

# Astrophysical constraints on FIPs and Dark Matter

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FIPs in the Alps – Les Houches School of Physics

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# Outline

## ■ Lecture 1: Constraints on FIPs from stellar cooling

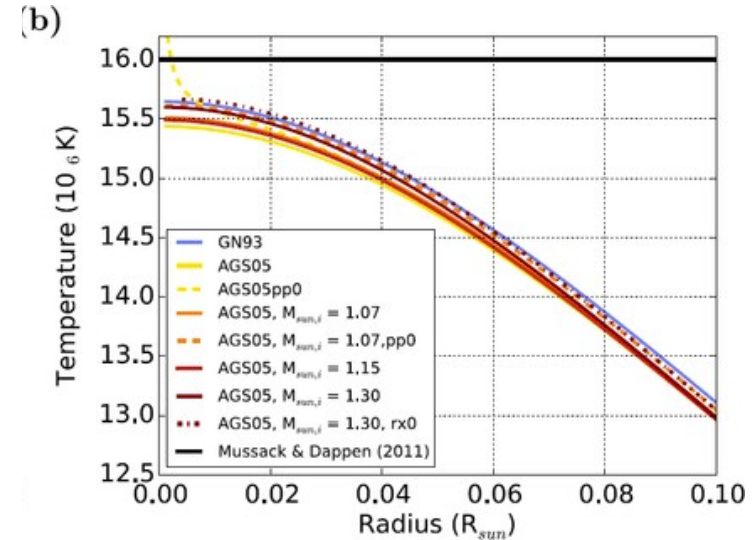
- Solar lifetime
- Horizontal branch stars
- Supernova explosions

## ■ Lecture 2: Constraints on light dark matter from structure formation

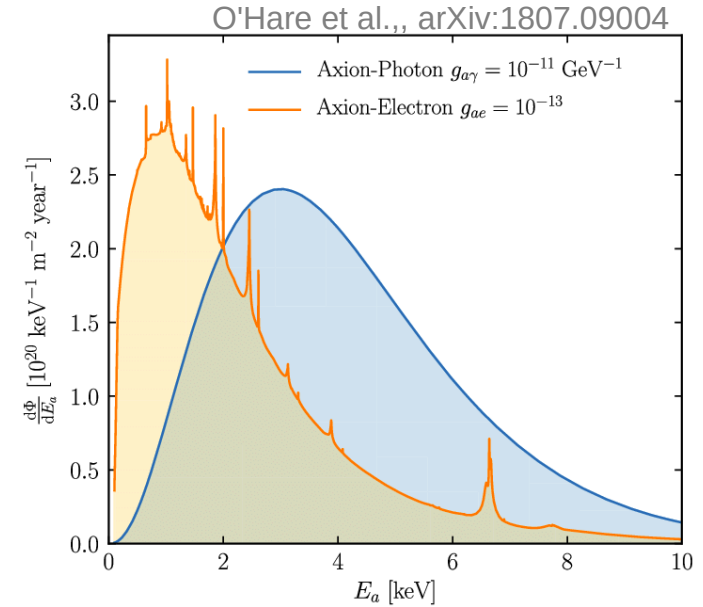
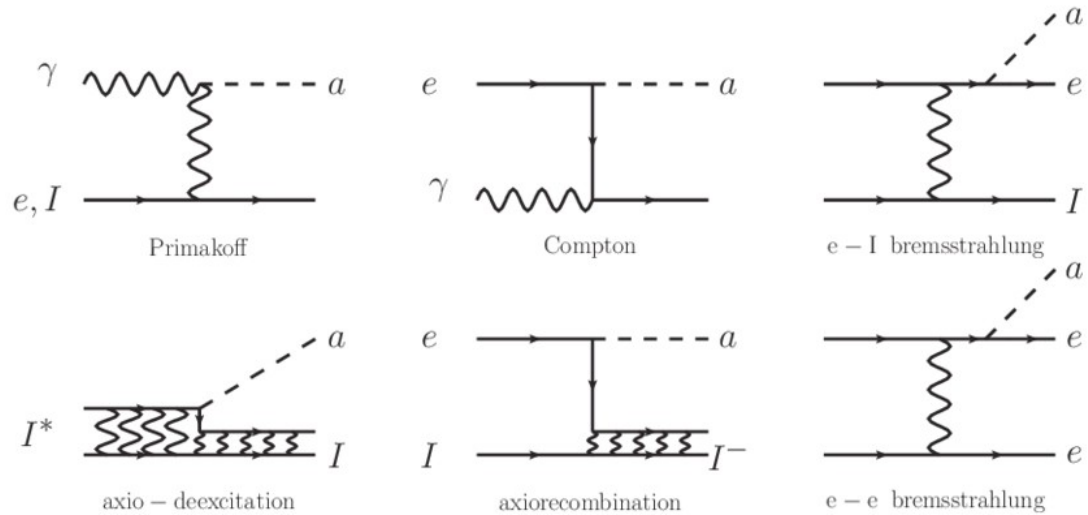
- Warm dark matter
- Self-interacting dark matter
- Small-scale hints

