

What can we learn from neutrinos?

Raoru Hagiwara (KEK)

2023.02.20

@ C.A.U. BSM Workshop

SM of particle physics before 1998:

①

$$\mathcal{L}_{SM}^{(0)} = \mathcal{L}_{G.B.} + \mathcal{L}_{\phi \text{ (Higgs)}} + \mathcal{L}_F + \mathcal{L}_{\text{Yukawa}} \quad \leftarrow \text{Why?}$$

How?

$$SU(3)_C \times SU(2)_L \times U(1)_Y \quad \leftarrow \langle \phi \rangle = \begin{pmatrix} \frac{v}{\sqrt{2}} \\ 0 \end{pmatrix} \quad \phi^c = -i\sigma^2 \phi^* \quad \langle \phi^c \rangle = \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix}$$

$U(1)_{EM}$ $Y = -\frac{1}{2}$ $SU(2)$ doublet 2, $Y = +\frac{1}{2}$ } Why?

F: $Q_k = \begin{pmatrix} u_{Lk} \\ d_{Lk} \end{pmatrix}$ u_{Rk} d_{Rk} $L_k = \begin{pmatrix} \nu_{Lk} \\ e_{Lk} \end{pmatrix}$ e_{Rk} ($k=1, 2, 3$ generation/flavour index)

$SU(3)_C$	3	3	3	1	1	} GUT?
$SU(2)_L$	2	1	1	2	1	
$U(1)_Y$	$\frac{1}{6}$	$\frac{2}{3}$	$-\frac{1}{3}$	$-\frac{1}{2}$	-1	

} Why? } quantization

$$\mathcal{L}_{\text{Yukawa}} = \sum_{i,j=1}^3 \left\{ y_{ij}^u \bar{Q}_i^+ u_{Rj} \phi + y_{ij}^d \bar{Q}_i^+ d_{Rj} \phi^c + y_{ij}^e \bar{L}_i^+ e_{Rj} \phi^c + h.c. \right\}$$

$$\xrightarrow{\langle \phi \rangle} \sum_{i,j=1}^3 \left\{ M_{ij}^u u_{Li}^+ u_{Rj} + M_{ij}^d d_{Li}^+ d_{Rj} + M_{ij}^e e_{Li}^+ e_{Rj} + h.c. \right\} \Rightarrow \text{all } \nu\text{'s are massless}$$

(ν_e, ν_μ, ν_τ) in the (e, μ, τ) basis.

What we learned in 1998 ~ 2012 :

②

1998 : SK observed $\bar{\nu}_\mu$ disappearance in Atmospheric ν 's (E, L) ~ (1 GeV, 10-13000 km)

1999 ~ K2K, MINOS, NOVA, T2K, --- Accelerator based LBNO experiments

Wide Band Narrow Band (Off-Axis) (E, L) ~ (0.6-2 GeV, 250-800 km)

$\bar{\nu}_\mu$ disappearance $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ transitions

$$\Delta m_{ATM}^2 \sim (0.05 \text{ eV})^2$$
$$\theta_{ATM} \sim 45^\circ$$

(maximum)

2001 ~ SNO Solar $\nu_e \rightarrow \nu_\mu, \nu_\tau$ (deficit in ν_e , No deficit in N.C.)

2003 KamLAND Reactor $\bar{\nu}_e$ disappearance @ L ~ 200 km

(E, L) ~ (several MeV, > a few 100 km)

$$\Delta m_{SOL}^2 \sim (0.01 \text{ eV})^2$$
$$\theta_{SOL} \sim 30^\circ$$

2012 = Daya Bay, RENO, D-Chooz observed Reactor $\bar{\nu}_e$ disappearance @ L ~ 1 km

(E, L) ~ (several MeV, ~ 1 km)

$$\Delta m_{RCT}^2 = \Delta m_{ATM}^2 \sim (0.05 \text{ eV})^2$$
$$\theta_{RCT} \sim 12^\circ$$

⇒ All 3 mixing angles in 3x3 mixing matrix are determined.

$$\Delta m_{SOL}^2 = m_2^2 - m_1^2 > 0, \quad \Delta m_{ATM}^2 = |m_3^2 - m_1^2| \quad m_3 \geq m_1 \quad (\nu \text{ mass hierarchy}).$$

watter effect in the Sun

What struck us/me most by the 1998 ~ 2012 observations?

• ν 's are massive. $\Rightarrow \mathcal{L}_{SM}^{(0)}$ should be modified. \Rightarrow How?

• ν masses are very small as compared to the other masses.

$$m_1 + m_2 + m_3 > 0.06 \text{ eV } (\sqrt{\Delta m_{ATM}^2} + \sqrt{\Delta m_{SOL}^2}) \ll m_e \sim 500,000 \text{ eV.}$$

$$\lesssim \sim 1 \text{ eV (cosmology)}$$

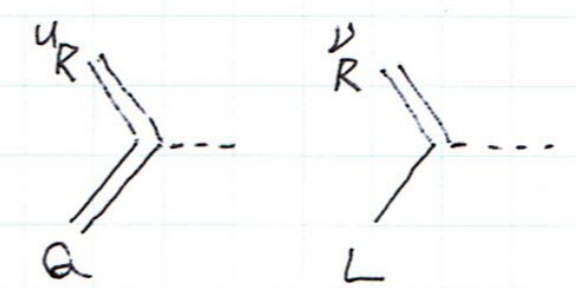
• ν mixings are very large. $\theta_{ATM} \sim 45^\circ$, $\theta_{SOL} \sim 30^\circ$, $\theta_{RCT} \sim 12^\circ \leftrightarrow \theta_{Cabibbo} \sim 9^\circ$ (largest in CKM)

\Rightarrow Observed m_ν 's are consistent with See-Saw mechanism @ GUT scale : $m_\nu \sim \frac{m_t^2}{M} \sim 0.03 \text{ eV}$ if $M \sim 10^{15} \text{ GeV}$

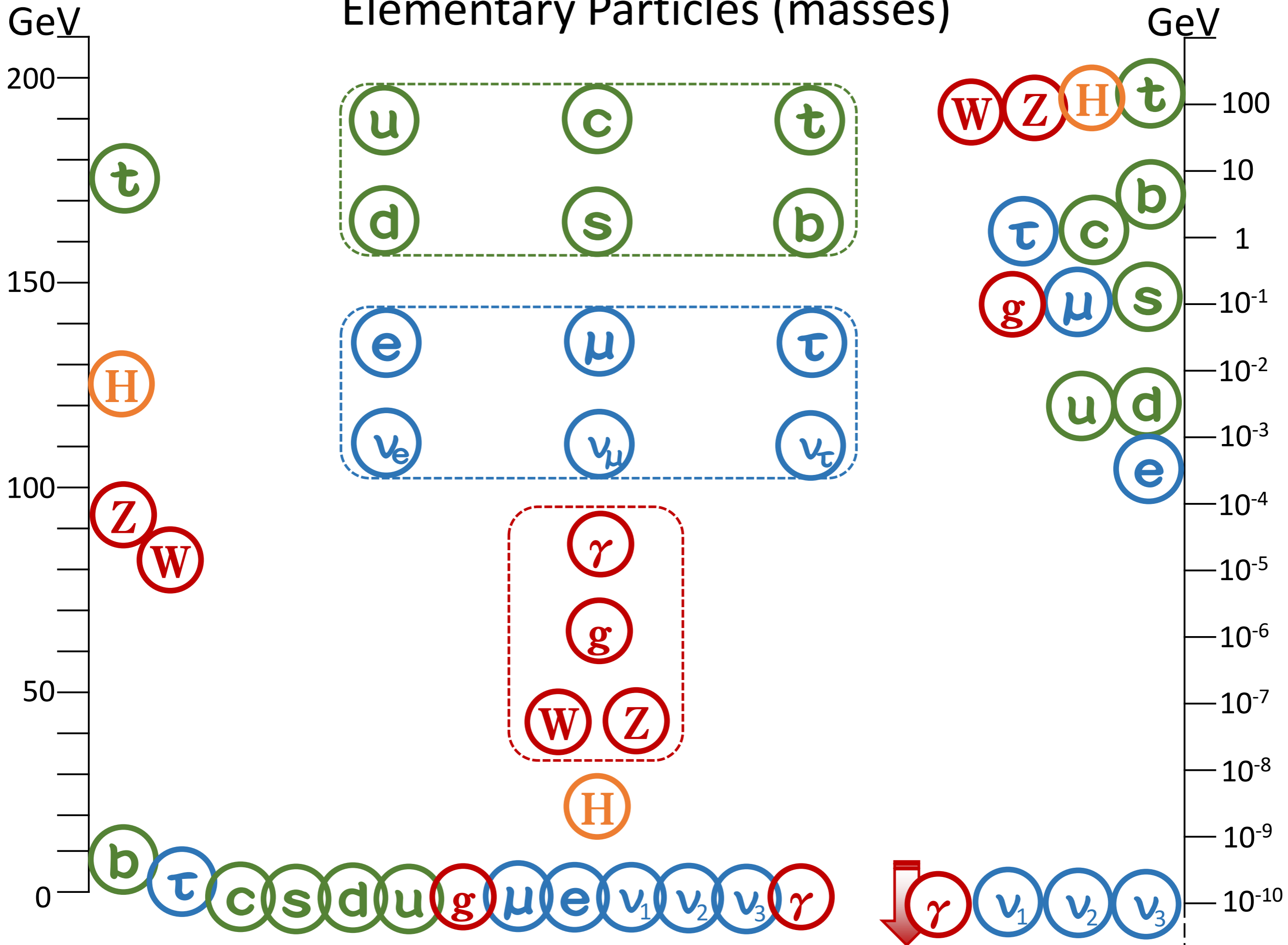
\Rightarrow Large mixing for ν 's may also have an origin @ GUT

• KH + N. Okamura (1981, 495) In SU(5), $y^u = (y^u)^T$, $y^d = (y^d)^T \Rightarrow$ some asymmetric y^q texture can give diagonal CKM and democratic MNS matrices.

