



### Study and Mitigation of Discharges in CMS Triple-GEM Detectors

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#### GEM upgrade projects for CMS:

- 3 detector projects in the forward muon endcap
- All based on same triple-GEM technology and same material
- GEM configuration 3(drift)/1/2/1 mm
- Baseline gas: Ar/CO<sub>2</sub> (70/30%)
- Max. background rates from a few kHz/cm<sup>2</sup> (GE2/1) to 150 kHz/cm<sup>2</sup> (ME0)
- $\rightarrow$  About 600 m<sup>2</sup> of GEM foils for about 1.5 M of RO channels



#### **CMS** Quadrant







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## **Discharge** Probability



### Measuring the probability of discharges:

- Tests in laboratory (alpha particles) with both small and large detectors
- Tests in neutron facilities with CMSlike particle background

### Discharge probability at G=3.5x10<sup>4</sup> (HIP)

- 10x10@GDD Lab: 1.5x10<sup>-10</sup>
- GE1/1(KR)@904 GEM Lab: 1.7x10<sup>-8</sup>
- 10x10@CHARM: 2.85x10<sup>-9</sup>
- No temporary or permanent degradation of the detector performance could be measured after alpha irradiation, nor in neutron environment (up to 500 discharges/cm<sup>2</sup>)



2800 2900 3000 3100 3200 3300 3400 Divider Voltage (V) J. Merlin, CMS-TDR-016, 2017

I. Yoon, LHC KCMS Workshop, 09/01/2019

Divider current fu





- Special GEM foil design with single hole to control the conditions of discharges and isolate the elements that play a role
  - ightarrow 30 pF base capacitance
  - ightarrow Possibility to operate up to 800 V (stable)
- Discharges triggered with a Cd109 source
- Discharge identification made by cross-comparing PMT signals (light) and antenna signals (EM)









- Test results:
  - Measurements reveal high resistance to discharges, even at high energy (>10<sup>3</sup>)
  - Increase of the hole diameter after 10-20 accumulated discharges







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#### GE1/1 Slice test (2017-2018)

- First operational experience in the real CMS environment
- First observation of discharge propagation
- Experienced VFAT3 channel loss



Start of a new discharge R&D campaign to cope with discharge propagation (+ define new setups and protocols to reproduce the problem in the lab)







#### **Propagation process:**

**Step1:** the **primary discharge** develops inside the GEM holes (temporary short circuit)

**Step2:** a **precursor current** arises from the hot spot created by the primary discharge (thermionic emission enhanced by the Schottky effect)

**Step 3:** the precursor current grows from the energy available in the foil to become a streamer

ightarrow secondary discharge between the GEM and the RO





The probability to observe a discharge propagation in small detectors is **insignificant** below inductions fields of **7 kV/cm**:  $\rightarrow$  CMS GEM typical induction field = **4.1 - 4.5 kV/cm** 



After a full propagation, the electronics is connected (via short circuits in the gas) to all the GEM electrodes

The probability to observe a discharge propagation in large detectors is significant even at low inductions fields



# Discharge Propagation

#### Large reservoir of energy:

- The probability for a discharge to propagate depends on the gap capacitance
- A large gap capacitance means more energy to feed the precursor current and trigger the streamer development
- GE1/1 foils typically have enough energy stored in the gap to trigger the propagation, even at low induction field

#### Influence from outside:

- The energy stored "outside" the GEM foil can participate to the discharge propagation



**CMS** Preliminarv 10x10 cm<sup>2</sup> Triple-GEM Detector 3/1/2/1 mm Gap Configuration Gas = Ar/CO, (70/30%) Source =  $^{241}$ Am (5.5 MeV  $\alpha$ ) HV Filter = 110 kΩ 0.7 GE1/1: 3-5 nF 0.6 Field Configuration: E<sub>Drift</sub> = 2.63 kV/cm ΔV<sub>GEM1</sub> = 392 V Etransfer1 = 3.06 kV/cm 0.3 Discharge ΔV<sub>GEM2</sub> = 385 V Etransfer2 = 3.06 V/cm 0.2 ΔV<sub>GEM3</sub> = 400 V Einduction = 8.00 kV/cm 0  $10^{-2}$  $10^{-1}$ 10<sup>2</sup> Induction Capacitance [nF]













