



# **Primordial Nucleosynthesis (BBN)**



## **and the radiation content of the early universe**



*Gennaro Miele  
University of Naples "Federico II" and  
INFN - Sezione di Napoli*

# A short review of BBN: recent data and theoretical status



Sixty years after the seminal  $\alpha\beta\gamma$  paper (Alpher, Bethe, Gamow, 1948):

- Theoretical (standard) framework well established
- Increasingly precise data on Deuterium,  ${}^4\text{He}(\?)$ , and  ${}^7\text{Li}$
- Increasingly precise data on nuclear process rates from lab experiments at low energies (10 KeV – MeV)
- Baryon fraction measured very accurately by CMB and Deuterium, and they agree!!

**COSMOLOGY**  
**ASTROPHYSICS**



**FUNDAMENTAL**  
**MICROPHYSICS**

## BBN Input:

- baryon density:  $\eta = n_B/n_\gamma \approx 274 \cdot 10^{-10} \Omega_b h^2$  (Now from CMB)

- energy density in relativistic degrees of freedom historically described as “effective number of neutrinos”, but it can account **partially** for:

- 1) non instantaneous decoupling effects
- 2) non standard  $\nu$ -interactions,  $\nu$ -asymmetries
- 3) extra relativistic degrees of freedom, exotic physics

$$\rho_\nu + \rho_X (?) \equiv \frac{N_\nu}{3} \rho_{\nu,0} \equiv N_\nu \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} \rho_\gamma$$

**BBN Output:  $X_a$  (nuclide abundances)**

**We can get information about Cosmological Neutrinos + extra relativistic d.o.f. !**



# Solving numerically BBN dynamics

1. Weak interactions freeze out at  $T \sim 1$  MeV
2. Deuterium forms via  $p n \rightarrow D \gamma$  at  $T \sim 0.1$  MeV
3. Nuclear chain

$$\frac{\dot{a}}{a} = H = \sqrt{\frac{8\pi G_N}{3} \rho} \quad ,$$

$$\frac{\dot{n}_B}{n_B} = -3H \quad ,$$

$$\dot{\rho} = -3H (\rho + P) \quad ,$$

$$\dot{X}_i = \sum_{j,k,l} N_i \left( \Gamma_{kl \rightarrow ij} \frac{X_k^{N_k} X_l^{N_l}}{N_k! N_l!} - \Gamma_{ij \rightarrow kl} \frac{X_i^{N_i} X_j^{N_j}}{N_i! N_j!} \right) \equiv \Gamma_i \quad ,$$

$$n_B \sum_j Z_j X_j = n_{e^-} - n_{e^+} \equiv L \left( \frac{m_e}{T}, \phi_e \right) \equiv T^3 \hat{L} \left( \frac{m_e}{T}, \phi_e \right) \quad ,$$

$$\left( \frac{\partial}{\partial t} - H |\mathbf{p}| \frac{\partial}{\partial |\mathbf{p}|} \right) f_{\nu_\alpha}(|\mathbf{p}|, t) = I_{\nu_\alpha} [f_{\nu_e}, f_{\bar{\nu}_e}, f_{\nu_x}, f_{\bar{\nu}_x}, f_{e^-}, f_{e^+}] \quad ,$$

Neutrino decoupling can be computed independently of nuclear abundances

# BBN accuracy I



Weak rates:

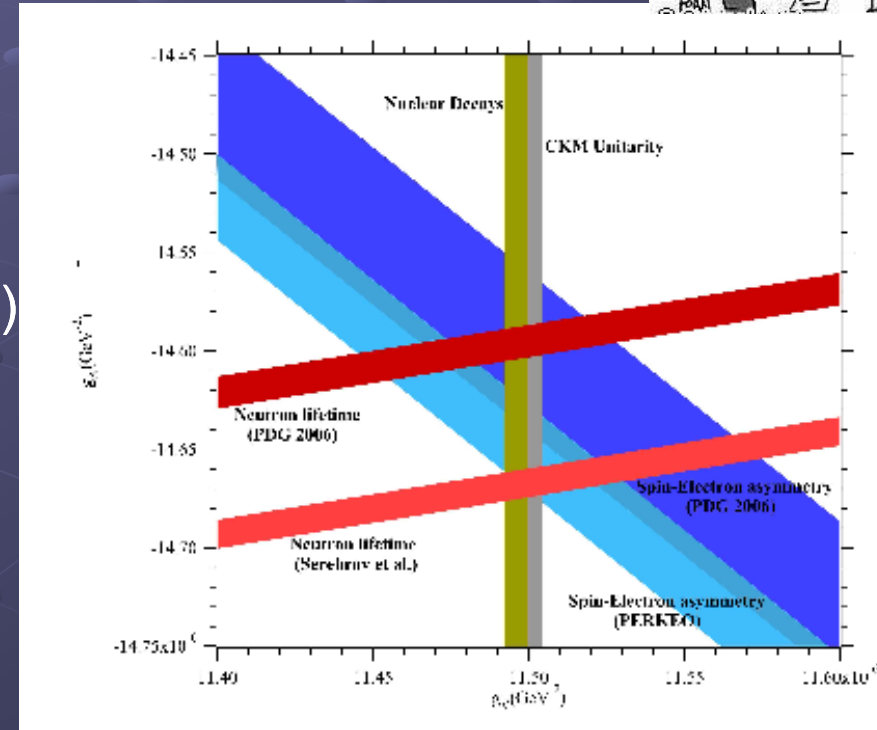
- radiative corrections  $O(\alpha)$
- finite nucleon mass  $O(T/M_N)$
- plasma effects  $O(\alpha T/m_e)$
- neutrino decoupling  $O(G_F^2 T^3 m_{Pl})$

Main uncertainty: neutron lifetime

$\tau_n = 885.7 \pm 0.8$  sec (PDG)

$\tau_n = 878.5 \pm 0.8$  sec (Serebrov et al 2005)

$^4\text{He}$  mass fraction  $Y_P$  linearly increases  
with  $\tau_n$ : 0.246 - 0.249



Nico & Snow, Ann.Rev.Nucl.Part.Sci.55:27-69,2005

# Neutrino decoupling in details

- Non standard neutrino-electron interactions
- Including oscillation effects
- E.M. plasma effects
- Neutrino chemical potentials

NPBB756:100 (2006)

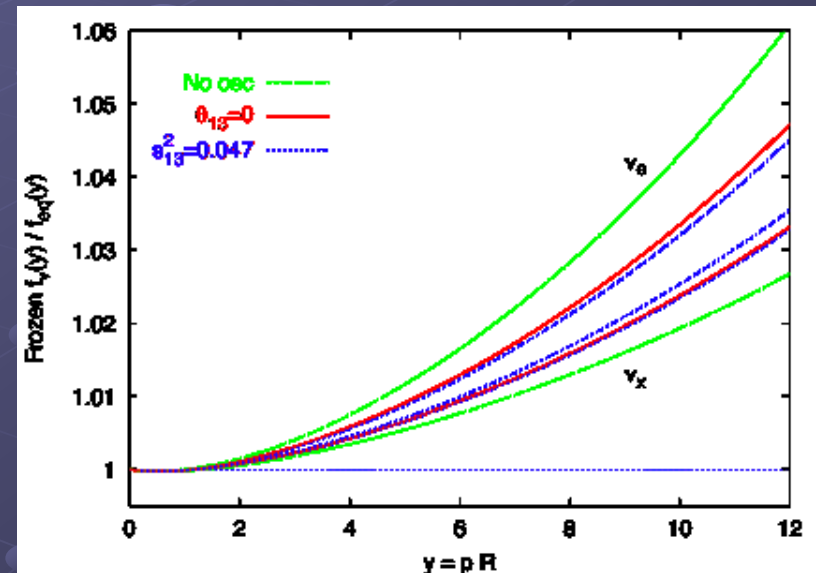
NPB729:221 (2005)

PLB534:8 (2002)

NPB590:539 (2000)

but revisited after S.Pastor et al  
PRL102:241302 (2009)

$z=T a$	$\delta\rho_e$	$\delta\rho_x$	$N_\nu^{\text{eff}}$
1.4	0.73%	0.52%	3.046



Neglecting  $\nu$ -asymmetries

$$N_\nu^{\text{eff}} = \left( \frac{z^0}{z^{\text{fin}}} \right)^4 \left( 3 + \frac{\delta\rho_{\nu_e}}{\rho_\nu^0} + 2\frac{\delta\rho_{\nu_x}}{\rho_\nu^0} \right) \simeq \left( 3 - 12\frac{\delta z}{z^0} + \frac{\delta\rho_{\nu_e}}{\rho_\nu^0} + 2\frac{\delta\rho_{\nu_x}}{\rho_\nu^0} \right)$$

