

Hyper-Kamiokande Oscillation Physics

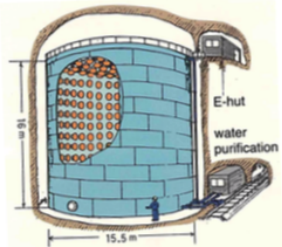
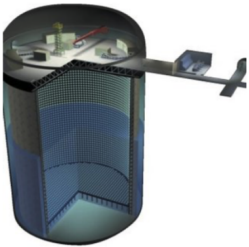

Tom Dealtry
for the Hyper-Kamiokande collaboration

NuPhys2023

December 19th, 2023

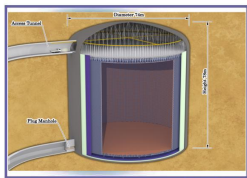


Towards Hyper-Kamiokande

	Kamiokande	Super-K	Hyper-K
Operation	1983–1995	1996–	2027–
Mass (fiducial)	4.5 (0.68) kton	50 (22.5) kton	258 (187) kton
			

- Building on decades of expertise
- Fiducial mass increase $> \times 8$, relative to Super-K
- $\sim 20k$ improved 20" PMTs with $\sim \times 2$ photo detection efficiency, relative to Super-K PMTs
- Addition of $\sim 1k$ fine-grained "mPMTs", enhancing reconstruction & providing cross-calibration with 20" PMTs

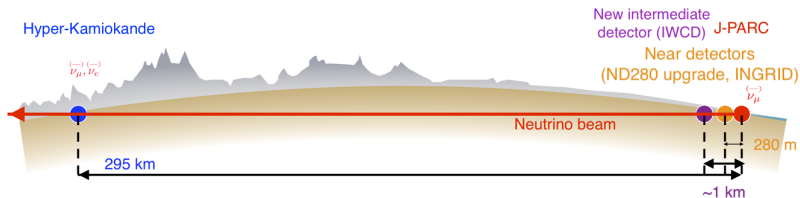
Hyper-Kamiokande ν_μ & $\bar{\nu}_\mu$ beam



Hyper-Kamiokande
(ICRR, Univ. Tokyo)



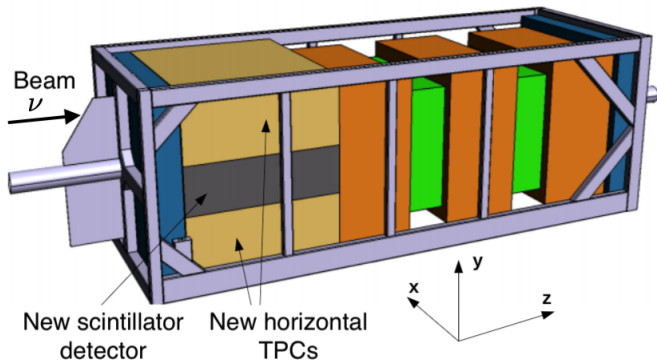
J-ARC Main Ring
(KEK-JAEA, Tokai)



Hyper-Kamiokande ν_μ & $\bar{\nu}_\mu$ beam

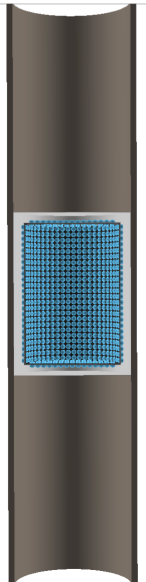


- New Intermediate Water Cherenkov Detector (IWCD)
- Upgraded off-axis near detector (ND280-upgrade)
- 20 times more stats at far detector than T2K, in 10 HK-years
 - ▶ J-PARC beam upgraded to 1.3 MW
 - ▶ New 188 kt fiducial far detector



- Upgrade to T2Ks magnetised off-axis near detector @ 280 m
- Increased efficiency for
 - ▶ Low-momentum tracks
 - ▶ High-angle tracks
- First parts of the upgrade are currently taking data!

Intermediate water Cherenkov detector (IWCD)



- Water Cherenkov detector @ ~ 1 km
 - ▶ Brand new detector & facility for the Hyper-K era
- Novel off-axis angle spanning method allows
 - ▶ Creation of narrow beam for cross-section analyses
 - ▶ Reconstruction of the oscillated flux

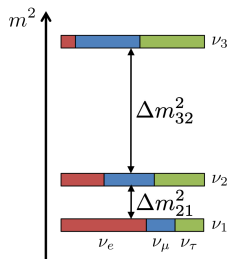
Neutrino oscillation open questions

JHEP 09 (2020) 178 NuFIT 5.2 (2022)

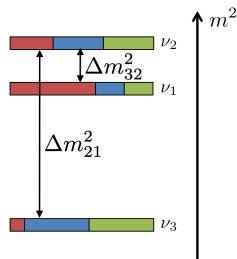
	Beam	Atmospheric	Solar
$\delta_{CP} \sim 200^\circ$	✓	○	✗
$\theta_{13} \sim 9^\circ$	✓	○	✗
$\theta_{23} \sim 49^\circ$	✓	✓	✗
$\pm \Delta m_{32}^2 \sim 2.5 \times 10^{-3} \text{ eV}^2$	✓	✓	✗
Mass ordering	○	✓	✗
$\theta_{12} \sim 33^\circ$	✗	✗	✓
$+\Delta m_{21}^2 \sim 7.4 \times 10^{-5} \text{ eV}^2$	✗	✗	✓

✓ Drives sensitivity
 ○ Enhances sensitivity
 ✗ Negligible sensitivity

Normal Ordering (NO)



Inverted Ordering (IO)



- Is there CP violation?
Does $\sin \delta_{CP} = 0$?
- Is θ_{23} maximal ($= 45^\circ$)?
If not, which octant ($<$ or $> 45^\circ$)?
- Which mass ordering?
 $\Delta m_{32}^2 <$ or > 0 ?