• N. Haba (19807, 552) In SU(5), $\left\{ \begin{array}{l} \phi \in \underline{5}, \underline{5}^*, (d_R^c, L) \in \underline{5}^* \leftarrow \text{fundamental} \\ (Q, u_R^c, l_R^c) \in \underline{10}, \nu_R^c \in \underline{1} \leftarrow \text{composite} \end{array} \right.$



Elementary Particles (masses)



What are/should we study about ν 's ?

- ν -mass hierarchy : $m_3^2 - m_1^2 > 0$ (normal) or < 0 (inverted)

matter effect $\propto E_\nu \Rightarrow$

- two different L @ similar L/E : T2K & Korea (2004 ~ 16 slides) with N. Okamura et al
- \Rightarrow T2K & DUNE
- Atmospheric ν @ high energies (precision) : PINGU (2013 with S.F. Ge, CRH)
- \Rightarrow SK & HK

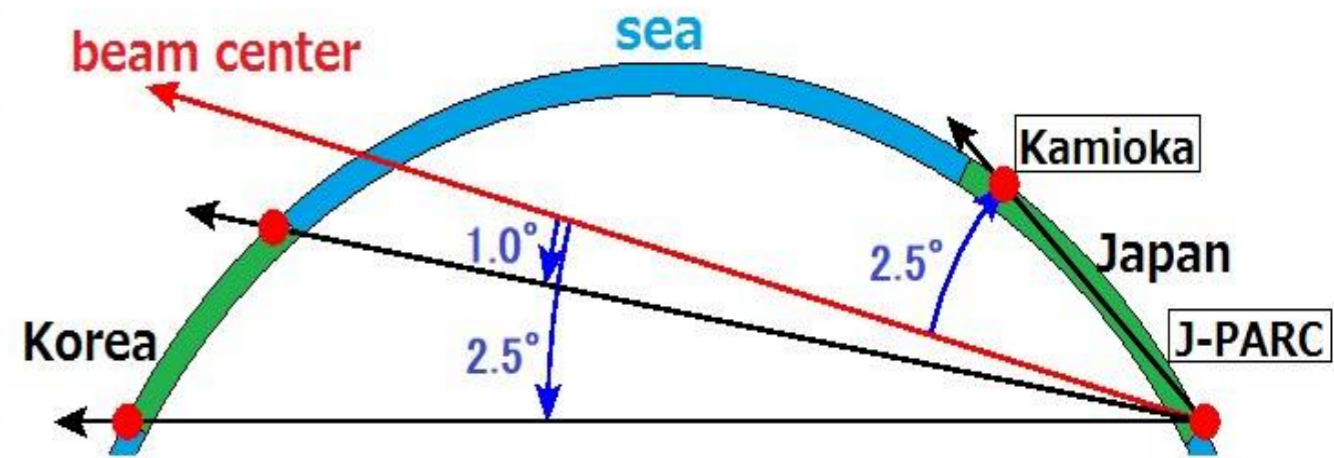
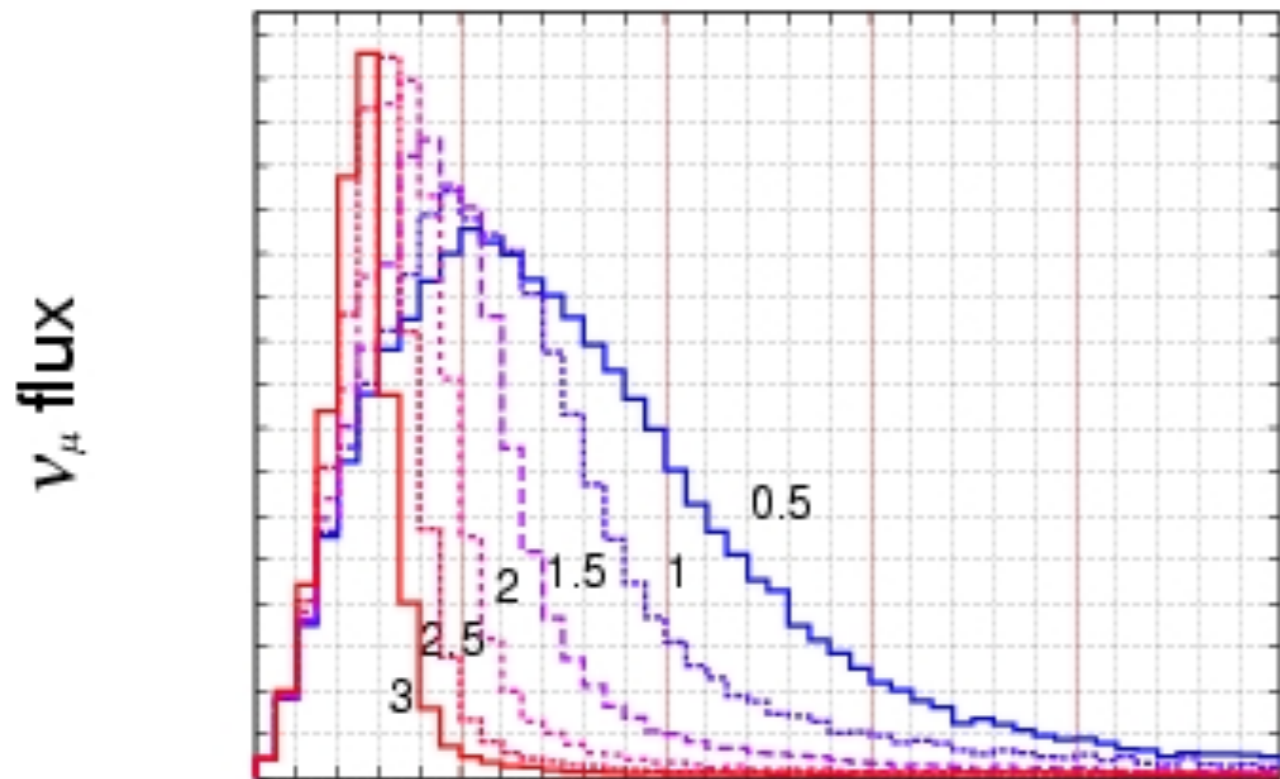
relative phase between $(m_3^2 - m_1^2)$ & $(m_2^2 - m_1^2)$ oscillations \Rightarrow JUNO

Reactor $\bar{\nu}_e$ ($E_\nu \sim$ several MeV) @ $L \sim 60$ km

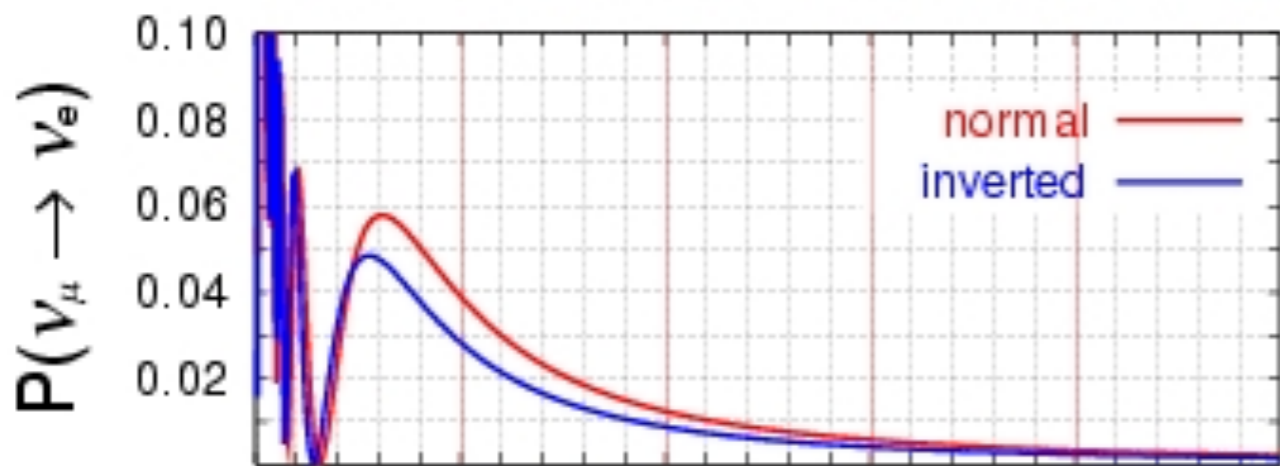
- CPV in ν -mixing matrix \Rightarrow Hint from T2K ($\nu_\mu \rightarrow \nu_e$ vs $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$) ; resolution of mass hierarchy is necessary to determine δ .

- Dirac or Majorana mass ? \Rightarrow $\cancel{0}\nu\beta\beta$ decay expts. (LNV !)

