



Heavy Flavour Production in Small and Large Systems measured with ALICE

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Inha University

HIM Meeting
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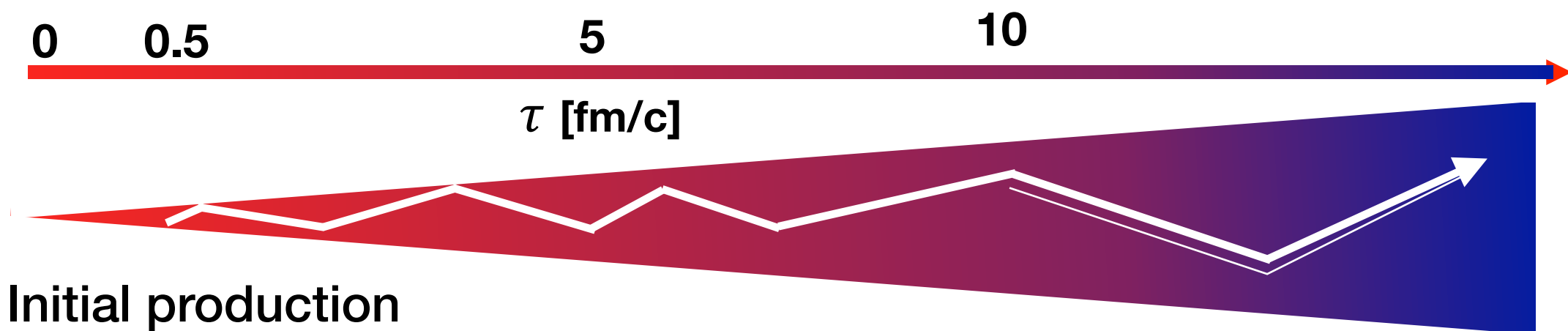
OUTLINE

- ✦ **Why Heavy flavours in heavy-ion physics**
- ✦ **Heavy-flavour observables**
- ✦ **Main and recent heavy-flavour measurements**
 - ◎ **in pp, p-Pb collisions**
 - ◎ **in Pb-Pb collisions**
- ✦ **Future plans**

Basic scales of heavy flavour

- $m_{c,b} \gg \Lambda_{\text{QCD}}$ **pQCD initial production**
- $m_{c,b} \gg T_{\text{RHIC,LHC}}$ **negligible thermal production**
- $\tau_0 \approx 1/2m_Q (<0.1 \text{ fm}/c) \ll \tau_{\text{QGP}} (O(10\text{fm}/c))$ **witness of all the QGP**

⇒ **“Calibrated probes” of the medium**



✿ Initial production

- pQCD-NLO
- MC-NLO
- CNM effect

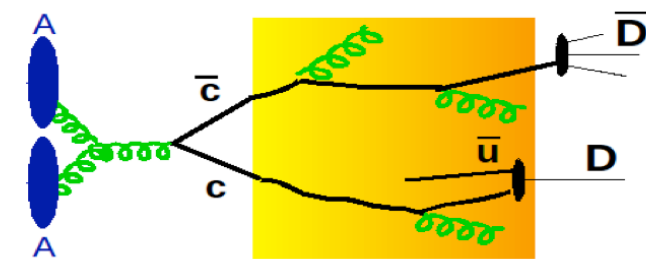
✿ Dynamics in QGP

- energy loss via radiative (“gluon Bremsstrahlung”) and collisional processes

- ▶ color charge (Casimir factor)
- ▶ quark mass (dead-cone effect)
- ▶ path length and medium density

✿ Hadronization

- via quark coalescence and/or fragm.
- hadronic rescattering



Heavy quark energy loss

Dead Cone Effect

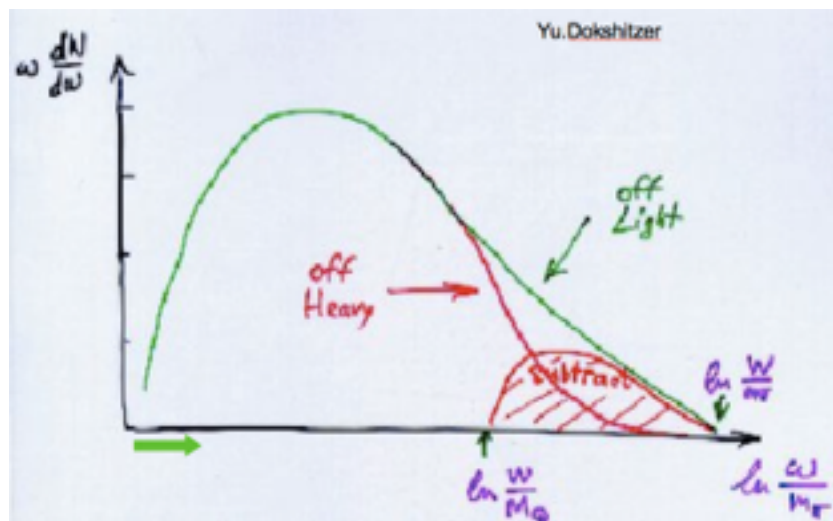
- Gluon radiation is suppressed at angles smaller than the ratio of the quark mass M_Q to its energy E_Q ($\theta < M_Q/E_Q$)
- In medium, **dead cone implies lower energy loss**

(Dokshitzer and Kharzeev, PLB 519 (2001) 199.)

gluon radiation spectrum by the quark propagation in the medium:

$$\omega \frac{dI}{d\omega} \Big|_{\text{Heavy in Medium}} = \omega \frac{dI}{d\omega} \Big|_{\text{Light in Medium}} \cdot \left(1 + \left(\frac{M_Q}{E_Q} \right)^2 \frac{1}{\theta^2} \right)^{-2}$$

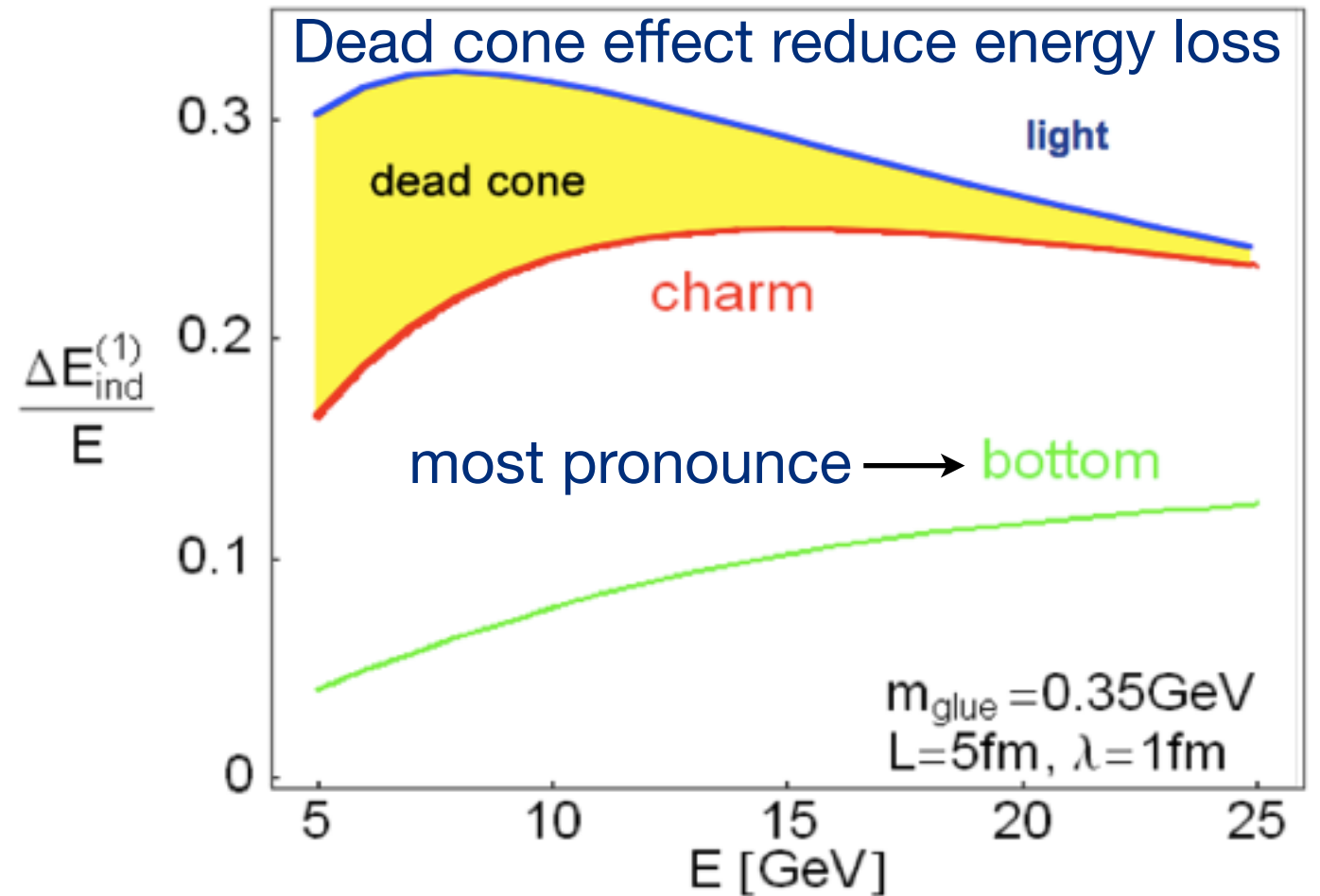
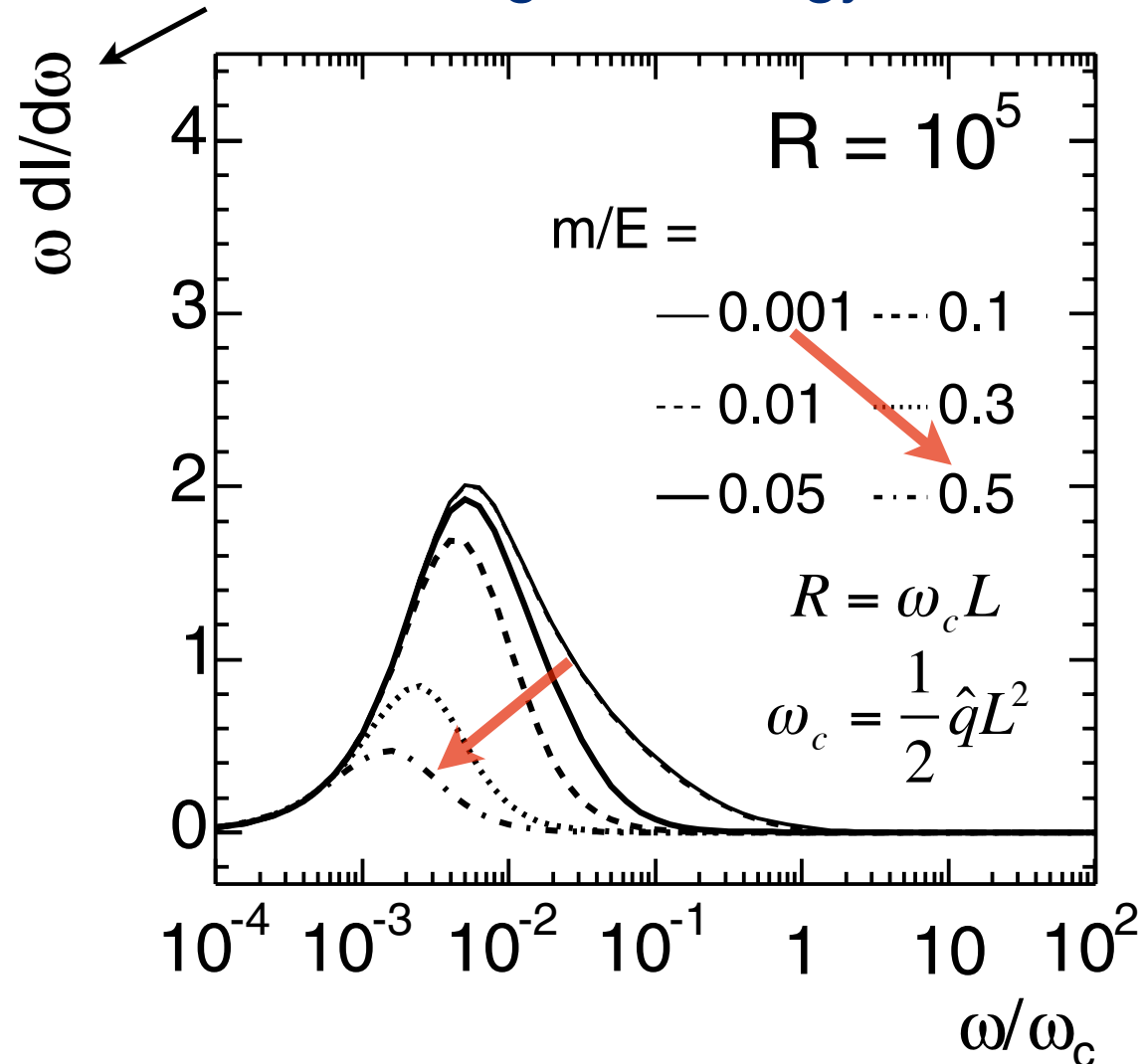
, where $\theta \simeq \frac{k_T}{\omega} \sim \left(\frac{\hat{q}}{\omega^3} \right)^{1/4}$



- ⇒ **suppression of high-energy tail for heavy quarks**
- ⇒ **more pronounced for beauty**

Dead cone effect in other model

medium induced gluon energy distribution



Armesto, Dainese, Salgado, Wiedemann, PRD 71 (2005) 054027.

M.Djordjevic J.Phys.G30:S1183-S1188,2004

Baier, Dokshitzer, Mueller, Peigne', Schiff, NPB 483 (1997) 291. Salgado, Wiedemann, PRD 68(2003) 014008. Armesto, Salgado, Wiedemann, PRD 69 (2004) 114003.

Massive calculation confirms this qualitative feature

Heavy quark energy loss

Dead Cone Effect

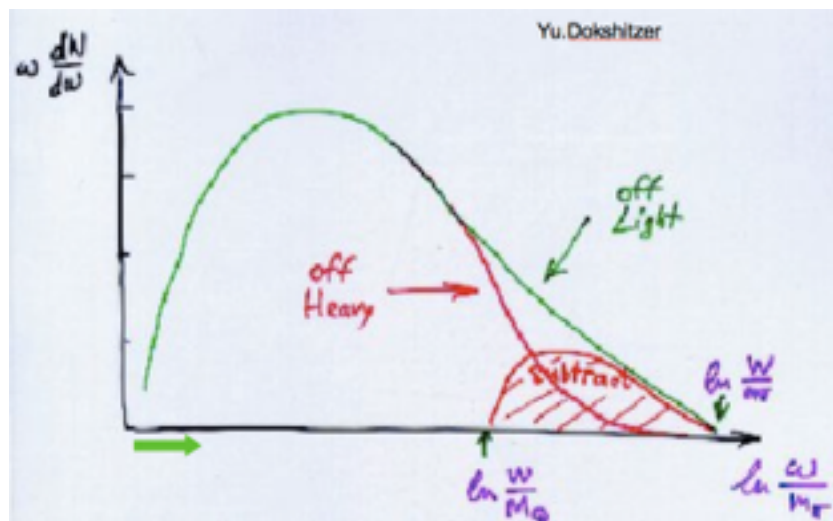
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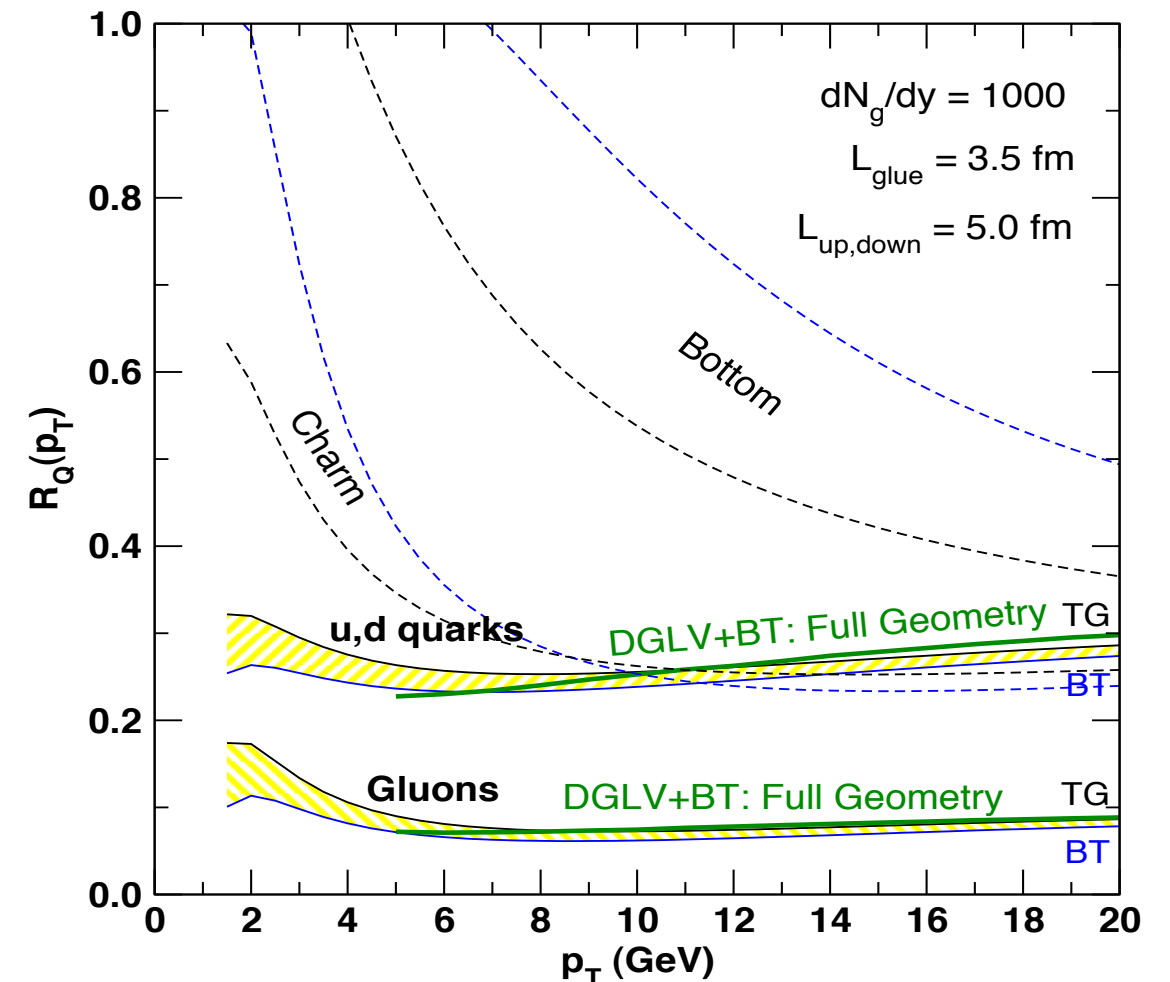
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Color charge dependence of energy loss

gluon radiation spectrum by the parton propagation in the medium:

$$\omega \frac{dI}{d\omega} \propto \alpha_s C_R f(\omega)$$

, where $C_R = 3$ for g , $\frac{4}{3}$ for q



here, $R_Q = d\sigma_Q^{final} / d\sigma_Q^{initial}$ (partonic modification factor before hadronization)

Heavy quark energy loss

Dead Cone Effect

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gluon radiation spectrum by the quark
propagation in the medium

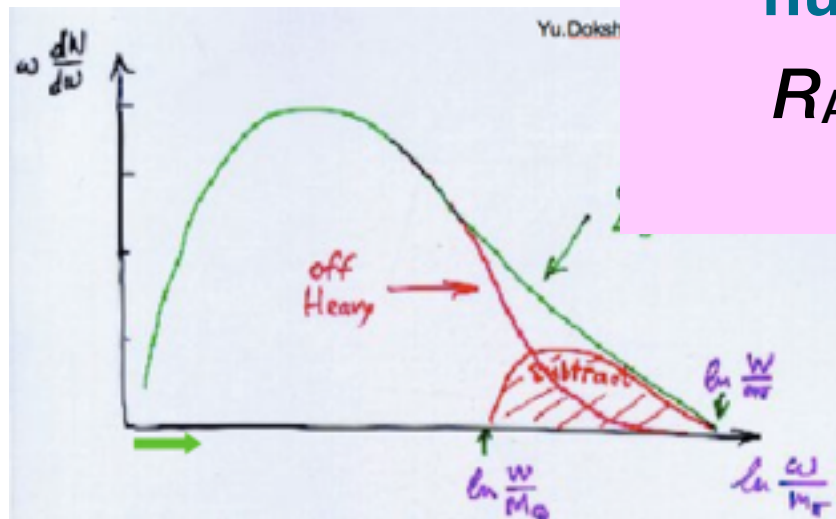
$$\omega \frac{dI}{d\omega} \Big|_{\text{Heavy in Medium}} = \omega \frac{dI}{d\omega} \Big|_{\text{Light in Medium}}$$

$$\Delta E(\varepsilon_{\text{medium}}; C_R, m, L)$$

$$\Delta E_g > \Delta E_{c \approx q} > \Delta E_b$$

Might translate into a hierarchy of nuclear modification factors

$$R_{AA}^\pi < R_{AA}^D < R_{AA}^B?$$



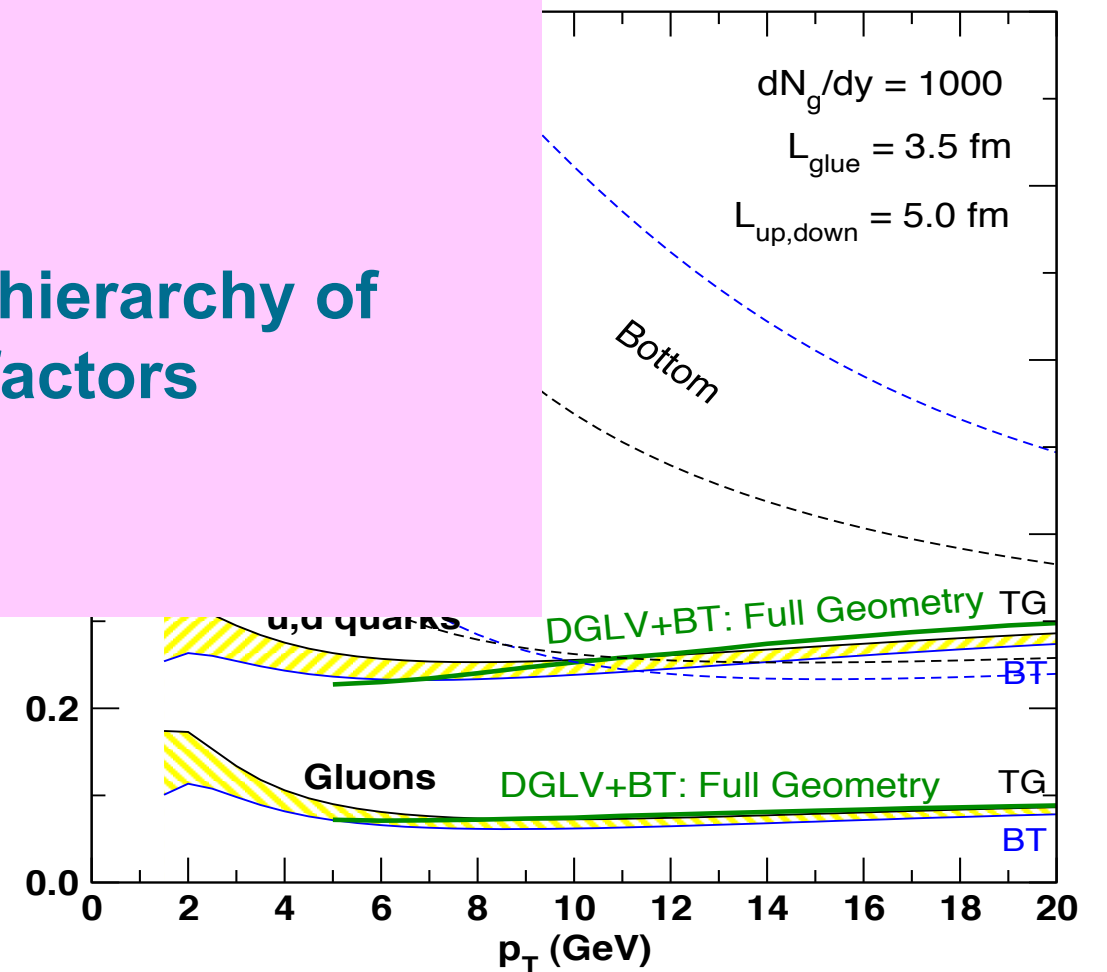
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How can we measure medium effects?