Small effect on  ${}^4\text{He}$  mass fraction:  $\delta Y_p = 2 \cdot 10^{-4}$

# BBN accuracy II

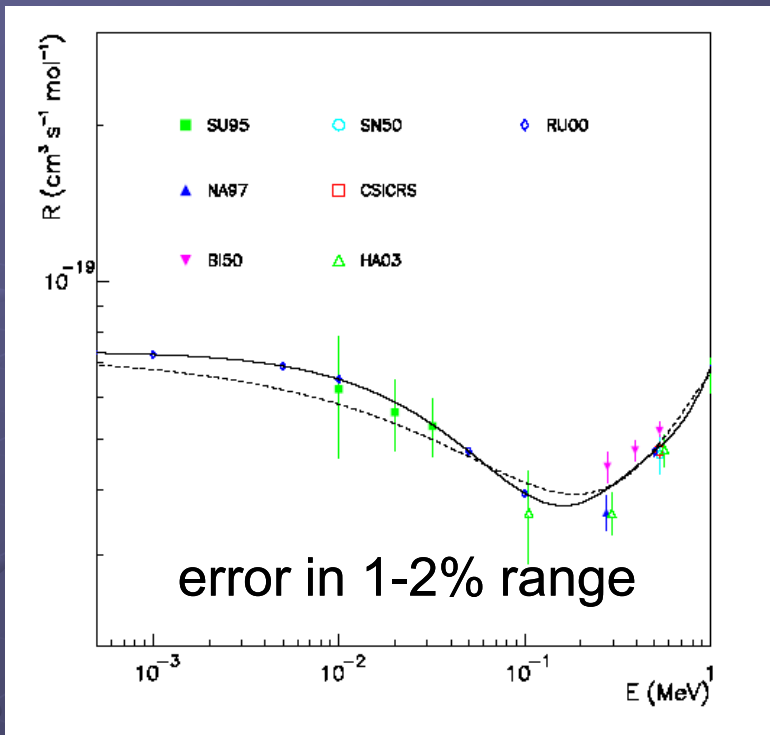
Nuclear rate benchmarks:

Caughlan and Fowler '88

Smith, Kawano and Malaney '93

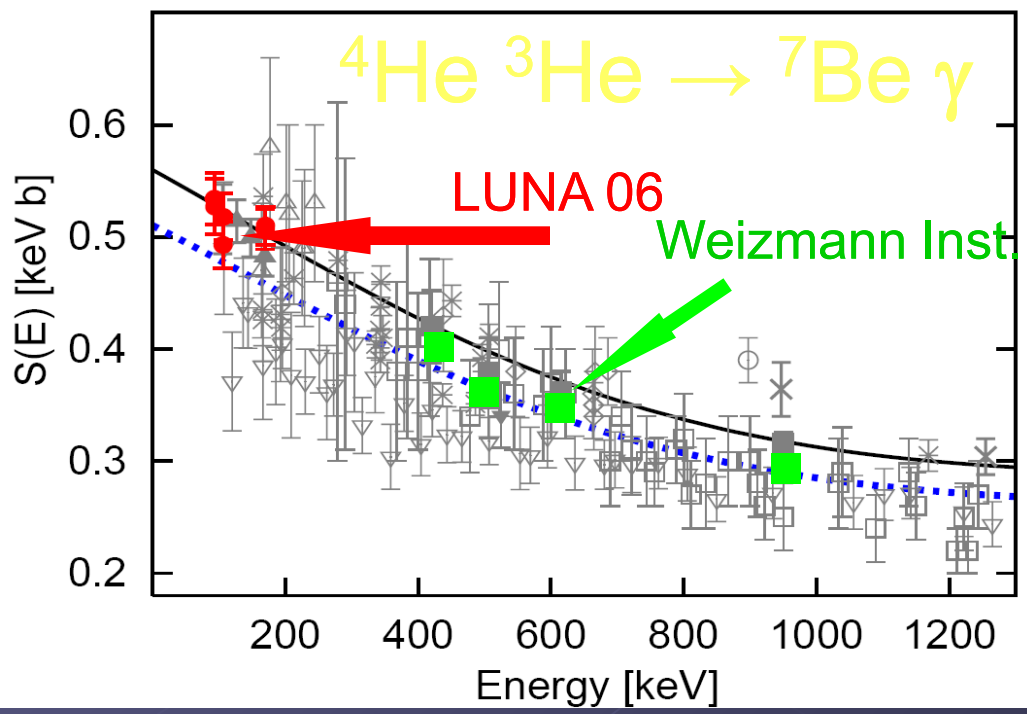
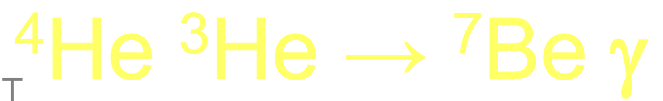
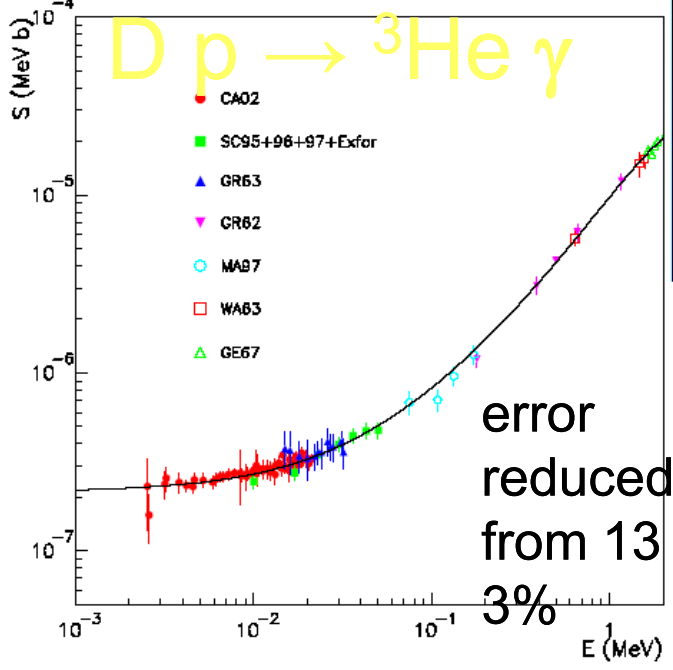
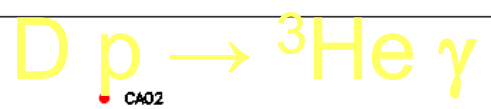
NACRE

Recent efforts: reanalysis of the whole network including recent experimental results (e.g. LUNA) and theoretical calculations (e.g.  $p + n \rightarrow D + \gamma$ ). Main improvements: underground measurements in low energy range (100 KeV)



Pionless effective field theory at N<sup>2</sup>LO and N<sup>4</sup>LO (Rupak)

results seem to worsen the <sup>7</sup>Li problem







Z \ N	0	1	2	3	4	5	6	7	8
0		n							
1	H	<sup>2</sup> H	<sup>3</sup> H						
2		<sup>3</sup> He	<sup>4</sup> He						
3				<sup>6</sup> Li	<sup>7</sup> Li	<sup>8</sup> Li			
4				<sup>7</sup> Be		<sup>9</sup> Be			
5				<sup>8</sup> B		<sup>10</sup> B	<sup>11</sup> B	<sup>12</sup> B	
6						<sup>11</sup> C	<sup>12</sup> C	<sup>13</sup> C	<sup>14</sup> C
7						<sup>12</sup> N	<sup>13</sup> N	<sup>14</sup> N	<sup>15</sup> N
8							<sup>14</sup> O	<sup>15</sup> O	<sup>16</sup> O

# ParthENOPE



Public Algorithm Evaluating Nucleosynthesis  
of Primordial Elements

Comput.Phys.Commun.178:95  
6,2008

## Nuclides considered

nuclide <i>i</i>	central value	$\sigma_{\omega_b}$	$\sigma_{ii}$	rate	$\delta\sigma^2/\sigma^2(\%)$
$Y_p$	0.2480	$\begin{smallmatrix} +0.0002 \\ -0.0003 \end{smallmatrix}$	$\pm 0.0002$	$R_0$	98.5
$^2\text{H}/\text{H} \times 10^5$	2.53	$\pm 0.11$	$\pm 0.04$	$R_2$	49
				$R_3$	37
				$R_4$	14
$^3\text{He}/\text{H} \times 10^5$	1.02	$\begin{smallmatrix} +0.01 \\ -0.02 \end{smallmatrix}$	$\pm 0.03$	$R_7$	80.7
				$R_2$	16.8
$^7\text{Li}/\text{H} \times 10^{10}$	4.7	$\pm 0.3$	$\pm 0.4$	$R_{14}$	40.9
				$R_8$	25.1
				$R_{15}$	16.2
				$R_7$	8.6
$^6\text{Li}/\text{H} \times 10^{14}$	1.1	$\pm 0.1$	$\begin{smallmatrix} +1.7 \\ -1.1 \end{smallmatrix}$	$R_{13}$	$\sim 100$

