

Search of QCD phase transitions at finite density with the canonical approach

Masayuki Wakayama

CENuM, Korea University
Pukyong National University

Heavy Ion Meeting (HIM) 2019-10
@ APCTP, Pohang, Korea (2019.10.19)

Collaborations

- A. Nakamura (Far Eastern Federal Univ.)
- A. V. Molochkov (Far Eastern Federal Univ.)
- V. G. Bornyakov (NRC Kurchatov Inst.)
- H. Iida (Univ. of Tokyo)
- V. A. Goy (Far Eastern Federal Univ.)
- D. L. Boyda (Far Eastern Federal Univ.)
- V. I. Zakharov (NRC Kurchatov Inst.)

- A. Hosaka (RCNP, Osaka Univ.)

- Seung-il Nam (Pukyong National Univ.)

Contents

1. Introduction

Motivation, Monte Carlo Method, Sign problem

2. Canonical Approach

basic idea, history, number density formulation

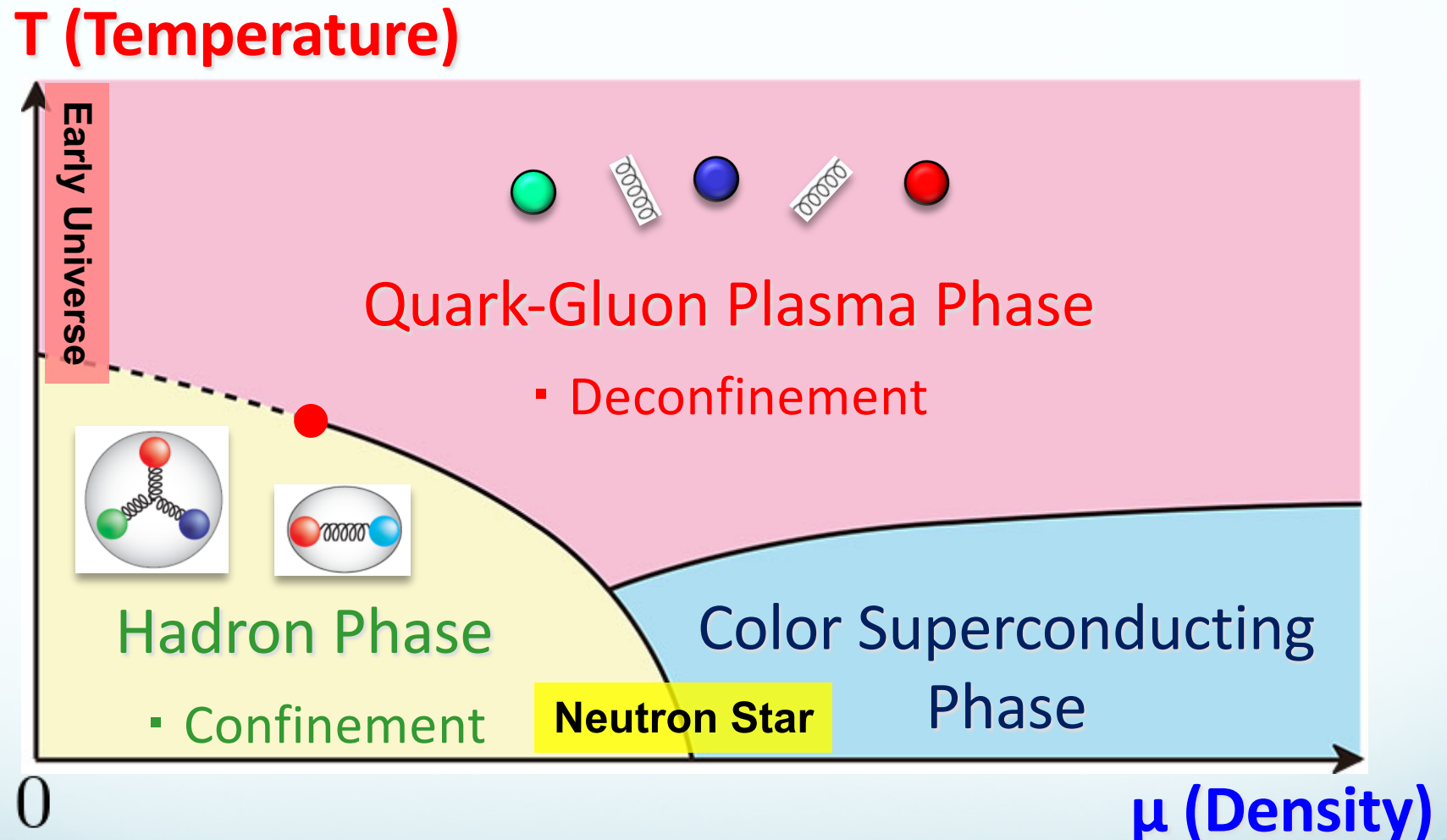
3. Lee-Yang zeros (LYZs)

4. Results of LYZs in lattice QCD

5. Results of LYZs in the NJL model

6. Summary & Future work

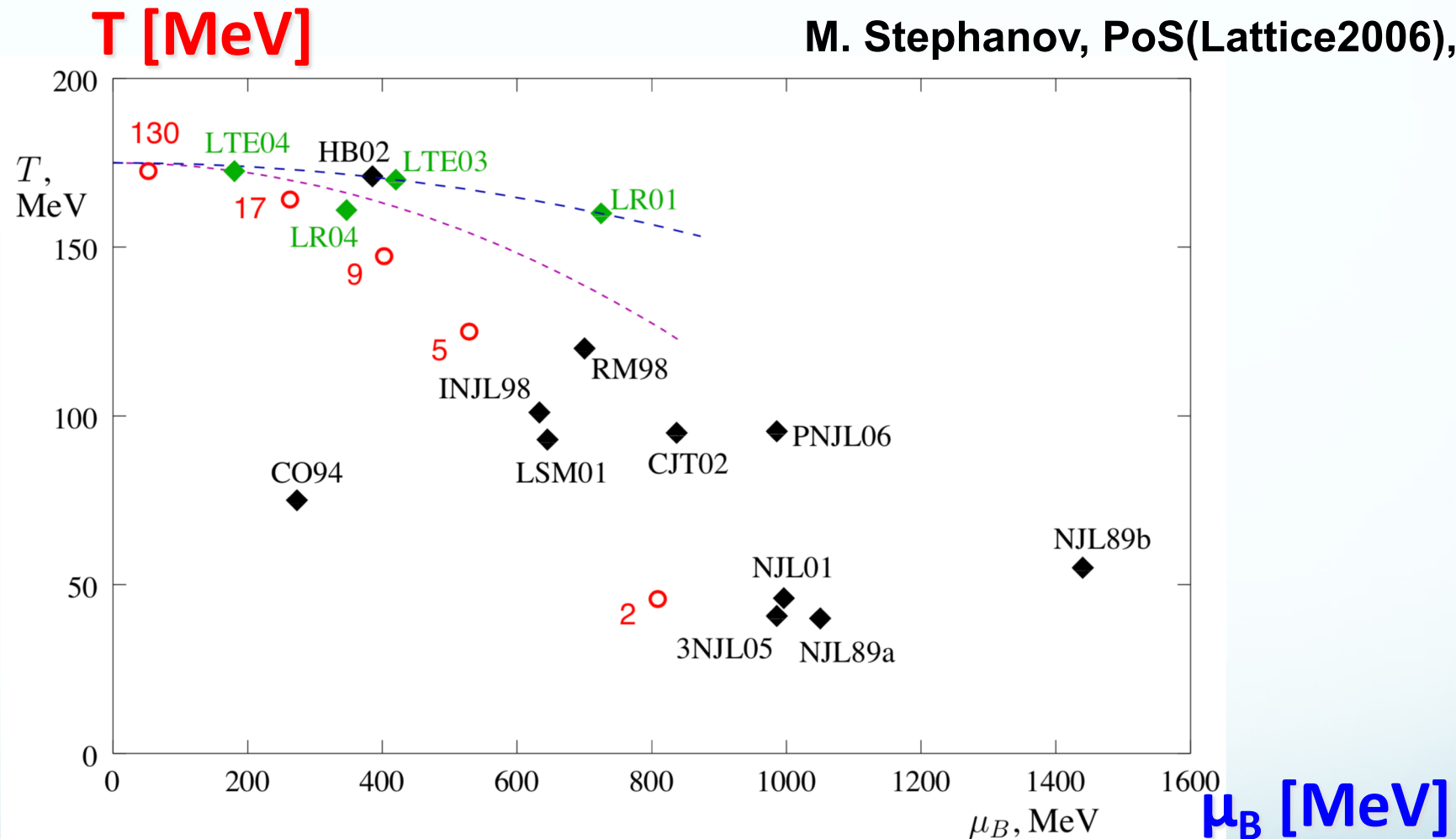
QCD Phase diagram (Prediction)



Where are the critical point and the phase transition line?

