

# Anisotropic scale-separated AdS flux vacua

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Based on

1. F. Farakos, M. Morittu and G. T, “On/off scale separation,” [2304.14372].
2. G. T “Anisotropic scale-separated  $\text{AdS}_4$  flux vacua,” [2309.16542].

# Introduction

# Motivation

- ▶ String theory comes with:
  - ▶ Extra dimensions (lives in 10d)
  - ▶ Supersymmetry
  - ▶ Moduli fields
- ▶ Effective perspective: We don't observe any of the above!
- ▶ The possibility of constructing effective theories and making the extra dimensions unobservable turns out to be a rare feature.
- ▶ We call this feature ***scale separation***

## Our scope

- ▶ We investigate further *classical* scale-separated effective theories.
- ▶ Exploit flux and scaling freedom to create anisotropic internal spaces.
- ▶ Test them against the distance conjecture.

# EFT from string theory

An elementary starting point is the construction of vacua.

$d$ -dimensional scalar field action:

$$S = \int d^d x \sqrt{-g} \left( \frac{1}{2} R - \frac{1}{2} g_{ij} \partial_\mu \phi^i \partial^\mu \phi^j + V(\phi) \right), \quad \Lambda = V(\phi_0)$$

- ▶ In our universe:  $d = 4$ ,  $\Lambda > 0$ .
- ▶ (quasi) de-Sitter from string theory?

U. H. Danielsson, T. Van Riet “What if string theory has no de Sitter vacua?,”  
[1804.01120]. ,

D. Andriot “Open problems on classical de Sitter solutions,” [1902.10093].

# Moduli stabilization

Compactification : Method for constructing an EFT at low energies

$$M_{10} = M_d \times X^{10-d}$$

where  $X^{10-d}$  the "internal" compact space.

Fields appear as **moduli**: do not have scalar potential

- ▶ We give them mass, stabilized at VEV
- ▶ Use **classical** ingredient, fluxes:

$$F_p^{\text{bg},i} = e^i \, dy^1 \wedge \cdots \wedge dy^p.$$

- ▶ String theory conditions: fluxes should be quantized, e.g.

$$e^i \sim \int_{\Sigma_4} F_4^{bg,i} = (2\pi\sqrt{\alpha'})^3 \times N, \quad \text{with} \quad N \in \mathbb{Z}$$

# Scale separation

Set the internal space coordinates to be periodical

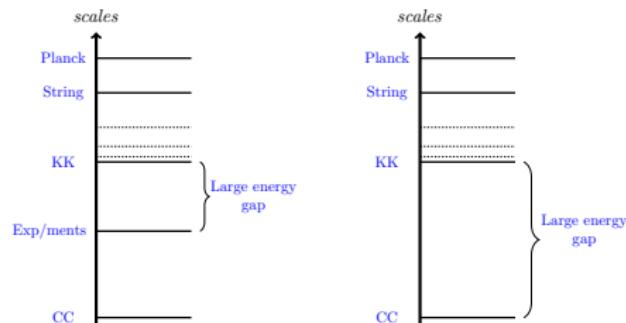
$$\Phi(x^\mu, y^m) = \Phi(x^\mu, y^m + 1)$$

E.g. for compactification on a circle with radius  $R$

$$\Phi^D(x^\mu, y) = \sum_n \phi_n^{D-1}(x^\mu) e^{\frac{iy n}{R}} \quad \rightarrow \quad \left( \partial_\mu \partial^\mu - \left( \frac{n}{R} \right)^2 \right) \phi_n^{D-1} = 0$$

- ▶ The *lower-dimensional* EFT is valid for energies much lower than the compactification scale.
- ▶ Qualitative condition to estimate whether there is a large energy gap between extra dimensional states and the vacuum energy of the EFT

$$\frac{\langle V \rangle}{m_{\text{KK}}^2} \equiv \frac{L_{\text{KK}}^2}{L_\Lambda^2} \ll 0,$$



# Classical regime

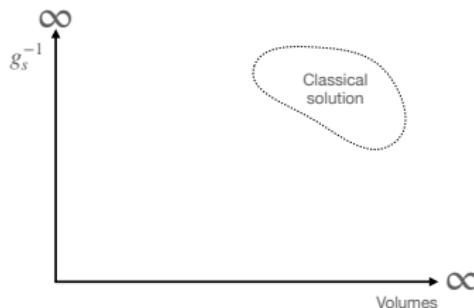
String theory solution can be described by 10d supergravities when we are at large volume and weak coupling.