Symbol	Reaction	Symbol	Reaction
$R_0$	$\tau_n$	$R_8$	$^3\text{He}(\alpha, \gamma)^7\text{Be}$
$R_1$	$p(n, \gamma)d$	$R_9$	$^3\text{H}(\alpha, \gamma)^7\text{Li}$
$R_2$	$^2\text{H}(p, \gamma)^3\text{He}$	$R_{10}$	$^7\text{Be}(n, p)^7\text{Li}$
$R_3$	$^2\text{H}(d, n)^3\text{He}$	$R_{11}$	$^7\text{Li}(p, \alpha)^4\text{He}$
$R_4$	$^2\text{H}(d, p)^3\text{H}$	$R_{12}$	$^4\text{He}(d, \gamma)^6\text{Li}$
$R_5$	$^3\text{He}(n, p)^3\text{H}$	$R_{13}$	$^6\text{Li}(p, \alpha)^3\text{He}$
$R_6$	$^3\text{H}(d, n)^4\text{He}$	$R_{14}$	$^7\text{Be}(n, \alpha)^4\text{He}$
$R_7$	$^3\text{He}(d, p)^4\text{He}$	$R_{15}$	$^7\text{Be}(d, p)2\ ^4\text{He}$

<http://parthenope.na.infn.it/>

# ASTROPHYSICAL OBSERVATIONS

## Main problem

We cannot observe directly primordial abundances, since stars have changed the chemical composition of the universe

- 1) Observations in systems negligibly contaminated by stellar evolution;
- 2) Carefull account for galactic chemical evolution.



# Deuterium

The astrophysical environments which seem the most appropriate are the hydrogen-rich clouds absorbing the light of background QSO's at high redshifts.

To apply the method one must require:

(i) neutral hydrogen column density in the range  
 $17 < \log[N(\text{H}_I)/\text{cm}^{-2}] < 21$ ;

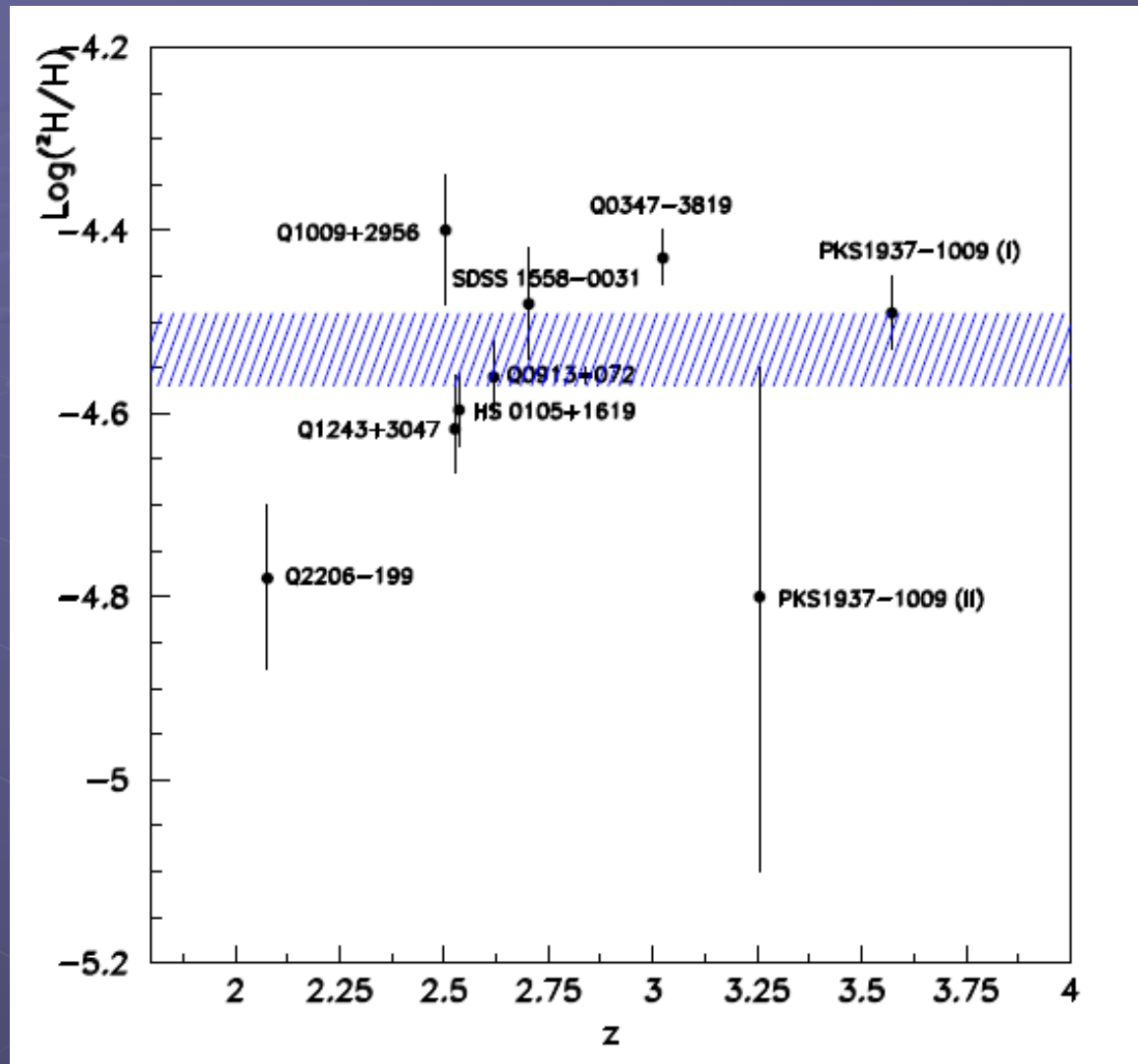
( $\text{H}_I$  regions are interstellar cloud made of neutral atomic hydrogen)

(ii) low metallicity  $[\text{M}/\text{H}]$  to reduce the chances of deuterium astration;

(iii) low internal velocity dispersion of the atoms of the clouds, allowing the isotope shift of only 81.6 km/s to be resolved.

**Only a few QASs pass the exam!**

Our determination  ${}^2\text{H}/\text{H} = (2.87^{+0.22}_{-0.21}) 10^{-5}$



# $^4\text{He}$

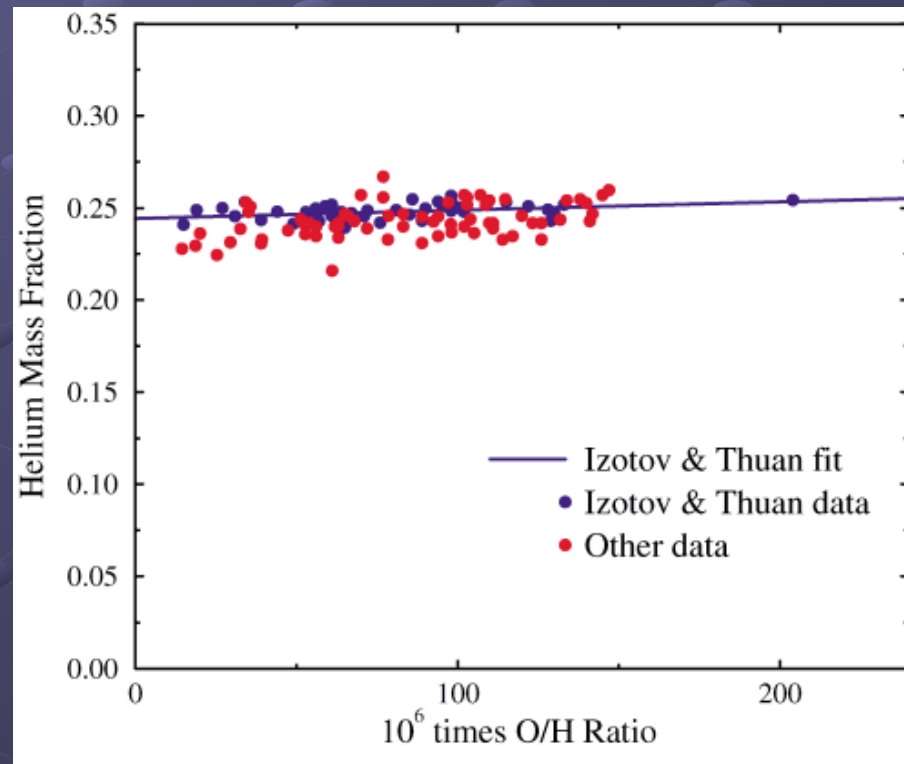
Nuclear stellar processes through successive generations of stars have burned hydrogen into  $^4\text{He}$  and heavier elements, hence increasing the primordial  $^4\text{He}$

Since the history of stellar processing is measured by *metallicity* ( $Z$ ) the primordial value of  $^4\text{He}$  mass fraction  $Y_p$  can be derived by extrapolating to  $\text{O}/\text{H}$  and  $\text{N}/\text{H} \rightarrow 0$

☐ Observation of ionized gas (HeII  $\star$  HeI recombination lines in HII regions) in Blue Compact Galaxies (BCGs) which are the least chemically evolved known galaxies

☐  $Y_p$  in different galaxies plotted as function of O and N abundances.