Nuclear modification factor (R_{AA}): compare particle production in Pb-Pb with that in pp scaled by a geometrical factor

$$R_{AA} = \frac{dN_{AA} / dp_T}{\langle N_{coll} \rangle \times dN_{pp} / dp_T} = \frac{dN_{AA} / dp_T}{\langle T_{AA} \rangle \times d\sigma_{pp} / dp_T}$$

Binary scaling based on the Glauber Model

$R_{AA} = 1$: binary scaling

$R_{AA} \neq 1$: medium effect

Trivial but important caveat:

$$\frac{dN_{PbPb}^D}{dp_T} = \underbrace{PDF(x_1)PDF(x_2)}_{\text{Initial-state effects}} \otimes \underbrace{\frac{d\hat{\sigma}^c}{dp_T}}_{\text{"Vacuum" parton spectra}} \otimes \underbrace{P(\Delta E)}_{\text{Parton interaction with the medium}} \otimes \underbrace{D_{c \rightarrow D}(z)}_{\text{(Modified?) hadronization}}$$

← What we want to probe

Measured spectra in AA collisions result from a convolution of many pieces
 \Rightarrow interpretation of the results requires **comparison with models**

\Rightarrow must measure **observables with different sensitivity** to the various ingredients

Color charge effect and mass effect

Heavy-to-light ratios: $R_{D(B)/h}(p_T) = R_{AA}^{D(B)}(p_T) / R_{AA}^h(p_T)$

(Light flavour hadrons mainly from gluons)

Armesto, Dainese, Salgado, Wiedemann, PRD 71 (2005) 054027.

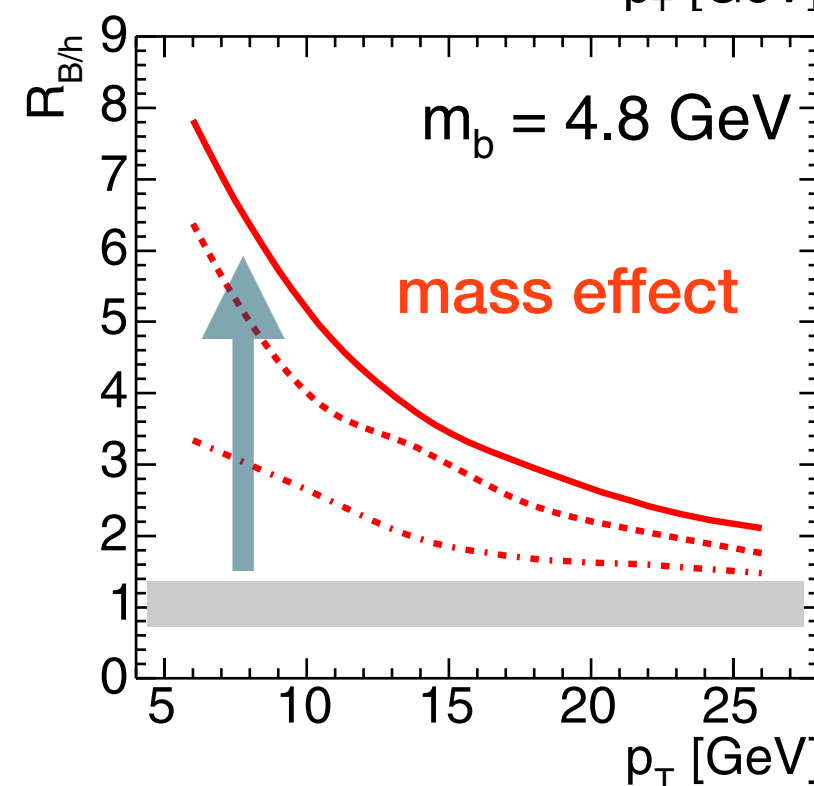
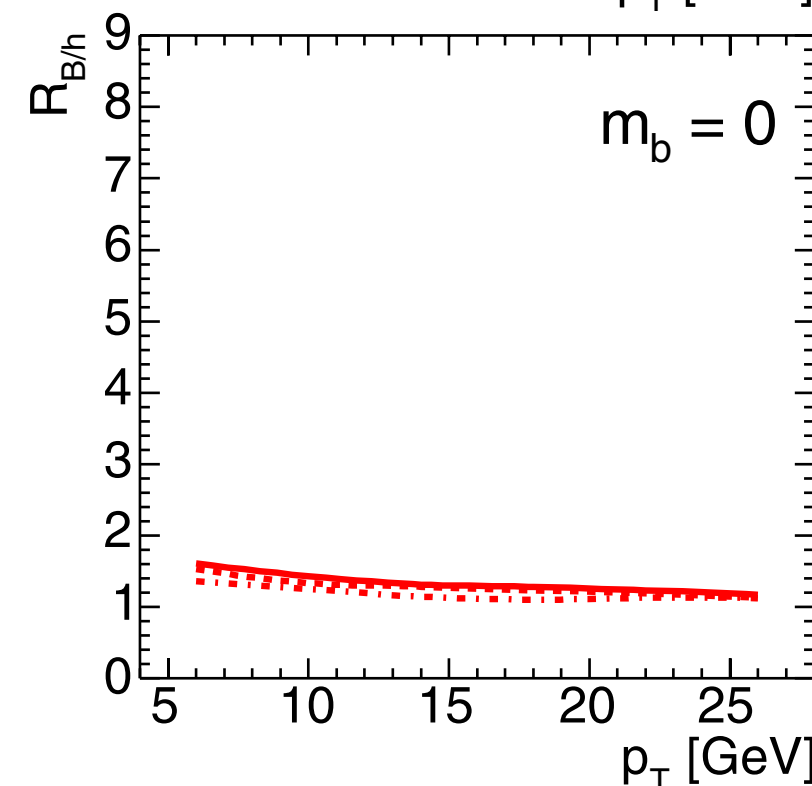
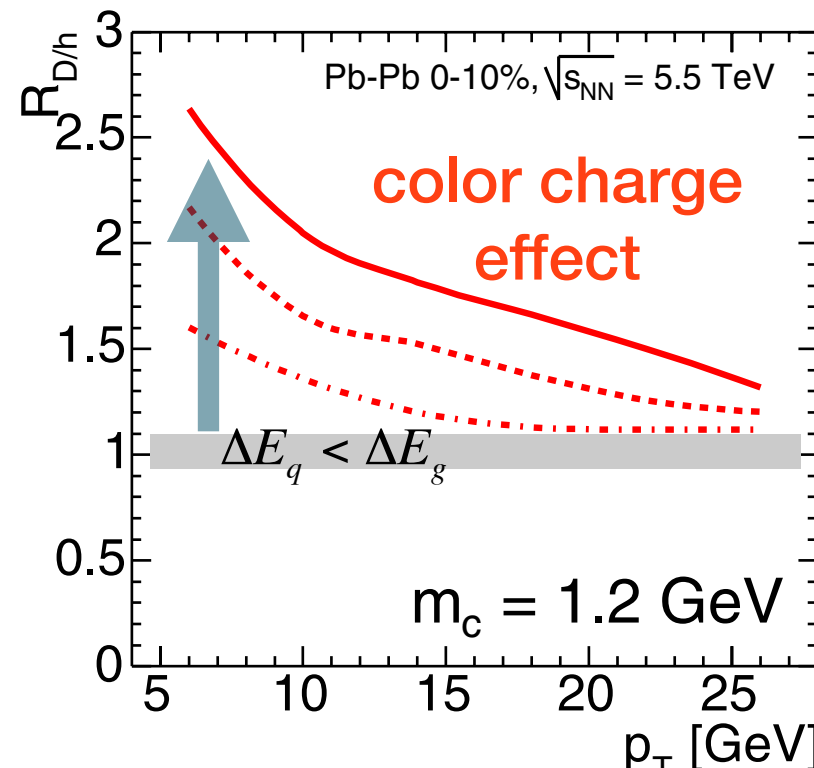
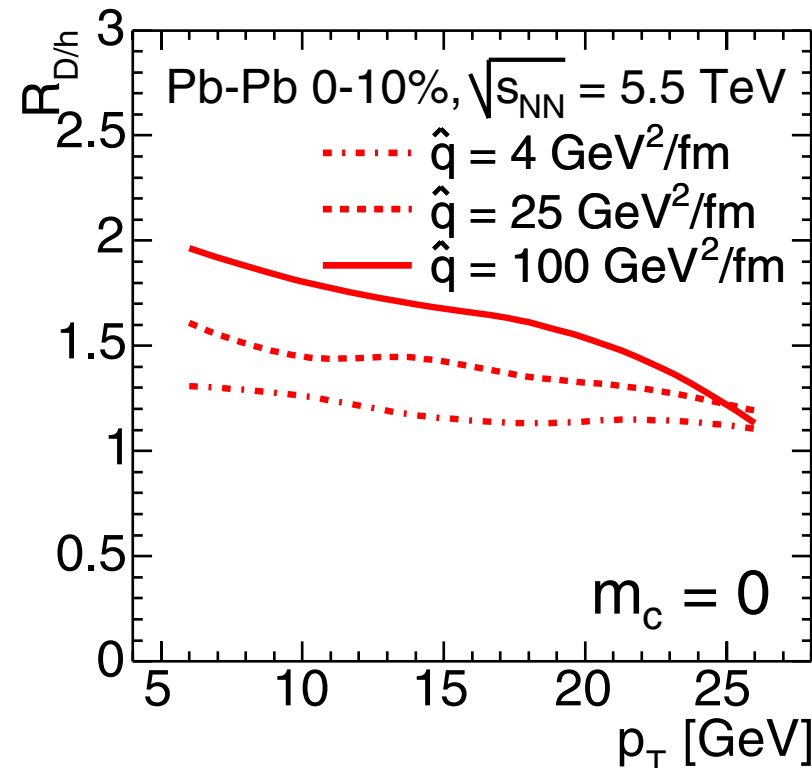
Note:

At $p_T > 10$ GeV, the charm mass dependence of parton energy loss becomes negligible since $m_c/p_T \rightarrow 0$

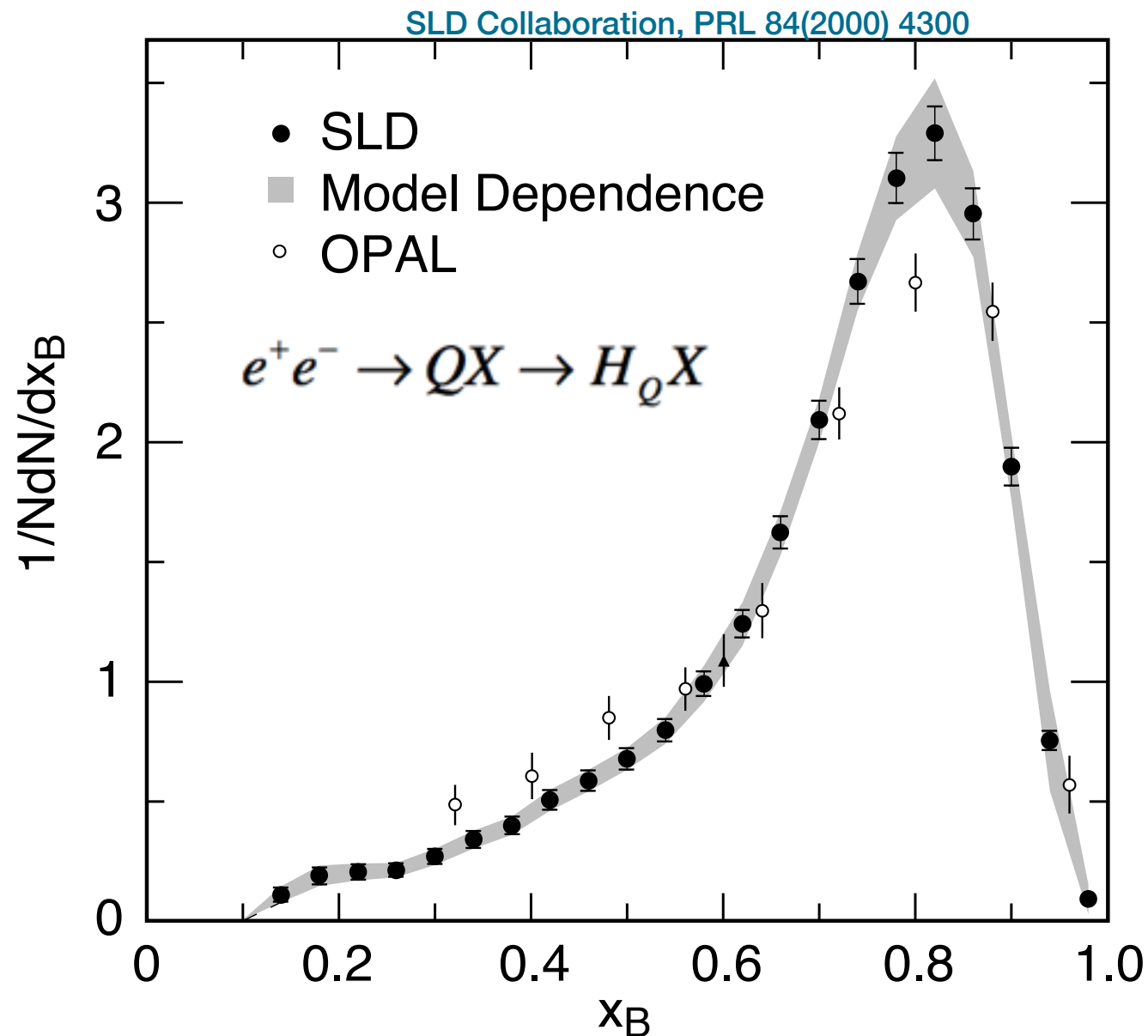
At smaller Bjorken x , a larger fraction of the produced light-flavored hadrons have gluon parents and thus the color charge dependence of parton energy loss can leave a much more sizable effect in the heavy-to-light ratio $R_{D/h}$ at LHC

$R_{D/h}$ enhancement probes colour-charge dependence of energy loss

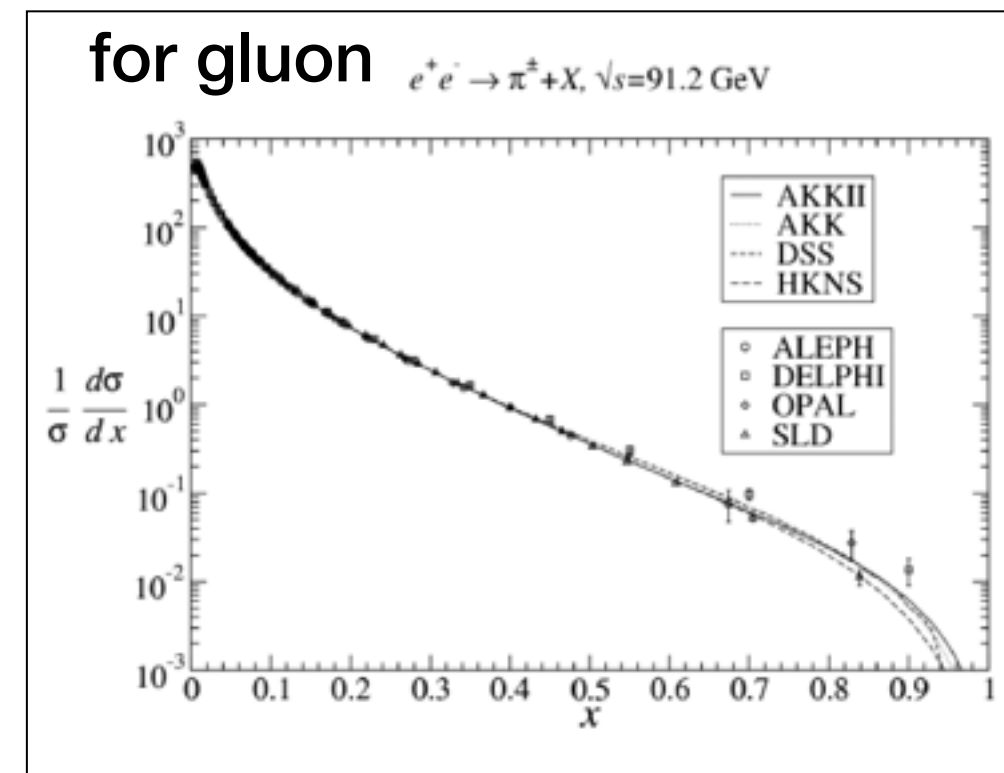
$R_{B/h}$ enhancement probes mass dependence of energy loss



b quark fragmentation



b-quark fragments much harder than light quarks (due to dead cone effect in the vacuum)



- Hard fragmentation
 - measured meson properties closer to parton ones
 - Jet energy can be measured more precisely
 - ⇒ better handle on the fragmentation function to extract medium modification effect

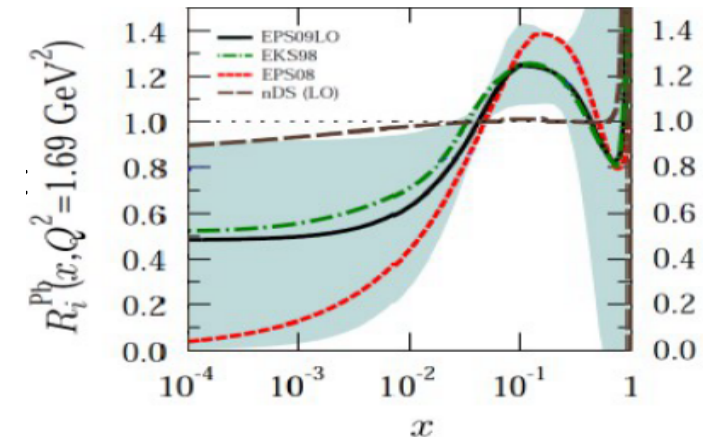
pp and p-Pb collisions (small systems!)

pp collisions

- Testing ground for perturbative QCD calculations
- Relevant production mechanisms on the parton level
 - ▶ LO: gluon fusion, quark-antiquark annihilation
 - ▶ NLO: gluon splitting, flavor excitation
 - ▶ Multi Parton Interactions (MPI)
- Reference for p-Pb and Pb-Pb collisions

p-Pb collisions

- Quantify cold nuclear matter effects: measure effects, not due to QGP formation, that can modify the yield of hard probes in nuclear collisions

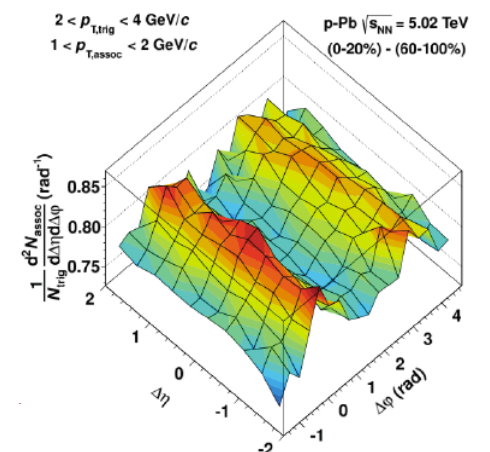


K. J. Eskola et al: JHEP04(2009)065

$$\frac{dN_{PbPb}^D}{dp_T} = \text{PDF}(x_1)\text{PDF}(x_2) \otimes \frac{d\hat{\sigma}^c}{dp_T} \otimes P(\Delta E) \otimes D_{c \rightarrow D}(z)$$

- ▶ nuclear modification of Parton Distribution Functions (shadowing, gluon saturation)
- ▶ k_T broadening via multiple scattering of the parton before the hard scattering
- ▶ energy loss in cold nuclear matter

- Final state effects? (e.g. from system collectivity/hydro)

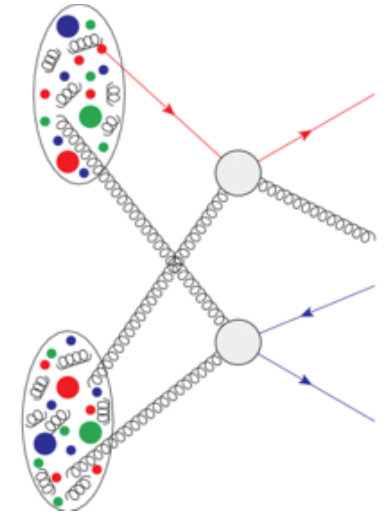


charged particles:
long range correlation

pp and p-Pb collisions, more differential measurements

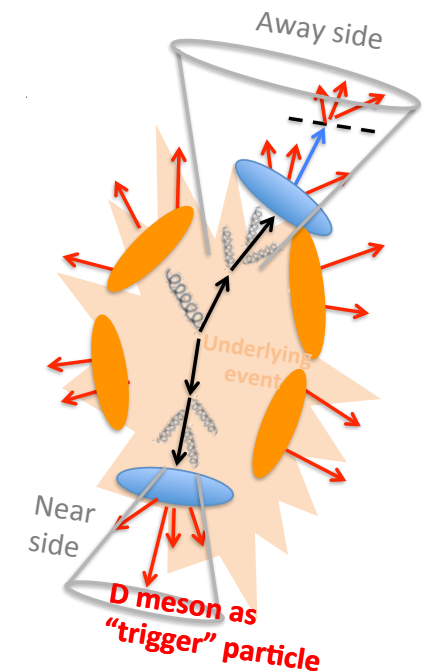
HF production vs. multiplicity in pp and p-Pb collisions

- Interplay between **hard and soft** processes in particle production
- Study the role of **multi-parton interactions (MPI)** in the **heavy-flavour** sector
- Investigate a **possible centrality dependence** of the modification of the p_T spectra in p-Pb w.r.t. pp collisions

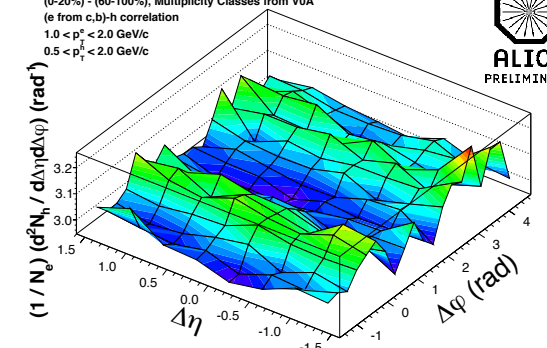


Azimuthal correlation of D meson with charged particles in pp and p-Pb collisions

- Sensitive to **charm quark fragmentation** properties → address charm jet properties
- **Modification of angular correlations** in p-Pb w.r.t. pp collisions?
 - may arise from both initial and final-state effects
- **Reference** for Pb-Pb measurements → **complementary** information to R_{AA} and v_2 measurements to study in-medium energy loss (e.g. path-length dependence)



p-Pb, $\sqrt{s_{NN}} = 5.02$ TeV
 (0-20%) - (60-100%), Multiplicity Classes from V0A
 (c from c.b)-h correlation
 $1.0 < p_T^c < 2.0$ GeV/c
 $0.5 < p_T^h < 2.0$ GeV/c



ALI-PR-62026

ALICE
PRELIMINARY

Heavy flavours
Results in pp and p-Pb collisions

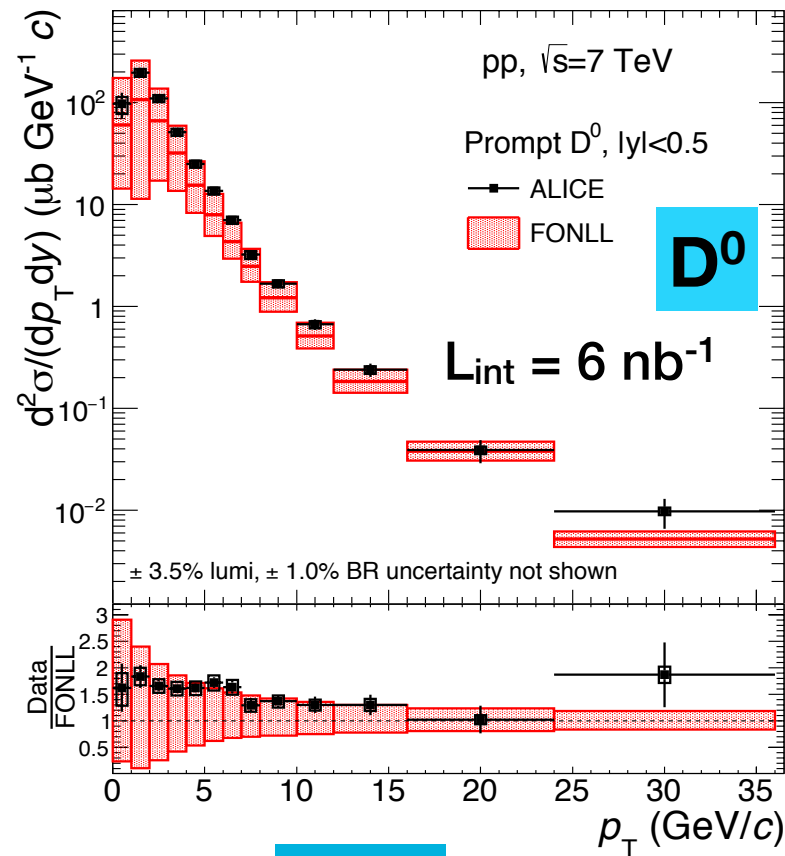
Small systems!