Predicted critical points

M. Stephanov, PoS(Lattice2006), 024



Where are the critical point and the phase transition line?
Lattice QCD at finite density: Existence of the sign problem

Monte Carlo Method

Grand canonical partition function

$$Z_{GC}(\mu_q) = \int \mathcal{D}U [\det D(\mu_q)]^{N_f} e^{-S_G}$$

S_G : Gauge action

$D(\mu_q)$: Fermion matrix

μ_q : Quark chemical potential

N_f : # of flavors

Expectation value of an arbitrary operator

$$\langle \mathcal{O} \rangle_{\mu_q} = \frac{1}{Z_{GC}(\mu_q)} \int \mathcal{D}U [\det D(\mu_q)]^{N_f} e^{-S_G} \mathcal{O}[U]$$

Degree of freedom for Gauge field “U”: (lattice size)x4x8

very large number integrations => **impossible!**

Monte Carlo Method

$$\langle \mathcal{O} \rangle_{\mu_q} = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N \mathcal{O}[U_i] \quad \text{with Probability: } [\det D(\mu_q)]^{N_f} e^{-S_G}$$

(importance sampling)

Sign Problem

S_G : Gauge action

$D(\mu_q)$: Fermion matrix

N_f : # of flavors

Monte Carlo Method

$$\langle \mathcal{O} \rangle_{\mu_q} = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N \mathcal{O} [U_i] \text{ with Probability: } [\det D(\mu_q)]^{N_f} e^{-S_G} \quad (\text{importance sampling})$$

| Chemical potential | $\det D(\mu_q)$ | Monte Carlo Method |
|--------------------|-----------------|--------------------|
| $\mu_q = 0$ | Real value | ○ |
| $\mu_q \neq 0$ | Complex value | ✗ (Sign Problem) |

$$D(\mu_q) = D_\nu \gamma_\nu + m + \mu_q \gamma_0$$

$$D(\mu_q)^\dagger = -D_\nu \gamma_\nu + m + \mu_q^* \gamma_0 = \gamma_5 D(-\mu_q^*) \gamma_5$$

$$[\det D(\mu_q)]^* = \det [D(\mu_q)^\dagger] = \det [\gamma_5 D(-\mu_q^*) \gamma_5] = \det D(-\mu_q^*)$$

Sign Problem

S_G : Gauge action

$D(\mu_q)$: Fermion matrix

N_f : # of flavors

Monte Carlo Method

$$\langle \mathcal{O} \rangle_{\mu_q} = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N \mathcal{O} [U_i] \quad \text{with Probability: } [\det D(\mu_q)]^{N_f} e^{-S_G} \quad (\text{importance sampling})$$

| Chemical potential | $\det D(\mu_q)$ | Monte Carlo Method |
|--------------------------------|-------------------|--------------------|
| $\mu_q = 0$ | Real value | ○ |
| $\mu_q \neq 0$ | Complex value | ✗ (Sign Problem) |
| Pure Imag. $\mu_q = i\mu_{qI}$ | Real value | ○ |

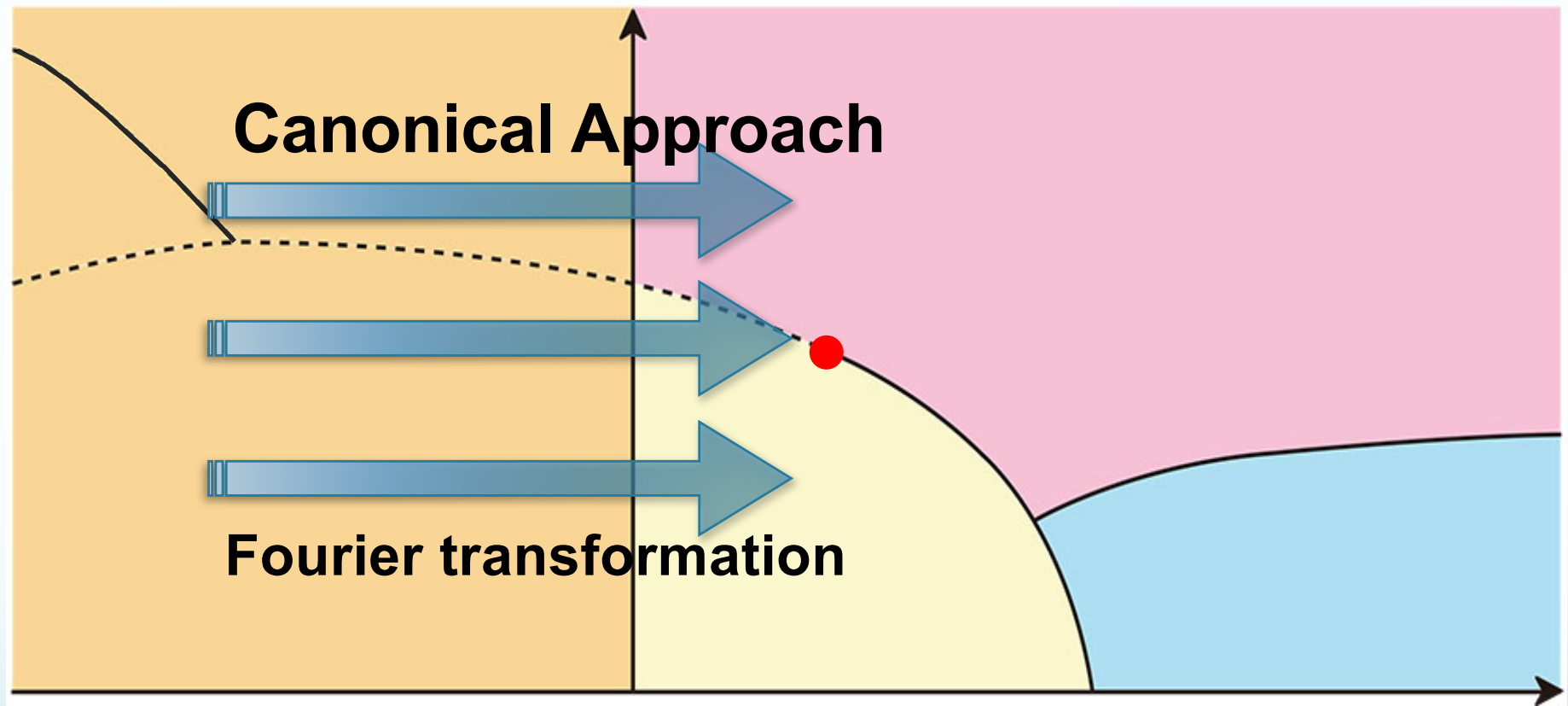
$$D(\mu_q) = D_\nu \gamma_\nu + m + \mu_q \gamma_0$$

$$D(\mu_q)^\dagger = -D_\nu \gamma_\nu + m + \mu_q^* \gamma_0 = \gamma_5 D(-\mu_q^*) \gamma_5$$

$$[\det D(\mu_q)]^* = \det [D(\mu_q)^\dagger] = \det [\gamma_5 D(-\mu_q^*) \gamma_5] = \det D(-\mu_q^*)$$

QCD Phase diagram (Prediction)

T (Temperature)



$$[\det D(i\mu_{qI})]^* = \det D(i\mu_{qI}) \quad 0 \quad [\det D(\mu_q)]^* = \det D(-\mu_q^*) \quad \mu_q^2$$

Pure imaginary chemical potential: $\mu_q = i\mu_{qI}$

Canonical Approach

Fugacity expansion

Grand Canonical partition function

$$\begin{aligned}\underline{Z_{GC}(\mu_q, T, V)} &= \text{Tr} \left(e^{-(\hat{H} - \mu_q \hat{N})/T} \right) \\ &= \sum_n \langle n | e^{-(\hat{H} - \mu_q \hat{N})/T} | n \rangle \\ &= \sum_n \langle n | e^{-\hat{H}/T} | n \rangle e^{n\mu_q/T} \\ &= \sum_n \underline{Z(n, T, V)} \xi^n \quad \text{Fugacity: } \xi = e^{\mu_q/T}\end{aligned}$$

Canonical partition function

Canonical Approach

Fugacity expansion

Grand Canonical partition function

$$\underline{Z_{GC}(\mu_q, T, V)} = \sum_{n=-\infty}^{\infty} \underline{Z(n, T, V)} \xi^n \quad \text{Fugacity: } \xi = e^{\mu_q/T}$$

Canonical partition function

Fourier transformation

$$Z(n, T, V) = \int_0^{2\pi} \frac{d(\mu_{qI}/T)}{2\pi} e^{-in\mu_{qI}/T} \underline{Z_{GC}(\mu_q = i\mu_{qI}, T, V)}$$

A. Hasenfrantz & D. Toussaint,
Nucl. Phys. B371 (1992)

We can calculate Z_{GC} with Monte Carlo Method at pure imaginary μ_q .

$$[\det D(i\mu_{qI})]^* = \det D(i\mu_{qI})$$

History

Basic Idea of Canonical Approach

A. Hasenfrantz, D. Toussaint, Nucl. Phys. B371 (1992)

✗ **Numerical instability of (discrete) Fourier transformation**

Sign Problem ? \Rightarrow **No, this is caused by cancelation of significant digits !**

R.Fukuda, A.Nakamura, S.Oka, PRD93 (2016)

$$\begin{array}{r} 1.234567890123456 - 1.234567890123455 = 0.0000000000000001 \\ (16 \text{ significant digits}) \qquad \qquad \qquad (1 \text{ significant digit}) \end{array}$$



Multiple-precision arithmetic

$$\begin{array}{r} 1.234567890123456666666666 \\ - 1.234567890123455555555555 = 0.000000000000000111111111 \\ (24 \text{ significant digits}) \qquad \qquad \qquad (10 \text{ significant digits}) \end{array}$$

History

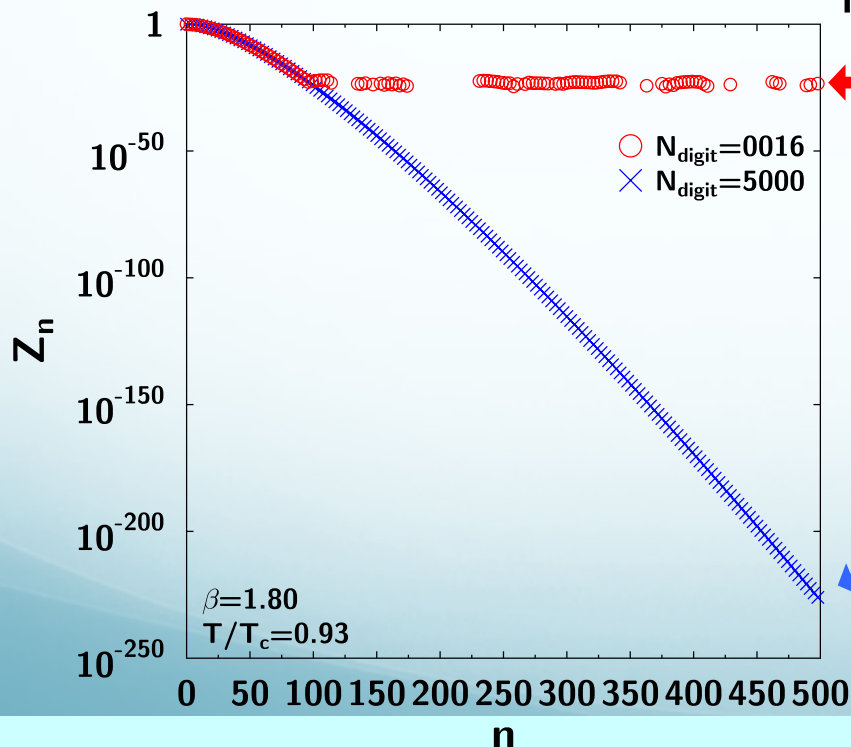
Basic Idea of Canonical Approach

A. Hasenfrantz, D. Toussaint, Nucl. Phys. B371 (1992)

✗ Numerical instability of (discrete) Fourier transformation

Sign Problem ? \Rightarrow No, this is caused by cancelation of significant digits !