☐ Regression to “zero metallicity”



# Small statistical error but large systematics

Recent analyses:

Izotov & Thuan 2010

Aver, Olive & Skillmann 2010

Aver, Olive & Skillmann arXiv:1012.2385

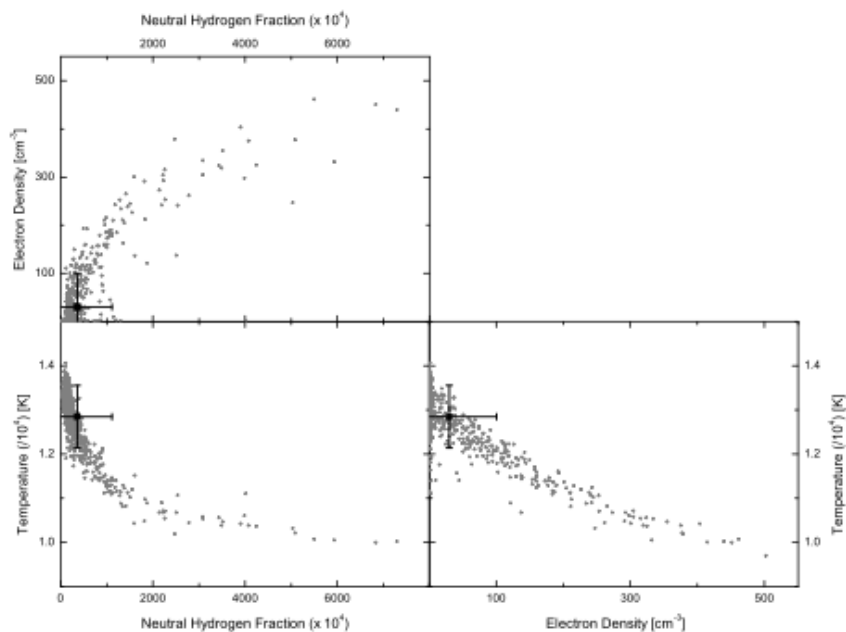


Fig. 10.— Monte Carlo plots (1000 points) of density, temperature, and neutral hydrogen fraction for NGC 346. The strong correlation between the three parameters demonstrates the difficulty in solving for them independently.

Main sources of systematics:

- i) interstellar reddening
- ii) temperature of clouds
- iii) electron density

Possible developments: using more H lines

All recent determinations are dominated by systematics.

- $Y_p = 0.250 \pm 0.003$  Iocco et al. PR472, 1 (2009)

- $Y_p = 0.2565 \pm 0.0010(\text{stat}) \pm 0.0050(\text{syst})$  Izotov & Thuan 2010

- $Y_p = 0.2561 \pm 0.0108$  Aver et al. 2010

- $Y_p = 0.2573 \pm 0.0033$  Aver et al. arXiv:1012.2385

CMB anisotropies are sensitive to the reionization history, and thus to fraction of baryons in the form of  $^4\text{He}$ .

Only a marginal detection of a non-zero  $Y_p$ , and even with PLANCK the uncertainty will be larger than the present systematic spread of the astrophysical determinations.

# Likelihood analysis

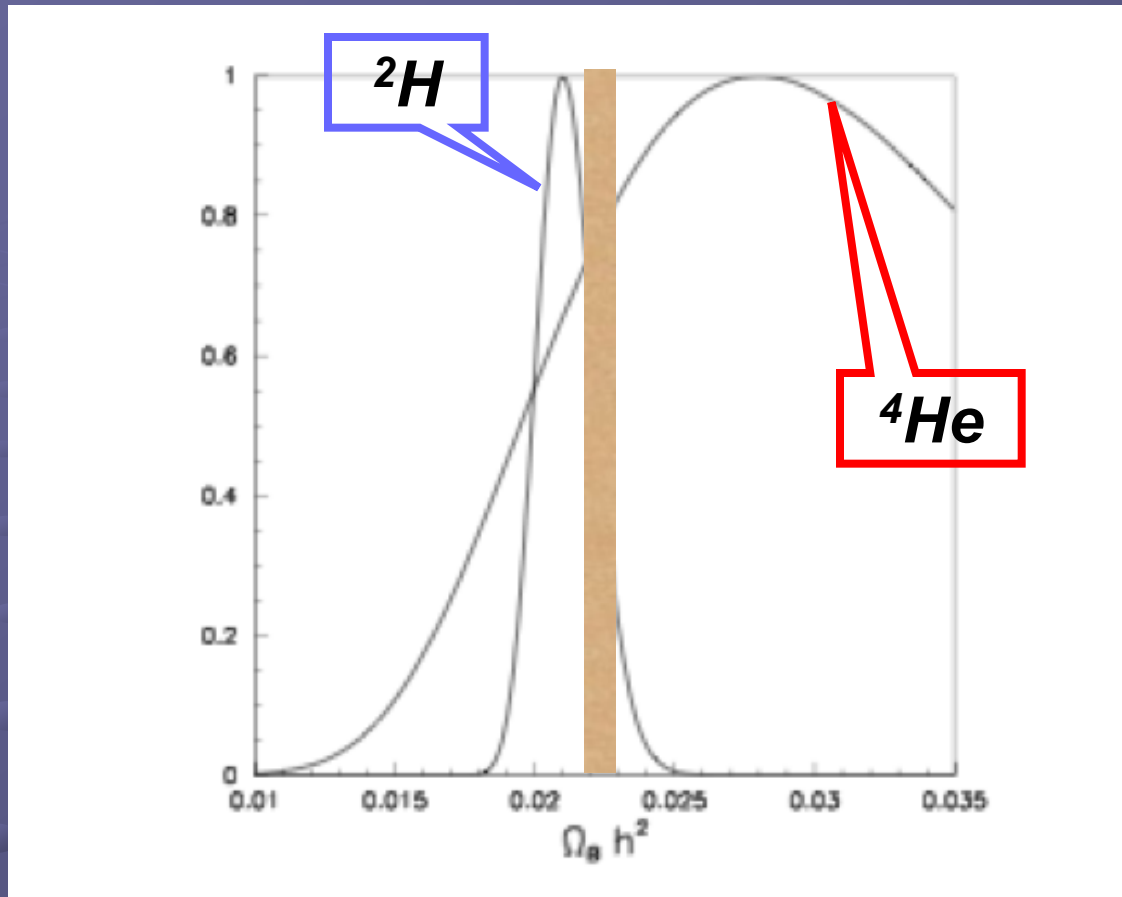
- Run a BBN code (PArthENoPE) to get  $Y_P(N_\nu, \eta)$ ,  $X_D(N_\nu, \eta)$
- Construct for each abundance the likelihood function:

$$L_i(N_\nu, \eta) = \frac{1}{2\pi\sigma_i^{th}(N_\nu, \eta)\sigma_i^{ex}} \int dx \exp\left(-\frac{(x - Y_i^{th}(N_\nu, \eta))^2}{2\sigma_i^{th}(N_\nu, \eta)^2}\right) \exp\left(-\frac{(x - Y_i^{ex})^2}{2\sigma_i^{ex\ 2}}\right)$$

$${}^2\text{H}/\text{H} = 2.87_{-0.21}^{+0.22} \times 10^{-5}, \quad Y_p = 0.247 \pm 0.002_{\text{stat}} \pm 0.004_{\text{syst}}$$

- Define a total likelihood function  $L=L_{4\text{He}} L_D$
- Plot the 68%, 95% and 99% cl contours in the  $(N_\nu, \eta)$  plane.





