

# **Proton CT – quasi-online dose plan verification and online dose delivery monitoring**

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Dieter Roehrich  
University of Bergen  
for the Bergen pCT collaboration

- **Bragg peak position – the critical parameter in dose planning**
- **Proton-CT – a diagnostic tool for**
  - **quasi-online dose plan verification**
  - **online dose delivery monitoring**
- **Towards a clinical prototype**
  - **Digital tracking calorimeter**
  - **Results from simulations and beam tests**

# The Bergen proton CT collaboration

## The Bergen pCT collaboration and the SIVERT research group

### Institutions

University of Bergen, Norway

Helse Bergen, Norway

Western Norway University of Applied Science, Bergen, Norway

Wigner Research Center for Physics, Budapest, Hungary

DKFZ, Heidelberg, Germany

Saint Petersburg State University, Saint Petersburg, Russia

Utrecht University, Netherlands

RPE LTU, Kharkiv, Ukraine

Suranaree University of Technology, Nakhon Ratchasima, Thailand

China Three Gorges University, Yichang, China

University of Applied Sciences Worms, Germany

University of Oslo, Norway

Eötvös Loránd University, Budapest, Hungary

Technical University Kaiserslautern, Germany



St Petersburg University

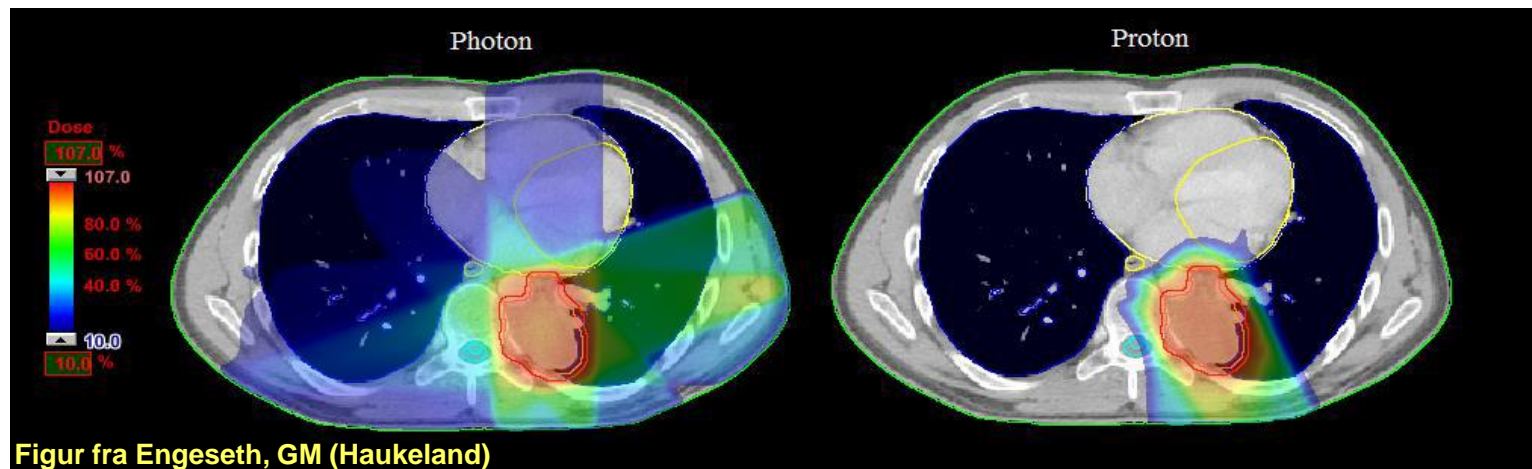


Utrecht University

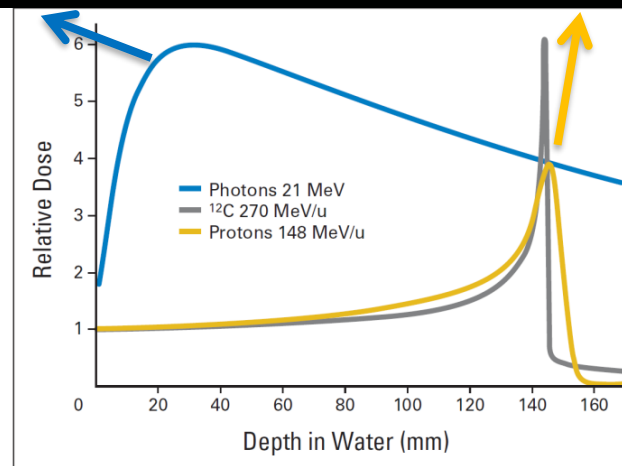


# Radiotherapy – photons vs hadrons

- **Treatment goal**
  - Effective eradication of all tumor cells  $\leftrightarrow$  avoid injury to healthy tissue
  - Radiotherapy is an important weapon in the battle against cancer

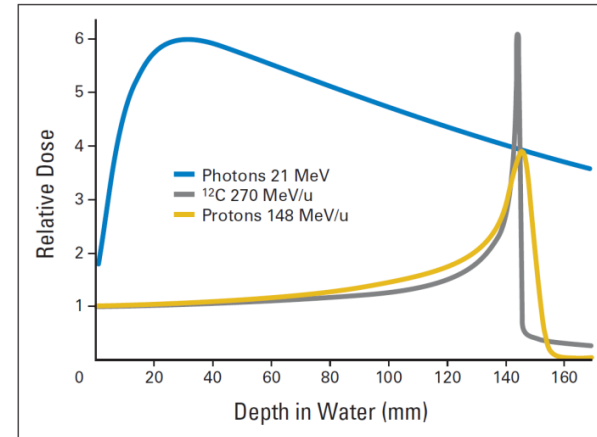


- **Contributions to successful treatment of cancer**
  - 45-50% surgery
  - 40-50% radiotherapy
  - 10-15% chemotherapy



# Particle therapy - the Bragg peak position

- **Key advantage of ions: Bragg peak**
  - Relatively low dose in the entrance channel
  - Sharp distal fall-off of dose deposition (<mm)!



- **Challenge**

- Stopping power of tissue in front of the tumor has to be known – crucial input into the dose plan for the treatment
- Stopping power is described by Bethe-Bloch formula:

$$- dE/dx \sim (\text{electron density}) \times \ln\left(\frac{\text{max. energy transfer in single collision}}{(\text{effective ionization potential})^2}\right)$$

- **Current practice**

- Derive stopping power from X-ray CT
- Problem:  
X-ray attenuation in tissue depends not only on the density, but also strongly on Z ( $Z^5$  for photoelectric effect) and X-ray energy

# Stopping power calculation from X-ray CT – range uncertainties

## Clinical practice

- Stopping power calculation derived from single energy CT:  
up to 7.4 % uncertainty

## How to deal with range uncertainties in the clinical routine?

- Increase the target volume by up to 1 cm in the beam direction
- Avoid beam directions with a critical organ behind the tumor

## Unnecessary limitations

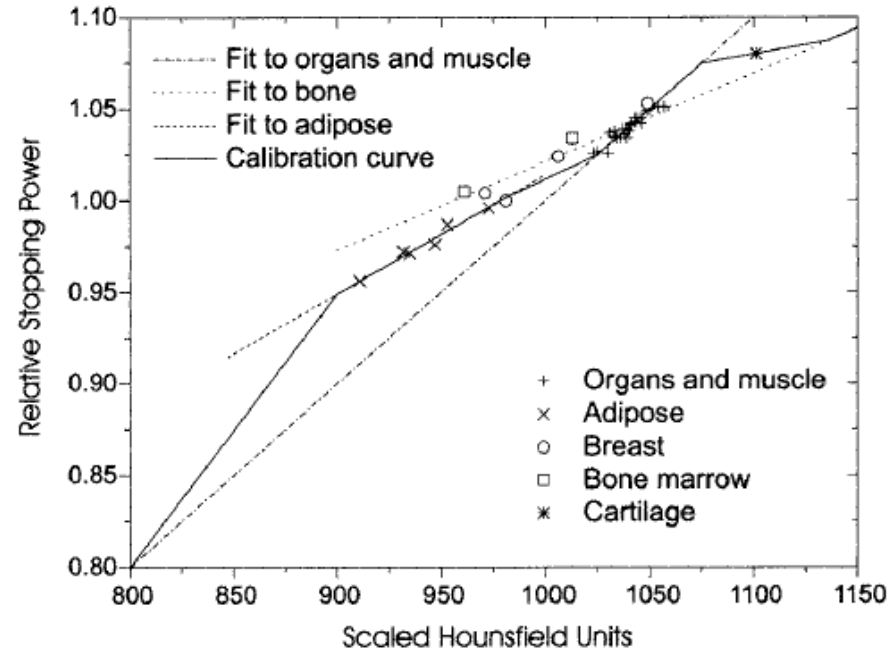
-> reduce range uncertainties

## Estimates for advanced dose planning:

- Dual energy CT: up to 1.7 % uncertainty
- Proton CT: up to 0.3 % uncertainty

no positioning/registration uncertainties

low dose → frequent imaging → adaptive treatment



Schaffner, B. and E. Pedroni, *The precision of proton range calculations in proton radiotherapy treatment planning: experimental verification of the relation between CT-HU and proton stopping power*. Phys Med Biol, 1998. 43(6): p. 1579-92.

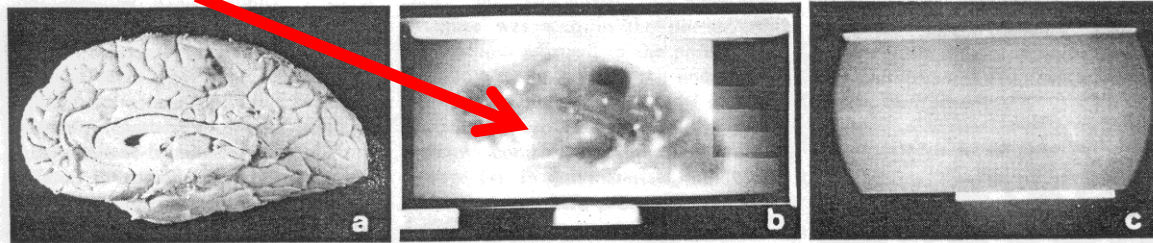
A comparison of dual energy CT and proton CT for stopping power estimation

David C. Hansen,<sup>1, a)</sup> Joao Seco,<sup>2</sup> Thomas Sangild Sørensen,<sup>3</sup> Jørgen Breede Baltzer Petersen,<sup>4</sup> Joachim E. Wildberger,<sup>5</sup> Frank Verhaegen,<sup>6</sup> and Guillaume Landry<sup>7</sup>

<sup>1)</sup>Department of Experimental Clinical Oncology, Aarhus University

# Imaging with protons – nothing new

- Proton radiography

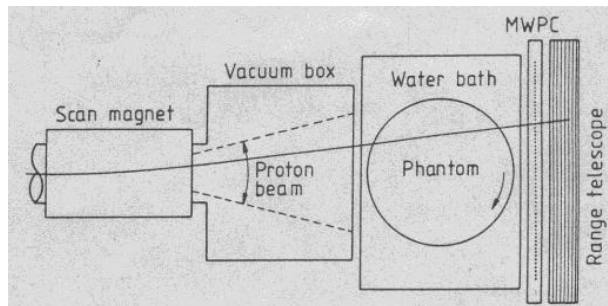


Steward and Kohler (1973)

Fig 2. (a) Medial view of formalin-fixed right hemisphere, showing a metastasis from a mucin-producing adenocarcinoma of the pancreas below the midpoint of the superior border. (b) Proton radiograph of the hemisphere. The conditions were 115-cm focus to film, no screen, Polaroid TLX film, and a dose to the first surface of approximately 290 rad in 0.8 minute. (c) X-radiograph of the hemisphere in a plastic water box. The conditions were 126-cm focus to film, Dupont high-speed 1A screen, Polaroid TLX film in Polaroid cassette, 30-kv peak, 200 ma, 3.0 seconds. (d) X-radiograph of the hemisphere in air. The specimen was reconstructed from

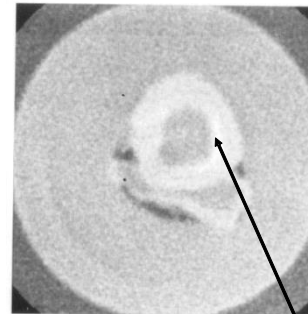
serial coronal sections. Note that the tumor is just visible. The conditions (optimal) were 92-cm focus to Kodak mammography film, 27-kv constant potential, 20 ma, 2 minutes. (e) Photograph of a slice taken through the tumor.

