

Constraining CP4 3HDM with meson oscillations

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Based on: [D. Zhao, I.P.I., R. Pasechnik, P. Zhang, JHEP 04 \(2023\) 116](#)
and work in progress



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2 Flavored CP4 3HDM

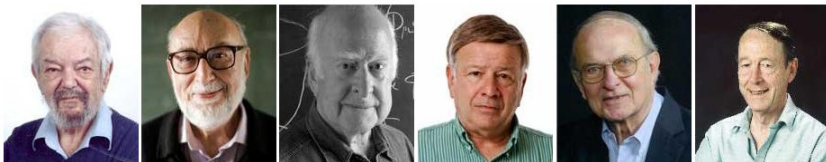
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Brout-Englert-Higgs-Guralnik-Hagen-Kibble mechanism

HPNP: Higgs as a probe of New Physics

Maybe Higgs is not alone → extended scalar sectors



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Many new fields \rightarrow many interaction terms \rightarrow lots of free parameters + often intractable analytically.

Imposing [global symmetries](#): a way to proceed, e.g. [Ishimori et al, 1003.3552](#).

Why imposing global symmetries?

- fewer parameters, often tractable analytically \rightarrow [anchor structures](#) in the vast parameter space of the general model;
- robust way of achieving desired pheno features;
- but, of course, one needs to draw the map

[symmetry groups](#) \Leftrightarrow [phenomenology](#)

within each class of multi-Higgs models.

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- **Large symmetry groups** → very few free parameters, nicely calculable, very predictive, but **conflicts experiment**.
- **Small symmetry groups** → many free parameters, compatible with experiment but not quite predictive.

I will show a peculiar model based on three Higgs doublets (**3HDM**) which

- **assumes very little**: the minimal model realizing a particular symmetry;
- this symmetry is unusual: **generalized CP-symmetry of order 4 (CP4)**;
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CP4 3HDM

Freedom of defining CP

In QFT, CP is not uniquely defined *a priori*.

- phase factors $\phi(\vec{r}, t) \xrightarrow{CP} e^{i\alpha} \phi^*(-\vec{r}, t)$ [Feinberg, Weinberg, 1959],
- with N scalar fields ϕ_i , the general CP transformation is

$$\phi_i \xrightarrow{CP} X_{ij} \phi_j^*, \quad X \in U(N).$$

If \mathcal{L} is invariant under CP with any X , it is explicitly CP-conserving [Grimus, Rebelo, 1997; Branco, Lavoura, Silva, 1999].

- **NB:** The “standard” convention $\phi_i \xrightarrow{CP} \phi_i^*$ is basis-dependent!

Higher order CP

Squaring the CP transformation:

$$\phi_i \xrightarrow{CP} X_{ij} \phi_j^* \xrightarrow{CP} X_{ij} (X_{jk}^* \phi_k) = (XX^*)_{ik} \phi_k .$$

The transformation $(CP)^2 = XX^*$ does not have to be identity!

It may happen that $(CP)^k = \mathbb{I}$ for $k > 2$.

CP -symmetry can be of a higher order $k > 2$.

The usual $CP = CP2$, the first non-trivial example is $CP4$, then $CP8$, etc.

CP4 3HDM

What is the **minimal NHDM** realizing **CP4** without accidental symmetries?

The answer was given in **Ivanov, Silva, 1512.09276**.

Consider the 3HDM with $V = V_0 + V_1$ (notation: $i \equiv \phi_i$), where

$$V_0 = -m_{11}^2(1^\dagger 1) - m_{22}^2(2^\dagger 2 + 3^\dagger 3) + \lambda_1(1^\dagger 1)^2 + \lambda_2 \left[(2^\dagger 2)^2 + (3^\dagger 3)^2 \right] \\ + \lambda_3(1^\dagger 1)(2^\dagger 2 + 3^\dagger 3) + \lambda_3'(2^\dagger 2)(3^\dagger 3) + \lambda_4 \left[(1^\dagger 2)(2^\dagger 1) + (1^\dagger 3)(3^\dagger 1) \right] + \lambda_4'(2^\dagger 3)(3^\dagger 2),$$

with all parameters real, and

$$V_1 = \lambda_5(3^\dagger 1)(2^\dagger 1) + \frac{\lambda_6}{2} \left[(2^\dagger 1)^2 - (3^\dagger 1)^2 \right] + \lambda_8(2^\dagger 3)^2 + \lambda_9(2^\dagger 3) \left[(2^\dagger 2) - (3^\dagger 3) \right] + h.c.$$

with real $\lambda_{5,6}$ and **complex** $\lambda_{8,9}$. It is invariant under **CP4** $\phi_i \xrightarrow{CP} X_{ij}\phi_j^*$ with

$$X = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & i \\ 0 & -i & 0 \end{pmatrix}, \quad XX^* = \text{diag}(1, -1, -1).$$