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## **Discharge** Mitigations

Probabilit

#### Front-End protection:

- $\rightarrow$  VFAT3 + custom plugin cards (HV3b)
- $\rightarrow$  3 variants developed for GE1/1
  - Baseline V2 (no external protection)
  - V3 with External 300-400  $\Omega$
  - V4 with external diodes to GND
- $\rightarrow$  Further optimization implemented for GE2/1 and later ME0 (new hybrid design)
- $\rightarrow$  All variants thoroughly tested in laboratory + test beams

#### Early Conclusions (2019):

- $\rightarrow$  working as expected in 10x10 detector with controlled discharge energy
- $\rightarrow$  Different situation when operating with large detectors
  - Increased propagation energy •
  - Parasitic effects from the • other electronics components

#### HV3b V2

Initial baseline Internal input protection only (diode) Channels burnt with E>28uJ/disc







#### HV3b V4

Ext. input protection (diodes) OK after 540 ESD 470uJ/disc No increase of noise observed Rad Hard studies OK (10Mrad)









2

Hardware Configuration

#### HV3b V3 Ext. input protection

(R=330 Ω) OK after 500 ESD 470uJ/disc X-talk +15%; Noise +20% No radiation issues expected







#### In-depth investigations with large detectors:

- Additional studies indicated that the damage probability in large detectors is mainly due to propagation re-ignitions
- Re-ignitions are fed by the energy stored in the filter capacitance → can be mitigated by tuning the filter resistance → can reduce by a factor 5 the damage probability





### **Discharge** Mitigations





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## Mitigations Issues

**However**: some problems appeared when implementing the mitigations on full size detectors

**Problem 1:** the addition of the GEB increases the propagation probability by a factor ~5

**Problem 2:** the use of the de-coupling circuit on the VFAT plug-in card does **not** reduce the propagation probability as expected

**Problem 3:** the final prototypes of GE2/1 plug-in cards still suffered dead channels, not only during operation but also during the production process when performing HV curing of GEM3 (a.k.a Megger test)

#### In summary:

- → Increased propagation probability when connecting the final front-end electronics
- → No reduction of the propagation probability when using the new plug-in card
- ightarrow Increased susceptibility to discharges



![](_page_20_Picture_0.jpeg)

## Mitigations Issues

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#### **PROBLEM 1**

#### Without on-detector electronics

			Calculated			
		area cm2	Capa nF	Measured Capa nF	Voltage V	Energy mJ
induction	GE1/1-L	4090	2.84	2.88	420	0.25
	10x10	115	0.08	0.08043859	420	0.01
foil	GE1/1	100	4.7	-	400	0.38
	10x10	115	5.47	5.6	400	0.44

#### With on-detector electronics

			Calculated	with electronics/cooling/chimney		
		area cm2	Capa nF	Measured Capa nF	Voltage V	Energy mJ
induction	GE1/1-L	4090	2.84	8.57	420	0.76
	10x10	115	0.08	3.2	420	0.28
foil	GE1/1	100	4.7	i=:	400	0.38
	10x10	115	5.47	5.6	400	0.44

![](_page_20_Picture_8.jpeg)

![](_page_20_Picture_9.jpeg)

![](_page_20_Picture_10.jpeg)

![](_page_20_Figure_11.jpeg)

#### **GEB** capacitance effects

- Past measurement indicated that GEB (GEM Electronics Board) and electronics can add parasitic capacitance to the induction gap
  - → With an increase of 5-6 nF the propagation probability can be multiplied by a large factor (based on 10x10 measurements)
  - Not possible to mitigate without a significant re-design of the electronics and PCBs

→ But not a real problem if the detector is in the mixed-design configuration

![](_page_21_Picture_0.jpeg)

### Mitigations Issues

![](_page_21_Picture_2.jpeg)