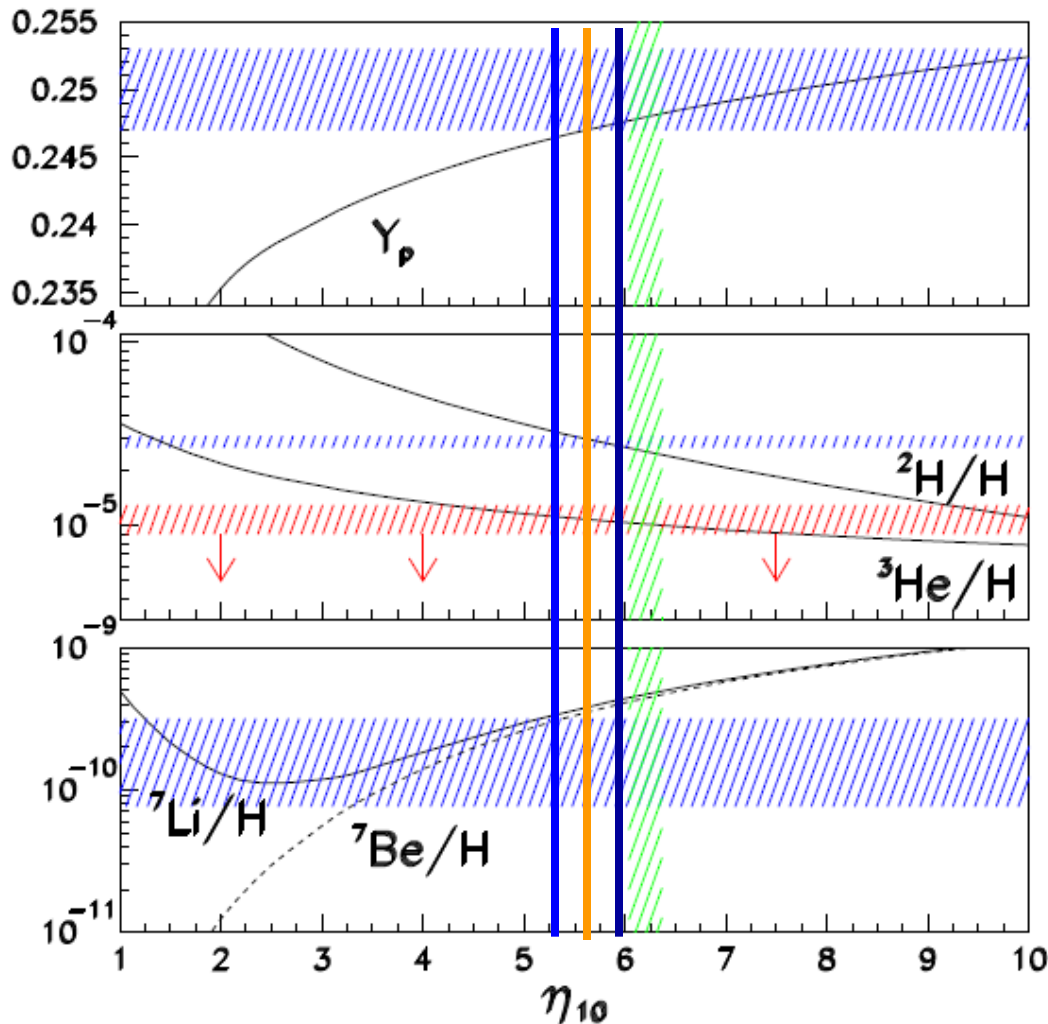
- Only  $^2\text{H}$   $\star \hat{\Omega}_B h^2 = 0.021 \pm 0.001$
- Only  $^4\text{He}$   $\star \hat{\Omega}_B h^2 = 0.028^{+0.011}_{-0.007}$
- WMAP 7-years  $\star \hat{\Omega}_B h^2 = 0.0226 \pm 0.0005$

Compatible results  
at order  $1.5 \sigma$

After marginalization

$N_\nu = 3.18^{+0.22}_{-0.21}$  (68% CL)      $2.8 \leq N_\nu \leq 3.6$  (95% CL)

$\Omega_B h^2 = 0.021 \pm 0.001$  (68% CL)



Using  $Y_p$  from IT10

$3.0 \leq N_\nu \leq 4.5$  (95% CL)

Due to the largest value of  $Y_p$

Again Tension in BBN?

# BBN and Neutrino Asymmetry: a leptometer

Large neutrino chemical potentials are not forbidden. They affect BBN!

- 1) chemical potentials contribute to  $N_\nu$  (if no extra d.o.f. and assuming T.E.)

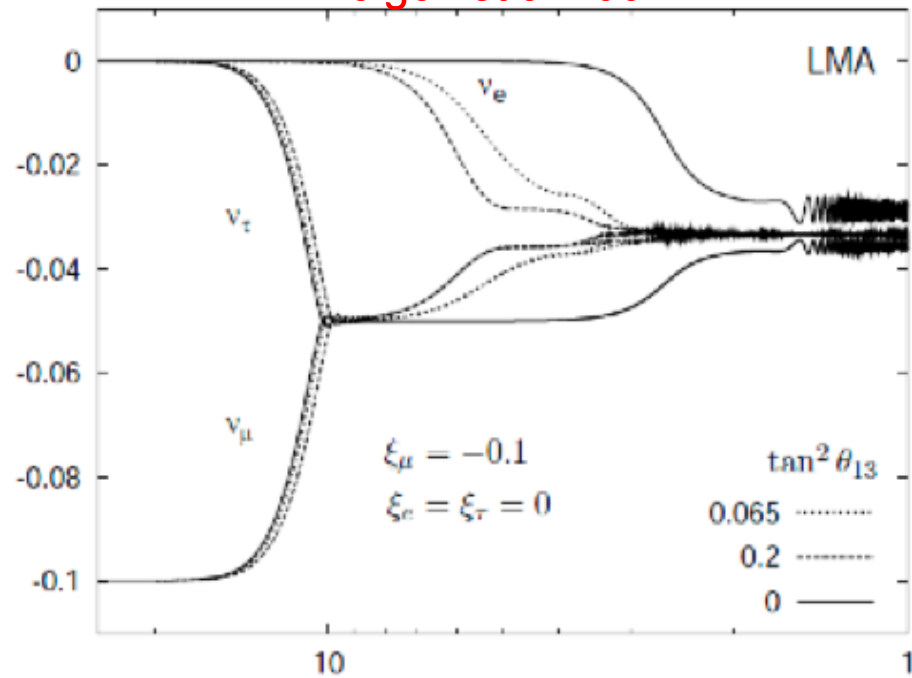
$$N_\nu = 3 + \sum_i \left( \frac{30 \xi_i^2}{7 \pi^2} + \frac{15 \xi_i^4}{7 \pi^4} \right)$$



- 2) a positive electron neutrino chemical potential  $\xi_e$  (more neutrinos than antineutrinos) favour  $n \rightarrow p$  with respect to  $p \rightarrow n$  processes.



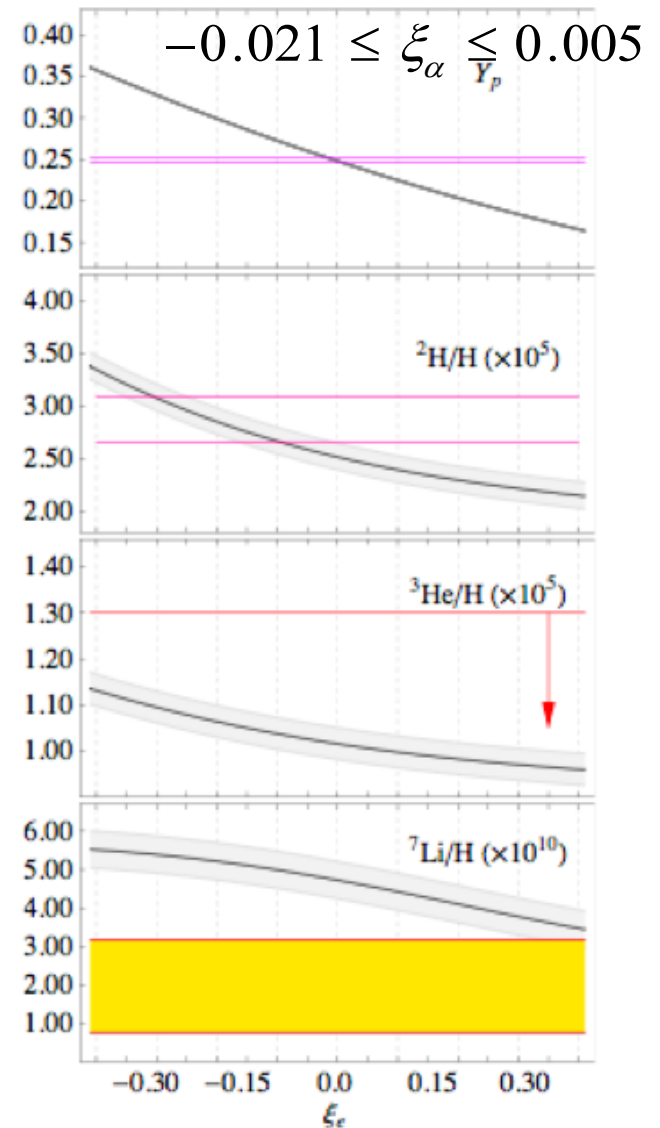
- 3) Neutrino oscillations mix  $\xi_e$ ,  $\xi_x$ . They equilibrate if  $\theta_{13}$  is not too small