A group-theoretic peculiarity: the symmetry group generated by

$$\phi_i \xrightarrow{CP} X_{ij} \phi_j^*, \quad \text{with} \quad X = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & i \\ 0 & -i & 0 \end{pmatrix},$$

is the **abelian group** \mathbb{Z}_4 but it **unavoidably mixes Higgs families**.

There is **no basis change** which makes X diagonal.

This feature leads to important phenomenological consequences.

Remarks on CP4

- CP -conserving 3HDMs based on CP4 and the usual CP can be distinguished, at least in principle [Haber, OGREID, OSLAND, REBELO, 1808.08629].
- The presence of CP4 can be detected in a basis-independent way [Ivanov, Nishi, Silva, Trautner, 1810.13396].
- If the minimum conserves CP4 \rightarrow scalar DM stabilized by CP4 \rightarrow peculiar DM properties and evolution [Ivanov, Silva, 2016; Ivanov, Laletin, 2018].
- CP4 can be extended to the Yukawa sector \rightarrow flavored CP4 3HDM. But then it must be spontaneously broken \rightarrow patterns in the flavor sector [Ferreira et al, 1711.02042].

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The flavored CP4 3HDM

CP4-symmetric quark sector

Extending CP4 to the Yukawa sector: $\psi_i \rightarrow Y_{ij} \psi_j^{CP}$, where $\psi^{CP} = \gamma^0 C \bar{\psi}^T$.

$$-\mathcal{L}_Y = \bar{q}_L \Gamma_a d_R \phi_a + \bar{q}_L \Delta_a u_R \tilde{\phi}_a + h.c.$$

is invariant under CP4 with known X_{ab} if

$$(Y^L)^\dagger \Gamma_a Y^d X_{ab} = \Gamma_b^*, \quad (Y^L)^\dagger \Delta_a Y^u X_{ab}^* = \Delta_b^*.$$

Matrices Y 's can be brought to the form:

$$Y = \begin{pmatrix} 0 & e^{i\alpha} & 0 \\ e^{-i\alpha} & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

with $\alpha_L, \alpha_{dR}, \alpha_{uR}$ being free parameters.

Solved in [Ferreira et al, 1711.02042](#) \rightarrow only **four options** exist.

CP4-symmetric quark sector

case A: $\Gamma_1 \simeq$ arbitrary real matrix, $\Gamma_{2,3} = 0$.

case B_1

$$\Gamma_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ g_{31} & g_{31}^* & g_{33} \end{pmatrix}, \quad \Gamma_2 = \begin{pmatrix} g_{11} & g_{12} & g_{13} \\ g_{21} & g_{22} & g_{23} \\ 0 & 0 & 0 \end{pmatrix}, \quad \Gamma_3 = \begin{pmatrix} -g_{22}^* & -g_{21}^* & -g_{23}^* \\ g_{12}^* & g_{11}^* & g_{13}^* \\ 0 & 0 & 0 \end{pmatrix}.$$

case B_2

$$\Gamma_1 = \begin{pmatrix} 0 & 0 & g_{13} \\ 0 & 0 & g_{13}^* \\ 0 & 0 & g_{33} \end{pmatrix}, \quad \Gamma_2 = \begin{pmatrix} g_{11} & g_{12} & 0 \\ g_{21} & g_{22} & 0 \\ g_{31} & g_{32} & 0 \end{pmatrix}, \quad \Gamma_3 = \begin{pmatrix} g_{22}^* & -g_{21}^* & 0 \\ g_{12}^* & -g_{11}^* & 0 \\ g_{32}^* & -g_{31}^* & 0 \end{pmatrix}.$$

case B_3

$$\Gamma_1 = \begin{pmatrix} g_{11} & g_{12} & 0 \\ -g_{12}^* & g_{11}^* & 0 \\ 0 & 0 & g_{33} \end{pmatrix}, \quad \Gamma_2 = \begin{pmatrix} 0 & 0 & g_{13} \\ 0 & 0 & g_{23} \\ g_{31} & g_{32} & 0 \end{pmatrix}, \quad \Gamma_3 = \begin{pmatrix} 0 & 0 & -g_{23}^* \\ 0 & 0 & g_{13}^* \\ g_{32}^* & -g_{31}^* & 0 \end{pmatrix}.$$