# The sun exists!

- Typical core temperatures:  $10^7 \text{ K} \sim 1 \text{ keV}$
- Approximate age: 5 billion years
  - Half-way through hydrogen burning cycle
- Luminosity:  $L_{\odot} = 4 * 10^{26} \text{ W}$
- If another type of particle could be produced in the sun with a luminosity  $L > L_{\odot}$ , the sun would have already burnt all its hydrogen



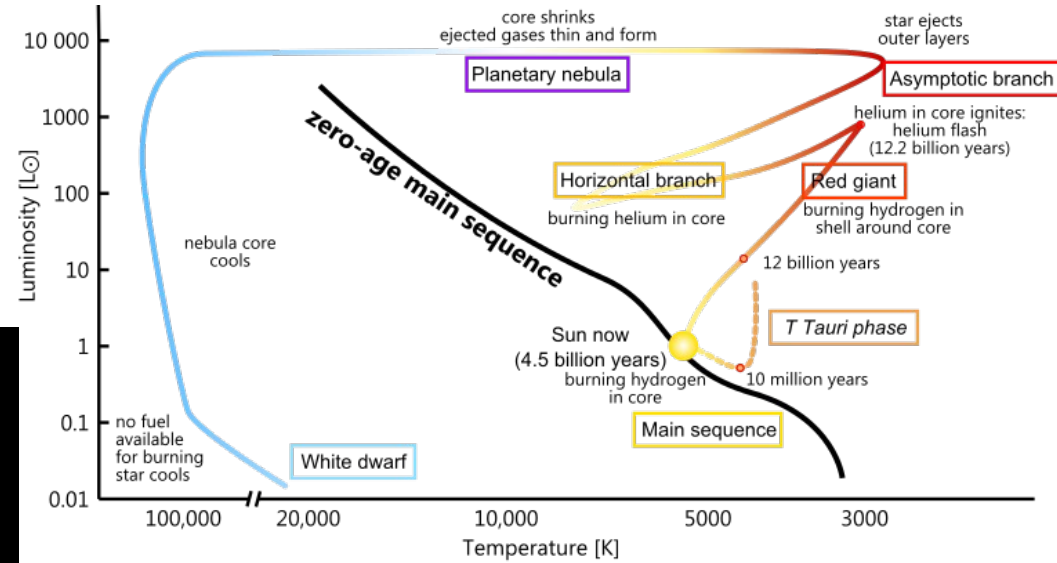
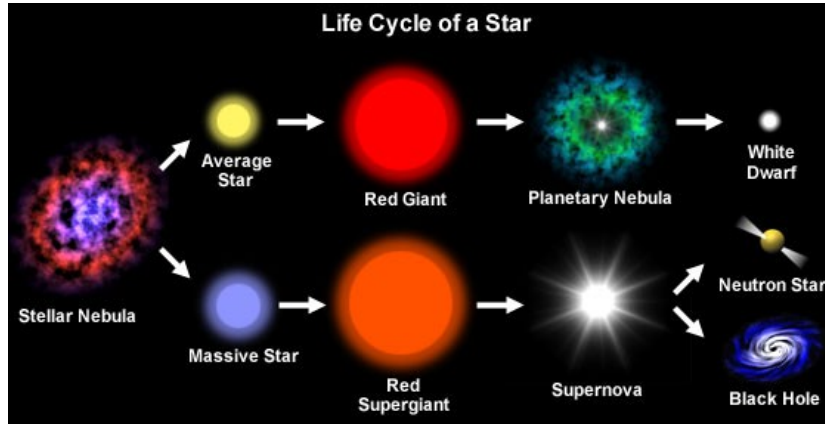
# Example: Solar production of axions



- The existence of the sun implies  $g_{a\gamma} < 10^{-9} \text{ GeV}^{-1}$  and  $g_{ae} < 10^{-11}$
- Agreement between neutrino fluxes and solar models gives slightly stronger bound

