## **Correlations and Fluctuations in Heavy Ion Collisions**

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# Part I Very short Introduction

#### Why correlations and fluctuations?

- Looking only at the averages can deceive you.
  - \* (Ex.) Micro-canonical, Canonical, Grand-canonical  $E, \langle E \rangle$  can be all the same, but *fluctuations* are not.

- To study a blackbox Shake and listen.
  - \* Disturb the system with a *known* force and observe the response
  - \* Response function:  $\theta(t-t') \langle [\rho(x), \rho(x')] \rangle$

#### Why corr. and fluct.? – Cont.

- First order phase transition: Entropy, Volume, Enthalpy becomes discontinuous – Finite latent heat
- Second order phase transition: S, V, H changes smoothly No latent heat – but their derivative becomes discontinuous
  - \* In Stat-Mech: Derivative of an average  $\Longrightarrow$ Susceptibility  $\Longrightarrow$ Fluctuation

(Ex.) 
$$\chi_M = \frac{1}{V} \frac{\partial M}{\partial H} \propto \left\langle M^2 \right\rangle - \left\langle M \right\rangle^2$$

- Second order phase transition: Long range fluctuations. =>All wavelengths are excited
- Can also use Quantum correlations HBT

#### In heavy ion collisions ...

- A lot of the 'QGP signals' and 'QGP puzzles' involve correlations/fluctuations one way or another
  - \* HBT : 2-Point momentum correlation function
  - \* Elliptic flow  $(v_2)$ : Conditional probability. (Recall: P(a|b) = P(a,b)/P(b).) Given the reaction plane, what is the excess ...
  - \* Jet quenching : Conditional probability. Given we see a near side jet ...
  - $\ast p_T$  fluctuations
  - \* Multiplicity fluctuations
  - \* Net charge fluctuations
  - \* Many more ...

#### **Motivation**



And this happens with local thermal equilibrium maintained. (That's the assumption anyway.)

#### **Basic Assumptions**

- 1 Collision event = 1 member of an statistical ensemble.
- Doesn't have to be an equilibrium ensemble.
- Averages:

$$\langle O \rangle = \mathrm{Tr} \hat{\rho} O \leftrightarrow \frac{1}{N_{\mathrm{events}}} \sum_{i=1}^{N_{\mathrm{events}}} O_i$$

• Correlations:

$$\langle O_x O_y \rangle = \mathrm{Tr} \hat{\rho} O_x O_y \leftrightarrow \frac{1}{N_{\mathrm{events}}} \sum_{i=1}^{N_{\mathrm{events}}} O_x^i O_y^i$$

• Fluctuations:

$$\left\langle \Delta O^2 \right\rangle = \left\langle O^2 \right\rangle - \left\langle O \right\rangle^2$$

#### Hope to see (but we won't)



# Part II Charge Independent Correlations and Fluctuations

#### **Multiplicity Fluctuations**

• Simplest test of thermalization

$$\langle N \rangle = gV \int \frac{d^3p}{(2\pi)^3} n(E_p)$$
$$\left\langle \Delta N^2 \right\rangle = gV \int \frac{d^3p}{(2\pi)^3} n(E_p) [1 \pm n(E_p)] \sim \langle N \rangle$$

• In  $\bar{p}p$  between 10 GeV  $<\sqrt{s}<$  546 GeV, UA1 and UA5 found,

 $E\frac{d\sigma}{d^3p} = \begin{cases} A \exp(-bm_T) & \text{Low } p_T : \text{Bulk. Looks thermal.} \\ B(1+p_0/p_T)^{-n} & \text{High } p_T \end{cases}$ 

But

$$\left\langle \Delta N^2 \right\rangle = \left\langle N \right\rangle \left( 1 + \left\langle N \right\rangle / k \right) \sim \left\langle N \right\rangle^2$$

with  $k \sim 3-4$  and  $\langle N \rangle \sim 20-30$ No multiple re-scatterings  $\Longrightarrow$  No real thermalization

### **STAR Data from QM01**

J.G.Reid, "STAR Event-by-event Fluctuations".