CP4-symmetric quark sector

When combining up and down quarks, need to match α_L : **8 combinations**.

$$(A^{down}, A^{up}), \quad (A^{down}, B_2^{up}), \quad (B_2^{down}, A^{up}), \quad (B_2^{down}, B_2^{up}), \\ (B_1^{down}, B_1^{up}), \quad (B_1^{down}, B_3^{up}), \quad (B_3^{down}, B_1^{up}), \quad (B_3^{down}, B_3^{up}).$$

- case (A, A) implies **real CKM** \rightarrow disregarded.
- cases B_1, B_2, B_3 : quark mass matrices

$$M_d = \frac{1}{\sqrt{2}} \sum \Gamma_a v_a, \quad M_u = \frac{1}{\sqrt{2}} \sum \Delta_a v_a^*.$$

All vevs $v_1 v_2$ **AND** v_3 must be nonzero to avoid mass-degenerate quarks.

FCNCs in multi-Higgs models

- Tree-level **flavor-changing neutral couplings (FCNC)** are a generic feature of multi-Higgs models.
- Unsuppressed FCNCs conflict meson oscillation parameters \rightarrow need to be **eliminated** or **suppressed** (recent review: [Sher, 2207.06771](#)).
- 2HDM with natural flavor conservation (2HDM Type I, Type II, etc) as a way to eliminate tree-level FCNC altogether.
- Sufficiently small FCNCs (+ LFV) are **welcome** \rightarrow extra handles on non-minimal Higgs sectors.
- **BGL models** (Branco, Grimus, Lavoura, 1996 + many more): in the 2HDMs with certain symmetries, FCNCs are governed by a product of the CKM matrix elements \rightarrow **naturally suppressed**.

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What's the status of FCNCs in the CP4 3HDM?

- CP4 leads to remarkably tight connections between the Yukawa and scalar sectors → no built-in suppression of FCNC!
- Avoiding FCNC from h_{125} via the scalar alignment condition: $m_{11}^2 = m_{22}^2$.
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- In [Ferreira et al, 1711.02042](#), we reported the first pheno scan of the parameter space (theory constraints, EWPT, fermion masses and mixing, K , B , B_s oscillation parameters) → many viable parameter space points found.
- But almost all had **light charged Higgses**, $m_{H_{2,3}^\pm} < m_t$ leading to

$$t \rightarrow H^+ d_j, \quad H^+ \rightarrow \bar{d}_j u_j,$$

with a variety of $H^+ d_j u_j$ coupling patterns.

- In [Ivanov, Obodenko, 2104.11440](#) we checked these points against
 - ▶ the total $\Gamma_t = 1.42_{-0.15}^{+0.19}$ GeV [PDG],
 - ▶ $Br(t \rightarrow H^+ b) \times Br(H^+ \rightarrow c\bar{b}) < 0.5\%$ based on [CMS, 2018],
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Exploring CP4 3HDM in a smart way

FCNC in CP4 3HDM

Lessons from 1711.02042 + 2104.11440:

- FCNC in the **up-quark sector** (such as D -meson oscillations) must also be checked \rightarrow impact on the charged Higgs patterns.
- The usual scanning procedure

random seed point in $\Gamma_i, \Delta_i \Rightarrow$ fit m_q, CKM

is **very time consuming**: many trial points are thrown away.

- A more efficient scanning procedure is needed:

start with $m_q, \text{CKM} \Rightarrow$ reconstruct Γ_i, Δ_i

If this **inversion** is feasible, **every trial point will give a viable model**.

- Get a feeling of the FCNC **before** undertaking the full scan.

