

# From Dilaton Effective Field Theory to the Composite Higgs

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Higgs and Effective Field Theory, Manchester

# Outline

- 1 Introduction
- 2 The Dilaton EFT
- 3 Lattice Data
- 4 Beyond Leading Order
- 5 Composite Higgs
- 6 Outlook

# Near-Conformal Gauge Theories I

Consider  $SU(N_c)$  gauge theories with  $N_f$  fermions:

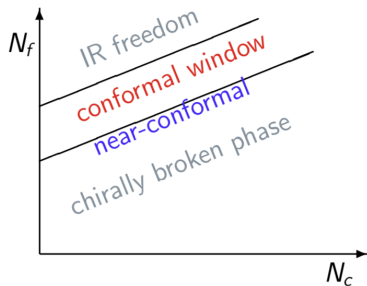


Figure: Phase diagram obtained from PoS Lattice 2018, 006 (2019).

- $N_f > \frac{11}{2} N_c$ : Not asymptotically free.
- $\frac{11}{2} N_c > N_f > N_{fc}$ : Asymptotically free, but approaches conformality in IR.
- $N_{fc} > N_f$ : Confinement. Low energy states are colorless composites.
- Can generalize to other gauge groups and scalar matter.

# Near-Conformal Gauge Theories II

- Near-conformal gauge theories *confine*.

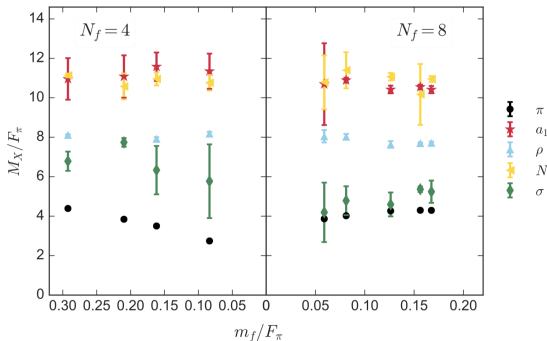
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- Near-conformal gauge theories *confine*.
- But only just. The field content is chosen to ensure that they lie just beneath the boundary of the conformal window.
- There is also evidence for a light scalar composite forming in these gauge theories, unlike in QCD.

# Evidence for a Light Scalar I



**Figure:** Lattice data for the masses of composites in two SU(3) gauge theories from the Lattice Strong Dynamics (LSD) collaboration: Phys. Rev. D **99**, 014509 (2019).

## Evidence for a Light Scalar II

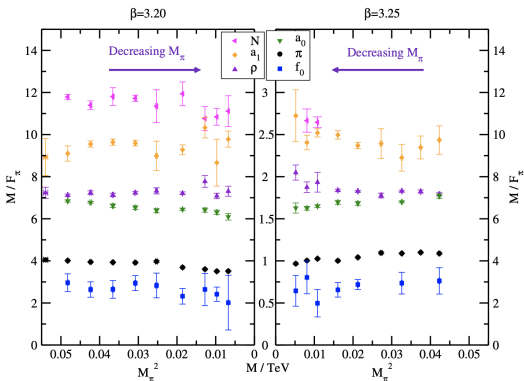


Figure: Lattice data for the masses of composites SU(3) gauge theories with  $N_f = 2$  fermions in 2-index symmetric rep. From the LatHC collaboration: PoS LATTICE2015 (2016) 219.

# The Program in a Nutshell

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- Extract values of free parameters from the lattice data.

## Ultimate goal

Understand the properties of Composite Higgs models based on near-conformal gauge theories.

# Dilaton EFT

Reviewed in Universe 9 (2023) 1, 10 with T. Appelquist and M. Piai.