R.Fukuda, A.Nakamura, S.Oka, PRD93 (2016)



In **double-precision** arithmetic, cancelation of significant digits occurs at high n region.

In **multiple-precision** arithmetic, we can evaluate Z_n up to high n region with accuracy.

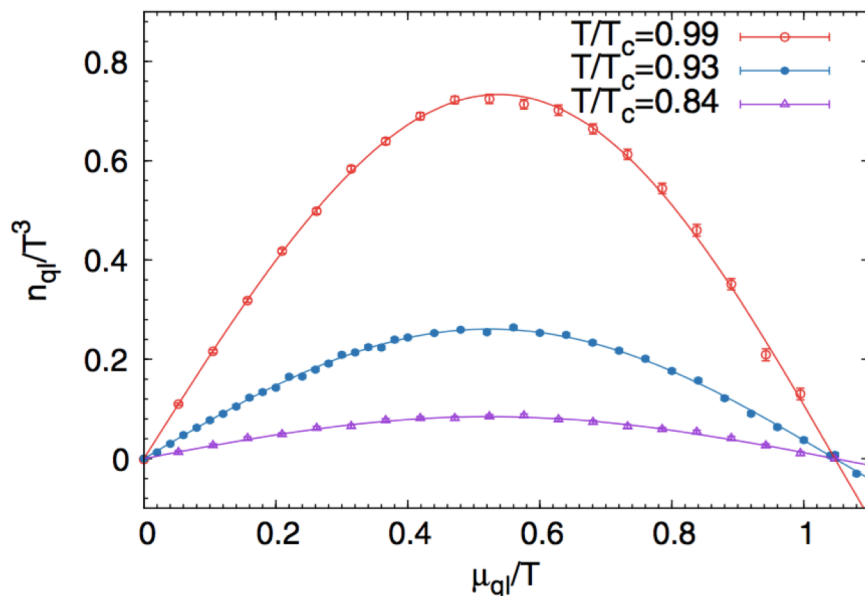
Number density formulation

V.G. Bornyakov et al.,
PRD95, 094506 (2017)

How to calculate $Z_{GC}(\mu_q = i\mu_{qI}, T, V)$

Quark number density

$$\begin{aligned} \frac{n_q}{T^3} &= \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC} \\ &= \frac{1}{VT^3} \frac{1}{Z_{GC}} \int \mathcal{D}U \det D(\mu_q) e^{-S_G} \text{Tr} \left[D^{-1} \frac{\partial D}{\partial (\mu_q/T)} \right] \end{aligned}$$



$$n_q = i n_{qI} \quad \theta = \frac{\mu_{qI}}{T}$$

Approximated by a Fourier series.

$$\frac{n_{qI}}{T^3}(\theta) \sim \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

Outline

Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Number density formulation
V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{\text{GC}}$$

$$Z_{\text{GC}}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transformation

$$Z(n, T, V)$$

$$Z_{\text{GC}}(\mu_q, T, V) = \sum_{n=-\infty}^{\infty} Z(n, T, V) \xi^n \quad \xi = e^{\mu_q/T}$$

If we get Z_n for all n , we can search at **ANY** density!

Outline

Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Number density formulation
V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{\text{GC}}$$

$$Z_{\text{GC}}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transformation

$$Z(n, T, V)$$

$$Z_{\text{GC}}(\mu_q, T, V) = \sum_{n=-N_{\text{max}}}^{N_{\text{max}}} Z(n, T, V) \xi^n \quad \xi = e^{\mu_q/T}$$

In numerical calculations, n is **finite**.

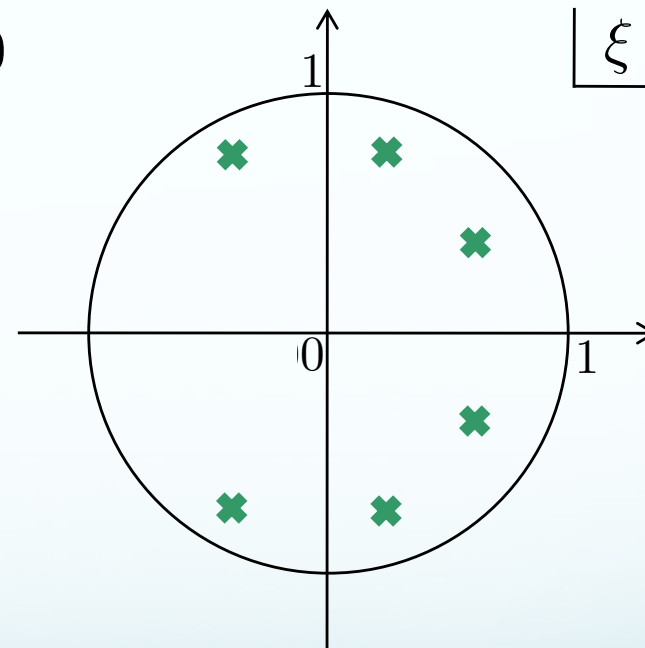
Lee-Yang Zeros

Zeros of Z_{GC} called Lee-Yang Zeros contain a valuable information on the phase transitions of a system.

T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n = 0$$

There are $2N_{\max}$ LYZs
in the complex $\xi = e^{\mu_q/T}$ plane.



$N_{\max} \sim \text{small}$

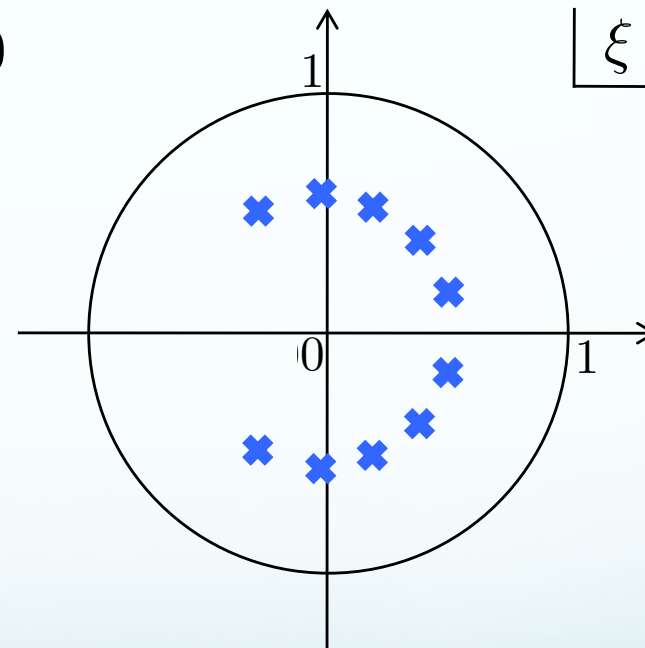
Lee-Yang Zeros

Zeros of Z_{GC} called Lee-Yang Zeros contain a valuable information on the phase transitions of a system.

T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n = 0$$

There are $2N_{\max}$ LYZs
in the complex $\xi = e^{\mu_q/T}$ plane.



$N_{\max} \sim \text{large}$

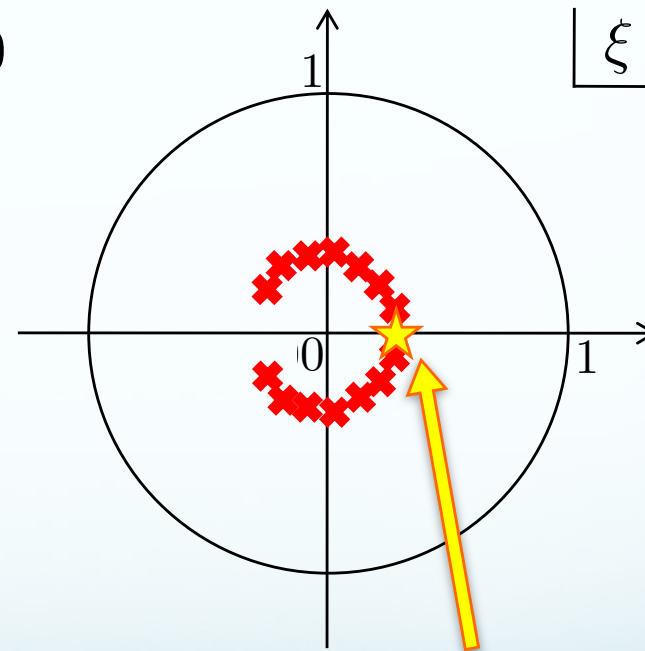
Lee-Yang Zeros

Zeros of Z_{GC} called Lee-Yang Zeros contain a valuable information on the phase transitions of a system.

T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n = 0$$

There are $2N_{\max}$ LYZs in the complex $\xi = e^{\mu_q/T}$ plane.



Phase Transition
 $N_{\max} \sim \text{infinity}$
 $(V \sim \text{infinity})$

Lee-Yang Zeros

Zeros of Z_{GC} called Lee-Yang Zeros contain a valuable information on the phase transitions of a system.