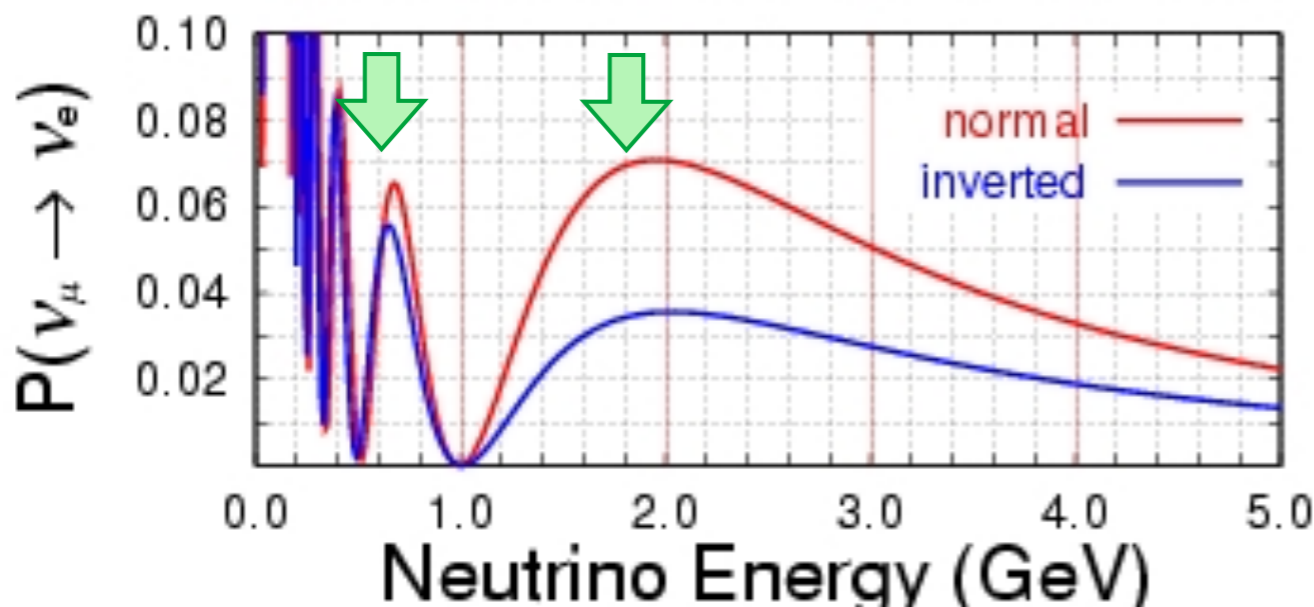
- More ν 's ? \Rightarrow
 - Sterile ν (ν_R) searches \Rightarrow oscillations ? collider production ?
 - Non-unitarity of 3×3 mixing matrix.



← Profile of off-axis beams



← $P(\nu_\mu \rightarrow \nu_e)$ at SK



← $P(\nu_\mu \rightarrow \nu_e)$ at Korea
($L=1000\text{km}$)

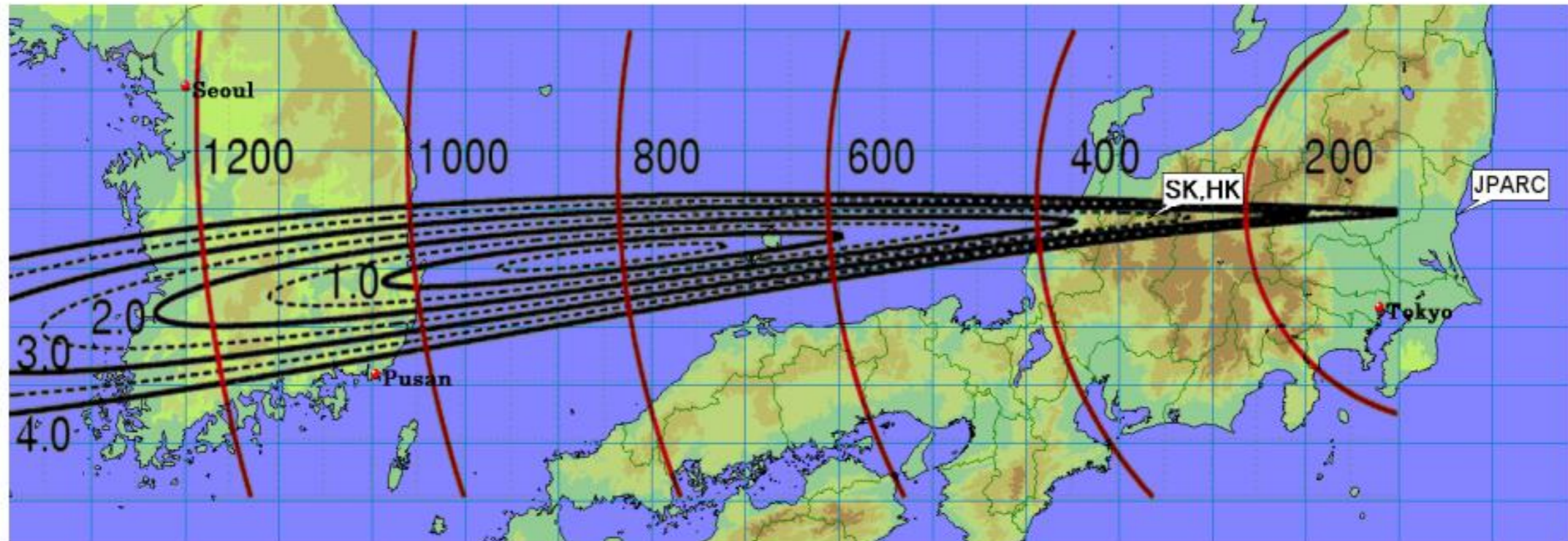


Figure 8. The fate of the OAB 2.5 degree beam from J-PARC. Surface view.

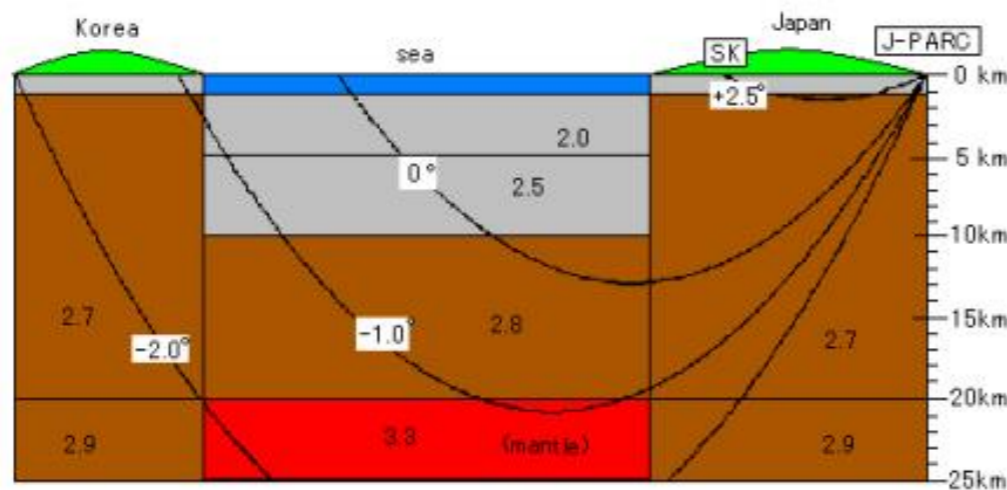


Figure 9. The fate of the OAB 2.5 degree beam from J-PARC. Vertical view.

6. High energy super beam to China

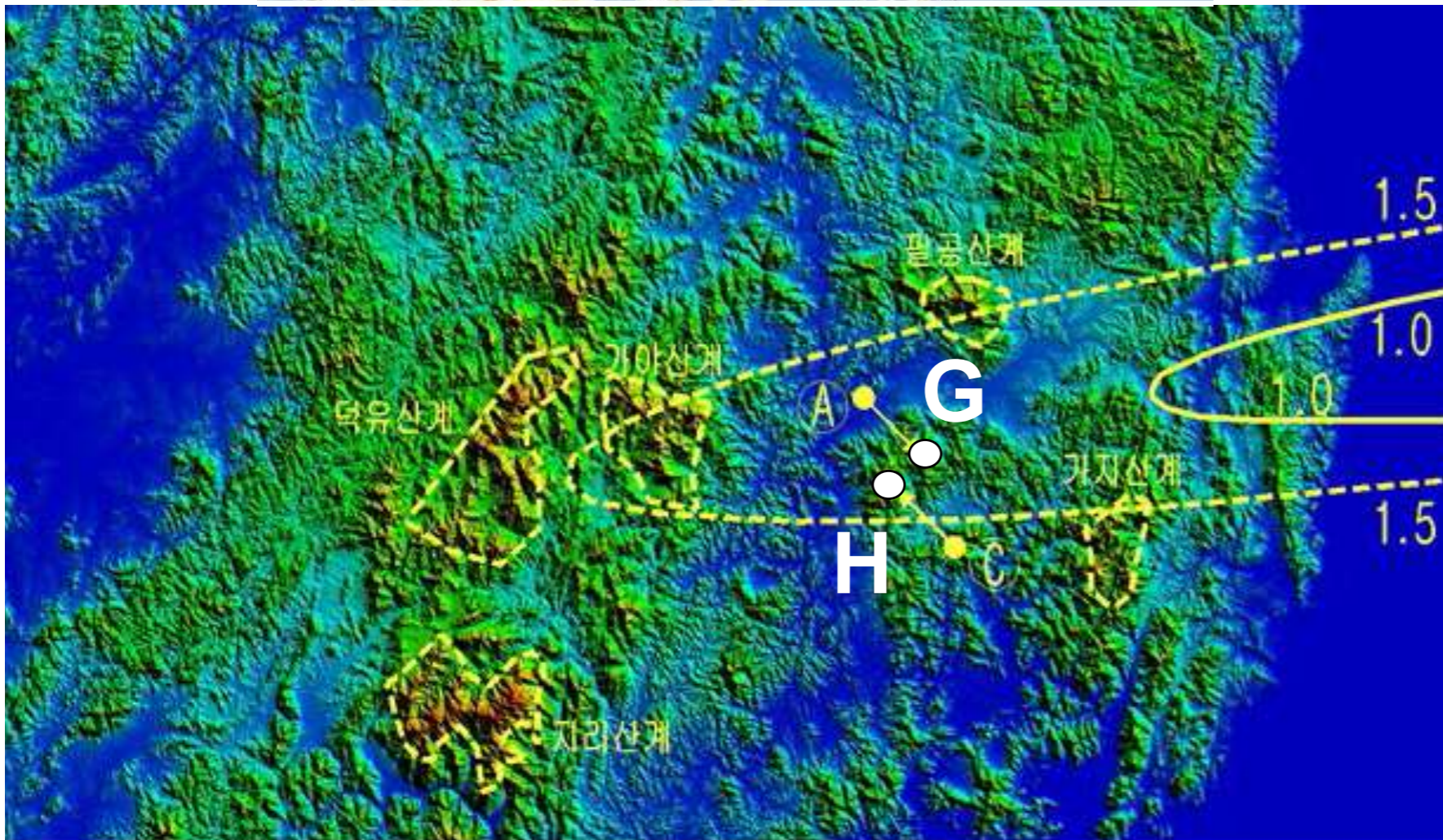
Although we do not yet know if there are strong enough interests in constructing a huge neutrino detector in Korea, strong interests have been expressed by our Chinese colleagues about the possibility of sending super neutrino beams from J-Parc at Tokai to somewhere in mainland China. A possible 100 kton level water Čerenkov detector BAND (Beijing Astrophysics and Neutrino Detector) [25] has been proposed, and if it will be placed in Beijing, the baseline length from Tokai will be about $L=2,100$ km. The unique capability of the BAND detector is that it is a segmented