# The life-cycle of a solar-mass star

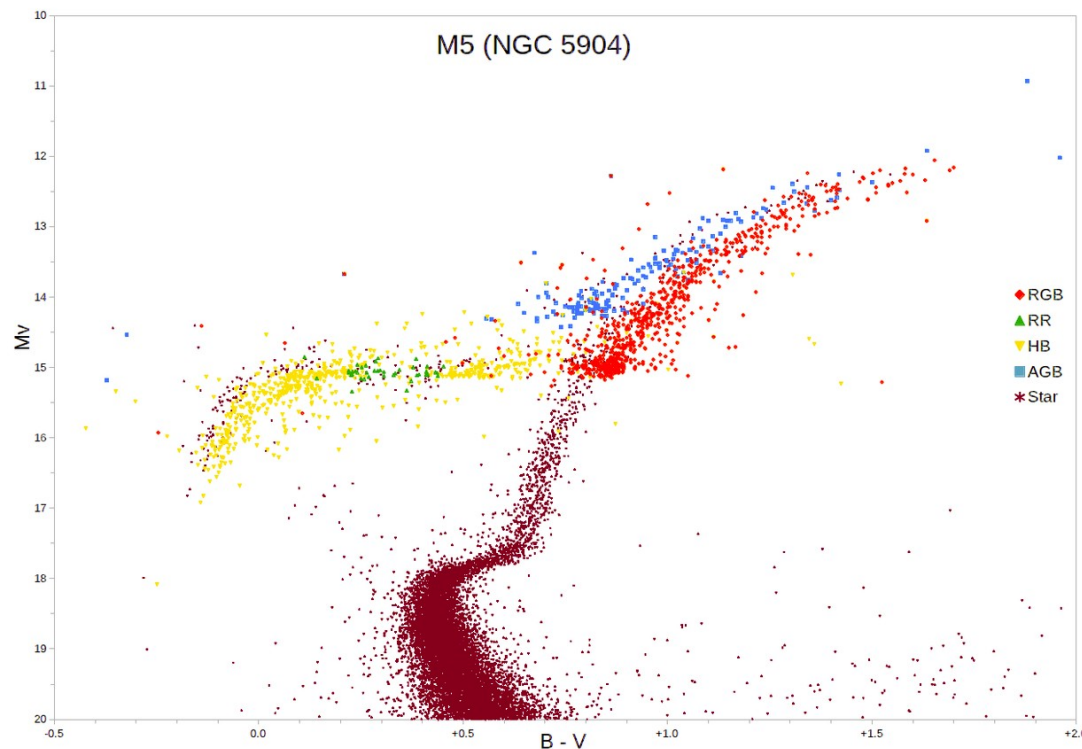
■ When the hydrogen in the core is depleted the sun will become a red giant



■ Temperature and brightness grow and helium burning begins

# Horizontal branch stars

- We can witness stars at any stage of that evolution (for example in globular clusters)
- Interesting observable 1: Tip of the red giant branch (RGB)
- FIP production delays helium ignition and thereby leads to larger and brighter red giants