For  $\sqrt{s_{NN}} = 130 \, {\rm GeV}$ ,

• 
$$\left\langle \Delta N_{\rm ch}^2 \right\rangle = 2.09 \left\langle N_{\rm ch} \right\rangle = \left\langle N_{\rm ch} \right\rangle + \sigma_V^2 + \sigma_R^2$$

• With 
$$\sigma_V^2 = 0.83 \langle N_{ch} \rangle$$
 and  $\sigma_R^2 = 0.25 \langle N_{ch} \rangle$   
 $\left\langle \Delta N_{ch}^2 \right\rangle_{corr.} \approx \langle N_{ch} \rangle$ 

• Food for thoughts only. This analysis is still 'preliminary'.

#### **DATA from NA49**

Marek Gazdzicki, Correlations and Fluctuations 2005



Note that the previously shown results for C+C and Si+Si collisions were incorrect, the reanalysis is in progress

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### **DATA from NA49**

Marek Gazdzicki, Correlations and Fluctuations 2005

The scaled variance in p+p interactions



Again,foodforthoughtsonly.Noconclusioncan be drawn.

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#### Mean $p_T$ Fluctuations

• Motivation:  $\pi - \sigma$ 



Diagram that contribute to the pion  $\langle \Delta n_k \Delta n_p \rangle$  correlator.

- At the critical point  $m_\sigma 
  ightarrow 0$
- If chiral symmetry is not explicitly broken  $G \rightarrow 0$
- Chiral symmetry is explicitly broken by  $m_q \neq 0 \implies G$  doesn't have to vanish  $\implies$  Pion  $p_T$  fluctuations can be large.

#### **Phenix Data**



• Excess seen. But can be explained by correlations among high  $p_T$  (jet) particles (with energy loss).

# Hanbury-Brown-Twiss y A(x,p)A'(x,p)

(x;p)

Signal from a distributed source:

$$\Psi_{\mathbf{p}}(\mathbf{y}) = \sum_{\mathbf{x}} A(\mathbf{x}, \mathbf{p}) e^{i\phi_{\mathbf{x}}} e^{i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})}$$
  
Squaring and using  $\left\langle e^{i(\phi_{\mathbf{x}}-\phi_{\mathbf{z}})} \right\rangle = \delta_{\mathbf{x},\mathbf{z}},$   
$$P(\mathbf{p}) = \sum_{\mathbf{x}} A(\mathbf{x}, \mathbf{p})^2 = \int d\mathbf{x} \, \rho(\mathbf{x}) \, A(\mathbf{x}, \mathbf{p})^2$$

# $y_1 \\ p_1 \\ y_2 \\ p_2 \\ p_2$

Detector 1 got  $p_1$  and detector 2 got  $p_2$ .

HBT

Total amplitude have  $\mathbf{p}_1$  at  $\mathbf{y}_1$  and  $\mathbf{p}_2$  at  $\mathbf{y}_2$ :

$$\Psi(\mathbf{y}_{1}, \mathbf{p}_{1}; \mathbf{y}_{2}, \mathbf{p}_{2}) = \frac{1}{2} \sum_{\mathbf{x}, \mathbf{z}} \left( A(\mathbf{x}, \mathbf{p}_{1}) e^{i\mathbf{p}_{1} \cdot (\mathbf{x} - \mathbf{y}_{1})} e^{\phi_{\mathbf{x}}} A(\mathbf{z}, \mathbf{p}_{2}) e^{i\mathbf{p}_{2} \cdot (\mathbf{z} - \mathbf{y}_{2})} e^{\phi_{\mathbf{z}}} + A(\mathbf{z}, \mathbf{p}_{1}) e^{i\mathbf{p}_{1} \cdot (\mathbf{z} - \mathbf{y}_{1})} e^{\phi_{\mathbf{z}}} A(\mathbf{x}, \mathbf{p}_{2}) e^{i\mathbf{p}_{2} \cdot (\mathbf{x} - \mathbf{y}_{2})} e^{\phi_{\mathbf{x}}} \right)$$