D mesons down to $p_T=0$

[1] JHEP 05 (1998) 007, JHEP10(2012)137

pp, $\sqrt{s} = 7$ TeV

arXiv:1702.00766

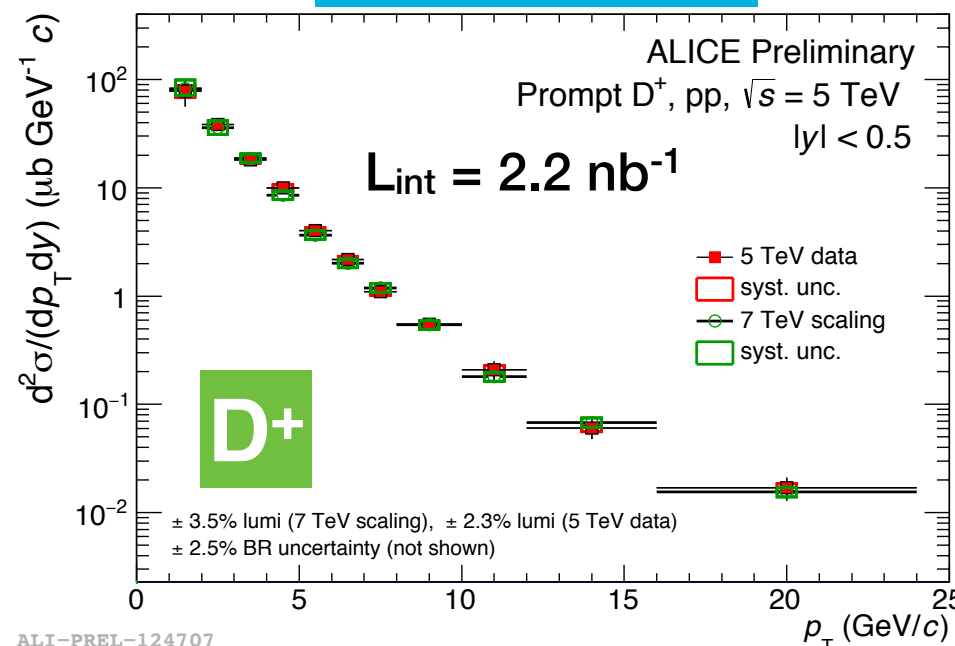


New analysis of 2010 pp data at $\sqrt{s} = 7$ TeV (D^0, D^+, D^{*+}, D_s^+)

Extended p_T coverage w.r.t. previous analysis systematic uncertainty **reduced by a factor 2!**

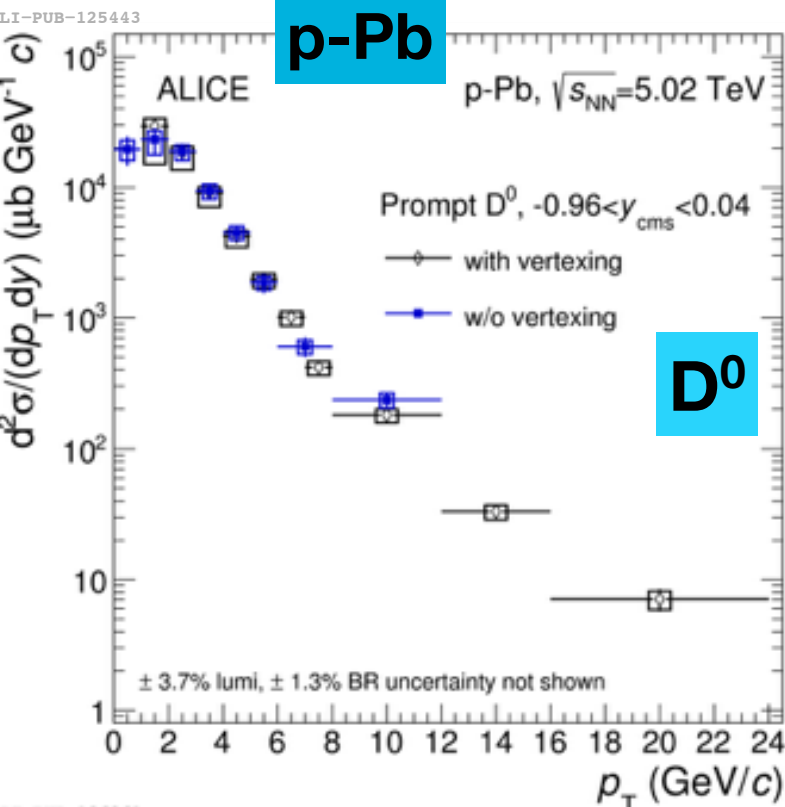
- **pQCD-based** theoretical calculations reproduce the data
- **Data much more precise** than theoretical calculations!

pp, $\sqrt{s} = 5$ TeV



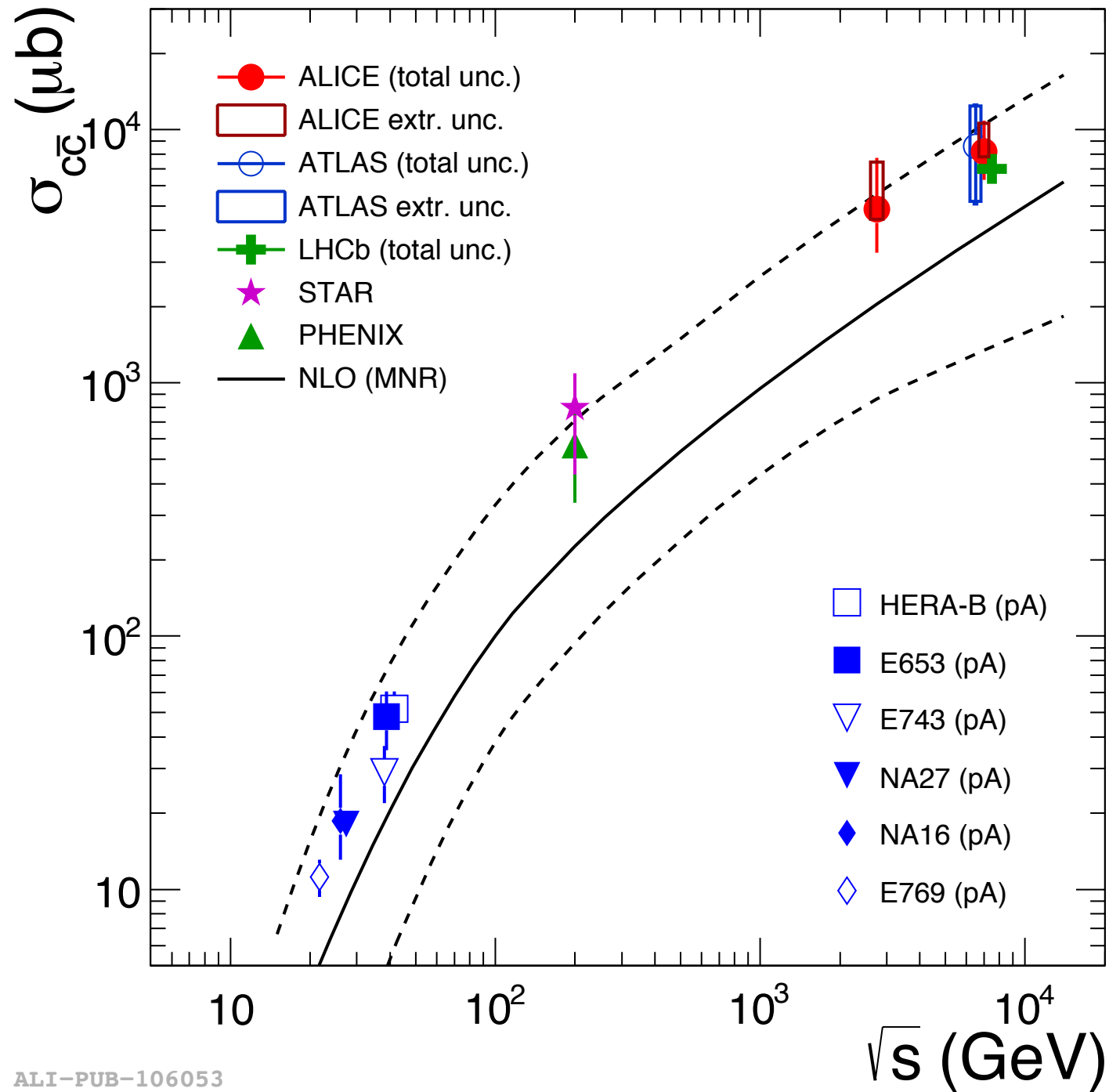
New analysis of 2015 pp data at $\sqrt{s} = 5.02$ TeV

D-meson cross sections in pp at 5 TeV **compatible** within uncertainties with FONLL^[1] predictions at 5 TeV and with extrapolated cross section from 7 TeV data

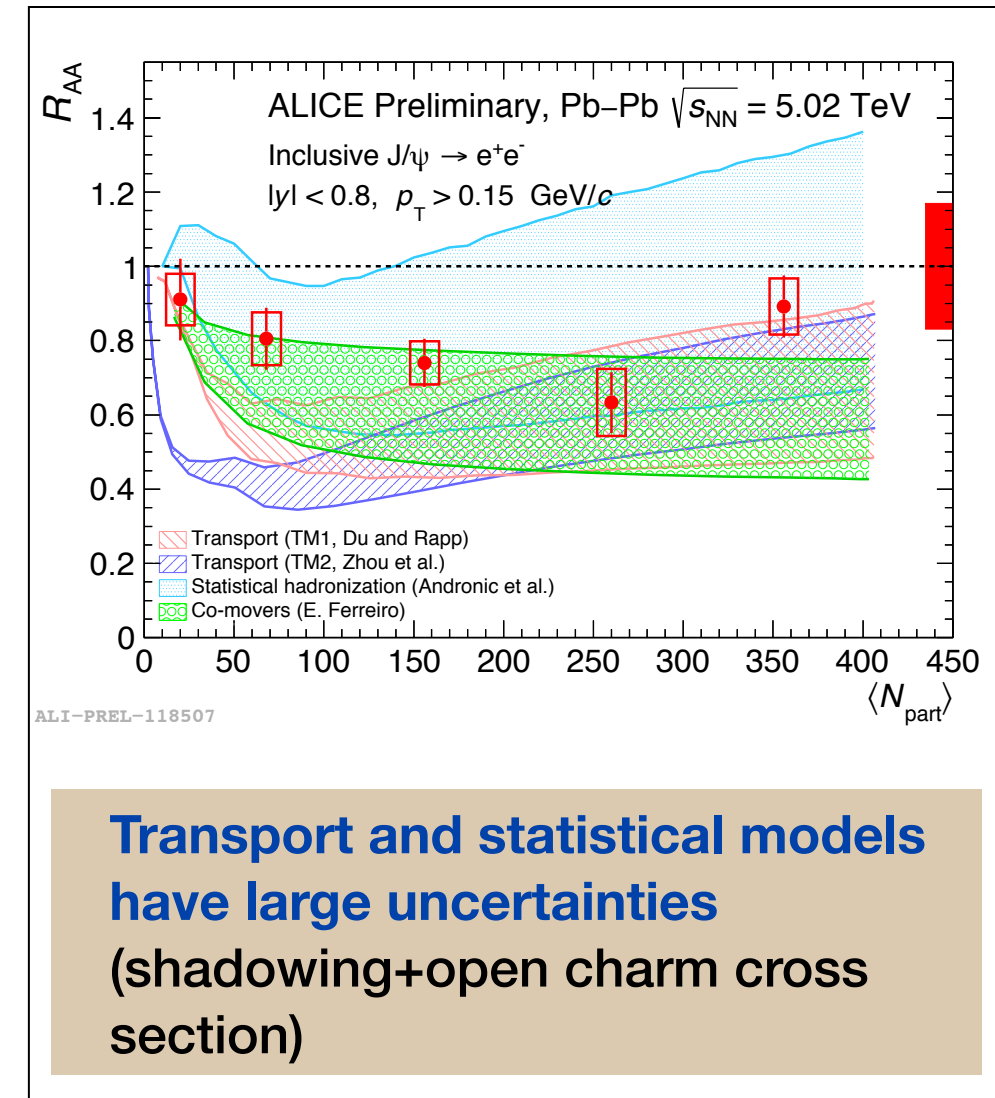


Total Charm Cross Section

arXiv:1605.07569



ALI-PUB-106053



ALI-PREL-118507

Transport and statistical models have large uncertainties (shadowing+open charm cross section)

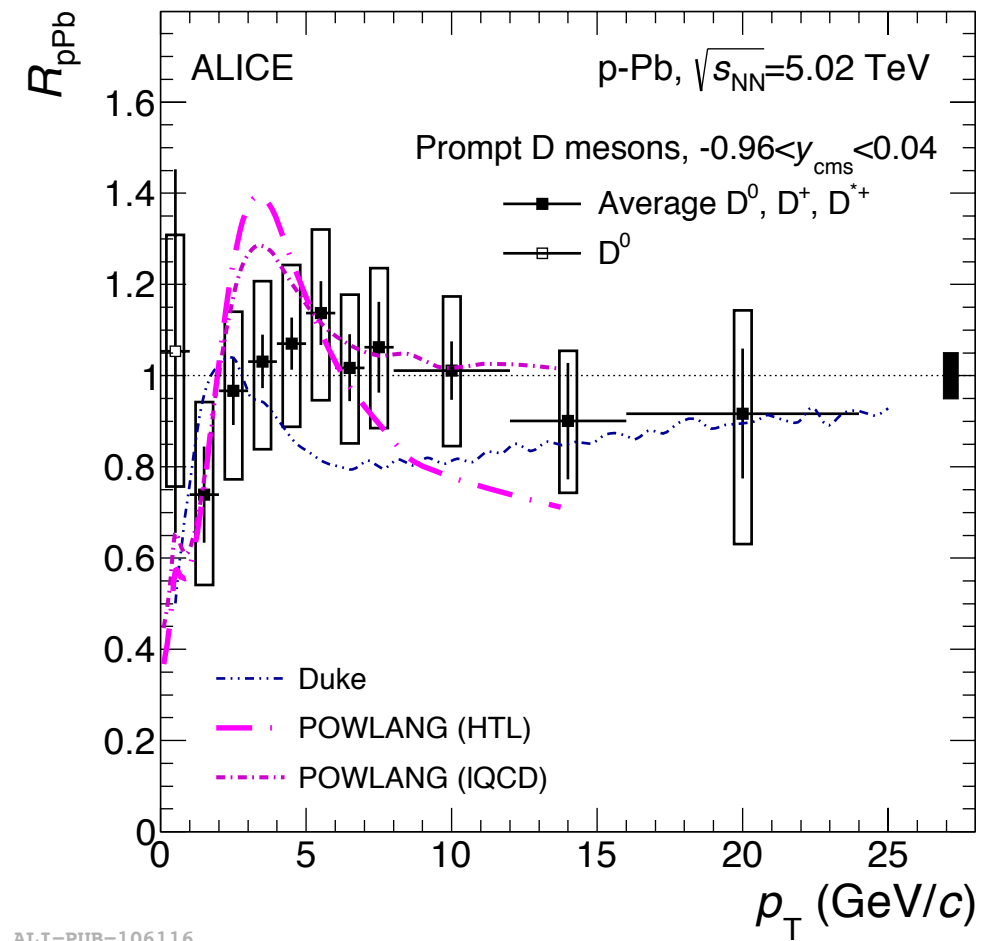
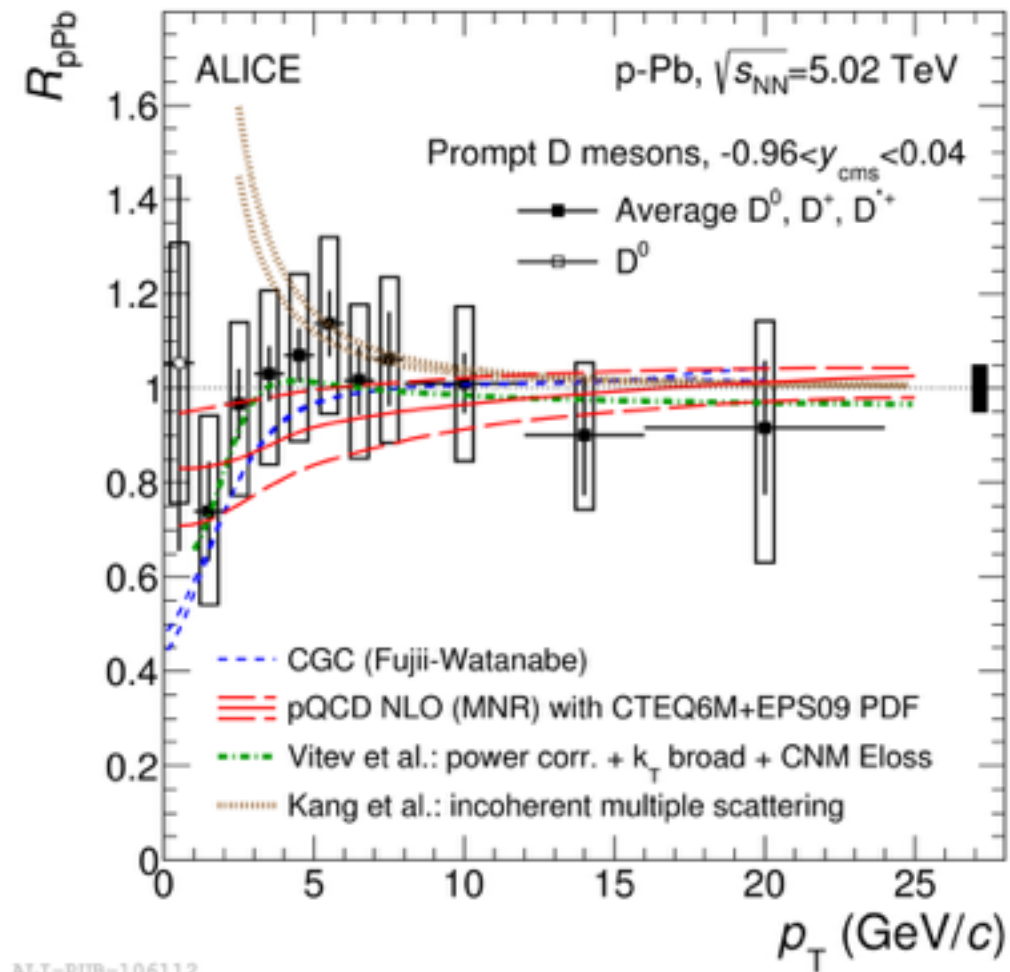
Important input for model!

Factor ~2 reduction on systematic uncertainty

D-meson R_{pPb}

D^0 and D-mesons

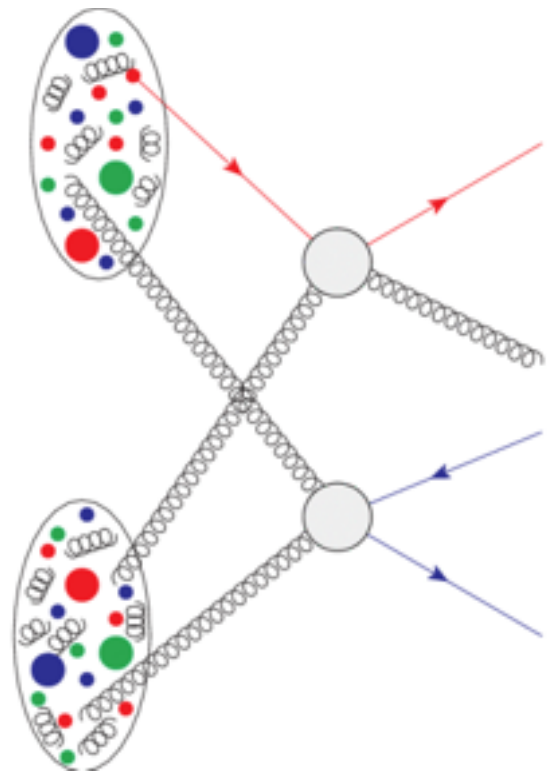
arXiv:1605.07569



- D-meson R_{pPb} **compatible with unity** within uncertainties
- Data are described by models **including initial-state and cold nuclear matter effects (left panel)**, as well as by models assuming the formation of a **small-size QGP** in p-Pb collisions **(right panel)**
- **Need larger samples** of both p-Pb and pp collisions @5 TeV for constraining models at low p_T where predictions differentiate.