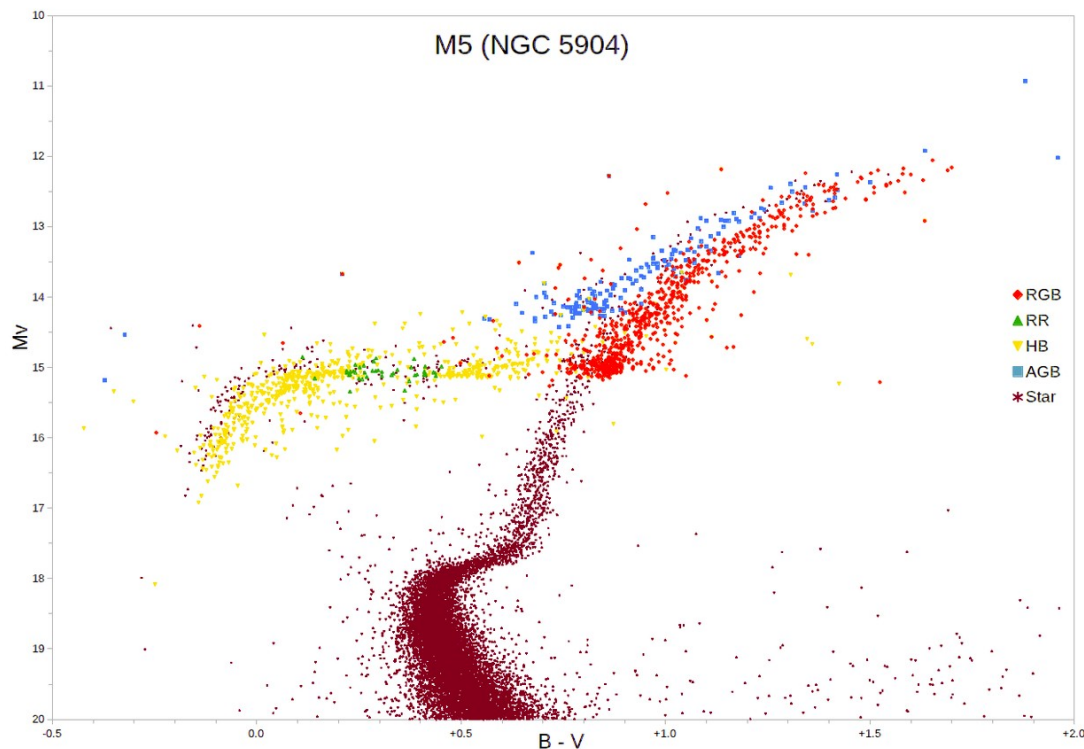


# Horizontal branch stars

- We can witness stars at any stage of that evolution (for example in globular clusters)
- Interesting observable 2: Ratio of the number of stars in the horizontal branch (HB) and red giant branch (RGB)

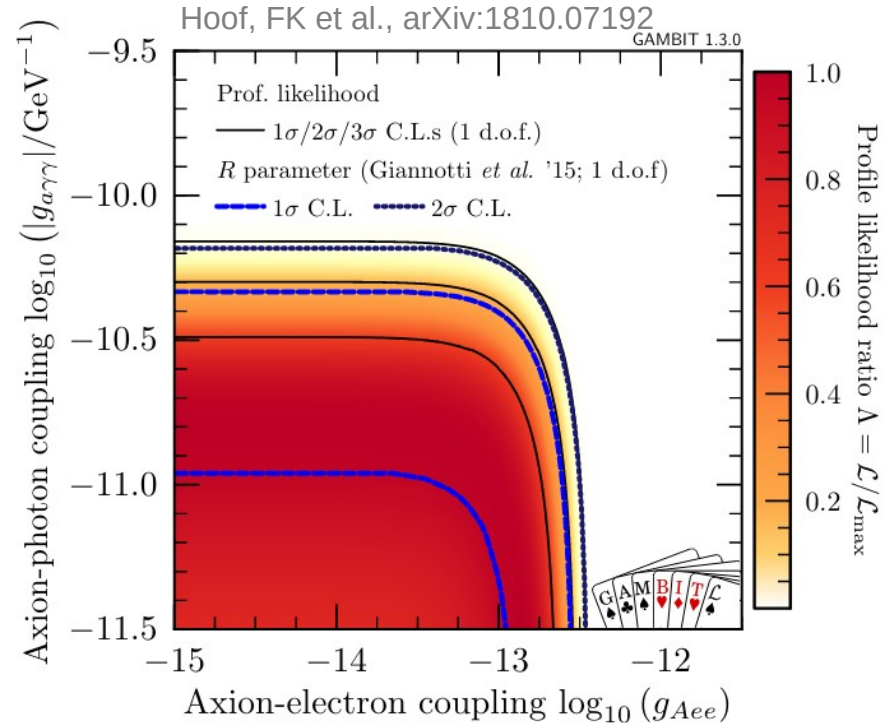
Data:  $R \sim 1.39 \pm 0.03$

Theory:  $R \sim 1.42 - 1.45$



# FIPs production in helium burning stars

- Higher core temperature than for hydrogen burning ( $\sim 10$  keV)
  - “Heavier” FIPs can be produced
- For  $g_{a\gamma} \sim 10^{-10} \text{ GeV}^{-1}$  R parameter would be reduced by  $\sim 0.4$ 
  - Much more sensitive to FIPs than solar environment
- Generally considered to give the strongest and most robust bound on keV-scale FIPs