- Proton CT

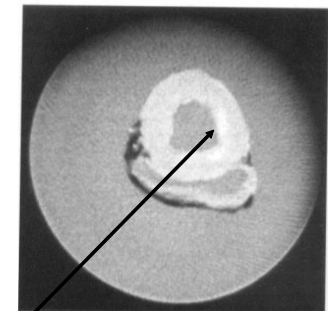


Hanson et al (1982)

Protons (Dose=2.7 mGy)

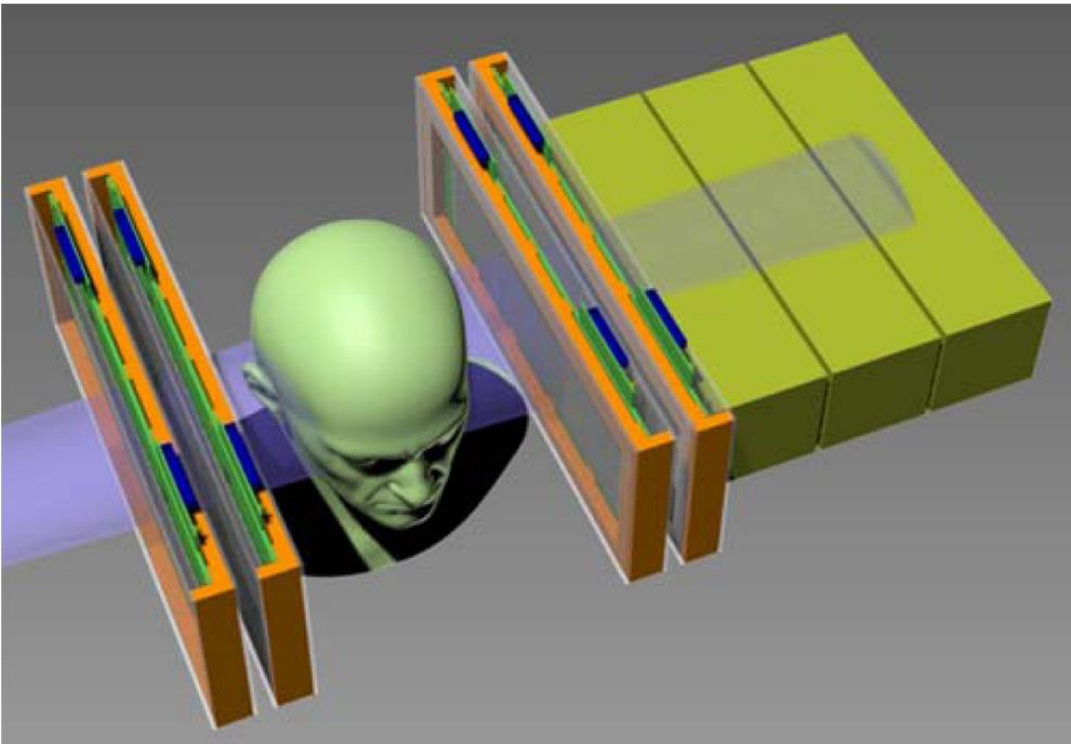


X-rays (Dose 21 mGy)

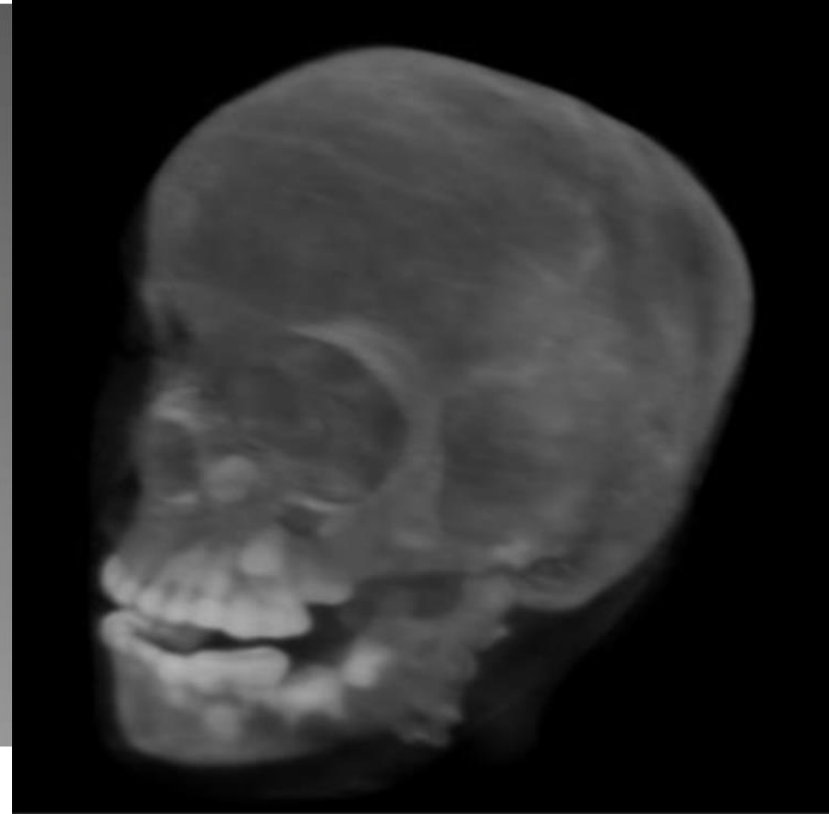


Myokardinfarkt

# Proton CT



*H.F.-W. Sadrozinski / Nuclear Instruments and Methods in Physics Research A 732 (2013) 34–39*



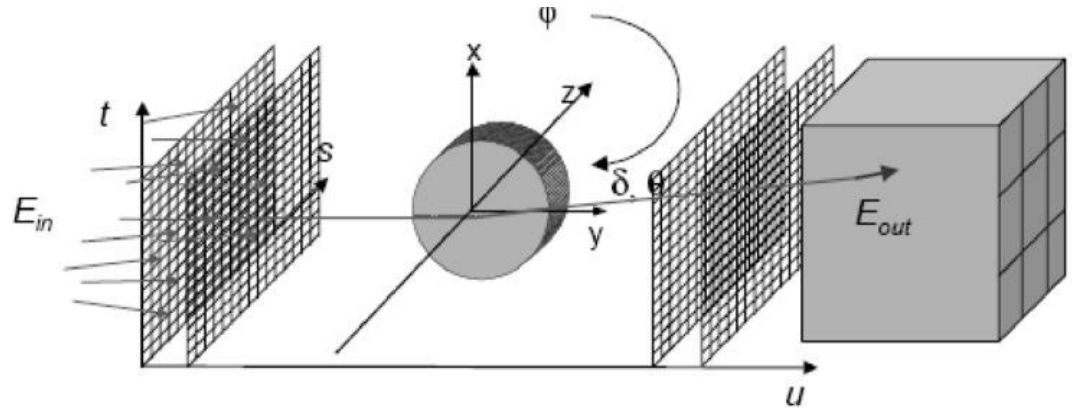
**Fig. 14.** 3D rendering of the pCT-reconstructed RSP map of a pediatric anthropomorphic head phantom.

*V.A. Bashkirov et al. / Nuclear Instruments and Methods in Physics Research A 809 (2016) 120–129*

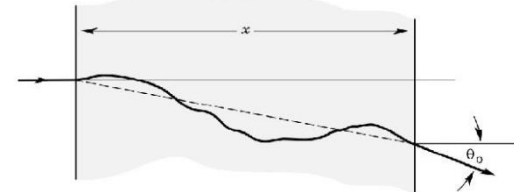
# Proton-CT

## - quasi-online dose plan verification

- high energetic proton beam quasi-simultaneous with therapeutic beam
- measurement of scattered protons
  - position, trajectory
  - energy/range



- reconstruction of trajectories in 3D and range in external absorber
  - trajectory, path-length and range depend on
    - nuclear interactions (inelastic collisions)
    - multiple Coulomb scattering (elastic collisions)
    - energy loss  $dE/dx$  (inelastic collisions with atomic electrons)
- MS theory and Bethe-Bloch formula of average energy loss in turn depend on electron density in the target (and ionization potentials)
  - > 3D map of stopping power
  - > online verification of dose plan

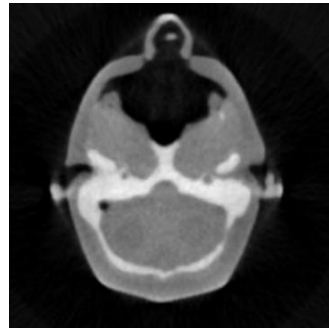




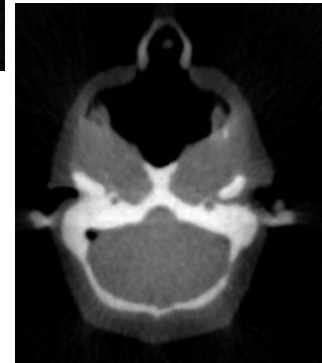
# Proton-CT - images

- Traversing proton beam creates three different 2D maps  
→ three imaging modalities

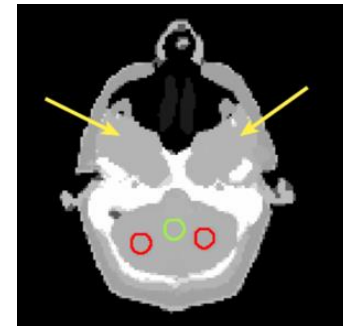
- Transmission map
  - records loss of protons due to nuclear reactions



- Scattering map
  - records scattering of protons off Coulomb potential



- Energy loss map
  - records energy loss of protons (Bethe-Bloch)