**Results in pp and p-Pb collisions:
Toward more differential measurements!**

More on production mechanism: Multiplicity dependence of heavy-flavour production



Particle production in pp collisions at the LHC shows a better agreement with models including **Multi-Parton Interactions (MPIs)**

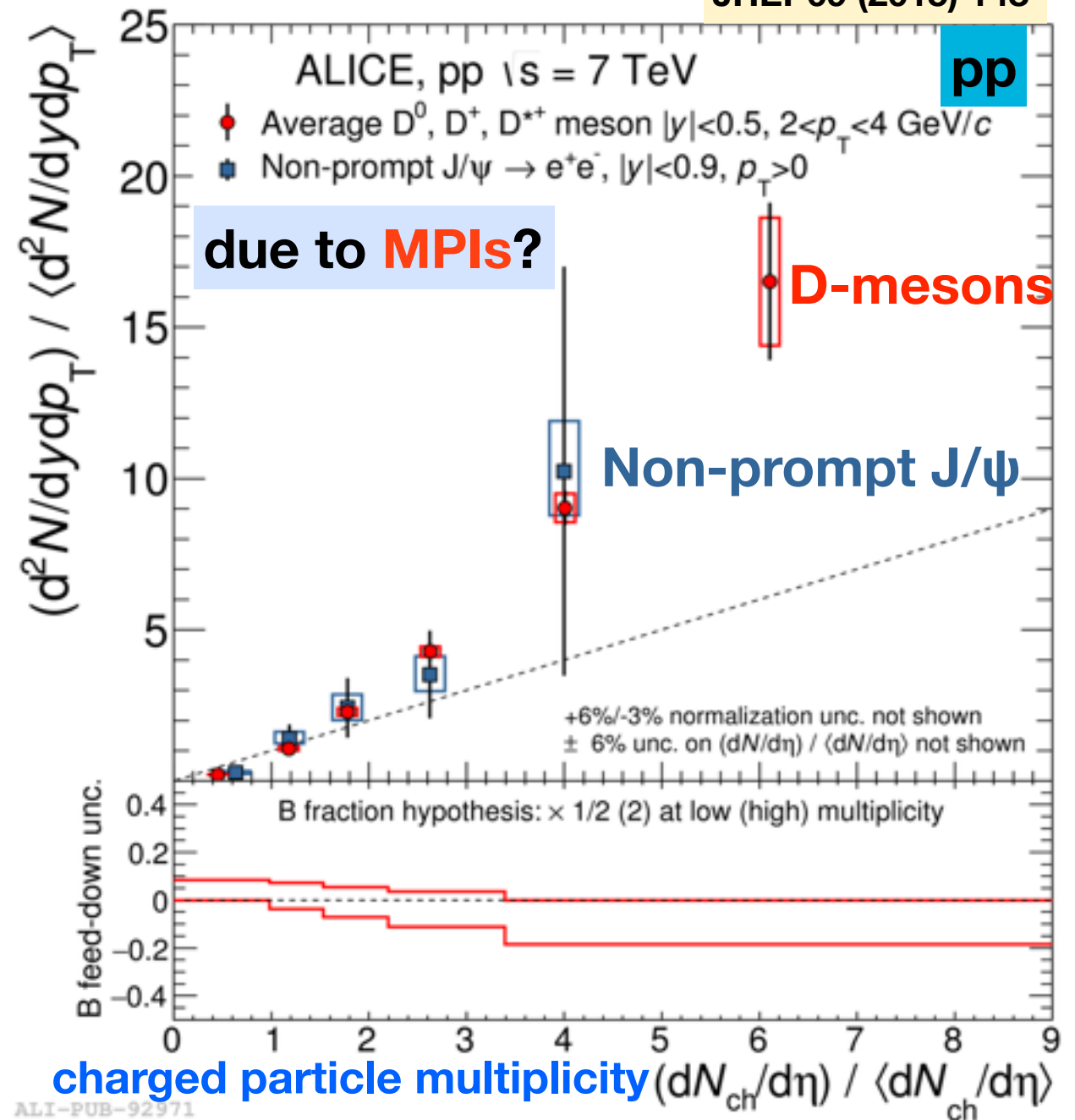
Eur. Phys. J. C 73 (2013) 2674

For heavy flavours:

▶ LHCb: double charm production agrees **better with models including double parton scattering**

J. High Energy Phys., 06 (2012) 141

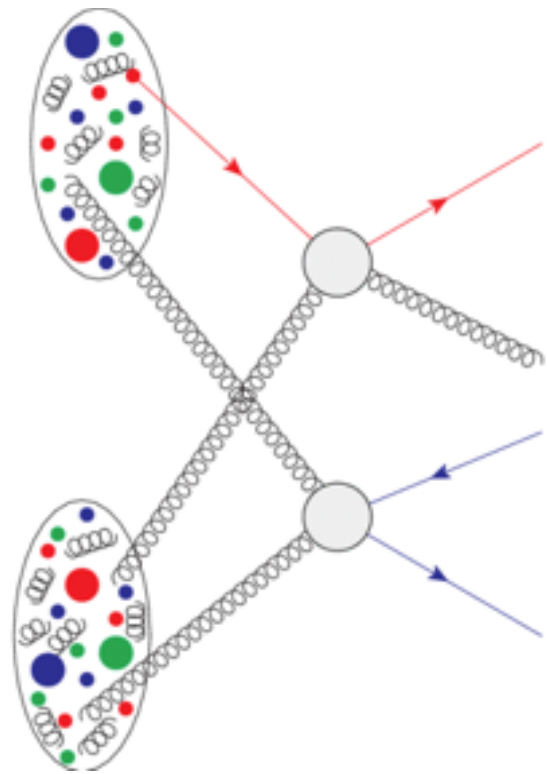
JHEP09 (2015) 148



MPIs involving only light quarks and gluons, or for heavy-flavour production?

- D-meson, non-prompt J/ψ yields **increase with charged-particle multiplicity**
- presence of **MPIs** and contribution on the **harder scale?**

More on production mechanism: Multiplicity dependence of heavy-flavour production



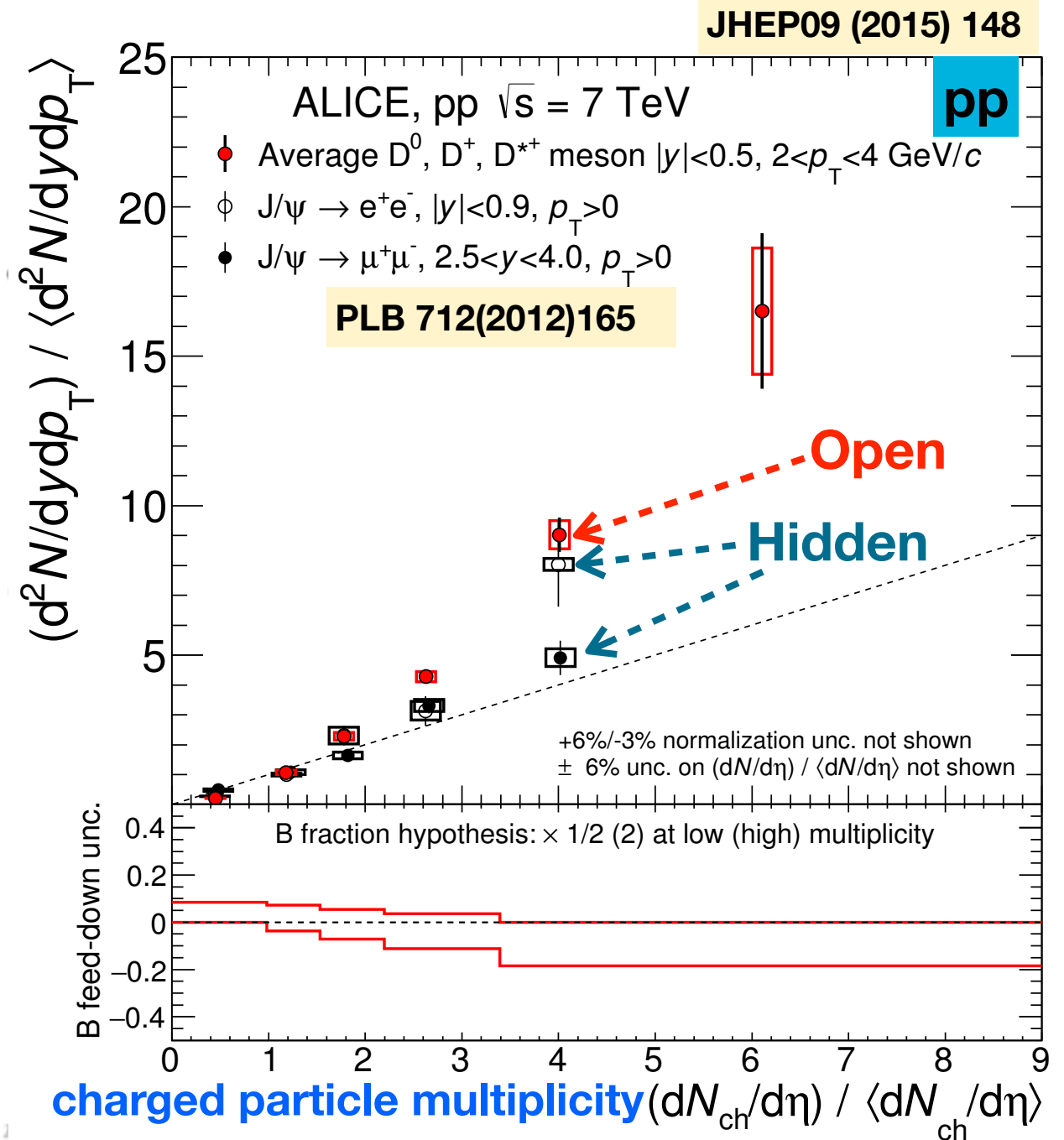
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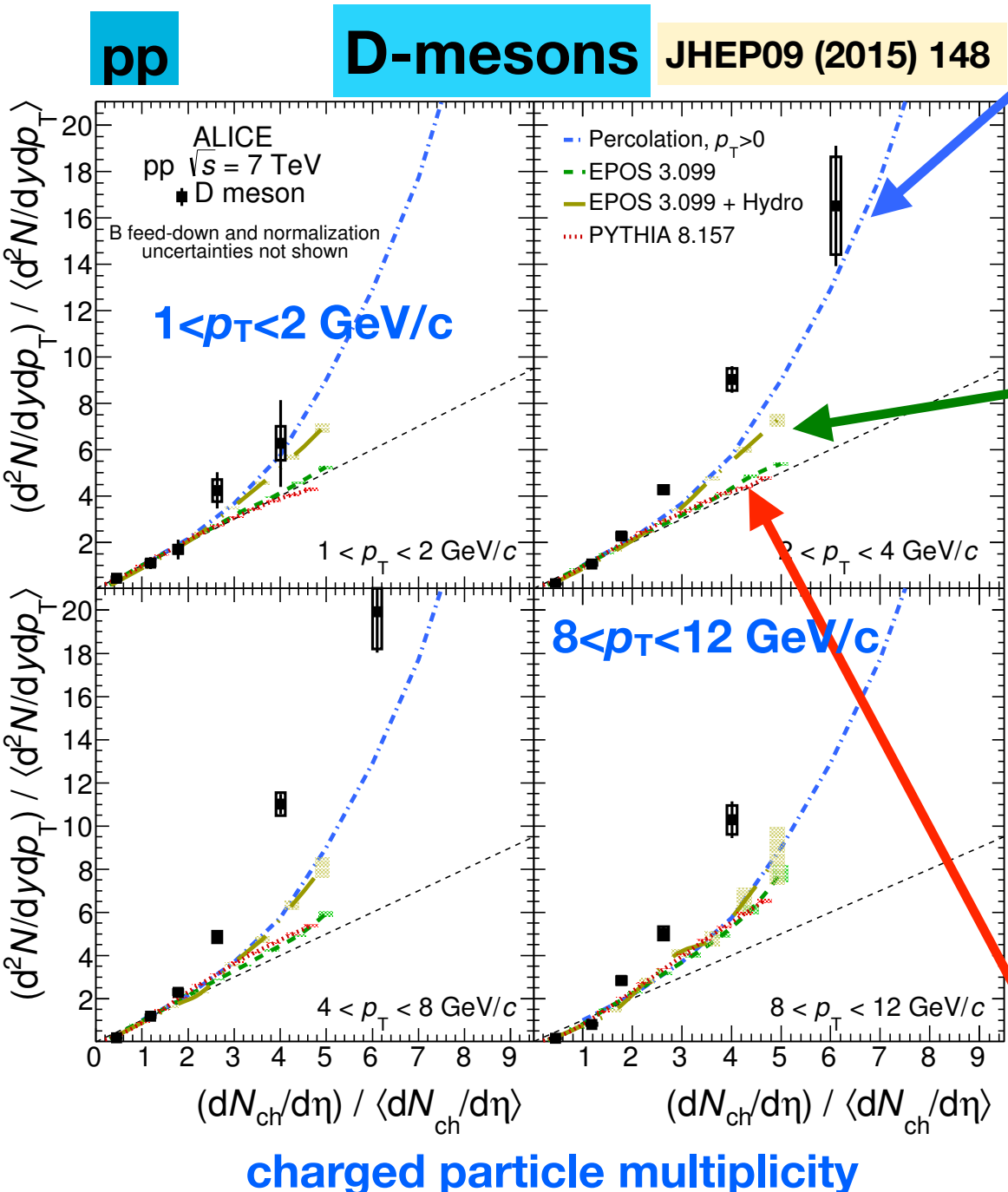


MPIs involving only light quarks and gluons, or for heavy-flavour production?

- Same behavior for open and hidden charm production

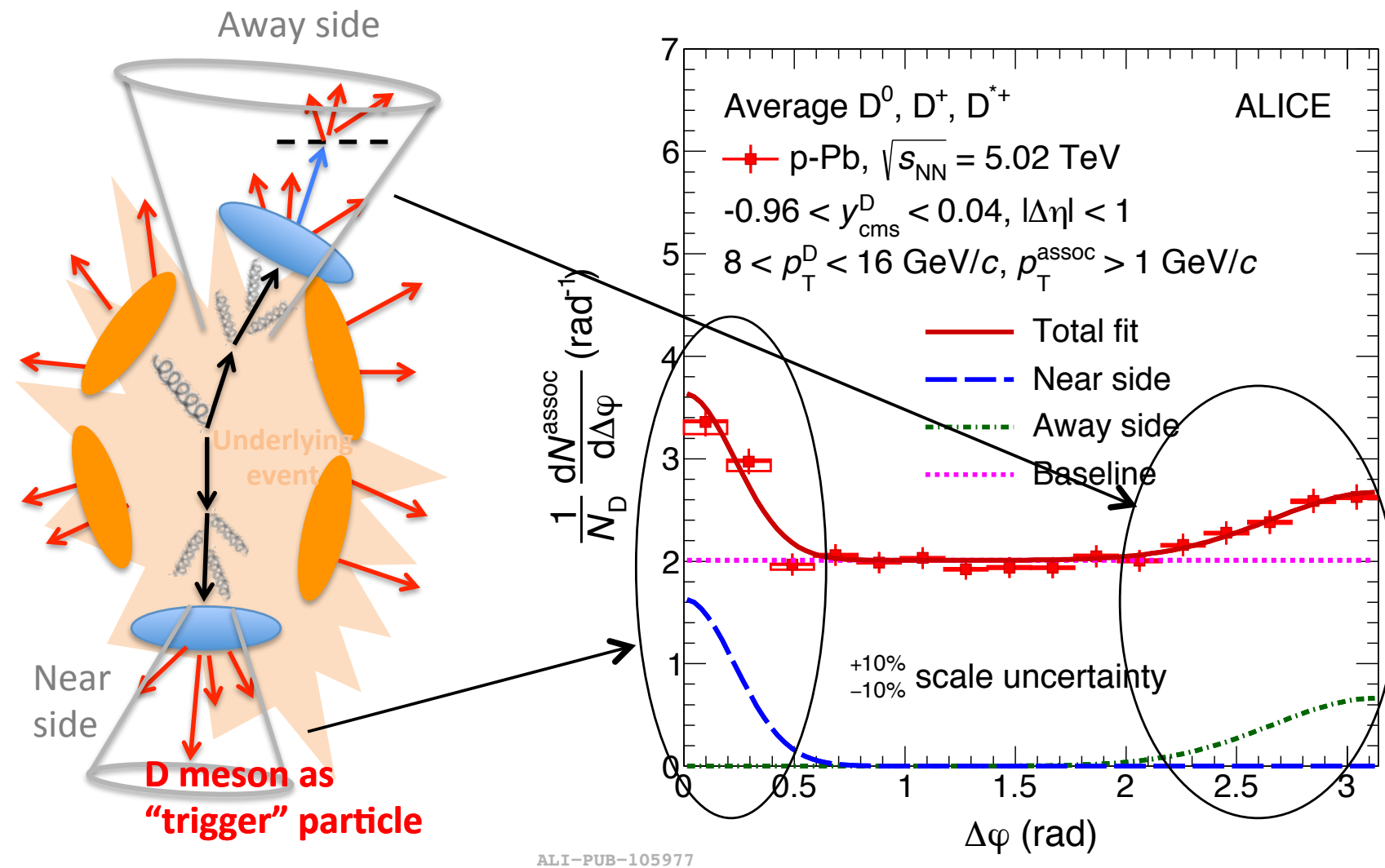
→ this behaviour is most likely **related to the $c\bar{c}$ and $b\bar{b}$ production processes, but not significantly influenced by hadronisation!**

D-meson yields vs. multiplicity: comparison with models (pp)



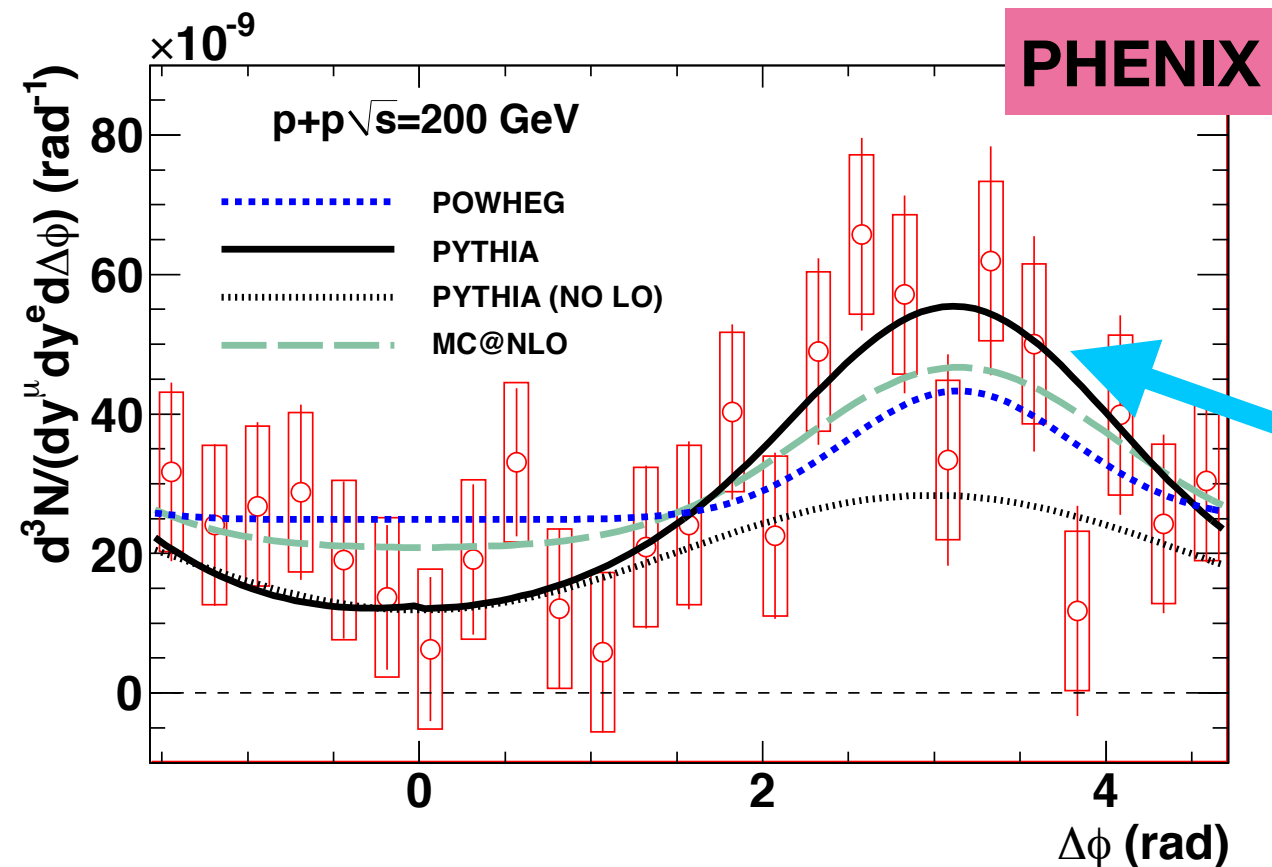
- **Percolation** (Ferreiro, Pajares, PRC 86 (2012) 034903)
 - ▶ Particle production via exchange of colour sources between projectile and target (close to MPI scenario) → Faster than linear increase
- **EPOS 3.099** (Werner et al., PRC 89 (2014) 064903)
 - ▶ Gribov-Regge multiple-scattering formalism
 - ▶ Saturation scale to model non-linear effects
 - ▶ Number of MPI directly related to multiplicity → slightly faster than linear
 - ▶ With **hydrodynamical evolution** applied to the core of the collision → faster than linear increase
- **PYTHIA 8** (Sjostrand et al., Comput. Phys. Commun. 178 (2008) 852)
 - ▶ Soft-QCD tune
 - ▶ Colour reconnection
 - ▶ MPI

More differential information: Azimuthal correlations of D mesons with charged particles



- Sensitive to **charm quark fragmentation** properties → address charm jet properties
- **Modification of angular correlations** in p-Pb w.r.t. pp collisions?
 - ▶ may arise from both initial and final-state effects

More differential information: Heavy-flavour electron-muon correlation in d+Au

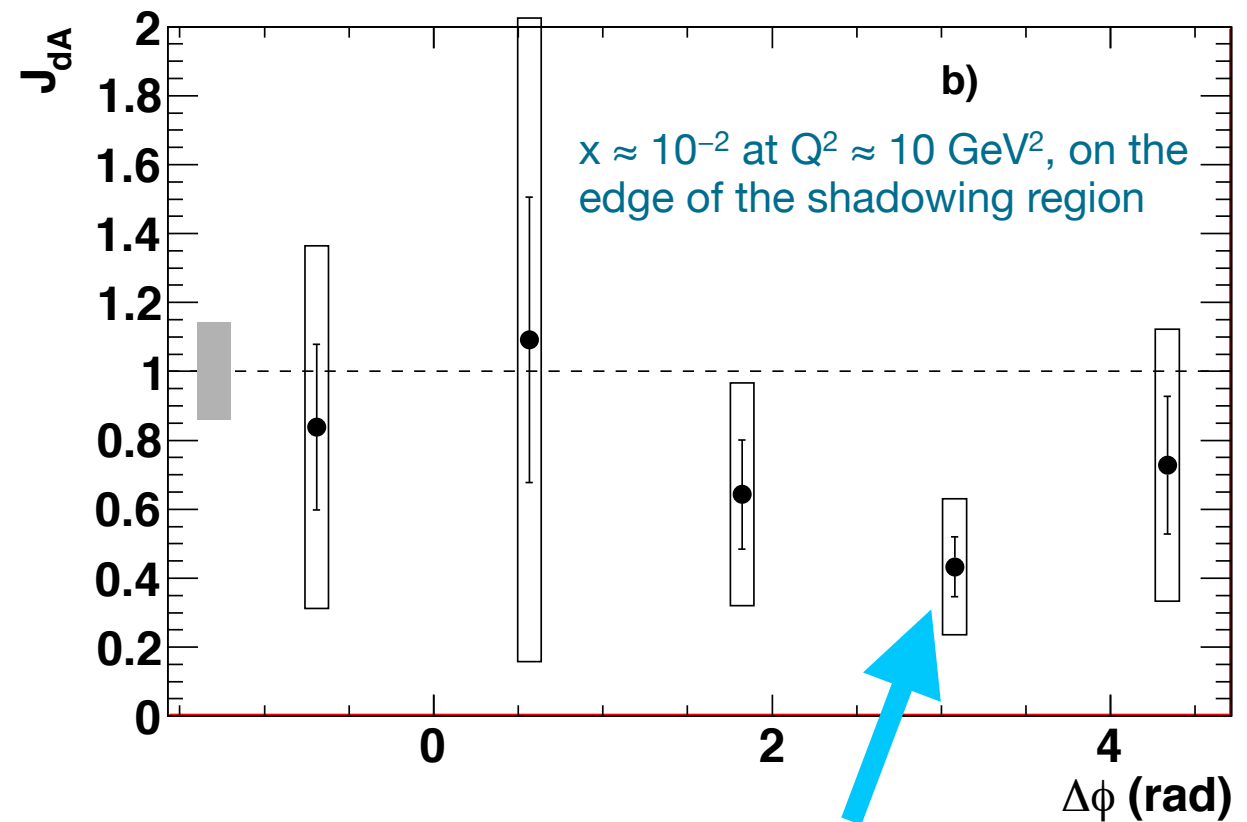
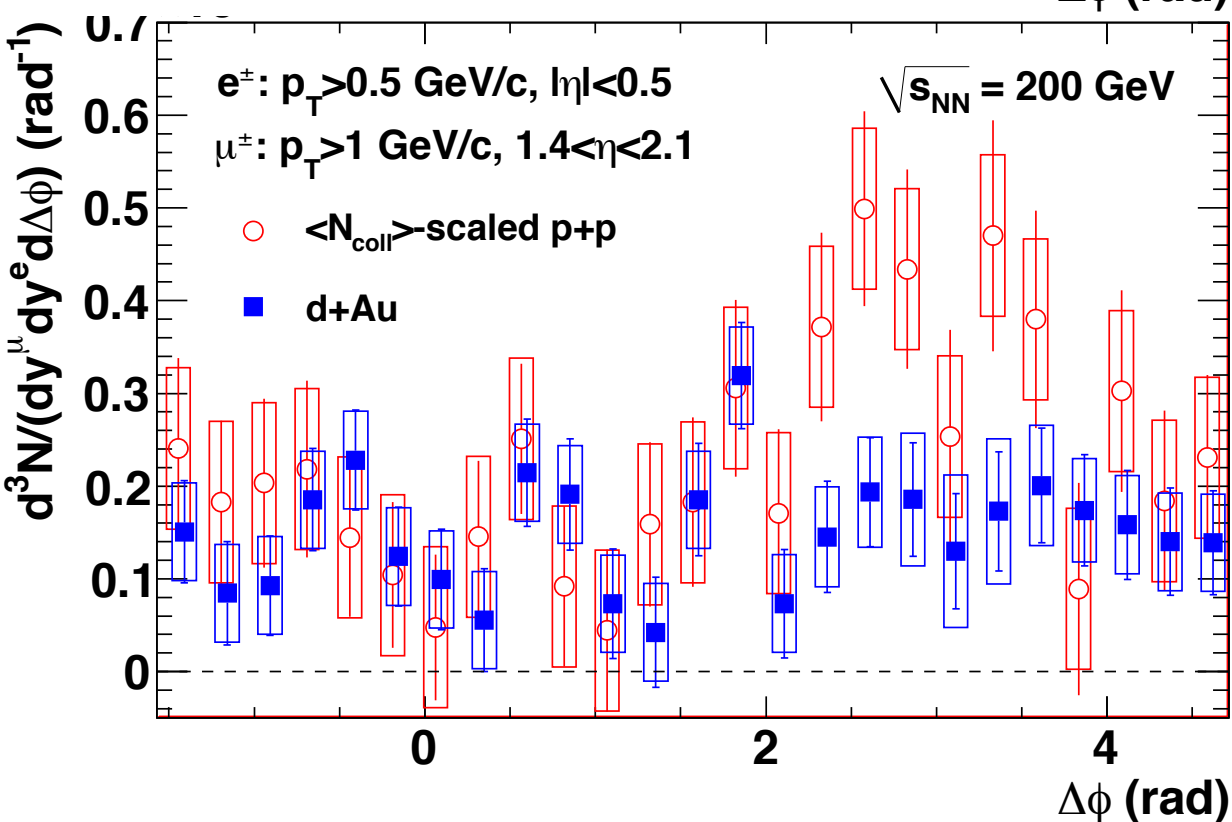