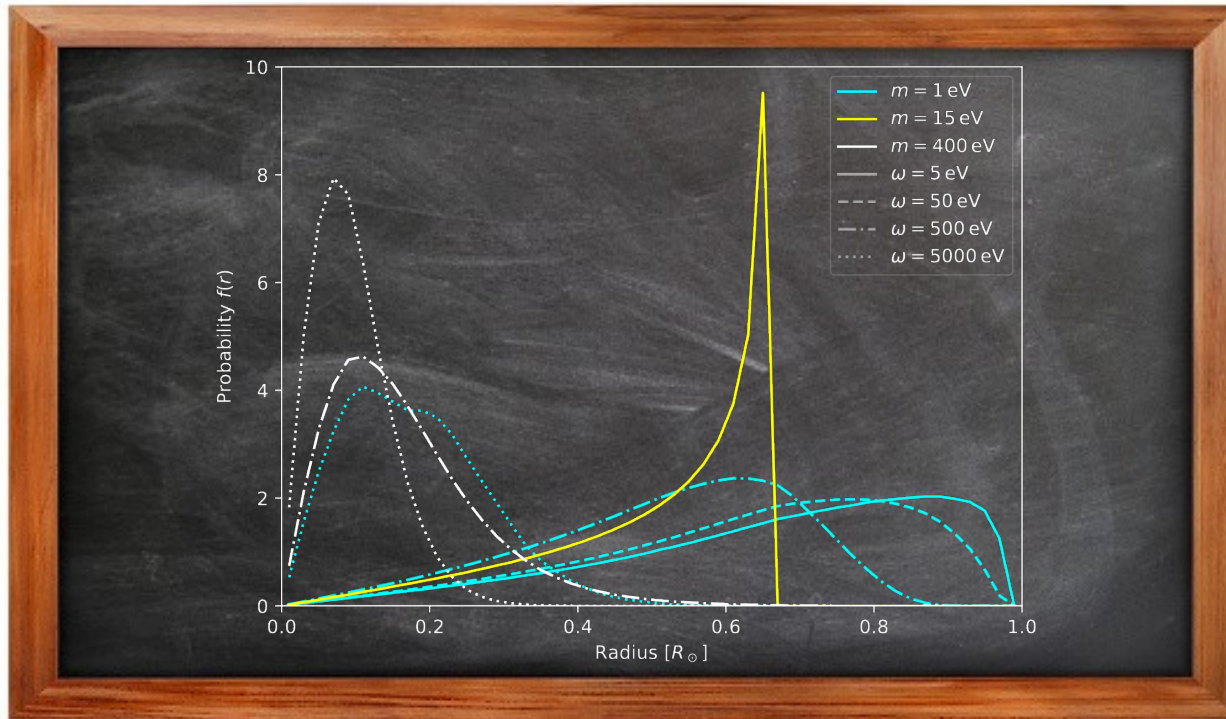




# Stellar production of dark photon

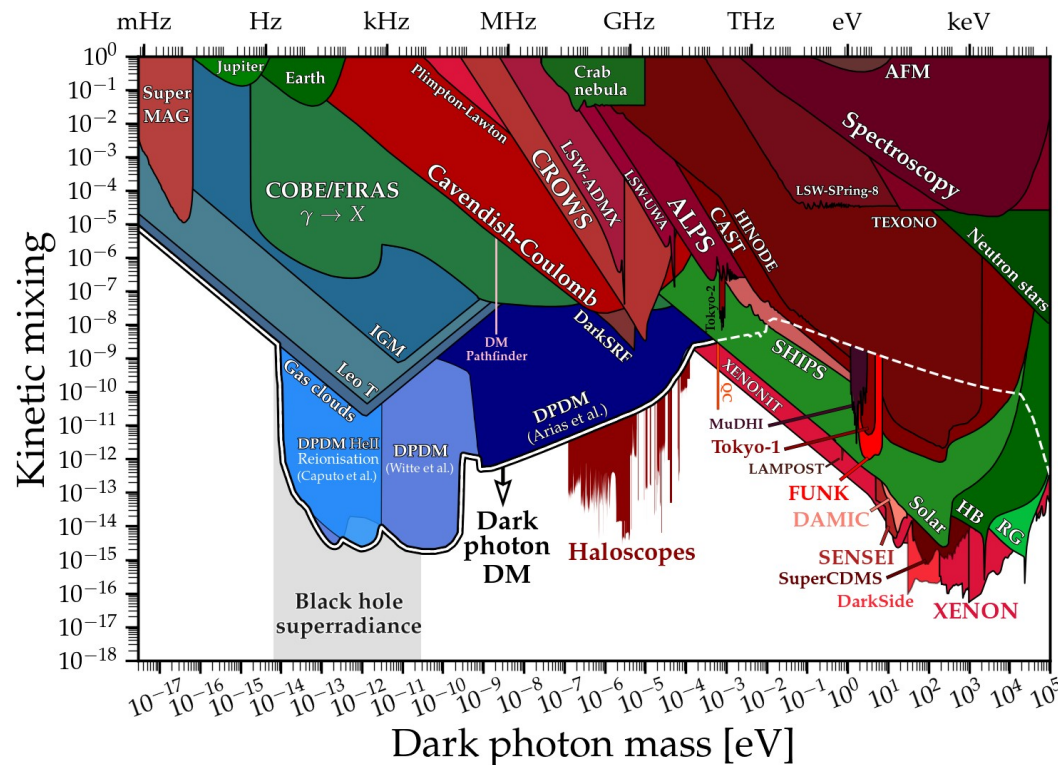


# Stellar production of dark photon



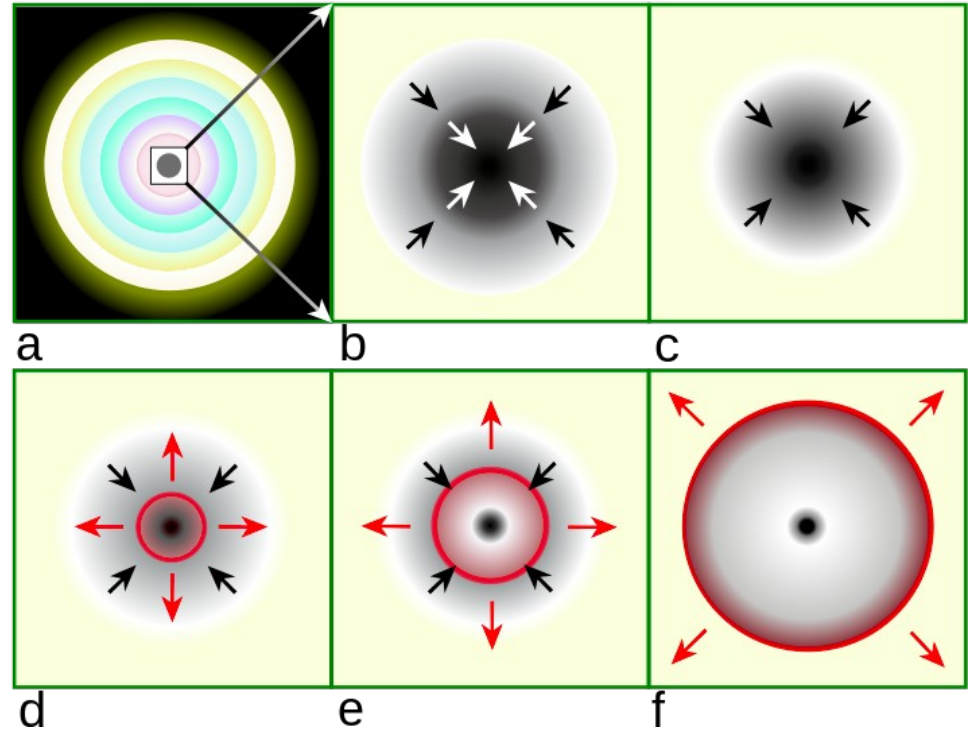
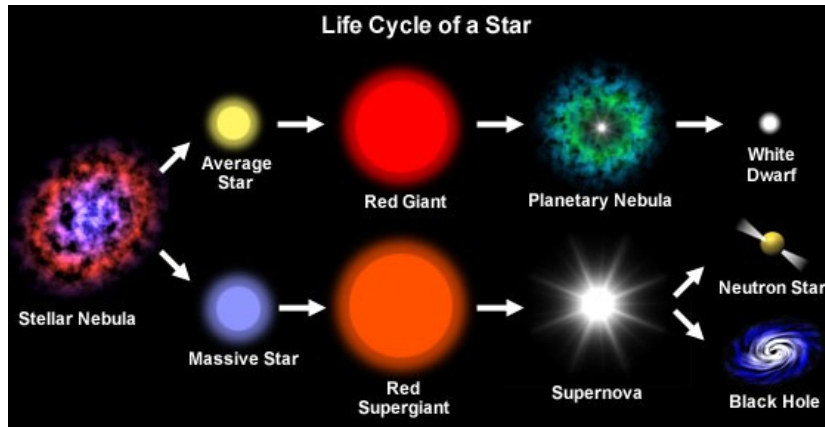
# Summary

- Sensitivity of the sun, HB stars and RGB stars peak at different dark photon masses corresponding to the plasma mass in the core
- Even stronger bounds from laboratory experiments, assuming dark photons are dark matter (dark photoelectric effect)