## Field Content

- i  $N_f^2 - 1$  NGB fields  $\pi^a$   
 $\Sigma = \exp\{2i\pi^a T^a / F_\pi\}$   
 $\langle \Sigma \rangle = \mathbb{1}$
- ii Dilaton field  $\chi$   
 $\langle \chi \rangle = F_d$

See also dilaton EFT of Golterman and Shamir: Phys.Rev.D **94** (2016)

## Symmetries

### Chiral Symmetry

$$SU(N_f)_L \times SU(N_f)_R \rightarrow SU(N_f)_V$$

$$\Sigma \rightarrow L \Sigma R^\dagger$$

### Scale Invariance

$$\text{Scale} \times \text{Poincaré} \rightarrow \text{Poincaré}$$

$$\chi(x) \rightarrow e^\lambda \chi(e^\lambda x)$$

# Leading Order Lagrangian

$$\mathcal{L}_{\text{LO}} = \mathcal{L}_\pi + \mathcal{L}_m + \mathcal{L}_d - V_\Delta$$

## Kinetic term for the NGBs

$$\mathcal{L}_\pi = \frac{f_\pi^2}{4} \left( \frac{\chi}{f_d} \right)^2 \text{Tr} \left[ \partial_\mu \Sigma \partial^\mu \Sigma^\dagger \right] \quad (1)$$

- Similar to NGB kinetic term in chiral Lagrangian.
- Dependence on compensator field  $\chi$  is determined by scale invariance.
- Expect  $f_\pi \sim f_d$  set by confinement scale.

# Leading Order Lagrangian

## Chiral Symmetry Breaking Term

$$\mathcal{L}_m = \frac{mB_\pi f_\pi^2}{2} \left( \frac{\chi}{f_d} \right)^y \text{Tr} \left[ \Sigma + \Sigma^\dagger \right] \quad (2)$$

- Fermion mass breaks both scale and chiral symmetry.
- Parameter  $y$  has been identified with scaling dimension of  $\bar{\psi}\psi$  above the confinement scale: Nucl. Phys. B **323**, 493 (1989).

$$\mathcal{L}_m = N_f mB_\pi f_\pi^2 \left( \frac{\chi}{f_d} \right)^y - mB_\pi \left( \frac{\chi}{f_d} \right)^y \pi^a \pi^a + \dots$$

# Leading Order Lagrangian

## Dilaton Kinetic Term

$$\mathcal{L}_d = \frac{1}{2} (\partial_\mu \chi)^2 \quad (3)$$

- Has engineering dimension of 4, consistent with scale invariance.

# Leading Order Lagrangian

## Dilaton Potential I

$$V_{\Delta} = \frac{m_d^2 \chi^4}{4(4 - \Delta) f_d^2} \left[ 1 - \frac{4}{\Delta} \left( \frac{f_d}{\chi} \right)^{4-\Delta} \right]. \quad (4)$$

- Potential contains a scale invariant term ( $\sim \chi^4$ ) and a deformation ( $\sim \chi^{\Delta}$ ), which explicitly violates scale invariance.
- This potential has a minimum at  $\chi = f_d$ , and a weak curvature  $m_d^2 \ll (4\pi f_d)^2$ .
- For  $\Delta < 4$ ,  $V_{\Delta}$  grows as  $\chi^4$  for large  $\chi$ .
- For  $\Delta > 4$ ,  $V_{\Delta}$  grows as  $\chi^{\Delta}$  for large  $\chi$ .
- Potentials of this form are discussed in e.g: Rattazzi & Zaffaroni JHEP **0104**, 021 (2001), GGS PRL.**100** 111802, (2008), CCT PRD.**100** 095007 (2019).

# Lattice Calculation of Scattering Phase Shift

Phys.Rev.D **105** (2022) with LSD Collaboration

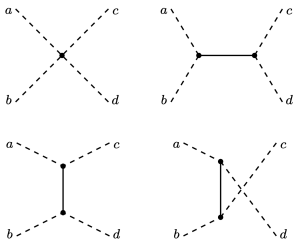
[M. Lüscher NPB 354(1991)]

$$k^2 = \frac{1}{4} E_{\pi\pi}^2 - M_\pi^2 \quad (5)$$

$$k \cot \delta(k) = \frac{2\pi}{L} \pi^{-3/2} Z_{00} \left( 1, \frac{k^2 L^2}{4\pi^2} \right) \quad (6)$$

- Restrict ourselves to  $l = 2$  channel.
- $E_{\pi\pi}$  is the two-PNGB ground state energy.
- Measured at finite volume ( $L$ ) on the lattice from a fit to a two point correlation function of two PNGB operators. Schematically:  
 $C(t) \sim \langle \mathcal{O}^{l=2}(t) \mathcal{O}^{\dagger l=2}(0) \rangle$  where  $\mathcal{O}^{l=2} \sim \pi\pi$ .