- ▶ String coupling to be small

$$g_s = e^{\langle \phi \rangle} < 1 .$$

- ▶ Large radii : Much larger compared to string length

$$r_i \gg l_s .$$



*Image inspired by: Van Riet, Zaccorato [2305.01722]*

# Classical scale-separated AdS vacua

The 4d solution:  $AdS_4 \times X^6$  DeWolfe, Giryavets, Kachru, Taylor [0505160].

The 3d solution:  $AdS_3 \times X^7$  F.Farakos, Van Riet, G.T [2005.05246].

Common ingredients and features:

- ▶ Originate from **massive type IIA** supergravity with **smeared** O6 planes.
- ▶ Both give  $\mathcal{N} = 1$  4d and 3d supergravity respectively.
- ▶ Both contain an unbounded flux  $N \rightarrow \infty$
- ▶ For  $N \rightarrow \infty$  they exhibit scale separation and classical solution

Other attempts: Tsimpis [1206.5900], Petrini, Solard, Van Riet [1308.1265],

D.Lüst, Tsimpis [2004.07582], Marchesano, Quirant [1908.11386], Cribiori, Junghans, Van Hemelryck, Van Riet, Wrase [2107.00019], Van Hemelryck [2207.14311], Carrasco, Coudarchet, Marchesano, Prieto [2309.00043].

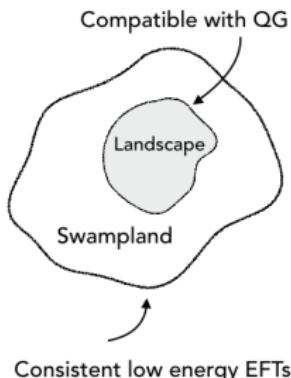
Why AdS?

- ▶ Is it possible to find solutions with such characteristics from string theory?
- ▶ SUSY AdS are well controlled : Starting point for dS constructions (e.g. KKLT).



# Swampland conjectures

Effective field theory space:



- ▶ Conjectures disfavor the existence of such constructions, e.g., Gautason, Van Hemelryck, Van Riet [1810.08518], D. Lüst, Palti, Vafa [1906.05225]
- ▶ We will test the distance conjecture Ooguri, Vafa [0605264].

*"Infinite tower of states become exponentially light at large field distances  $\Delta$ "*

$$m_f(\phi_f) \sim m_i(\phi_i) e^{-\gamma \Delta(\phi_i, \phi_f)}, \quad \gamma \sim \mathcal{O}(1).$$

# AdS flux vacua from type IIA

# Massive type IIA supergravity

The bosonic type IIA action ( $p=0,2,4,6$ ) in the string frame

$$S_{\text{IIA}} = \frac{1}{2\kappa_{10}^2} \int d^{10}X \sqrt{-G} \left[ e^{-2\phi} \left( R_{10} + 4\partial_M \phi \partial^M \phi - \frac{1}{2} |H_3|^2 \right) - \frac{1}{2} \sum_p |F_p|^2 \right]$$

**O<sub>p</sub>-planes** : Span  $p+1$  dimensions of the 10d space and wrap internal cycles

$$S_{O_p} = -\mu_{O_p} \int d^{p+1}\xi e^{-\phi} \sqrt{-\det(g_{p+1})} + \mu_{O_p} \int C_{p+1},$$

Relevant Bianchi identity:

$$dF_p = H_3 \wedge F_{p-2} + \mu_{O(8-p)} j_{p+1} \xrightarrow{\int_{\Sigma_{p+1}}} h_3 f_{p-2} \sim -\mu_{O(8-p)}$$

Cancel the tadpole properly but also leave the flux of  $F_4$  unconstrained.