Access to the g -PDF?

$$\sigma_{cc} = 538 \pm 46(\text{stat}) \pm 197(\text{data sys}) \pm 174(\text{model sys}) \mu\text{b}$$

Peak by leading order gluon fusion
Continuum by higher order processes

$$J_{dA} = \frac{d + \text{Au pair yield}}{\langle N_{\text{coll}} \rangle p + p \text{ pair yield}}$$

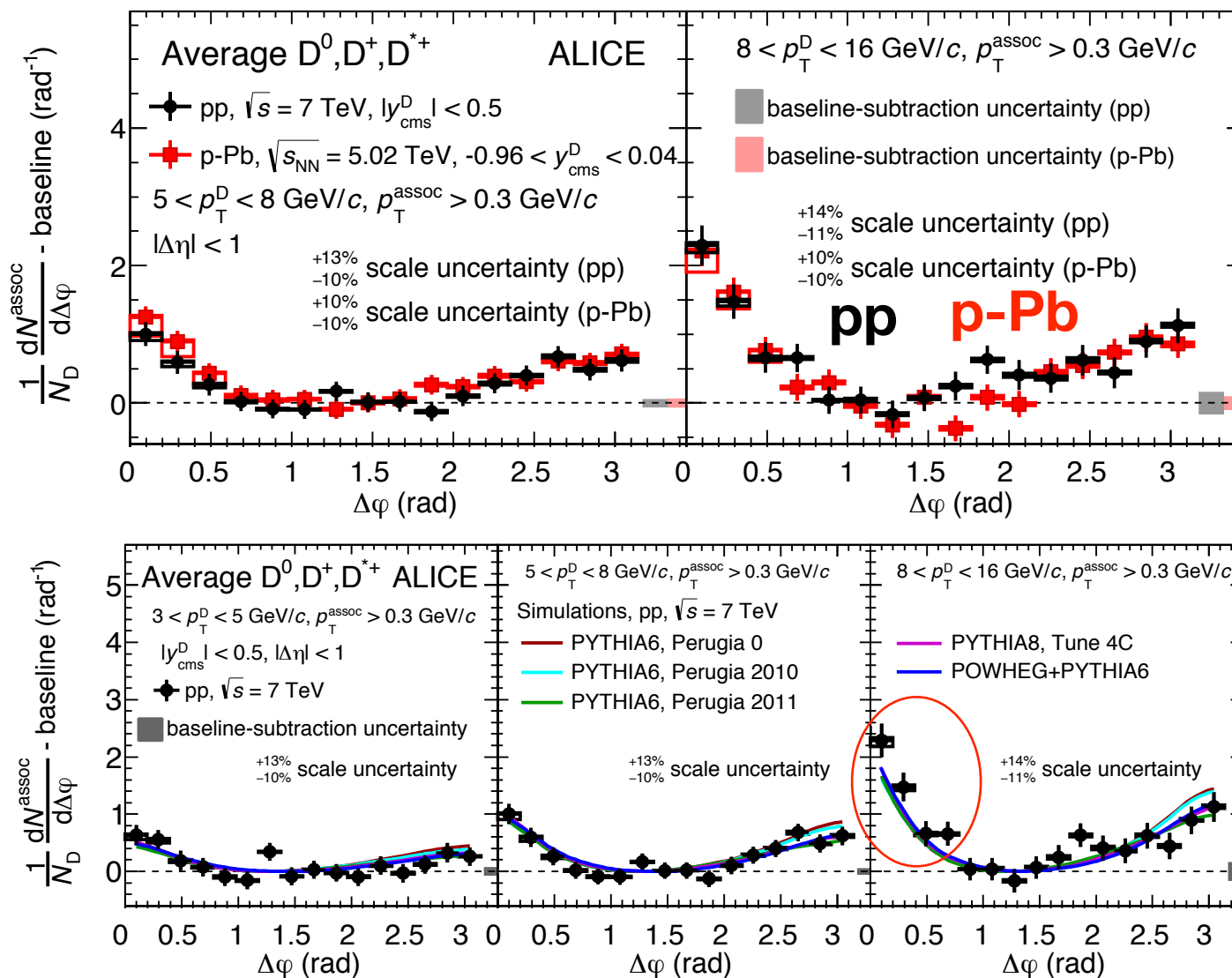


Phys. Rev. C 89, 034915 (2014)

Cold nuclear medium modifies the $c\bar{c}$ correlations

More differential information: Azimuthal correlations of D mesons with charged particles

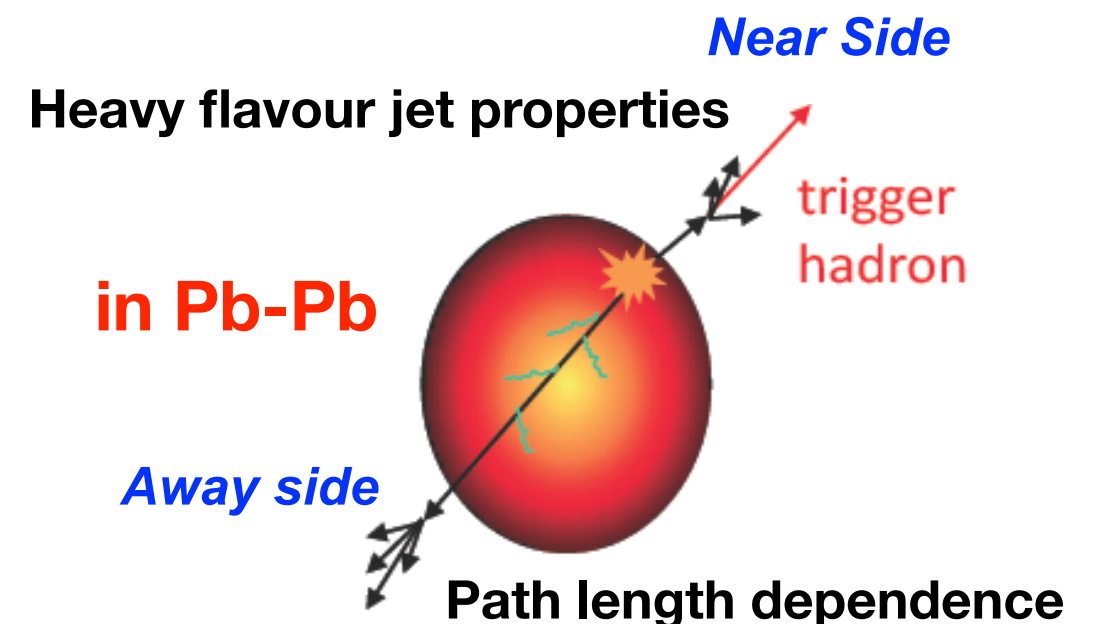
arXiv:1605:06963



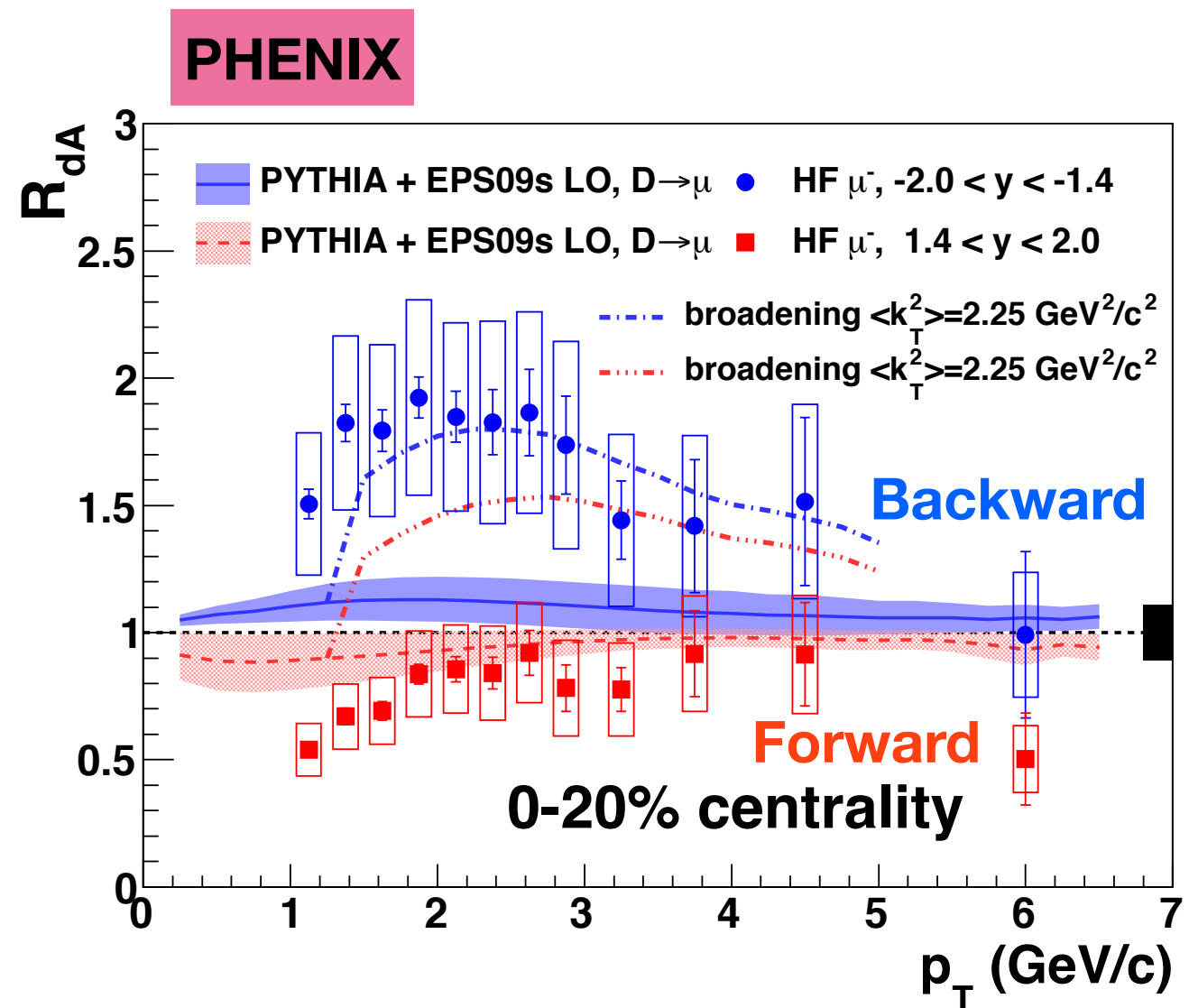
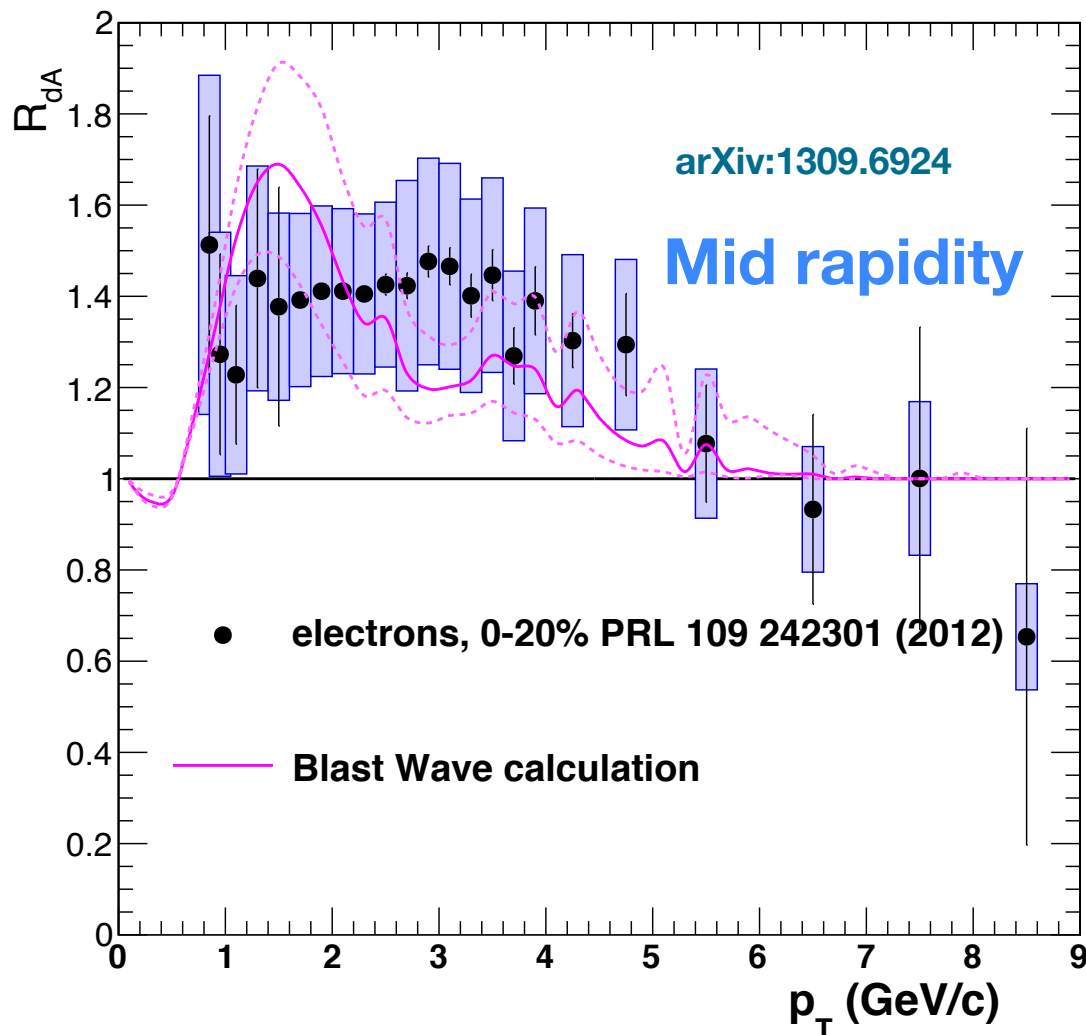
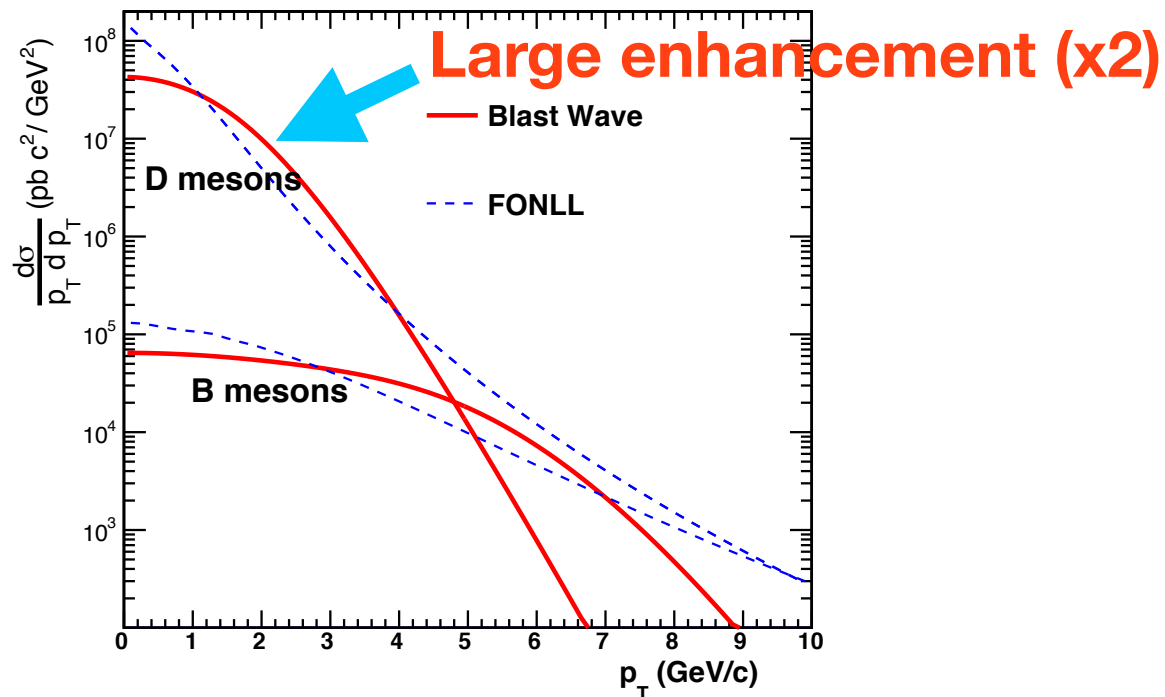
- Baseline-subtracted azimuthal-correlation distributions observed in the **two collision systems are compatible** within uncertainties → **similar initial and final-state effects?**
Require precision measurement!

- MC simulations describe, within the uncertainties, the data in the whole $\Delta\phi$ range, though a hint for a more **pronounced peak in the near side** in data than in models is present for D mesons at high p_T

- Reference for future Pb-Pb measurements → **complementary** information to R_{AA} and v_2 measurements to study in-medium energy loss (e.g. **path-length dependence**)



More differential information: Hydro? Enhancement in central d+Au at RHIC



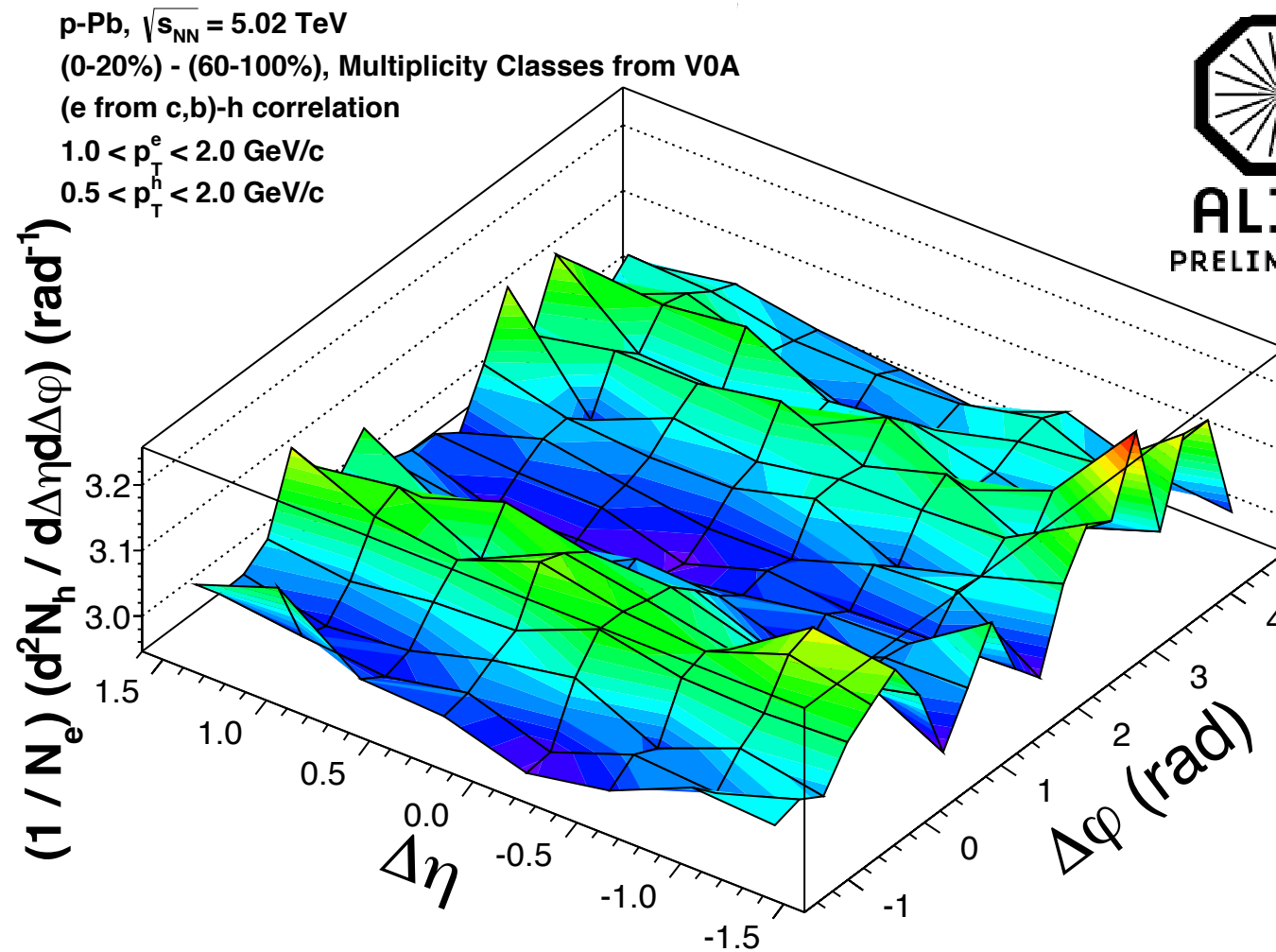
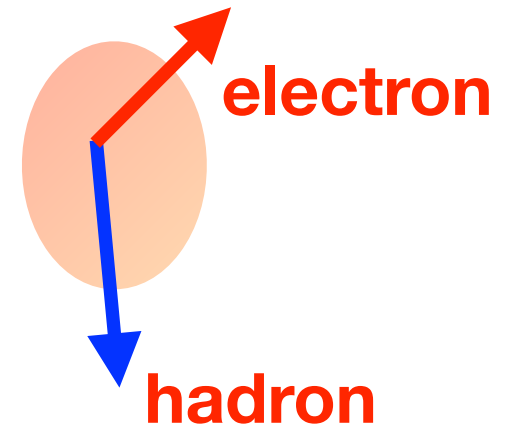
← **Radial flow qualitatively reproduces the data!**

Enhancement at mid- and backward rapidity possibly due to hydrodynamics?

More differential information: Heavy-flavour electron-hadron correlations

p-Pb

Multiplicity class:
(0-20%) - (60-100%)



Resembles the structure that in AA is interpreted in terms of collective flow

ALI-PREL-62026

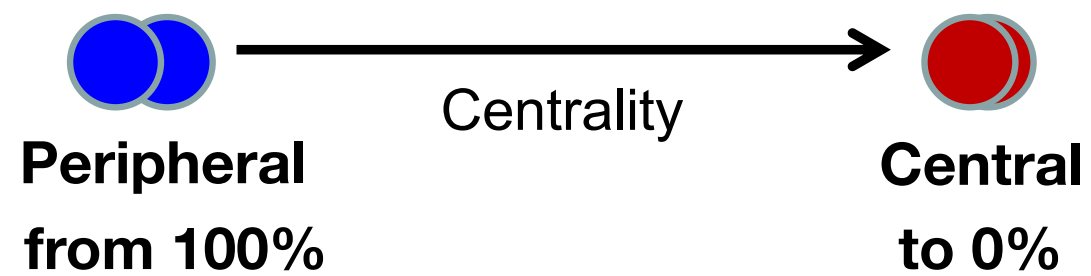
The double ridge also observed in heavy-flavour sector!