T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)

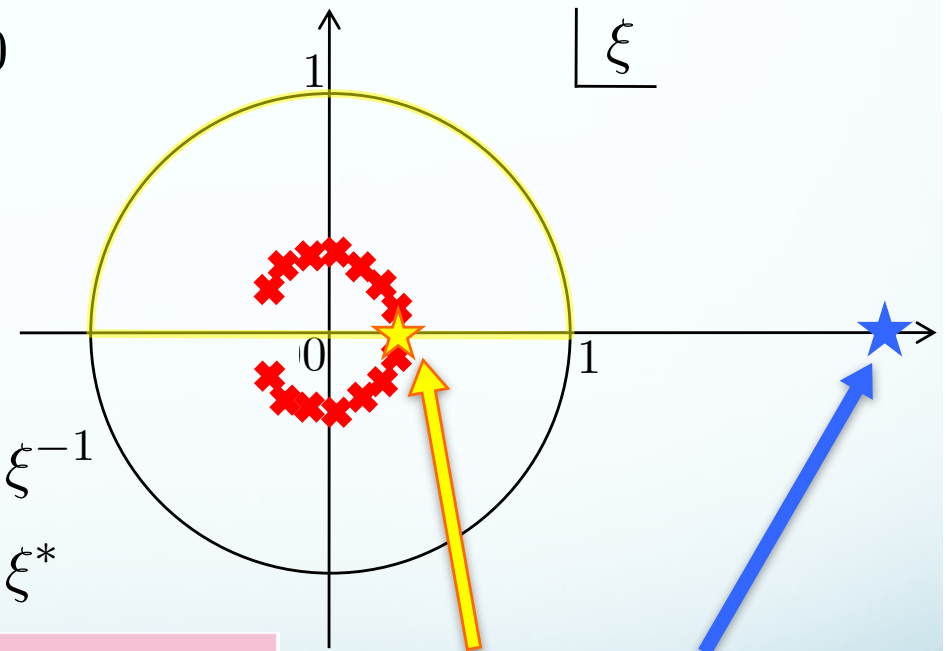
$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n = 0$$

There are $2N_{\max}$ LYZs in the complex $\xi = e^{\mu_q/T}$ plane.

Z(n) properties

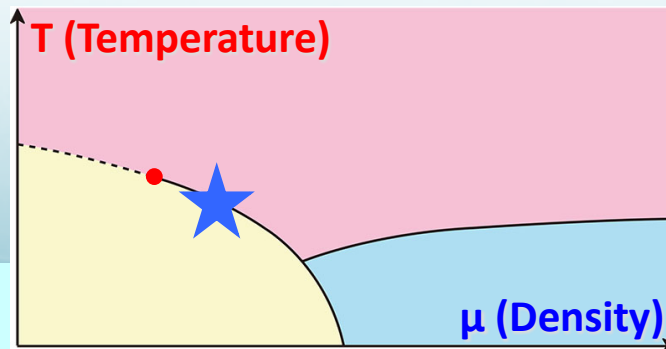
$$Z(n, T, V) = Z(-n, T, V) \quad \longrightarrow \quad \xi \leftrightarrow \xi^{-1}$$

$$Z(n, T, V) : \text{Real values} \quad \longrightarrow \quad \xi \leftrightarrow \xi^*$$



Phase Transition
 $N_{\max} \sim \text{infinity}$
 $(V \sim \text{infinity})$

$$\xi = e^{\mu_q/T} = \star$$



Outline

Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Number density formulation
V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{\text{GC}}$$

$$Z_{\text{GC}}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transformation

$$Z(n, T, V)$$

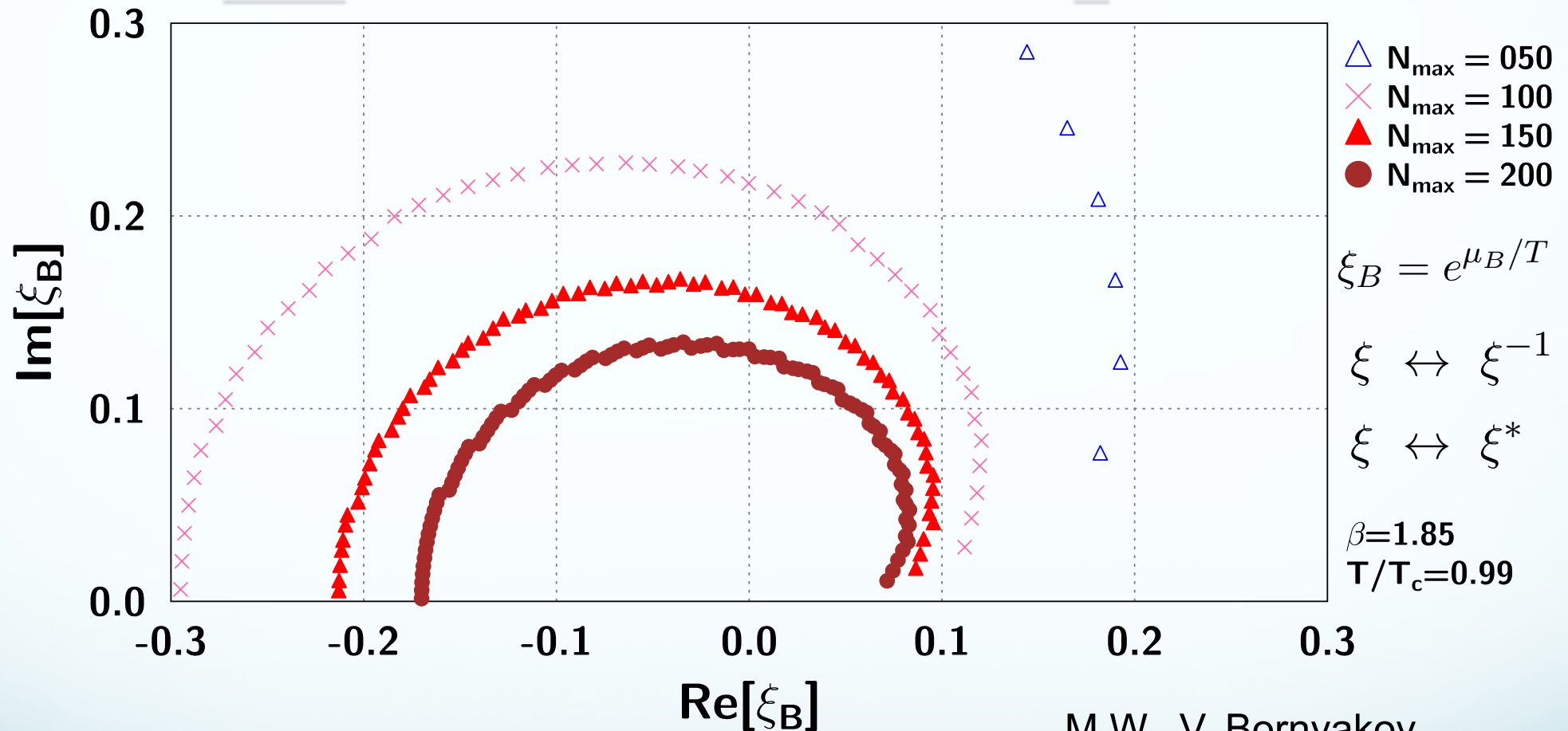
$$Z_{\text{GC}}(\mu_q, T, V) = \sum_{n=-N_{\text{max}}}^{N_{\text{max}}} Z(n, T, V) \xi^n$$

$$\xi = e^{\mu_q/T}$$

Lee-Yang zeros

Phase transition point

N_{\max} dependence ($T/T_c=0.99$)

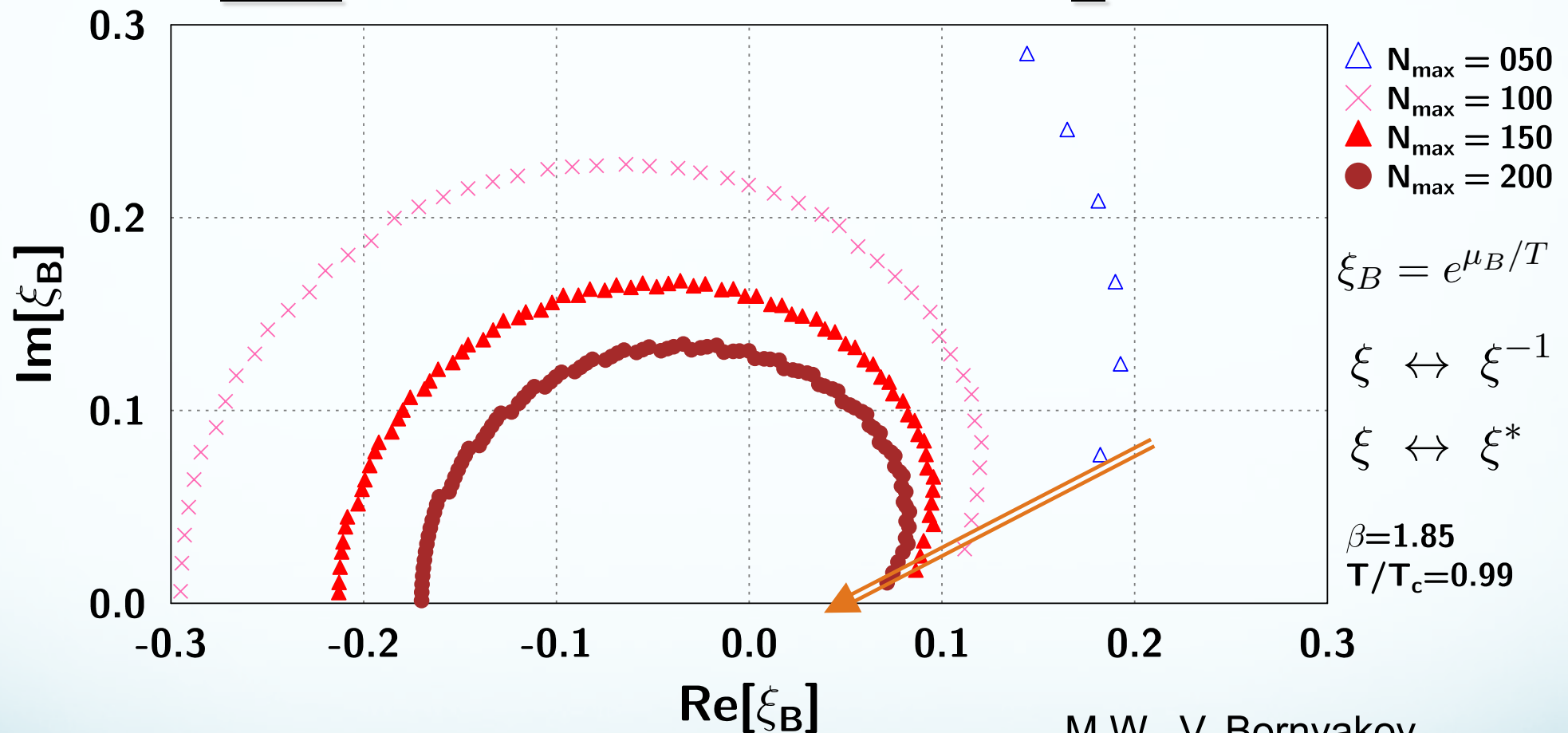


As N_{\max} increases, right edges of LYZs approach to the real positive axis.

