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# Nuclear transport and Esym probes in heavy-ion collisions

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# Part I Esym and probes in HIC

# Nuclear symmetry energy

EOS for infinite nuclear matter (parabolic approximation):

$$E(\rho,\delta) = E_0(\rho) + E_{sym}(\rho)\delta^2 + O(\delta^4), \delta = \frac{\rho_n - \rho_p}{\rho}$$

#### Our knowledge about E0 and Esym:

 $E_0(0.16 \text{fm}^{-3}) \sim -16 \text{ MeV}$  (from Liquid-Drop) other densities from GMR, kaon production, flow...

Esym(0.16fm<sup>-3</sup>) ~ 30 MeV (from Liquid-Drop) other densities from Esym probes...

$$E_{sym}(\rho) = E_{sym}(\rho_0) + L\chi + \frac{K_{sym}}{2!}\chi^2 + O(\chi^3)$$

Slope parameter:

$$L = 3\rho_0 \frac{dE_{sym}}{d\rho} \bigg|_{\rho = \rho_0}$$

Curvature parameter:

$$K_{sym} = 9\rho_0^2 \frac{d^2 E_{sym}}{d\rho^2} \bigg|_{\rho = \rho_0}$$

Related to incompressibility of asymmetric nuclear matter:

$$K_{sat} = K_0 + \left(K_{sym} - 6L - \frac{J_0}{K_0}\right)\delta^2 + O(\delta^2)$$

isospin asymmetry



# Symmetry potential

#### **Mean-field potential:**

- $U_{\tau} = \frac{\delta \varepsilon_{p}}{\delta \rho_{\tau}} \qquad U_{\tau} = U_{0} \pm U_{sym} \delta + U_{sym}^{(2)} \delta^{2} + O(\delta^{3}) \qquad \text{Protection}$
- Neutron: + Proton: -

#### Relation with symmetry energy (from Hugenholtz-Van Hove(HVH) theorem):

$$E_{sym}(\rho) = \frac{1}{2}U_{sym}(\rho, p_F) + \frac{1}{6}\frac{\partial[t(p) + U_0(\rho, p)]}{\partial p}\Big|_{p=p_F} \cdot p_F$$

 $L(\rho) = \frac{3}{2}U_{sym}(\rho, p_F) + 3U_{sym}^{(2)}(\rho, p_F) + \frac{\partial U_{sym}}{\partial r} \qquad \cdot p_F$ 

Single-particle kinetic energy:  $t(p) = p^2/2m$ 

C. Xu and B.A. Li, PRC, 2010

$$+\frac{1}{6}\frac{\partial [t(p)+U_0(\rho,p)]}{\partial p}\bigg|_{p=p_F} \cdot p_F + \frac{1}{6}\frac{\partial^2 [t(p)+U_0(\rho,p)]}{\partial p^2}\bigg|_{p=p_F} \cdot p_F^2$$

For momentum-independent potential:  $U_{sym} = 2E_{sym}^{pot}$ 

 $E_{sym} = E_{sym}^{kin} + E_{sym}^{pot} \qquad E_{sym}^{kin}(\rho_0) \sim 12.5 MeV(SRC?)$ 

# **Nucleon effective mass**

**P-mass:**  $\frac{\tilde{m}_{\tau}^{*}}{m} = \left[1 + \frac{m}{p} \frac{\partial U_{\tau}(p, \varepsilon_{\tau}(p))}{\partial p}\right]^{-1}$   $\tau = n, p$  **E-mass:**  $\frac{\overline{m}_{\tau}^{*}}{m} = 1 - \frac{\partial U_{\tau}(p, \varepsilon_{\tau}(p))}{\partial \varepsilon_{\tau}}$  $\tau = n, p$  **Z.X. Li, Nucl. Phys. Rev. (2014) Dirac mass:**  $m_{Dirac,\tau}^{*} = m + \Sigma_{\tau}^{s}$   $\Sigma_{\tau}^{s}$ : scalar self-energy