# From G2-manifold to Toroidal orbifold

G2-manifolds are characterized by the **three-form**

$$\Phi = e^{127} - e^{347} - e^{567} + e^{136} - e^{235} + e^{145} + e^{246},$$

We choose the internal space  $X_7$  to be a **seven-torus** with the orbifold  $\Gamma$ :

$$X_7 = \frac{T^7}{\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2}$$

with specific  $\mathbb{Z}_2$  involutions, see [Joyce J.Diff Geom. 43.](#)

$$\Theta_\alpha : y^m \rightarrow (-y^1, -y^2, -y^3, -y^4, y^5, y^6, y^7),$$

$$\Theta_\beta : y^m \rightarrow (-y^1, -y^2, y^3, y^4, -y^5, -y^6, y^7),$$

$$\Theta_\gamma : y^m \rightarrow (-y^1, y^2, -y^3, y^4, -y^5, y^6, -y^7),$$

The vielbein of the torus  $e^m = r^m dy^m$

$$\Phi = s^i(x) \Phi_i, \quad \Phi_i = (dy^{127}, -dy^{347}, -dy^{567}, dy^{136}, -dy^{235}, dy^{145}, dy^{246}),$$

where the  $s^i$  are the **metric moduli** related to the seven-torus **radii**  $r^m$

$$e^{127} = s^1 \Phi_1 \rightarrow s^1 = r^1 r^2 r^7, \text{ etc.}$$

# Orientifolds

Target space involutions for the sources (fixed points)

$$\sigma_{O2} : y^m \rightarrow -y^m, \quad \sigma_{O6_i} : \sigma_{O2}\Gamma.$$

In total we have 7 different directions for O6-planes

	$y^1$	$y^2$	$y^3$	$y^4$	$y^5$	$y^6$	$y^7$
$O6_\alpha$	$\otimes$	$\otimes$	$\otimes$	$\otimes$	-	-	-
$O6_\beta$	$\otimes$	$\otimes$	-	-	$\otimes$	$\otimes$	-
$O6_\gamma$	$\otimes$	-	$\otimes$	-	$\otimes$	-	$\otimes$
$O6_{\alpha\beta}$	-	-	$\otimes$	$\otimes$	$\otimes$	$\otimes$	-
$O6_{\beta\gamma}$	-	$\otimes$	$\otimes$	-	-	$\otimes$	$\otimes$
$O6_{\gamma\alpha}$	-	$\otimes$	-	$\otimes$	$\otimes$	-	$\otimes$
$O6_{\alpha\beta\gamma}$	$\otimes$	-	-	$\otimes$	-	$\otimes$	$\otimes$

**Table:** Localized positions "-" and warped directions  $\otimes$  in the internal space.

We get 3d N=1 minimal effective supergravity :

Type IIA supercharges : 32  $\xrightarrow{\Gamma \text{ orbifold}}$  4  $\xrightarrow{\text{O2-plane}}$  2 real

# The 3d effective theory

The 3d bosonic effective action has the form

$$e^{-1}\mathcal{L} = \frac{1}{2}R_3 - \frac{1}{4}(\partial x)^2 - \frac{1}{4}(\partial y)^2 - \frac{1 + \delta_{ij}}{4\tilde{s}^i\tilde{s}^j}\partial\tilde{s}^i\partial\tilde{s}^j - V(x, y, \tilde{s}^i)$$

The scalar potential in 3d supergravity is given by

$$V(x, y, \tilde{s}^i) = G^{IJ}\partial_I P\partial_J P - 4P^2$$

For Kähler see: Beasley, Witten [0203061]

