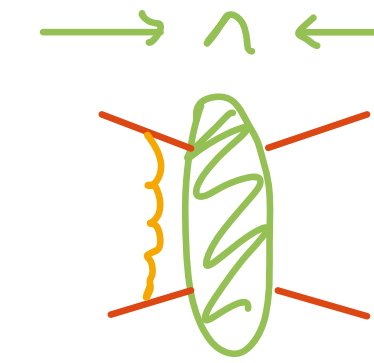
$$\sigma[\mathbf{U}] = \sum_n \int \alpha_0^n \text{Tr} [\mathbf{M}_n(Q; p_1, \dots, p_n) \mathbf{U}_n(Q; p_1, \dots, p_n)] d\phi(Q) \prod_{i=1}^n (4\pi\mu^2)^\epsilon [dp_i] \tilde{\delta}(p_i)$$

Remove UV divergencies

$$\alpha_0 (4\pi\mu^2)^\epsilon = \alpha_S(\mu_R) \mu_R^{2\epsilon} Z_g$$

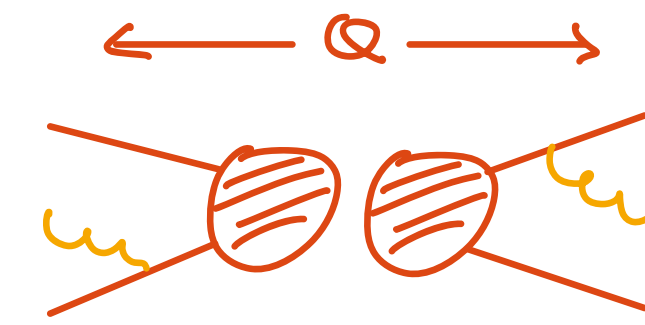
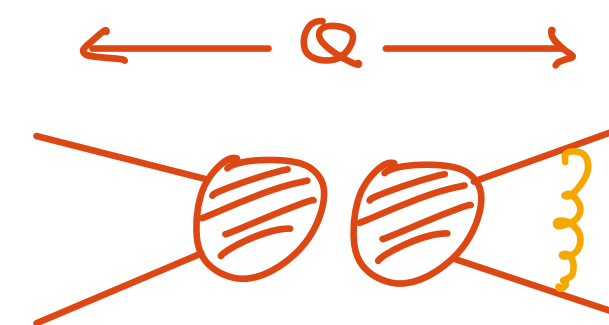
Subtract IR divergencies in unresolved regions

$$\mathbf{U}_n = \mathbf{X}_n^\dagger \mathbf{S}_n \mathbf{X}_n - \sum_{s=1}^{\infty} \alpha_S^s \int \mathbf{F}_{n+s}^{(s)\dagger} \mathbf{S}_{n+s} \mathbf{F}_{n+s}^{(s)} \prod_{i=n+1}^{n+s} \mu_R^{2\epsilon} [dp_i] \tilde{\delta}(p_i)$$



Re-arrange to resum IR enhancements

$$\mathbf{M}_n Z_g^n = \mathbf{Z}_n \mathbf{A}_n \mathbf{Z}_n^\dagger + \sum_{s=1}^n \alpha_S^s \mathbf{E}_n^{(s)} \mathbf{A}_{n-s} \mathbf{E}_n^{(s)\dagger}$$



# Redefinitions of “bare” operators

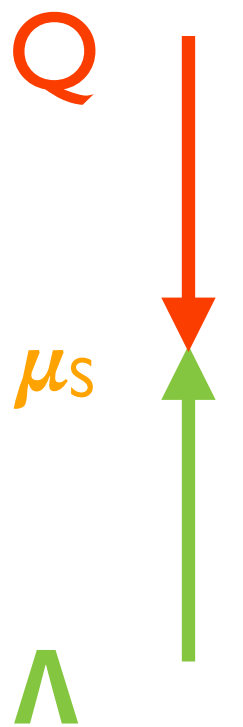
$$\sigma[\mathbf{U}] = \sum_n \int \alpha_0^n \text{Tr} [\mathbf{M}_n(Q; p_1, \dots, p_n) \mathbf{U}_n(Q; p_1, \dots, p_n)] d\phi(Q) \prod_{i=1}^n (4\pi\mu^2)^\epsilon [dp_i] \tilde{\delta}(p_i)$$

Redefinitions of hard and soft factor **inverse** to each other:

$$\mathbf{Z}_n = \mathbf{X}_n^{-1} \quad \mathbf{X}_n \mathbf{E}_n^{(s)} \circ \mathbf{E}_n^{(s)\dagger} \mathbf{X}_n^\dagger - \mathbf{F}_n^{(s)} \mathbf{Z}_{n-s} \circ \mathbf{Z}_{n-s}^\dagger \mathbf{F}_n^{(s)\dagger} - \sum_{t=1}^{s-1} \mathbf{F}_n^{(t)} \mathbf{E}_{n-t}^{(s-t)} \circ \mathbf{E}_{n-t}^{(s-t)\dagger} \mathbf{F}_n^{(t)\dagger} = 0$$

dressing of hard process ~ parton shower

soft evolution ~ hadronization model



$$\sum_n \int \alpha_S^n \text{Tr} [(\mathbf{A}_n + \mathbf{\Delta}_n) \mathbf{S}_n] d\phi(Q) \prod_{i=1}^n \mu_R^{2\epsilon} [dp_i] \tilde{\delta}(p_i)$$

$\alpha_s$  corrections to tower of logarithms in  $\mathbf{A}$  —  
truncation error of relation of  $\mathbf{Z}$  factors