#### PROBLEM 2 & 3

#### Drain resistor solution:

- Use of a drain resistor on the RO strips is a powerful mitigation solution
- Measured clear improvement with 10x10 detectors when connecting all RO strips to a single resistive output

#### Large scale mitigation

- Need to implement an AC-coupling circuit with 1nF capacitor (and drain resistor) at the input of the electronics
- Parallel use of 1500-3000 input circuits significantly increase the capacitance of the induction gap
- ightarrow Increased propagation probability
- ightarrow Increase propagation energy

#### $\rightarrow$ Rollback to previous GE1/1 protection

![](_page_21_Figure_13.jpeg)

![](_page_22_Picture_0.jpeg)

### Final Measurements

![](_page_22_Picture_2.jpeg)

![](_page_22_Figure_3.jpeg)

#### Discharge propagation probability: In total:

- More than 5000 discharges provoked on GEM3 with HIP
- → Propagation probability : 2.28x10<sup>-3</sup> (at nominal gain 2x10<sup>4</sup> in Ar/CO2)

#### VFAT Damage probability:

#### In total:

- > 120 confirmed propagations
- No channel damage observed
- → Damage probability upper limit: 2.4x10<sup>-2</sup>

(expecting same or better than 3.0x10<sup>-2</sup> from GE1/1)

![](_page_22_Figure_13.jpeg)

## **Mitigations** Overview

![](_page_23_Picture_1.jpeg)

![](_page_23_Figure_2.jpeg)

- the table only takes into account discharges caused by incoming BKG particles
- Spontaneous discharges may occur at the beginning of the detector life due to the presence of dust and contaminants after the chamber movement/installation
  - A" training procedure" is in place to eliminate the dust and clean the foils in safe conditions

![](_page_24_Picture_0.jpeg)

![](_page_24_Picture_1.jpeg)

#### ightarrow Discharges studies in the CMS GEM group

- Long experience  $\rightarrow$  almost continuous studies in place since 2015-2016
- Developed/improved/standardized setups for discharge investigations (with the help of CERN GDD and other RD51 groups)

#### $\rightarrow$ Mitigation Strategy

![](_page_24_Figure_6.jpeg)

![](_page_25_Picture_0.jpeg)

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![](_page_26_Figure_0.jpeg)

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![](_page_27_Figure_0.jpeg)

![](_page_28_Picture_0.jpeg)

## **Discharge** Propagation

![](_page_28_Picture_2.jpeg)

![](_page_28_Figure_3.jpeg)

### Propagation studies (2019-2021)

- Further studies to understand differences between small and large chambers:
  - No dependency with the GEM foil capacitance → no influence of the primary discharge energy
  - Clear increase of the propagation probability with the induction capacitance → i.e. sufficient amount of energy on the foil to feed the precursor current and trigger discharge propagation
  - All measurements indicate that the discharge propagation is more likely to happen in large foils due to the availability of energy directly stored in the foil

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![](_page_29_Figure_5.jpeg)

![](_page_29_Picture_6.jpeg)

![](_page_30_Picture_0.jpeg)

![](_page_30_Picture_1.jpeg)

![](_page_30_Figure_2.jpeg)

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![](_page_31_Picture_0.jpeg)

### **Crosstalk** – General Description

![](_page_31_Picture_2.jpeg)

Side effect of using double-segmented design on Start of a R&D campaign to GEM3: cope with the crosstalk issue Reducing the size of the HV segments on the last GEM increases the HF impedance to ground: Source Induces cross-talk  $\rightarrow$ **GEM HV Partition** All strips facing the **same HV partition** can suffer  $\rightarrow$ crosstalk Readout sector 0 Readout sector 8 Readout sector 16  $\rightarrow$  In case of large signals (HIP), the corresponding Lateral view \_\_\_\_\_\_ crosstalk signals can trigger the electronics and make Source **GEM HV partition 1** the channels unusable for several BX **GEM HV partition 2** Readout strips Source signal Trigger rate Trigger rate **Frigger rate** Trigger rate eshold (DAC units Other HV GE1/1 triple-GEM GE1/1 triple-GEM GE1/1 triple-GEM GE1/1 triple-GEM Ar/CO2 (70/30%) Ar/CO2 (70/30%) Ar/CO2 (70/30%) Gain= 2x10 Gain= 2x10 partitions are eshold (DA <sup>241</sup>Am X-talk 41 Am 41 Am VFAT3 VFAT3 not affected 8 16 VFAT1 Position 0 Position 8 Position 16 15 Detector Strip Number Detector Strip Number Detector Strip Number GE1/1 VEAT Position Lavou

