5th NPKI, Busan 2023 Tom Melia, IPMU Based on work with David Kaplan and Surjeet Rajendran, 2305.01798, and to appear

Classical physics asserts

Maxwell

$$\partial_{\mu}F^{\mu\nu} = J^{\nu}$$

Einstein $G^{\mu\nu} = T^{\mu\nu}$

Quantum physics described by Schrodinger equation

$$i\frac{\partial}{\partial t}|\psi\rangle = H|\psi\rangle$$

From this equation classical physics follows...

Quantum physics described by Schrodinger equation

$$i\frac{\partial}{\partial t}|\psi\rangle = H|\psi\rangle$$

From this equation classical physics follows...

$$\partial_t \langle \hat{\phi} \rangle = i \left\langle \left[\hat{H}, \hat{\phi} \right] \right\rangle = \left\langle \frac{\partial \hat{H}}{\partial \hat{\pi}} \right\rangle$$
$$\partial_t \langle \hat{\pi} \rangle = i \left\langle \left[\hat{H}, \hat{\pi} \right] \right\rangle = - \left\langle \frac{\partial \hat{H}}{\partial \hat{\phi}} \right\rangle$$

.. in expectation value

A subtlety for gauge theories

 $\left\langle \frac{\delta S}{\delta \phi} \right\rangle = \left\langle \frac{\delta \mathcal{L}}{\delta \phi} - \partial_{\mu} \frac{\delta \mathcal{L}}{\delta (\partial_{\mu} \phi)} \right\rangle = 0$

Fewer d.o.f. than fields

1. Fewer 2nd order equations for evolution

2. Additional constraint equations on the dof

Compare

$$\partial_{\mu}F^{\mu\nu} = J^{\nu}$$

$$i\frac{\partial}{\partial t}|\psi\rangle = H_{EM}|\psi\rangle$$

$$G^{\mu\nu} = T^{\mu\nu}$$

Both dynamics and Constraints:

$$\partial_{\mu}F^{\mu 0} = J^0$$

$$G^{0\mu} = T^{0\mu}$$

 $i \frac{\partial}{\partial t} |\psi\rangle = H_{GR} |\psi\rangle$ Dynamics only

& Initial conditions

 $|\psi(0)
angle$

Compare

$$\partial_{\mu}F^{\mu\nu} = J^{\nu}$$

$$i\frac{\partial}{\partial t}|\psi\rangle = H_{EM}|\psi\rangle$$

$$G^{\mu\nu} = T^{\mu\nu}$$

Both dynamics and Constraints:

Gauss' law
$$\nabla \cdot \mathbf{E} = \rho_{ch}$$

e.g. First Friedman $\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}\rho$ $i \frac{\partial}{\partial t} |\psi\rangle = H_{GR} |\psi\rangle$ Dynamics only

& Initial conditions

 $|\psi(0)
angle$

What do we believe?