The mechanism (CGC? **Hydro?) that generates it affects also HF**

Heavy flavours

Results in Pb-Pb collisions

Large systems!

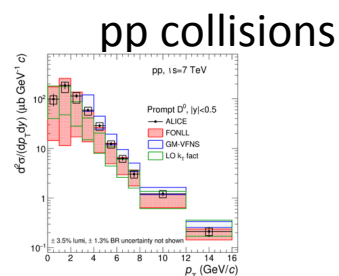
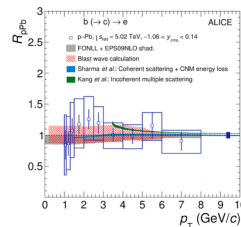
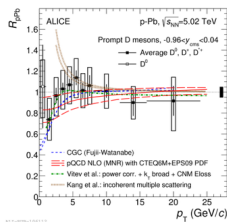


Observables to measure medium effect

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What we want to probe

Constrain models with measurements from p-Pb collisions

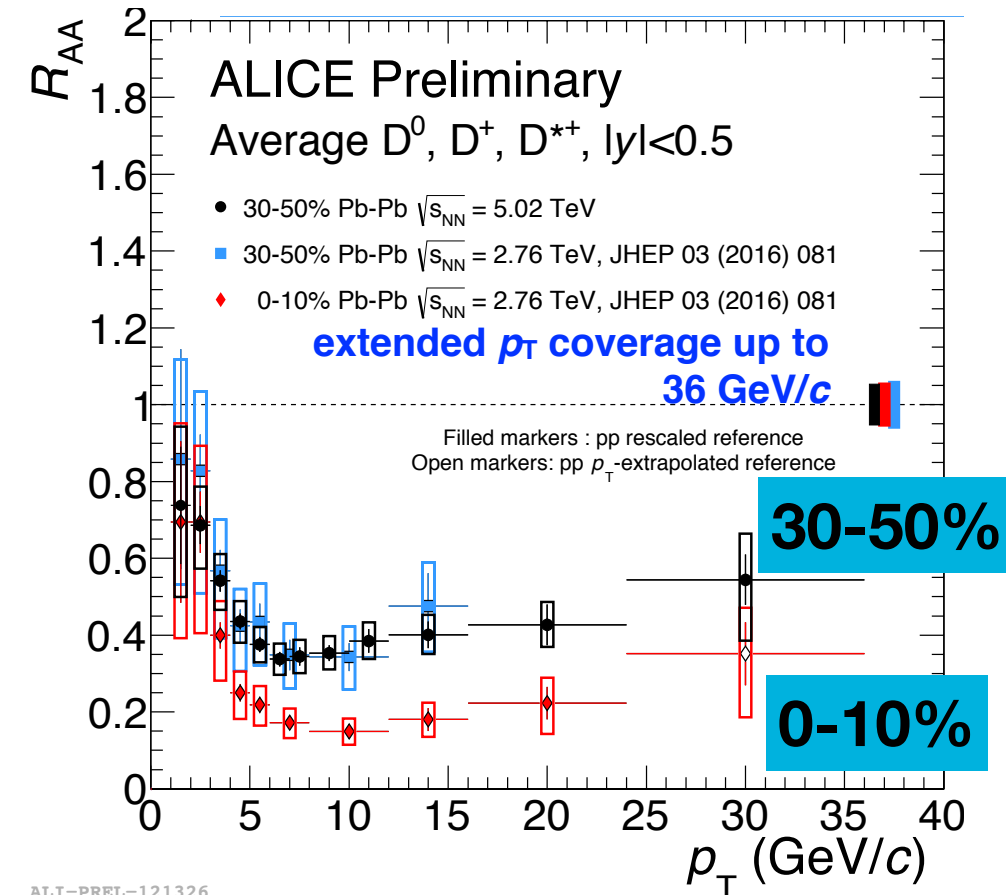
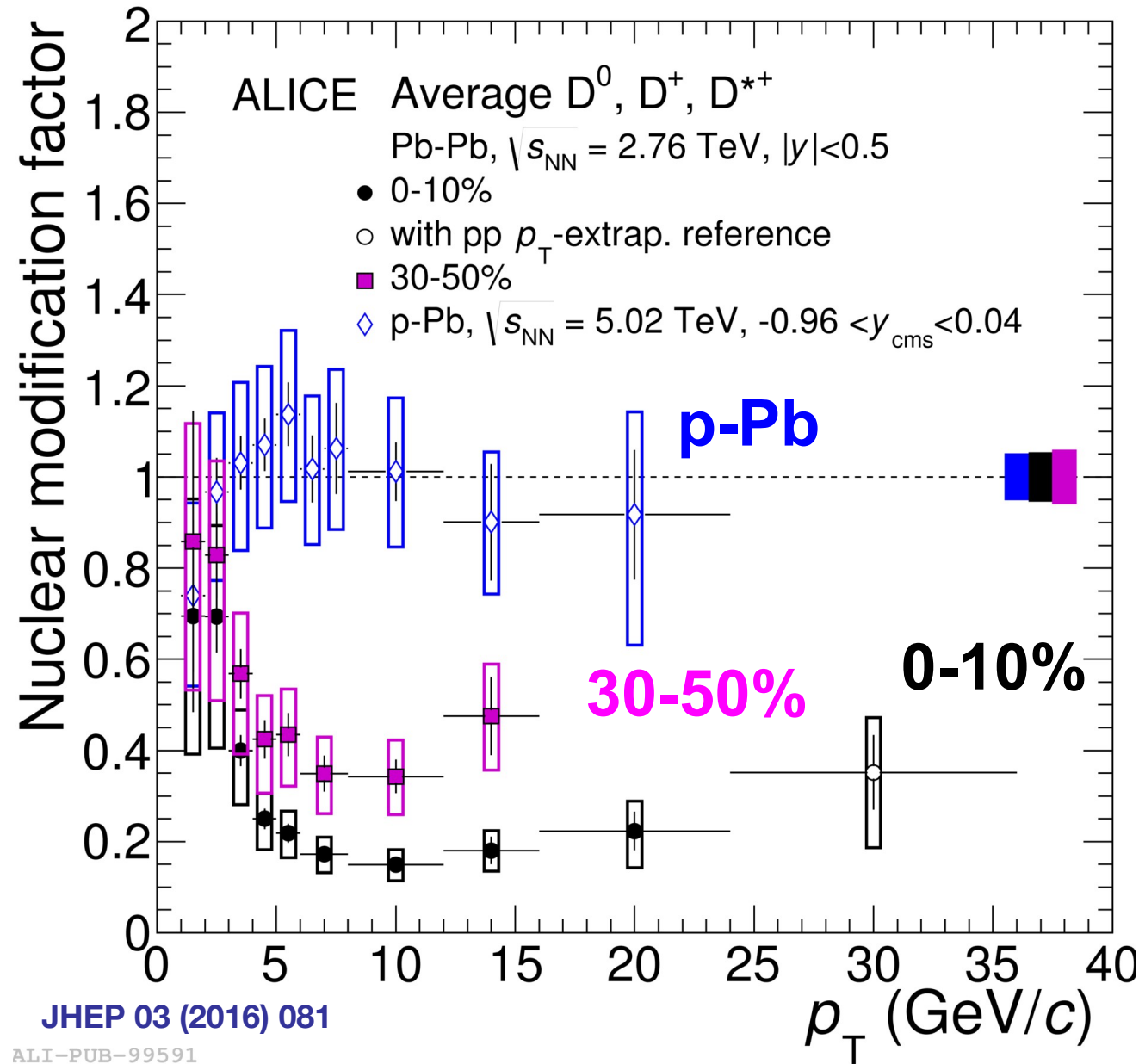


Charm and beauty energy loss
 → via radiative (“gluon Bremsstrahlung”) and collisional processes

- ❖ quark mass (dead-cone effect)
- ❖ color charge (Casimir factor)
- ❖ path length and medium density

→ hadronization via coalescence with medium quarks?

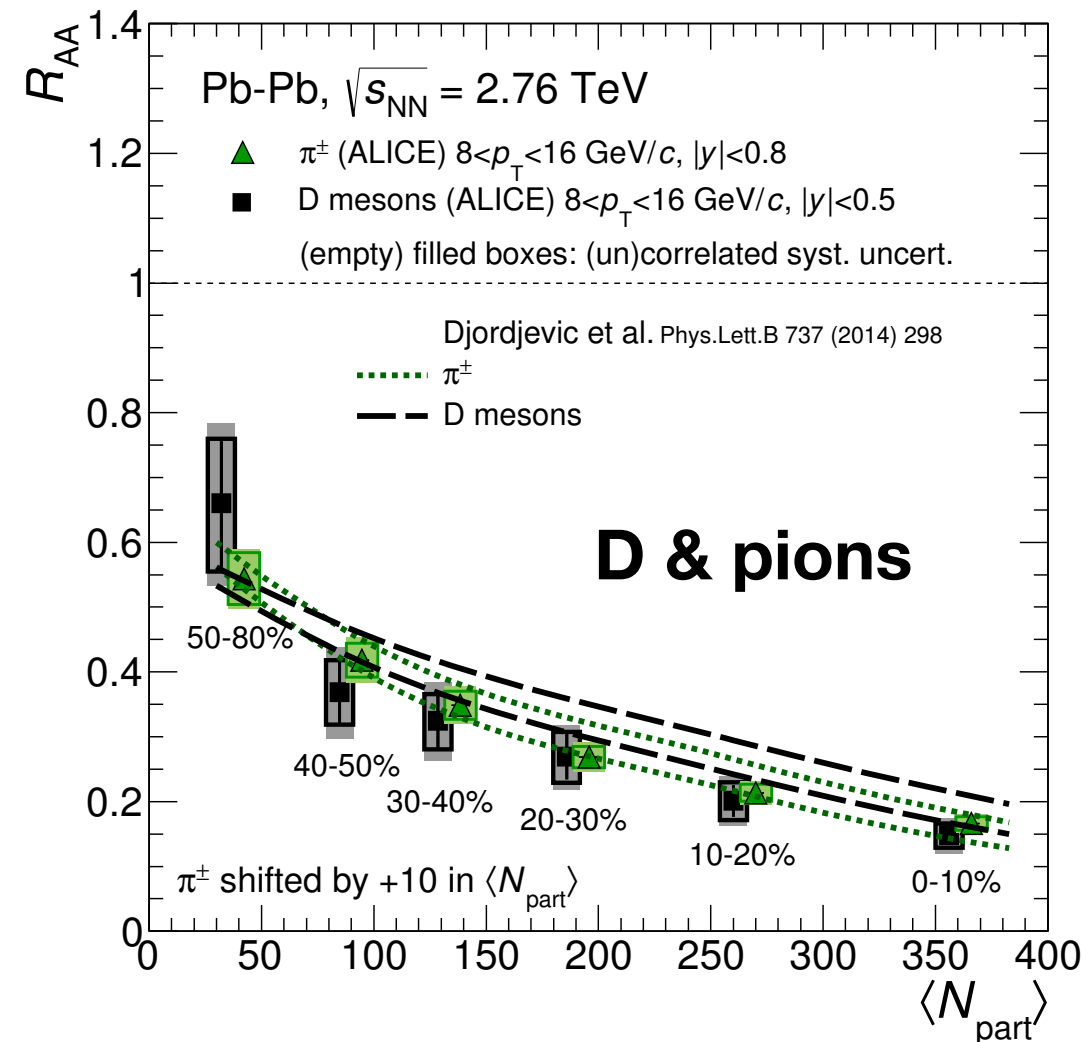
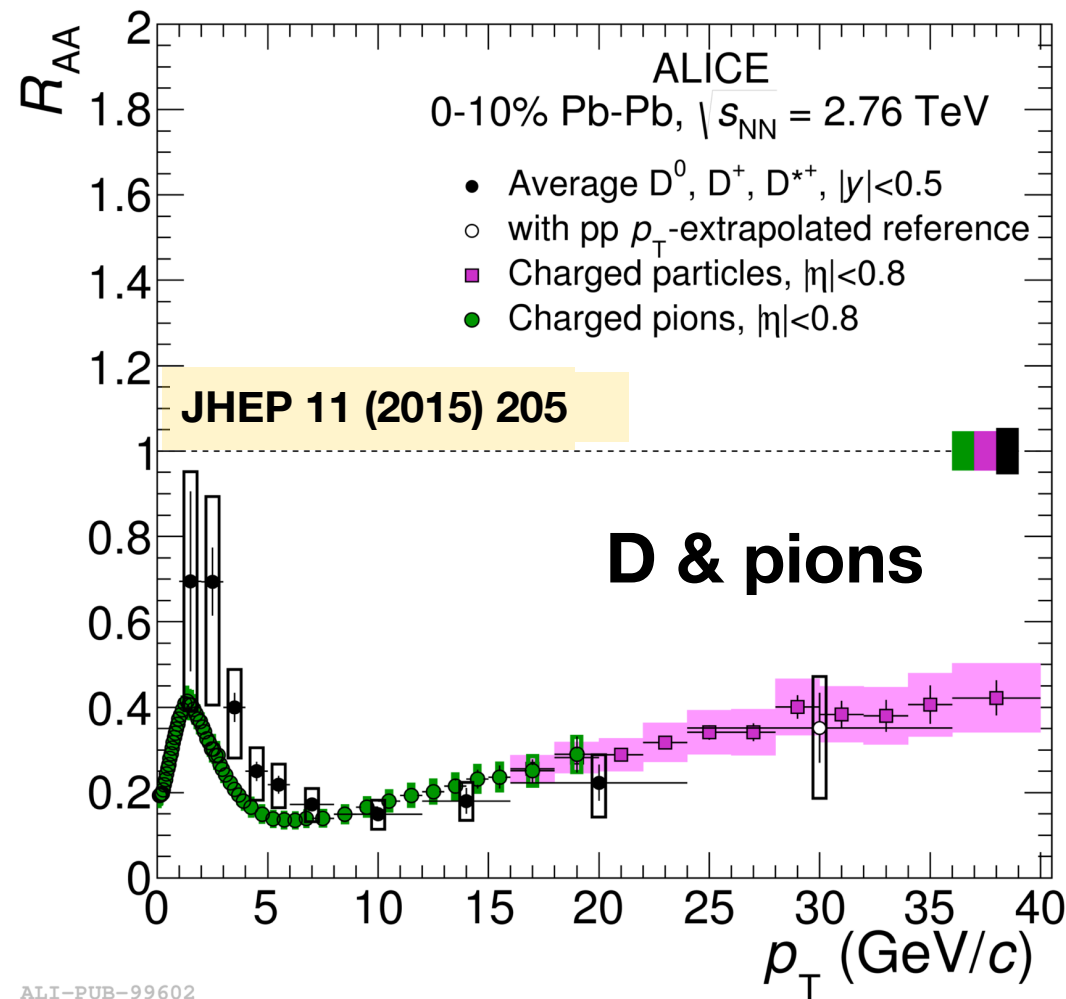
D-meson R_{AA} in p-Pb and Pb-Pb collisions



- D-meson R_{pPb} consistent with unity within uncertainties
- p-Pb results indicate that the suppression observed in Pb-Pb comes from strong interaction of charm quarks with the medium

Color charge dependence?: D-meson R_{AA} vs. π^\pm

$\Delta E(g) > \Delta E(u,d,s) > \Delta E(c) > \Delta E(b)$ could be reflected in $R_{AA}(B) > R_{AA}(D) > R_{AA}(\pi)$

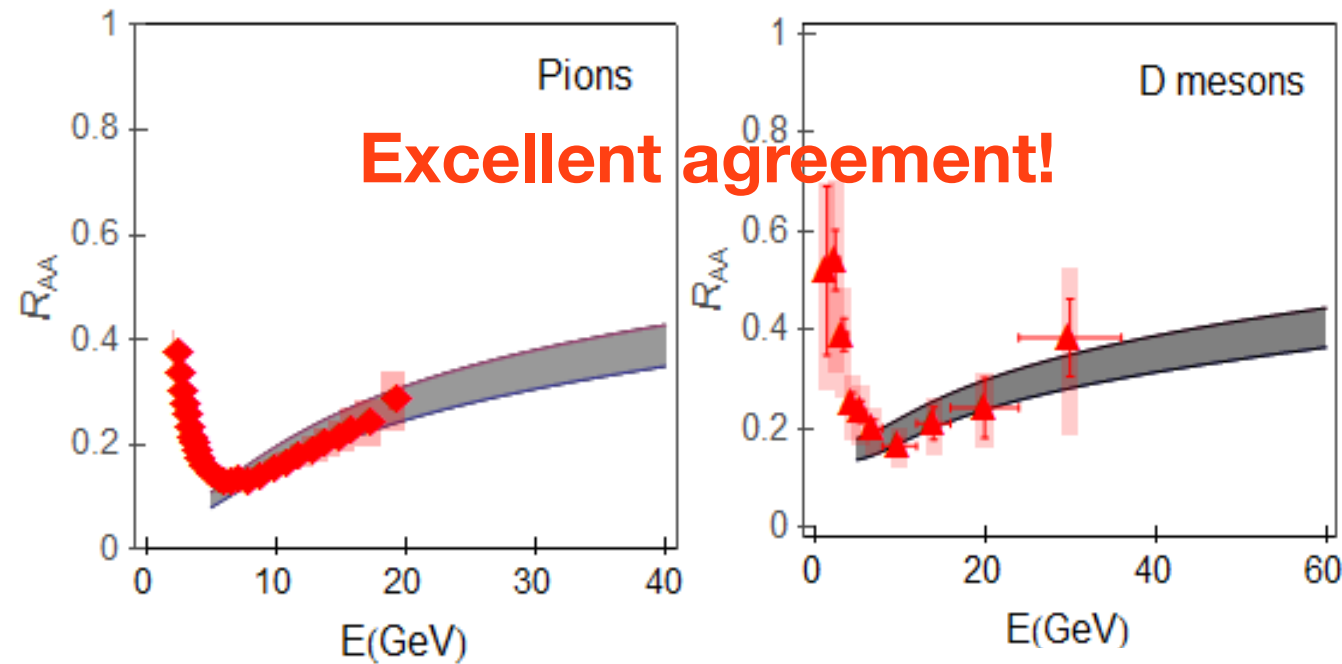


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- D-meson and π R_{AA} are **compatible within uncertainties**
- Agreement with models including energy loss hierarchy: $\Delta E(g) > \Delta E(u,d,s) > \Delta E(c)$, different shapes of the parton p_T distributions, different fragmentation functions, soft production mechanisms for low- p_T π
- Measurement not yet conclusive → **precision measurement required!**

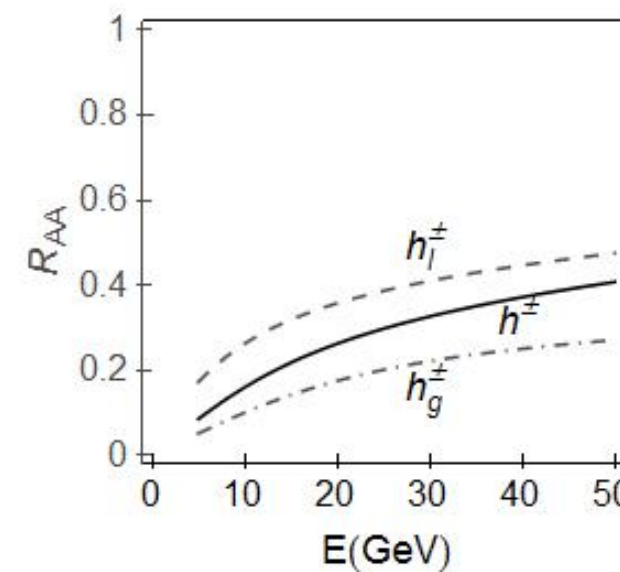
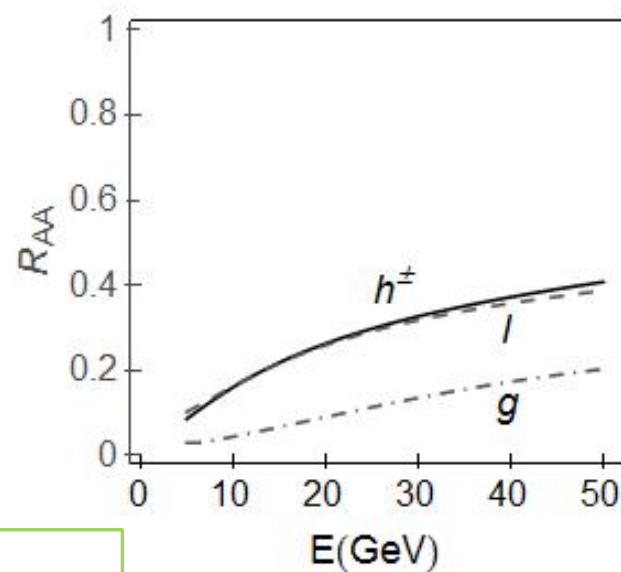
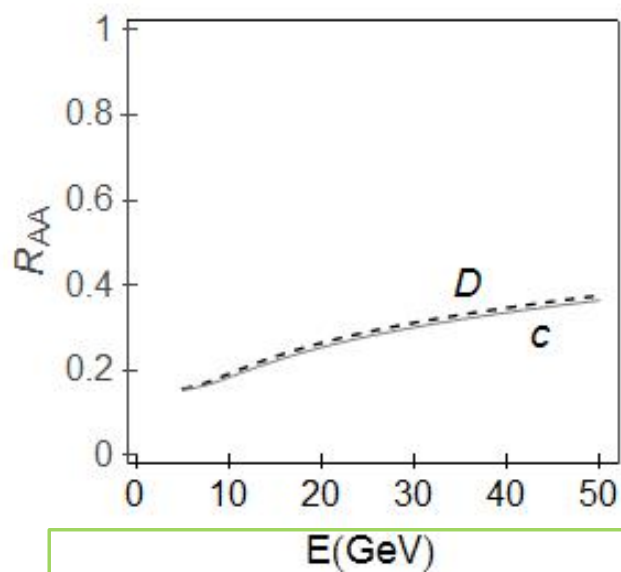
Djordjevic, PRL 112(2014)042302
Wicks et al., NPA 872(2011)265
Djordjevic, PLB 737(2014)298

Color charge dependence?: D-meson R_{AA} vs. π^\pm



Calculation by M. Djordjevic (rad+coll energy loss) can describe both R_{AA}

Djordjevic, arXiv:1307.4098



Shows strong colour charge effect in partonic R_{AA} (g vs. light and c)

$$R_{AA} (D) = R_{AA} (\text{charm})$$

$$R_{AA} (\text{light quarks}) = R_{AA} (\text{charm})$$

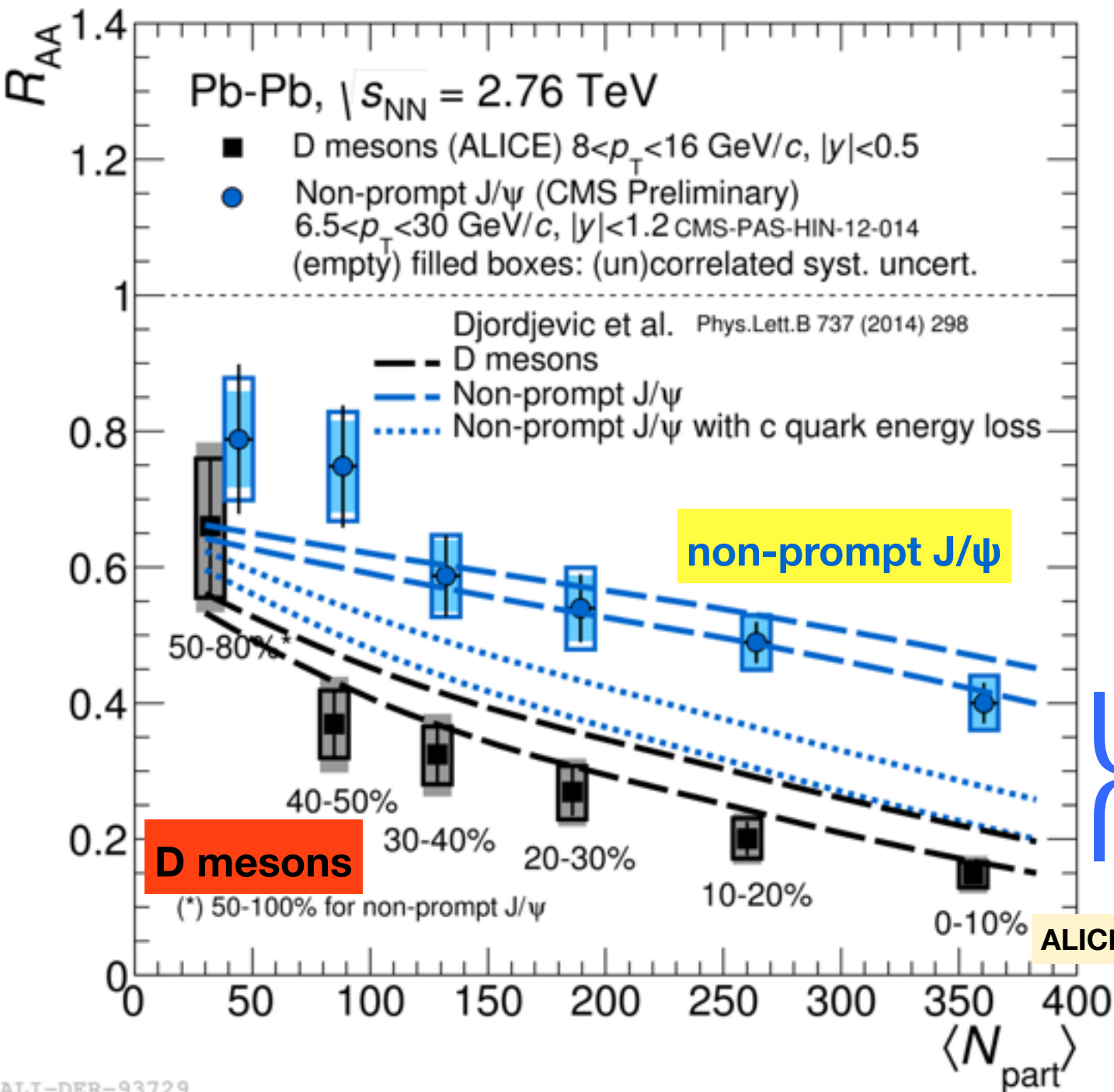
Distortion by fragmentation!

$$R_{AA} (h^\pm) = R_{AA} (D)$$

Colour charge effect helps!