# $l = 2$ Scattering Length



- Scattering amplitude at threshold =  $M_\pi a^{l=2}$
- First diagram, same as  $\chi$ PT. The others only arise for light scalar (dilaton).

$$M_\pi a^{l=2} = -\frac{M_\pi^2}{16\pi F_\pi^2} \left( 1 - (y-2)^2 \frac{f_\pi^2}{f_d^2} \frac{M_\pi^2}{M_d^2} \right). \quad (7)$$

Simplifies to  $\chi$ PT result when  $y \rightarrow 2$  or  $f_\pi^2/f_d^2 \rightarrow 0$ .

# Lattice Data

$N_c = 3$ ,  $N_f = 8$  gauge theory

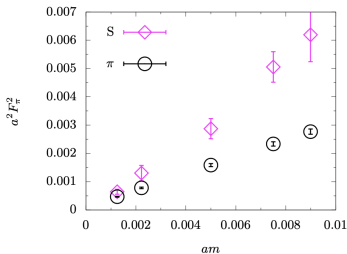
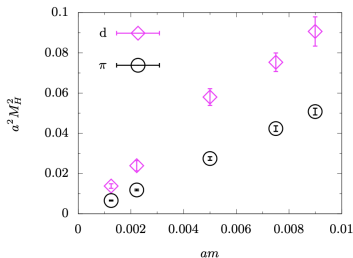


Figure: Lattice data for  $M_\pi^2$ ,  $M_d^2$ ,  $F_\pi^2$  and  $F_S^2$  from the Lattice Strong Dynamics collaboration 2306.06095. The lattice spacing is denoted by  $a$ .

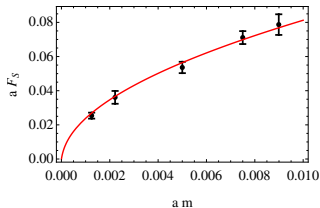
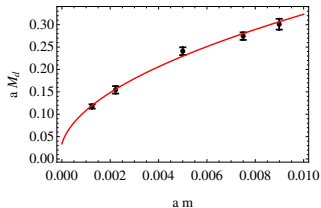
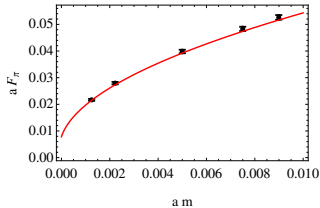
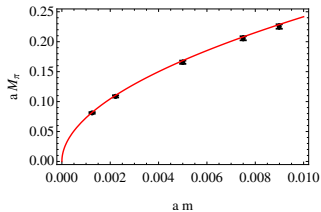
# Result Of Global Fit

2305.03665

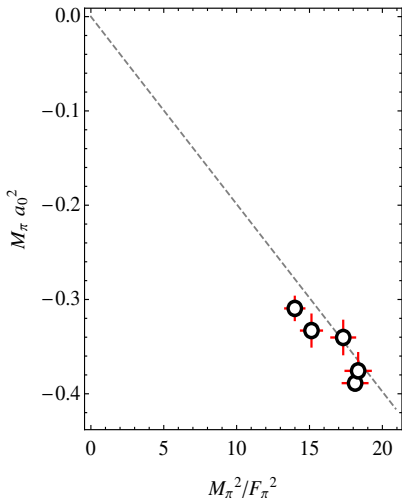
Parameter	Value and Uncertainty
$y$	2.091(32)
$aB_\pi$	2.45(13)
$\Delta$	3.06(41)
$a^2 f_\pi^2$	$6.1(3.2) \times 10^{-5}$
$f_\pi^2 / f_d^2$	0.1023(35)
$m_d^2 / f_d^2$	1.94(65)
$\chi^2 / \text{dof}$	21.3/19=1.12

**Table:** Central values of fit parameters obtained in a six parameter global fit to LSD data for  $M_{\pi,d}^2$ ,  $F_{\pi,S}^2$  and scattering length.

