

Probing first-order electroweak phase transition via primordial black holes

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Introduction

- Standard Model (SM) is consistent with the result in LHC

Unsolved problems: baryon asymmetry of the Universe (BAU), dark matter ...

- Exploring dynamics of EW phase transition (EWPT) is important

cf. EW baryogenesis [Kuzmin, et al. : PLB155 (1985)]

- How can we test first-order EWPT?

- hhh coupling measurement [Kanemura et al., PLB606 (2005), Grojean et al., PRD71 (2005)]
- Gravitational wave observations [Grojean and Servant, PRD 75 (2007), Kakizaki et al., PRD 92 (2015)]
- Primordial black hole observations [Hashino, Kanemura and Takahashi, PLB 833 (2021), Hashino, Kanemura, Takahashi and Tanaka, PLB 838 (2023)]

- We focus on an extended Higgs EFT to obtain model independent results

[Kanemura and Nagai, JHEP 03 (2022); Kanemura, Nagai and Tanaka, JHEP 06 (2022)]

Nearly aligned Higgs EFT (naHEFT)

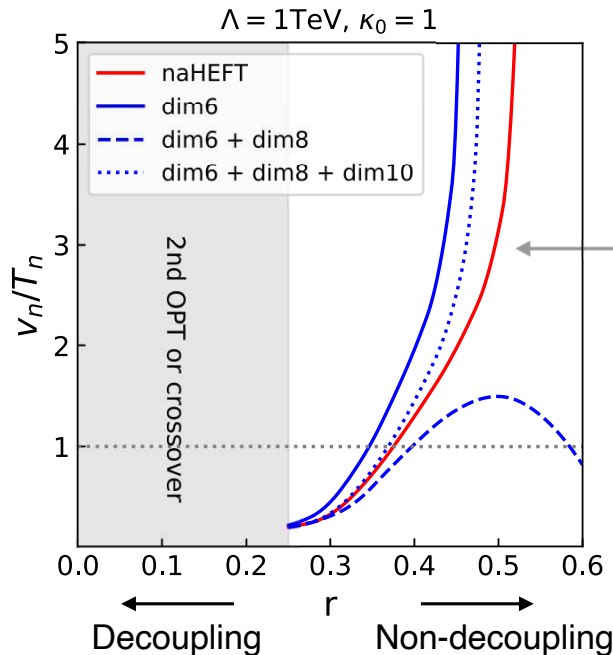
$$V_{\text{EFT}} = V_{\text{SM}} + \frac{\kappa_0}{64\pi^2} [\mathcal{M}^2(\phi)]^2 \ln \frac{\mathcal{M}^2(\phi)}{\mu^2} + \frac{\kappa_0}{2\pi^2} T^4 J_{\text{BSM}} \left(\frac{\mathcal{M}^2(\phi)}{T^2} \right)$$

$$\mathcal{M}^2(\phi) = M^2 + \frac{\kappa_p}{2} \phi^2$$

• Free parameters [Kanemura, Nagai and Tanaka, JHEP 06 (2022)]

$$\Lambda = \sqrt{M^2 + \frac{\kappa_p}{2} v^2}, \quad \kappa_0, \quad r = \frac{\kappa_p v^2}{\Lambda^2}$$

Mass of new particles d.o.f. of new particles



consistent with results in SM with a singlet scalar

[Kakizaki et al., PRD 92 (2015), Hashino et al., PRD 94 (2016)]

Large discrepancy b/w SMEFT and naHEFT

SMEFT may not be appropriate when we discuss the strongly first-order EWPT

Primordial black holes (PBHs)

- Large density fluctuation can be realized b/w true and false vacua

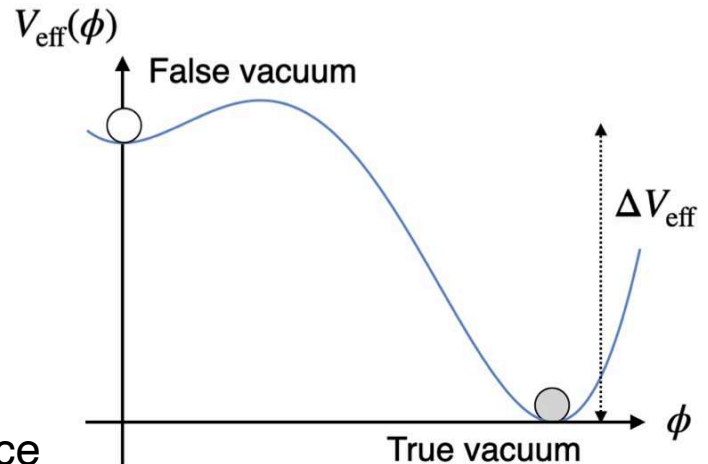
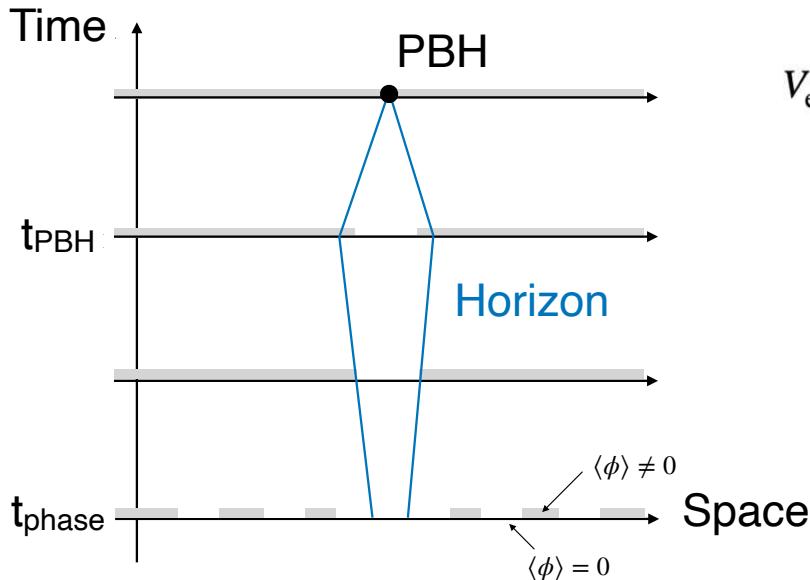
[Liu et al., PRD 105 (2022)]

$$\delta = \frac{\rho_{\text{over}} - \rho_{\text{back}}}{\rho_{\text{back}}} > \delta_C$$

PBHs can be formed by 1st order phase transition

- We take $\delta_C = 0.45$ as often used

[Hawking, Mon. Not. Roy. Astron. Soc. 152 (1971),
Hawking and Carr, Mon. Not. Roy. Astron. Soc. 168 (1974),
Harada, Yoo and Kohri, PRD 88 (2013)]



PBHs formed by first-order EWPT

- PBH formation is discussed in SMEFT [Hashino, Kanemura and Takahashi, PLB 833 (2021)]

We discuss PBH properties by using the naHEFT instead of the SMEFT

- Mass of PBH formed by EWPT

$$M_{\text{PBH}} \sim 10^{-5} M_{\odot}$$

- Microlensing observations

Subaru HSC, OGLE, EROS

[HSC, <https://hsc.mtk.nao.ac.jp/ssp/>]

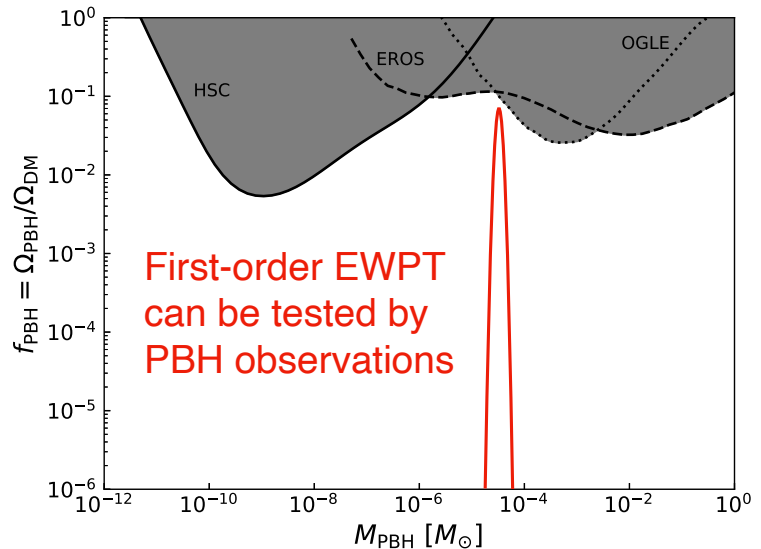
[OGLE, <http://ogle.astrouw.edu.pl>]

[EROS, <http://eros.in2p3.fr>]

- Future observations: PRIME, Roman

$f_{\text{PBH}} > 10^{-4}$ will be constrained

PRIME: <http://www-ir.ess.sci.osaka-u.ac.jp/prime/index.html>,
Roman: <https://roman.gsfc.nasa.gov>



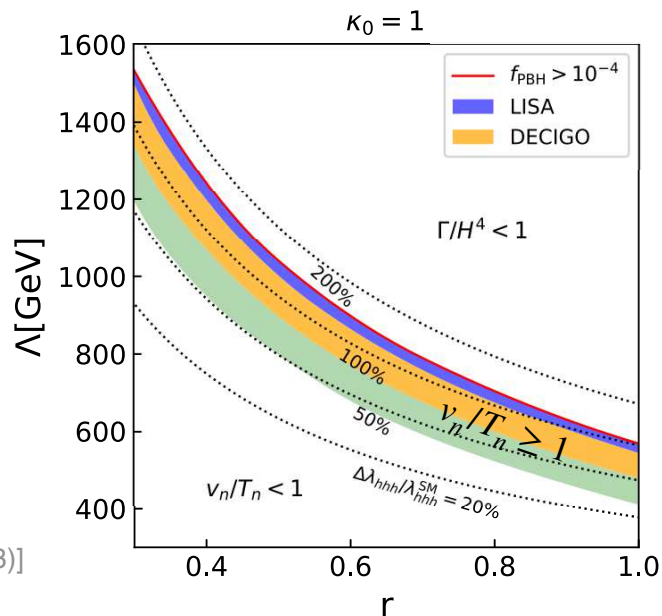
Testing first-order EWPT

How can we test the first-order EWPT?