Quark mass dependence?: D-meson R_{AA} vs. non-prompt J/ψ

$\Delta E(g) > \Delta E(u,d,s) > \Delta E(c) > \Delta E(b)$ could be reflected in $R_{AA}(B) > R_{AA}(D) > R_{AA}(\pi)$



- ALICE prompt D mesons & CMS non-prompt J/ψ :

- B and D mesons $\langle p_T \rangle \sim 10$ GeV/c, slightly different rapidity ranges

- Clear indication of $R_{AA}^{J/\psi \leftarrow B} > R_{AA}^D$

No trivial relation between ΔE and R_{AA}

(Djordjevic, PLB 734(2014)286)

consequence of mass differences of c and b quarks

pQCD model including mass-dependent rad+coll energy loss predict a difference

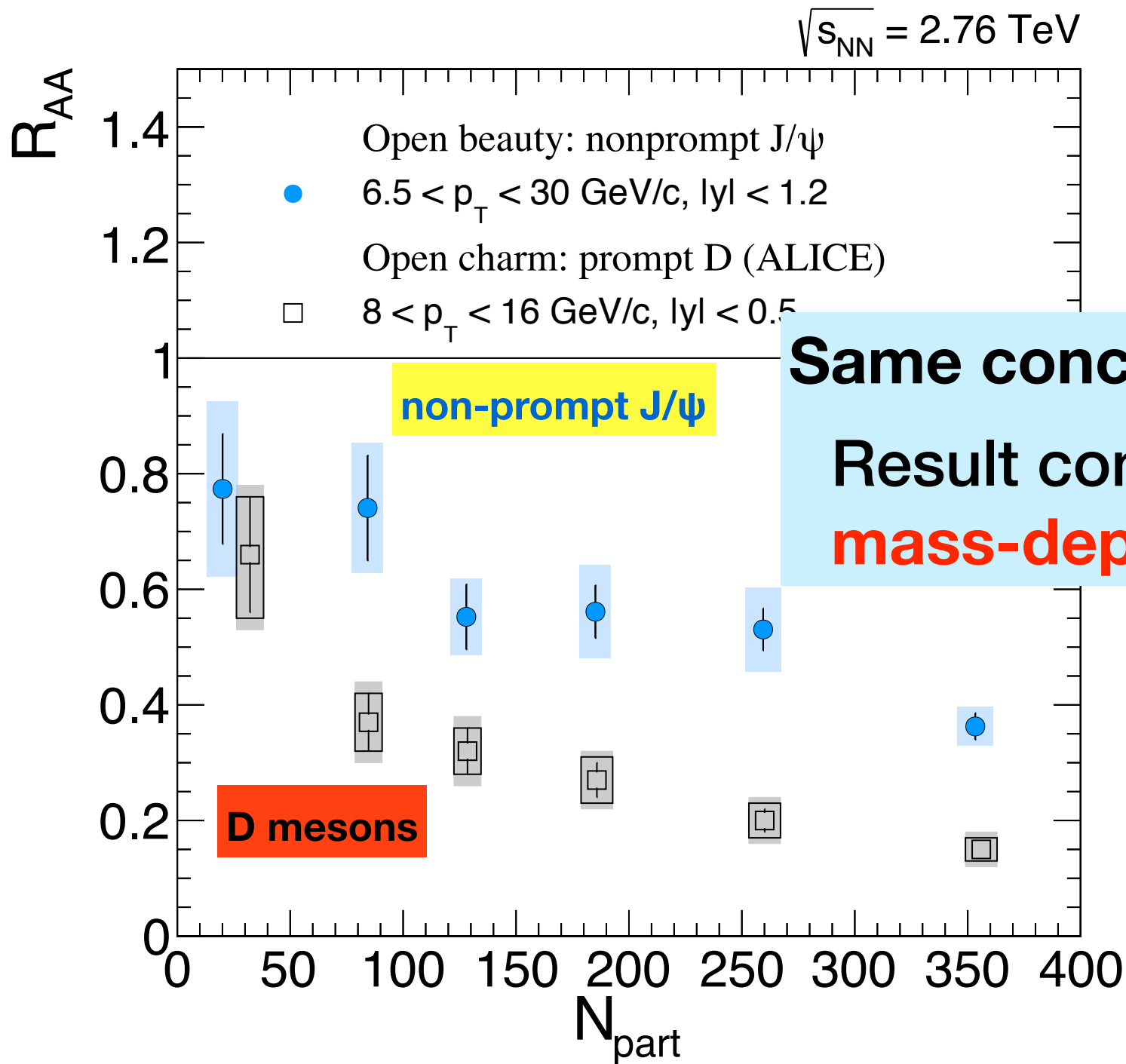
Similar pattern from other calculations

(e.g. BAMPS, WHDG, Vitev et al.).



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- ALICE prompt D mesons & CMS non-prompt J/ ψ :
 - B and D mesons $\langle p_T \rangle \sim 10$ GeV/c, slightly different rapidity ranges

Same conclusion with final CMS results!

Result consistent with the picture of **mass-dependent** energy loss

No trivial relation between ΔE and R_{AA}

(Djordjevic, PLB 734(2014)286)

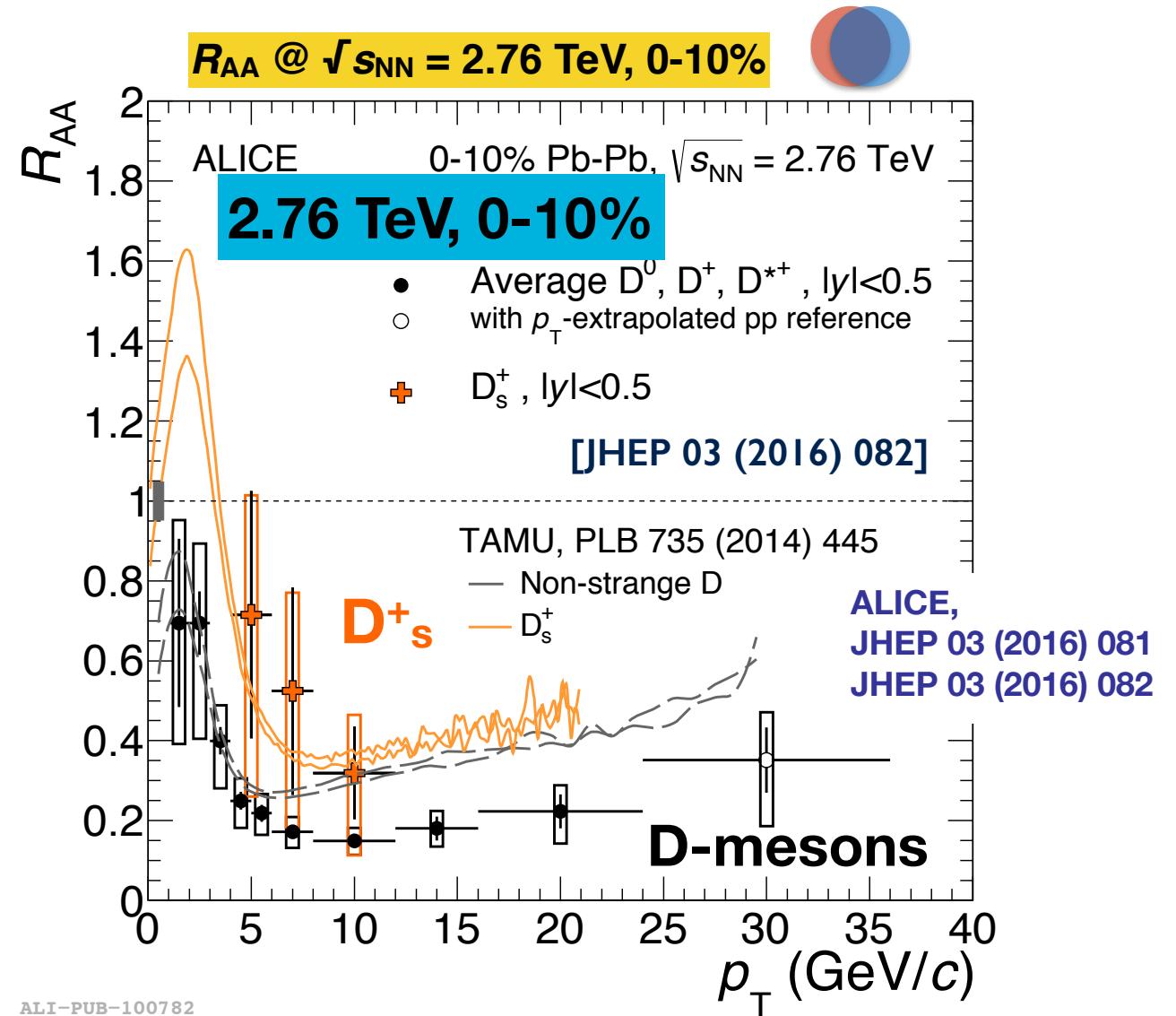
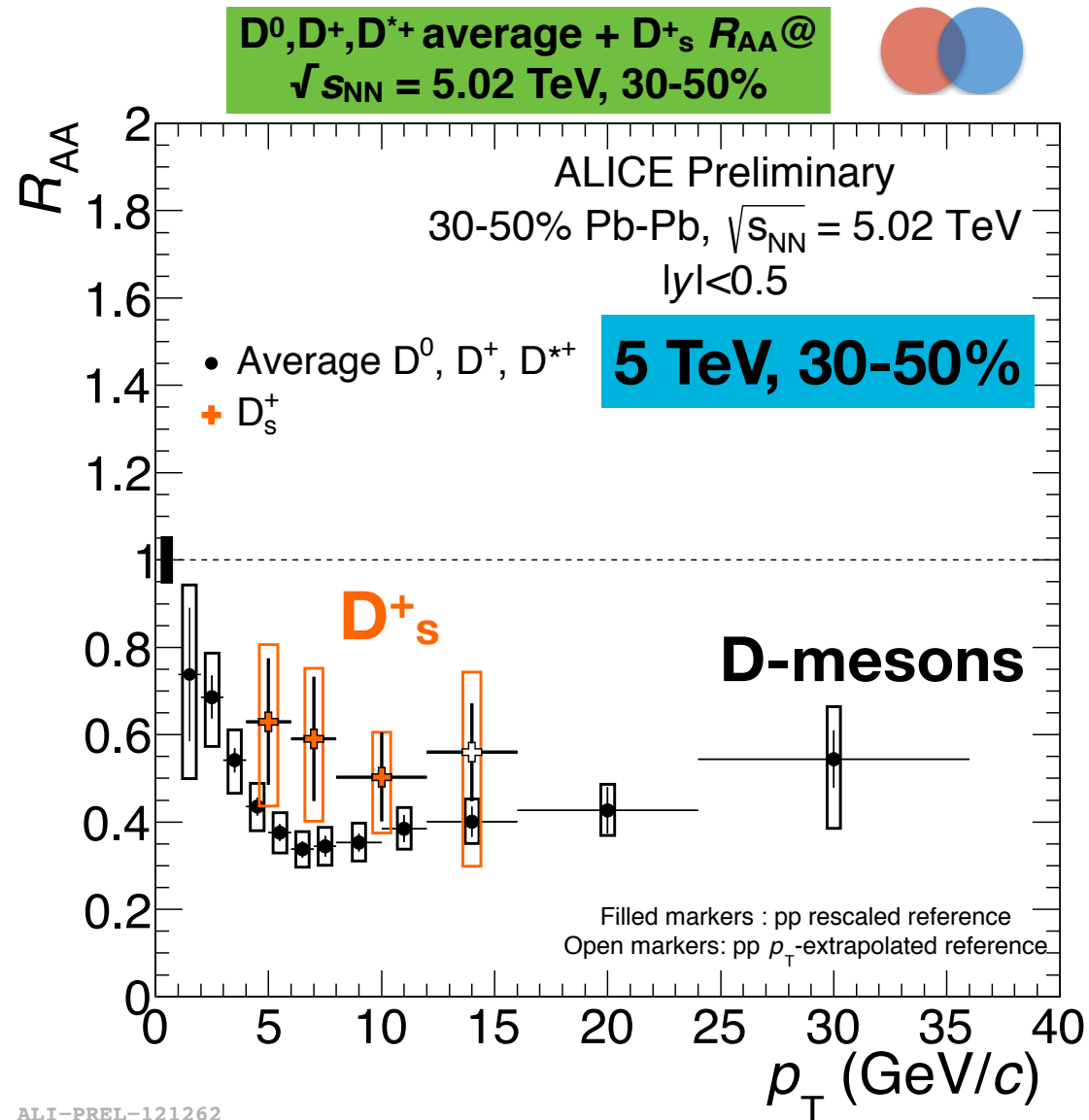
consequence of mass differences of c and b quarks

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Similar pattern from other calculations

(e.g. BAMPS, WHDG, Vitev et al.).

Ds vs. non-strange D mesons



- Charm hadronization through **recombination** in medium? → **strangeness enhancement** (predicted in models)
- Hint of $R_{AA}(D) < R_{AA}(D_s^+)$ in data, to be confirmed **with higher precision measurements**

Observables to measure medium effect

$$\frac{dN_{PbPb}^D}{dp_T} = PDF(x_1)PDF(x_2) \otimes \frac{d\hat{\sigma}^c}{dp_T} \otimes P(\Delta E) \otimes D_{c \rightarrow D}(z)$$

Initial-state effects

$$\frac{d\hat{\sigma}^c}{dp_T}$$

“Vacuum” parton spectra

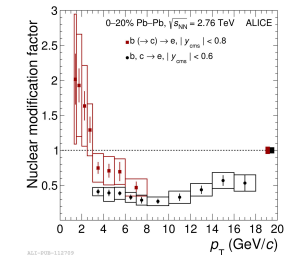
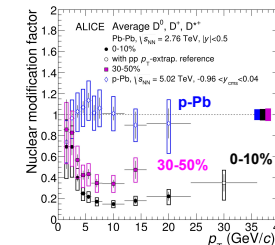
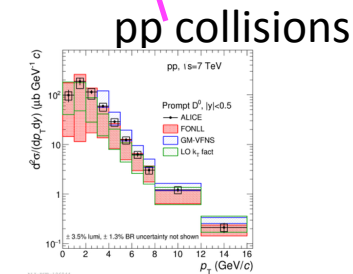
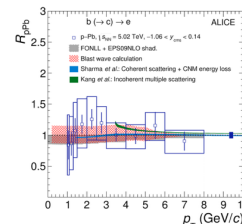
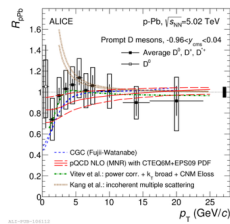
Parton interaction with the medium

$$P(\Delta E) \otimes D_{c \rightarrow D}(z)$$

(Modified?) hadronization

What we want to probe

Constrain models with measurements from p-Pb collisions



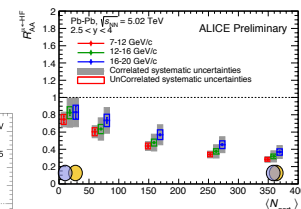
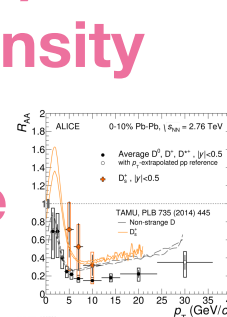
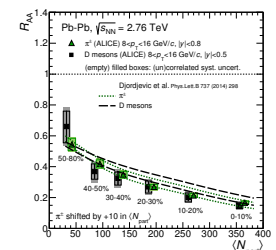
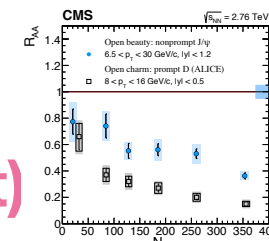
Charm and beauty energy loss

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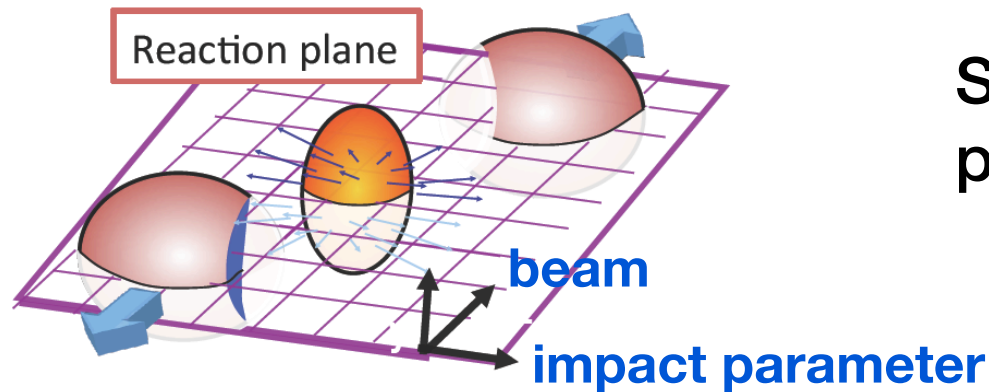
- ❖ quark mass (dead-cone effect)
- ❖ color charge (Casimir factor)
- ❖ path length and medium density

→ hadronization via coalescence

→ collective motion ⇒ azimuthal anisotropy



Elliptic flow (azimuthal anisotropy)



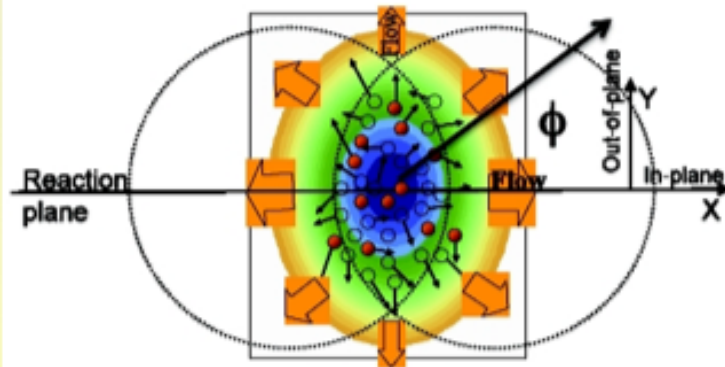
Study **azimuthal distribution** of produced particles w.r.t. the reaction plane (Ψ_{RP})

Anisotropic flow: v_2

$$\frac{dN}{d\varphi} = \frac{N_0}{2\pi} (1 + 2v_1 \cos(\varphi - \Psi_{RP}) + \boxed{2v_2 \cos[2(\varphi - \Psi_{RP})]} + \dots)$$

Initial **spatial** anisotropy $\xrightarrow{\text{via re-scatterings}}$ **momentum** anisotropy of particle emission

The **anisotropy** is quantified via a **Fourier expansion** in azimuthal angle (φ) with respect to the reaction plane (Ψ_{RP})



$v_2 > 0$

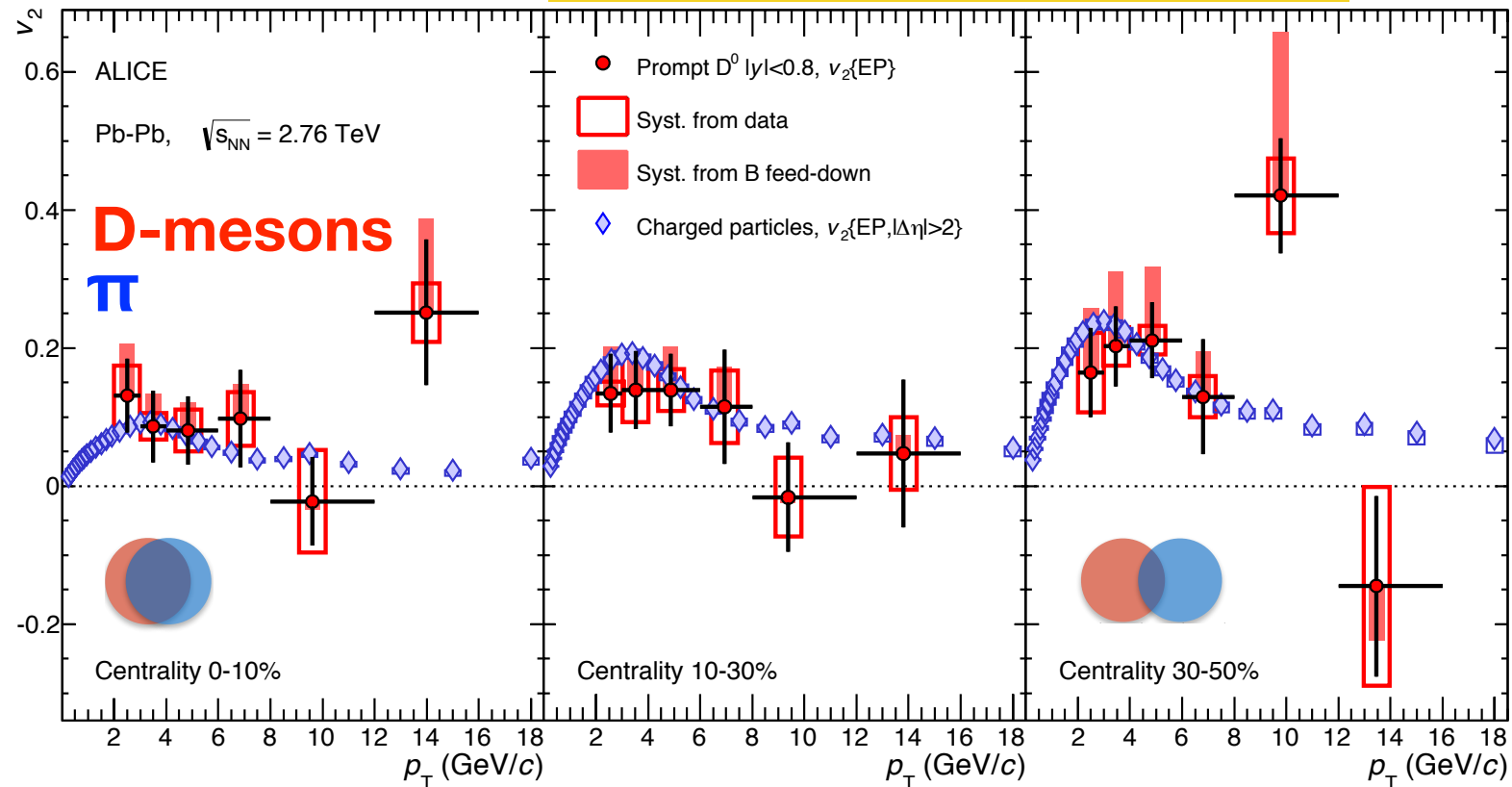
- Thermalization/collective motion (at low p_T)
- Path length dependence of energy loss (at high p_T)