$$G^{\mu\nu} = T^{\mu\nu}$$

$$i\frac{\partial}{\partial t}|\psi\rangle = H_{GR}|\psi\rangle$$

Full set of classical equations

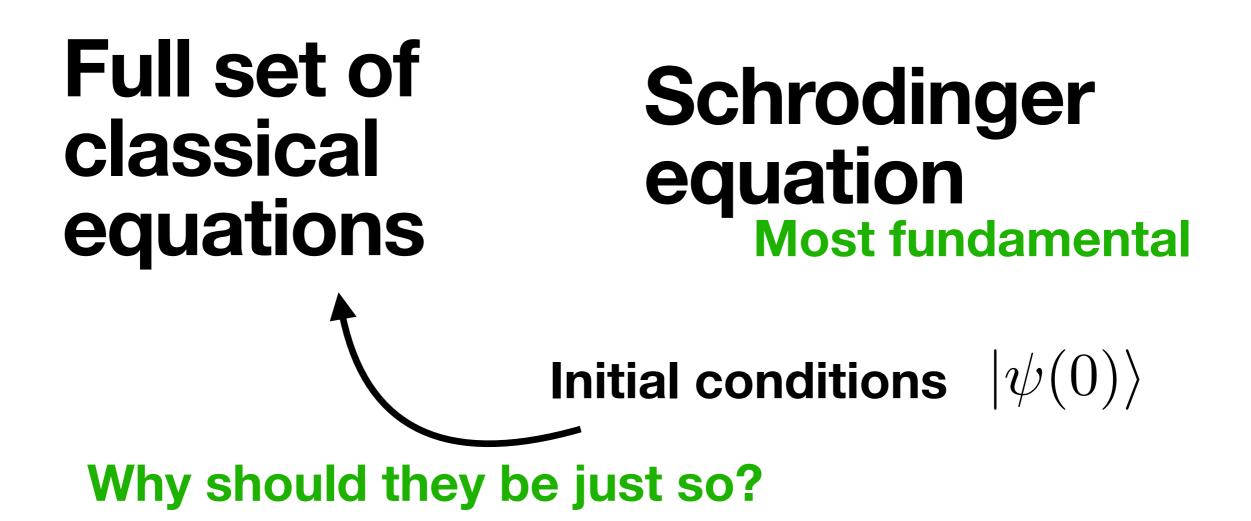
Schrodinger equation

Initial conditions $|\psi(0)\rangle$

What do we believe?

$$G^{\mu\nu} = T^{\mu\nu}$$

$$i\frac{\partial}{\partial t}|\psi\rangle = H_{GR}|\psi\rangle$$



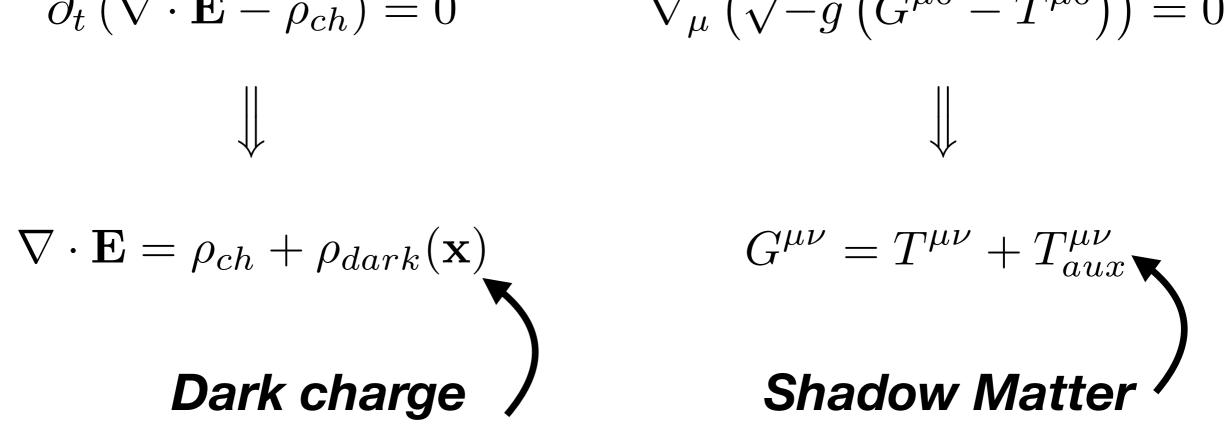
Summary of the talk

Quantum mechanics only guarantees that, in the classical limit

$$\partial_t \left(\nabla \cdot \mathbf{E} - \rho_{ch} \right) = 0 \qquad \nabla_\mu \left(\sqrt{-g} \left(G^{\mu 0} - T^{\mu 0} \right) \right) = 0$$

Summary of the talk Quantum mechanics only guarantees that, in the classical limit

Summary of the talk Quantum mechanics only guarantees that, in the classical limit $\partial_t (\nabla \cdot \mathbf{E} - \rho_{ch}) = 0$ $\nabla_\mu (\sqrt{-g} (G^{\mu 0} - T^{\mu 0})) = 0$



Not adding any dof to the theory. $T_{aux}^{\mu\nu} = \frac{1}{\sqrt{-g}} \begin{pmatrix} \mathbb{H} & \mathbb{P}^{1} & \mathbb{P}^{2} & \mathbb{P}^{3} \\ \mathbb{P}^{1} & 0 & 0 & 0 \\ \mathbb{P}^{2} & 0 & 0 & 0 \\ \mathbb{P}^{3} & 0 & 0 & 0 \end{pmatrix}$

In Weyl gauge $A_0 = 0$ $\Pi_j = \frac{\partial \mathcal{L}_{\mathcal{EM}}}{\partial A_j} = -E_j$