- hhh coupling measurement [Grojean et al., PRD71 (2005), Kanemura et al., PLB606 (2005)]
- GWs from the EWPT [Grojean and Servant, PRD 75 (2007), Kakizaki et al., PRD 92 (2015)]
- PBHs formed by the EWPT [Hashino, Kanemura and Takahashi, PLB 833 (2021), Hashino, Kanemura, Takahashi and Tanaka, PLB 838 (2023)]
- Current and future experiments
 - PBH: Subaru HSC, OGLE, PRIME, Roman
 - GWs: LISA, DECIGO **On going!**
 - Colliders: ILC, HL-LHC

In addition to collider and GW observations, we can test the first-order EWPT via PBH observations!

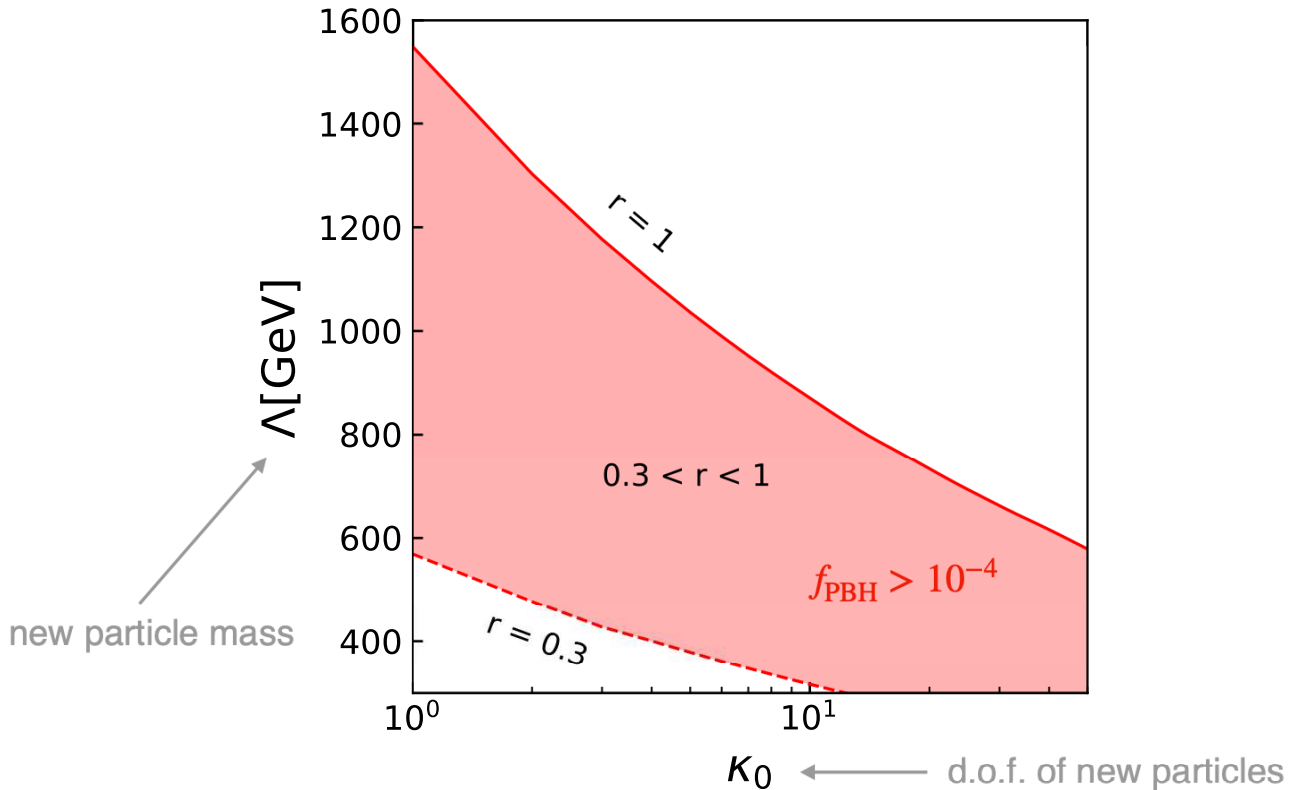
[Hashino, Kanemura, Takahashi and Tanaka, PLB 838 (2023)]



Parameter region explored by PBHs

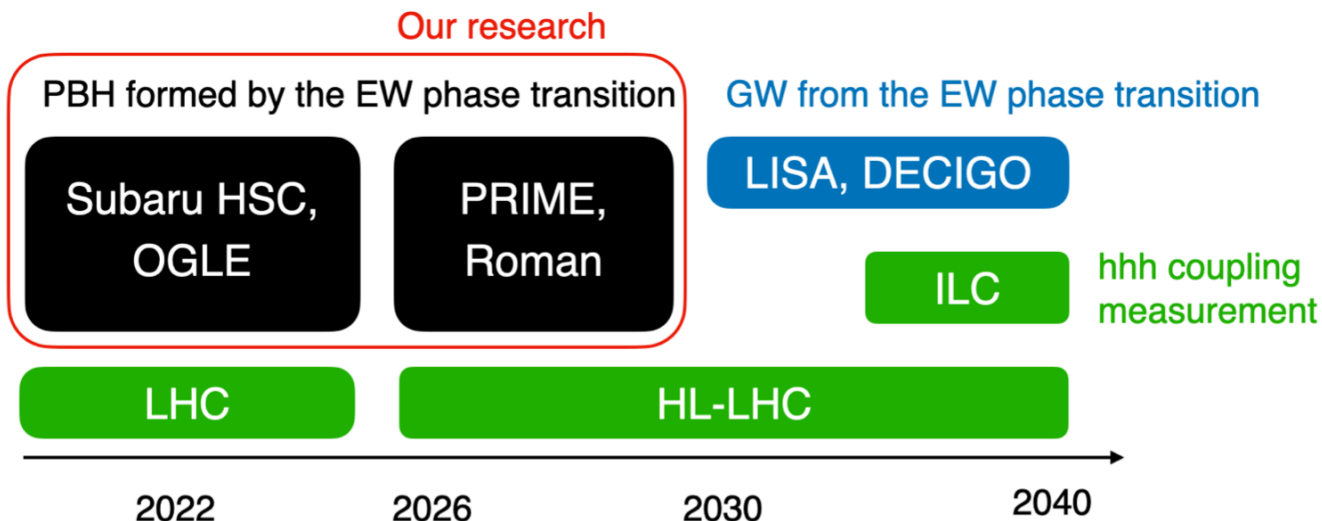
Wide parameter region can be explored by PBH observations

[Hashino, Kanemura, Takahashi and Tanaka, PLB 838 (2023)]



Summary

- naHEFT can appropriately describe new physics with first-order EWPT
- First-order EWPT can be tested by PBH observations
- Wide parameter regions of new physics can be explored by PBH observations



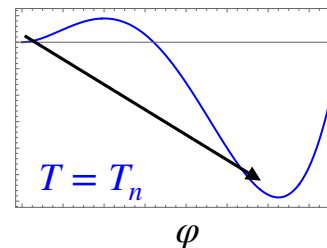
Back up

Sphaleron decoupling condition

- EW baryogenesis requires strongly 1st order EW phase transition (EWPT)

→ Sphaleron decoupling condition [Kuzmin, et al. : PLB155 (1985)]

$V_{\text{eff}}(\varphi)$



$$\Gamma_{\text{sph}}^{(b)}(T_n) = A(T_n)e^{-E_{\text{sph}}(T_n)/T_n} < H_{\text{Hubble}}(T_n) \Rightarrow \boxed{\frac{v_n}{T_n} > \zeta_{\text{sph}}(T_n) \simeq 1}$$

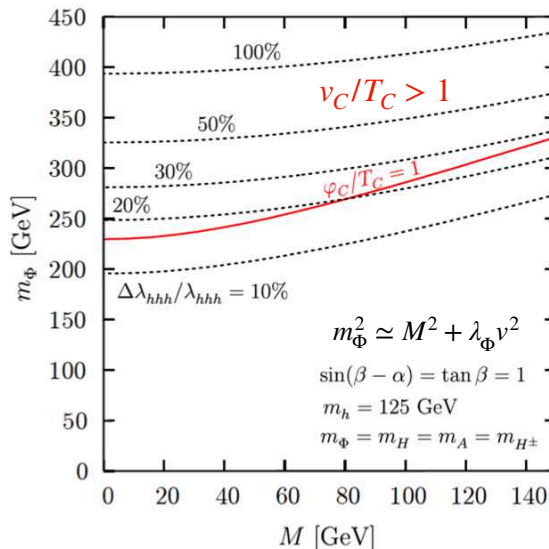
Strongly 1st order EW phase transition (EWPT)

Eg) Two Higgs doublet model

[Kanemura, Okada and Senaha, PLB606 (2005)]

$$\frac{\Delta\lambda_{hhh}^{2\text{HDM}}}{\lambda_{hhh}^{\text{SM}}} > 20 - 30 \%$$

Non-decoupling effect is important!