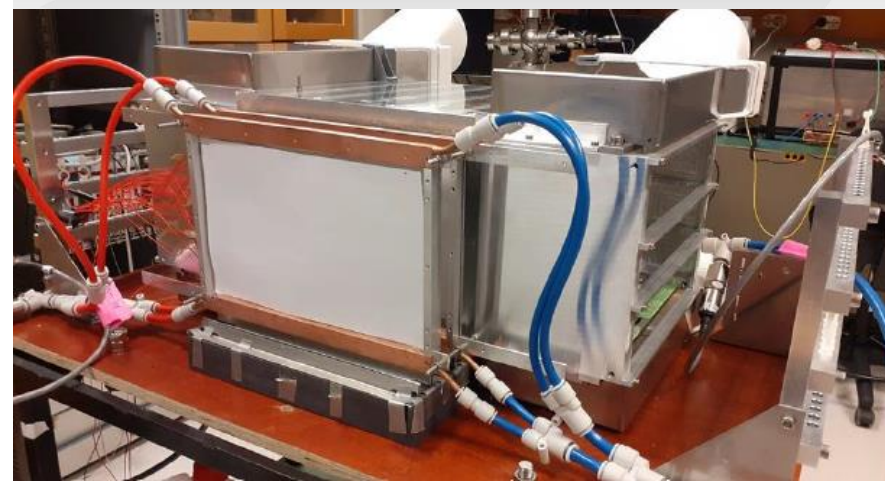
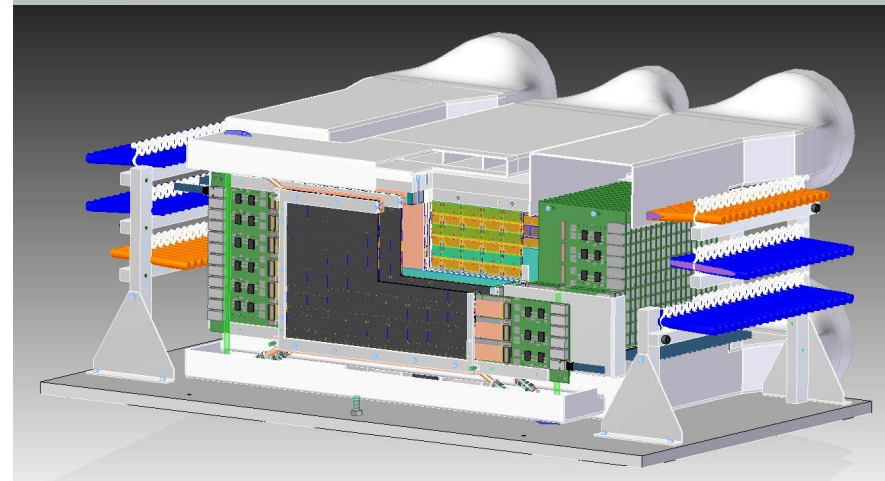
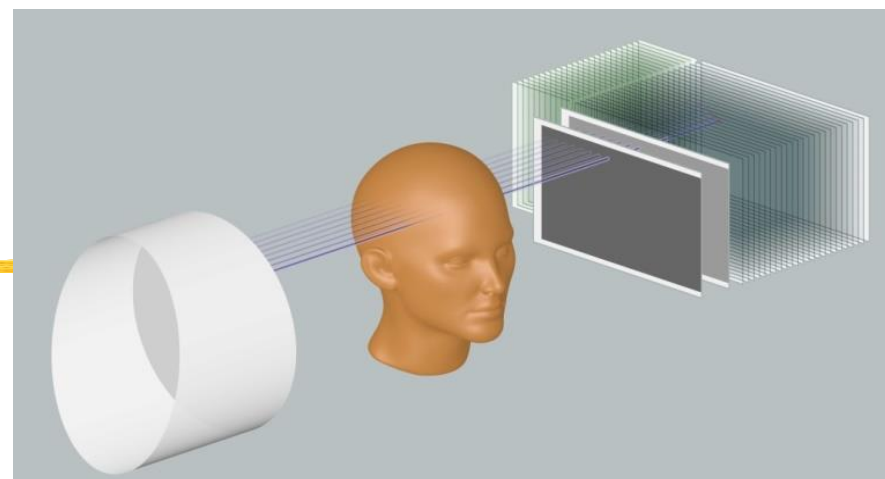


Phantom



# The Bergen Proton CT

- **Conceptual design**
- **Technical design**
  - Digital tracking calorimeter
- **Construction**
  - (status autumn 2022)



# Clinical pCT - requirements

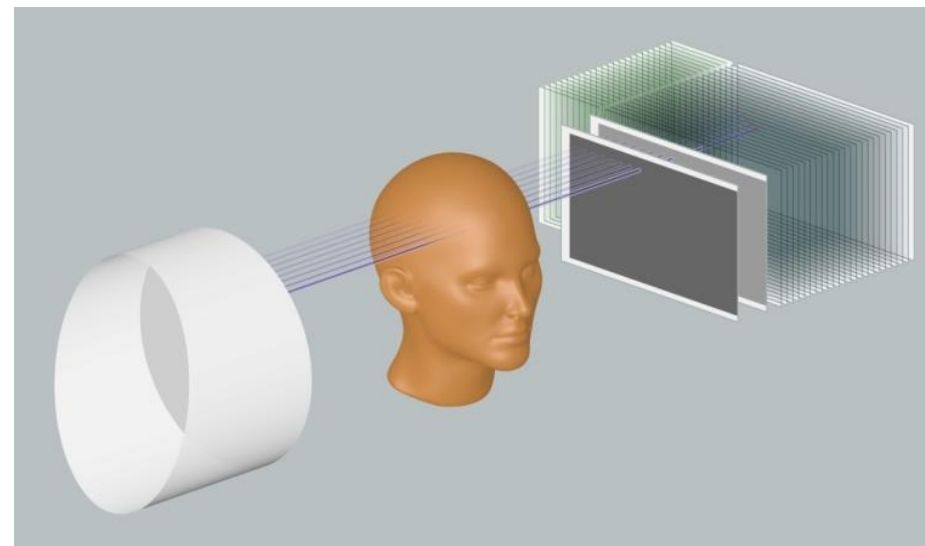
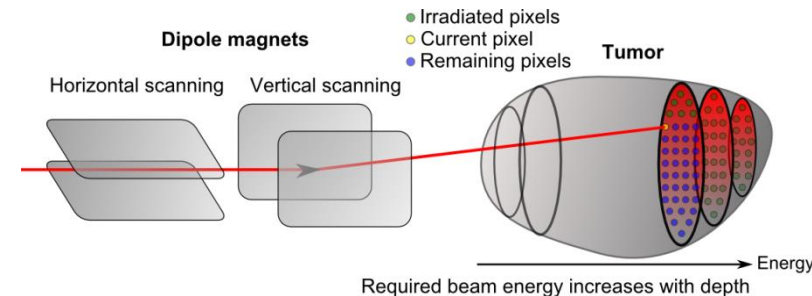
## Operate with clinical beam settings

- **Pencil beam scanning mode**
  - Beam spot size, scanning speed, intensity
- **Scanning time**
  - Seconds ... minutes
- **Detector**

- Efficient simultaneous tracking of large particle multiplicities
- Large area ( $\sim 30 \times 30 \text{ cm}^2$ )
- Radiation hardness
- Front detector (first 2-3 layers):
  - high position resolution ( $\sim 10 \mu\text{m}$ )
  - very low mass, thin sensors ( $\sim 100 \mu\text{m}$ )
- Back detector:
  - range resolution  $< 1\%$  of path-length

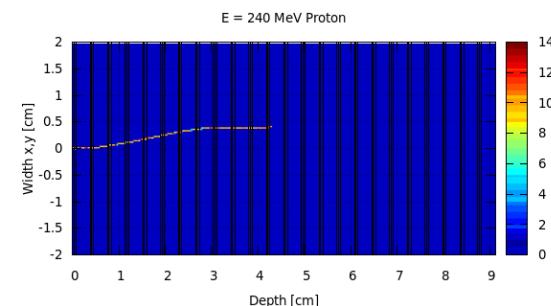
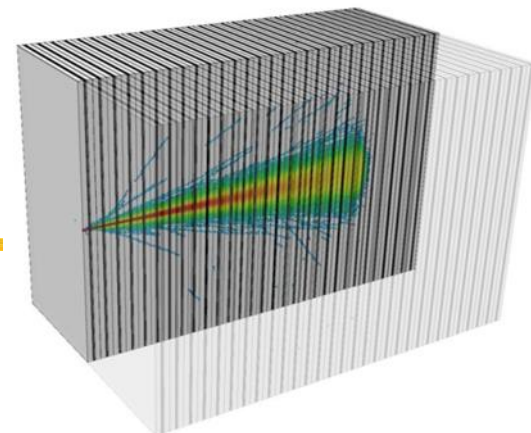
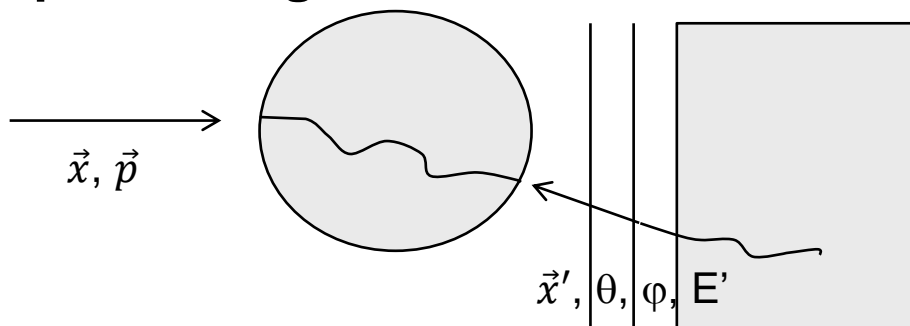
- **System**

- Compact
- No gas, no HV
- Simple air/water cooling



# Clinical pCT - design

- **Conceptual design**



- $x, p$  given by beam optics and scanning system

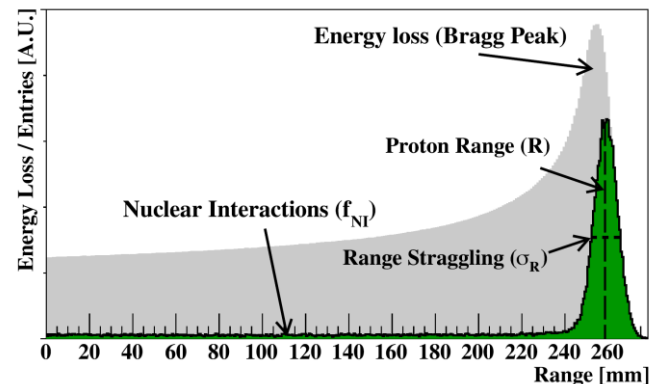
- $x', \theta, \varphi, E'$  have to be measured with high precision

- position resolution  $\sim 5 \mu\text{m}$  with minimal MS, i.e. first two tracking layers very thin