#### HBT

• Squaring and using  $\left\langle e^{i(\phi_{\mathbf{x}}-\phi_{\mathbf{z}})} \right\rangle = \delta_{\mathbf{x},\mathbf{z}}$ ,

$$C(\mathbf{p}_{1}, \mathbf{p}_{2}) = \frac{P(\mathbf{p}_{1}, \mathbf{p}_{2})}{P(\mathbf{p}_{1})P(\mathbf{p}_{2})} = 1 + \left| \int d\mathbf{x} \, \rho_{\text{eff}}(\mathbf{x}) e^{i(\mathbf{p}_{1} - \mathbf{p}_{2}) \cdot \mathbf{x}} \right|^{2}$$
  
with  $\rho_{\text{eff}}(\mathbf{x}) = \rho(\mathbf{x}) \frac{A(\mathbf{x}, \mathbf{p}_{1})A(\mathbf{x}, \mathbf{p}_{2})}{\sqrt{P(\mathbf{p}_{1})P(\mathbf{p}_{2})}}$ 

• Assume plane waves and

$$\rho_{\text{eff}}(\mathbf{x}) = \mathcal{N} e^{-\mathbf{x}^2/R^2 - t^2/\Delta \tau^2}$$

With  $q = p_1 - p_2$  and  $q_0 = E_1 - E_2$ , this yields

$$C(\mathbf{p}_1, \mathbf{p}_2) = 1 + e^{-\mathbf{q}^2 R^2 / 2 - q_0^2 \Delta \tau^2 / 2}$$

• Now note:  $q_0^2 = (E_1 - E_2)^2 = |(\mathbf{p}_1 - \mathbf{p}_2) \cdot (\mathbf{p}_1 + \mathbf{p}_2)/(E_1 + E_2)|^2$ Define  $\mathbf{q}_{out} = (\mathbf{q} \cdot \hat{\beta})\hat{\beta}$   $\hat{\beta}$ : the unit vector in the  $\mathbf{p}_1 + \mathbf{p}_2$  direction Get  $G(\mathbf{p}_1 - \mathbf{p}_2) = 1 + \exp(-\mathbf{q}_2^2 - \mathbf{p}_2^2 - (2 - \mathbf{q}_2^2 - \mathbf{q}_2^2 - \mathbf{q}_2^2 - (2 - \mathbf{q}_2^2 - \mathbf{q$ 

 $C(\mathbf{p}_1, \mathbf{p}_2) = 1 + \exp\left(-q_{\text{out}}^2 R_{\text{out}}^2/2 - q_{\text{side}}^2 R_{\text{side}}^2/2 - q_{\text{long}}^2 R_{\text{long}}^2/2\right)$ with

$$R_{\rm out}^2 = R^2 + \beta_T^2 \Delta \tau^2$$

 $\mathbf{q}_{\text{long}} || \text{beam, } \mathbf{q}_{\text{out}} \perp \mathbf{q}_{\text{side}} \text{ and } \mathbf{q}_{\text{out}} \perp \mathbf{q}_{\text{long}}$ 

• Must have

$$R_{\sf side} < R_{\sf out}$$

#### The HBT Puzzle



- $R_{side} \approx R_{out}$  !!!
- Conventional interpretation is out.
- What is the source shape?
- Static vs. Evolving system?
- Puzzle remains.



Gyulassy, Levai, Vitev (GLV), X.-N. Wang, Baier, Dokshitzer, Mueller, Peigne, Schiff (BDMPS), Zakharov, Wiedemann, Kovner, Turbide, Gale, Jeon, Moore, ...

### Jet quenching – Theory

Diagrams to sum:



Need to sum over M and N and then square to get the radiation rate: Landau-Pomeranchuck-Migdal effect

#### **SD Equation for Gluon Radiation**

Must take care of:

- $\bullet$  Gluon momentum  ${\bf k}$  can change now.
- Color factors.
- Must keep track of quarks and gluons.

$$2\mathbf{h} = i\delta E(\mathbf{h}, p, k)\mathbf{F}(\mathbf{h}) + g^{2} \int \frac{d^{2}\mathbf{q}_{\perp}}{(2\pi)^{2}} C(\mathbf{q}_{\perp}) \times \\ \times \Big\{ (C_{s} - C_{A}/2)[\mathbf{F}(\mathbf{h}) - \mathbf{F}(\mathbf{h} - k\,\mathbf{q}_{\perp})] \\ + (C_{A}/2)[\mathbf{F}(\mathbf{h}) - \mathbf{F}(\mathbf{h} + p\,\mathbf{q}_{\perp})] \\ + (C_{A}/2)[\mathbf{F}(\mathbf{h}) - \mathbf{F}(\mathbf{h} - (p-k)\,\mathbf{q}_{\perp})] \Big\}, \\ \delta E(\mathbf{h}, p, k) = \frac{\mathbf{h}^{2}}{2pk(p-k)} + \frac{m_{k}^{2}}{2k} + \frac{m_{p-k}^{2}}{2(p-k)} - \frac{m_{p}^{2}}{2p}.$$