Phase transition point: $\mu_B/T \sim 3-3.5$?

M.W., V. Bornyakov,
 D. Boyda, V. Goy,
 H. Iida, A. Molochkov,
 A. Nakamura, V. Zakharov,
 PLB793, 227 (2019)

N_{\max} dependence ($T/T_c=0.99$)



As N_{\max} increases, right edges of LYZs approach to the real positive axis.

Phase transition point: $\mu_B/T \sim 3-3.5$?

M.W., V. Bornyakov,
 D. Boyda, V. Goy,
 H. Iida, A. Molochkov,
 A. Nakamura, V. Zakharov,
 PLB793, 227 (2019)

Outline

NJL model

$$n_q(\mu_q = i\mu_{qI}, T)$$

Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{\text{GC}}$$

$$Z_{\text{GC}}(\mu_q = i\mu_{qI}, T, V)$$

We can check whether the canonical approach works well or not from the NJL model.

Fourier transformation

$$Z(n, T, V)$$

$$Z_{\text{GC}}(\mu_q, T, V) = \sum_{n=-N_{\text{max}}}^{N_{\text{max}}} Z(n, T, V) \xi^n$$

$$\xi = e^{\mu_q/T}$$

NJL model

$$n_q(\mu_q, T)$$

Lee-Yang zeros

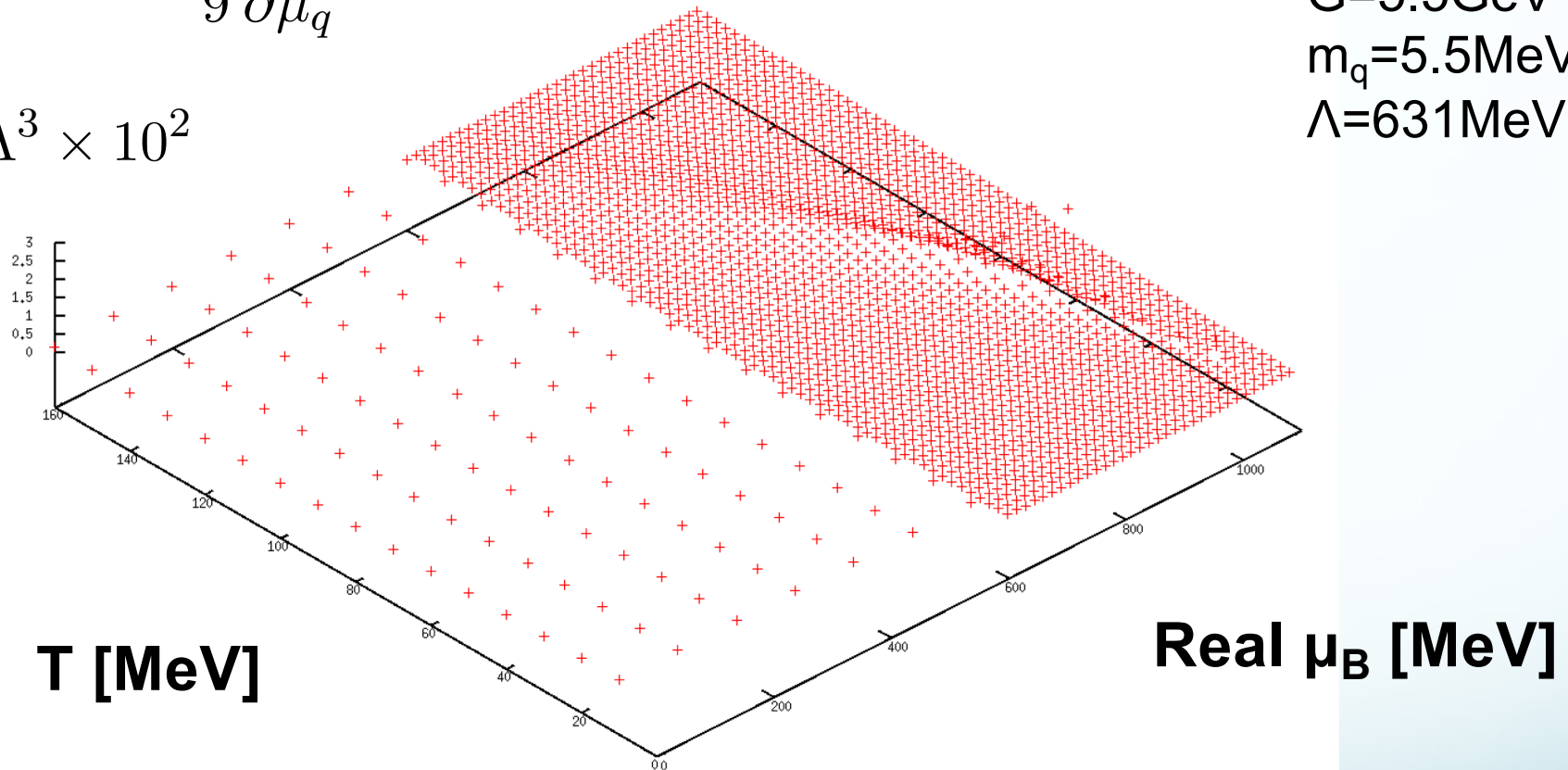
Phase transition point

Susceptibility in the NJL model

$$\chi_B = \frac{1}{9} \frac{\partial n_q}{\partial \mu_q}$$

"out_Tmu_chi" u (\$1):(\$2):(\$3*100) + Two-flavor NJL
G=5.5GeV⁻²
m_q=5.5MeV
Λ=631MeV

$$\chi_B T / \Lambda^3 \times 10^2$$



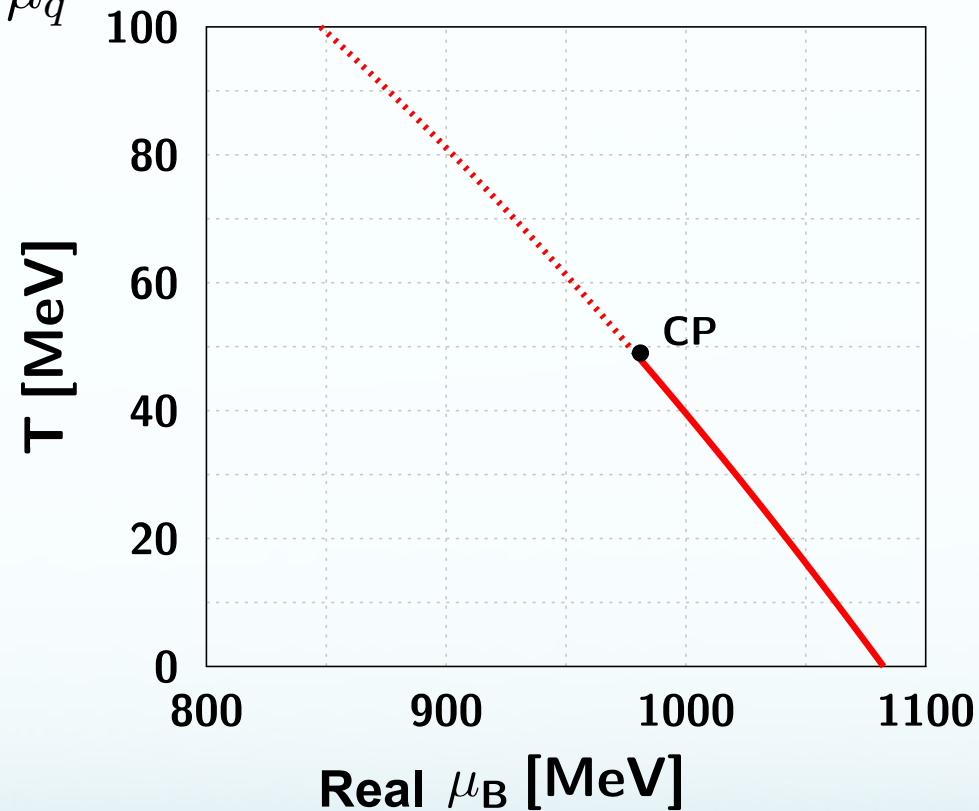
Critical Point: $(T, \mu_B) \sim (49, 981)$ [MeV]