We find superpotential  $P$  which gives the 3d effective potential:

$$P = \frac{e^y}{8} \left[ e^{\frac{x}{\sqrt{7}}} \int \tilde{\star}\Phi \wedge H_3 \text{vol}(\tilde{X}_7)^{-\frac{4}{7}} + e^{-\frac{x}{\sqrt{7}}} \int \Phi \wedge F_4 \text{vol}(\tilde{X}_7)^{-\frac{3}{7}} \right] + \frac{F_0}{8} e^{\frac{1}{2}y - \frac{\sqrt{7}}{2}x}$$

The fluxes  $H_3$  and  $F_4$  are expanded on the  $\Phi_i$  and  $\Psi_i$  basis

$$H_3 = \sum_{i=1}^7 \textcolor{red}{h}^i \Phi_i, \quad F_4 = \sum_{i=1}^7 \textcolor{red}{f}^i \Psi_i, \quad i = 1, \dots, 7.$$

# Tadpole cancellation – Flux ansatz

The relevant tadpole:

$$0 = \int_7 (F_{4,q} + F_{4,f}) \wedge H_3 + \int_7 \left( N_{O2} \mu_{O2} + \underbrace{N_{D2}}_{2^4} \mu_{D2} \right) j_7$$

We will use the following flux ansatz:

Flux	anisotropic ansatz
$h_3^i$	$h(1, 1, 1, 1, 1, 1, 0)$
$f_{4,q}^i$	$q(0, 0, 0, 0, 0, 0, -1)$
$f_{4,f}^i$	$f(-1, -1, -1, -1, -1, +5, 0)$

For isotropic ansatz, see: F.Farakos, Van Riet, G.T [2005.05246].

- ▶ The tadpole is canceled while the fluxes " $f$ " and " $q$ " remain unconstrained:

$$\int_7 H_3 \wedge F_{4,q} = 0 \times (-q) = 0, \quad \int_7 H_3 \wedge F_{4,f} = -5hf + 5hf = 0.$$

# Scaling of the fluxes – Detailed balance

Equations of motion *naively* have the form:

$$(\text{flux}_1) \times (\text{radii}_1) \times (\text{radii}_2) - (\text{flux}_2) \times (\text{radii}_2) \times (\text{radii}_3) + \cdots = 0$$

see also Petrini, Solard, Van Riet [1308.1265]

**Method:** Assume the fluxes and the fields having the following scaling:

$$\textcolor{red}{f} \sim N, \quad \textcolor{red}{q} \sim N^Q, \quad e^y \sim N^Y, \quad e^x \sim N^X, \quad \tilde{s}^a \sim N^S.$$

Their scaling becomes:

$$Y = -\frac{9}{2} - 7S, \quad X = \frac{\sqrt{7}}{2}(1 + 2S), \quad Q = 1 + 7S.$$

We have created anisotropic scaling to  $T^7$  radii :

$$\{r_i^2\}_{i=1,3,5,7} \sim N^{\frac{7+11S}{8}} \times N^{+3S},$$

$$\{r_i^2\}_{i=2,4,6} \sim N^{\frac{7+11S}{8}} \times N^{-2S},$$

# Classical regime and scale separation

**Large radii:**  $r_i^2 \gg 1 \rightarrow -\frac{1}{5} < S < \frac{1}{3}$

**Weak coupling:**  $g_s = e^\phi \sim N^{-\frac{3+7S}{4}} < 0 \rightarrow S > -\frac{3}{7}$ .

**Scale separation:**  $\{r_i\}_{i=1,3,5,7} : \frac{L_{\text{KK},i}^2}{L_\Lambda^2} \sim N^{-1}$   
 $\{r_i\}_{i=2,4,6} : \frac{L_{\text{KK},i}^2}{L_\Lambda^2} \sim N^{-1-7S}$ ,