Comm. $[\hat{A}_{j}(\mathbf{x}), \hat{E}_{j'}(\mathbf{x}')] = -i \,\delta \left(\mathbf{x} - \mathbf{x}'\right) \delta_{jj'}$

Ham.
$$\hat{H}_W = \int d^3 \mathbf{x} \left(\frac{1}{2} \left(\hat{\vec{E}} \cdot \hat{\vec{E}} + \hat{\vec{B}} \cdot \hat{\vec{B}} \right) + \hat{\vec{J}} \cdot \hat{\vec{A}} + \hat{H}_J \right)$$

SE $i\frac{\partial|\Psi\rangle}{\partial t} = \hat{H}_W|\Psi\rangle$

Supplemented with

$$\left(\vec{\nabla}\cdot\hat{\vec{E}}-\hat{J}_{0}\right)|\Psi_{EM}\rangle = 0$$

In Weyl gauge $A_0 = 0$ $\Pi_j = \frac{\partial \mathcal{L}_{\mathcal{EM}}}{\partial A_j} = -E_j$

Comm. $[\hat{A}_{j}(\mathbf{x}), \hat{E}_{j'}(\mathbf{x}')] = -i \,\delta \left(\mathbf{x} - \mathbf{x}'\right) \delta_{jj'}$

Ham.
$$\hat{H}_W = \int d^3 \mathbf{x} \left(\frac{1}{2} \left(\hat{\vec{E}} \cdot \hat{\vec{E}} + \hat{\vec{B}} \cdot \hat{\vec{B}} \right) + \hat{\vec{J}} \cdot \hat{\vec{A}} + \hat{H}_J \right)$$

 $i\frac{\partial|\Psi\rangle}{\partial t} = \hat{H}_W|\Psi\rangle$

Supplemented with

SE

ith Gauss' law operator commutes with H $\left(\vec{\nabla} \cdot \hat{\vec{E}} - \hat{J}_0 \right) |\Psi_{EM}\rangle = 0$

Instead consider

$$\left(\vec{\nabla}\cdot\hat{\vec{E}}-\hat{J}_{0}\right)\left|\Psi_{EM}\right\rangle=J_{0}^{d}\left(\mathbf{x}\right)\left|\Psi_{EM}\right\rangle$$

This is just like adding a background classical charge density

$$\tilde{\mathcal{L}}_{\mathcal{EM}} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + A^{\mu} J_{\mu} + A^{\mu} J_{\mu}^{d} + \mathcal{L}_{J} \qquad J_{\mu}^{d} = \left(J_{0}^{d} \left(\mathbf{x} \right), 0, 0, 0 \right)$$

But, ...

Instead consider

$$\left(\vec{\nabla}\cdot\hat{\vec{E}}-\hat{J}_{0}\right)\left|\Psi_{EM}\right\rangle=J_{0}^{d}\left(\mathbf{x}\right)\left|\Psi_{EM}\right\rangle$$

This is just like adding a background classical charge density

$$\tilde{\mathcal{L}}_{\mathcal{EM}} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + A^{\mu} J_{\mu} + A^{\mu} J_{\mu}^{d} + \mathcal{L}_{J} \qquad J_{\mu}^{d} = \left(J_{0}^{d} \left(\mathbf{x} \right), 0, 0, 0 \right)$$

No additional microphysics

Simply a choice of EM quantum state

$$g_{\mu\nu} \rightarrow ds^{2} = -N(t)^{2} dt^{2} + a(t)^{2} \left(dx^{2} + dy^{2} + dz^{2} \right)$$

$$0 \text{ component of metric, lapse function}$$

$$S_{ms} = \int dt \sqrt{-g} \left(M_{pl}^2 R + \mathcal{L}_{matter} \right)$$

$$= \int dt \left(-6M_{pl}^2 \frac{a(t)\dot{a}(t)^2}{N(t)} + \frac{a(t)^3 \dot{\phi}(t)^2}{2N(t)} - N(t)a(t)^3 V(\phi) \right)$$

$$\begin{aligned} \mathbf{Minisuperspace}\\ S_{ms} &= \int dt \left(-6M_{pl}^2 \frac{a(t)\dot{a}(t)^2}{N(t)} + \frac{a(t)^3 \dot{\phi}(t)^2}{2N(t)} - N(t)a(t)^3 V(\phi) \right) \end{aligned}$$