Phase transition of the NJL model

$$\chi_B = \frac{1}{9} \frac{\partial n_q}{\partial \mu_q}$$

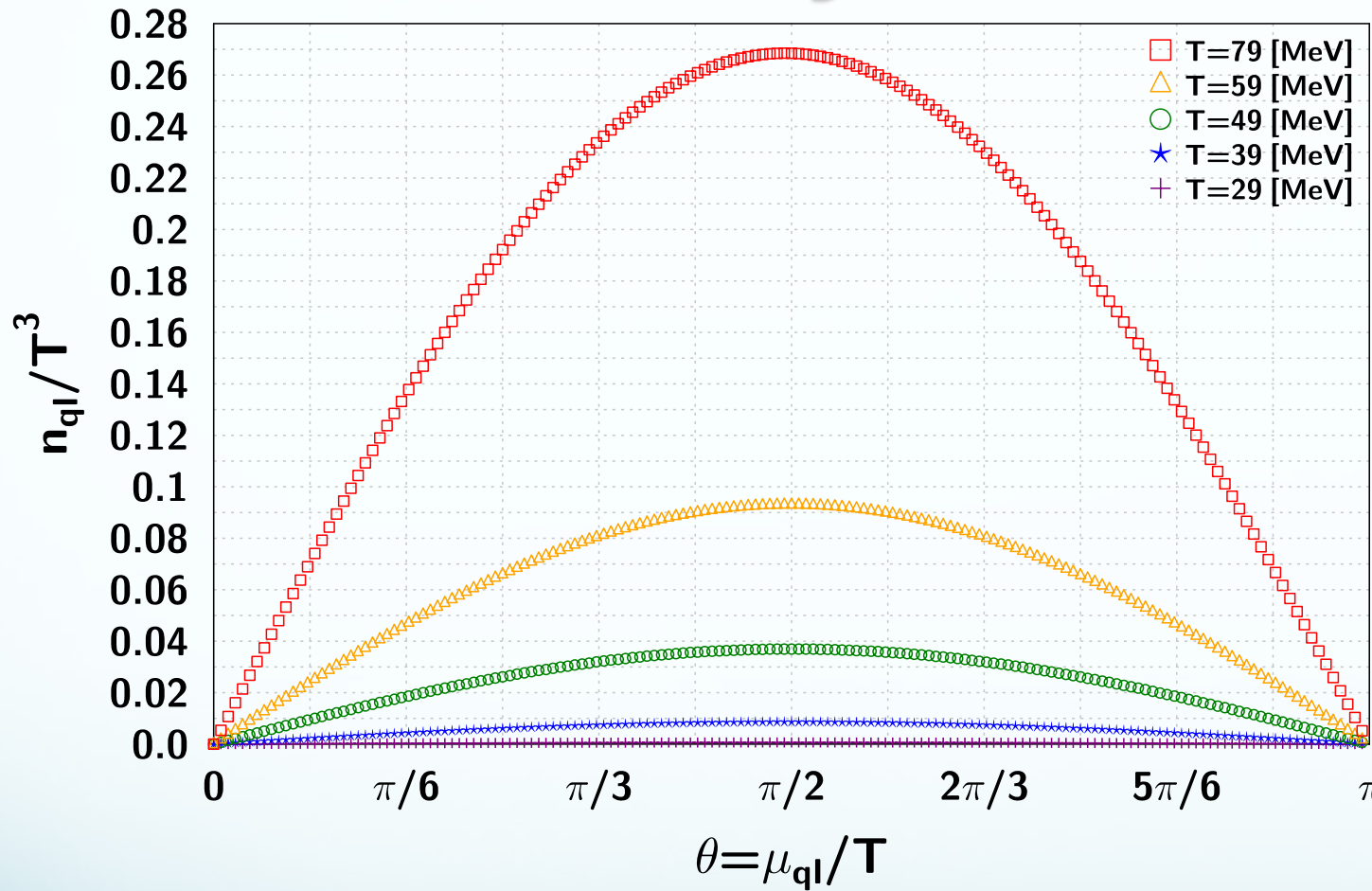
$$\chi_B T / \Lambda^3 \times 10^2$$

Two-flavor NJL
 $G=5.5\text{GeV}^{-2}$
 $m_q=5.5\text{MeV}$
 $\Lambda=631\text{MeV}$



Critical Point: $(T, \mu_B) \sim (49, 981)$ [MeV]

Number density in the NJL model



Number density

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$n_q = i n_{qI}$$

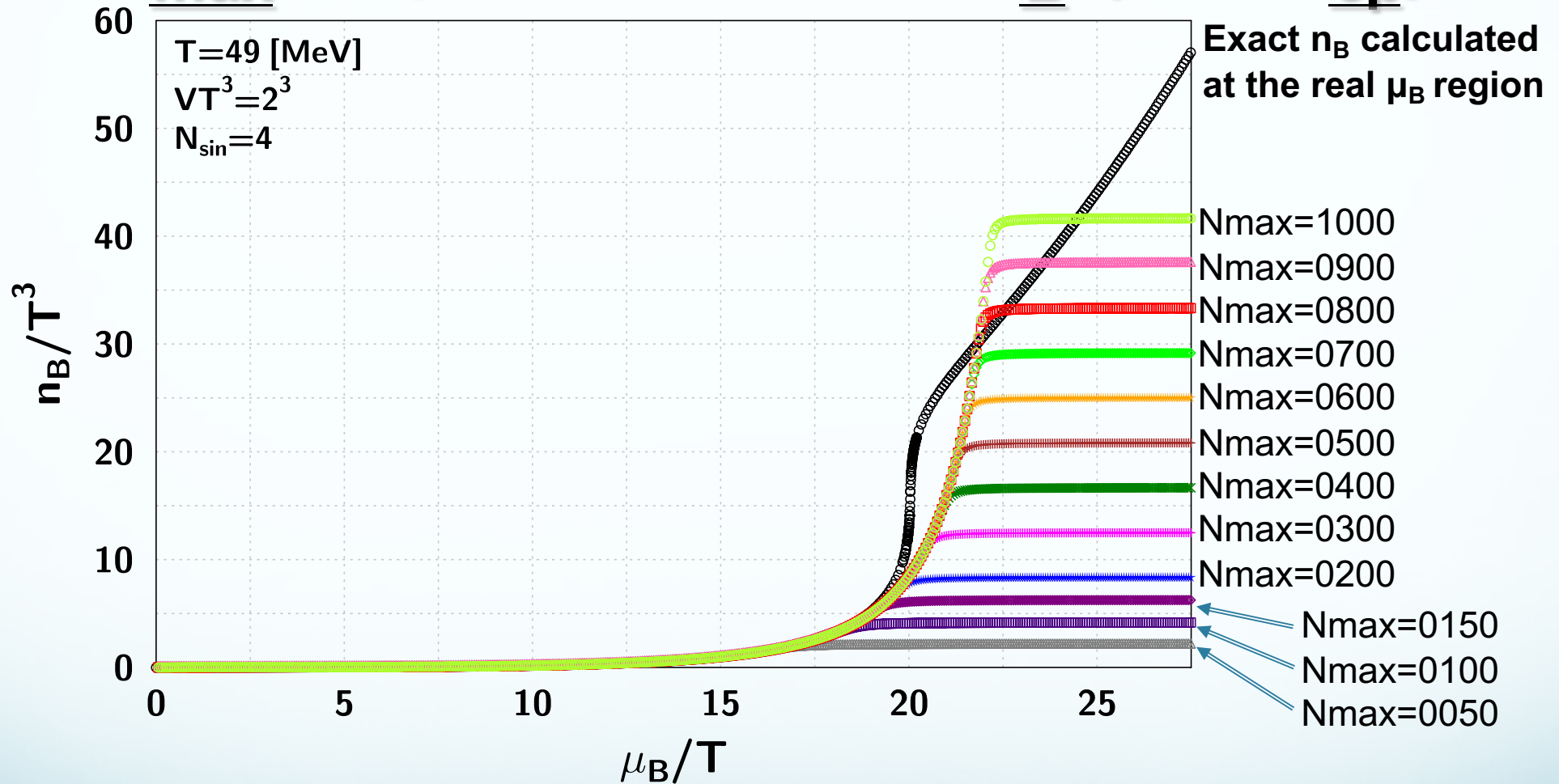
$$\mu_q = i \mu_{qI}$$

Number density formulation (V. Bornyakov et al., PRD95(2017))

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC} \sim \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

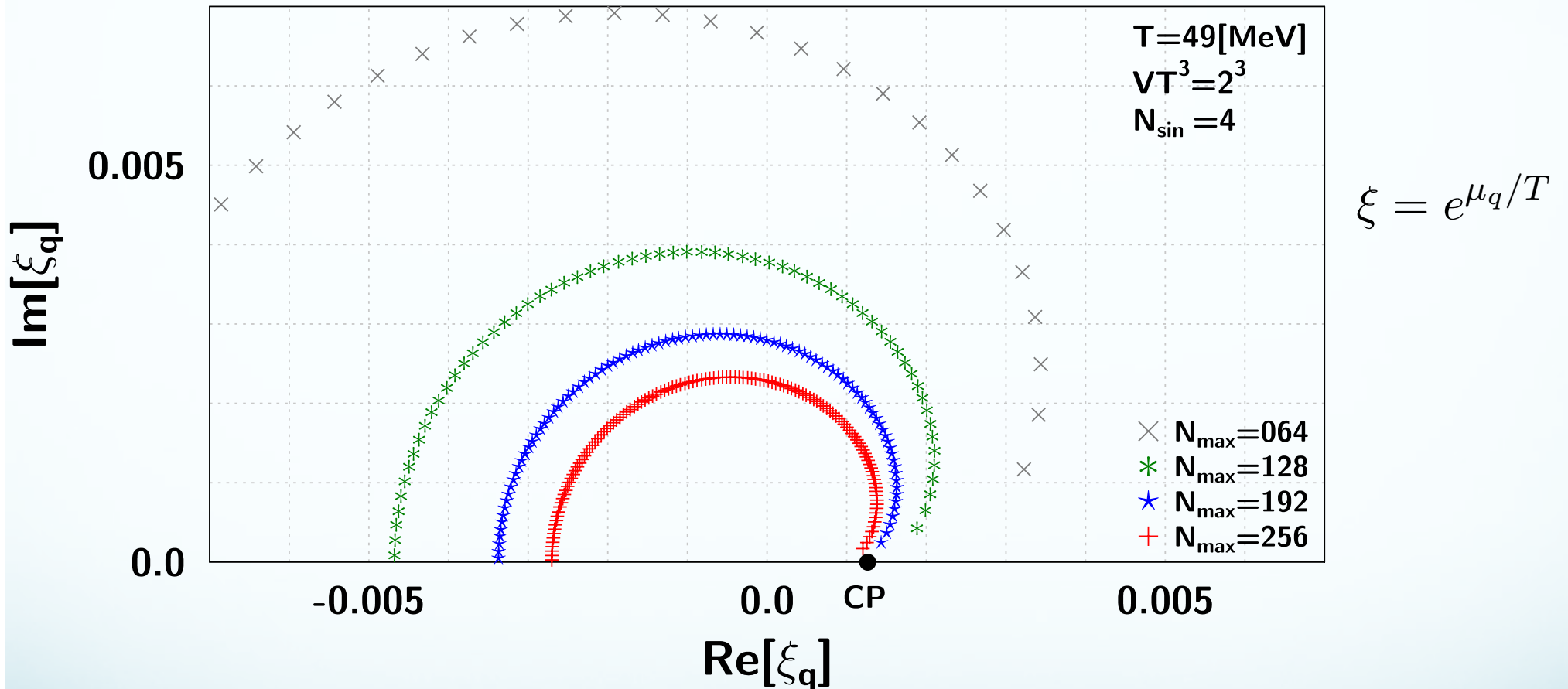
We fit the number density as it was done in the lattice simulations.