# Result Of Global Fit



# $l = 2$ Scattering Length



- Compare with lattice data.
- Dashed line uses values for  $y$  etc taken from global fit

$$M_\pi a^{l=2} \approx -\frac{M_\pi^2}{16\pi F_\pi^2}.$$

- Perhaps mild evidence of tension.
- Evidence for NLO effect?

# Higher Order Corrections to the Potential

- Corrections to  $V_\Delta$  can be organised into a series of terms governed by the scale breaking parameter  $m_d^2/(4\pi f_d)^2$ :

$$V(\chi) = V_\Delta(\chi) + \chi^4 \sum_{n=2}^{\infty} a_n \left( \frac{m_d^2}{(4-\Delta)f_d^2} \right)^n \left( 1 - \left( \frac{f_d}{\chi} \right)^{4-\Delta} \right)^n.$$

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- This form can be derived from a spurion analysis or from loop expansion.
- Corrections organised to ensure smoothness in  $\Delta \rightarrow 4$  limit.

# Spurion Analysis I

Start from an exactly scale invariant EFT, possessing a moduli space of degenerate vacua. Next, introduce a spurion that transforms with scaling dimension  $4 - \Delta$ :

$$\mathcal{S}(x) \rightarrow e^{\rho(4-\Delta)} \mathcal{S}(e^\rho x) \quad (8)$$

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$$X_3 \equiv \frac{\mathcal{S}(x)}{\Lambda^2} \left( \frac{f_d}{\chi} \right)^{4-\Delta}, \quad V(\chi) = \chi^4 \sum_{n=0}^{\infty} b_n X_3^n. \quad (9)$$

Potential must be analytic in  $\mathcal{S}$  but nonanalytic in  $\chi$ . Break scale invariance explicitly  $\mathcal{S}(x) \rightarrow m_d^2$ .

# Spurion Analysis II

Take the previous expression and truncate after N terms:

$$V(\chi) = \chi^4 \sum_{n=0}^N b_n \left[ \frac{m_d^2}{\Lambda^2} \left( \frac{f_d}{\chi} \right)^{4-\Delta} \right]^n,$$

These N terms can be rearranged to yield:

$$V(\chi) = V_{\Delta}(\chi) + \chi^4 \sum_{n=2}^N a_n \left( \frac{m_d^2}{(4-\Delta)f_d^2} \right)^n \left( 1 - \left( \frac{f_d}{\chi} \right)^{4-\Delta} \right)^n.$$

## Spurion Analysis III

A similar spurion analysis reveals three other symmetry invariant building blocks:

$$\begin{aligned} X_1 &= \left(\frac{\chi}{f_d}\right)^{-2} \frac{\partial_\mu}{\Lambda} \left(\frac{\chi}{f_d}\right), & X_2 &= \left(\frac{\chi}{f_d}\right)^{-1} \frac{\partial_\mu \Sigma}{\Lambda}, \\ X_3 &= \frac{m_d^2}{\Lambda^2} \left(\frac{\chi}{f_d}\right)^{\Delta-4}, & X_4 &= \frac{m_\pi^2}{\Lambda^2} \left(\frac{\chi}{f_d}\right)^{y-4} \mathbf{1}_{N_f}, \end{aligned} \quad (10)$$

All terms in the dilaton EFT Lagrangian at higher order can be built from these building blocks. Terms with more powers of  $X_i$  are suppressed, so only a finite number need to be retained at a given order in power counting.