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Scanning CP4 3HDM Yukawa sector: the usual way

Down quarks (\mathcal{H}_i^0 = neutral scalars in the [Higgs basis](#)):

$$\begin{aligned}\bar{d}_L^0(\Gamma_1\phi_1^0 + \Gamma_2\phi_2^0 + \Gamma_3\phi_3^0)d_R^0 &= \frac{\sqrt{2}}{v}\bar{d}_L^0(\mathcal{H}_1^0 M_d^0 + \mathcal{H}_2^0 N_{d2}^0 + \mathcal{H}_3^0 N_{d3}^0)d_R^0 \\ &= \frac{\sqrt{2}}{v}\bar{d}_L(\mathcal{H}_1^0 D_d + \mathcal{H}_2^0 N_{d2} + \mathcal{H}_3^0 N_{d3})d_R\end{aligned}$$

where 0 in d_R^0 , N_{d2}^0 etc. means “before the quark fields rotation”.

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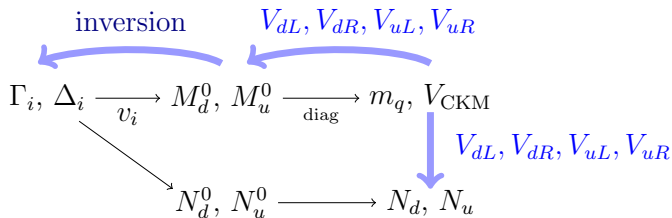
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The usual scanning procedure:

$$\begin{array}{l} \Gamma_i, \Delta_i \xrightarrow{v_i} M_d^0, M_u^0 \xrightarrow{\text{diag}} m_q, V_{\text{CKM}} \\ \quad \searrow \\ \quad N_d^0, N_u^0 \longrightarrow N_d, N_u \end{array}$$

Scanning CP4 3HDM Yukawa sector: inversion

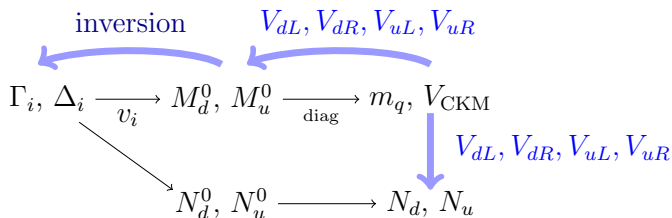
Inversion:



- From m_q , CKM to M_d^0, M_u^0 : specific quark rotation matrices needed.
- From M_d^0, M_u^0 to Γ_i, Δ_i : **a bonus feature of the CP4 3HDM.**

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The goal is to express FCNC matrices N_d, N_u via physical quark observables and quark rotation parameters.

- In [Zhao, Ivanov, Pasechnik, Zhang, 2302.03094](#), we found expressions for N_d 's and N_u 's for all Yukawa sectors of the CP4 3HDM (trivial for A , non-trivial for B_1, B_2, B_3).
- Remarkably, N_{d2} and N_{u2} are similar to the BGL-like models and offer [some control over FCNCs](#). For example, in case B_1 we get:

$$(N_{d2})_{ij} = \cot \beta m_{d_j} \delta_{ij} - \frac{m_{d_j}}{c_\beta s_\beta} (V_{dL,3i})^* V_{dL,3j}.$$

- However N_{d3} and N_{u3} show completely different patterns.

FCNC in CP4 3HDM

Let's gain some intuition with a toy model:

$$V_{dL}, V_{dR}, V_{uL}, V_{uR} \sim \begin{pmatrix} \times & \times & 0 \\ \times & \times & 0 \\ 0 & 0 & \times \end{pmatrix} = \begin{pmatrix} c_\theta e^{i\alpha} & s_\theta e^{i\zeta} & 0 \\ -s_\theta e^{-i\zeta} & c_\theta e^{-i\alpha} & 0 \\ 0 & 0 & e^{i\gamma} \end{pmatrix}.$$

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Then, in the case B_1 , we get

$$N_{d2} = \begin{pmatrix} m_d \cot \beta & 0 & 0 \\ 0 & m_s \cot \beta & 0 \\ 0 & 0 & -m_b \tan \beta \end{pmatrix},$$

$$N_{d3} = \frac{1}{s_\beta} \begin{pmatrix} -m_s c_{2\theta} & -m_s s_{2\theta} e^{-i(\alpha-\zeta)} & 0 \\ -m_d s_{2\theta} e^{i(\alpha-\zeta)} & m_d c_{2\theta} & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

CP4 feature: $\Phi_3 d \bar{d} \propto m_s$, not m_d ; $\Phi_3 d \bar{s} \propto m_s$, not $\sqrt{m_d m_s}$.