Gravitational waves (GWs)

Predicted GW spectrum from the first-order EWPT is also discussed

- Nucleation rate for the vacuum bubbles [Linde; Nucl. Phys. B216 (1983)]

$$\Gamma_{\text{bubble}} \simeq A(T) \exp \left[-\frac{S_3(T)}{T} \right],$$

$$S_3(T) = \int d^3x \left[\frac{1}{2} (\nabla \varphi^b)^2 + V_{\text{eff}}(\varphi^b, T) \right]$$

[Grojean and Servant, PRD 75 (2007)]

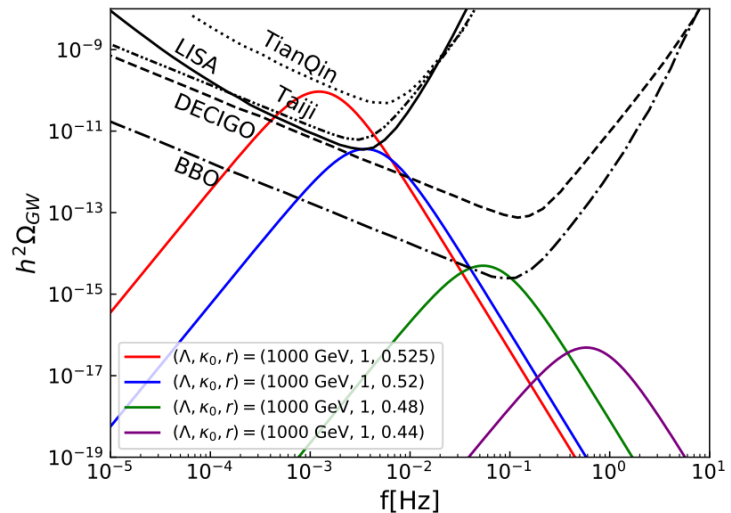
- Parameters characterizing first-order phase transition

T_n : temperature starting the phase transition

α_{GW} : released latent heat

β_{GW} : duration of the phase transition

[Kanemura, Nagai and Tanaka, JHEP 06 (2022)]



Introduction

- Quantum corrections to the effective potential

[Coleman and Weinberg: PRD 7 (1973)]

$$V_{\text{CW}}(\varphi) = \frac{[M^2(\varphi)]^2}{64\pi^2} \ln \frac{M^2(\varphi)}{Q^2} \quad \ln \frac{M^2(\varphi)}{Q^2} = \ln \frac{M^2}{Q^2} + \ln \left(1 + \frac{\lambda_\Phi \varphi^2}{M^2} \right)$$

- Assume $M^2(\varphi) = M^2 + \lambda_\Phi \varphi^2$ ($M^2 \gg \lambda_\Phi v^2$) [Buchmuller and Wyler: Nucl. Phys. B268 (1986)]
[Grzadkowski et al.: JHEP 10 (2010)]

$$V_{\text{CW}}(\varphi) \ni \frac{\lambda_\Phi^3}{64\pi^2 M^2} \varphi^6 = \frac{1}{\Lambda^2} \varphi^6 \Rightarrow \text{SMEFT is a good approximation}$$

- In non-decoupling case ($M^2 \lesssim \lambda_\Phi v^2$), V_{CW} cannot be expanded in terms of φ

\Rightarrow SMEFT may not be able to describe non-decoupling new physics

[Falkowski, Rattazzi, JHEP 10 (2019), Cohen et. al, JHEP 03 (2021)]

- Higgs EFT can describe non-decoupling effects

[Feruglio: Int. J. Mod. Phys. A 8 (1993)]

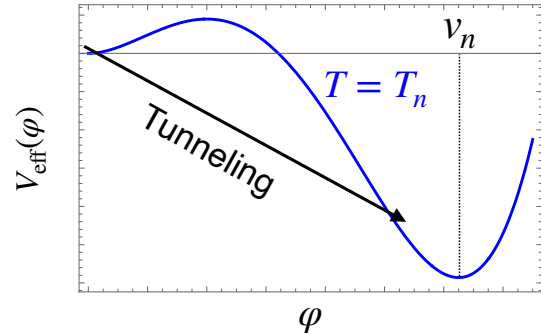
Extension of Higgs EFT is promising!

First-order EW phase transition

- Effective potential (high temperature)

$$V_{\text{eff}}(\varphi, T) \simeq D(T^2 - T_0^2)\varphi^2 - ET\varphi^3 + \frac{\lambda(T)}{4}\varphi^4$$

Only boson loops contribute



- Strength of the phase transition:

$$\frac{v_n}{T_n} \sim \frac{v_c}{T_c} \sim \frac{2E}{\lambda(T_c)}$$

- large E : extended Higgs models with the non-decoupling effects
- small λ : standard model effective field theory (SMEFT)
- Sphaleron decoupling condition:

$$\Gamma_{\text{sph}}^{(b)}(T_n) = A(T_n)e^{-E_{\text{sph}}(T_n)/T_n} < H_{\text{Hubble}}(T_n) \Rightarrow \frac{v_n}{T_n} > \zeta_{\text{sph}}(T_n) \simeq 1$$

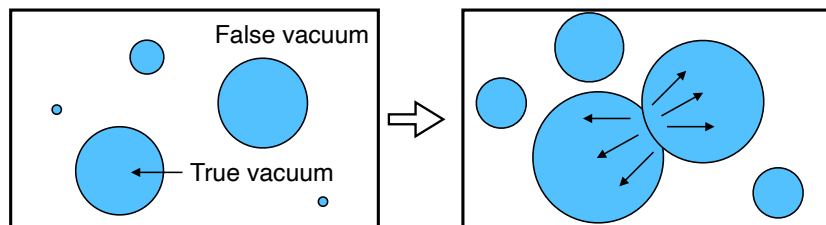
$$\zeta_{\text{sph}}(T_n) = \frac{v_n}{E_{\text{sph}}(T_n)} \left[43.5 + 7 \ln \frac{v_n}{T_n} - \ln \left(\frac{T_n}{100\text{GeV}} \right) \right]$$

Gravitational waves

Origin of the gravitational waves (GWs) from 1st OPT

[Caprini et al., JCAP 04 (2016)]

- ① Bubble collisions
- ② Compression wave of plasma
- ③ Plasma turbulence



Eg) Compression wave (leading contribution)

$$\Omega_{\text{SW}}(f)h^2 = \tilde{\Omega}_{\text{SW}}^{\text{peak}} h^2 \times (f/\tilde{f}_{\text{SW}})^3 \left(\frac{7}{4 + 3(f/\tilde{f}_{\text{SW}})^2} \right)^{7/2}$$

The peak height

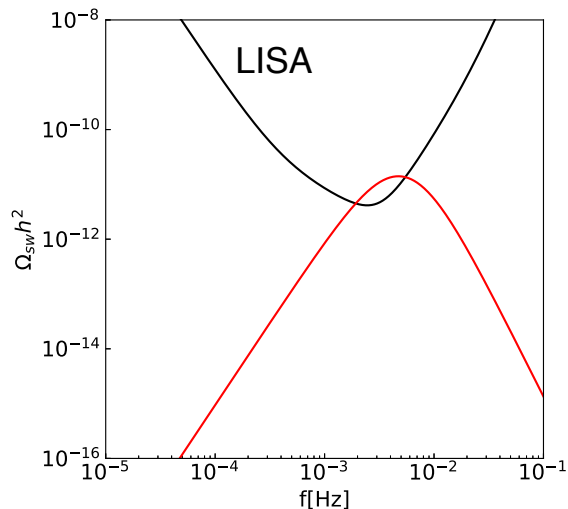
$$\tilde{\Omega}_{\text{SW}}^{\text{peak}} h^2 \simeq 2.65 \times 10^{-6} v_b \tilde{\beta}_{\text{GW}}^{-1} \left(\frac{\kappa_{\text{SW}} \alpha_{\text{GW}}}{1 + \alpha_{\text{GW}}} \right)^2 \left(\frac{100}{g_*} \right)^{1/3}$$

The peak frequency

κ_{SW} : efficiency factor

$$\tilde{f}_{\text{SW}} \simeq 1.9 \times 10^{-2} \frac{1}{v_b} \tilde{\beta}_{\text{GW}} \left(\frac{T_n}{100 \text{ GeV}} \right) \left(\frac{g_*}{100} \right)^{1/6} \text{ mHz}$$

[LISA: arXiv:1702.00786]

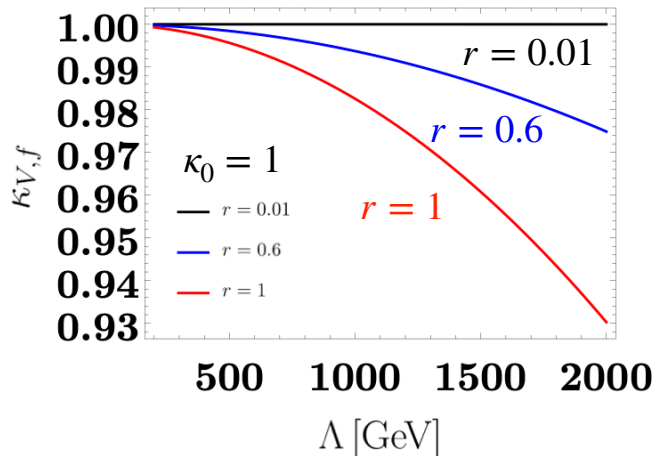


What is the meaning “nearly aligned”?