## Next to Leading Order Theory

The NLO Lagrangian contains 18 new (nonredundant) operators

[Universe 9 (2023) 1, 10]

To give an impression, here is a sample:

$$\begin{aligned} \mathcal{L}_{\text{NLO}} = & g_1 \frac{(\partial_\mu \chi)^4}{\chi^4} + g_2 \frac{(\partial_\mu \chi)^2}{\chi^2} \text{Tr} \left[ \partial_\nu \Sigma \partial^\nu \Sigma^\dagger \right] + g_3 \frac{\partial_\mu \chi \partial_\nu \chi}{\chi^2} \text{Tr} \left[ \partial^\mu \Sigma \partial^\nu \Sigma^\dagger \right] \\ & + c_2 \frac{m_d^2 m_\pi^2}{(4 - \Delta)} \left( 1 - \frac{4}{\Delta} \left( \frac{f_d}{\chi} \right)^{4-\Delta} \right) \left( \frac{\chi}{f_d} \right)^y \text{Tr} \left[ \Sigma + \Sigma^\dagger \right] + \dots \quad (11) \end{aligned}$$

To calculate observables at NLO, you should also include one loop diagrams. Just like in  $\chi$ PT!

Loop correction to dilaton mass:



# Composite Higgs

# Model Structure

Replace the scalar sector of the standard model (SM) with a confining gauge fermion theory.

Assume the Higgs is a pNGB

## BSM Lagrangian

$$\mathcal{L} = \mathcal{L}_{SM-h} + \mathcal{L}_{SD} + \mathcal{L}_{int} \quad (12)$$

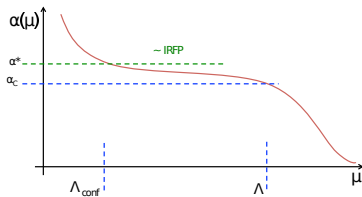
- $\mathcal{L}_{SD}$ : Confines at multi-TeV scale. Study in isolation numerically.
- $\mathcal{L}_{int}$ : Irrelevant operators with scale much higher than confinement scale. Couplings between the SM and new strong sector which generate mass for the standard model fermions.

$\mathcal{L}_{SD}$ 

Any gauge theory responsible for the composite Higgs is likely to differ markedly from QCD:

- Large anomalous dimensions.

The bottom of the conformal window is a good place to look for large  $\gamma_s$ , as these gauge theories are strongly coupled over a large interval of scales.



# An Explicit Example.

Based on PRL.126, no.19, (2021) with T. Appelquist and M. Piai.

We can describe the pNGBs of the  $SU(3)$  gauge theory with 8 light flavors in the fundamental representation well using a dilaton EFT...

$$\begin{aligned} \mathcal{L}_{SD} = & \frac{1}{2} (\partial_\mu \chi)^2 + \frac{F_\pi^2}{4} \left( \frac{\chi}{F_d} \right)^2 \text{Tr} \left[ \partial_\mu \Sigma \partial^\mu \Sigma^\dagger \right] \\ & + \frac{M_\pi^2 F_\pi^2}{4} \left( \frac{\chi}{F_d} \right)^y \text{Tr} \left[ \Sigma + \Sigma^\dagger \right] - V(\chi) \quad (13) \end{aligned}$$

Lattice studies constrain low energy constants

# SM gauge interactions

Fermion	$SU(2)_L$	$U(1)_Y$	$SU(3)_c$	$SU(3)$
$L_\alpha$	2	0	1	3
$R_{1,2}$	1	$\begin{pmatrix} 1/2 \\ -1/2 \end{pmatrix}$	1	3
$T$	1	$2/3$	3	3
$S$	1	0	1	3

**Table:** Quantum number assignments of the Dirac fermions. The fermions denoted by  $R_{1,2}$  form a fundamental representation of the global  $SU(2)_R$  custodial symmetry. The assignments are similar to Cacciapaglia and Ma: JHEP 03, 211 (2016), L. Vecchi: JHEP 02, 094 (2017)

$\mathcal{L}_{int}$ 

$\mathcal{L}_{int}$  generates an operator which breaks chiral symmetry, generating a potential for the Composite Higgs.

$$V_t = -C_t \left( \frac{\chi}{F_d} \right)^w \sum_{\alpha=1}^2 |\text{Tr} [P_\alpha \Sigma]|^2. \quad (14)$$

The exponent  $w$  descends from operator anomalous dimensions in the underlying gauge theory.