#### **Gluon Radiation Rate**

$$\frac{d\Gamma_{g}(p,k)}{dkdt} = \frac{C_{s}g_{s}^{2}}{16\pi p^{7}} \frac{1}{1\pm e^{-k/T}} \frac{1}{1\pm e^{-(p-k)/T}} \times \\ \times \begin{cases} \frac{1+(1-x)^{2}}{x^{3}(1-x)^{2}} & q \to qg \\ N_{f} \frac{x^{2}+(1-x)^{2}}{x^{2}(1-x)^{2}} & g \to qq \\ \frac{1+x^{4}+(1-x)^{4}}{x^{3}(1-x)^{3}} & g \to gg \end{cases} \\ \times \int \frac{d^{2}h}{(2\pi)^{2}} 2h \cdot \operatorname{Re} F(h,p,k) ,$$

where  $x \equiv k/p$  is the momentum fraction in the gluon (or either quark, for the case  $g \to qq$ ).

 $h \equiv p \times k$ : 2-D vector.  $O(gT^2)$ 

#### **Time evolution equation**

$$\begin{aligned} \frac{dP_{q\bar{q}}(p)}{dt} &= \int_{k} P_{q\bar{q}}(p+k) \frac{d\Gamma_{qg}^{q}(p+k,k)}{dkdt} - P_{q\bar{q}}(p) \frac{d\Gamma_{qg}^{q}(p,k)}{dkdt} \\ &+ 2P_{g}(p+k) \frac{d\Gamma_{q\bar{q}}^{g}(p+k,k)}{dkdt} , \\ \frac{dP_{g}(p)}{dt} &= \int_{k} P_{q\bar{q}}(p+k) \frac{d\Gamma_{qg}^{q}(p+k,p)}{dpdt} + P_{g}(p+k) \frac{d\Gamma_{gg}^{g}(p+k,k)}{dkdt} \\ &- P_{g}(p) \left( \frac{d\Gamma_{q\bar{q}}^{g}(p,k)}{dkdt} + \frac{d\Gamma_{gg}^{g}(p,k)}{dkdt} \Theta(2k-p) \right) , \end{aligned}$$

- k integrals range:  $(-\infty,\infty)$ .
- k < 0: Absorption of thermal gluons.
- k > p: annihilation against and antiquark of energy (k p).
- $\Theta(2k-p)$ : To prevent double counting of final states.



#### **Data – Disappearance of Awayside**



STAR: Phys. Rev. Lett. 91 (2003) 072304

Part III Charge Dependent Correlations and Fluctuations

#### **Net Charge Fluctuations**

- Motivations [Jeon & Koch + Asakawa, Heinz & Muller]
  - \* Quarks carry fractional charges
  - \* Gluons are abundant
  - \* In QGP (with appropriate degeneracy factors (12+12+16))

$$\left\langle \Delta Q^2 \right\rangle = (9/4) \left\langle \Delta N_u^2 \right\rangle + (9/1) \left\langle \Delta N_d^2 \right\rangle$$

and invoking 'parton-hadron duality'  $\langle N_{ch} \rangle = (2/3)(\langle N_u \rangle + \langle N_d \rangle + \langle N_q \rangle)$ 

we get (and Lattice confirms it)

$$rac{\left< \Delta Q^2 \right>}{\left< N_{\mathsf{Ch}} \right>} pprox 1/4 - 1/3$$

#### **STAR Data**

• Usually given in terms of the ' $\nu$ -Dynamic'

Define

$$\nu_{+-} = \left\langle \left( \frac{N_+}{\langle N_+ \rangle} - \frac{N_-}{\langle N_- \rangle} \right)^2 \right\rangle = \left\langle \left( \frac{\Delta N_+}{\langle N_+ \rangle} - \frac{\Delta N_-}{\langle N_- \rangle} \right)^2 \right\rangle$$

and

$$\nu_{+-,\mathrm{dyn}} = \nu_{+-} - \frac{1}{\langle N_+ \rangle} - \frac{1}{\langle N_- \rangle} = \frac{4}{\langle N_{\mathrm{ch}} \rangle} \left( \frac{\left\langle \Delta Q^2 \right\rangle}{\langle N_{\mathrm{ch}} \rangle} - 1 \right)$$