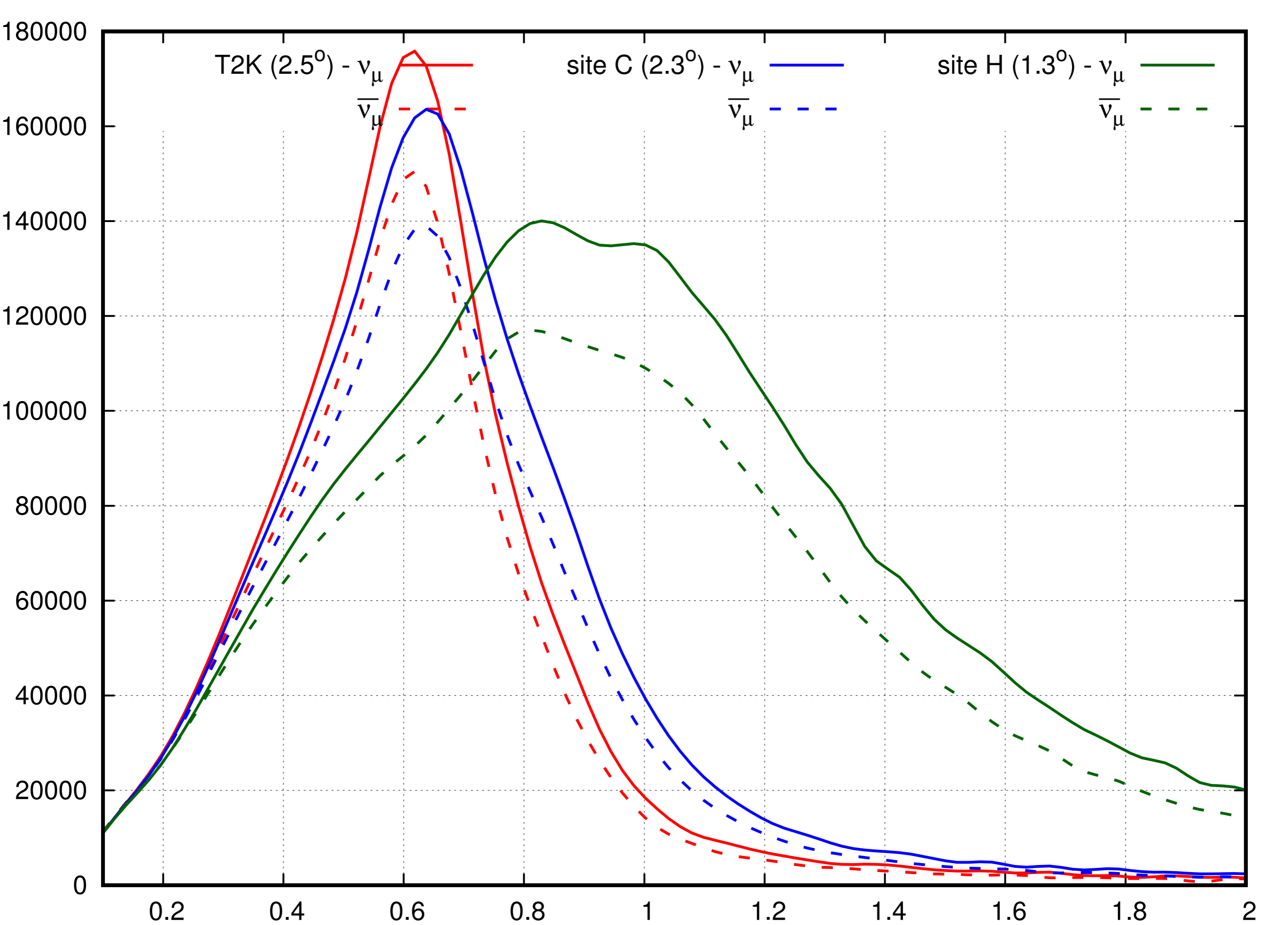
Search for candidate sites in Korea (OAB: 1.0~1.5°)

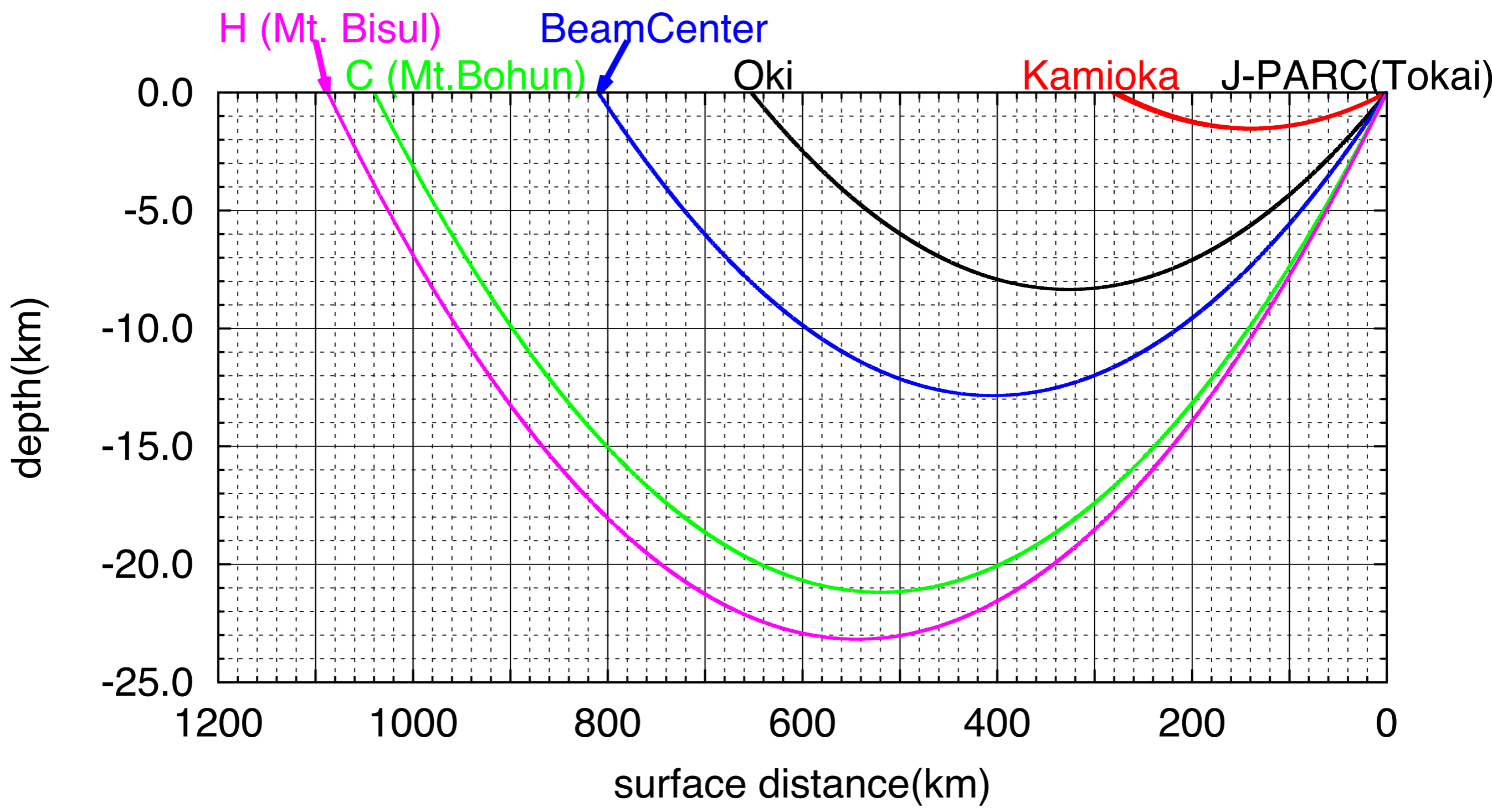


G : Mt. Choejung
(906 m high)
[granite porphyry, andesitic breccia]

H : Mt. Bisul
(1,084 m high)
[granite porphyry, andesitic breccia]