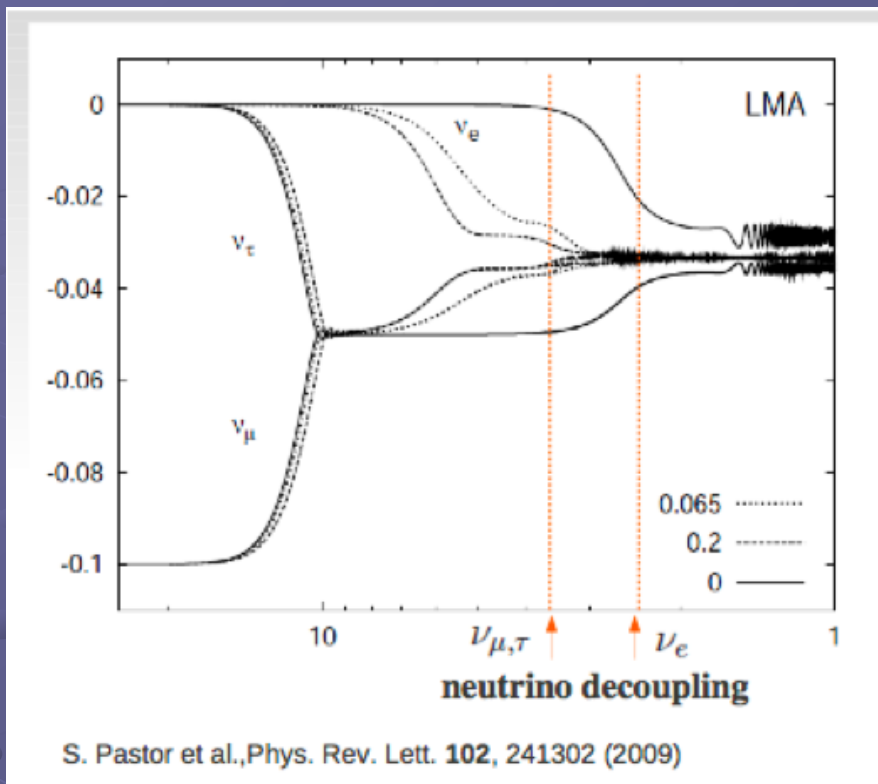
"We conclude that in the LMA region the neutrino flavors essentially **equilibrate long before n/p freeze out**, even when  $\theta_{13}$  is vanishingly small"

"...the BBN limit on the  $\nu_e$  degeneracy parameter,  $|\xi_e| < 0.07$ , now applies to all flavors."

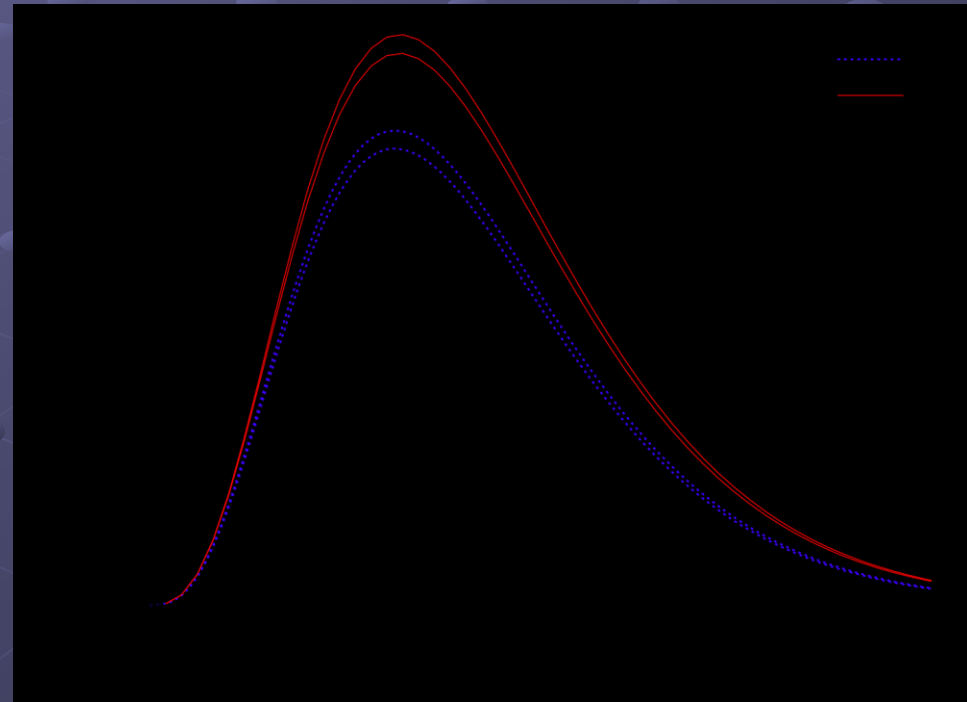
$$\Delta N_\nu = \sum_i \left( \frac{30 \xi_i^2}{7 \pi^2} + \frac{15 \xi_i^4}{7 \pi^4} \right) \leq 0.006$$



# However...



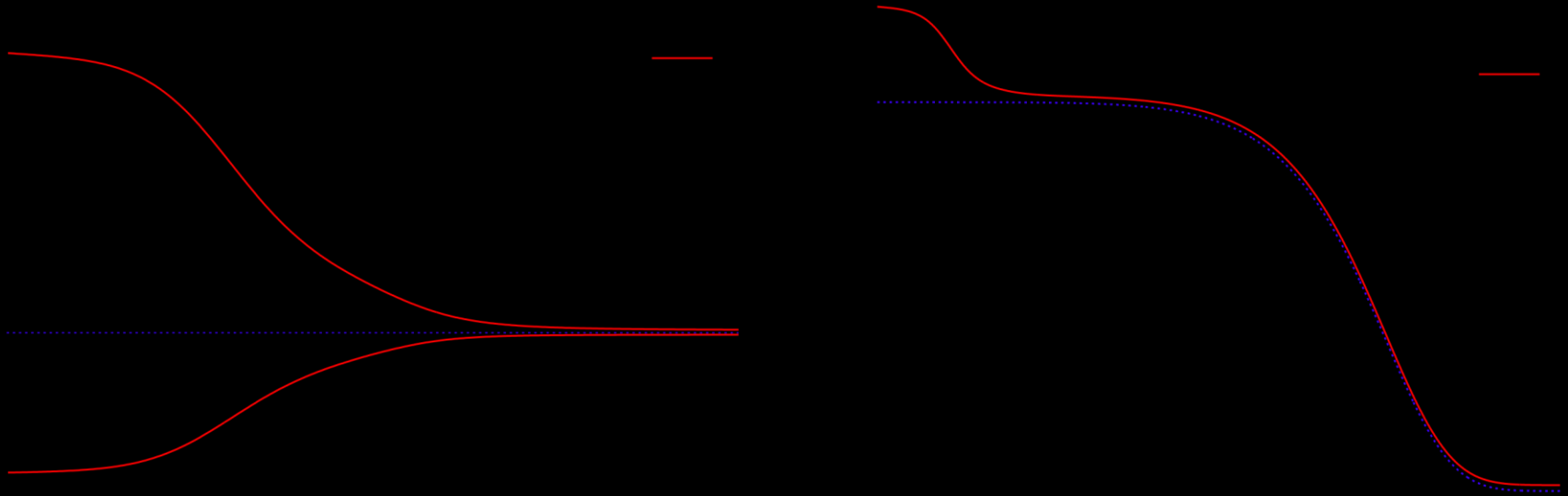
$\nu$  decouple from the thermal bath, and scatterings & pair processes may be inefficient to re-adjust their distribution. Not a perfect FD (in general)!