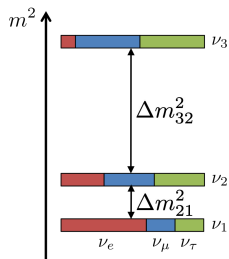
Neutrino oscillation open questions

JHEP 09 (2020) 178 NuFIT 5.2 (2022) **Beam** **Atmospheric** **Solar**

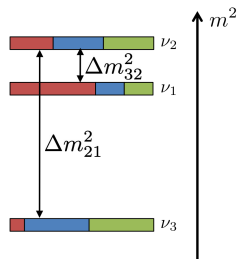
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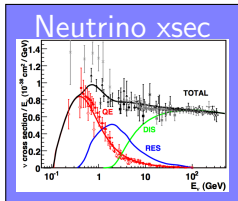
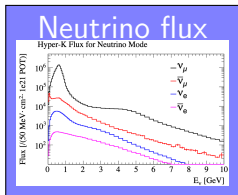


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Does $\sin \delta_{CP} = 0$?
- Is θ_{23} maximal ($= 45^\circ$)?
If not, which octant ($<$ or $>$ 45°)?
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 $\Delta m_{32}^2 <$ or $>$ 0 ?

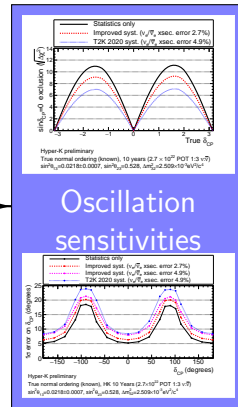
Hyper-K neutrino beam analysis method



Constrain models with near detectors

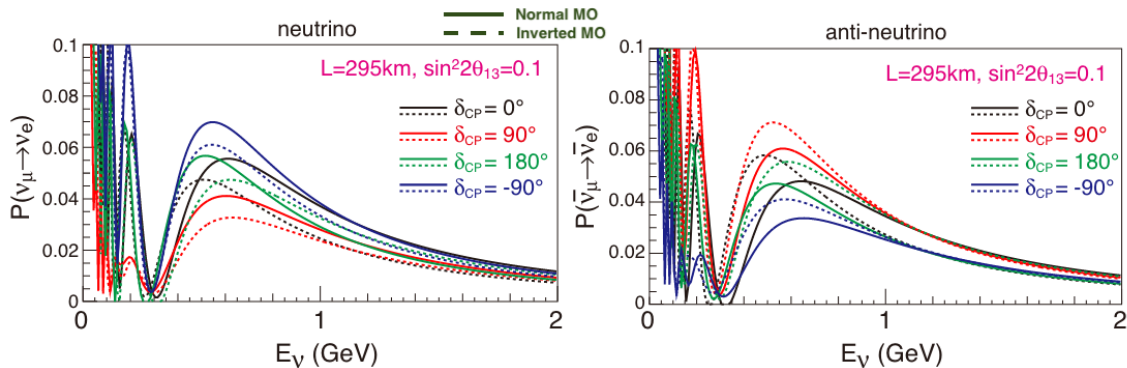
IWCD & ND280up

Fit models to far detector data



- Using T2K analysis method
 - ▶ Super-K MC scaled to Hyper-K exposure

ν_e & $\bar{\nu}_e$ appearance probabilities

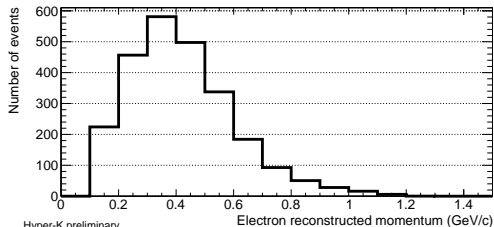


- Hyper-K ν & $\bar{\nu}$ beam flux peaks ~ 0.6 GeV
- @ $\delta_{CP} = -90^\circ$ ($-\pi/2$)
 - ▶ ν_e appearance enhanced; $\bar{\nu}_e$ appearance suppressed
- Unknown mass ordering (solid vs dashed) complicates δ_{CP} measurement
 - ▶ Beam-only sensitivities we show today are for known normal ordering
 - ▶ Will also show how Hyper-K can use atmospheric data to exclude incorrect MO

1-ring event samples

ν -mode beam

Far Detector, ν mode, 1-ring e-like + 0 decay e

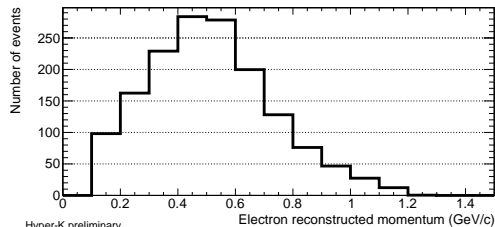


Hyper-K preliminary
10 years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)

True Normal Ordering, $\sin^2\theta_{13}=0.0218$, $\sin^2\theta_{23}=0.528$, $\Delta m_{21}^2=2.509 \times 10^{-3} \text{eV}^2/c^4$, $\delta_{CP}=-1.601$

$\bar{\nu}$ -mode beam

Far Detector, $\bar{\nu}$ mode, 1-ring e-like + 0 decay e



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Expected event rate @ 10 years ($2.7E22$ POT),

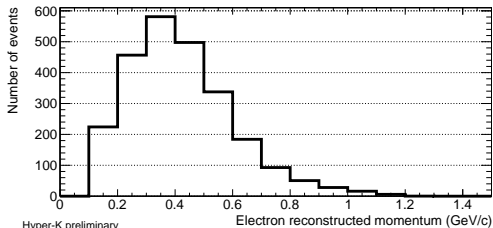
$\nu:\bar{\nu} = 1:3$, @ $\delta_{CP} = 0$

ν -mode beam, 1-ring μ -like	~ 8800
$\bar{\nu}$ -mode beam, 1-ring μ -like	~ 12000
ν -mode beam, 1-ring e-like + 0 decay e	~ 2100
$\bar{\nu}$ -mode beam, 1-ring e-like + 0 decay e	~ 1800
ν -mode beam, 1-ring e-like + 1 decay e	~ 300