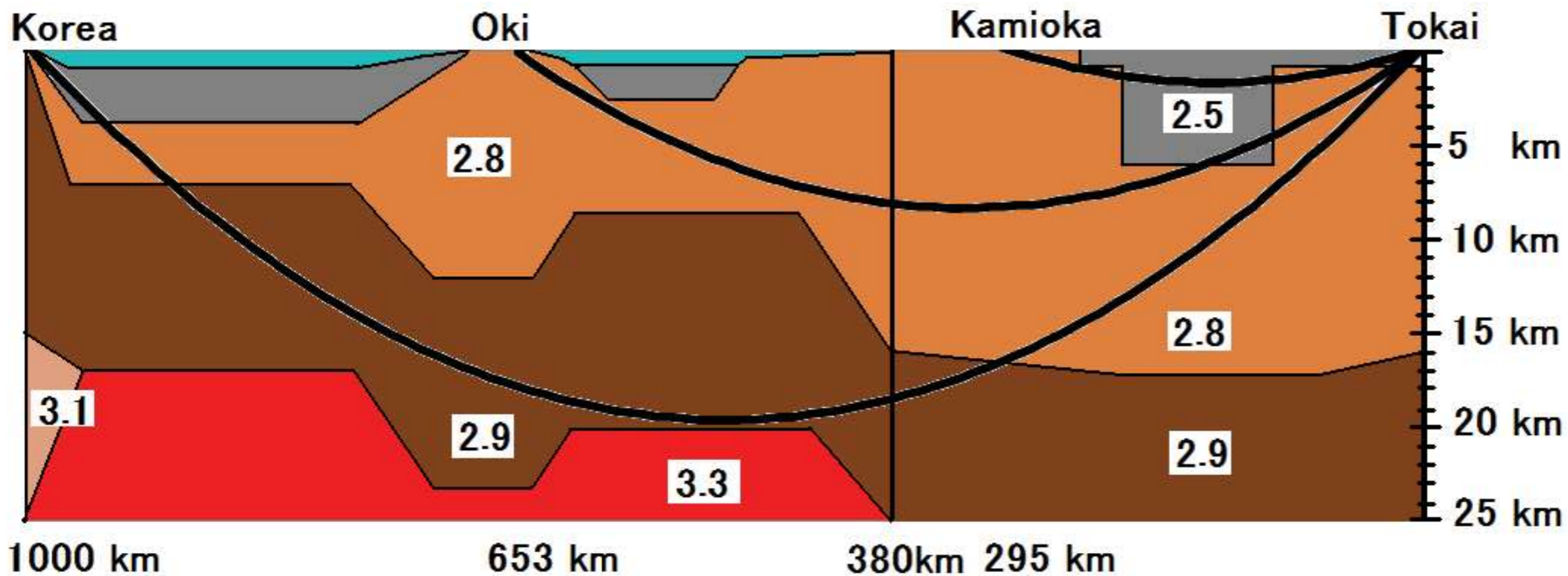
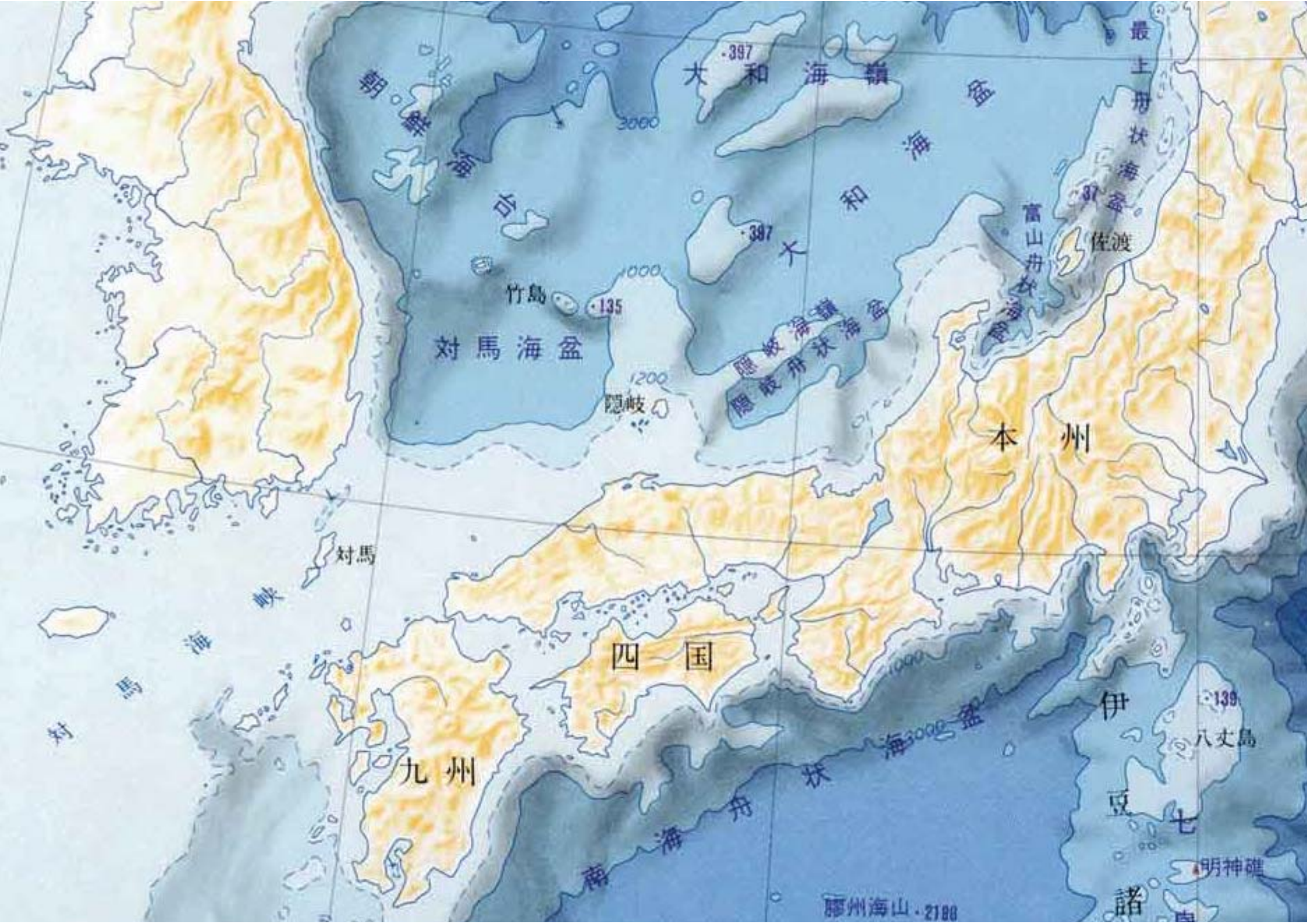
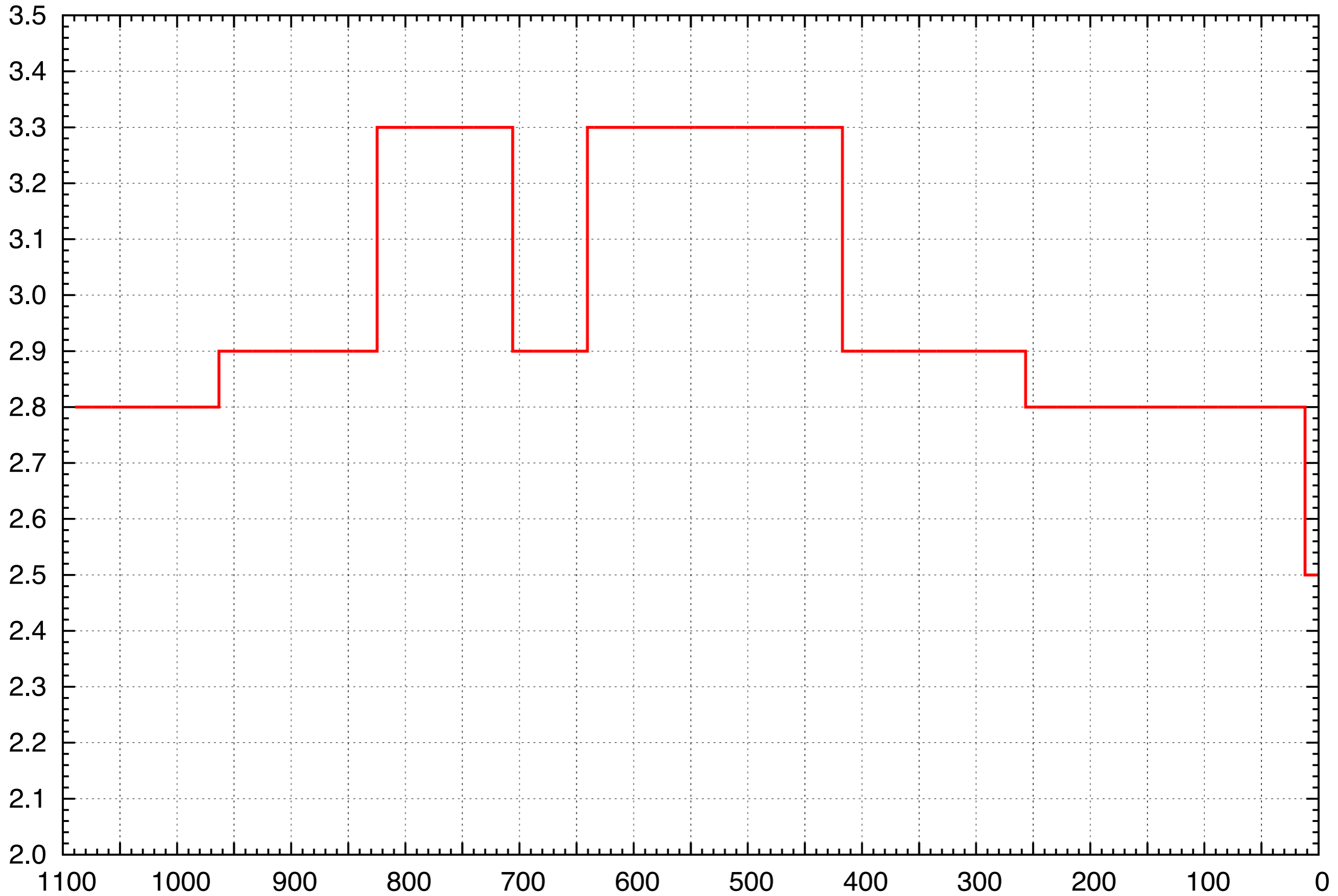