N_{\max} dependence of n_B ($T = T_{cp}$)



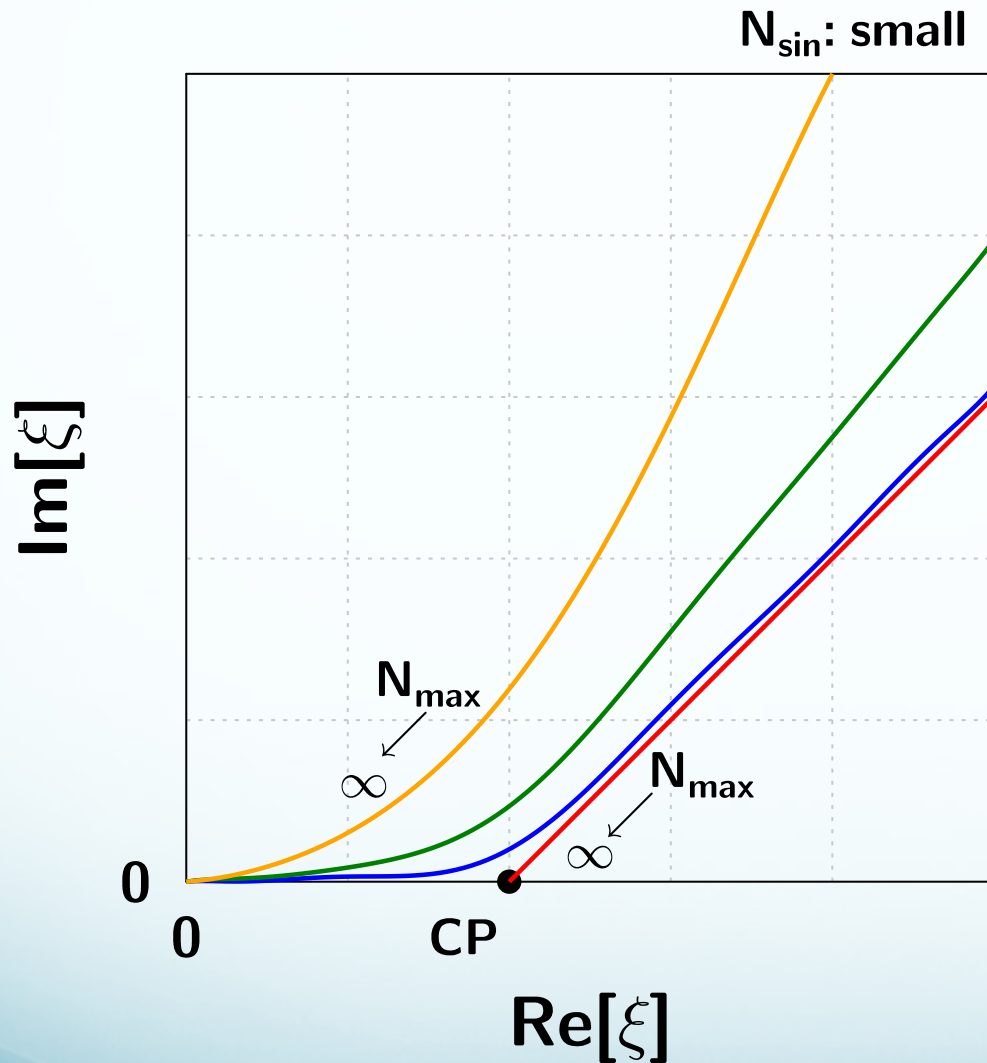
Under the phase transition density, exact n_B calculated at the real μ_B region can be reconstructed from the results of the canonical approach of $N_{\max} \geq 200$.

N_{\max} dependence ($T = T_{\text{cp}}$)



As N_{\max} increases, edges of LYZs approach to the real axis. But we find that for the finite N_{sin} , edges of LYZs pass over the expected CP.

Schematic flows of the edges of LYZs



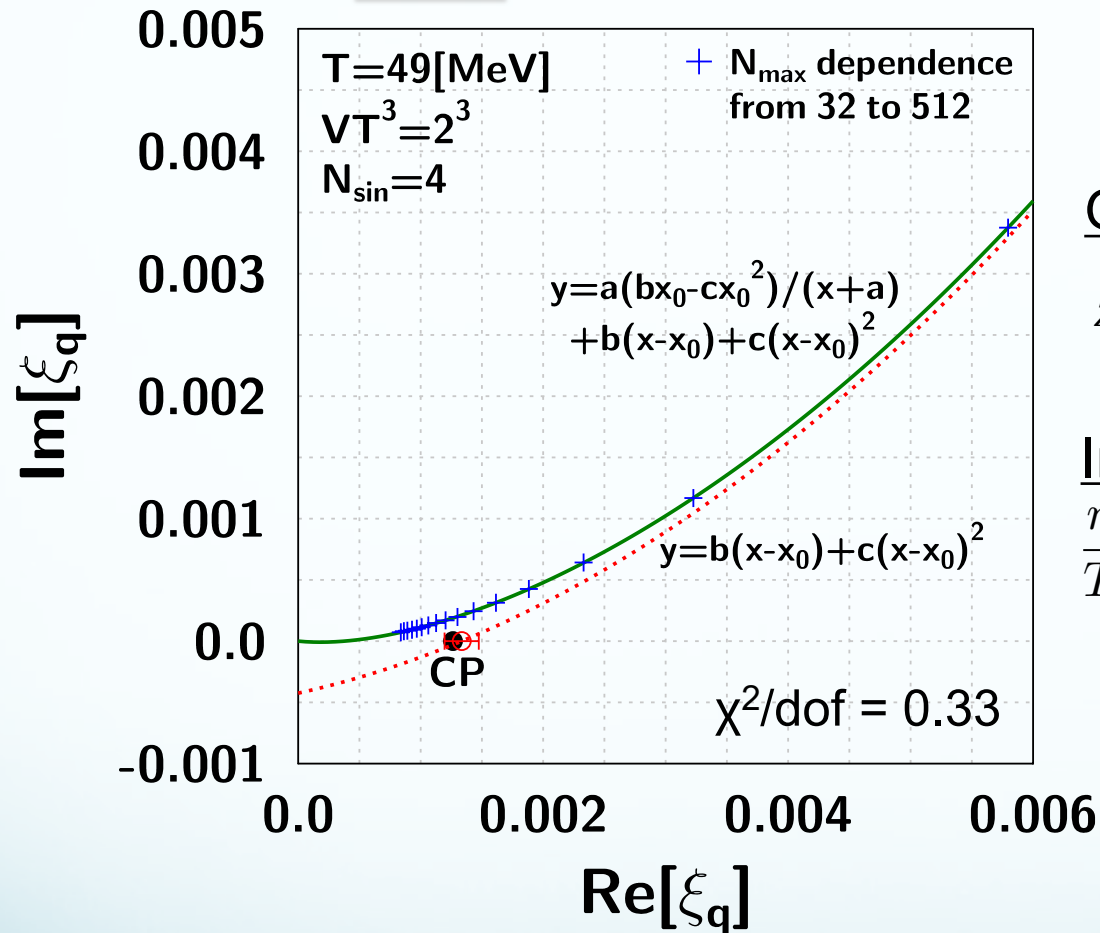
Grand canonical partition function

$$Z_{\text{GC}}(\mu_q, T, V) = \sum_{n=-N_{\text{max}}}^{N_{\text{max}}} Z(n, T, V) \xi^n$$

Integration method

$$\frac{n_{qI}}{T^3}(\theta) \sim \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

N_{max} dependence (T = T_{cp})



$$\xi = e^{\mu_q/T}$$

Grand canonical partition function

$$Z_{\text{GC}}(\mu_q, T, V) = \sum_{n=-N_{\text{max}}}^{N_{\text{max}}} Z(n, T, V) \xi^n$$