- The naHEFT in the canonical basis

[Kanemura and Nagai, JHEP 03 (2022)]

$$\begin{aligned}
 \mathcal{L}_{\text{naHEFT}} = & -\frac{1}{4}W^{a\mu\nu}W_{\mu\nu}^a - \frac{1}{4}B^{\mu\nu}B_{\mu\nu} & U = \exp\left(\frac{i}{v}\pi^a\tau^a\right) \\
 & + \frac{v^2}{4}\left(1 + 2\kappa_V\frac{\hat{h}}{v} + \kappa_{VV}\frac{\hat{h}^2}{v^2} + \mathcal{O}(\hat{h}^3)\right)\text{Tr}[D_\mu U^\dagger D^\mu U] \\
 & + \frac{1}{2}(\partial_\mu\hat{h})(\partial^\mu\hat{h}) - \frac{1}{2}M_h^2\hat{h}^2 - \frac{1}{3!}\frac{3M_h^2}{v}\kappa_3\hat{h}^3 - \frac{1}{4!}\frac{3M_h^2}{v^2}\kappa_4\hat{h}^4 + \mathcal{O}(h^5) \\
 & - \sum_{f=u,d,e} m_{fi}\left[\left(\delta^{ij} + \kappa_f^{ij}\frac{h}{v} + \mathcal{O}(h^2, \pi^2)\right)\bar{f}_L^i f_R^j + h.c.\right],
 \end{aligned}$$



The naHEFT can describe extended Higgs models without alignment ($\kappa_{V,f} \neq 1$)

$$\kappa_V = \frac{g_{hVV}^{\text{new}}}{g_{hVV}^{\text{SM}}}, \quad \kappa_f = \frac{g_{hff}^{\text{new}}}{g_{hff}^{\text{SM}}}$$

SMEFT and Higgs EFT

We only focus on the Higgs part

- SMEFT

$$\mathcal{L}_{\text{SMEFT}} \ni A(|\Phi|^2) |\partial_\mu \Phi|^2 + B(|\Phi|^2) (\partial_\mu |\Phi|^2)^2 - V(\Phi) + O(\partial^4),$$

← $A(\Phi)$, $B(\Phi)$, $V(\Phi)$ are analytical at $|\Phi| = 0$

- Higgs EFT

$V(h)$ is arbitrary

$$\mathcal{L}_{\text{HEFT}} \ni \frac{1}{2} K(h) \partial_\mu h \partial^\mu h + \frac{v^2}{2} F(h) \text{Tr} [\partial_\mu U \partial^\mu U] + V(h),$$

← $K(h)$, $F(h)$, $V(h)$ can be non-analytical at $h \neq 0$

⇒ Higgs EFT is more general than SMEFT

In the naHEFT, it is assumed that $V(h)$ has Coleman-Weinberg like structure

SMEFT and nearly aligned Higgs EFT

$$V_{\text{EFT}} = V_{\text{SM}} + \frac{\xi}{4} \kappa_0 [\mathcal{M}^2(\phi)]^2 \ln \frac{\mathcal{M}^2(\phi)}{\mu^2}$$

$$\mathcal{M}^2(\phi) = M^2 + \frac{\kappa_p}{2} \phi^2,$$

$$\mathcal{M}^2(v) \equiv \Lambda^2 \quad r = \frac{\kappa_p v^2}{\Lambda^2}$$

$$\xi = \frac{1}{16\pi^2}$$

Expand the logarithmic part in terms of ϕ

- Up to dimension six

$$V_{\text{BSM}}(\Phi) = \frac{1}{f^2} \left(|\Phi|^2 - \frac{v^2}{2} \right)^3, \quad \frac{1}{f^2} = \frac{2}{3} \xi \kappa_0 \frac{\Lambda^4}{v^6} \frac{r^3}{1-r}$$

- Up to dimension eight

$$|\Phi|^2 = \phi^2/2$$

$$V_{\text{BSM}}(\Phi) = \frac{1}{f_6^2} \left(|\Phi|^2 - \frac{v^2}{2} \right)^3 - \frac{1}{f_8^4} \left(|\Phi|^2 - \frac{v^2}{2} \right)^4$$

$$\frac{1}{f_6^2} = \frac{1}{f^2} \frac{1-2r}{1-r}, \quad \frac{1}{f_8^4} = \frac{1}{2f^2 v^2} \frac{r}{1-r}$$

$$r \rightarrow 1/2 \Rightarrow 1/f_8 \gg 1/f_6$$

The expansion is not good at large r

Primordial black holes

Primordial black holes

- Primordial black holes (PBH) : BH formed at the early Universe

Before the star formation

- Condition for the PBH formation

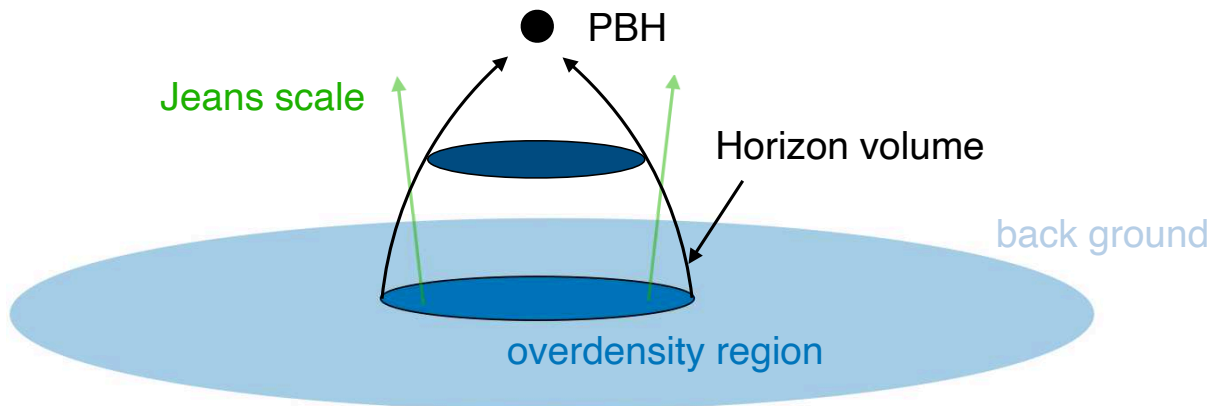
$$\delta = \frac{\rho_{\text{over}} - \rho_{\text{back}}}{\rho_{\text{back}}} > 0.45$$

[Hawking, Mon. Not. Roy. Astron. Soc. 152 (1971),
Hawking and Carr, Mon. Not. Roy. Astron. Soc. 168 (1974),
Harada, Yoo and Kohri, PRD 88 (2013)]

- $\delta > 0.45$ can be satisfied when a first-order phase transition occurs

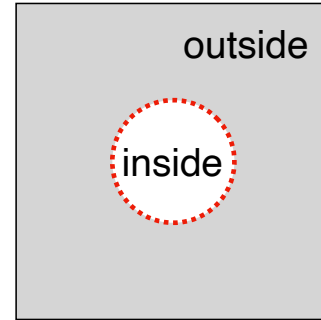
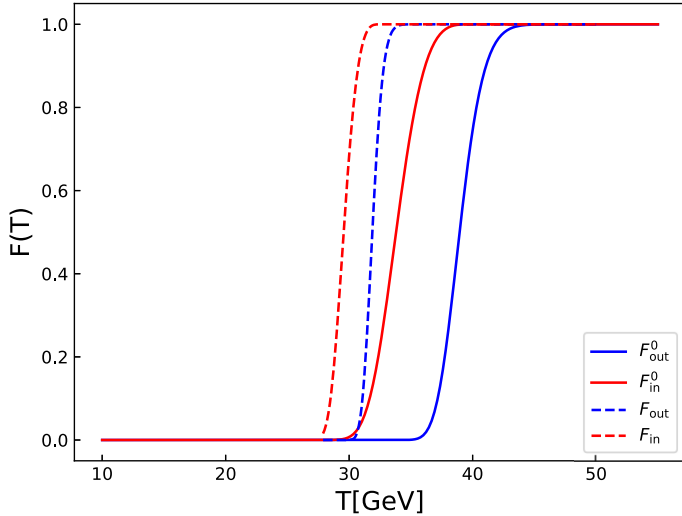
→ PBH formed by a first-order phase transition