**Skyrme-Hartree-Fock:** non-relativistic, momentum-dependent potential **Relativistic mean-field:** relativistic, meson exchange, Schrödinger equivalent potential

Comparison between **non-relativistic mass** with **relativistic mass Lorentz effective mass:** 

$$m^*_{Lorentz,\tau} = m \left( 1 - \frac{dU_{SEP,\tau}}{dE_{\tau}} \right) = \left( E_{\tau} - \Sigma^0_{\tau} \right) \left( 1 - \frac{d\Sigma^0_{\tau}}{dE_{\tau}} \right) - \left( m + \Sigma^s_{\tau} \right) \frac{d\Sigma^s_{\tau}}{dE_{\tau}} + m - E_{\tau} = m^*_{Landau,\tau} + m - E_{\tau}$$

M. Jaminon and C. Mahaux, PRC (1989); B.A. Li, L.W. Chen, and C.M Ko, Phys. Rep. (2008)

**Landau effective mass:** 
$$m^*_{Landau,\tau} = p \frac{dp}{dE_{\tau}}$$
 density of states  
 $m^*_{Landau,\tau} = (E_{\tau} - \Sigma^0_{\tau}) \left( 1 - \frac{d\Sigma^0_{\tau}}{dE_{\tau}} \right) - (m + \Sigma^s_{\tau}) \frac{d\Sigma^s_{\tau}}{dE_{\tau}} \qquad \Sigma^0_{\tau}$ : time component of vector self-energy



### Metastable n/p or t/<sup>3</sup>He ratio: probes of Esym at subsaturation densities

 $F_1$ ,  $F_2$ ,  $F_3$  representing 3 parameterizations for Esym from stiff to soft



B.A. Li, C.M. Ko, and Z.Z. Ren, PRL, 1997

### **Further studies of n/p ratio**





### **DR**(n/p) and short-range correlation

DR(n/p)



-lain

$$E_{kin} = \alpha \int_0^\infty \frac{\hbar^2 k^2}{2m} n(k) k^2 dk$$

- lain

Lin



### Neutron-proton collective flow: probes of Esym at subsaturation densities



B.A. Li, A.T. Sustich, and B. Zhang, PRC, 2001

### **Isoscaling of produced fragments: probe of Esym at subsaturation densities**



M.B. Tsang et al., PRC, 2001 M.B. Tsang et al., PRL, 2001

**Ratios of produced isotopes or isotones** Satisfy the exponential relation

$$R_{12}(N,Z) = Y_2(N,Z)/Y_1(N,Z) \propto \exp(\alpha N + \beta Z)$$

*N*: neutron number, *Z*: proton number, *Y*<sub>1</sub> and *Y*<sub>2</sub>: multiplicities in <sup>124</sup>Sn+<sup>124</sup>Sn and <sup>112</sup>Sn+<sup>112</sup>Sn reactions,  $\alpha$  and  $\beta$ : isoscaling parameters

$$\alpha = \frac{4\mathrm{E}_{sym}(\rho,T)}{T} \left[ \left( \frac{Z_1}{A_1} \right)^2 - \left( \frac{Z_2}{A_2} \right)^2 \right]$$

 $Z_1$  and  $Z_2$ : neutron number of fragments in <sup>124</sup>Sn+<sup>124</sup>Sn and <sup>112</sup>Sn+<sup>112</sup>Sn reactions;  $A_1$  and  $A_2$ : mass number of fragments in <sup>124</sup>Sn+<sup>124</sup>Sn and <sup>112</sup>Sn+<sup>112</sup>Sn reactions

### **Further analysis of isoscaling**



INDRA and ALADIN Collaboration, PRL, 2005



D.V. Shetty, S.J. Yennello and G.A. Souliotis, PRC, 2007

### Isospin diffusion: probe of Esym at subsaturation densities



**Isospin diffusion coefficient** 

$$R_i = \frac{2x - x_{124+124} - x_{112+112}}{x_{124+124} - x_{112+112}}$$

x can be any isospin-sensitive tracer. It can be the isoscaling coefficient  $\alpha_{\gamma}$  or the average isospin asymmetry of reaction residues.