1-ring event samples

ν -mode beam

Far Detector, ν mode, 1-ring e-like + 0 decay e

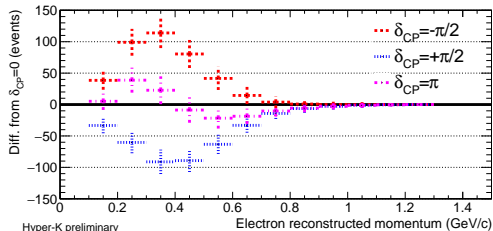


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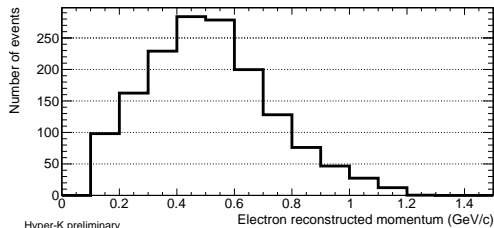
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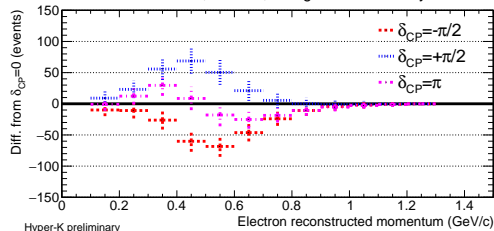


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Systematics

- Hyper-K has high statistics → must work to reduce systematics as much as possible
- Going to show sensitivities
 - ▶ We have a range of systematics scenarios
- ① T2K 2020 systematics
 - ▶ Where we are now
- ② Improved systematics
 - ▶ Where we want to be with ND280-upgrade, IWCD, & increased statistics
 - ▶ Produced by scaling T2K systematics based on ND280-upgrade/IWCD sensitivity
- ③ Statistics only
 - ▶ Ideal case of no systematics

Total percentage error on sample event rates:

Error model	μ -like		e-like			
	ν -mode	$\bar{\nu}$ -mode	ν -mode 0 d.e.	$\bar{\nu}$ -mode 0 d.e.	ν -mode 1 d.e.	$\nu/\bar{\nu}$ modes 0 d.e.
T2K 2020	3.0%	4.0%	4.7%	5.9%	14.1%	4.6%
Improved	1.2%	1.1%	2.1%	2.2%	5.2%	2.0%

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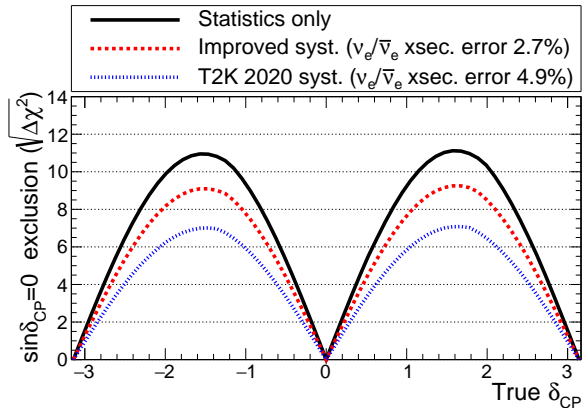
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$\sin \delta_{CP} \neq 0$ sensitivity

- For a true value of δ_{CP} , how much can we exclude CP conservation? ($\delta_{CP} = 0, \pm\pi$)



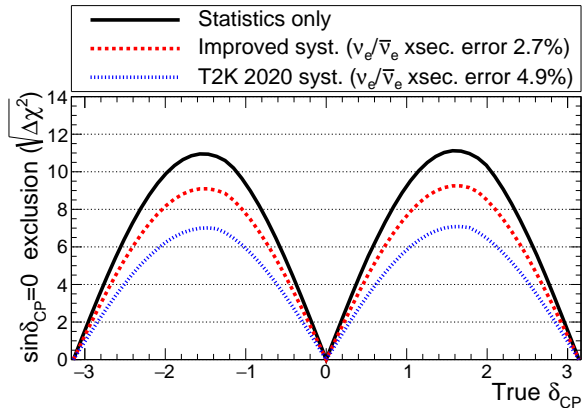
Hyper-K preliminary

True normal ordering (known), 10 years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)

$\sin^2\theta_{13}=0.0218\pm 0.0007$, $\sin^2\theta_{23}=0.528$, $\Delta m_{32}^2=2.509\times 10^{-3}\text{eV}^2/c^4$

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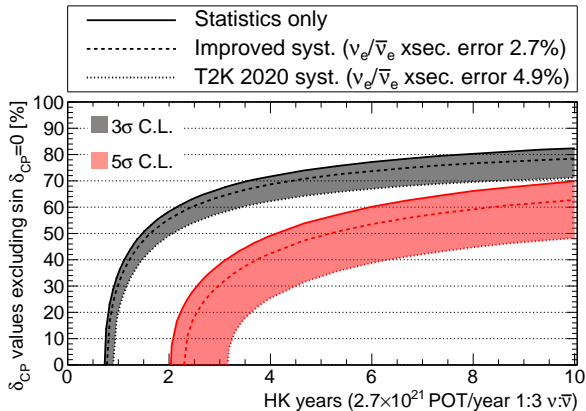
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With “Improved systematics”

- Exclude CP conservation for 62% of true δ_{CP} values @ 5σ

$\sin \delta_{CP} \neq 0$ sensitivity vs time

- What % of true values of δ_{CP} where we can exclude CP conservation, as a function of time?



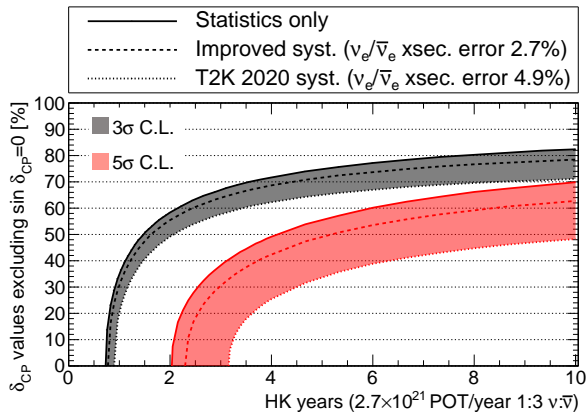
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$\sin \delta_{CP} \neq 0$ sensitivity vs time

- What % of true values of δ_{CP} where we can exclude CP conservation, as a function of time?