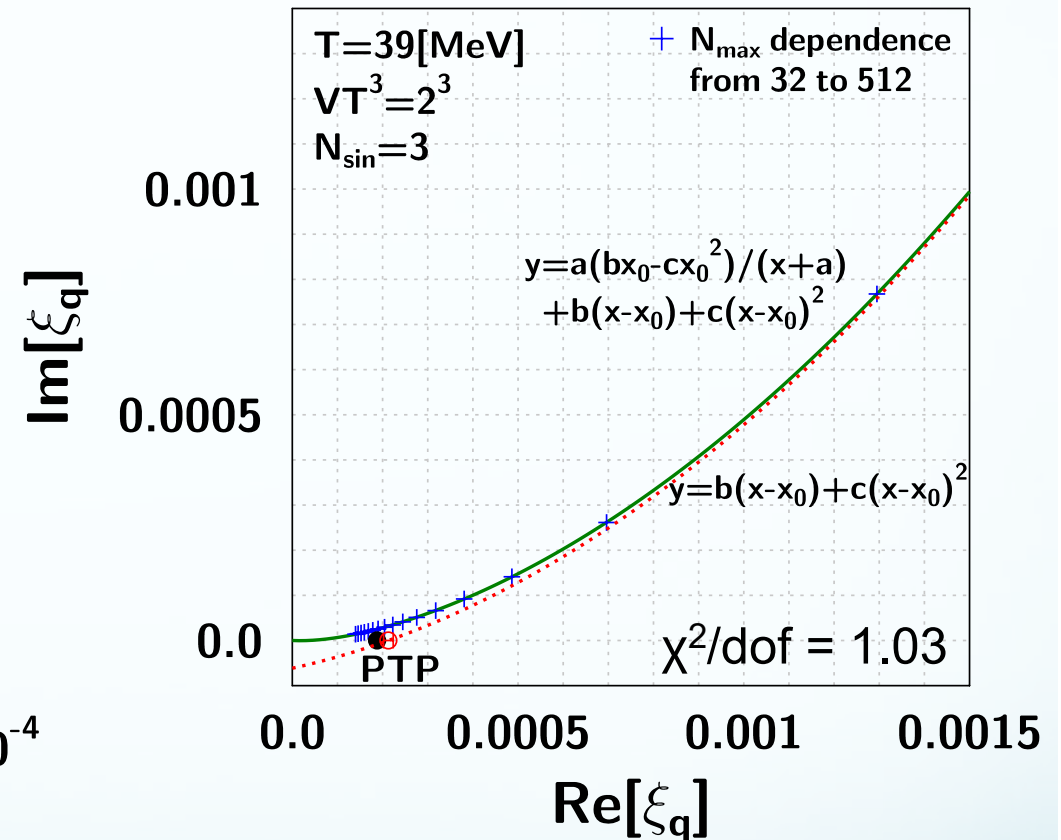
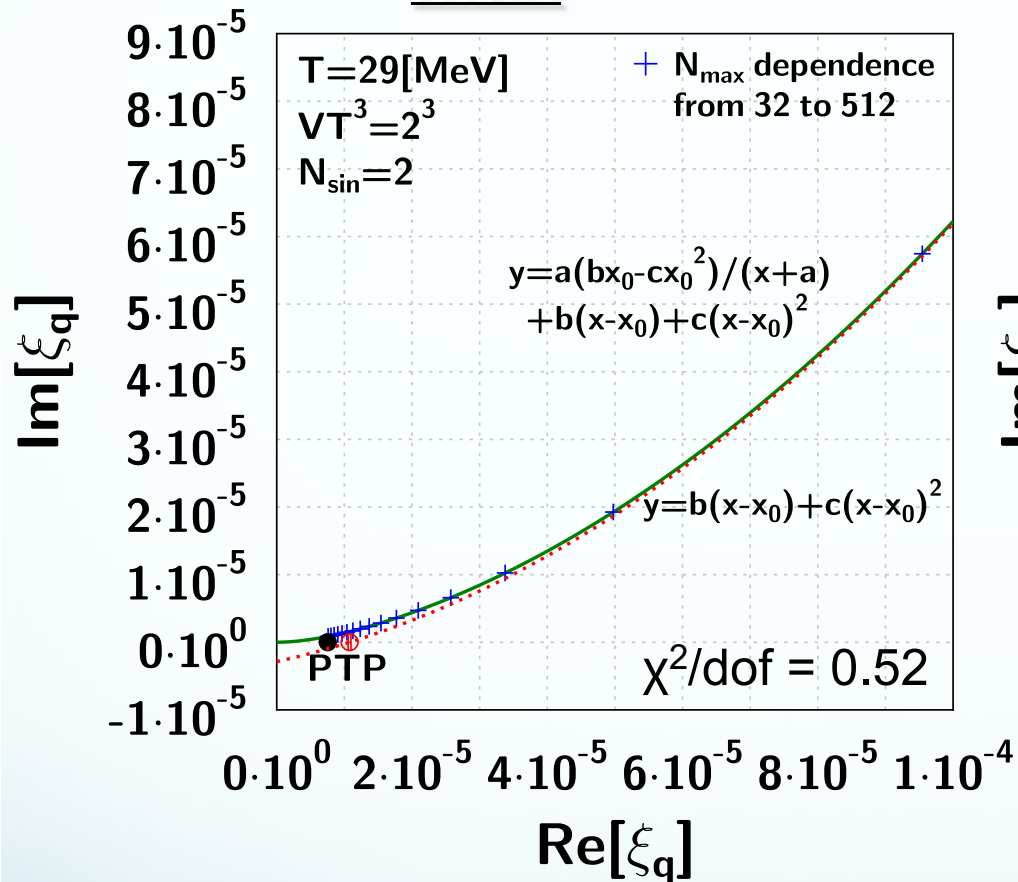
Integration method

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{\text{GC}} \sim \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

M.W., A. Hosaka,
 PLB975, 548 (2019)

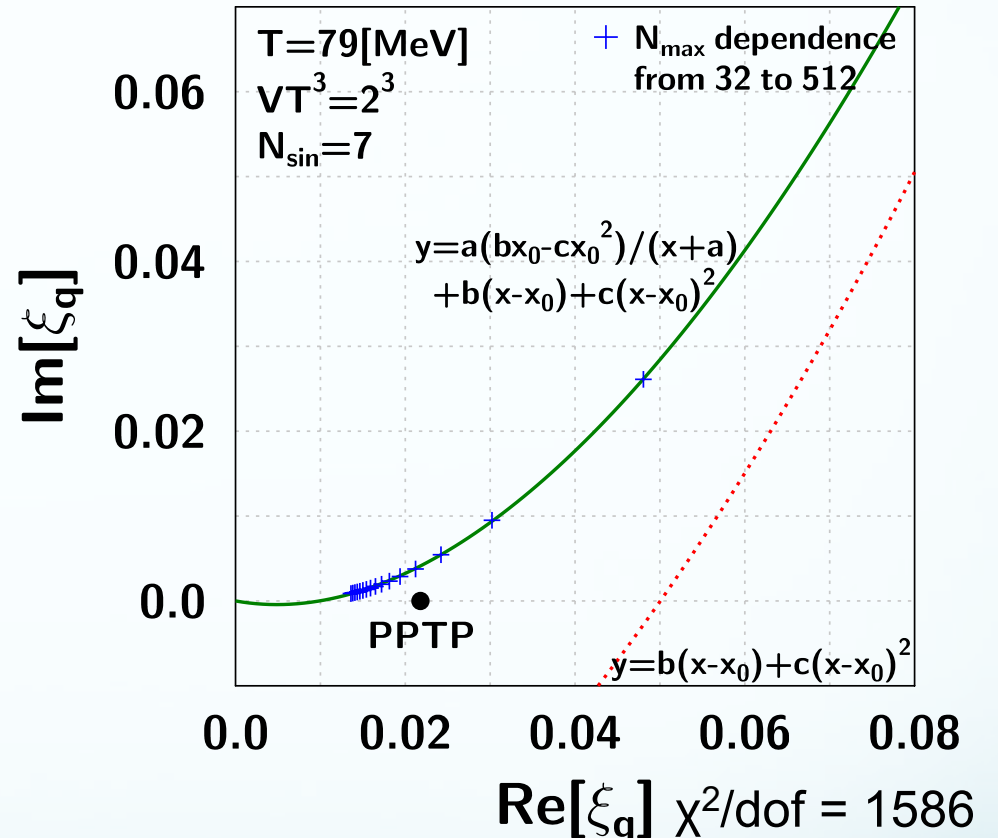
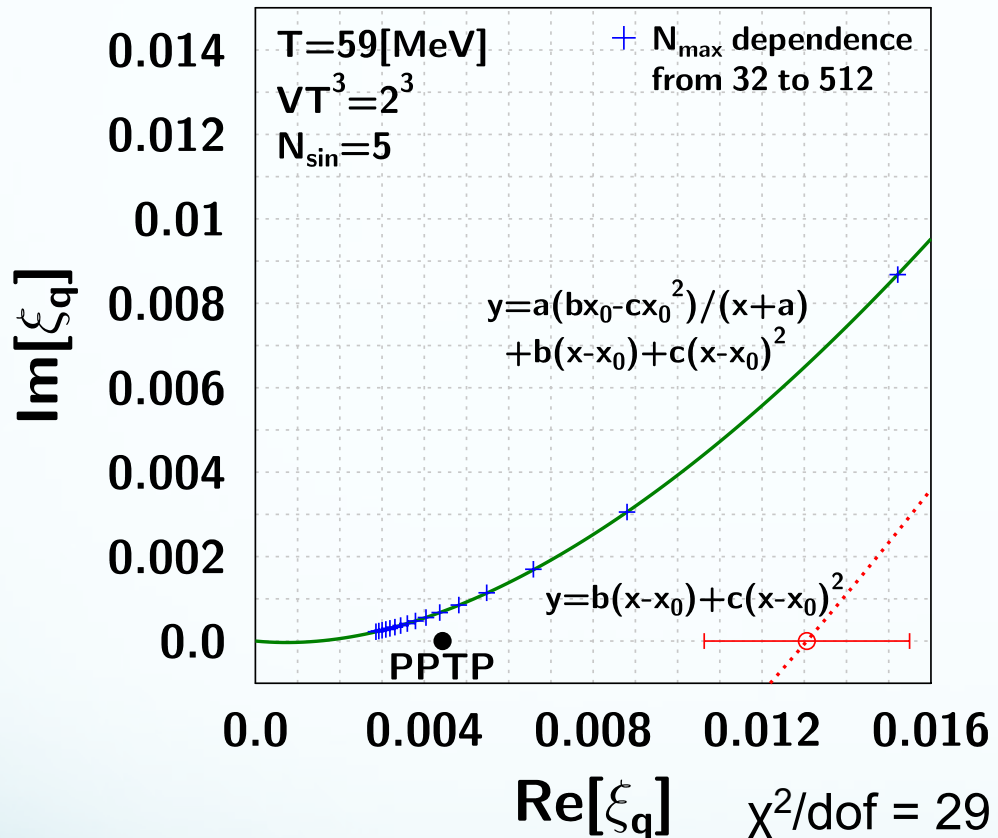
We have succeeded in subtracting a term associated with finite N_{sin} effect from the fitted function. The resulting curve represented the dotted curve nicely reproduces the expected critical point (CP) in the NJL model.

N_{\max} dependence ($T < T_{cp}$)



This extrapolation procedure works well to obtain the expected phase transition points (PTP).

N_{\max} dependence ($T > T_{cp}$)



This extrapolation procedure does not work well to obtain the expected pseudo phase transition points (PPTP), which is consistent with the disappearance of PTP.

Summary

- We studied Lee-Yang zeros for Z_n obtained from the canonical approach in lattice QCD and the NJL model.
- The phase transition points can be roughly estimated from lattice QCD.
- We found the reasonable extrapolation procedure of the edge of LYZs in the NJL model.

Future work

- Other Example: Polyakov-loop-extended NJL model
-- It has the Roberge-Weiss symmetry.
- Realistic lattice QCD calculations
and determine the QCD phase transitions