[Kodama, Sasaki and Sato, PTP 68 (1982);
Hawking, Moss and Stewart, PRD 26 (1982)
Liu et al., PRD105 (2022)]

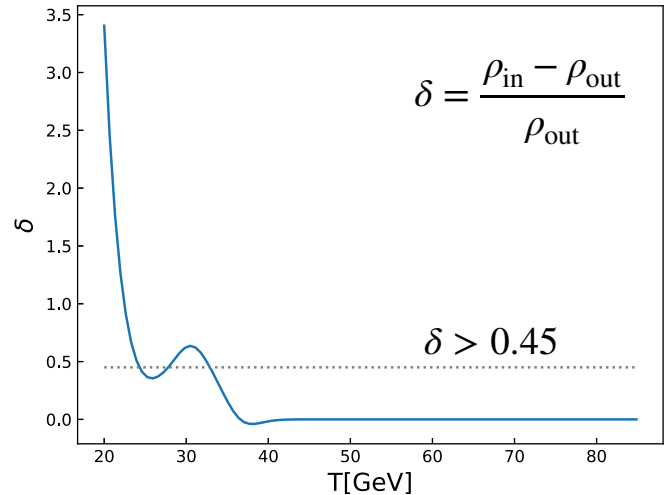


Fraction of the false vacuum

$(\kappa_0, r, \Lambda, T_{in}) = (4.0, 1.0, 400.15 \text{ GeV}, 40.0 \text{ GeV})$



$(\kappa_0, r, \Lambda, T_{in}) = (4.0, 1.0, 400.2 \text{ GeV}, 40.0 \text{ GeV})$



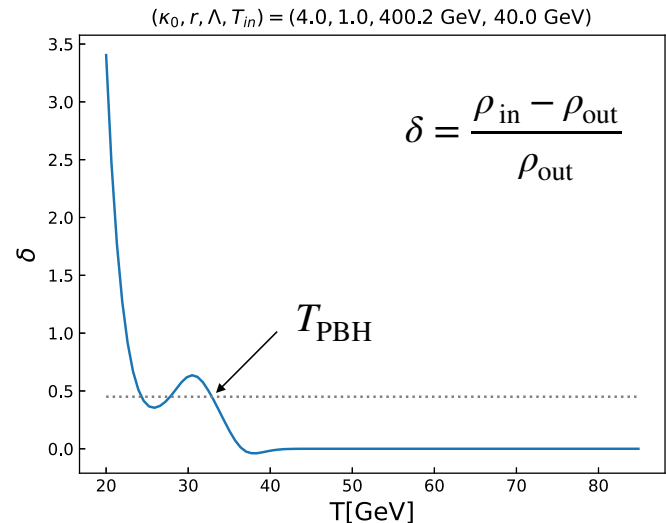
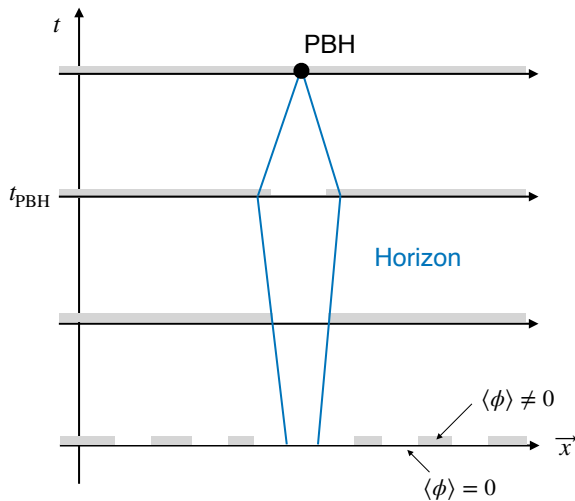
$$F(t) = \exp \left[-\frac{4\pi}{3} \int_{t_i}^t dt' \Gamma(t') a^3(t) r^3(t, t') \right]$$

$$r(t, t') \equiv \int_{t'}^t \frac{v_w}{a(\tilde{t})} d\tilde{t}$$

How to obtain PBH fraction?

1. Evaluate the possibility that the symmetry breaking is not broken in a Hubble volume
2. Calculate how many Hubble patches at t_{PBH} are included in those at present

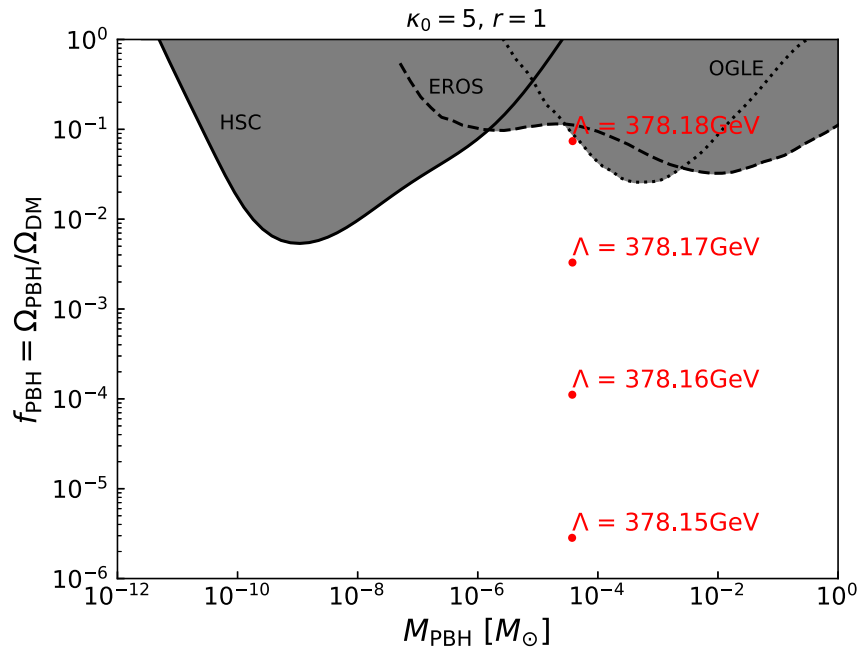
$$f_{\text{PBH}}^{\text{EW}} \equiv \frac{\Omega_{\text{PBH}}^{\text{EW}}}{\Omega_{\text{CDM}}} \sim 1.49 \times 10^{11} \left(\frac{0.25}{\Omega_{\text{CDM}}} \right) \left(\frac{T_{\text{PBH}}}{100 \text{ GeV}} \right) P(t_{\text{PBH}}),$$



Fraction of primordial black holes

$$f_{\text{PBH}}^{\text{EW}} \equiv \frac{\Omega_{\text{PBH}}^{\text{EW}}}{\Omega_{\text{CDM}}} \sim 1.49 \times 10^{11} \left(\frac{0.25}{\Omega_{\text{CDM}}} \right) \left(\frac{T_{\text{PBH}}}{100 \text{ GeV}} \right) P(t_{\text{PBH}}),$$

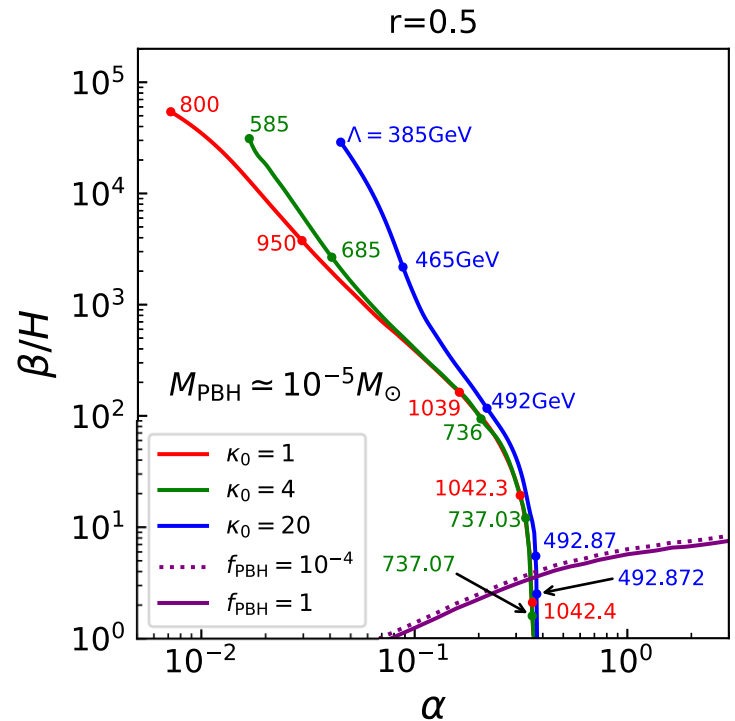
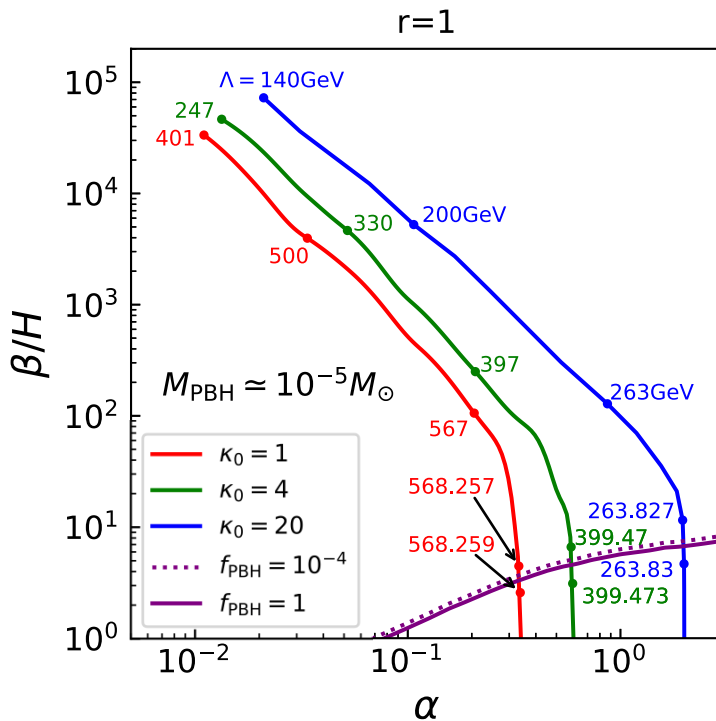
$$P(t_n) = \exp \left[-\frac{4\pi}{3} \int_{t_i}^{t_n} \frac{a^3(t)}{a^3(t_{\text{PBH}})} \frac{1}{H^3(t_{\text{PBH}})} \Gamma(t) dt \right], \quad \Gamma_{\text{bubble}}(T) \simeq T^4 \left(\frac{S_3(T)}{2\pi T} \right)^{3/2} \exp \left[-\frac{S_3(T)}{T} \right],$$