With “Improved systematics”

- 50% in <2 years @ 3 σ

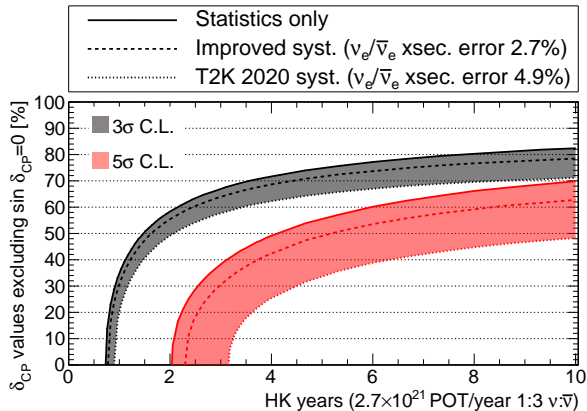
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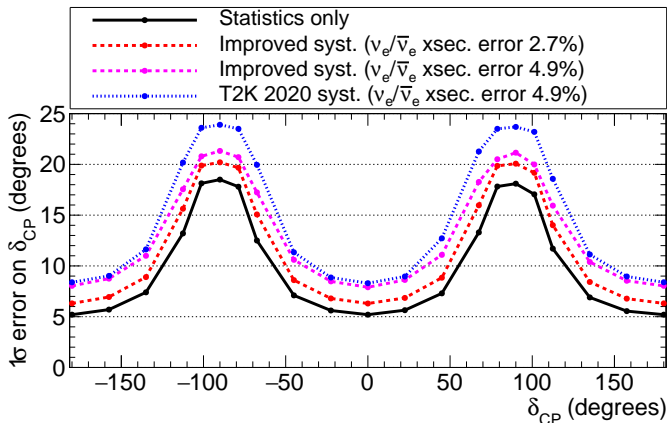
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With “Improved systematics”

- 50% in <2 years @ 3σ
- 50% in ~ 5 years @ 5σ

δ_{CP} resolution sensitivity

- How accurately can we measure the value of δ_{CP} , as a function of true δ_{CP} ?



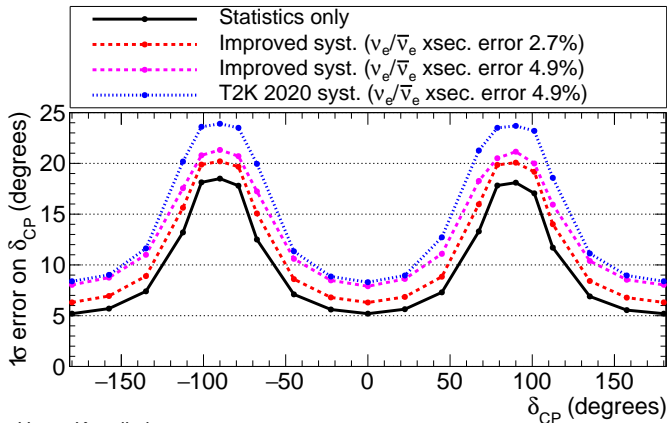
Hyper-K preliminary

True normal ordering (known), HK 10 Years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)

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With “Improved systematics
($\nu_e/\bar{\nu}_e$ xsec. error 2.7%)”

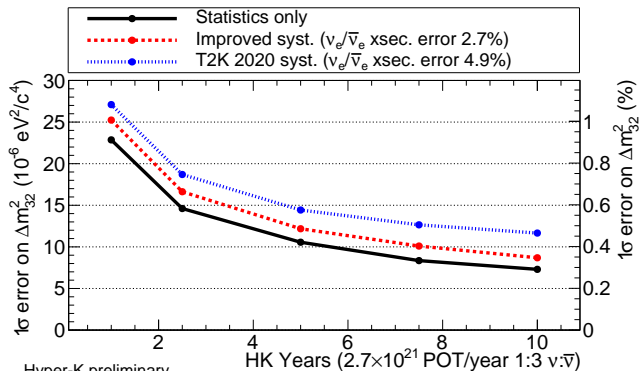
- 20.2° for true $\delta_{CP} = -\pi/2 = -90^\circ$
- 6.3° for true $\delta_{CP} = 0$

Hyper-K preliminary

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Resolution sensitivity of other parameters



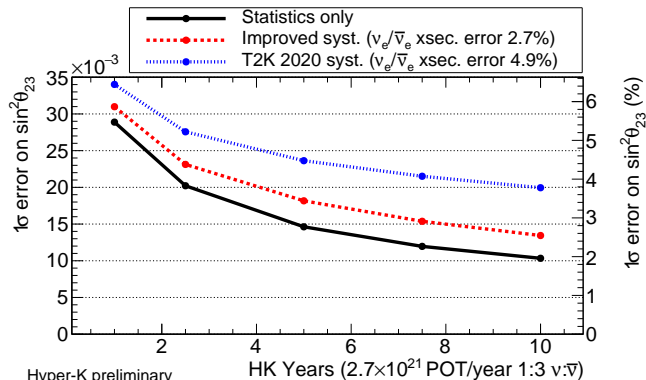
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	NuFIT 5.2 (2022)	Hyper-K uncertainty (Improved systematics)
Δm_{32}^2	$2.511^{+0.028}_{-0.027} \times 10^{-3} \text{eV}^2/\text{c}^4$	$\pm 0.009 \times 10^{-3} \text{eV}^2/\text{c}^4$
$\sin^2(\theta_{23})$	$0.572^{+0.018}_{-0.023}$	± 0.013
$\sin^2(\theta_{13})$	$0.02203^{+0.00056}_{-0.00059}$	± 0.00054

Resolution sensitivity of other parameters



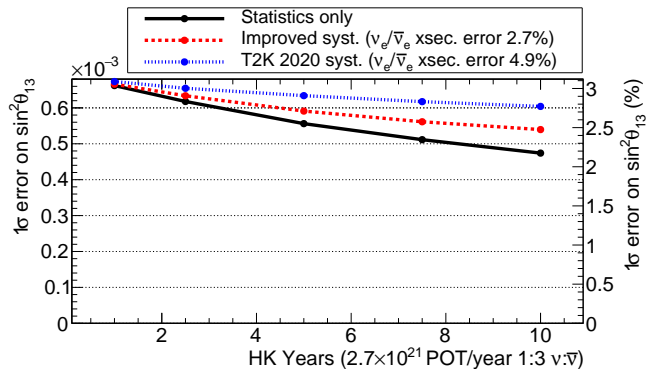
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Resolution sensitivity of other parameters



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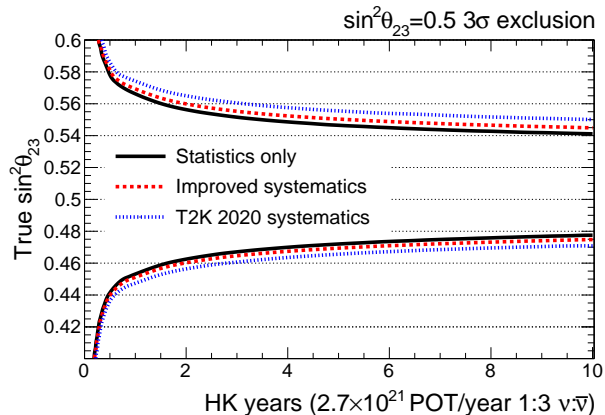
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$\sin^2(\theta_{23}) = 0.5$ exclusion sensitivity

- For a true value of $\sin^2(\theta_{23})$, how much can we exclude $\sin^2(\theta_{23}) = 0.5$?