$v_2 + R_{AA}$: **complementary** information \rightarrow improve sensitivity to relative contribution of collisional and radiative energy losses and to coalescence

Anisotropic flow v_2 of D mesons

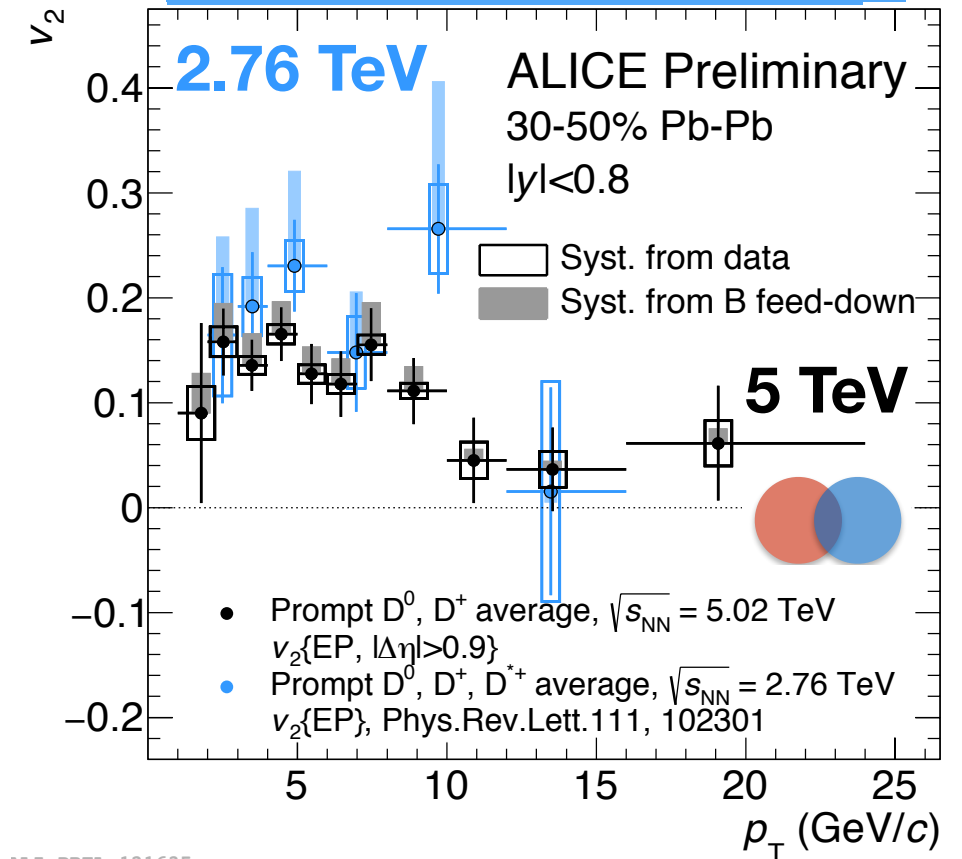
$$v_2\{EP\} = \frac{1}{R_2} \frac{\pi N_{in-plane} - N_{out-of-plane}}{4 N_{in-plane} + N_{out-of-plane}}$$

D^0, D^+ average v_2 at $\sqrt{s_{NN}}=2.76$ TeV



ALI-PUB-70100

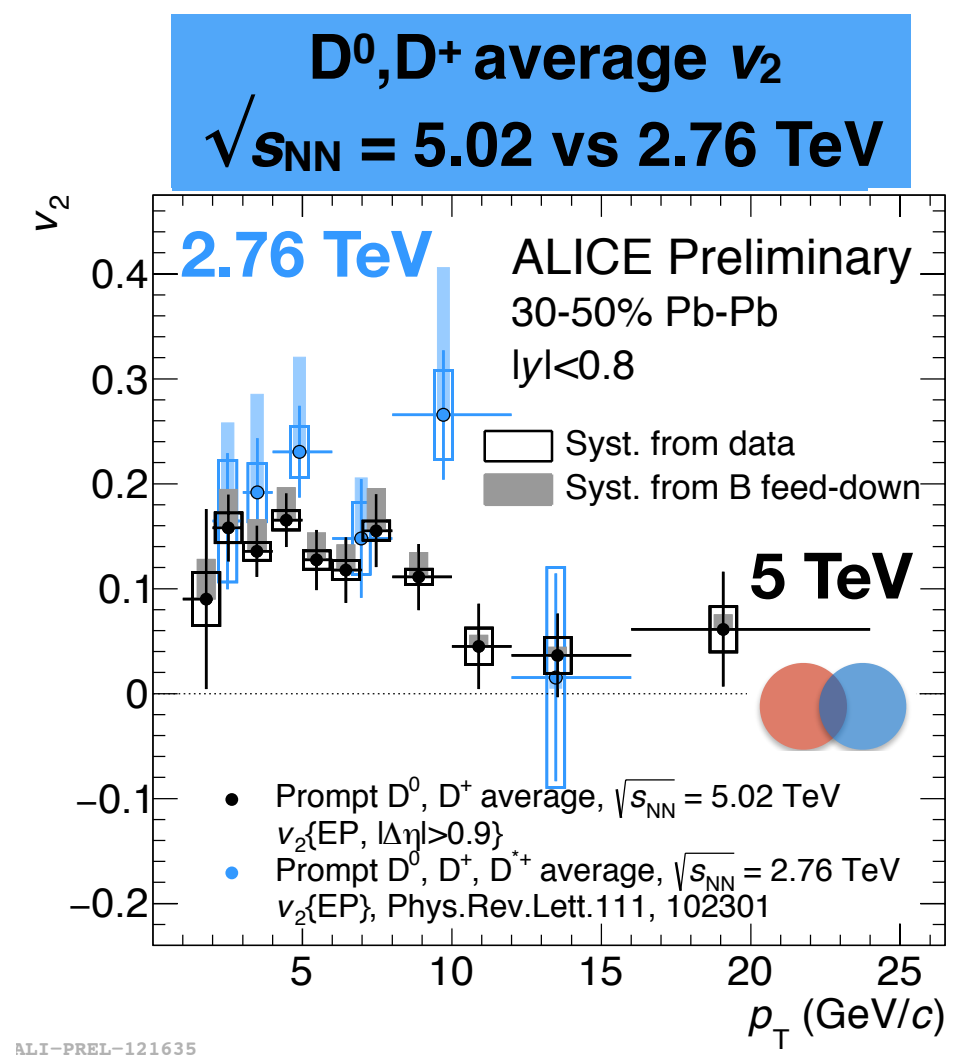
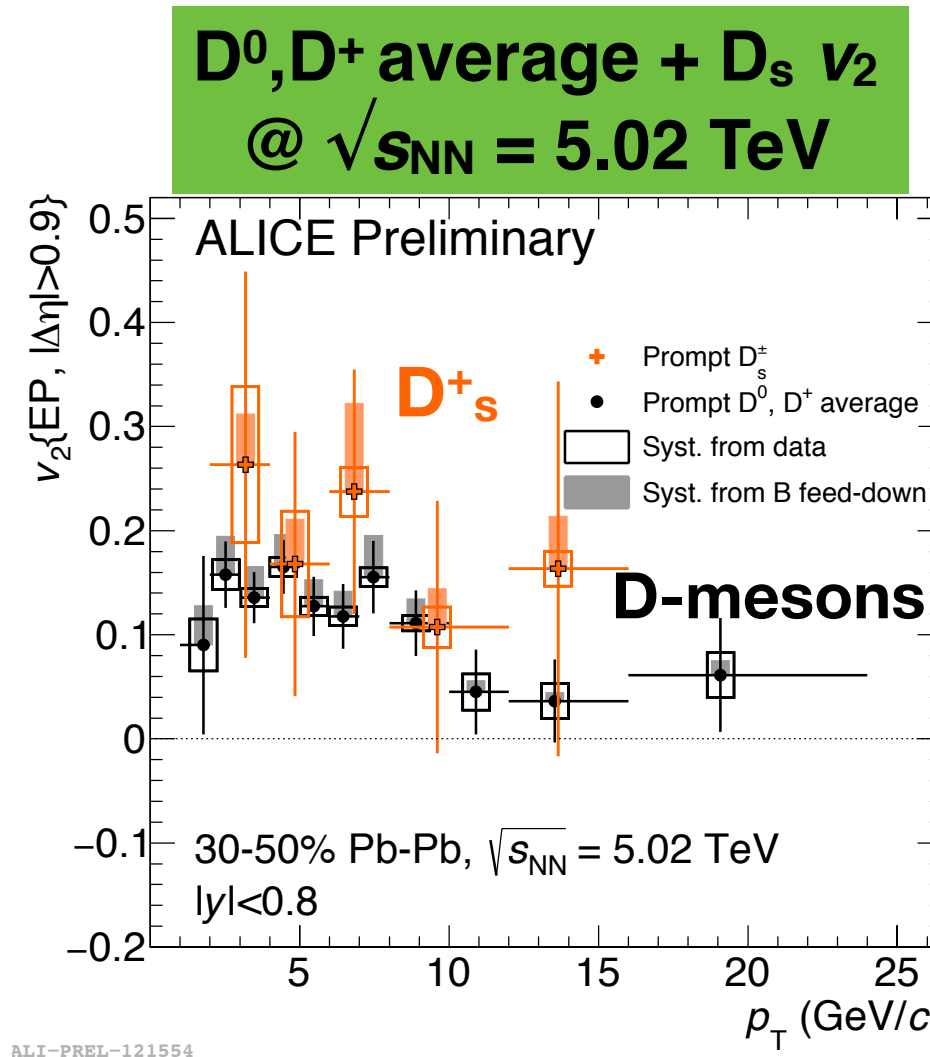
D^0, D^+ average v_2 $\sqrt{s_{NN}} = 5.02$ vs 2.76 TeV



ALI-PREL-121635

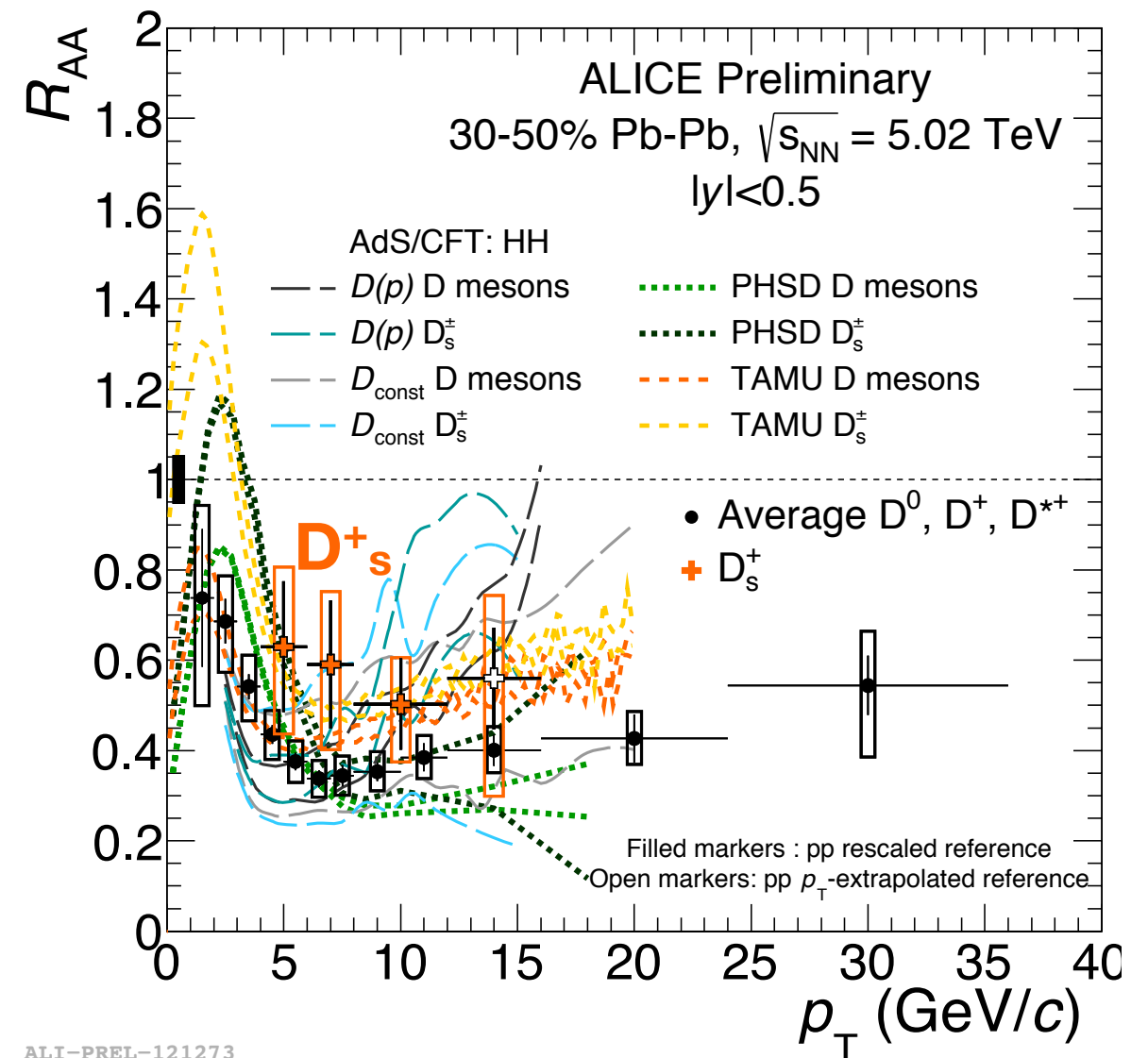
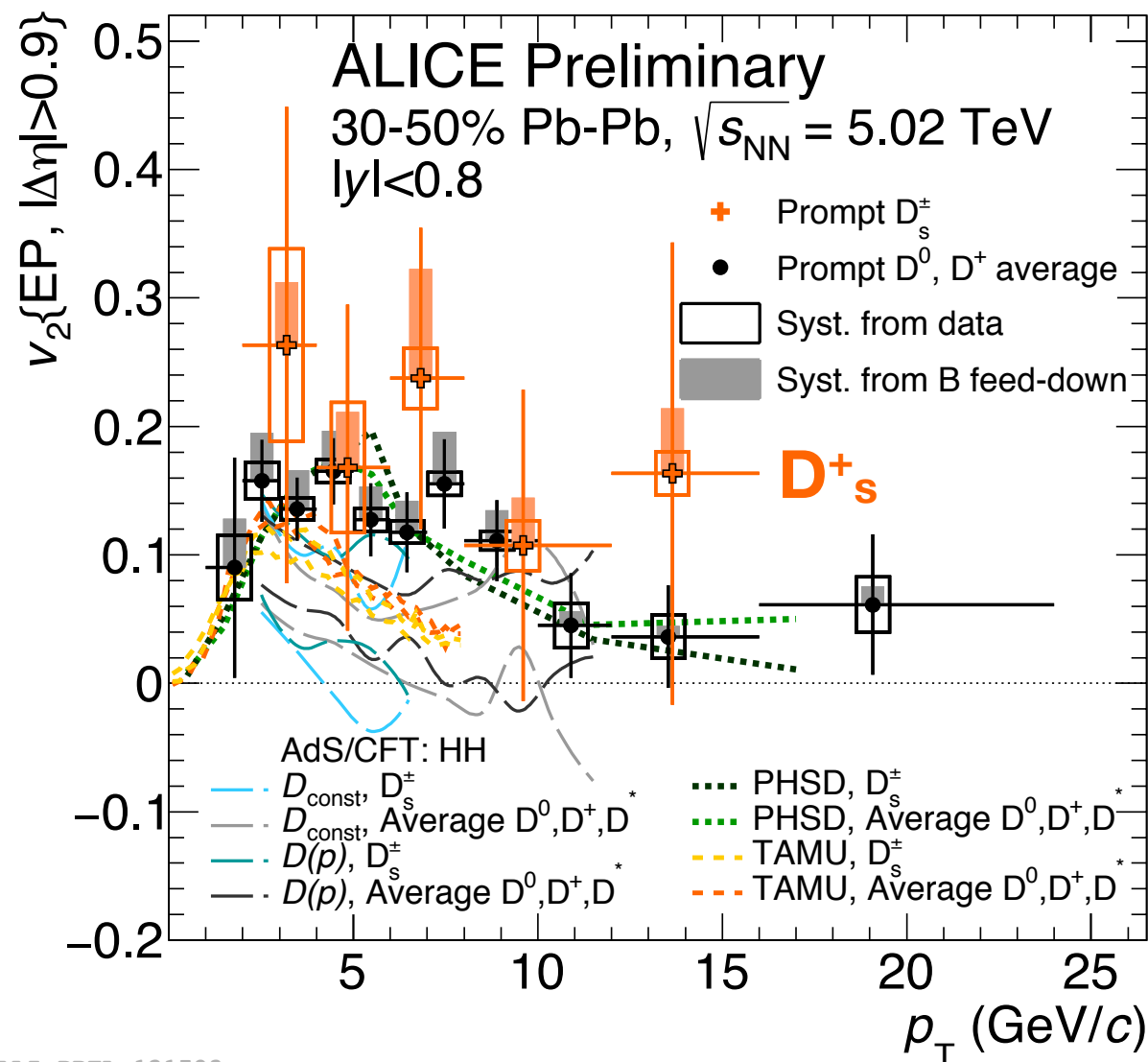
- Heavy quarks participate in collectivity of the medium in case of **sufficient re-scattering**; accessible via measuring azimuthal asymmetry of particle emission in momentum space, v_2
- **Positive $v_2(D)$** observed (5σ effect for $2 < p_T < 6$ GeV/c in 30-50% centrality class)
- **D-meson v_2** similar to **charged-particle v_2**
 → **Confirms significant interaction of charm quarks with the medium**
- **Similar v_2 at different energies** observed (confirmed with better precision at **Run2!!!**)

Anisotropic flow v_2 of D mesons



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→ **Confirms significant interaction of charm quarks with the medium**
- **Similar v_2 at different energies** observed (confirmed with better precision at **Run2!!!**)
- **First measurement of D_s -meson v_2 at the LHC!**

Comparison with models



- Highlight **importance** that models include a **realistic description** of the medium evolution and of initial conditions
- v_2 and R_{AA} measurements over a wide p_T range can set **stringent constraints to model**
- Experimental results **with improved precision** → potential to constrain models giving a simultaneous description of quenching and collectivity

Observables to measure medium effect

$$\frac{dN_{PbPb}^D}{dp_T} = PDF(x_1)PDF(x_2) \otimes \frac{d\hat{\sigma}^c}{dp_T} \otimes P(\Delta E) \otimes D_{c \rightarrow D}(z)$$

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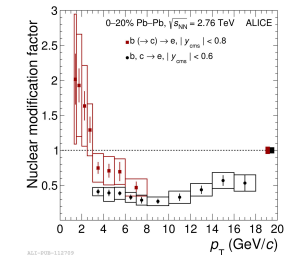
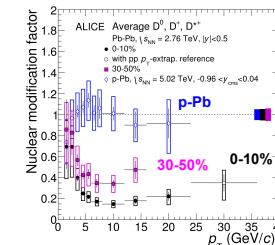
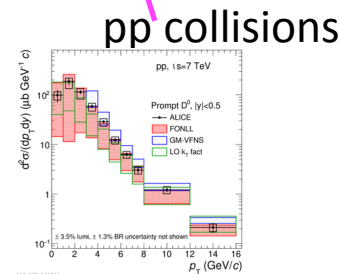
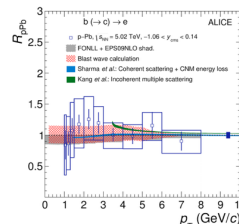
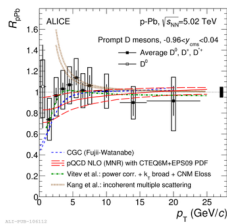
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(Modified?) hadronization

“Vacuum” parton spectra

What we want to probe

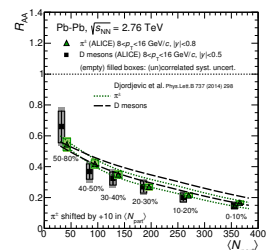
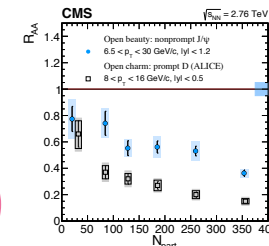
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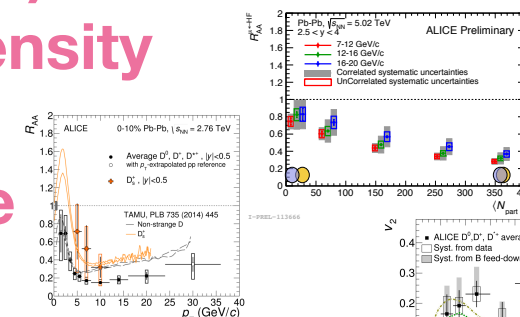
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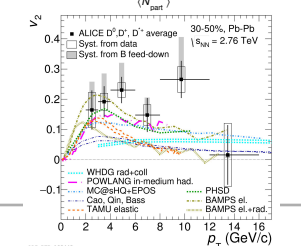
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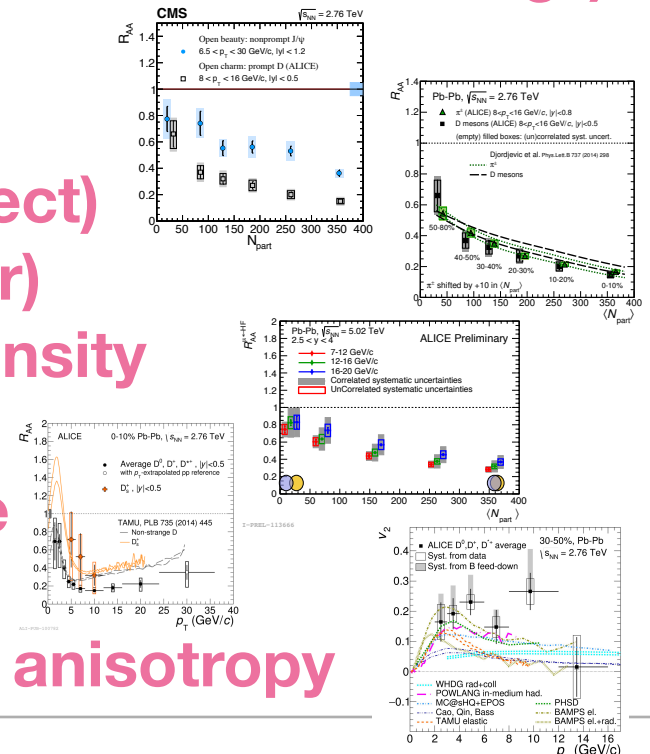
We are walking toward differential and precision measurements!

→ energy loss via radiative (“gluon Bremsstrahlung”) and collisional processes

- ❖ quark mass (dead-cone effect)
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→ hadronization via coalescence

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Plans for Run2 and beyond

Goals for ongoing run-2

- **Improve precision** of **multiplicity-differential** studies in pp and p-Pb collisions
- **New** measurements of **azimuthal correlations** in Pb-Pb collisions and **small systems** also as a function of the multiplicity
 - ▶ D mesons with charged particles
 - ▶ HF-decay electrons with charged particles

Long-shutdown 2 → Detector upgrade

- New ITS, addition of MFT → **improve spatial resolution** at impact point at mid- and forward rapidity
 - New readout for several sub-detectors
- **Tremendous improvement** for reconstructing charm and beauty signals (including D_s , Λ_c , non-prompt J/ψ at mid and forward rapidity, B meson, Λ_b) **down to very low p_T**

Thank you for your attention!