

# Smeftr v3 - a tool for creating and handling vertices in SMEFT capabilities and practical examples

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in collaboration with:

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based on [2302.01353]

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## Introducing: SMEFT

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i Q_i}{\Lambda^{d_i-4}}$$

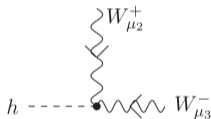
- $Q_i$  - higher dimensional gauge invariant operators in terms of SM fields
- $C_i$  - Wilson coefficients
- $\Lambda$  - new physics scale

**model independent way of studying BSM phenomena!**

## SMEFT - “standard” parametrization

Vertices & observables in mass basis in terms of SM parameters and WCs:

$$\mathcal{O}_{\text{SMEFT}} = \mathcal{O}(g, g', g_s, \lambda, v, m_q, K_{\text{CKM}}, m_l, m_{\nu_l}, U_{\text{PMNS}}, C_i)$$



$$\begin{aligned}
 & + \frac{1}{2}ig^2v\eta_{\mu_2\mu_3} + \frac{1}{2}ig^2v^3\eta_{\mu_2\mu_3}C^{\varphi\Box} - \frac{1}{8}ig^2v^3\eta_{\mu_2\mu_3}C^{\varphi D} \\
 & + 4ivC^{\varphi W}(p_2^{\mu_3}p_3^{\mu_2} - p_2 \cdot p_3\eta_{\mu_2\mu_3})
 \end{aligned}$$

- BUT determination of  $g, g', g_s, \dots$  is affected by the presence of  $C_i$ !
- we need to relate them to some relevant input observables  $\mathcal{O}_1, \mathcal{O}_2, \dots$
- and insert those relations into amplitudes + expand again to the desired  $\frac{1}{\Lambda}$  order

## Calculations in SMEFT - more precisely

example for EW parameters:

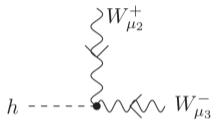
$$(g, g', \lambda, v) \rightarrow (G_F, M_H, M_W, M_Z)$$

$$v = \frac{1}{2^{1/4} \sqrt{G_F}} \left( 1 - \frac{1}{\Lambda^2} \frac{1}{2\sqrt{2}G_F} (C_{ll}^{2112} - C_{\varphi l3}^{11} - C_{\varphi l3}^{22}) \right)$$

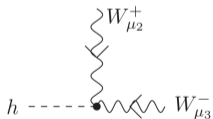
$$\mathcal{O}_{\text{SMEFT}} = \mathcal{O}(g, g', \lambda, v, \dots, C_i) = \mathcal{O}(G_F, M_H, M_W, M_Z, \dots, C_i)$$

**in principle straightforward but technically complicated & error prone!**

## Calculations in SMEFT - more precisely



$$\begin{aligned}
 & + \frac{1}{2} ig^2 v \eta_{\mu_2 \mu_3} + \frac{1}{2} ig^2 v^3 \eta_{\mu_2 \mu_3} C^{\varphi \square} - \frac{1}{8} ig^2 v^3 \eta_{\mu_2 \mu_3} C^{\varphi D} \\
 & + 4iv C^{\varphi W} (p_2^{\mu_3} p_3^{\mu_2} - p_2 \cdot p_3 \eta_{\mu_2 \mu_3})
 \end{aligned}$$



$$\begin{aligned}
 & + i2^{3/4} \sqrt{G_F} M_W^2 \eta_{\mu_2 \mu_3} + \frac{i2^{3/4} M_W^2}{\sqrt{G_F}} \eta_{\mu_2 \mu_3} C^{\varphi \square} - \frac{iM_W^2}{2^{3/4} \sqrt{G_F}} \eta_{\mu_2 \mu_3} C^{\varphi D} \\
 & - \frac{iM_W^2}{2^{3/4} \sqrt{G_F}} \eta_{\mu_2 \mu_3} C_{2112}^{\parallel} + \frac{iM_W^2}{2^{3/4} \sqrt{G_F}} \eta_{\mu_2 \mu_3} (C_{11}^{\varphi I3} + C_{22}^{\varphi I3}) \\
 & + \frac{i2^{7/4}}{\sqrt{G_F}} C^{\varphi W} (p_2^{\mu_3} p_3^{\mu_2} - p_2 \cdot p_3 \eta_{\mu_2 \mu_3})
 \end{aligned}$$

## Automatising calculations in SMEFT

- SMEFT is a very complicated model
- increasing complexity of addressed problems
- we need a certain degree of automatisation for efficient calculations
- number of publicly available numerical tools designed for calculations in SMEFT:
  - `Smeftr` [1904.03204], [2302.01353]
  - `SMEFT@NLO` [2008.11743]
  - `SMEFTsim` [1709.06492]
  - `Dim6Top` [1802.07237]