FCNC in CP4 3HDM

Numerical scan:

- Select specific Yukawa sector of the CP4 3HDM, such as (B_1^{down}, B_1^{up}) .
- Starting from m_q and CKM, scan over matrices V_{dL} , V_{dR} , V_{uR} , which lead M_d^0 and M_u^0 of the correct texture.
- Compute N_d 's and N_u 's. Then, following [Nebot, Silva, 1507.07941](#) write

$$\frac{1}{v} \bar{d}_{Li} (N_d)_{ij} d_{Rj} + h.c = \bar{d}_i (A_{ij} + iB_{ij}\gamma^5) d_j,$$

where $A = (N_d + N_d^\dagger)/(2v)$, $iB = (N_d - N_d^\dagger)/(2v)$.

- The dimensionless off-diagonal elements of A_{ij} and B_{ij} can be constrained by K , B , B_s and D -meson oscillation parameters. For example, K oscillations constrain the FCNCs of a generic 1 TeV scalar as

$$|a_{ds}| < 3.7 \times 10^{-4}, \quad |b_{ds}| < 1.1 \times 10^{-4}.$$

For smaller scalar masses, [the constraints are tighter](#).

- Check how different CP4 Yukawa scenarios compare with these constraints.

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- The dimensionless off-diagonal elements of A_{ij} and B_{ij} can be constrained by [K](#), [B](#), [B_s](#) and [D-meson oscillation parameters](#). For example, [K](#) oscillations constrain the FCNCs of a generic [1 TeV](#) scalar as

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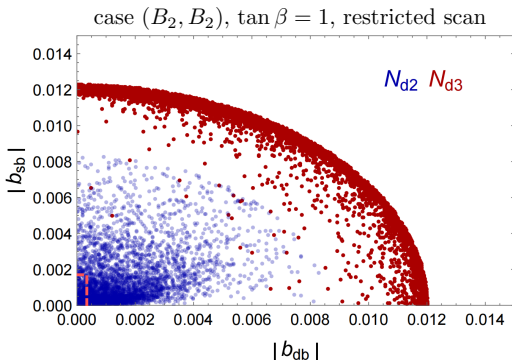
- This is **not** yet a full pheno scan. Such a scan requires a combined study of the vast Yukawa + scalar sectors, and **it cannot be blind**.
- This is a step towards understanding **how to do a clever CP4 3HDM scan**.
 - ▶ What is the **typical FCNC magnitude** in each Yukawa sector?
 - ▶ **How small** the FCNCs can in principle become? What controls their smallness?
 - ▶ Can some CP4 3HDM Yukawa sectors be already excluded?

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Results the numerical study 2302.03094

- Out of 8 possible CP4 invariant sectors, only **two scenarios** have chances to yield viable models: (A, B_2) and (B_1, B_1) .
- **Other scenarios fail!** For example, B_s vs. B for (B_2, B_2) :



FCNCs from N_{d3} are far outside the box even for a 1 TeV scalar!

Work in progress

Work in progress:

- A full scan (scalar + Yukawa) of the CP4 3HDM based on these two Yukawa scenarios: (A, B_2) and (B_1, B_1) . We hope to find benchmark models compatible with [all the collider constraints](#).
- Investigating CP4 invariant [lepton sectors](#).
 - ▶ $\mathcal{H}_3^0 e \bar{e}$ and $\mathcal{H}_3^0 e \bar{\mu}$ couplings are $\propto m_\mu$, instead of m_e !
 - ▶ This is a key feature of the [CP4 symmetry](#).
 - ▶ Perhaps, the recent CMS hint at $H \rightarrow e\mu$ with $m_H = 146$ GeV [2305.18106](#) can be accommodated within this scenario.
 - ▶ If so, then [a comparable \$H \rightarrow ee\$ is expected!](#)
- Consequences of CP4 to the [neutrino mass models](#).

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 - ▶ This is a key feature of the [CP4 symmetry](#).
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Conclusions

- **CP4 3HDM** is the minimal model implementing a CP symmetry of order 4 (**CP4**) without accidental symmetries.
- CP4 can be extended to the **Yukawa sector** → very characteristic flavor sector.
- Out of 8 possible CP4 invariant Yukawa sectors, only two scenarios — (A, B_2) and (B_1, B_1) — lead to viable models!

Tired of 2HDMs? Try CP4 3HDM

- based on a **single symmetry assumption**,
- quite predictive with rich phenomenology,
- **analytical insights** guide numerical exploration.