We must follow neutrino decoupling during BBN

G. Mangano, G. M., S. Pastor, Pisanti, S. Sarikas **JCAP 1103 (2011) 035**

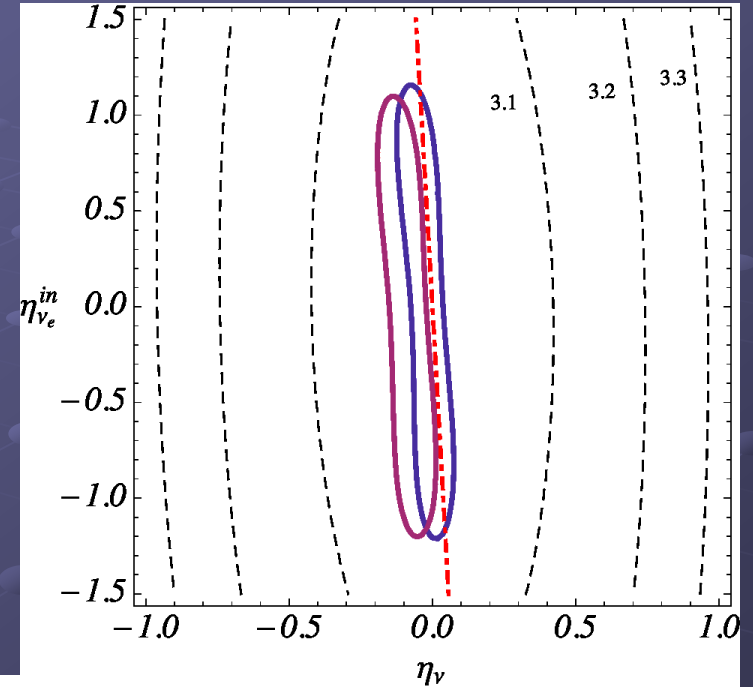
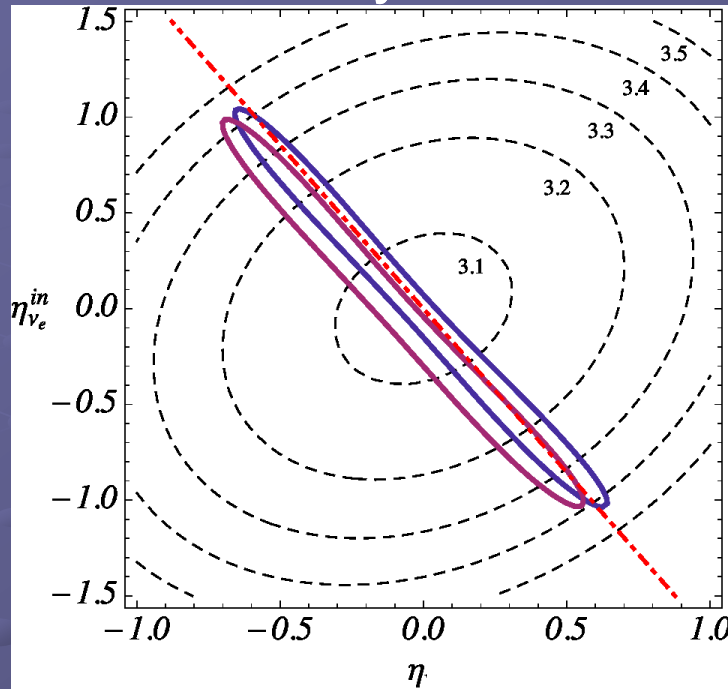
We evolve  $\rho_\nu$  starting from initial values of the total neutrino asymmetry  $\eta_\nu$  and  $\eta_{\nu e}$ . The oscillation parameters are fixed at the fitted values apart of  $\theta_{13}$ .



Case for an initial  $\eta_\nu = -0.41$ , and  $\eta_{\nu e}^{\text{in}} = 0.82$ . The total neutrino asymmetry is constant and equal to three times the value shown (blue dotted line).

Same initial asymmetries. The blue dotted line shows the case of vanishing asymmetries

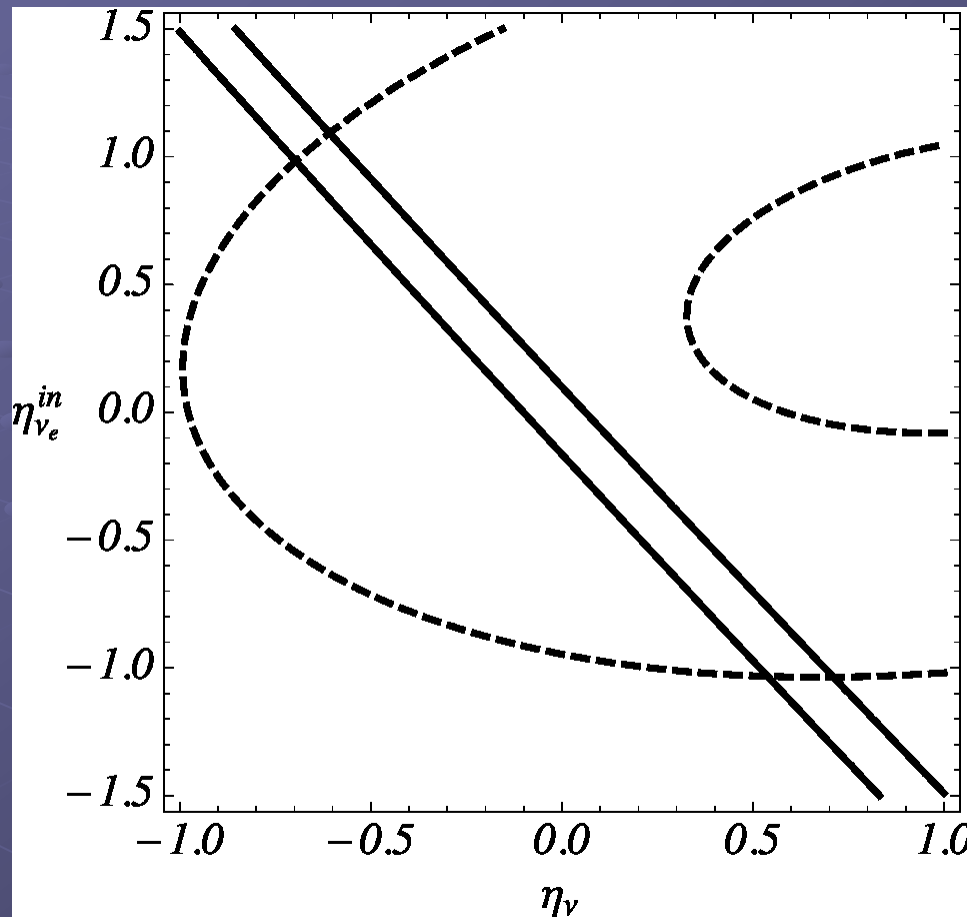
Spanning on the initial values of asymmetries and including the neutrino dynamics in PArthENoPE we can perform a likelihood analysis for  ${}^2\text{H}$  and  ${}^4\text{He}$



	$\theta_{13} = 0$	$\sin^2 \theta_{13} = 0.04$
$Y_p = 0.250 \pm 0.003$	$-0.66 < \eta_\nu < 0.63$	$-0.13 < \eta_\nu < 0.07$
$Y_p = 0.2573 \pm 0.0033$	$-0.71 < \eta_\nu < 0.56$	$-0.20 < \eta_\nu < 0.02$

The 95% C.L. contours from BBN analysis for  $\theta_{13} = 0$  (left) and  $\sin^2 \theta_{13} = 0.04$  (right). The two contours correspond to the different choices for the primordial  ${}^4\text{He}$  abundances. The (red) dot-dashed line is the set of values which evolve towards a vanishing final value of electron neutrino asymmetry. annihilation stage.