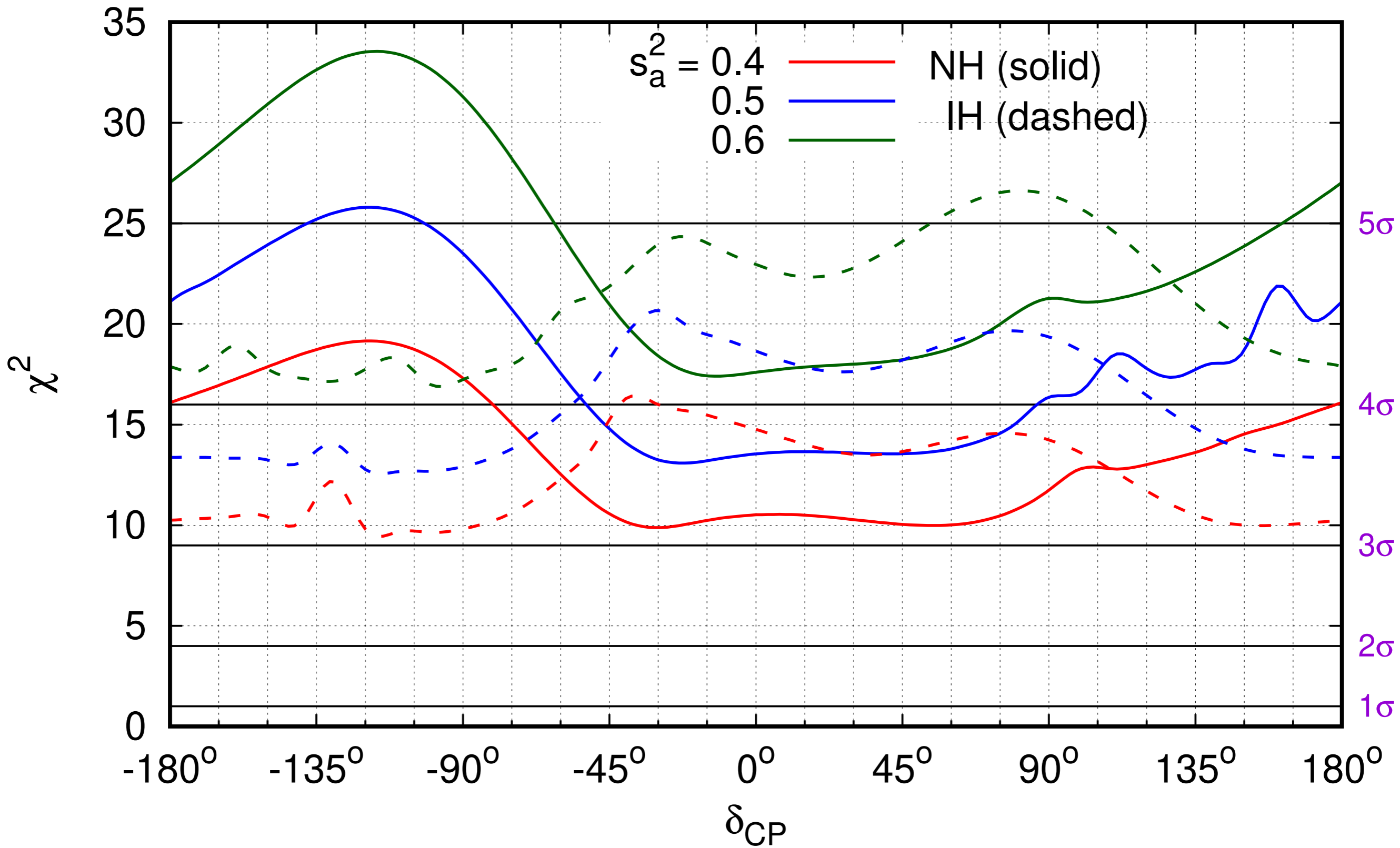
Figure 2: The cross section view of the T2K, T2KO, and T2KK experiments along the baselines, which are shown by the three curves. The horizontal scale gives the distance from J-PARC along the arc of the earth surface and the vertical scale measures the depth of the baseline below the sea level. The numbers in the white boxes are the average matter density in units of g/cm^3 [35]-[42].



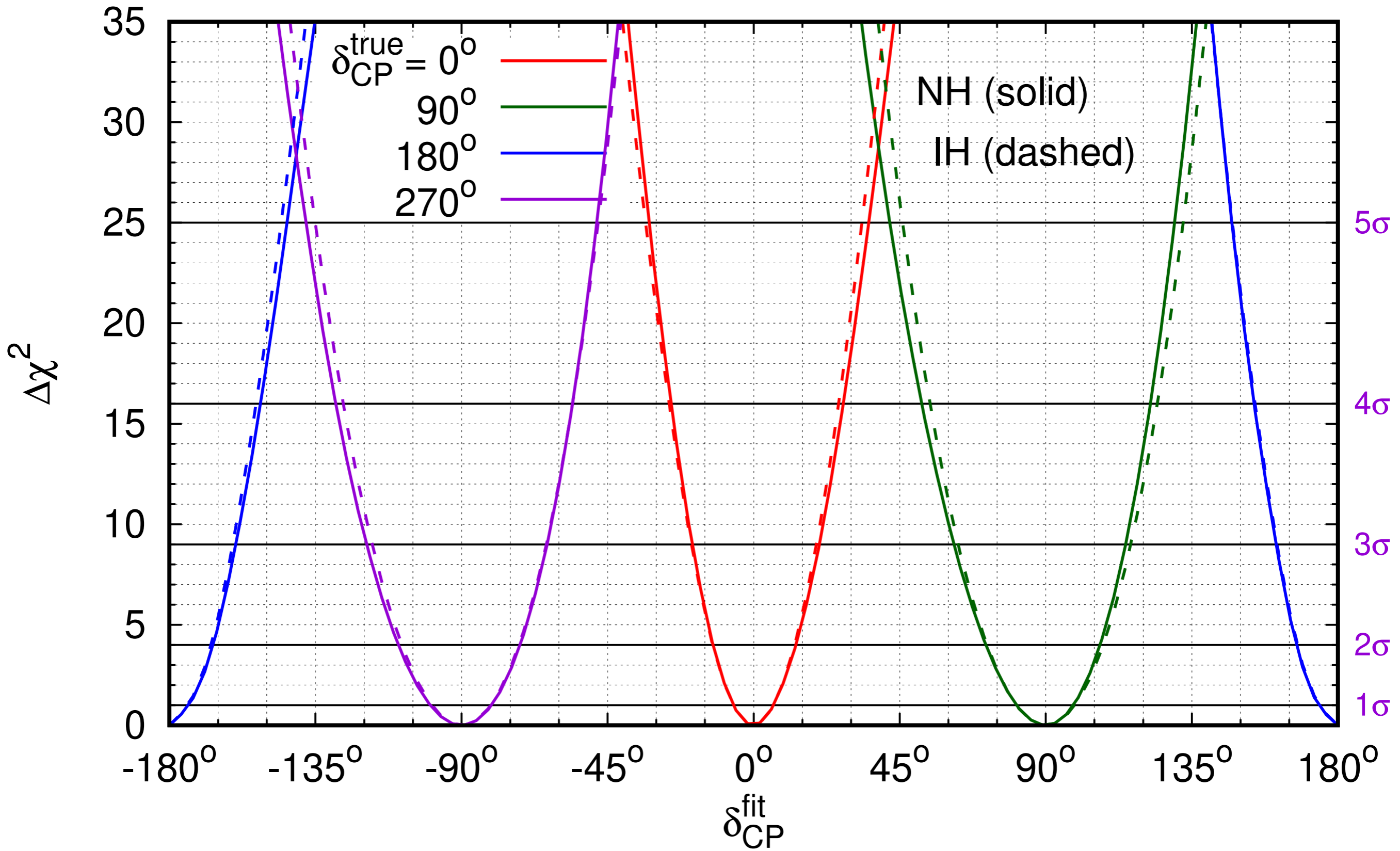
Site H avg : 2.99 g/cm³



The Mass Hierarchy Sensitivity at T2HKK-H (1 year)



CP sensitivity at T2HKK-H (10 years)