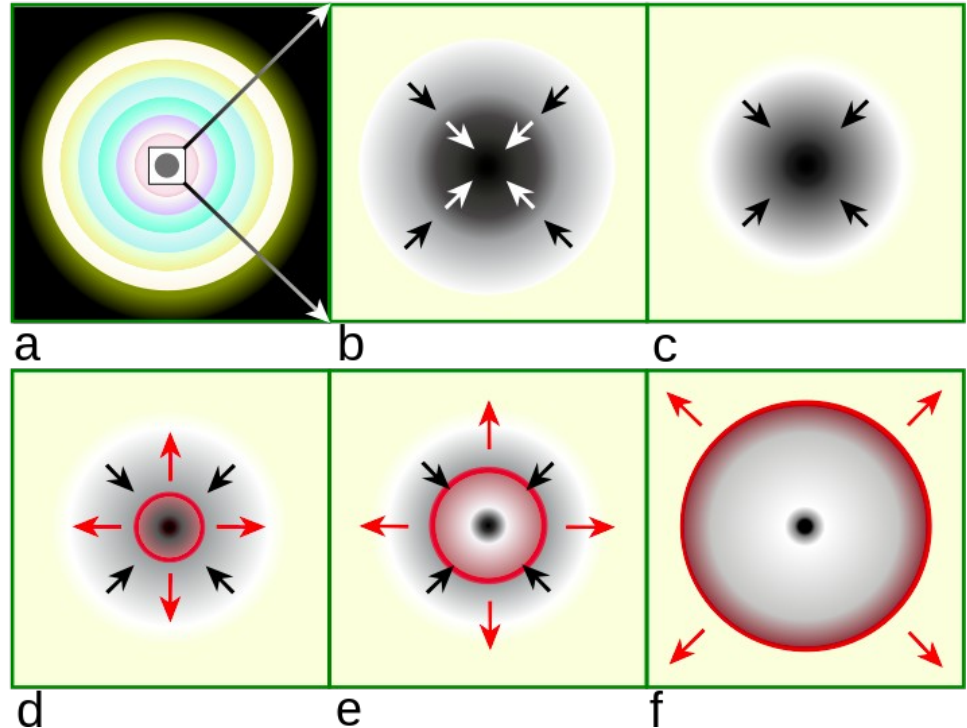
# What about more massive stars?

- Stars much more massive than the sun end their life-cycle in a supernova explosion



# What about more massive stars?

- a) A nickel-iron core forms, which cannot undergo further fusion
- b) (Electron) degeneracy pressure can no longer support the core
- c) Core heats up, nuclei are disintegrated/converted into neutrons
- d) Collapse halted by neutron interactions and degeneracy
- e) Infalling material bounces and creates a shock wave
- f) Neutrinos accelerate the shock wave and create a supernova explosion

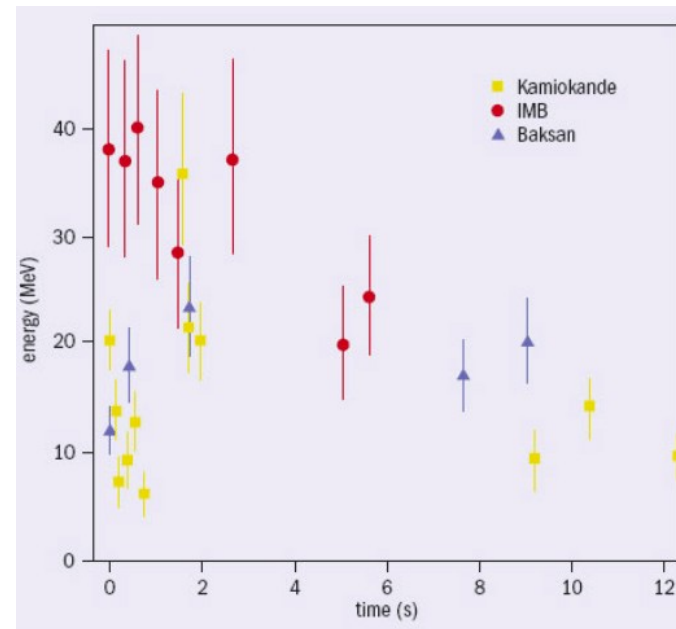
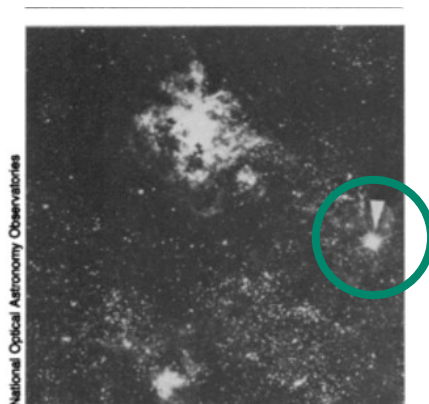