For <sup>124</sup>Sn+<sup>124</sup>Sn reaction,  $R_i=1$ , For <sup>112</sup>Sn+<sup>112</sup>Sn reaction,  $R_i=-1$ 

M.B. Tsang et al., PRL, 2004

### Further analysis of isospin diffusion



Isospin diffusion data MSU2004 Compared with IBUU L.W. Chen, C.M. Ko, and B.A. Li, PRL, 2005;

B.A. Li and L.W. Chen, PRC, 2005

New isospin diffusion data Compared with ImQMD

M.B. Tsang et al., PRL, 2009

### **Preequilibrium n/p ratio or t/<sup>3</sup>He ratio: probe of Esym at suprasaturation densities**



G.C. Yong et al., PRC, 2009

#### $\pi^{-}/\pi^{+}$ ratio:

probe of Esym at suprasaturation densities



1.6

1.4

0

10

t (fm/c)

20

30

A stiffer Esym leads to a less neutron-rich high-density phase and a smaller  $\pi^{-}/\pi^{+}$  ratio.

B.A. Li, NPA, 2002

### Studies on $\pi^{-}/\pi^{+}$ ratio and Esym



### **Pion mean-field effects**



 $\pi^{-}/\pi^{+}$  ratio is insensitive to Esym Kinetic energy difference of  $\pi^{+}$  and  $\pi^{-}$  is sensitive to Esym

J. Hong and P. Danielewicz, PRC, 2014

Potential part of Esym:  $S_{int0}(\rho) = S_{int0} \left(\frac{\rho}{\rho_0}\right)^{\gamma}$ 

Effective pion s-wave potential:

$$U_{\pi^{\pm}} = \mp 8 S_{\text{int0}} \rho_T \frac{\rho^{\gamma - 1}}{\rho_0^{\gamma}}$$



### **Pion threshold effect**

			$\pi^{-}/\pi^{+}$ N
scattering	$\Sigma_1^s + \Sigma_2^s - \Sigma_3^s - \Sigma_4^s$	$\Sigma_1^\mu + \Sigma_2^\mu - \Sigma_3^\mu - \Sigma_4^\mu$	$4.0 - \pi$
elastic			WO. threshold effect
$NN \rightarrow NN$	0	0	3.5 - 10 - 10 - 10 - 10 - 10 - 10 - 10 - 1
$N\Delta \to N\Delta$	0	0	
$\Delta \Delta \to \Delta \Delta$	0	0	
inelastic			
$pp \rightarrow n\Delta^{++}$	$-2g_{\delta}\delta_3$	$2g_{\rho}\rho_3^{\mu}$	
$pp \rightarrow p\Delta^+$	$-(2/3)g_{\delta}\delta_3$	$(2/3)g_{\rho}\rho_3^{\mu}$	2.0 - Withreshold effect
$pn \to n\Delta^+$	$-(2/3)g_{\delta}\delta_3$	$(2/3)g_\rho\rho_3^\mu$	$15 - NL\rho - A - NL\rho\delta $
$pn \to p\Delta^0$	$(2/3)g_{\delta}\delta_3$	$-(2/3)g_\rho\rho_3^\mu$	0.3 0.4 0.5 0.6 0.7 0.3 0.4 0.5 0.6 0.7
$nn \to n\Delta^0$	$(2/3)g_{\delta}\delta_3$	$-(2/3)g_\rho\rho_3^\mu$	E/A (Gev)
$nn \to p\Delta^-$	$2g_{\delta}\delta_3$	$-2g_{\rho}\rho_{3}^{\mu}$	$4.0 \frac{\pi}{100} \frac{100}{100} \frac{N_{\pi}}{100}$
decay	$\Sigma_1^s - \Sigma_2^s$	$\Sigma_1^{\mu} - \Sigma_2^{\mu}$	with modified $\sigma_{NN-2AN}$
$\Delta^{++} \to p\pi^+$	0	0	$3.5 - NL_{\rho} - \Delta - NL_{\rho\delta} - $
$\Delta^+ \to p \pi^0$	$(2/3)g_{\delta}\delta_3$	$-(2/3)g_\rho\rho_3^\mu$	
$\Delta^+ \to n\pi^+$	$-(4/3)g_{\delta}\delta_3$	$(4/3)g_\rho\rho_3^\mu$	
$\Delta^0 \to p\pi^-$	$(4/3)g_{\delta}\delta_3$	$-(4/3)g_\rho\rho_3^\mu$	2.5
$\Delta^0 \to n\pi^0$	$-(2/3)g_{\delta}\delta_3$	$(2/3)g_\rho\rho_3^\mu$	
$\Delta^- \to n\pi^-$	0	0	2.0 - 1
			$\sigma_{NN\to\Delta N}(\rho_N) = \sigma_{NN\to\Delta N}(0) \exp(-A\rho_N/\rho_0)$
T. Song and C.M. Ko, arXiv: 1403.7363[nucl-th]			0.3 0.4 0.5 0.6 0.7 0.3 0.4 0.5 0.6 0.7