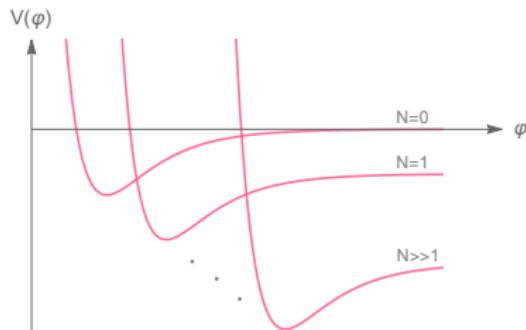
"on-off"

- ▶ Large volume, Weak coupling, **Scale separation** :  $S = 0$
- ▶ Large volume, Weak coupling, **broken-Scale separation** :  $-\frac{1}{5} < S \leq -\frac{1}{7}$

# Landscape of 4d vacua

The effective 4d scalar potential scales in the following way

$$\langle V \rangle = -4P^2 \sim N^{-4-7S}$$



For different values of  $N$  we get a landscape of disconnected vacua.

# Moduli stabilization

The supersymmetric equations reduce to the following system:

$$\frac{\partial P}{\partial x} = \frac{\partial P}{\partial y} = \frac{\partial P}{\partial \tilde{s}^a} = 0 \quad \Rightarrow \quad \begin{cases} 0 = \textcolor{red}{c} - a\sigma^5 + 5\sigma^5\tau^7, \\ 0 = \textcolor{red}{c} - a\sigma^4\tau - \sigma^6\tau, \\ 0 = -3b + 2a\left(\frac{5}{\sigma} + \frac{1}{\tau}\right), \\ 0 = \frac{b}{2} + a\left(\frac{5}{\sigma} + \frac{1}{\tau}\right) + \left(-5\sigma + 5\tau - \frac{\textcolor{red}{c}}{\sigma^5\tau}\right). \end{cases}$$

where  $\textcolor{red}{c} = \frac{q}{f}$ .

the system is solved for  $a = \frac{h}{f} e^{\frac{2x}{\sqrt{7}}}$ ,  $b = \frac{m_0}{f} e^{-\frac{y}{2} - \frac{5x}{2\sqrt{7}}}$ .

$\textcolor{red}{c}$	$a$	$b$	$\langle \tilde{s}^a \rangle = \sigma$	$\langle \tilde{s}^6 \rangle = \tau$
$10^{-1}$	0.298843	2.44476	0.884523	0.151095
$10^{-3}$	0.0801704	1.26626	0.458136	0.078259
$10^{-6}$	0.0111396	0.472009	0.170775	0.0291718
$10^{-9}$	0.00154785	0.175946	0.0636578	0.0108741

# Interpolation between vacua

# Methodology

- ▶ We have constructed a landscape of (non)-scale-separated vacua.
- ▶ To interpolate between vacua : Introduce a space-filling probe D4-brane  
[G. Shiu, F. Tonioni, V. Van Hemelryck, T. Van Riet \[2212.06169\]](#).
- ▶ The D4-brane is codimension-one in the six directions transverse to  $\Psi_7$  filled with flux  $q \sim N^Q$ .

	t	x	z	$y^2$	$y^4$	$y^6$	$y^1$	$y^3$	$y^5$	$y^7$
D4	$\otimes$	$\otimes$	$\otimes$	$\circledast$	$\circledast$	-	-	-	-	-
$\Phi_7$	-	-	-	$\otimes$	$\otimes$	$\otimes$	-	-	-	-
$F_{4,q} \sim q\Psi_7$	-	-	-	-	-	-	$\otimes$	$\otimes$	$\otimes$	$\otimes$

**Table:** A D4-brane fills the  $\text{AdS}_3$  and wraps 2-cycles inside the 3-cycle  $\Phi_7$ .

$$dF_{4,q} = Q_{\text{D4}}\delta(\psi - \psi_0)d\psi \wedge \Psi_7 \rightarrow F_{4,q} \sim \left( N^Q + \theta(\psi - \psi_0) \Big|_{\psi_1 < \psi_0}^{\psi_2 > \psi_0} \right) \Psi_7$$

The D4-brane induces a change to the flux  $F_{4,q} \sim N^Q$  flux on either side of the brane.