→ **Extremely high-granularity digital calorimeter for tracking, range and energy loss measurement**

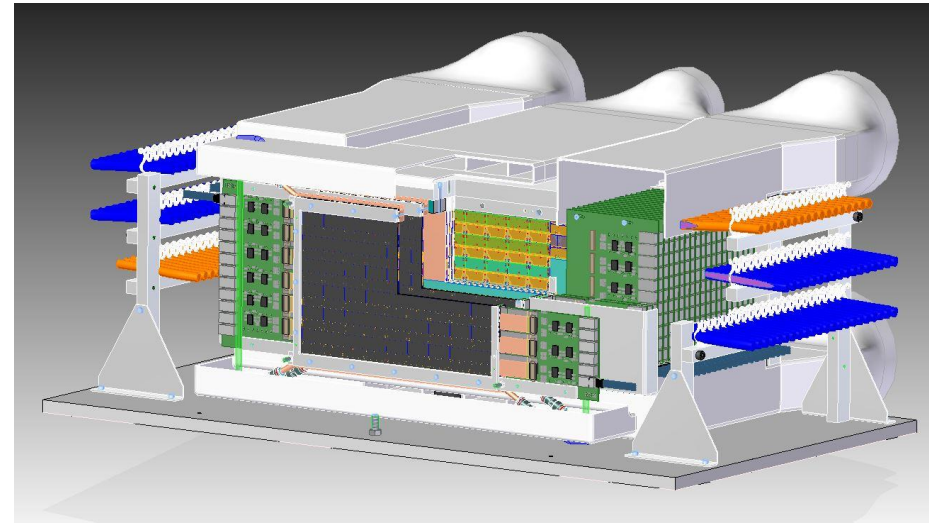
- **Technical design**

- Planes of CMOS sensors – Monolithic Active Pixel Sensors (MAPS) with digital readout– as active layers in a sampling calorimeter

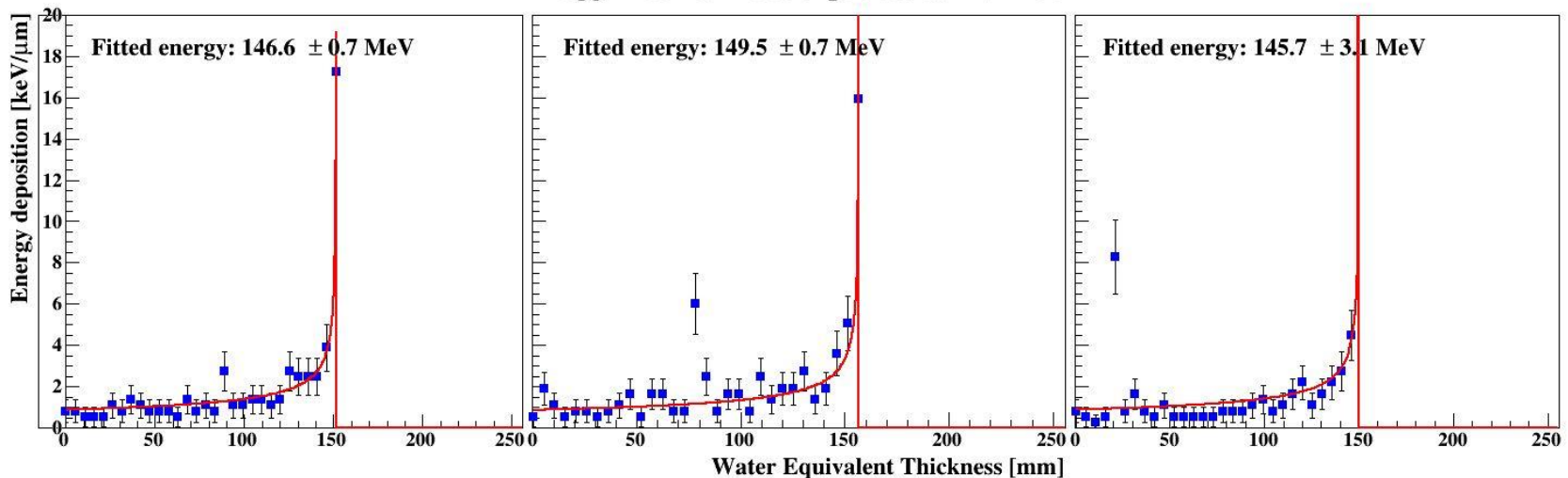


# The Bergen pCT (clinical) prototype

- geometry
  - front area: 27 cm x 18 cm
- "sandwich" calorimeter
  - alternating layers of absorbers and sensors
  - longitudinal segmentation: 41 layers
- aluminium absorbers
  - energy degrader, mechanical carrier, cooling medium
  - thickness: 3.5 mm

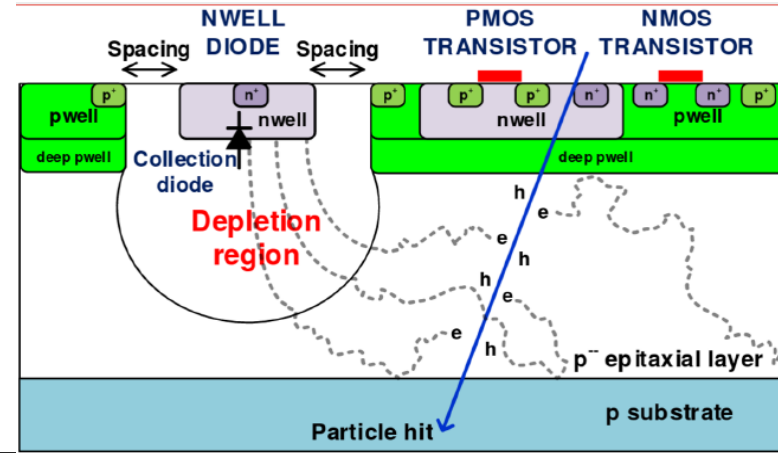
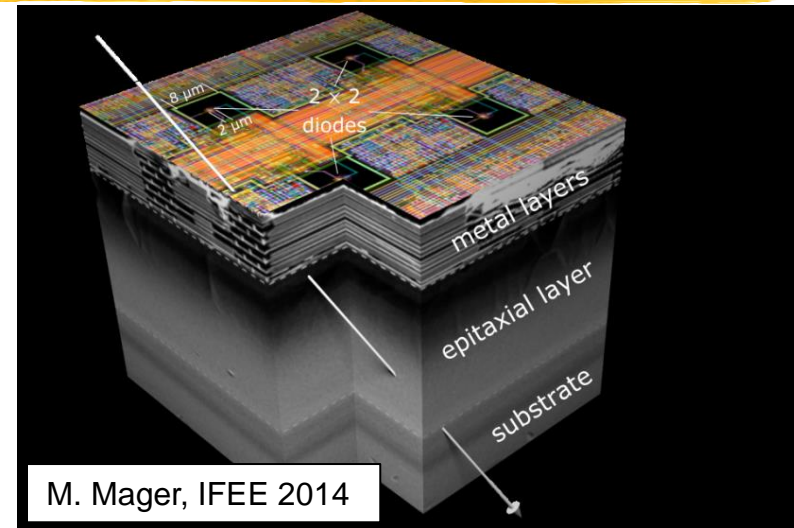
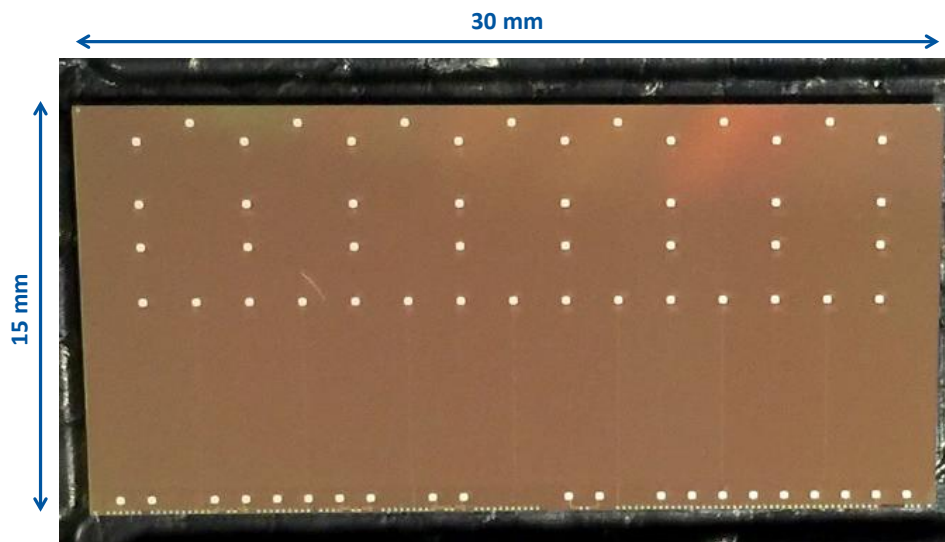


Bragg-Kleeman fit to exp. data at 145 MeV



# Sensor layers – Monolithic Active Pixel Sensors (MAPS)

- ALPIDE chip
  - sensor for the upgrade of the inner tracking system of the ALICE experiment at CERN
  - chip size  $\approx 3 \times 1.5 \text{ cm}^2$ , pixel size  $\approx 28 \mu\text{m}$ , integration time  $\approx 4 \mu\text{s}$
  - on-chip data reduction (priority encoding per double column)



Design team:  
CCNU Wuhan, CERN Geneva, YONSEI Seoul, INFN  
Cagliari, INFN Torino, IPHC Strasbourg, IRFU Saclay,  
NIKHEF Amsterdam

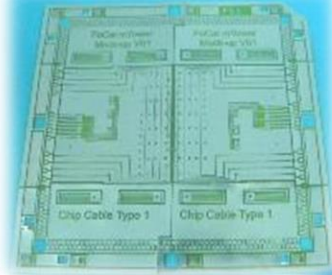
# Mounting sensors on flexible cables

- **ALPIDE mounted on thin flex cables (aluminium-polymide dielectrics: 30  $\mu\text{m}$  Al, 20  $\mu\text{m}$  plastic)**