PBH fraction in naHEFT

f_{PBH} is very sensitive to the parameters in the nearly aligned Higgs EFT

[Hashino, Kanemura, Takahashi and Tanaka, PLB 838 (2023)]

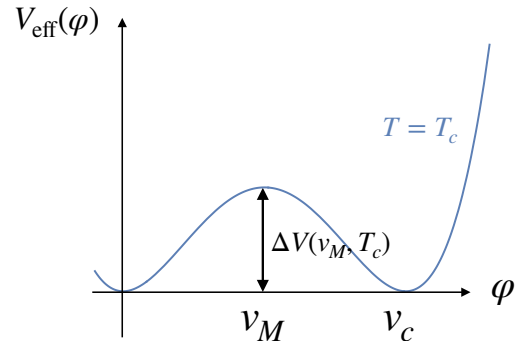


Small beta and PBH formation

$$V_{\text{eff}}(\varphi, T) \simeq D(T^2 - T_0^2)\varphi^2 - ET\varphi^3 + \frac{\lambda_T}{4}\varphi^4$$

- Height of the effective potential

$$\Delta V(v_M, T_c) \propto \left(\frac{v_c}{T_c}\right)^3$$



→ Large v_c/T_c favored to realize the strongly first-order

- β parameter (thin-wall approximation) [Eichhorn et al., JCAP 05 (2021)]

$$\frac{\beta}{H} \propto \left(\frac{v_c}{T_c}\right)^{-5/2} \quad \rightarrow \text{When } v_c/T_c \text{ is large, } \beta \text{ can be small}$$

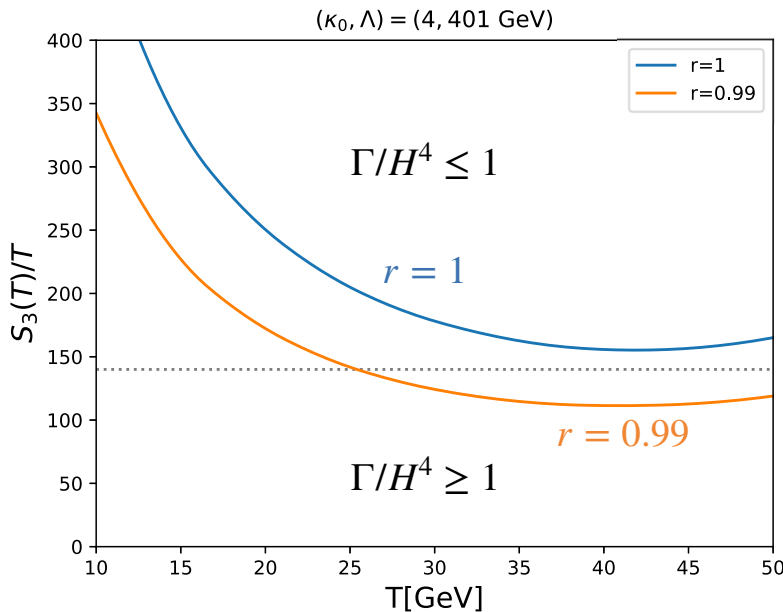
⇒ small β is preferred to delay the first-order phase transition

⇒ PBH formation requires small β

Bubble nucleation

- Nucleation rate of vacuum bubbles [Linde; Nucl. Phys. B216 (1983)]

$$\Gamma_{\text{bubble}} \simeq A(T) \exp \left[-\frac{S_3(T)}{T} \right], \quad S_3(T) = \int d^3x \left[\frac{1}{2} (\nabla \varphi^b)^2 + V_{\text{eff}}(\varphi^b, T) \right]$$



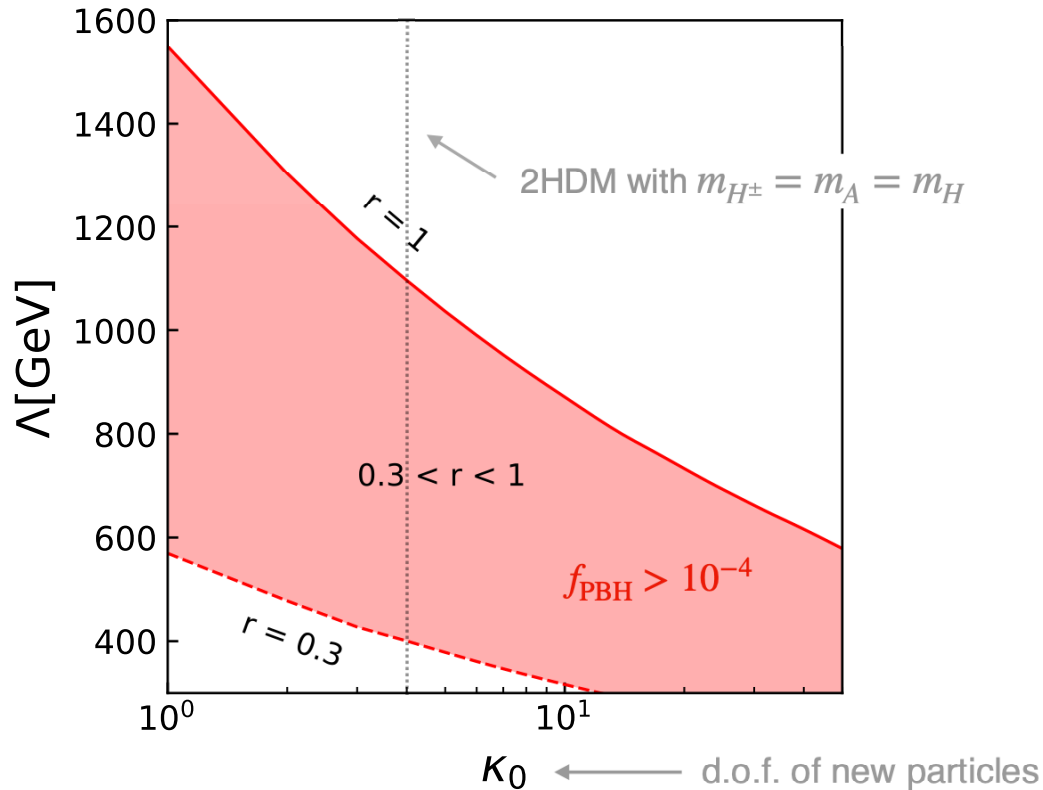
Non-decoupling effects are required to realize the delay of first-order EWPT