SLUDIILLY & AYINY

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![](_page_32_Figure_0.jpeg)

#### Timing characteristics:

- Each primary signal structure has its own cross-talk structure coming after 10 BX (250 ns)
- Probability of cross-talk depends on the amplitude of the primary signal (expected)

#### Range and probability:

- Eventually, all channels sharing the same HV partition are affected by the cross-talk
- On average, 61% of the channels are seeing the same crosstalk signal for a given event

![](_page_32_Figure_7.jpeg)

Crosstalk signals are typically affecting 61% of the channels sharing the same HV segment, with a delay of 250 ns with respect to the original signal

# Crosstalk - Probability

![](_page_33_Picture_1.jpeg)

#### **Probability measurement:**

- At fixed threshold to estimate the rate for the highest amplitude signals
- At nominal threshold
  (= 100Hz of noise)

![](_page_33_Figure_5.jpeg)

ARMDAC  $30 = 4.4 \pm 0.6$  fC (may vary a bit from one VFAT to another)

#### Crosstalk probability:

 $P_{XT}^{TH} = \Delta R_{neighbourg}^{TH} / \Delta R_{source}^{TH} \rightarrow \text{ at fixed threshold 100 DAC units}$  $P_{XT}^{Hz} = \Delta R_{neighbourg}^{Hz} / \Delta R_{source}^{Hz} \rightarrow \text{ at nominal threshold 100 Hz noise}$ 

Crosstalk probability in double-segmented foils becomes problematic for energy deposits above **30 keV**. X-rays and lower ionization particles **do not trigger** crosstalk

![](_page_33_Figure_10.jpeg)

Saturation

200 DAC units

 $\rightarrow$  Not possible to **quantify** 

the max amplitude of a signal

 $\rightarrow$  A "plateau like" rate profile

indicates that the signal is

above the VFAT range

if the SBIT rate drops around

### **Crosstalk Mitigation in Other Experin**

![](_page_34_Picture_1.jpeg)

#### LHCb Experience:

- Triple-GEM ~ 480 cm<sup>2</sup>
- 3/1/2/1 configuration
- HV segments top ~ 80 cm<sup>2</sup>
- HV segments bottom ~ none
- Induction Capa ~ 0.2 nF

#### **KLOE-2** Experience:

- Triple-GEM ~ 2450 cm<sup>2</sup> (cylindrical)
- 3/2/2/2 configuration
- HV segments top~ 105 cm<sup>2</sup>
- HV segments bottom ~ 615 cm<sup>2</sup>
- Induction Capa ~ 0.8 nF

#### Crosstalk mitigation:

Use of a **blocking capacitor** between G3 bottom and GND to bypass the induction gap: LHCb: C<sub>b</sub> = 0.7 nF KLOE-2: C<sub>b</sub> = 2.2 nF A. Cardini for the LHCb Collaboration (2006)

 $\underline{https://indico.cern.ch/event/473/contributions/1983755/attachments/954021/1353774/Cardini.pdf}$ 

![](_page_34_Figure_18.jpeg)

G. Morello for the KLOE-2 IT group(2013) https://https://indico.cern.ch/event/258852/contributions/1589820/attachments/456014/632021/MPGD2013\_morello.pdf

![](_page_34_Figure_20.jpeg)

![](_page_35_Picture_0.jpeg)

![](_page_35_Picture_1.jpeg)