ALPIDE chip

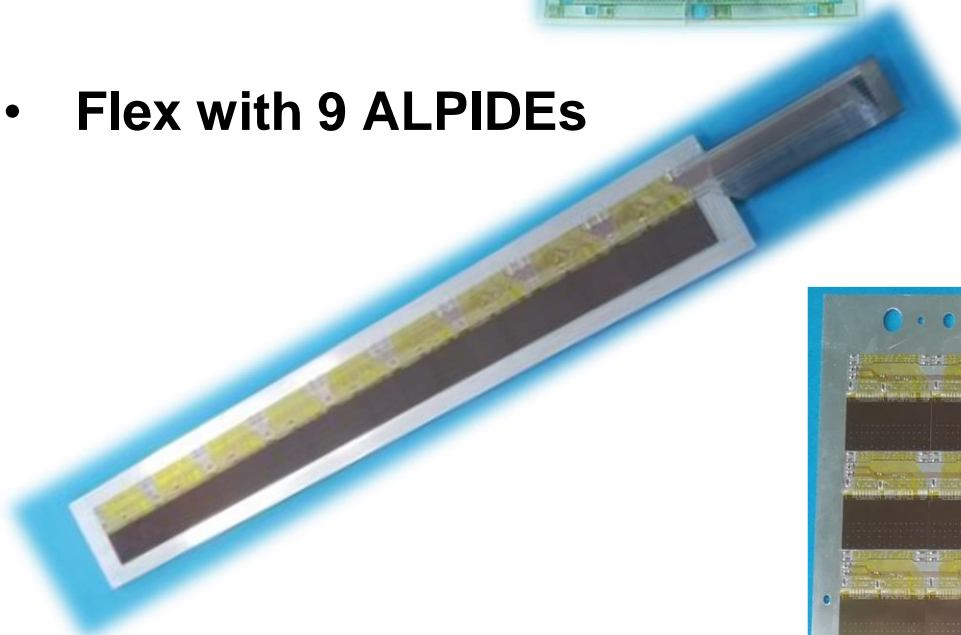


chip cable

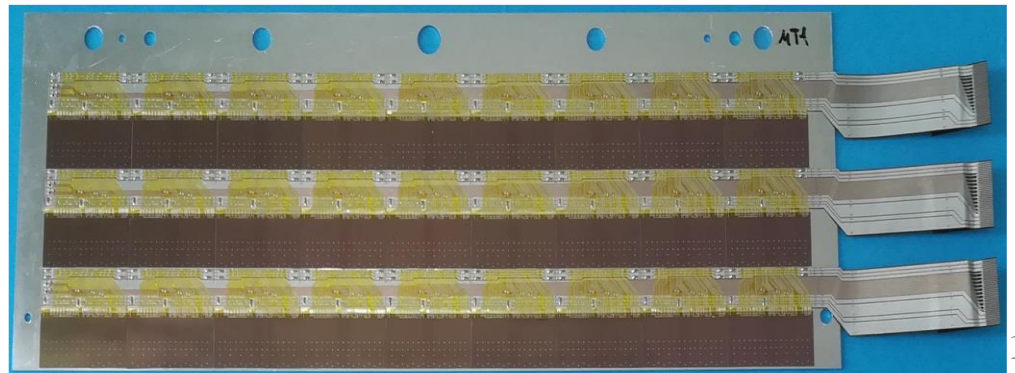


**Design and production:  
LTU, Kharkiv, Ukraine**

- **Flex with 9 ALPIDEs**



- **Module - flex on Al carrier  
flexible carrier board modules  
with 2x3 strings with 9 chips each**



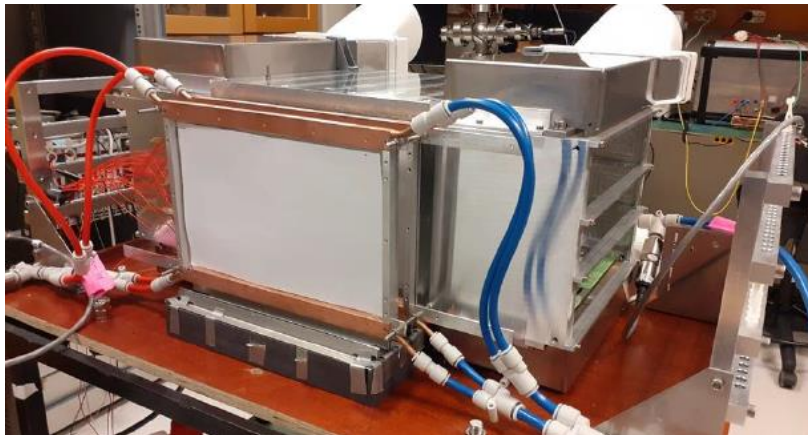
# Assembly at IFT/UiB

- **Ultra-thin tracking layers**
  - **thinned ALPIDEs (50  $\mu\text{m}$ ) mounted on a thin flex and glued to a large sandwiched carbon fiber sheet (pyrolytic graphite paper + carbon fleece + epoxy resin)**

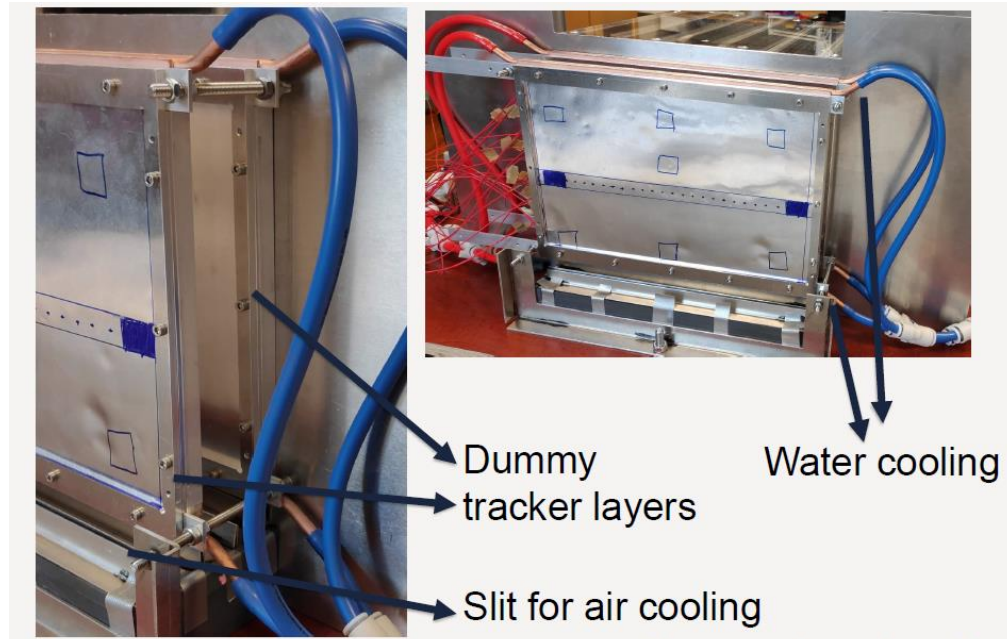
Sandwiched carbon fiber sheet,  
fabricated at St Petersburg State University



- **Setup in the lab**



mechanical integration and cooling

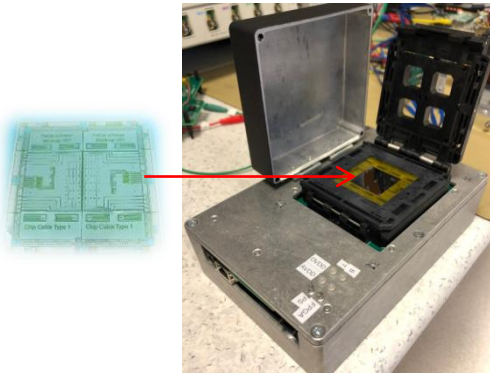


Prototype tracking layers designed fabricated by Utrecht University, tested at University of Bergen

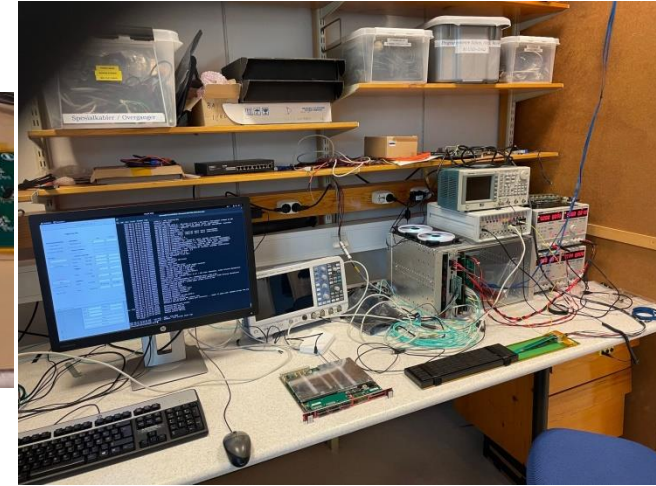


# Readout electronics

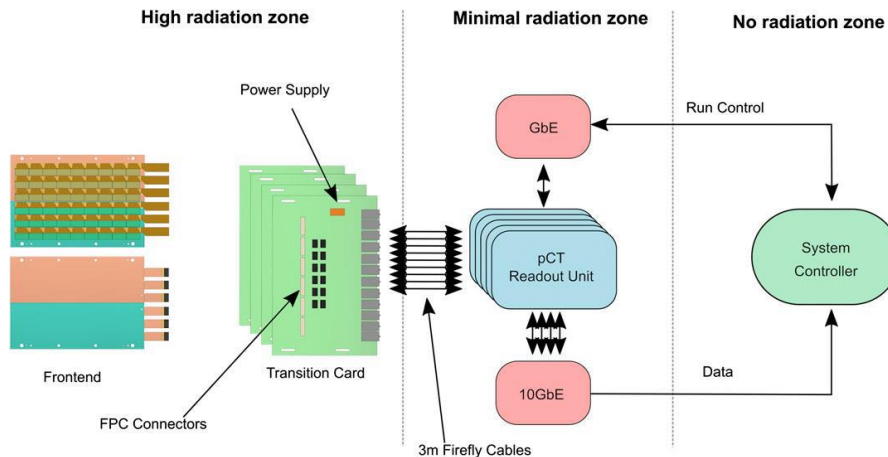
- Test station for ALPIDE sensor mounted on chip cable



- Test station for full 9-chip string



- pCT readout unit – FPGA based design

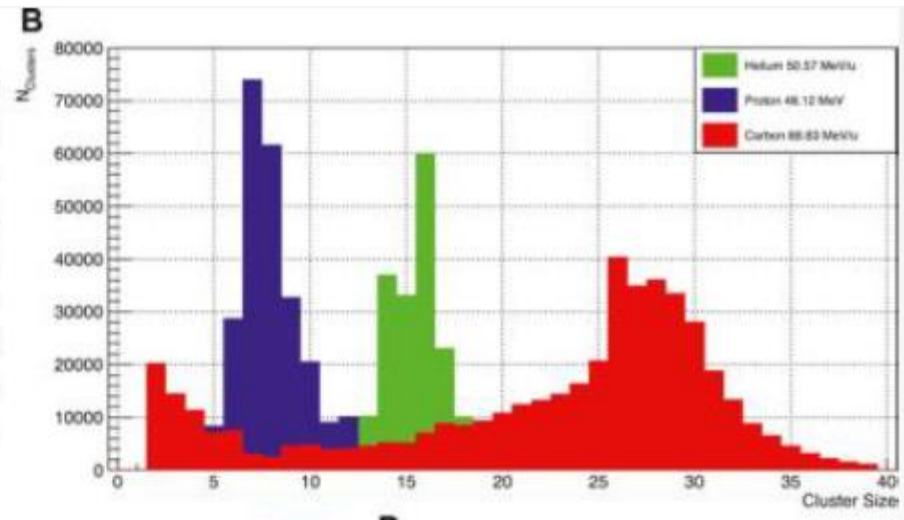
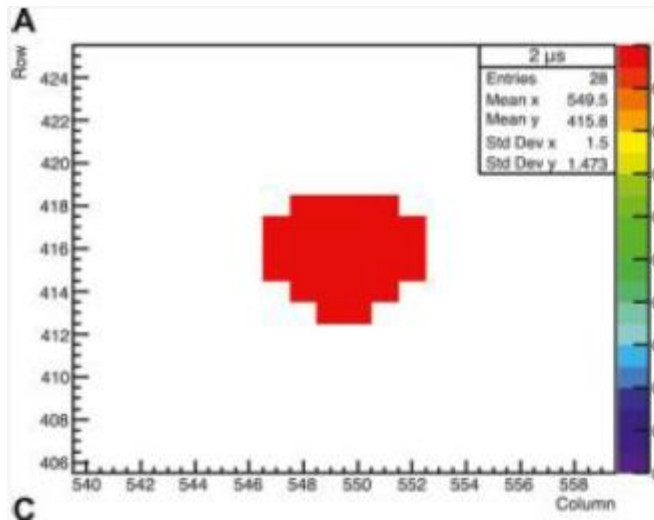