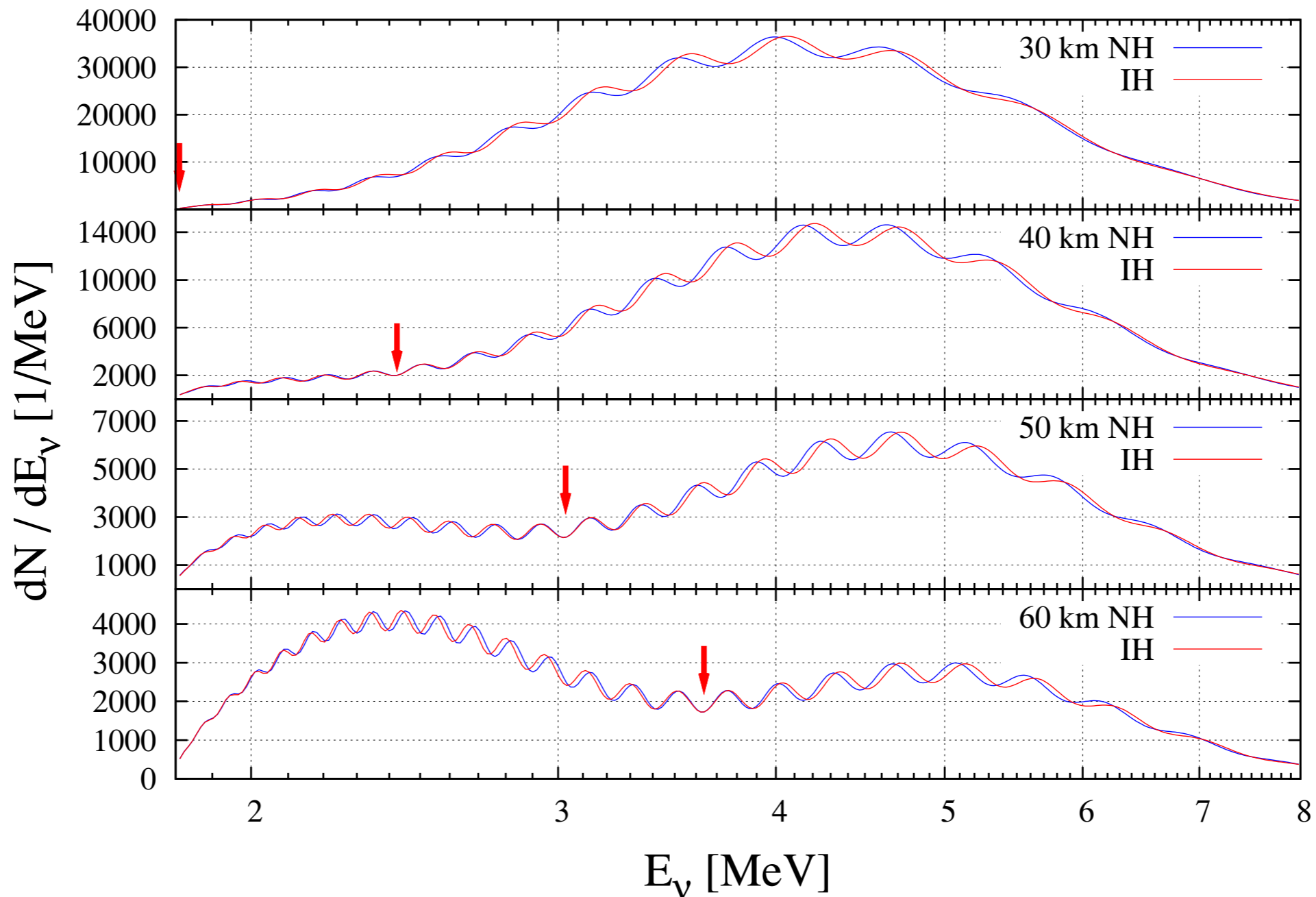
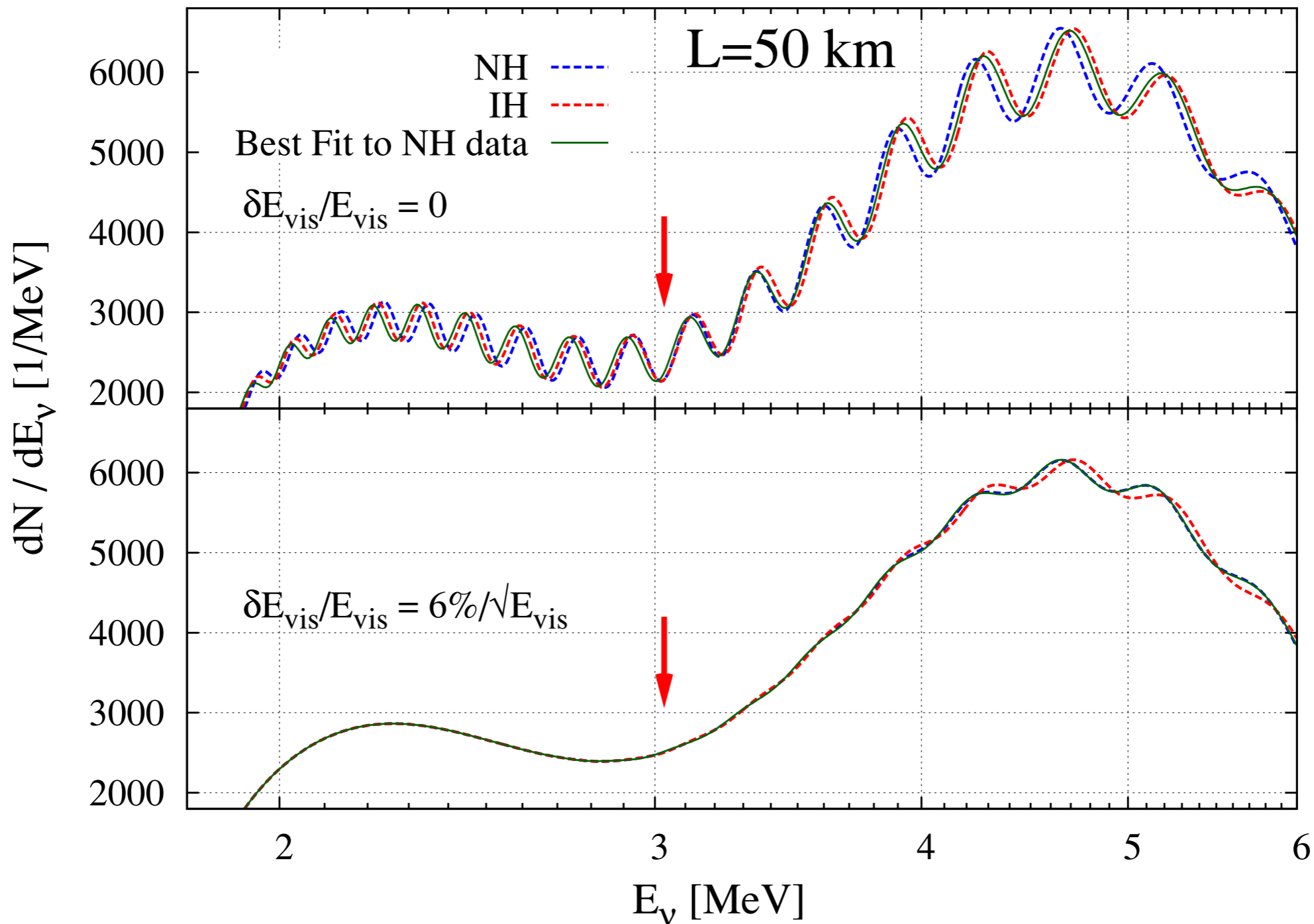


Figure 1. The energy distributions of reactor antineutrino events after $20 \text{ GW}_{\text{th}} \cdot 5 \text{ kt}$ (12% free-proton weight fraction) $\cdot 5 \text{ yrs}$ exposure at the baseline lengths $L = 30, 40, 50$ and 60 km, in the top-down order. The blue curves are for NH, while the red ones for IH. The red arrows indicate the energies at which the difference due to mass hierarchy vanishes.

Figure 2. The energy distribution of reactor antineutrinos with baseline length $L = 50$ km and $20 \text{ GW}_{\text{th}} \cdot 5 \text{ kt}$ (12% free-proton weight fraction) $\cdot 5 \text{ yrs}$ exposure. **Upper:** the case with exact E_ν measurement where the dashed blue and dashed red curves are for NH and IH, respectively. The solid curve shows the best fit of IH assumption to the NH data. The red arrow points out the energy at which the difference due to mass hierarchy vanishes. **Lower:** $6/\sqrt{E_{\text{vis}}}$ % energy resolution case.



How should we modify \mathcal{L}_{SM} to accommodate ν masses?

① Add ν_R 's : $\Delta \mathcal{L}^{(1)} = \sum_{K=1}^3 \nu_{RK}^\dagger i \partial_\mu \sigma_\mu^+ \nu_{RK} + \sum_{ij=1}^3 \left\{ y_{ij}^\nu L_i^\dagger \nu_{Rj} \phi + h.c. \right\}$

$\Rightarrow \nu_{RK}$'s have no gauge interactions $(1, 1, 0)$, $(y^\nu)_{max} \sim \frac{0.05 eV}{v/\sqrt{2}} \sim 10^{-13} \ll y^t \sim 1, y^e \sim 10^{-6}$

② Add dim-5 operators : $\Delta \mathcal{L}^{(2)} = \sum_{ij=1}^3 \left\{ \frac{y_{ij}^\nu}{2\Lambda} (\phi^\dagger L_i) \cdot (\phi^\dagger L_j) + h.c. \right\}$

$\Rightarrow m_\nu \sim \frac{y^\nu v^2}{2\Lambda}$ \Rightarrow tiny m_ν if $\Lambda \gg v$ $\psi_L \cdot \psi_L = (\psi_L^c)^\dagger \psi_L = (-i\sigma^2 \psi_L^*)^\dagger \psi_L = \psi_L^T (i\sigma^2) \psi_L$

\Rightarrow Majorana mass : $m_\nu \nu_L \cdot \nu_L + h.c. \Rightarrow$ LNV

③ Add ν_R 's and large ν_R mass : $\Delta \mathcal{L}^{(3)} = \Delta \mathcal{L}^{(1)} + \sum_{ij=1}^3 \left\{ M_{ij} \nu_{Ri} \cdot \nu_{Rj} + h.c. \right\}$

\Rightarrow reduces to $\Delta \mathcal{L}^{(2)}$ in the $M \gg v$ limit (See-Saw) : $m_\nu \sim \frac{D^2}{M}$ with $D \sim y^\nu v$

\Rightarrow fits well to GUT : $SO(10) \rightarrow SU(5)$ @ the scale of M (ν_R mass & Z' mass)