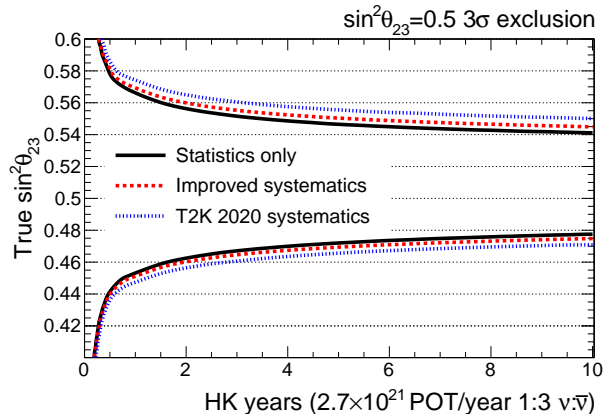
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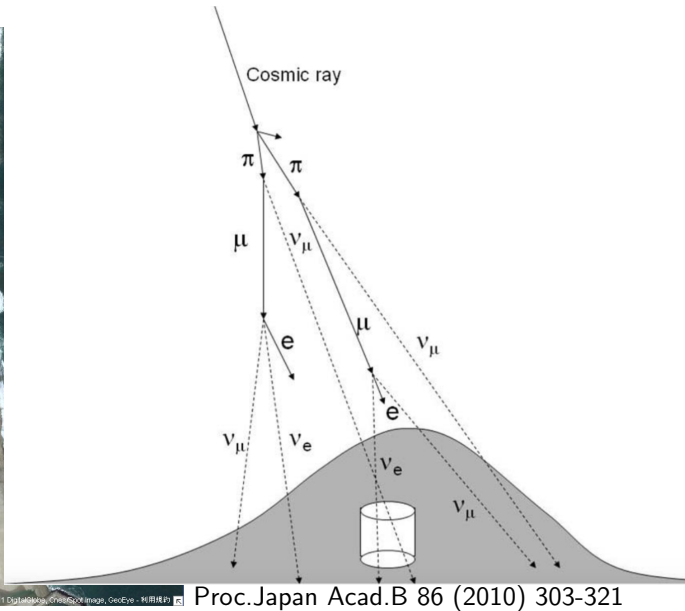
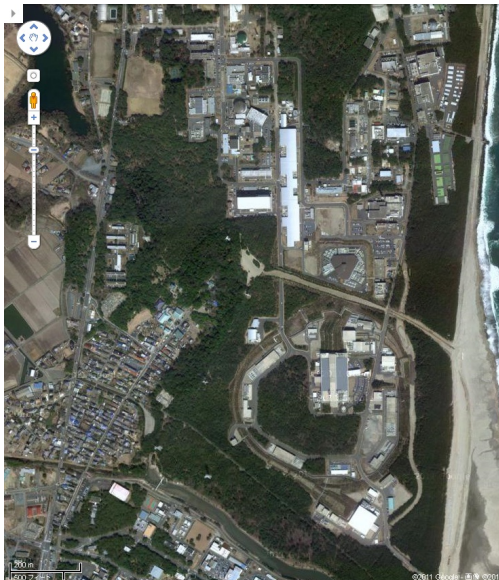
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With “Improved systematics”

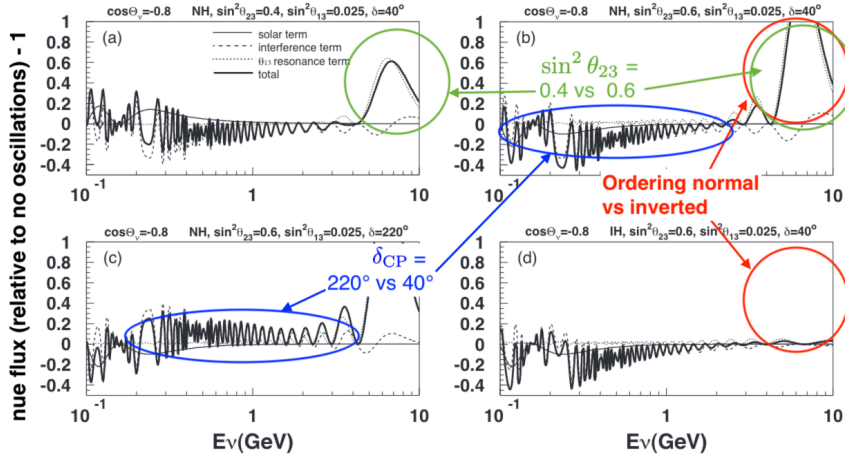
- 3σ exclusion outside the range of true $\sin^2(\theta_{23})$ [0.475, 0.545]

Beam + atmospheric sensitivities



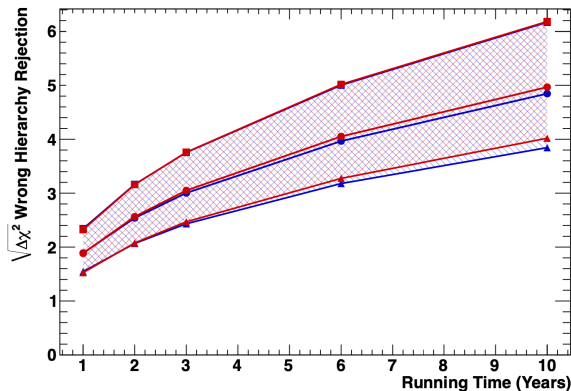
Atmospheric neutrino oscillations

ν_e flux (relative to no oscillations) - 1 versus true neutrino energy @ $\cos \theta_{\text{zenith}} = 0.8$