# How to measure energy loss with a digital pixel sensor?

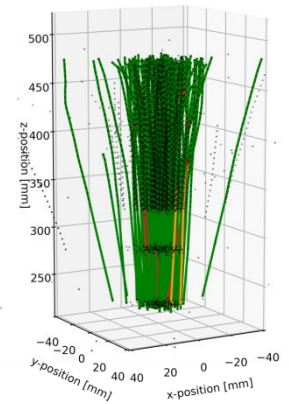
- Operate ALPIDE in "charge collection by diffusion mode"
- Measure size of charge cluster

$\alpha$  particle

proton –  $\alpha$  – C



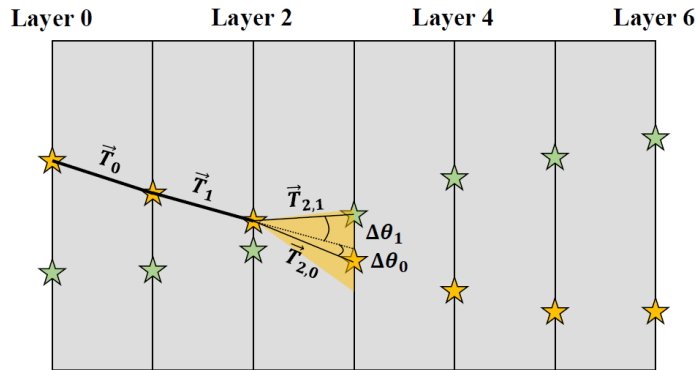
# Track reconstruction



H. Pettersen,  
PhD thesis,  
UiB, 2018

- **Extrapolating track-following algorithm**

- traces the estimated path of each proton, starting from the clusters in the distal layers of the detector

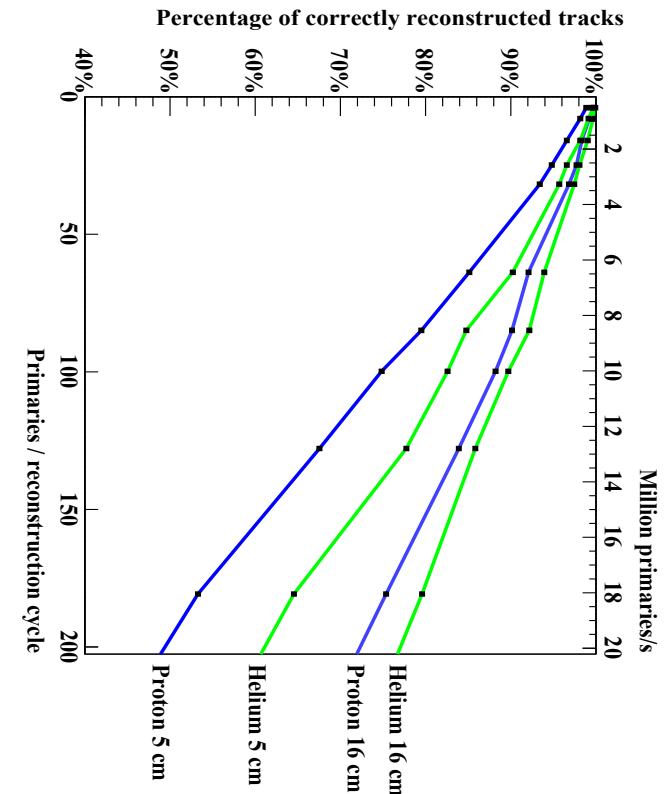


- **Simulation setup**

- Gaussian pencil beam, FWHM of 7 mm
- Two different imaged objects: thickness of 5 and 16 cm
- ALPIDE readout frame: 10  $\mu$ s

- **Efficiency**

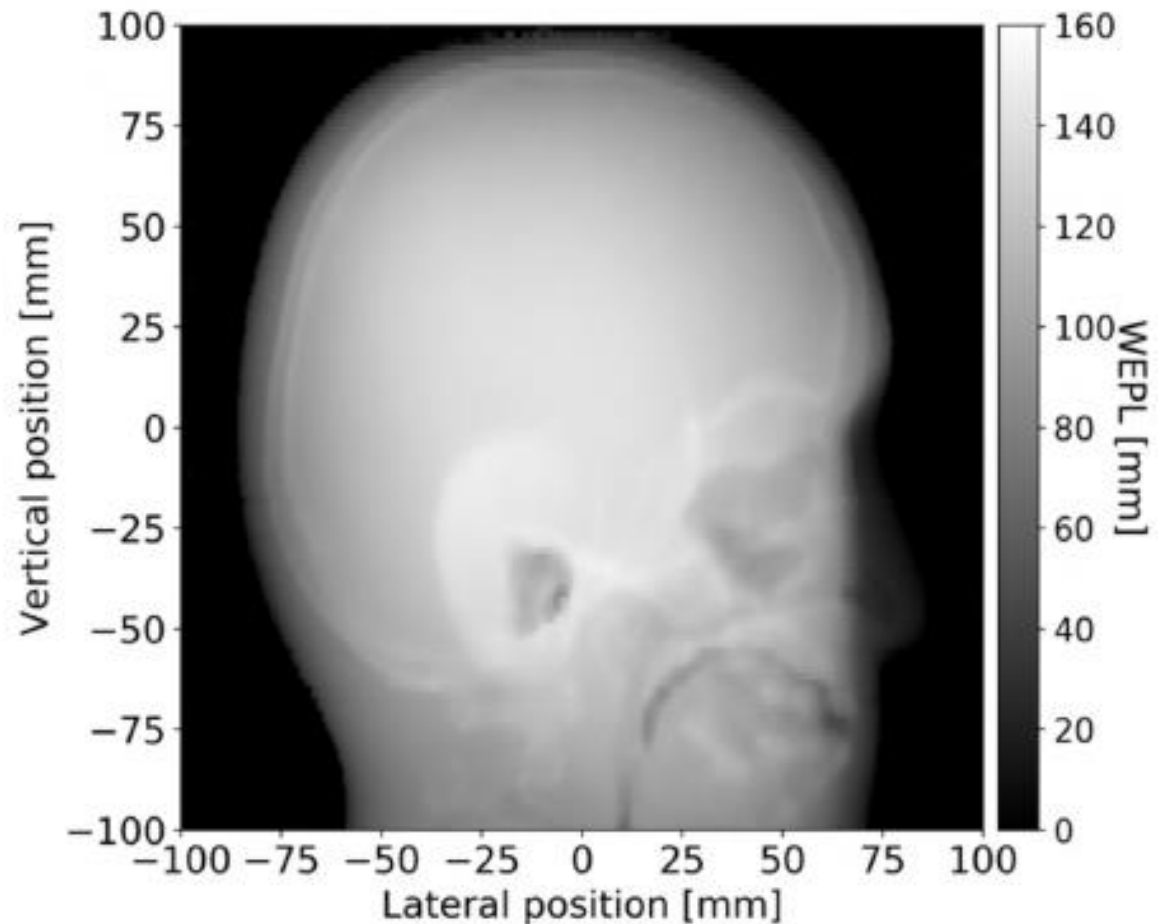
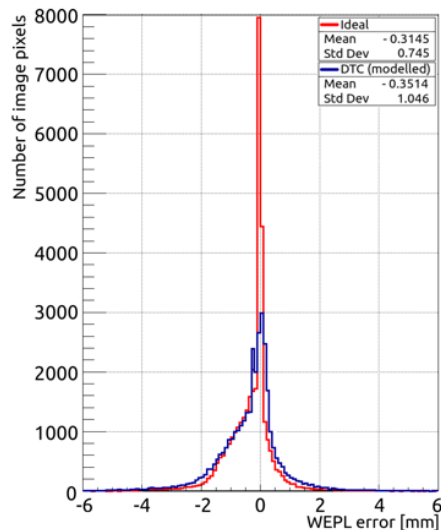
- ~100 protons/frame @ 80% efficiency
- ->  $2 \times 10^6$  protons/cm<sup>2</sup>/s