E/A (GeV)

### **Pion s-wave and p-wave interaction**



### K<sup>0</sup>/K<sup>+</sup> ratio:

### probe of Esym at suprasaturation densities



$$n + \pi^{-} \rightarrow \Sigma^{-} + K^{0}$$
$$p + \pi^{+} \rightarrow \Sigma^{+} + K^{+}$$

DDF, NL ... : different parameterizations of RMF, corresponding to different Esym

A more neutron-rich highdensity phase leads to more K<sup>0</sup>, and generally a larger K<sup>0</sup>/K<sup>+</sup> ratio (other effects? threshold, Kaon potential).

### **Preequilibrium np flow splitting: probe of Esym at suprasaturation densities**



### More detailed studies on effects from Esym and mv\*



PLB. 2014

arXiv: 1507.06718 [nucl-th]

# Part II transport comparison project

PHYSICAL REVIEW C 93, 044609 (2016)

#### Understanding transport simulations of heavy-ion collisions at 100A and 400A MeV: Comparison of heavy-ion transport codes under controlled conditions

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Initialization

**Mean Field** 

**NN scatterings** 

Images from H. Wolter

### theoretical uncertainties? Compare results from various models

History of Transport Model Comparison Project

- **Trento I (2004):** energy 1-2 GeV/A, emphasis on particle production *π*,K
- mean field and Pauli blocking not quite so important
- Summary Published in J.Phys. G 31, 741 (2005)
- Trento II (2009): energy 100, 400 MeV/A
- Uncertainties not well understood, results not published
- Shanghai (2014): Mainly 100 AMeV, also 400 AMeV
- observables: stopping, flow
- Nonobservables: stability, scattering and Pauli blocking rate
- Results published in Phys. Rev. C 93, 044609 (2016)
- Zoom(?) (2016): box calculation (Cascade, Vlasov, pion production)

# **Participating Codes**

### **Boltzmann-Uehling-Uhlenbeck(BUU)-type models (9)**

BUU-type	code correspondents	energy range	reference
BLOB	P.Napolitani,M.Colonna	$0.01 \sim 0.5$	[19]
GIBUU-RMF	J.Weil	$0.05\sim 40$	[20]
GIBUU-Skyrme	J.Weil	$0.05\sim40$	[20]
IBL	W.J.Xie, F.S.Zhang	$0.05 \sim 2$	[21]
IBUU	J.Xu,L.W.Chen,B.A.Li	$0.05 \sim 2$	[11, 22]
$_{\rm pBUU}$	P.Danielewicz	$0.01 \sim 12$	[23]
RBUU	K. Kim,Y.Kim,T.Gaitanos	$0.05 \sim 2$	[24]
RVUU	T.Song,G.Q.Li,C.M.Ko	$0.05 \sim 2$	[25]
$\operatorname{SMF}$	M.Colonna, P.Napolitani	$0.01 \sim  0.5$	[26]