- **Mass ordering** creates resonance in ν_e or $\bar{\nu}_e$ multi-GeV events
- θ_{23} **octant** sets magnitude of the resonance
- δ_{CP} sets scale/direction of ~ 1 GeV interference

Mass ordering sensitivity

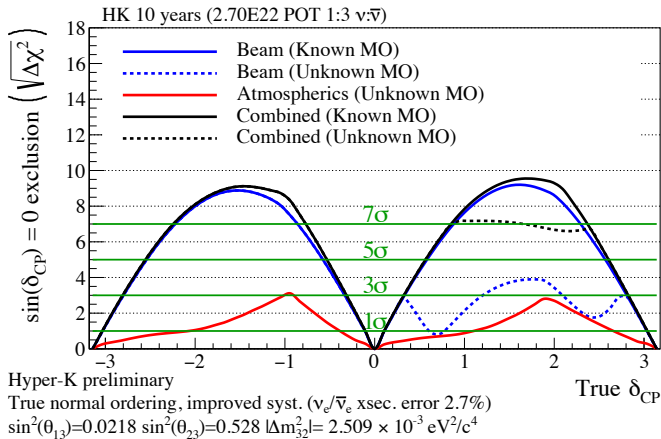


- Atmospheric neutrinos have longer baseline & higher energies than beam neutrinos (in general)
 - Enhances matter effect ($\propto E_\nu n_e$)
 - Increased sensitivity to mass ordering
 - Exclude incorrect mass ordering at $\sim 4-6\sigma$ in 10 years
 - ★ Depending on true value of $\sin^2(\theta_{23})$

Based on older HK analysis

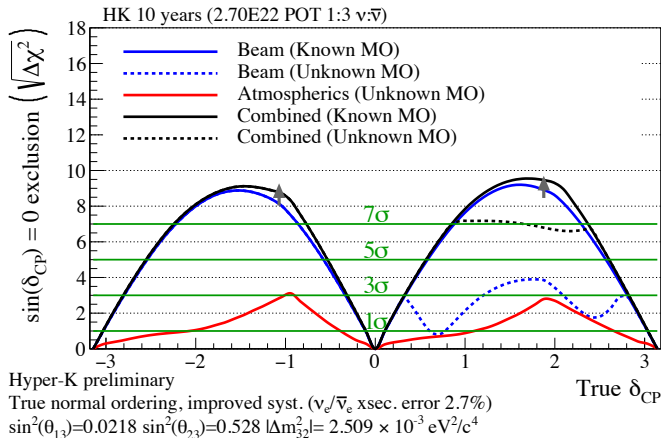
$\sin \delta_{CP} \neq 0$ sensitivity with unknown mass ordering

- For a true value of δ_{CP} , how much can we exclude CP conservation? ($\delta_{CP} = 0, \pm\pi$)



$\sin \delta_{CP} \neq 0$ sensitivity with unknown mass ordering

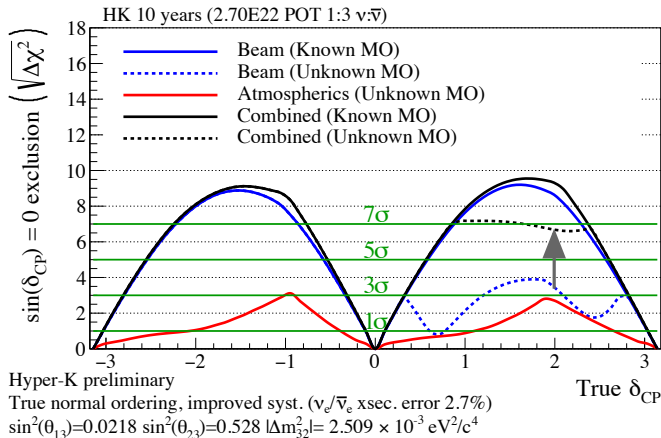
- For a true value of δ_{CP} , how much can we exclude CP conservation? ($\delta_{CP} = 0, \pm\pi$)



- Solid blue/black lines show case where mass ordering is known
 - ▶ Addition of atmospheric enhances sensitivity slightly

$\sin \delta_{CP} \neq 0$ sensitivity with unknown mass ordering

- For a true value of δ_{CP} , how much can we exclude CP conservation? ($\delta_{CP} = 0, \pm\pi$)



- Dashed blue/black lines show case where mass ordering is unknown
 - ▶ Addition of atmospheric gives massive improvement

Summary

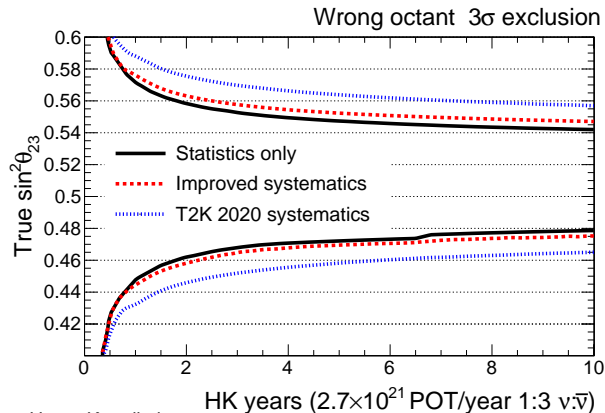
- After 10 years of beam data & improving on T2K-2020 error model based on sensitivity of ND280-upgrade & IWCD, we see
 - ▶ CP conservation exclusion for 62% of true δ_{CP} @ 5σ
 - ▶ δ_{CP} precision of 20.2° @ $\delta_{CP} = -\pi/2$, 6.3° @ $\delta_{CP} = 0$
 - ▶ Enhancement of precision on $\sin^2(\theta_{23})$, Δm_{32}^2 , $\sin^2(\theta_{13})$, with HK data only (& reactor constraint), relative to current global fits
 - ▶ $\sin^2(\theta_{23}) = 0.5$ exclusion @ 3σ for true $\sin^2(\theta_{23})$ outside the range [0.475, 0.545]
- Adding atmospheric neutrino data, we see
 - ▶ Enhancement to CP conservation exclusion, whether the mass ordering is known or unknown
 - ▶ Can exclude incorrect mass ordering at $\sim 4-6\sigma$ (depending on true $\sin^2(\theta_{23})$)
- Ultimately, we will use solar data & measure solar parameters
 - ▶ Unitarity test of the PMNS matrix in a single experiment
- Hyper-Kamiokande has a wider, rich physics program including measurements of
 - ▶ Proton decay
 - ▶ Supernova burst, and diffuse supernova neutrino background