# Radiographic image reconstruction - pRAD

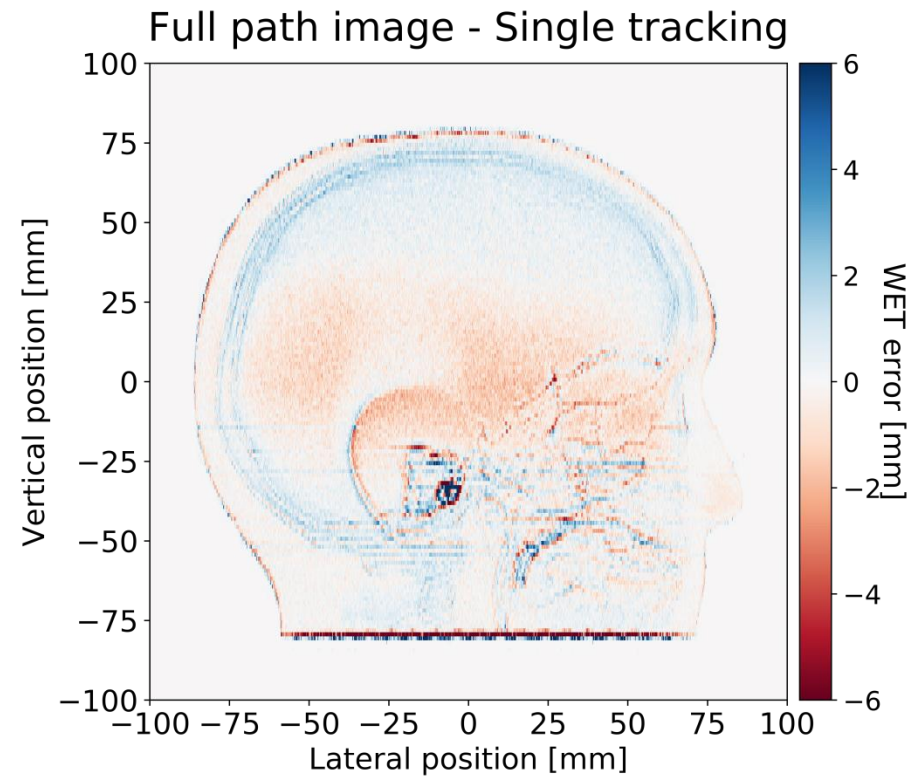
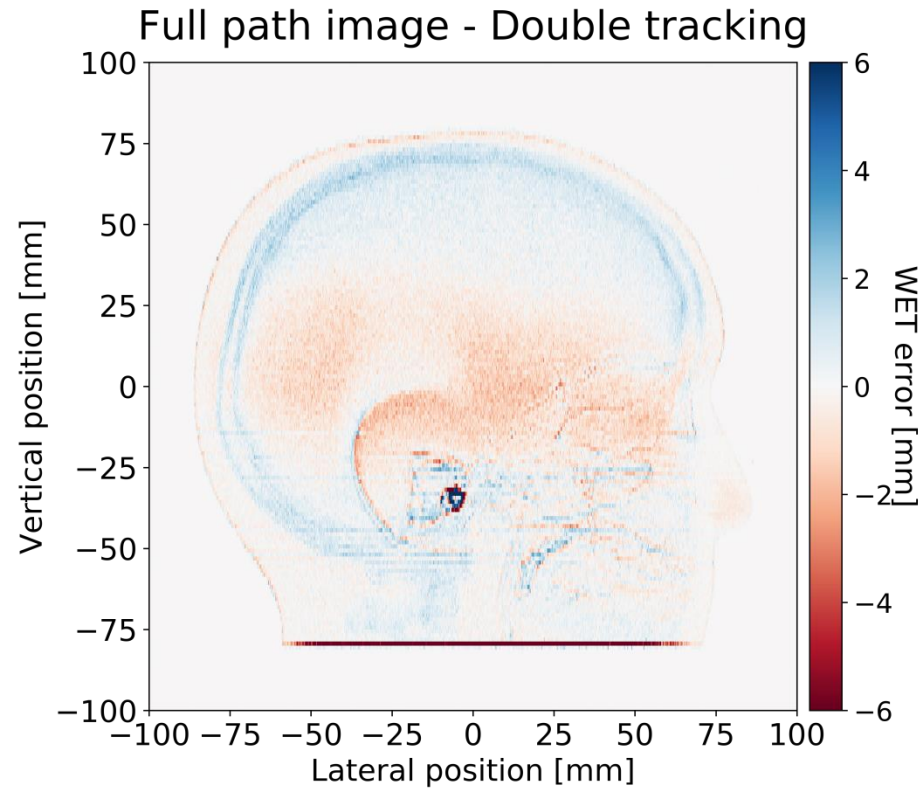
- **Head phantom radiograph (simulation)**

- **230 MeV**
- **$10^7$  protons**
- **$\sim 15 \mu\text{Sv}$  deposited dose**



# Radiographic image reconstruction - pRAD

- **Quality of head phantom radiographs – WET\* errors (simulation)**



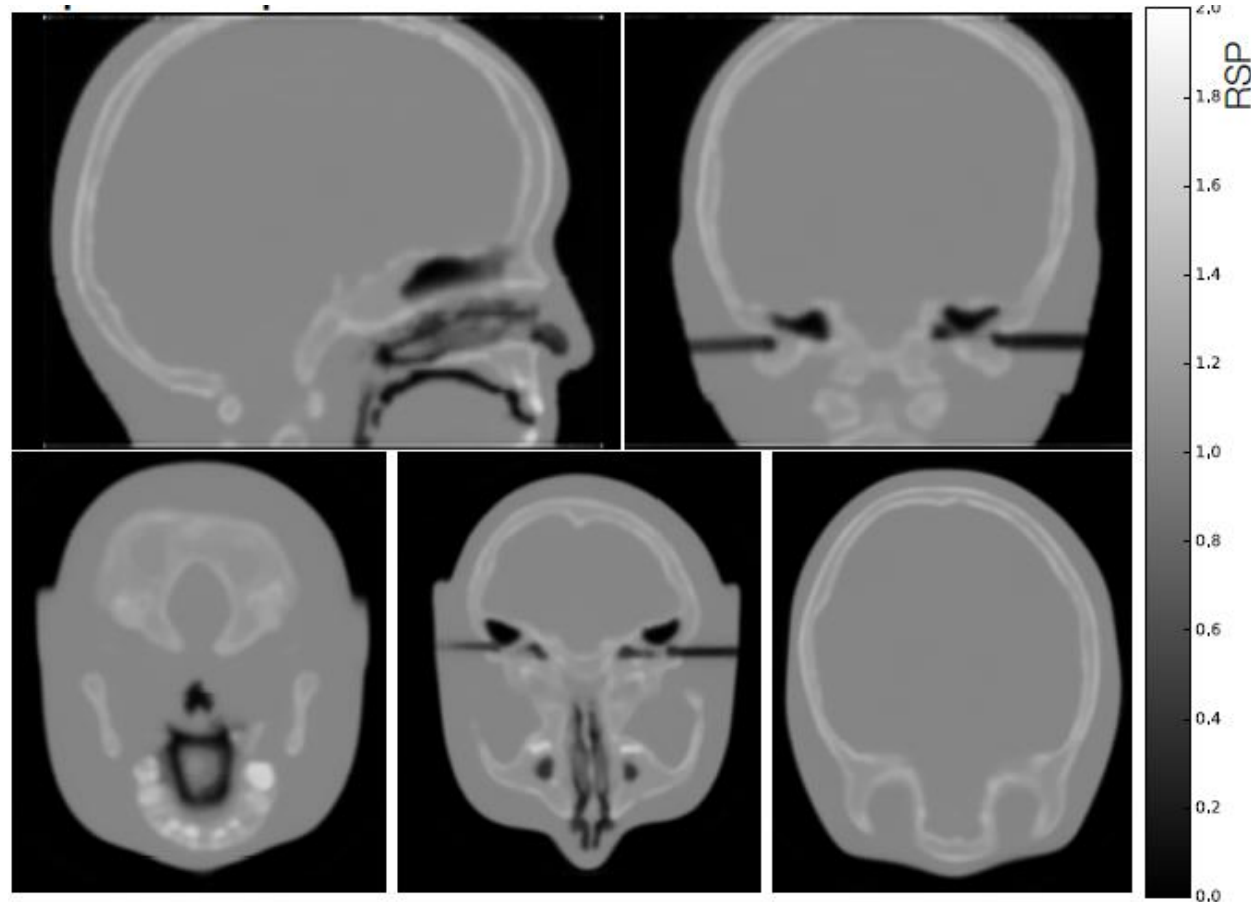
\* WET: Water Equivalent Thickness

Collins-Fekete, C.-A., et al., (2016). A maximum likelihood method for high resolution proton radiography/proton CT, *Physics in Medicine and Biology* 61 (23): 8232.

# pCT (3D) reconstruction

- **Head phantom pCT (simulation)**

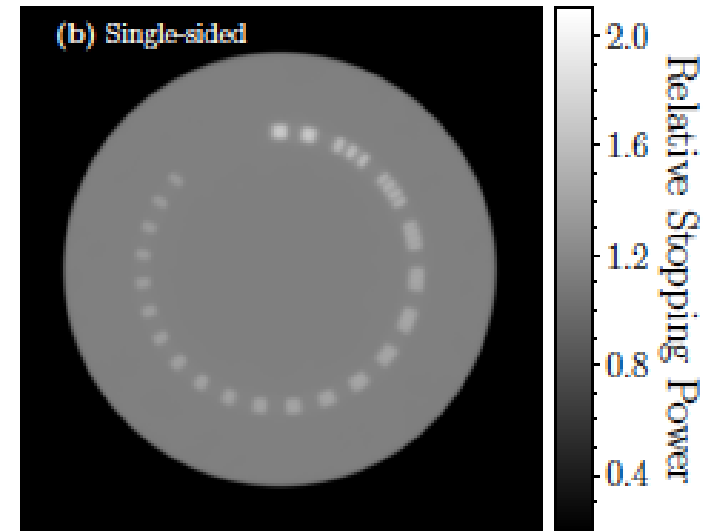
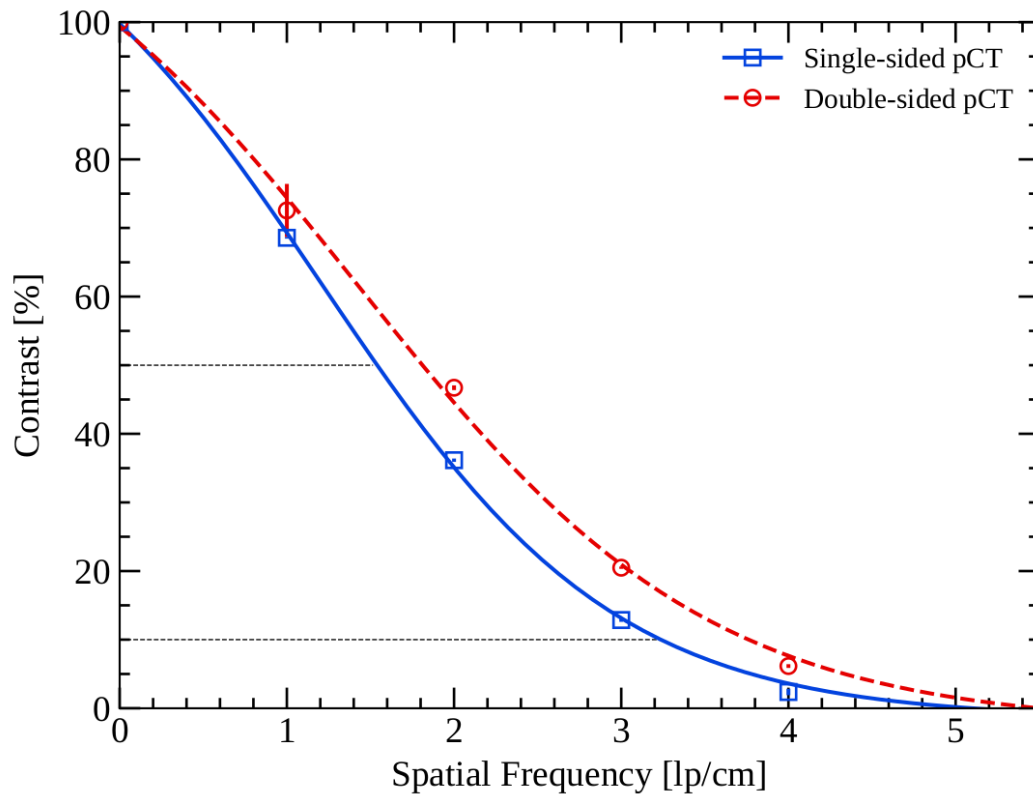
- **230 MeV**
- **360 projections, 1° steps**
- **$3.5 \times 10^6$  protons per projection**
- **$7.9 \times 10^8$  protons for 3D reconstruction**



Algorithms:  
DROP, TVS, FDK;  
Penfold, S. N., et al., (2010).  
Total variation superiorization  
schemes in proton computed  
tomography image reconstruction,  
Medical Physics 37 (11): 5887–5895.