\* 
$$\langle N_{\rm ch} \rangle \nu_{+-,\rm dyn} \approx -1 - -1.4$$
  
or  $\frac{\langle \Delta Q^2 \rangle}{\langle N_{\rm ch} \rangle} \approx 0.75 - 0.65 \Longrightarrow$ Consistent with hadronic gas

### **DATA from NA49**

Marek Gazdzicki, Correlations and Fluctuations 2005

#### ... and the experimental data



NA49, Phys.Rev.C70:064903,2004

## Why not?

- Rescatterings in the hadronic phase can be fatal
- QGP content may be small and local. Averaging over can hide it. Need a more local measure.

#### **Balance Functions**

[Pratt, Bass, Danielewicz]

• Definition: Within the given phase space,

$$B(\Delta y) = \frac{1}{2} \left[ \frac{N_{+-}(\Delta y) - N_{++}(\Delta y)}{\langle N_{+} \rangle} + \frac{N_{-+}(\Delta y) - N_{--}(\Delta y)}{\langle N_{-} \rangle} \right]$$

where  $N_{ij}(\Delta y) =$  Number of ij-pairs with  $\Delta y$  difference (in rapidity,  $q_{inv}$ , ...)

• In terms of 2 particle correlation functions

$$N_{ij}(\Delta y) = \int_{Y} dy_1 \int_{Y} dy_2 \,\rho_{ij}(y_1, y_2) \delta(\Delta y - |y_1 - y_2|)$$

where the subscript Y implies  $|y_i| < Y$ .

Normalization:

$$\int_{Y} dy_1 \int_{Y} dy_2 \rho_{ij}(y_1, y_2) = \left\langle N_i N_j \right\rangle_Y - \left\langle N_i \right\rangle_Y \delta_{ij}$$

#### Balance Func. – Cont.

• (My) Interpretation using a neutral cluster model Assume all charged particles come from neutral clusters.

$$P_{N_C}(\{y_i^+, y_i^-\}) = \prod_{i=1}^{N_C} f(y_i^+, y_i^-)$$

with  $f(x,y) = \mathcal{N} \exp(-|x-y|/\gamma) F((x+y)/2)$ [Thomas, Chao, Quigg]

If only a single species of clusters,

$$B(\Delta y) \propto e^{-|\Delta y|/\gamma_1}$$

• If a second QGP component develops,

 $B(\Delta y) \propto p_{\text{full}} p_{\Delta} e^{-|\Delta y|/\gamma_{\text{HG}}} + (1 - p_{\text{full}})(1 - p_{\Delta}) e^{-|\Delta y|/\gamma_{\text{QGP}}}$ with  $\gamma_{\text{HG}} > \gamma_{\text{QGP}}$ .

 $\implies$  More central collisions should have smaller width.

Data



- Reductions seen.
- Still averaging over too much.
- How to estimate fractions and  $\gamma_{\rm HG}/\gamma_{\rm QGP}$ ?

#### **Charge Transfer Fluctuations**

Idea:





- Observable:
  - \* Define:  $u(y) = [Q_F(y) Q_B(y)]/2$
- Suppose a neutral cluster R decays near y.
  - \*  $R \longrightarrow h^+ + h^-$  with a typical  $\Delta y = \lambda$
  - \* For each R decay, u(y) changes by  $\pm 1 \Longrightarrow Random walk$
  - \*  $D_u(y) = \left\langle \Delta u(y)^2 \right\rangle = N_{\text{steps}}(y) \approx \lambda \frac{dN_{\text{cluster}}}{dy}$
  - \* Since  $dN_{
    m Cluster}/dy \propto dN_{
    m Ch}/dy$ ,

$$\kappa(y)\equiv rac{D_u(y)}{dN_{\mathsf{Ch}}/dy}\propto\lambda(y)$$

#### Interpretations

• Neutral cluster models

Assume

$$P_{N_C}(\{y_i^+, y_i^-\}) = \prod_{i=1}^{N_C} f(y_i^+, y_i^-)$$

Then,

$$D_u(y) = \frac{\left< \Delta Q^2 \right>}{4} + 2 \left< N_C \right> \int_{y_o}^y dy_- \int_y^{y_0} dy_+ f(y_+, y_-)$$
  