#### In GeV

Find representative references for each code in Phys. Rev. C 93, 044609 (2016), arXiv: 1603:08149 [nucl-th]

# **Participating Codes**

#### Quantum-Molecular-Dynamics(QMD)-type models(9)

QMD-type	code correspondents	energy range	reference
AMD	A.Ono	$0.01 \sim 0.3$	[27]
IQMD-BNU	J.Su,F.S.Zhang	$0.05 \sim 2$	[28]
IQMD	C.Hartnack, J.Aichelin	$0.05 \sim 2$	[29, 30]
CoMD	M.Papa	$0.01 \sim 0.3$	[31]
ImQMD-CIAE	Y.X.Zhang,Z.X.Li	$0.02 \sim 0.4$	[32]
IQMD-IMP	Z.Q.Feng	$0.01 \sim 10$	[33]
IQMD-SINAP	G.Q.Zhang	$0.05 \sim 2$	[34]
TuQMD	D.Cozma	$0.1 \sim 2$	[35]
UrQMD	Y.J.Wang,Q.F.Li	$0.05 \sim 200$	[36, 37]

#### In GeV

ImQMD-GXNU: low-energy fusion reaction

Find representative references for each code in Phys. Rev. C 93, 044609 (2016), arXiv: 1603:08149 [nucl-th]

## A taste of BUU-type models

$$\begin{aligned} & \text{BUU equation:} \quad \left(\frac{\partial}{\partial t} + \frac{\vec{p}}{m} \cdot \nabla_r - \nabla_r U \cdot \nabla_p\right) f(\vec{r}, \vec{p}; t) = I_{coll}[f; \sigma_{12}] \\ & I_{coll} = \frac{1}{(2\pi)^6} \int dp_2 dp_3 d\Omega |v - v_2| \frac{d\sigma_{12}^{med}}{d\Omega} (2\pi)^3 \delta(p + p_2 - p_3 - p_4) \\ & \times \quad [f_3 f_4 (1 - f)(1 - f_2) - f f_2 (1 - f_3)(1 - f_4)] \end{aligned}$$

#### test-particle (TP) method: parallel events

C.Y. Wong, PRC 25, 1460 (1982); G.F. Bertsch and S. Das Gupta, Phys. Rep. 160, 189 (1988). Point particle or finite size (triangular, Gaussian)

$$f(\vec{r}, \vec{p}; t) = \frac{1}{N_{TP}} \sum_{i=1}^{N_{TP}A} g(\vec{r} - \vec{r}_i(t)) \tilde{g}(\vec{p} - \vec{p}_i(t))$$

Equation of motion from pseudoparticle method:

$$d\vec{r}_i/dt = \nabla_{\vec{p}_i}H; \qquad d\vec{p}_i/dt = -\nabla_{\vec{r}_i}H.$$

# A taste of QMD-type models

Total wave function as products of single-particle wave function:

$$\begin{split} \Psi(\vec{r}_1, ..., \vec{r}_N; t) &= \Pi \phi_i(\vec{r}_i; t), \\ \phi_i(\vec{r}_i; t) &= \frac{1}{(2\pi)^{3/4} (\Delta x)^{3/2}} \exp\left[-\frac{(\vec{r}_i - \vec{R}_i(t))^2}{(2\Delta x)^2} + i\vec{r}_i \cdot \vec{P}_i(t)\right] \end{split}$$

Wigner function (phase-space distribution):

$$f_{i}(\vec{r},\vec{p}) = \frac{1}{(\pi\hbar)^{3}} \exp\left[-\frac{(\vec{r}-\vec{R}_{i}(t))^{2}}{2(\Delta x)^{2}}\right] \exp\left[-\frac{(\vec{p}-\vec{P}_{i}(t))^{2} \cdot 2(\Delta x)^{2}}{\hbar^{2}}\right]$$

**Canonical equation of motion:** 

$$d\vec{R}_{i}/dt = \nabla_{\vec{p}_{i}}H, \qquad d\vec{P}_{i}/dt = -\nabla_{\vec{r}_{i}}H$$

Ch. Hartnack et al., PRC 495, 303 (1989); J. Aichelin, Phys. Rep. 202, 233 (1988).