$$\Gamma/H^4 = 1 \Leftrightarrow S_3/T \sim 140$$

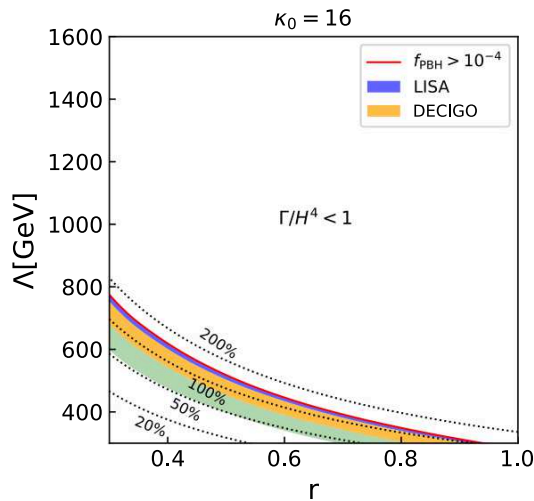
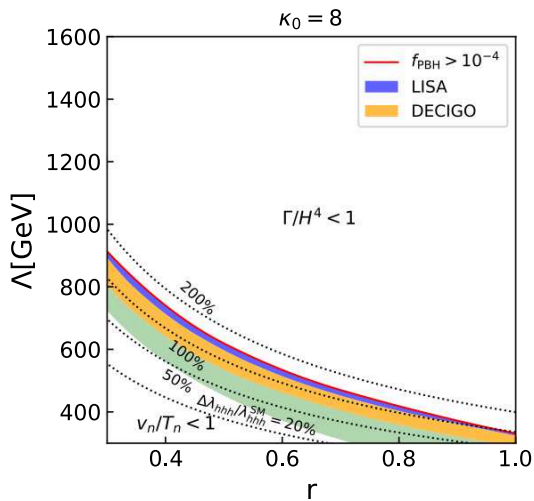
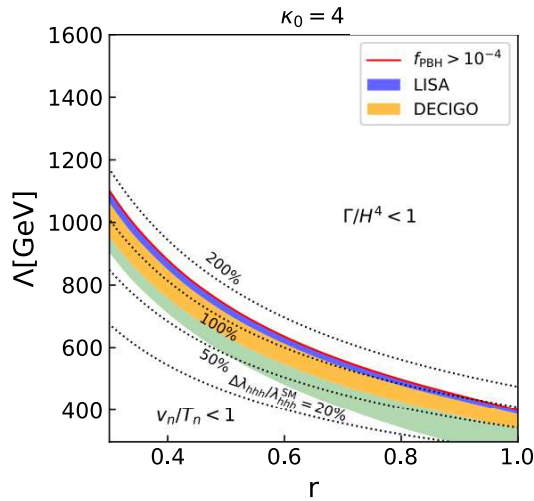
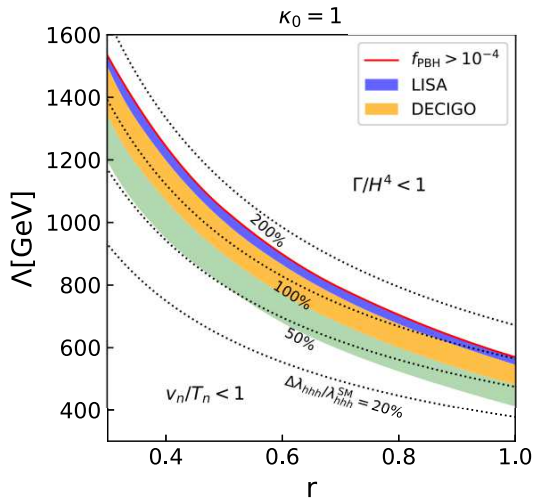
Parameter region explored by PBHs

Wide parameter region can be explored by PBH observations

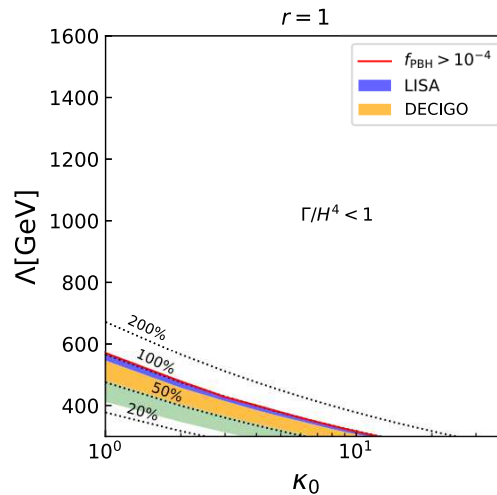
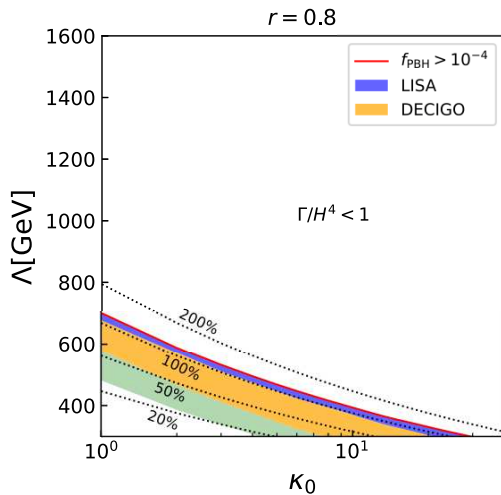
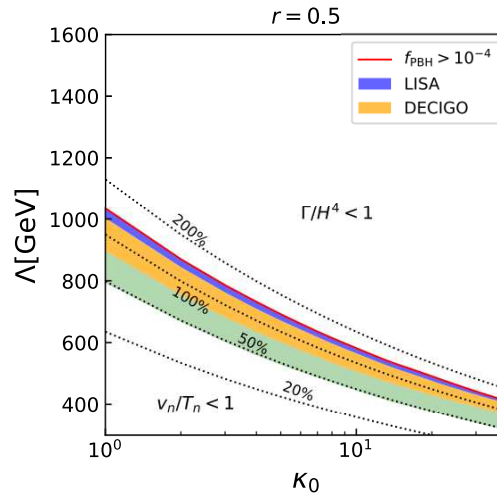
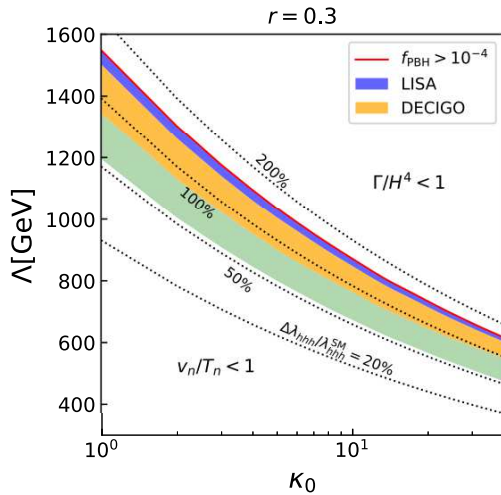
[Hashino, Kanemura, Takahashi and Tanaka, PLB 838 (2023)]



Explored parameter regions



Explored parameter regions



Condition for PBH formations

- Condition $\delta > 0.45$ is derived in radiation dominant case

[Harada, Yoo and Kohri, PRD 88 (2013)]

- PBH formation with $p = w\rho$ ($0.01 \leq w \leq 0.6$) has been discussed

[Musco and Miller, Class. Quantum Grav. 30 (2013)]

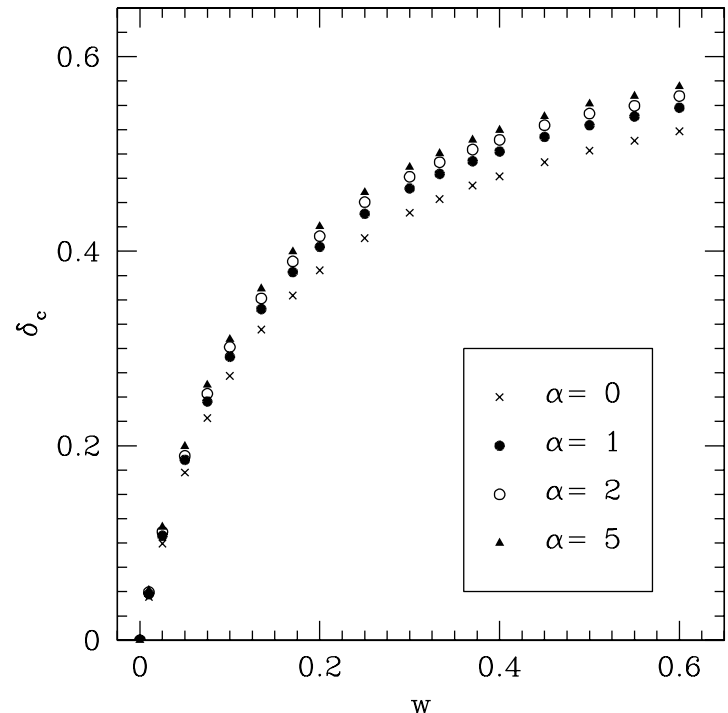
[Musco and Miller, Class. Quantum Grav. 30 (2013)]

- PBH may be easily realized in vacuum energy dominant universe

$$\because \text{EoS} \quad p = -\rho$$

[Jedamzik and Niemeyer, PRD 59 (1999)]

$$\delta = \frac{\rho_{\text{over}} - \rho_{\text{back}}}{\rho_{\text{back}}} > \delta_C$$