SmeftFR v3 - [2302.01353]

SmeftFR v3 – Feynman rules generator for the Standard Model  
Effective Field Theory

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SmeftFR available at:

[www.fuw.edu.pl/smeft](http://www.fuw.edu.pl/smeft)

## SmeftFR v3 - main features

1. Mathematica package using FeynRules [1310.1921]
2. consistent calculation of SMEFT vertices up to  $\frac{1}{\Lambda^4}$
3. includes predefined input parameter schemes for the EW sector
4. includes input scheme relating CKM matrix parameters to physical observables
5. user can use subset of WCs relevant for a given analysis  
which greatly simplifies calculations in comparison to the full basis
6. improved compatibility & consistent testing of output formats  
including comparison of SmeftFR UFO models with other available codes
7. correction of issues related to  $B$  and  $L$  violating vertices
8. significant improvement in speed of the code



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## SMEFT - going beyond the leading order ...

... in the EFT expansion:

- so far most analyzes & tools concentrated on dim-6 operators  $\propto \frac{1}{\Lambda^2}$
- dim-6<sup>2</sup> & dim-8  $\propto \frac{1}{\Lambda^4}$  terms not necessarily negligible
- consistency: simultaneous inclusion of dim-6<sup>2</sup> and dim-8 terms

`SmeftFR` v3: all dim-6 operators in the Warsaw basis [1008.4884]  
all bosonic dim-8 operators in the basis of [2005.00059]  
no dim-8 fermionic operators included - over 4000 types ...  
if necessary contact authors of the code!

## Input schemes in SmeftFR v3

Predefined input schemes:

- EW sector:

$$(g, g', \lambda, v) \rightarrow (G_F, M_H, M_W, M_Z)$$

$$(g, g', \lambda, v) \rightarrow (\alpha_{EM}, M_H, M_W, M_Z)$$

- quark sector - based on [1812.08163]:

$$K_{CKM} \Rightarrow \Gamma(B \rightarrow \tau\nu_\tau), \Gamma(K \rightarrow \mu\nu_\mu) / \Gamma(\pi \rightarrow \mu\nu_\mu), \Delta M_{B_d}, \Delta M_{B_s}$$

user can also define own desired set of input parameters other than those above!  
(for details see SmeftFR v3 manual [2302.01353])

## SmeftFR v3 by example

Calculations with SmeftFR v3:

1. choose process of interest & relevant set of SMEFT operators
2. use SmeftFR to produce Feynman rules in the FeynRules format
3. export Feynman rules to desired output formats ( $\text{\LaTeX}$ , FeynArts [0012260], UFO [1108.2040], ...)
4. perform further calculations in SMEFT in FeynArts, FeynCalc [2001.04407], Madgraph [1106.0522], ...

Example:

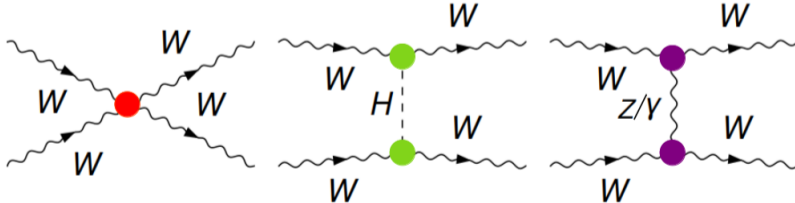
**Vector Boson Scattering!**

# Calculations with SmeftFR v3

## step #1: choice of process

Vector Boson Scattering (VBS):

- (i) important in understanding EW sector
- (ii) potential sensitivity to the BSM



## Calculations with SmeftFR v3

### step #2: choice of SMEFT operators

Chosen SMEFT operators modifying VBS processes:

- dimension-6:

$X^3$		$\varphi^4 D^2$	
$Q_W$	$\epsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger\varphi)\Box(\varphi^\dagger\varphi)$

- dimension-8:

$\varphi^6 D^2$		$\varphi^4 D^4$	
$Q_{\varphi^6\Box}$	$(\varphi^\dagger\varphi)^2\Box(\varphi^\dagger\varphi)$	$Q_{\varphi^4 D^4}^{(1)}$	$(D_\mu\varphi^\dagger D_\nu\varphi)(D^\nu\varphi^\dagger D^\mu\varphi)$

## Calculations with SmeftFR v3

### step #3: load packages and operators

Open SmeftFR-init.nb notebook provided with SmeftFR distribution, then:

- i) set proper installation paths of FeynRules and SmeftFR and load both codes
- ii) load chosen set of operators, in our case:

```
In[1]:= OpList6={"phiBox","W"};  
        OpList8={"phi6Box","phi4n1"};
```

naming:  $Q_{\varphi\Box} \rightarrow \text{"phiBox"}, Q_{\varphi^4 D^4}^{(1)} \rightarrow \text{"phi4n1"}, \dots$