# pCT (3D) reconstruction

- **Reconstruction of the Catphan® CTP528 line pair module (simulation)**

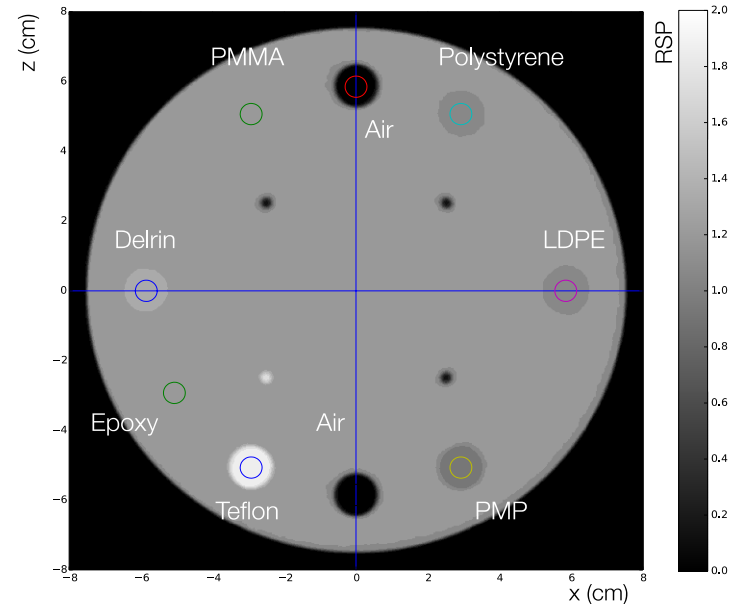
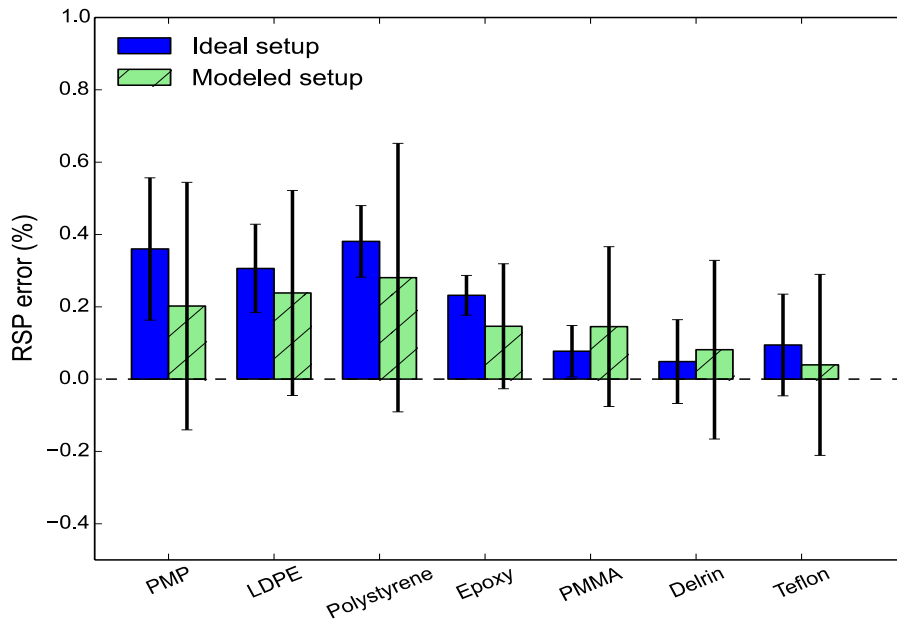


Algorithms:  
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Penfold, S. N., et al., (2010).  
Total variation superiorization  
schemes in proton computed  
tomography image reconstruction,  
Medical Physics 37 (11): 5887–5895.

# pCT (3D) reconstruction

- Reconstruction of the CTP404 phantom (simulation)

## RSP resolution



Algorithms:  
DROP, TVS, FDK;  
Penfold, S. N., et al., (2010).  
Total variation superiorization  
schemes in proton computed  
tomography image reconstruction,  
Medical Physics 37 (11): 5887–5895.



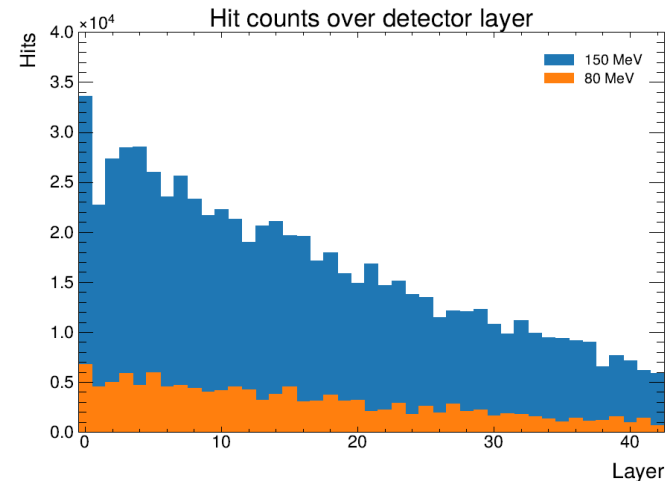
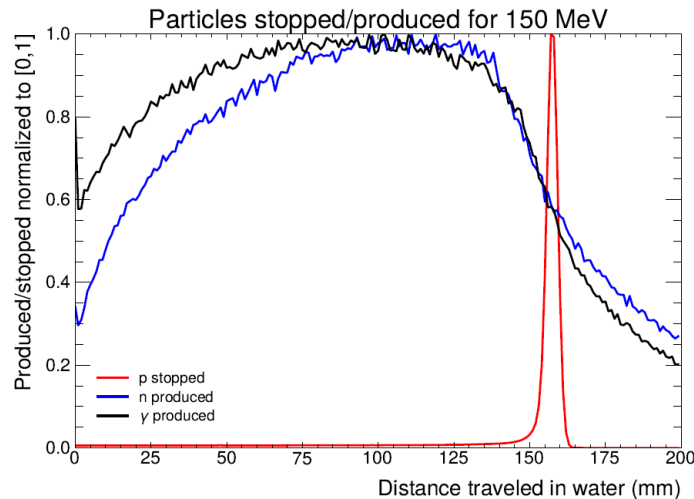
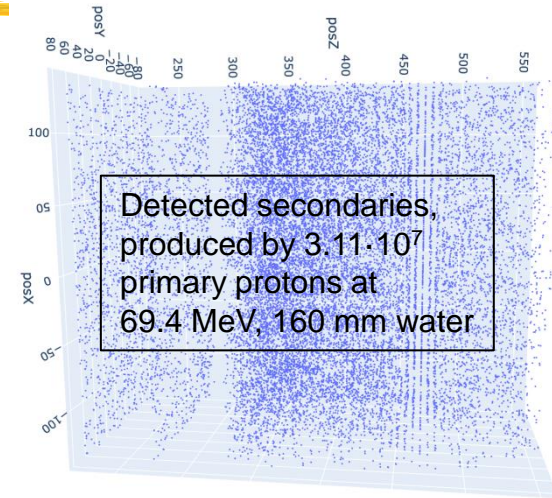
# Online dose delivery monitoring

- **Online Bragg peak monitoring during treatment**

- **pCT as an imaging calorimeter detects all secondaries**
  - charged particles, photons and neutrons

-> pCT as online particle/energy flow monitor

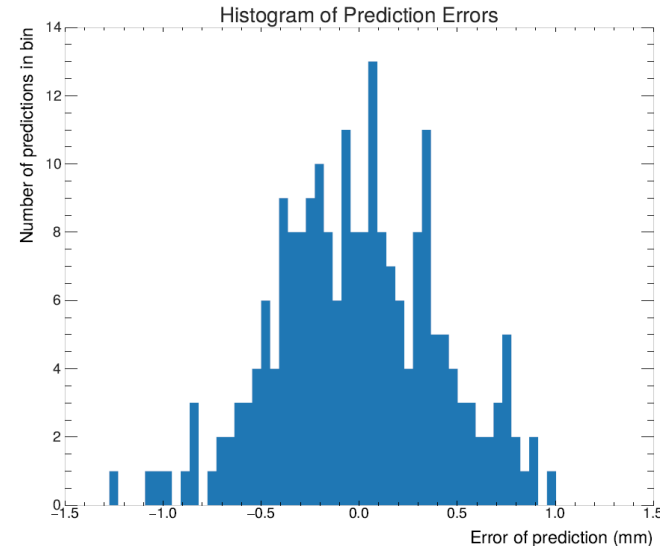
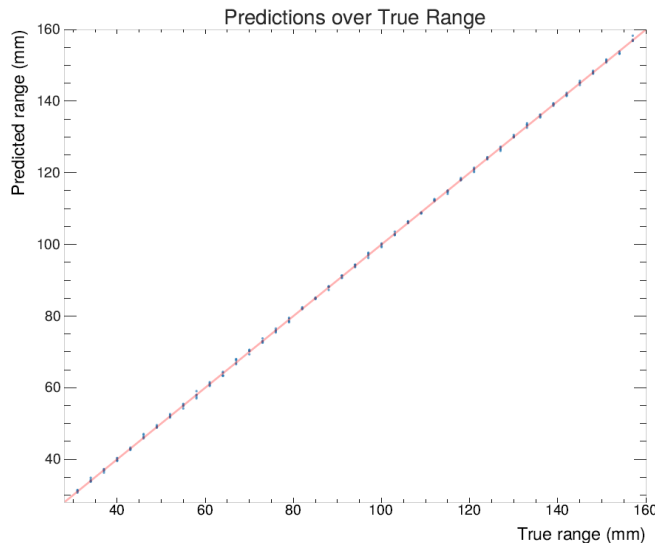
- **Production points of secondaries in a water phantom of 200 mm thickness and hit distribution inside pCT**



# Matching the 3D-position of the Bragg peak inside the patient to the shower shape of emitted particles

- **Machine Learning**
  - **Feature Extraction**
    - **3D hit cloud: total number of active pixels, cluster size, clusters and energy deposition vs layer, ...**
  - **Regression models predict beam range based on extracted features**
    - **Linear Regression, Gaussian Process, Deep Neural Network, ...**

A. Schilling,  
University of Applied  
Sciences Worms,  
PhD thesis (TU  
Kaiserslautern), in  
preparation



preliminary  
results

→ **Sub-mm position resolution of the Bragg peak position**

# Conclusion

- **Quasi-online dose plan verification**
  - Spatial resolution of 1-2 mm
  - Water-Equivalent-Thickness (WET) precision of 1-2 mm
  - Low dose → daily adaptive treatment
- **Online dose delivery monitoring**
  - Sub-mm position resolution of the Bragg peak position in real-time
- **Construction of the pCT system**
  - Sensors have been produced
  - Mounting of sensors to flex cables will start soon
  - Assembly and integration into services (power, cooling, readout)
- **Commissioning with proton beams at the Bergen proton therapy facility in 2024/25**



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**This is the end**