with  $y_r \equiv y - y', Y = (y + y')/2$ 

 $f(y, y') = (1 - p) \exp(-|y_r|/\gamma_{\text{HG}}) F_{\text{HG}}(Y)$  $+ p \exp(-|y_r|/\gamma_{\text{QGP}}) F_{\text{QGP}}(Y)$ 

where  $\gamma_{HG} > \gamma_{QGP}$ 

*p*: Overall QGP fraction.

#### HG – STAR acceptance

Hadronic models with the single component results



## **Predictions** [Jeon, Shi]



• Hadronic models have *no* centrality dependence

- Predict
  - \* QGP width  $\approx 1.4$
  - \* QGP content  $\approx 20$  %
  - \* QGP correlation length = 0.3 0.6

#### A lot more data available

Followings copied from presentation by L.Ray, and C.Roland in Correlations and fluctuations workshop, 2005, MIT.





MIT

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## What about RHIC





#### STAR: Supriya Das, ICPAQGP2005, Kolkata INDIA

Correlations 05

Christof Roland / MIT



#### **Perspectives instead of conclusions**

There is no doubt that we have an elephant.

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But is it a Wall, Spear, Snake, Tree, Fan or Rope?

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But is it a Wall, Spear, Snake, Tree, Fan or Rope?

We need a wide, comprehensive perspective!  $\implies$  Need correlations!

#### What needs to be done

- A lot.
- Get as much as we can from *perturbative* QCD Thermal QCD, CGC, …
- Coordinated and concerted Lattice Effort Viscosities, Spectral functions, Susceptibilities that are *relevant* to the experiment [This is HARD.]
- Need a good formulation of non-equilibrium QFTs and ways to solve them.
- Failing that, need to build a physically motivated *consistent* (with QCD, ChPT, ...) model that can explain *majority* of SPS and RHIC phenomena and predict LHC
- New era for high energy QCD/Hadronic physics –

Good: Lots of exciting phenomena

Bad?: No systematic ways to get them (yet)

#### **Backup Slides**

Takes the baseline from Single particle inclusive distribution.

$$\Phi_x = \sqrt{\frac{\left\langle \Delta X^2 \right\rangle}{\left\langle N_e \right\rangle}} - \left\langle \Delta x^2 \right\rangle_{\text{incl}}$$

$$\Delta X = \sum_{i=1}^{N_e} (x_i - \langle x \rangle_{\text{incl}})$$

• Inclusive momentum distribution:

$$P_{\text{incl}}(p) = \frac{\langle n_p \rangle}{\sum_p \langle n_p \rangle} = \frac{\langle n_p \rangle}{\langle N_e \rangle}$$

$$* \langle p \rangle_{\text{incl}} = \sum_p pP(p) = \frac{1}{\langle N_e \rangle} \sum_p p \langle n_p \rangle$$

$$* \langle \Delta p^2 \rangle_{\text{incl}} = \langle p^2 \rangle_{\text{incl}} - \langle p \rangle_{\text{incl}}^2$$

• Event-by-event averages

$$\langle p \rangle_{\text{ebe}} = \frac{1}{N_{\text{event}}} \sum_{i_e=1}^{N_{\text{event}}} \frac{1}{N_e} \sum_{i_e=1}^{N_e} p_{i_e}$$
$$= \langle p \rangle_{\text{incl}} - \frac{\langle \Delta N_e \Delta M_e(p) \rangle}{\langle N_e \rangle}$$

with  $M_e(x) = (1/N_e) \sum_{i_e} x_{i_e}$ 

#### But

$$P(N) = \binom{N+k-1}{k-1} \left( \frac{\langle N \rangle / k}{1+\langle N \rangle / k} \right)^{N} \frac{1}{(1+\langle N \rangle / k)^{k}}$$

Variance:

$$\left\langle \Delta N^2 \right\rangle = \left\langle N \right\rangle (1 + \left\langle N \right\rangle / k)$$

with  $k\sim {\rm 3-4}$  and  $\langle N\rangle\sim {\rm 20-30}$