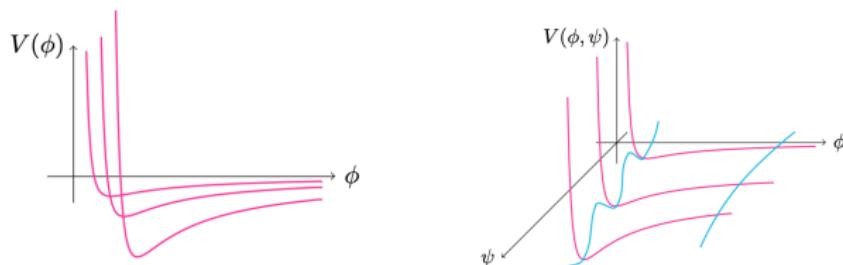
# Open string modulus

Let  $\psi$  depend on the external coordinates:

$$ds_{D4}^2 = \left( e^{2\alpha v} g_{\mu\nu}^{(3)} + s_7^{\frac{2}{3}} R^2 \partial_\mu \psi \partial_\nu \psi \right) dx^\mu dx^\nu + s_7^{\frac{2}{3}} (dR^2 + R^2 (\sin \psi)^2 d\omega^2)$$

The field metric and potential for  $\psi$  are found to be:

$$g_{\psi\psi} = 2ck \tilde{s}_7^{\frac{4}{3}} e^{\frac{\phi}{4} - 3\alpha v} \sin \psi, \quad V(\psi) \supset \frac{\mu_{D4}}{8} e^{\frac{\phi}{4} - 21\beta v} s_7^{2/3} \sin \psi$$



Scalar potentials with discrete choice of fluxes are connected through  $\psi$  direction.

see also, Shiu, Tonioni, Van Hemelryck, Van Riet [2311.10828]

# Measuring the distance

Geodesic distance:

$$\Delta = \int_{\xi=0}^{\xi=1} d\xi \sqrt{g_{AB} \frac{d\phi^A}{ds} \frac{d\phi^B}{ds}}$$

The scalar field space metric components: metric moduli, universal moduli and the new modulus.

- ▶  $\xi = 0$  : non-scale-separated regime  $S \leq -1/7$
- ▶  $\xi = 1$  : scale-separated regime  $S = 0$  , i.e.  $F_{4,f} = F_{4,q}$

After redefinitions we identify a  $\mathbb{H}^2 \times \mathbb{R}^3$  space:

$$\Delta \sim \int_0^1 d\xi \sqrt{\frac{1}{h_2^2} \left[ \left( \frac{dh_1}{d\xi} \right)^2 + \left( \frac{dh_2}{d\xi} \right)^2 \right] + \left( \frac{du_2}{d\xi} \right)^2 + \left( \frac{du_3}{d\xi} \right)^2 + \left( \frac{du_4}{d\xi} \right)^2}$$

We measure the distance parameter to be (for  $N \sim 10^5$ )

$$m_{KK}(\xi = 1) \sim m_{KK}(\xi = 0) e^{-\gamma \Delta} \quad \rightarrow \quad \gamma \sim 0.13$$

# Conclusion

# Conclusion slide

- ▶ We discussed AdS SUSY vacua with moduli stabilization and flux quantization.
- ▶ We exploited the flux and scaling freedom and canceled the tadpoles and created **anisotropy** to the scaling of the internal space.
- ▶ We constructed new vacua with scale separation and broken scale separation while remaining in the supergravity regime in the 3d case.
- ▶ The anisotropic 4d cannot support this feature: scale separation breaks always outside the classical regime.
- ▶ Introduced a D4 to interpolate between those vacua and verified the distance conjecture. The anisotropic cases exhibit better agreement with the distance conjecture compared to the isotropic ones.

Thank you!