## Calculations with SmeftFR v3

### step #4: generation of FeynRules model files

Run the following command with a chosen set of available options  
(for all possibilities see manual):

```
In[2]:= SMEFTInitializeModel[Operators→OpList,  
    Gauge →Rxi,  
    ExpansionOrder → 2,  
    WCXFInitFile → WCXFInput,  
    InputScheme →"GF",  
    RealParameters →True,  
    MaxParticles →4];
```



## Calculations with SmeftFR v3

### step #5: calculate Feynman rules

(i) load SMEFT model file & Lagrangian in gauge basis:

```
In[3]:= SMEFTLoadModel [] ;
```

(ii) find field bilinears and diagonalize mass matrices:

```
In[4]:= SMEFTFindMassBasis [] ;
```

(iii) evaluate SMEFT Lagrangian and Feynman rules in the mass basis:

```
In[5]:= SMEFTFeynmanRules [] ;
```

(iv) export and save SMEFT model file, Lagrangian and vertices in mass basis:

```
In[6]:= SMEFTOutput [] ;
```

# Calculations with SmeftFR v3

## step #6: print obtained vertices

In default “smeft” scheme

```
In[7]:= SMEFTExpandVertices[Input->"smeft",ExpOrder->2];
        SelectVertices[GaugeHiggsVerticesExp,SelectParticles->{H,W,Wbar}]
```

```
Out[7]= {{{{H,1},{W,2},{W+,3}},
            $\frac{1}{2} i G_W^2 \text{vev} \eta_{\mu_2,\mu_3} + \frac{1}{2} i C^{\phi\text{Box}} G_W^2 \frac{1}{\Lambda^2} \text{vev}^3 \eta_{\mu_2,\mu_3} + \frac{1}{4} i (2 C^{\phi 6\text{Box}} + 3 (C^{\phi\text{Box}})^2) G_W^2 \left(\frac{1}{\Lambda^2}\right)^2 \text{vev}^5 \eta_{\mu_2,\mu_3}}$ }}
```

in  $G_F$  “user” scheme:

```
In[8]:= SMEFTExpandVertices[Input->"user",ExpOrder->2];
        SelectVertices[GaugeHiggsVerticesExp,SelectParticles->{H,W,Wbar}]
```

```
Out[8]= {{{{H,1},{W,2},{W+,3)},
            $2 i 2^{1/4} \sqrt{G_F} M_W^2 \eta_{\mu_2,\mu_3} + \frac{i 2^{3/4} C^{\phi\text{Box}} \frac{1}{\Lambda^2} M_W^2 \eta_{\mu_2,\mu_3}}{\sqrt{G_F}} + \frac{i (2 C^{\phi 6\text{Box}} + 3 (C^{\phi\text{Box}})^2) \left(\frac{1}{\Lambda^2}\right)^2 M_W^2 \eta_{\mu_2,\mu_3}}{2^{3/4} G_F^{3/2}}$ }}
```

## Calculations with SmeftFR v3

### step #7: interfaces - load mass basis lagrangian

To continue with outputs to  $\text{\LaTeX}$ , UFO and FeynArts:

- (i) Quit[] Mathematica kernel and open the SmeftFR\_interfaces.nb notebook
- (ii) load FeynRules and SmeftFR packages
- (iii) reload mass basis Lagrangian by running (may be time consuming!):

```
In[9]:= SMEFTInitializeMB[ Expansion → "user" ];
```

**Whole SMEFT mass basis Lagrangian stored in `SMEFT$MBLagrangian` variable**

## Calculations with SmeftFR v3

### step #8: interfaces - FeynArts output

Use SMEFT mass basis Lagrangian stored in `SMEFT$MBLagrangian` to produce FA model files:

```
In[10]:= WriteFeynArtsOutput[SMEFT$MBLagrangian,  
Output → FileNameJoin[{SMEFT$Path, "output", "FeynArts", "FeynArts"}]]];
```

SmeftFR FA model files can be used for further calculations e.g. in `FeynCalc` or `FormCalc`