#### AMD and FMD: wave function antisymmetrized

# Homework list for heavy-ion part

#### Mode B). Au+Au@100 AMeV b = 7 and 20 fm

B.1) No Surface Term mode: Turn off the surface term in the mean field (e.g., the Yukawa interaction in the QMD-like models, the gradient term in the BUU-like models). Allow collisions between all nucleons (or TP).
 B-Full: both mean field and NN scattering

**B.2) Vlasov mode**: Turn off all collisions and use mean field as in B.1 (no surface terms).. **B-Vlasov: only mean field** 

B.3) Cascade mode: Turn off all interaction potentials in B.1 mode

**B-Cascade: only NN scattering** 

Mode D). Au+Au@400 AMeV b = 7 fm

D-Full: 400AMeV, both mean field and NN scattering

Try to have:

Same reaction condition: initialization (space, momentum) Same mean-field potential and constant isotropic NN scattering cross section

BUU: 100 test particles, 10 runs; QMD: 1000 events

# Stability (b=20 fm)



## **Density evolution at b = 7 fm - BUU**



## **Density evolution at b = 7 fm - QMD**



# **Fluctuation in BUU and QMD**

#### 4 runs with 100 TPs per nucleon

#### 4 individual events



#### **Fluctuation related to N**<sub>TP</sub>

Fluctuation related to the Gaussian width



# **Rapidity distribution (Full mode)**



400AMeV: weaker Pauli blocking, less sensitive to initialization, good convergence of N<sub>coll</sub> at larger  $\sqrt{s}$ 



# Transverse flow (Full-mode)

#### Au+Au, b = 7 fm



**Uncertainties: initialization, Pauli blocking** 



## Transport2016 - box calculation

Common setup: L\*L\*L box with periodic boundary condition (L=20 fm) 1280 nucleons=> saturation density

Hw1: Cascade calculation, constant isotropic NN scattering cross section, uniform, Fermi Dirac
CT0, CT5: T = 0 and 5 MeV without Pauli blocking
CBOP1T0, CBOP1T5: T = 0 and 5 MeV with Pauli blocking in each code
CBOP2T0, CBOP2T5: T = 0 and 5 MeV with ideal Pauli blocking

Hw2: Vlasov calculation,  $\rho(z) = \rho_0 + a_\rho \sin(kz)$  k=n  $2\pi/L$ , n = 1 and 2

Hw3: pion production, N+N<->N+ $\Delta$ ,  $\Delta$ <->N+ $\pi$ ,  $\pi^-/\pi^+$ 

(Phase I due date: Jan.27, 2017) (Hw3 results available in 2017,  $\pi^-/\pi^+$  theoretical error bar?)

Results of Hw1 and Hw2 from Yong-Jia Wang and Ying-Xun Zhang

### Initial configuration well controlled Theoretical answer available

# conclusions and outlook

- Nuclear symmetry energy and isospin splitting of the effective mass are both responsible for the isospin dynamics in intermediate-energy HIC.
- Transport comparison project can help to extract the theoretical error bar due to simulation.
- Box calculation is helpful in understanding the difference in mean field, scatterings, Pauli blocking, and particle production, eventually reducing the theoretical error bar.

An agreement in treating each part of the simulation Towards an accurate description of heavy-ion collisions, and thus an accurate constraint on Esym!

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# **Announcement of Transport2017**

Transport2017 to be held from March 27 to 30, 2017, in Michigan State University

Mainly focus on box calculation and Bayesian analysis

- Organizing Committee
- Akira Ono (Co-Chair), Jun Xu (Co-Chair), Maria Colonna, Pawel Danielewicz, Betty Tsang, Hermann Wolter, Ying Xun Zhang, Yong Jia Wang
- Local Organizing Committee

Pawel Danielewicz, Genie Jhang, William Lynch, Pierre Morfouace, and Betty Tsang



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