# The Vacuum

Give one of the pNGBs (the Higgs) a vacuum expectation value:

$$\Sigma = \exp \frac{i\theta}{2} \begin{pmatrix} \mathbb{0}_{2 \times 2} & -i\mathbb{1}_2 & \mathbb{0}_{2 \times 4} \\ i\mathbb{1}_2 & \mathbb{0}_{2 \times 2} & \mathbb{0}_{2 \times 4} \\ \mathbb{0}_{4 \times 2} & \mathbb{0}_{4 \times 2} & \mathbb{0}_{4 \times 4} \end{pmatrix}, \quad (15)$$

The “misalignment” angle  $\theta$  sets the electroweak scale in terms of the strong dynamics scale:

$$\sin \theta = \frac{v}{F_\pi \sqrt{2}}$$

# The Vacuum

At the minimum of the potential, we have:

$$\cos \theta = \frac{M_\pi^2 F_\pi^2}{C_t}, \quad (16)$$

Tuning between  $M_\pi^2$  and  $C_t$  is required to get a hierarchy between  $v$  and  $F_\pi$ .

# The Effect of the Dilaton

There is a mass matrix for  $\chi$  and  $\theta$  dofs.

This implies mixing between the dilaton and pNGBs. The lightest eigenvalue is:

## The Higgs Mass

$$\frac{m_h^2}{v^2} = \frac{M_\pi^2}{2F_\pi^2} \left( 1 - \frac{2M_\pi^2 F_\pi^2 (y-w)^2}{M_d^2 F_d^2} \right), \quad (17)$$

$M_d$  is mass of dilaton in theory without  $\mathcal{L}_{int}$ .

Term in brackets can be small, allowing  $M_\pi^2/F_\pi^2$  to be big. Puts us in range of lattice data and makes other pNGBs heavier.

# The Higgs Mass

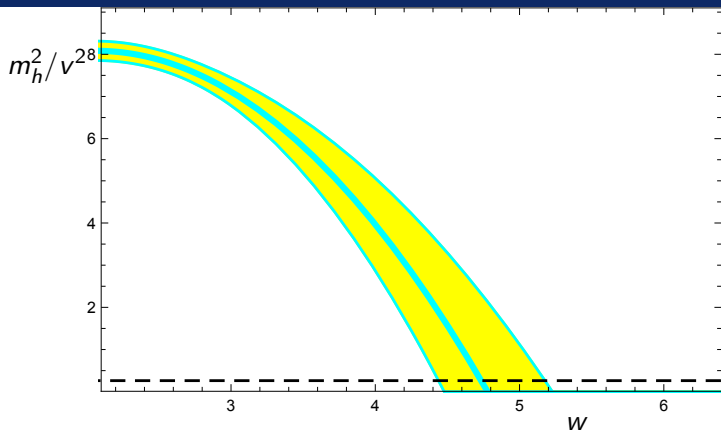


Figure: The allowed range of  $m_h^2/v^2$  assuming different values for  $w$ . LSD lattice data (at  $am = 0.00222$ ) has been used to fix  $M_\pi^2/F_\pi^2$  and  $M_d^2/F_\pi^2$ .

# Tuning

Using a benchmark of  $M_\pi = 4$  TeV, the lattice implies  $F_\pi \sim 1$  TeV.

$$\sin \theta = \frac{v}{F_\pi \sqrt{2}} \sim 0.17 \quad (18)$$

$$\cos \theta = \frac{M_\pi^2 F_\pi^2}{C_t} \sim 0.98 \quad (19)$$

Needs 2% fine tuning between  $C_t$  and  $M_\pi^2$ .

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- In progress: Model for a hidden sector that produces DM/  
Gravitational waves.

Thank you!

# Lattice Action

- LSD numerical calculations use improved nHYP smeared **staggered** fermions with smearing parameters  $\alpha = (0.5, 0.5, 0.4)$ .
- $\beta_A/\beta_F = -0.25$  where  $\beta_F = 4.8$ .
- After taste splitting, only  $SU(2)_L \times SU(2)_R$  flavor symmetry preserved in massless theory (3 exact NGBs).
- Spectral study has revealed that the taste splitting of the 63-plet masses are on the order of 20–30%.