**Example: same sign VBS  $W_L^+ W_L^+ \rightarrow W_L^+ W_L^+$  process**

## Calculations with SmeftFR v3

$$W_L^+ W_L^+ \rightarrow W_L^+ W_L^+$$

$$\mathcal{M}_{W_L^+ W_L^+ \rightarrow W_L^+ W_L^+}(s, \theta) \stackrel{s \gg M_W^2}{=} -2\sqrt{2}G_F M_H^2 \left[ 1 - \frac{M_Z^2}{M_H^2} \left( 1 - \frac{4}{\sin^2 \theta} \right) \right] \quad (\text{SM})$$

$$+ (2C_{\varphi\Box} + C_{\varphi D}) \frac{s}{\Lambda^2} \quad (\text{dim} - 6)$$

$$+ [8C_{\varphi^6\Box} + 2C_{\varphi^6 D^2} + 16(C_{\varphi\Box})^2 + (C_{\varphi D})^2 - 8C_{\varphi\Box} C_{\varphi D} - 16(C_{\varphi^4 D^4}^{(1)} + 2C_{\varphi^4 D^4}^{(2)} + C_{\varphi^4 D^4}^{(3)})G_F M_W^2] \frac{\sqrt{2}}{8G_F \Lambda^2} \frac{s}{\Lambda^2} \quad (\text{dim} - 6)^2$$

$$+ [(3 + \cos 2\theta)(C_{\varphi^4 D^4}^{(1)} + C_{\varphi^4 D^4}^{(3)}) + 8C_{\varphi^4 D^4}^{(2)}] \frac{s^2}{8\Lambda^4} \quad (\text{dim} - 8)$$

**Agreement with GBET!**

## Calculations with SmeftFR v3

### step #9: interfaces - UFO output

Use SMEFT mass basis Lagrangian stored in `SMEFT$MBLagrangian` to produce UFO model files:

```
In[11]:= SMEFTToUFO[SMEFT$MBLagrangian,Output→FileNameJoin[{SMEFT$Path,  
"output","UFO"}]];
```

SmeftFR UFO model files can be used for numerical calculations e.g. in Madgraph

**Example:**  $p p \rightarrow w^+ w^+ j j$  process at the LHC

## Simulating VBS process in MG5 with SmeftFR UFO model

	SmeftFR $\mathcal{O}(\Lambda^{-2})$	SmeftFR $\mathcal{O}(\Lambda^{-4})$
p p > w+ w+ j j QCD=0		
SM	0.12456 $\pm$ 0.00029	
$C_W$	8.564 $\pm$ 0.020	37161 $\pm$ 83
$+C_{\varphi\Box}$	0.13387 $\pm$ 0.00032	0.20981 $\pm$ 0.00059
$-C_{\varphi\Box}$	0.14670 $\pm$ 0.00043	0.12511 $\pm$ 0.00035
$C_{\varphi 6\Box}$	-	0.12868 $\pm$ 0.00031
$C_{\varphi^4 D^4}^{(1)}$	-	10.891 $\pm$ 0.024

**Table:** Simulation results with  $\sqrt{s} = 13$  TeV and default SmeftFR v3 ( $G_F, M_H, M_W, M_Z$ ) EW input scheme. For each run, only one of the Wilson coefficients has non-zero value  $\frac{C_i}{\Lambda^2} = \frac{4\pi}{\text{TeV}^2}$  for dim-6 and  $\frac{C_i}{\Lambda^4} = \frac{(4\pi)^2}{\text{TeV}^4}$  for dim-8 operators.

## Summary

1. SMEFT is very useful but complicated model
2. we need a certain degree of automatisation
3. `SmeftFR v3` is a powerful tool for generating Feynman rules in SMEFT
4. NLO order in the EFT expansion included
5. Feynman rules can be expressed in terms of relevant input observables
6. obtained vertices can be exported to other formats:  $\text{\LaTeX}$ , FeynArts, UFO, ...
7. practical example of VBS process calculation using `SmeftFR v3` provided



Thank you!

Additional slides

## SmeftFR tests and validation

Analytical tests:

- GBET
- various Ward identities

Numerical tests - comparison to various processes with SMEFT@NLO, Dim6Top and SMEFTsim at dim-6 (full list see manual):

	SMEFT@NLO $\mathcal{O}(\Lambda^{-2})$	SmeftFR $\mathcal{O}(\Lambda^{-2})$	SmeftFR $\mathcal{O}(\Lambda^{-4})$
$\mu^+ \mu^- \rightarrow t \bar{t}$			
SM	$0.16606 \pm 0.00026$	$0.16608 \pm 0.00024$	—
$C_{uW}^{33}$	$0.41862 \pm 0.00048$	$0.41816 \pm 0.00047$	—
$C_{\varphi u}^{33}$	$0.16725 \pm 0.00027$	$0.16730 \pm 0.00025$	—
$C_{lu}^{2233}$	$6.488 \pm 0.016$	$6.491 \pm 0.014$	—
$C_{\varphi WB}$	$0.21923 \pm 0.00032$	$0.21940 \pm 0.00030$	$0.22419 \pm 0.00030$
$C_{\varphi D}$	$0.18759 \pm 0.00030$	$0.18759 \pm 0.00027$	$0.18829 \pm 0.00027$