# Appendix – Backup slides

# Smearing approximation

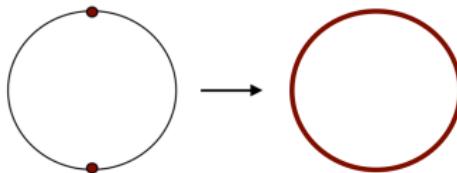
## Smearing approximation

- ▶ Replace the singular density function with a regular one

$$\delta_{9-p} \rightarrow j_{9-p}$$

- ▶ Local sources are distributed globally all over the cycles

*Image: Tomasiello's talk*



- ▶ Slowly varying dilaton and warp factor, harmonic cycles, Ricci flat internal space

$$\phi(y) \approx \phi, \quad w(y) \approx w, \quad dF_p = d \star F_p = 0, \quad R_{mn} = 0$$

- ▶ Fields ignore local backreaction : Not exact field profile.
- ▶ Simplifies the equations of motion and the potential

# Anisotropic $\text{AdS}_4$ flux vacua

# AdS<sub>4</sub> with scale-separation

Massive type IIA supergravity on the singular Calabi-Yau limit

$$X^6 = \frac{T^6}{\mathbb{Z}_3 \times \mathbb{Z}_3}$$

DeWolfe, Giryavets, Kachru, Taylor [0505160].

- ▶ Internal space metric ansatz

$$ds_6^2 = \sum_{i=1}^3 v_i(x) \left( (dy^{2i-1})^2 + (dy^{2i})^2 \right)$$

- ▶ Fluxes

$$F_4 = e_i \tilde{w}^i, \quad H_3 = -p\beta_0, \quad F_0 = m_0.$$

Relevant Bianchi identity and equations of motions :

$$0 = H_3 \wedge F_0 + \mu_{06} \sum j_{\beta_i} \xrightarrow{\int_{\Sigma_3}} p m_0 = \pm \{1, 2\}$$
$$0 = H_3 \wedge \star_6 F_4 \quad \rightarrow \quad \text{5-form in CY}.$$

The flux  $N$  of  $F_4$  is unconstrained!

# Scalings

Quantities expressed in terms of the  $F_4$  scalings:

$$F_4^{(1)} \sim N^{f_1}, \quad F_4^{(2)} \sim N^{f_2}, \quad F_4^{(3)} \sim N^{f_3}.$$

- ▶ String coupling

$$e^\phi \sim N^{-\frac{1}{4}(f_1+f_2+f_3)}.$$

- ▶ Subvolumes

$$v_1 \sim N^{\frac{1}{2}(-f_1+f_2+f_3)}, \quad v_2 \sim N^{\frac{1}{2}(f_1-f_2+f_3)}, \quad v_3 \sim N^{\frac{1}{2}(f_1+f_2-f_3)}.$$

- ▶ Separation of scales?

$$\frac{L_{\text{KK}_i}^2}{L_{\text{AdS}}^2} \sim N^{-f_i}, \quad i = 1, 2, 3.$$

# New flux solutions

1. Scale separation, weak coupling & large volume

$$v_i \gg 1, \quad \text{vol} \gg 1, \quad e^\phi < 1, \quad \frac{L_{\text{KK}_i}^2}{L_{\text{AdS}}^2} \ll 1,$$

as long as

$$f_1 > 0, \quad 0 < f_2 \leq f_1, \quad f_1 - f_2 < f_3 < f_1 + f_2.$$

e.g. for  $f_1 = f_2 = 2$  and  $f_3 = 3$

$$v_1 \sim N^{3/2}, \quad v_2 \sim N^{3/2}, \quad v_3 \sim N^{1/2}.$$

2. Scale separation, weak coupling & one small (shrinking) subvolume

$$v_i < 1, \quad v_j \gg 1, \quad \text{vol} \gg 1, \quad e^\phi < 1, \quad \frac{L_{\text{KK}_i}^2}{L_{\text{AdS}}^2} \ll 1.$$

3. Scale separation, weak coupling & small constant subvolumes
4. Broken scale separation, weak coupling & one small subvolume