# Scalar Decay Constant

Define scalar decay constant using the matrix element

$$\langle 0 | J_S(x) | \chi(p) \rangle \equiv F_S M_d^2 e^{-p \cdot x}, \quad (20)$$

where

$$J_S(x) \equiv m \sum_{i=1}^{N_f} \bar{\psi}_i \psi_i. \quad (21)$$

- 1  $F_S$  can be extracted from lattice measurement of correlator  $\langle J_S(x) J_S(0) \rangle$ , which is used already to measure  $M_d$ .
- 2 It is a true decay constant: It would control the decay rate of the dilaton if there was a heavy scalar mediator coupled to  $\bar{\psi}\psi$  along with light states. Analogous to  $f_\pi$  for the QCD pion decaying to leptons via  $W^\pm$ .

# Scalar Decay Constant

This quantity can also be calculated in dilaton EFT:

$$|F_S| = \frac{y N_f M_\pi^2 F_\pi f_\pi}{2M_d^2 f_d}. \quad (22)$$

- 1 Incorporating Eq. (22) into our EFT fit provides a direct test of the coupling between the light scalar and the fermion mass, treated as an external source.
- 2 It is  $\propto y = d - \Delta_m$ , as expected for a dilaton coupling.

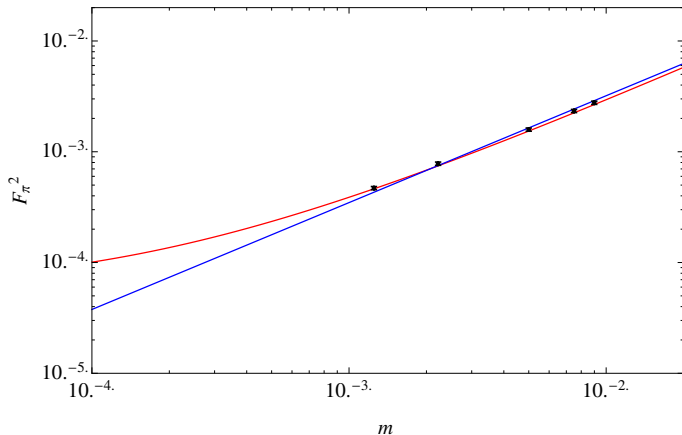
# $l = 2$ Interpolating Operators

$$\pi^+(t) = \sum_{\vec{x}} \bar{\chi}_2(x) \epsilon(x) \chi_1(x), \text{ where } \epsilon(x) = (-1)^{x+y+z+t} \quad (23)$$

$$\mathcal{O}_{l=2}(t) = \pi^+(t) \pi^+(t+1) \quad (24)$$

$$\begin{aligned} C_{l=2}(t, t_0) &= \langle \mathcal{O}_{l=2}(t) \mathcal{O}_{l=2}(t_0)^\dagger \rangle \\ &= \sum_{\vec{x}_1, \dots, \vec{x}_4} \langle \pi^+(t_4, \vec{x}_4) \pi^+(t_3, \vec{x}_3) \pi^+(t_2, \vec{x}_2)^\dagger \pi^+(t_1, \vec{x}_1)^\dagger \rangle \end{aligned} \quad (25)$$

Wall sources used - moving wall method.

Extrapolation Of  $F_\pi^2$ 

$\mathcal{L}_{int}$ 

Represent the effect of  $\mathcal{L}_{int}$  on the low energy dynamics by perturbing the dilaton EFT with two new operators:

A Yukawa type operator for the top quark

$$\mathcal{L}_Y = y_t F_\pi \left( \frac{\chi}{F_d} \right)^z (\bar{Q}_L^\alpha t_R) \text{Tr} [P_\alpha \Sigma] + \text{h.c.} . \quad (26)$$

The exponent  $z$  descends from the underlying gauge theory. It can be generated from either ETC or partial compositeness.

The matrices  $P_\alpha$  project out the pNGB components corresponding to the composite Higgs doublet  $H_\alpha = \text{Tr} [P_\alpha \Sigma]$ .