#### **Technical limitations:**

- GE2/1: 40 to 80 HV segments per foil ightarrow the blocking circuit must be inside the gas volume
- Significant re-design of the foils (add space for the RC components, bring GND line on the foil)
- Significant re-design of other detector components (DRIFT board, Mechanics etc ...), possible reduction of the active area
- Introduce new weaknesses (e.g. long term failure of the capacitor)
- Hard to find nF capacitors which can fit in a 1mm gap (including safe distance with other electrodes)

#### **Conceptual limitations:**

- Adding the blocking capacitor means increasing the gap capacitance by a factor 3:
  - → Increase of the discharge propagation probability (defeats the primary purpose of the double-segmented design)
  - → Increase of the discharge energy, i.e. the probability to damage the electronics in case of propagation

	Induction C <sub>i</sub> (nF)	Blocking C <sub>b</sub> (nF)
LHCb	~ 0.2 nF	0.7
KLOE-2	~ 0.8 nF	2.2
GE2/1	~ 2 - 3 nF	> 6 – 9 nF

![](_page_35_Figure_13.jpeg)

![](_page_36_Picture_0.jpeg)

![](_page_36_Picture_2.jpeg)

#### **3 configurations are compared:**

- GE11 double segmented; segment size ~ **100 cm<sup>2</sup>**
- GE11 with merged segments; segment size ~ 1000 cm<sup>2</sup>
- GE21 single segmented; "segment" size ~ 2500 cm<sup>2</sup>

#### Improvements:

- Increasing the HV segments size helps to evacuate the crosstalk current and "dilute" the crosstalk effect over a larger surface
- Maximum segment size: ~ 1200 cm<sup>2</sup> (i.e. 2 segments per foil)
  - $\rightarrow$  Crosstalk probability reduced by a factor ~ 2.5
  - $\rightarrow$  Crosstalk amplitude reduced to less than ~ 20-25 fC

### But the improvement is much less compared to a regular single segmented foil:

- Unnecessary complication of the design
- Both options would give poor discharge mitigation

![](_page_36_Figure_15.jpeg)

The improvement of the crosstalk is **not sufficient** to justify the increasing of the HV segment size

- $\rightarrow$  better results are obtained by completely removing the bottom segmentation
- ightarrow The real choice is between single-segmented or double-segmented with fine segments

## GE21 Final Design Validation

![](_page_37_Picture_1.jpeg)

![](_page_37_Figure_2.jpeg)

#### Simulation parameters:

- Event samples for Z ightarrow Mumu @ 14 TeV
- The HIP rate is estimated from the BKG simulation, convoluted with the crosstalk probability vs.
  deposited energy (> 83 keV).
- Each strip can possibly see the crosstalk from **the** entire module
- Inoperative time of 20 BX based on the electronics simulation

#### First order approximation given by:

Probability of inactive RO per event :  $P_{DT} = \frac{HIP_{rate}}{BX} \times Prob_{XT} \times InoperativeTime$ 

Then the real chamber efficiency is :  $Eff_{real} = Eff_{ideal} \times (1 - P_{DT})$ 

The maximum **efficiency drop** due to the crosstalk effect is of the order of **0.04 %** at the highest eta (without safety factor)

#### → Successful mitigation

## Crosstalk – Rate Estimations

![](_page_38_Picture_1.jpeg)

#### **Evaluation of the Crosstalk rate in CMS:**

- Prediction of the total particle rate per eta partition of a single GE2/1 chamber
- Simulation including neutron background hits has been performed with GEANT
  - Total hit rate of Highly Ionizing Particles (HIPs) (mostly protons and nuclei) depositing 30 keV or more
- The HIP rate can be convoluted with the energy-deposit dependent probability to create a cross talk signal to obtain the prediction of the cross talk signal rate

BKG population susceptible to **trigger crosstalk** is derived from the 30 keV energy cut: up to **10.4 Hz/cm<sup>2</sup>** in the hottest GE21 region. The average energy deposit for this population is **107 keV** 

![](_page_38_Figure_8.jpeg)

GEANT4 based simulation model including the latest CMS configuration (with all subdetectors upgrades) CMSSW 11\_0\_0\_pre13 Min Bias collisions with hit time 100ms