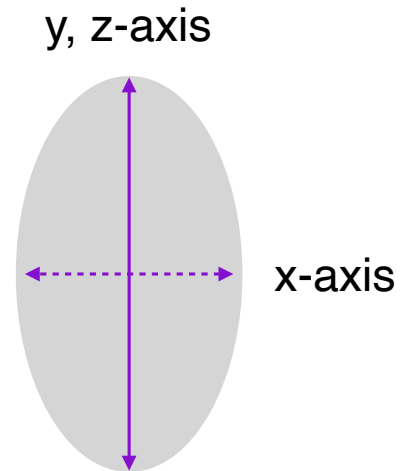
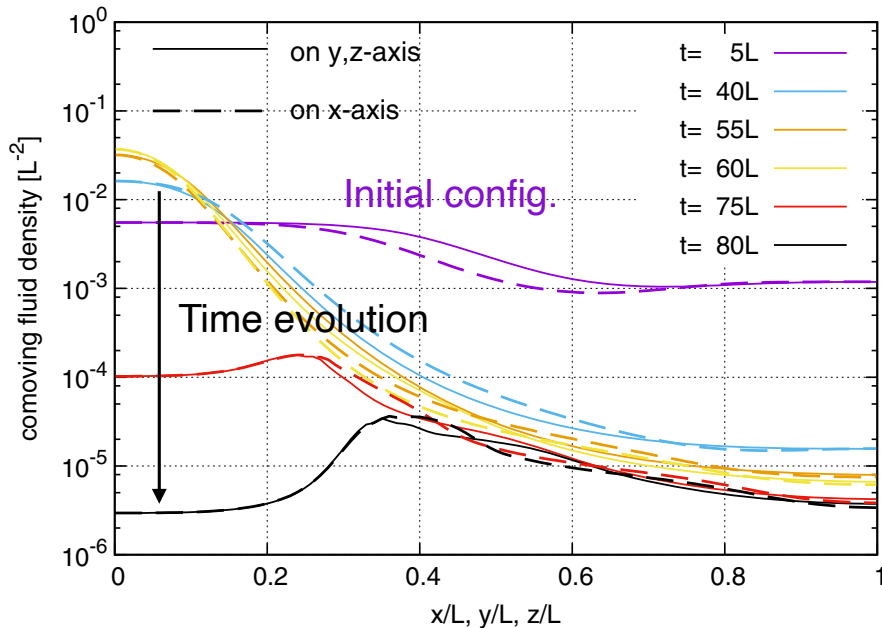


Spherical symmetry and PBH formation

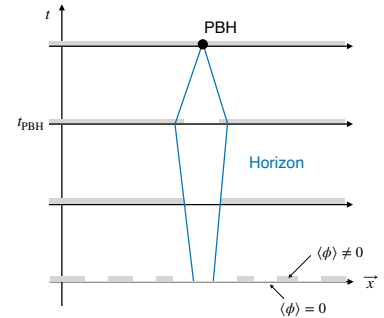
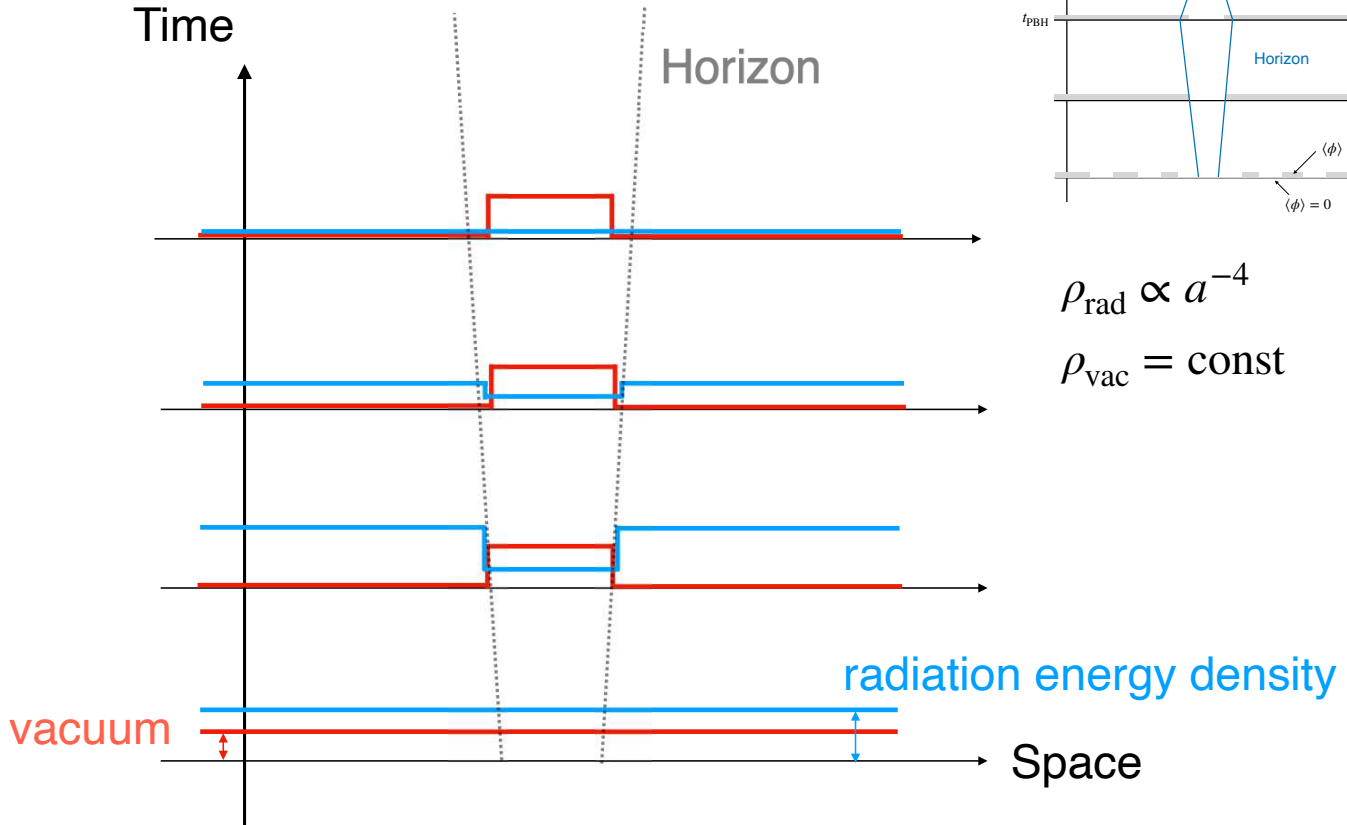
- Non-spherical symmetric case

If the over density region does not respect the spherical symmetry, realization of PBH formation might be difficult

[Yoo, Harada and Okawa, PRD 102 (2020)]



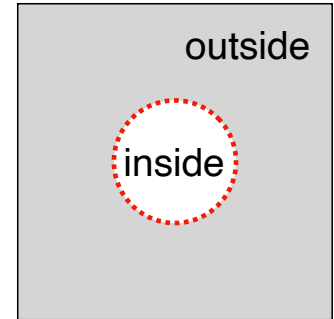
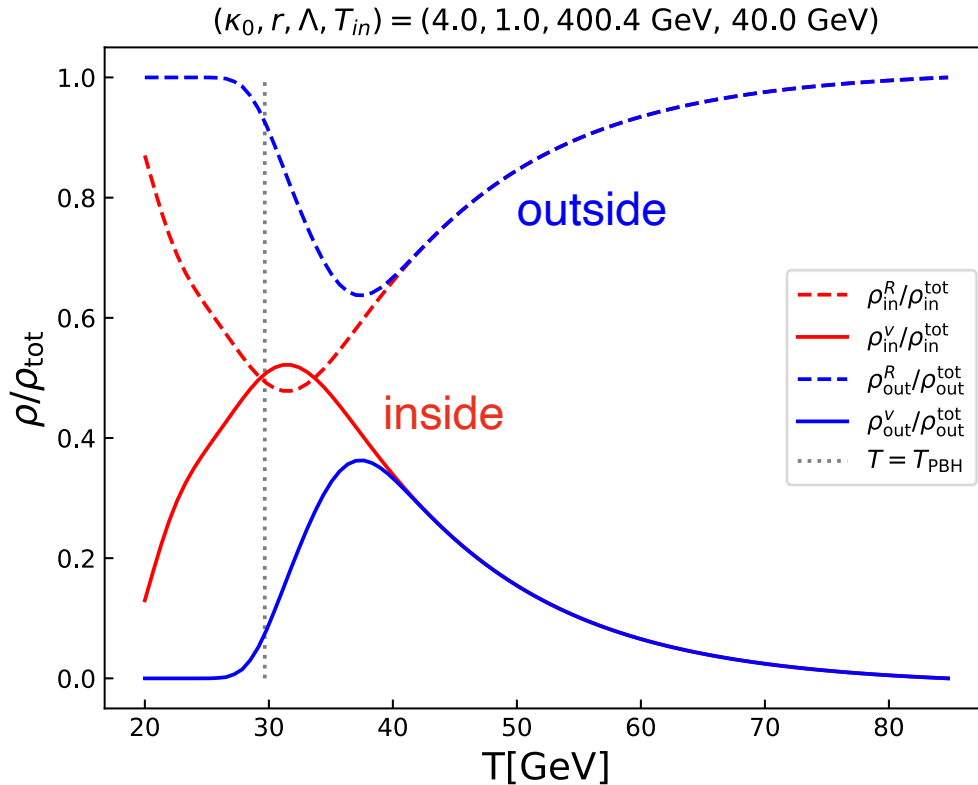
Spherical symmetry and PBH formation



$$\rho_{\text{rad}} \propto a^{-4}$$

$$\rho_{\text{vac}} = \text{const}$$

Ratio of energy density



$$\rho_{\text{tot}} = \rho_{\text{rad}} + \rho_{\text{vac}}$$