The equations of motion naively follow

$$\begin{aligned} \frac{\delta S_{ms}}{\delta N} &= a^3 \left(6M_{pl}^2 \frac{\dot{a}^2}{N^2 a^2} - \frac{\dot{\phi}^2}{2N^2} - V(\phi) \right) = 0 \\ \frac{\delta S_{ms}}{\delta a} &= 3Na^2 \left(4M_{pl}^2 \frac{\ddot{a}}{N^2 a} + 2M_{pl}^2 \frac{\dot{a}^2}{N^2 a^2} - 4M_{pl}^2 \frac{\dot{a}\dot{N}}{N^3 a} + \frac{\dot{\phi}^2}{2N^2} - V(\phi) \right) = 0 \\ \frac{\delta S_{ms}}{\delta \phi} &= -\frac{a^3}{N} \left(\ddot{\phi} + 3\frac{\dot{a}}{a}\dot{\phi} - \frac{\dot{N}\dot{\phi}}{N} + N^2 \frac{\partial V(\phi)}{\partial \phi} \right) = 0 \end{aligned}$$

$$\mathbf{Minisuperspace}$$
$$S_{ms} = \int dt \left(-6M_{pl}^2 \frac{a(t)\dot{a}(t)^2}{N(t)} + \frac{a(t)^3 \dot{\phi}(t)^2}{2N(t)} - N(t)a(t)^3 V(\phi) \right)$$

The equations of motion naively follow

 $\begin{aligned} \mathbf{But}_{S_{ms}} &= a^3 \left(6M_{pl}^2 \frac{\dot{a}}{N^2 a^2} - \frac{\dot{\phi}^2}{2N^2} - V(\phi) \right) = 0 \\ \frac{\delta S_{ms}}{\delta a} &= 3Na^2 \left(4M_{pl}^2 \frac{\ddot{a}}{N^2 a} + 2M_{pl}^2 \frac{\dot{a}^2}{N^2 a^2} - 4M_{pl}^2 \frac{\dot{a}\dot{N}}{N^3 a} + \frac{\dot{\phi}^2}{2N^2} - V(\phi) \right) = 0 \\ \frac{\delta S_{ms}}{\delta \phi} &= -\frac{a^3}{N} \left(\ddot{\phi} + 3\frac{\dot{a}}{a}\dot{\phi} - \frac{\dot{N}\dot{\phi}}{N} + N^2 \frac{\partial V(\phi)}{\partial \phi} \right) = 0 \end{aligned}$

$$\begin{aligned} \mathbf{Minisuperspace}\\ S_{ms} &= \int dt \left(-6M_{pl}^2 \frac{a(t)\dot{a}(t)^2}{N(t)} + \frac{a(t)^3 \dot{\phi}(t)^2}{2N(t)} - N(t)a(t)^3 V(\phi) \right) \end{aligned}$$

The equations of motion naively follow

$$But this variation is suspect!
 $\frac{\delta S_{ms}}{\delta N} = a^3 \left(\frac{6M_{pl}^2}{N^2 a^2} - \frac{\phi}{2N^2} - V(\phi) \right) = 0$$$

 $\mathbf{f} \qquad N(t) \to N(t) + \delta N(t)$

Redefine $dt' = (1 + \frac{\delta N}{N})dt$

Then $S_{ms}[N+\delta N, a, \phi] = S_{ms}[N, a, \phi]$

$$S_{ms} = \int dt \left(-6M_{pl}^2 \frac{a(t)\dot{a}(t)^2}{N(t)} + \frac{a(t)^3 \dot{\phi}(t)^2}{2N(t)} - N(t)a(t)^3 V(\phi) \right)$$

For the quantum theory

$$\pi_N = \frac{\delta \mathcal{L}}{\delta \dot{N}} = 0 \qquad \pi_a = \frac{\delta \mathcal{L}}{\delta \dot{a}} = -12M_{pl}^2 \frac{a\dot{a}}{N} \qquad \pi_\phi = \frac{\delta \mathcal{L}}{\delta \dot{\phi}} = \frac{a^3}{N} \dot{\phi}$$

Hamiltonian

$$H = \left[\pi \dot{a} + \pi_{\phi} \dot{\phi} - \mathcal{L}\right]_{\dot{a} = \cdots, \dot{\phi} = \cdots} = -\frac{N}{24M_{pl}^2 a} \pi^2 + \frac{N}{2a^3} \pi_{\phi}^2 + Na^3 V(\phi)$$
$$= -N \frac{\delta S_{ms}}{\delta N} \equiv N\tilde{H}$$