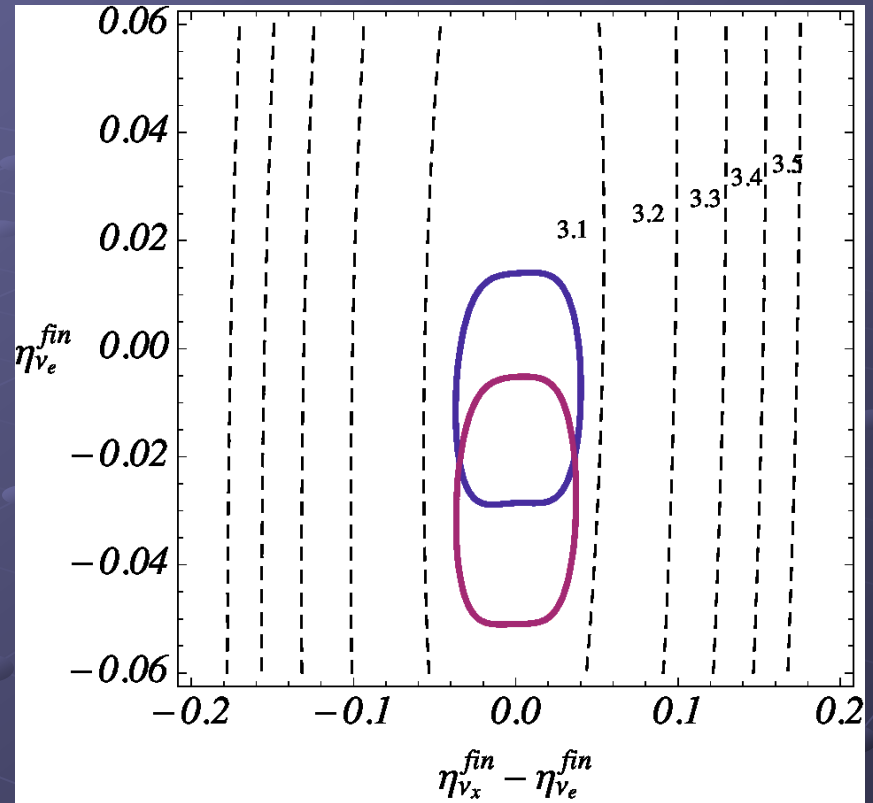
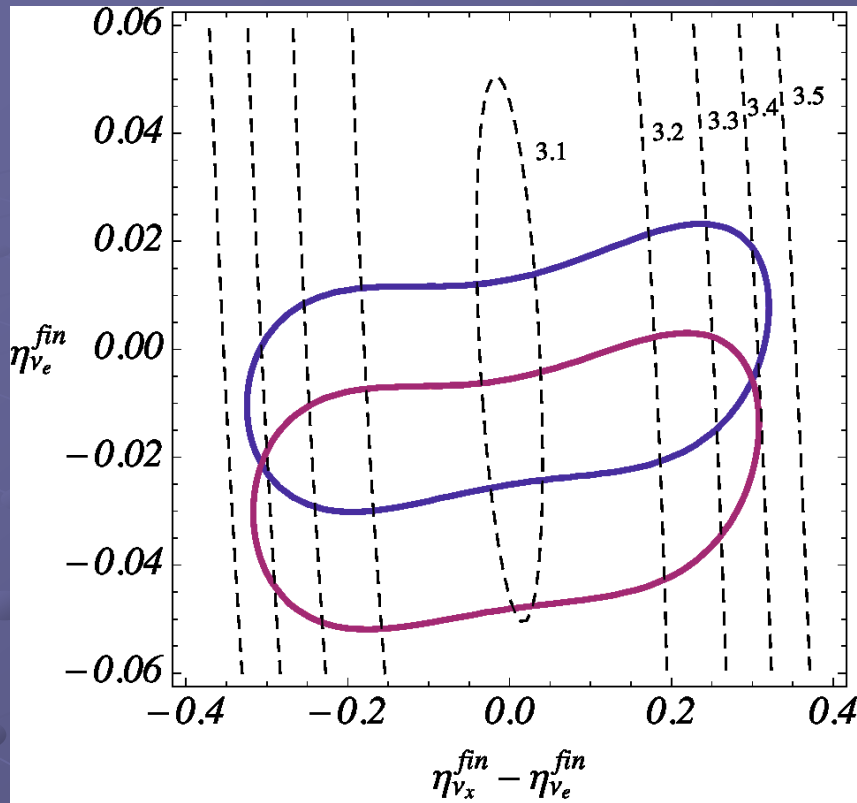
## Deuterium removes the degeneracy



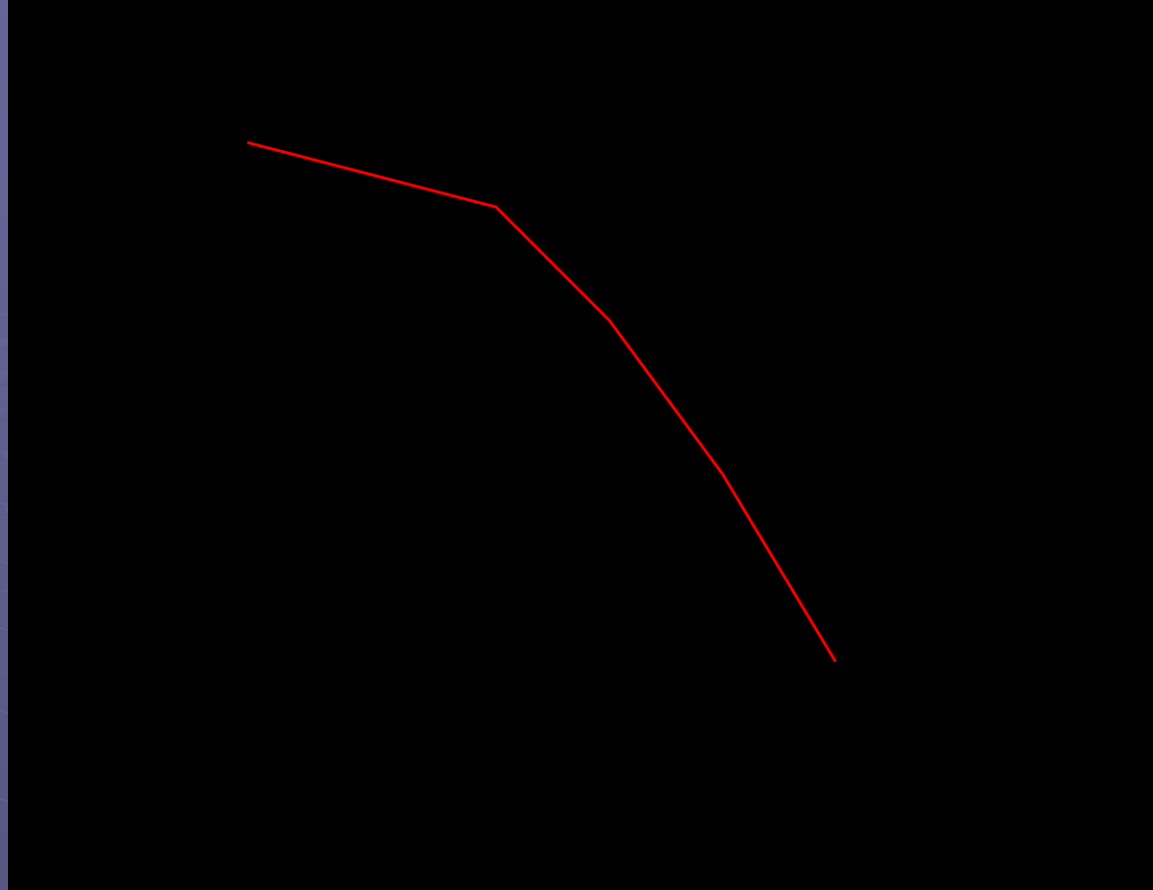
Areas between the lines correspond to 95% C.L. regions singled out by the  $^4\text{He}$  mass fraction (solid lines), and Deuterium (dashed lines)



The same but for final asymmetries: at the onset of BBN

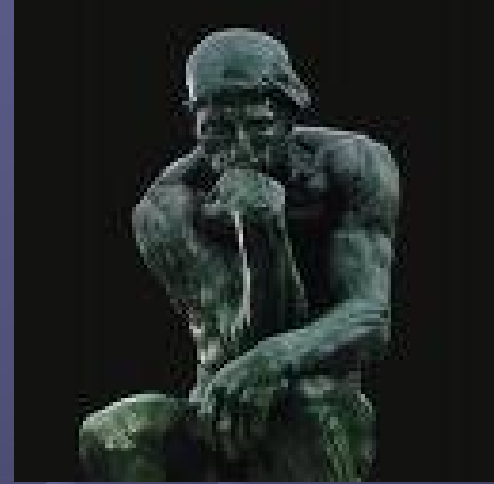


For small values of  $\theta_{13}$ ,  $N_{eff}$  can be as large as 3.4 still being compatible with BBN



- Planck satellite will reach a sensitivity for  $N_{\text{eff}}$  of the order of **0.4** at  $2\sigma$
- A detection of a  **$\Delta N_{\text{eff}} = 0.4 - 0.5$**  could imply a large degeneracy but only for almost vanishing  $\theta_{13}$ , but in this case a measurement of such angle larger than almost **0.03** would mean extra d.o.f.
- A detection of a  **$\Delta N_{\text{eff}} > 0.5$**  would mean in anycase extra d.o.f.
- We have a robust limit from BBN:  **$\Delta N_{\text{eff}} \leq 1.2$**  at  $2\sigma$  arXiv:1103:1261v1 [astro-ph.CO]

# Conclusions



- BBN theory quite accurate, at % level (or better) for main nuclides;
- Problem: systematics in  $^4\text{He}$  measurements, and Lithium still puzzling; new observational strategies !
- BBN + CMB (PLANCK): a tool to constrain new physics.
- Relevant the interplay with measurements in lab which could fix better the range for  $\theta_{13}$