: 16 fermions in 1 generation $\left\{ \underbrace{Q}_{10}, \underbrace{\psi_R^c}_{5^*}, \underbrace{l_R^c}_{1}, \underbrace{d_R^c}_{5^*}, L, \nu_R^c \right\} = \left\{ 6+3+1+3+2+1=16=2^4 \right\}$
 spinor rep. of $SO(10)$

Lorentz transformation of ψ_L & ψ_R (exercises for theory students)

6

$$\psi_L \rightarrow \psi_L' = S_L(\theta_k, \eta_k) \psi_L \quad ; \quad S_L(\theta_k, \eta_k) = \exp \left\{ -i \sum_{k=1}^3 \frac{\sigma^k}{2} (\theta_k - i \eta_k) \right\} = \exp \left\{ \sum_{k=1}^3 \frac{\sigma^k}{2} (-i \theta_k - \eta_k) \right\}$$

$$\psi_R \rightarrow \psi_R' = S_R(\theta_k, \eta_k) \psi_R \quad ; \quad S_R(\theta_k, \eta_k) = \exp \left\{ -i \sum_{k=1}^3 \frac{\sigma^k}{2} (\theta_k - i \eta_k) \right\} = \exp \left\{ \sum_{k=1}^3 \frac{\sigma^k}{2} (-i \theta_k + \eta_k) \right\}$$

• show $S_L^\dagger(\theta_k, \eta_k) S_R(\theta_k, \eta_k) = S_R^\dagger(\theta_k, \eta_k) S_L(\theta_k, \eta_k) = 1 \Rightarrow \psi_L^\dagger \psi_R, \psi_R^\dagger \psi_L$ invariant

• show $\begin{cases} i \sigma^2 \psi_R^* = \psi_R^c \rightarrow \psi_R^{c'} = S_L(\theta_k, \eta_k) \psi_R^c \Rightarrow (\psi_R^c)^\dagger \psi_R = \psi_R^\dagger (-i \sigma^2) \psi_R \\ -i \sigma^2 \psi_L^* = \psi_L^c \rightarrow \psi_L^{c'} = S_R(\theta_k, \eta_k) \psi_L^c \Rightarrow (\psi_L^c)^\dagger \psi_L = \psi_L^\dagger (i \sigma^2) \psi_L \end{cases}$
 $\equiv \psi_R \cdot \psi_R$
 $\equiv \psi_L \cdot \psi_L$

• show $\begin{cases} S_L^\dagger(\theta_k, \eta_k) \sigma_-^M S_L(\theta_k, \eta_k) = L_{\nu}^M(\theta_k, \eta_k) \sigma_-^M \Rightarrow \psi_L^\dagger \sigma_-^M \psi_L \rightarrow L_{\nu}^M \psi_L^\dagger \sigma_-^M \psi_L \\ S_R^\dagger(\theta_k, \eta_k) \sigma_+^M S_R(\theta_k, \eta_k) = L_{\nu}^M(\theta_k, \eta_k) \sigma_+^M \Rightarrow \psi_R^\dagger \sigma_+^M \psi_R \rightarrow L_{\nu}^M \psi_R^\dagger \sigma_+^M \psi_R \end{cases}$

where $\sigma_{\pm}^M = (1, \pm \vec{\sigma})$ $L_{\nu}^M(\theta_k, \eta_k) = \exp \left\{ -i \sum_{k=1}^3 (J^{kM}_{\nu} \theta_k + K^{kM}_{\nu} \eta_k) \right\}$

Cosmological connection (Baryon Asymmetry of Universe \Rightarrow LNV + CPV)

• Anomaly in QCD: $\Delta L \sim \epsilon_{\mu\nu\rho\sigma} F^{a\mu\nu} F^{a\rho\sigma} \Rightarrow$ integer index (winding #) from surface integral
 \Rightarrow different # vacuums are connected by Instantons
 \Rightarrow the lowest energy vacuum becomes θ vacuum
 \Rightarrow P & CP violation \Rightarrow P-Q symmetry \Rightarrow axion

• Anomaly in $SU(2)_L$: $\Delta L \sim \epsilon_{\mu\nu\rho\sigma} W^{k\mu\nu} W^{k\rho\sigma} \Rightarrow$ # = B+L in EW theory
 \Rightarrow different B+L vacuums are connected by Sphalerons
 \Rightarrow Sphaleron transitions are not suppressed @ $T > 0$ (15).

\Rightarrow All GUT generated BAU are washed out by Sphaleron transitions if $\Delta(B-L) = 0$.

\Rightarrow If $M \nu_R \nu_R$ gives LN asymmetry, since $\Delta L = \Delta(L-B) \neq 0 \Rightarrow$ BAU is generated @ $T < 0$
 LNV + CPV + long-living ν_R : Lepto-genesis

Can we learn about Leptogenesis from terrestrial experiments ?

• Probably not if $M \gg v$ (natural scenario in $SO(10) \rightarrow SU(5) @ M$ since $m_{Z'} \sim O(M)$).

because $\nu_{e,\mu,\tau} - \nu_R$ mixing is very small $\sim \frac{D}{M} \sim 10^{-10}$

• What if $M \lesssim O(v)$?

$\Rightarrow SO(10)$ picture should be given up because no evidence of Z'

\Rightarrow Leptogenesis may be possible with degenerate ν_R 's (resonance enhancements)

\Rightarrow CPV and LNV and out-of-equilibrium in ν MSM (ν_R -oscillations)

• Since the possibility of ν_R 's within our experimental reach is very interesting,

I made an elementary calculation a few years ago. \Rightarrow no encouraging results.

\Rightarrow no paper.

I studied 6x6 symmetric mass matrix of the form

$$\mathcal{L}_{mass} = \frac{1}{2} (\nu_e, \nu_\mu, \nu_\tau, \nu_{R1}^c, \nu_{R2}^c, \nu_{R3}^c) \cdot M (\nu_e, \nu_\mu, \nu_\tau, \nu_{R1}^c, \nu_{R2}^c, \nu_{R3}^c)^T + h.c.$$

with

$$M = \begin{pmatrix} 0 & 0 & 0 & d_{e1} & d_{e2} & d_{e3} \\ 0 & 0 & 0 & d_{\mu 1} & d_{\mu 2} & d_{\mu 3} \\ 0 & 0 & 0 & d_{\tau 1} & d_{\tau 2} & d_{\tau 3} \\ * & & & M_1 & 0 & 0 \\ & & & 0 & M_2 & 0 \\ & & & 0 & 0 & M_3 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_{R1}^c \\ \nu_{R2}^c \\ \nu_{R3}^c \end{pmatrix}$$

and study its eigenvalues $\det(M^\dagger M - \lambda I) = (\lambda - \lambda_1)(\lambda - \lambda_2)(\lambda - \lambda_3)(\lambda - \lambda_4)(\lambda - \lambda_5)(\lambda - \lambda_6) = 0$

\Rightarrow request $\lambda_1 = \lambda_2 = \lambda_3 = 0 \Rightarrow$

$$M_{6 \times 6} = \begin{pmatrix} 0 & 0 & 0 & 0 & a & 0 \\ 0 & 0 & 0 & 0 & b & 0 \\ 0 & 0 & 0 & 0 & c & 0 \\ 0 & 0 & 0 & 0 & M & 0 \\ a & b & c & M & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & M' \end{pmatrix}$$

unique solution!

\Rightarrow LN conservation $(\nu_e, \nu_\mu, \nu_\tau, \nu_{R1}^c)$ have $L=1$ ν_{R2}^c has $L=-1$

$$M \nu_{R1}^c \cdot \nu_{R2}^c + h.c. \Rightarrow \text{Dirac } N = \begin{pmatrix} \nu_{R1}^c \\ \nu_{R2}^c \end{pmatrix}$$

$$M' \nu_{R3}^c \cdot \nu_{R3}^c + h.c. \Rightarrow \text{Majorana but decouples.}$$

In this solution, $a/M, b/M, c/M$ give mixing between $\nu_e - \nu_R, \nu_\mu - \nu_R, \nu_c - \nu_R$

\Rightarrow can be as large as a few % which is allowed by lepton universality constraints.

I then tried to obtain observed $\Delta m_{ATM}^2, \Delta m_{SOL}^2$ and V_{MNS} by adding tiny Majorana masses like

$$M_{6 \times 6} = \begin{pmatrix} 0 & 0 & 0 & 0 & a & 0 \\ 0 & 0 & 0 & 0 & b & 0 \\ 0 & 0 & 0 & 0 & c & 0 \\ 0 & 0 & 0 & \mu_{11} & M & \mu_{13} \\ a & b & c & M & \mu_{22} & \mu_{23} \\ 0 & 0 & 0 & * & * & M' \end{pmatrix}$$

μ_{11} gives $m_\nu \sim \mu_{11}$ @ tree-level (inverse See-Saw)
 μ_{22} " μ_{22} @ 1-loop (")
 μ_{13}, μ_{23} " "

But no matter how I change μ_{ij} 's, I could obtain only one massive ($\nu_e + \nu_\mu + \nu_c$) combination.

This is because the (ν_e, ν_μ, ν_c) mass matrix is dictated by an vector, (a, b, c) . \Rightarrow rank 1 (T. Yamada)

In order to obtain two Δm^2 's and realistic V_{MNS} , we should have something like

$$M_{6 \times 6} = \begin{pmatrix} 0 & 0 & 0 & a' & a & 0 \\ 0 & 0 & 0 & b' & b & 0 \\ 0 & 0 & 0 & c' & c & 0 \\ a' & b' & c' & \mu_{11} & M & 0 \\ a & b & c & M & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & M' \end{pmatrix}$$

explicit LNV couplings (a', b', c') of order $\sqrt{\Delta m^2}$'s

It was
 \Rightarrow stopped (not very attractive to me).
 \Rightarrow may be worth studying pheno!