- 7 Bonus sensitivities
 - Wrong $\sin^2(\theta_{23})$ octant exclusion (beam only)
 - $\sin^2(\theta_{23})$ mirror point exclusion (beam only)
 - $\sin \delta_{CP} \neq 0$ sensitivity with unknown mass ordering (atmospherics + beam)
- 8 Far detector samples
- 9 Systematic errors
- 10 Hyper-Kamiokande physics program

- 7 Bonus sensitivities
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Wrong octant exclusion sensitivity

- For a true value of $\sin^2(\theta_{23})$, how much can we exclude all values of $\sin^2(\theta_{23})$ in the other (wrong) octant?



Hyper-K preliminary

True normal ordering (known)

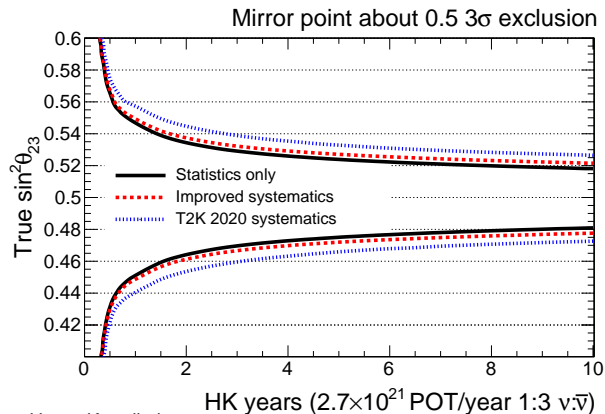
$$\sin^2\theta_{13}=0.0218\pm 0.0007, \delta_{CP}=-1.601, \Delta m_{32}^2=2.509 \times 10^{-3} \text{eV}^2/c^4$$

With “Improved systematics”

- 3σ exclusion outside the range of true $\sin^2(\theta_{23})$ [0.474, 0.547]

Mirror point exclusion sensitivity

- For a true value of $\sin^2(\theta_{23})$, how much can we exclude its mirror point (flipped about $\sin^2(\theta_{23}) = 0.5$)?



Hyper-K preliminary

True normal ordering (known)

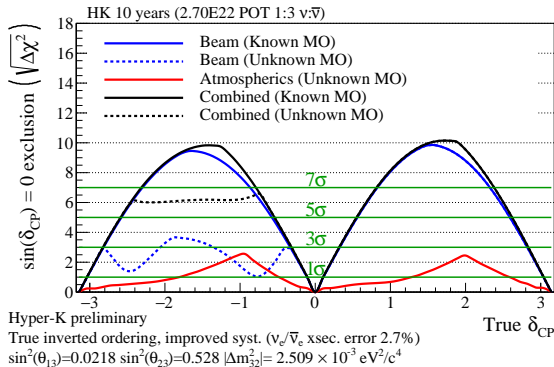
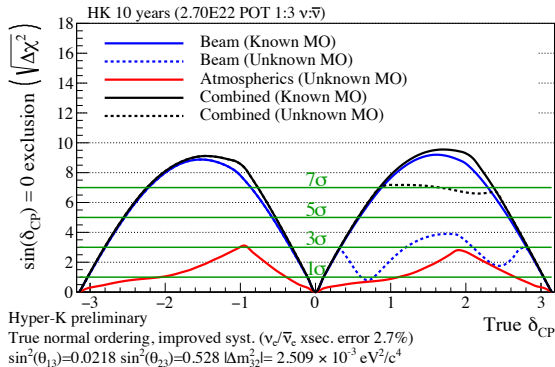
$$\sin^2\theta_{13}=0.0218\pm 0.0007, \delta_{\text{CP}}=-1.601, \Delta m_{32}^2=2.509 \times 10^{-3} \text{eV}^2/c^4$$

With “Improved systematics”

- 3σ exclusion outside the range of true $\sin^2(\theta_{23})$ [0.478, 0.521]

$\sin \delta_{CP} \neq 0$ sensitivity with unknown mass ordering

- For a true value of δ_{CP} , how much can we exclude CP conservation? ($\delta_{CP} = 0, \pm\pi$)



- Changing from true normal to true inverted MO flips the half of true- δ_{CP} -space where unknown MO has greatest effect

- 7 Bonus sensitivities
 - Wrong $\sin^2(\theta_{23})$ octant exclusion (beam only)
 - $\sin^2(\theta_{23})$ mirror point exclusion (beam only)
 - $\sin \delta_{CP} \neq 0$ sensitivity with unknown mass ordering (atmospherics + beam)
- 8 Far detector samples
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- 10 Hyper-Kamiokande physics program

Event rates

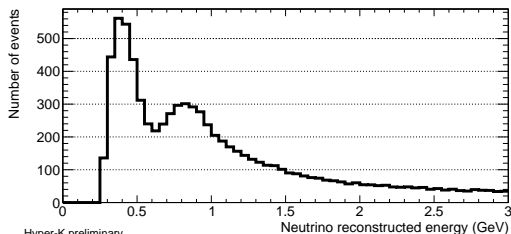
	beam ν_μ	beam ν_e	beam $\bar{\nu}_\mu$	beam $\bar{\nu}_e$	$\nu_\mu \rightarrow \nu_e$	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	Total
ν -mode, 1-ring μ -like	8355.42	8.36	478.01	0.68	2.61	0.01	8845.08
$\bar{\nu}$ -mode, 1-ring μ -like	4255.94	6.02	7759.91	4.74	0.18	0.39	12027.20
ν -mode, 1-ring e -like + 0 decay e	143.91	294.27	5.29	11.97	2007.51	11.74	2474.69
$\bar{\nu}$ -mode, 1-ring e -like + 0 decay e	59.10	130.13	96.28	234.83	229.21	793.17	1542.72
ν -mode, 1-ring e -like + 1 decay e	13.96	40.19	0.64	0.32	255.29	0.23	310.64