Schrodinger equation

$$i\partial_t |\psi\rangle = N(t)\hat{\tilde{H}}|\psi\rangle$$

Just choose N = choice of time
coordinate

Only get the 'a' hamiltonian eqns in gravitational sector

$$\partial_t \langle \hat{a} \rangle = -i \langle \left[\hat{\tilde{H}}, \hat{a} \right] \rangle$$
$$\partial_t \langle \hat{\pi} \rangle = -i \langle \left[\hat{\tilde{H}}, \hat{\pi} \right] \rangle$$

First Friedman $\langle \hat{H} \rangle = 0$ not a consequence of the quantum theory

QM only guarantees

$$\partial_t \langle \hat{\tilde{H}} \rangle = i \langle \left[\hat{\tilde{H}}, \hat{\tilde{H}} \right] \rangle = 0$$

i.e. $\langle \hat{\tilde{H}} \rangle = \mathbb{H}_0$

c.f. Wheeler-DeWitt

 $\hat{H}|\psi\rangle = 0$

First Friedman $\langle \hat{H} \rangle = 0$ not a consequence of the quantum theory

QM only guarantees

$$\partial_t \langle \hat{\tilde{H}} \rangle = i \langle \left[\hat{\tilde{H}}, \hat{\tilde{H}} \right] \rangle = 0$$

i.e.
$$\langle \hat{\tilde{H}}
angle = \mathbb{H}_0$$

$$6M_{pl}^2 \frac{\dot{a}^2}{a^2} - \frac{\dot{\phi}^2}{2} - V(\phi) = \frac{\mathbb{H}_0}{a^3}$$

Could be zero, but generally contributes like dark matter

General(er) relativity

$$S = \int d^4x \sqrt{-g} \left(M_{pl}^2 R + \mathcal{L}_{matter} \right)$$

The equations of motion naively follow

$$\frac{\delta S}{\delta g_{\mu\nu}} = \sqrt{-g} \left(M_{pl}^2 G^{\mu\nu} - T^{\mu\nu} \right) = 0$$

For the quantum theory

$$\pi^{ij} \equiv \frac{\delta L_{grav}}{\delta g_{ij,0}}$$
$$\pi^i \equiv \frac{\delta L_{grav}}{\delta g_{0i,0}} = 0$$
$$\pi \equiv \frac{\delta L_{grav}}{\delta g_{00,0}} = 0$$

General(er) relativity

$$S = \int d^4x \sqrt{-g} \left(M_{pl}^2 R + \mathcal{L}_{matter} \right)$$

The equations of motion naively follow

$$\frac{\delta S}{\delta g_{\mu\nu}} = \sqrt{-g} \left(M_{pl}^2 G^{\mu\nu} - T^{\mu\nu} \right) = 0$$

For the quantum theory

$$\pi^{ij} \equiv \frac{\delta L_{grav}}{\delta g_{ij,0}}$$

$$\pi^{i} \equiv \frac{\delta L_{grav}}{\delta g_{0i,0}} = 0 \quad \text{The corresponding}$$

$$\pi \equiv \frac{\delta L_{grav}}{\delta g_{00,0}} = 0 \quad \text{variations are}$$

$$\text{suspect!}$$
'Gauge fix'
$$g_{00} = -1 \quad g_{0i} = 0$$

General(er) relativity

$$S = \int d^4x \sqrt{-g} \left(M_{pl}^2 R + \mathcal{L}_{matter} \right)$$

The equations of motion that actually follow from the quantum theory

$$G^{00} = 8\pi G_N T^{00} + 8\pi G_N \frac{\mathbb{H}}{\sqrt{-g}}$$
$$G^{0i} = 8\pi G_N T^{0i} + 8\pi G_N \frac{\mathbb{P}^i}{\sqrt{-g}}$$
$$G^{ij} = 8\pi G_N T^{ij}$$