@ $\delta_{CP} = -1.601$

1-ring μ -like event samples

ν -mode beam

Far Detector, ν mode, 1-ring μ -like



Hyper-K preliminary

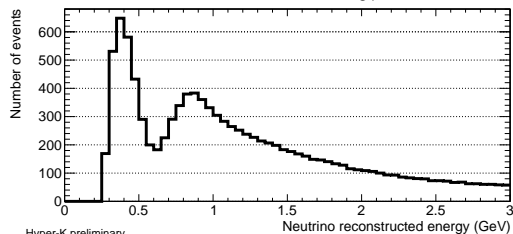
10 years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)

True Normal Ordering, $\sin^2\theta_{13}=0.0218$, $\sin^2\theta_{23}=0.528$, $\Delta m_{32}^2=2.509 \times 10^{-3} \text{eV}^2/c^4$, $\delta_{CP}=-1.601$

~ 8800 events

$\bar{\nu}$ -mode beam

Far Detector, $\bar{\nu}$ mode, 1-ring μ -like



Hyper-K preliminary

10 years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)

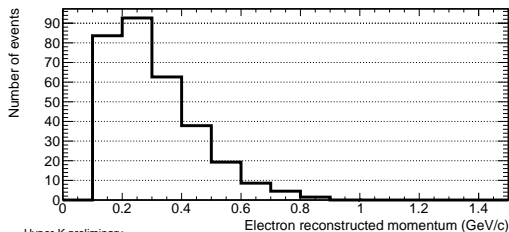
True Normal Ordering, $\sin^2\theta_{13}=0.0218$, $\sin^2\theta_{23}=0.528$, $\Delta m_{32}^2=2.509 \times 10^{-3} \text{eV}^2/c^4$, $\delta_{CP}=-1.601$

~ 12000 events

1-ring e-like + 1 decay e event sample

ν -mode beam

Far Detector, ν mode, 1-ring e-like + 1 decay e



Hyper-K preliminary

10 years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)

True Normal Ordering, $\sin^2\theta_{13}=0.0218$, $\sin^2\theta_{23}=0.528$, $\Delta m_{32}^2=2.509 \times 10^{-3} \text{eV}^2/c^4$, $\delta_{CP}=-1.601$

~ 300 events

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Flux Uses external data to tune model

- e.g. NA61/SHINE thin-target hadron-production data

Cross section Uses external data to tune model

- e.g. MINER ν A, MiniBooNE, ..., ν -nucleus scattering data
- Uses NEUT 5.4.0

Final state interactions & secondary interactions Uses external data to tune model

- e.g. π -nucleus scattering data

SK detector Uses Super-K atmospheric neutrino data

- Flux & Cross-section uncertainties reduced by fit to near-detector data

[Eur. Phys. J. C 83, 782 \(2023\)](#)

Scaling systematics for Hyper-K

- Statistical error on Hyper-K atmospheric samples will reduce
 - ▶ Hyper-K fiducial volume = $8.4 \times$ Super-K
- Statistical error at ND280 will reduce
 - ▶ ND280-upgrade increases fiducial mass by $\sim 30\%$
 - ▶ More running with a higher power beam
- New detectors will produce better results
 - ▶ SFGD has increased nucleon tracking efficiency
 - ★ Get a handle on final state interactions
 - ★ Select $\bar{\nu} + H$ events
 - ▶ IWCD has excellent ν_e/ν_μ separation
 - ★ Measure ν_e & $\bar{\nu}_e$ cross sections to a few %

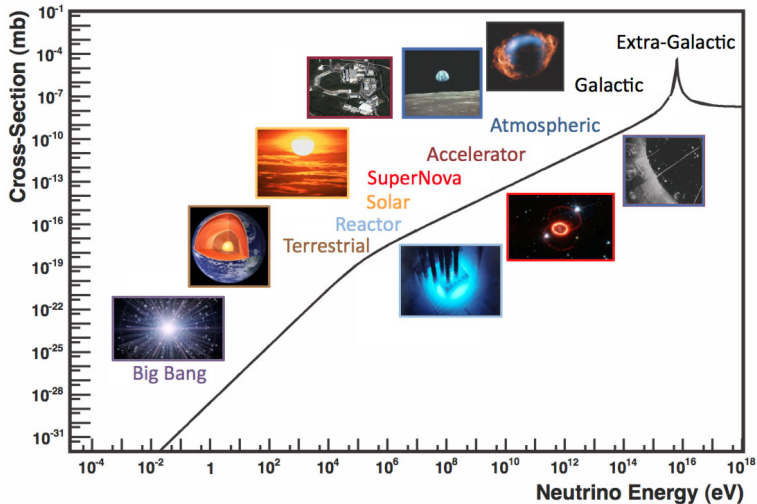
Systematic uncertainties

T2K 2020 syst.	μ -like		e-like			
	ν -mode	$\bar{\nu}$ -mode	ν -mode 0 d.e.	$\bar{\nu}$ -mode 0 d.e.	ν -mode 1 d.e.	$\nu/\bar{\nu}$ 0 d.e.
ND constrained						
Flux+cross section	2.1%	3.4%	3.6%	4.3%	4.9%	4.4%
Not ND constrained						
Cross section	0.5%	2.6%	3.0%	3.7%	2.7%	4.1%
Detector	2.1%	1.9%	3.1%	3.9%	13.2%	1.1%
All	3.0%	4.0%	4.7%	5.9%	14.1%	4.6%
Improved syst.						
ND constrained						
Flux+cross section	0.9%	0.9%	1.8%	1.6%	1.8%	1.9%
Not ND constrained						
Cross section	0.4%	0.4%	1.6%	1.4%	1.6%	1.9%
Detector	0.8%	0.7%	1.1%	1.5%	4.9%	0.4%
All	1.2%	1.1%	2.1%	2.2%	5.2%	2.0%

Total percentage error on sample event rates

- 7 Bonus sensitivities
 - Wrong $\sin^2(\theta_{23})$ octant exclusion (beam only)
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Hyper-Kamiokande physics



ν CP violation

Precision ν oscillation measurements

Solar ν

Proton decay

Supernova burst ν

Diffuse supernova ν background

and many more...