An auxiliary 'shadow matter' tensor

$$T_{\text{aux}}^{\mu\nu} = \frac{1}{\sqrt{-g}} \begin{pmatrix} \mathbb{H} & \mathbb{P}^{1} & \mathbb{P}^{2} & \mathbb{P}^{3} \\ \mathbb{P}^{1} & 0 & 0 & 0 \\ \mathbb{P}^{2} & 0 & 0 & 0 \\ \mathbb{P}^{3} & 0 & 0 & 0 \end{pmatrix} \qquad \longrightarrow \qquad \begin{array}{c} 0 = \nabla_{\mu} T_{\text{aux}}^{\mu\nu} \\ \partial_{0} \mathbb{H} = -\partial_{i} \mathbb{P}^{i} \\ \partial_{0} \left(\gamma_{ij} \mathbb{P}^{j} \right) = 0 \end{cases}$$

$$ds^{2} = -dt^{2} + a(t)^{2}(\delta_{ij} + h_{ij})dx^{i}dx^{j}$$

$$h_{ij} = h\delta_{ij} + D_{ij}\eta + (\partial_i w_j + \partial_j w_i) + s_{ij})$$

$$\tilde{D}_{ij} = \hat{k}_i \hat{k}_j - \delta_{ij} / 3.$$

Linear perturbation theory of scalars

(And working with background a~t^(2/3)

$$\begin{aligned} \ddot{\tilde{h}} + 3\frac{\dot{a}}{a}\dot{\tilde{h}} - 2\frac{k^2}{a^2}\tilde{\eta} &= 0 \\ &\implies \qquad \ddot{\tilde{h}} + 3\frac{\dot{a}}{a}\dot{\tilde{h}} + 6\left(\ddot{\tilde{\eta}} + 3\frac{\dot{a}}{a}\dot{\tilde{\eta}}\right) - 2\frac{k^2}{a^2}\tilde{\eta} &= 0 \end{aligned}$$
$$\tilde{\eta} \propto \text{const} \qquad \tilde{h} \propto a \end{aligned}$$

$$ds^{2} = -dt^{2} + a(t)^{2}(\delta_{ij} + h_{ij})dx^{i}dx^{j}$$

$$h_{ij} = h\delta_{ij} + D_{ij}\eta + (\partial_i w_j + \partial_j w_i) + s_{ij})$$

$$\tilde{D}_{ij} = \hat{k}_i \hat{k}_j - \delta_{ij}/3.$$

Linear perturbation theory of scalars

2. $\mathbb{H} \equiv \mathbb{H}_{0} + \delta \mathbb{H} \qquad \mathbb{P}^{i} \qquad \text{In pert theory}$ $\left(\frac{\dot{a}}{a}\right)^{2} = \frac{8\pi}{3}G_{N}\frac{\mathbb{H}_{0}}{a^{3}} \qquad \text{Zeroth order}$ $\frac{\dot{a}}{a}\dot{\tilde{h}} - 2\frac{k^{2}}{a^{2}}\tilde{\eta} = 8\pi G_{N}\frac{1}{a^{3}}\left[-\frac{1}{2}\tilde{h}\mathbb{H}_{0} + \delta\mathbb{H}\right] \qquad \text{First order}$ $-2i\frac{k}{a^{2}}\dot{\tilde{\eta}} = 8\pi G_{N}\frac{\mathbb{P}_{\parallel}}{a^{3}}.$

$$ds^{2} = -dt^{2} + a(t)^{2}(\delta_{ij} + h_{ij})dx^{i}dx^{j}$$

 $h_{ij} = h\delta_{ij} + D_{ij}\eta + (\partial_i w_j + \partial_j w_i) + s_{ij})$

2.
$$\mathbb{H} \equiv \mathbb{H}_0 + \delta \mathbb{H}$$
 \mathbb{P}^i In pert theory

$$\delta \mathbb{H} \propto \text{const} + 1/a^{1/2} \qquad \mathbb{P}^i \propto 1/a^2$$

Consistent with

$$\partial_0 \delta \mathbb{H} = -ik\mathbb{P}_{\parallel}$$
$$\partial_0 \left(a^2 \mathbb{P}_{\parallel} \right) = 0$$

 $\tilde{D}_{ij} = \hat{k}_i \hat{k}_j - \delta_{ij} / 3.$

To summarise

Classical physics is a limit of quantum mechanics

Certain classical equations are not guaranteed by the quantum theory

One should consider a broader class of states in GR

Some observations to conclude with



Shadow matter sources linear growth in curvature perturbations, like dark matter



Could have either sign, trivial violation of NEC



Detailed study of cosmological evolution under way, and the nonlinear regime.



Inflation dynamically drives to conventional GR

Thanks for listening!