# SN1987a

## Research News

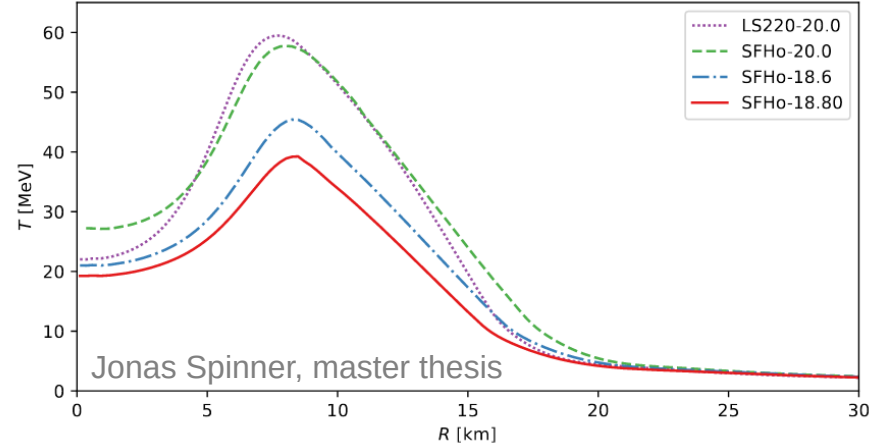
### The Supernova 1987A Shows a Mind of Its Own—and a Burst of Neutrinos

*The first nearby supernova in 400 years continues to baffle and delight since its discovery on the night of 23 February; it has also provided the first clear-cut result from neutrino astronomy and forces theory to face reality*

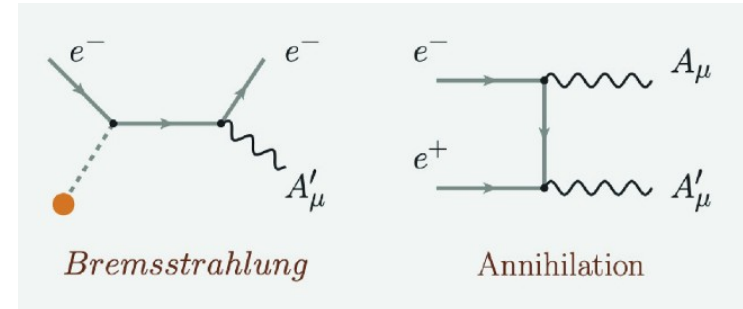
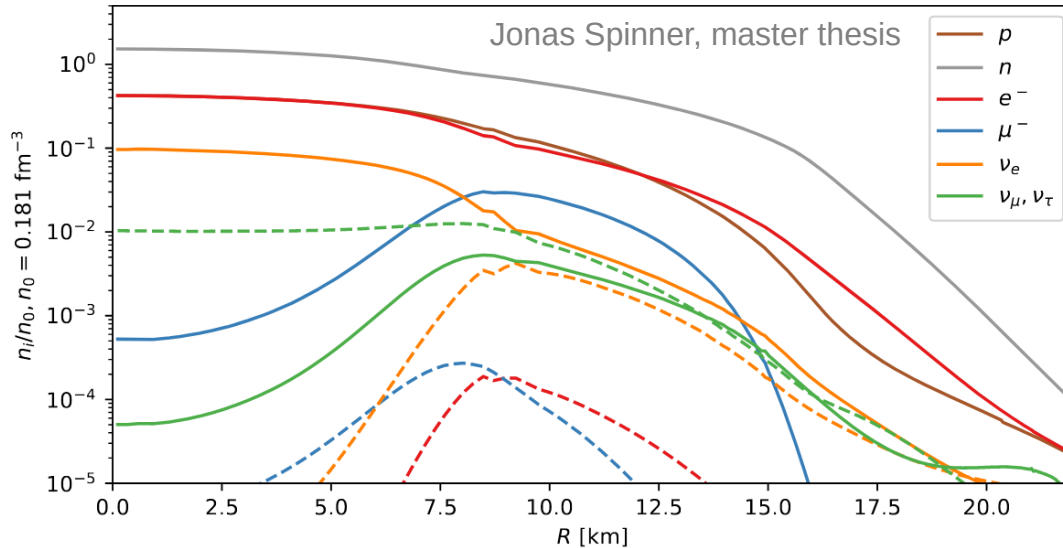


# Neutrino burst

- The neutrino burst was observed to last approximately 10 seconds
  - Time it takes for the SN core to cool sufficiently for neutrinos to escape
- FIPs production accelerates SN cooling and shortens the neutrino burst
- Core temperature  $\sim 30$  MeV
- Neutrino luminosity  $\sim 10^{45}$  W (almost 20 orders of magnitude larger than the sun)
- Require FIP luminosity  $<$  neutrino luminosity (Raffelt criterion)



# Dark photon production in SN1987a

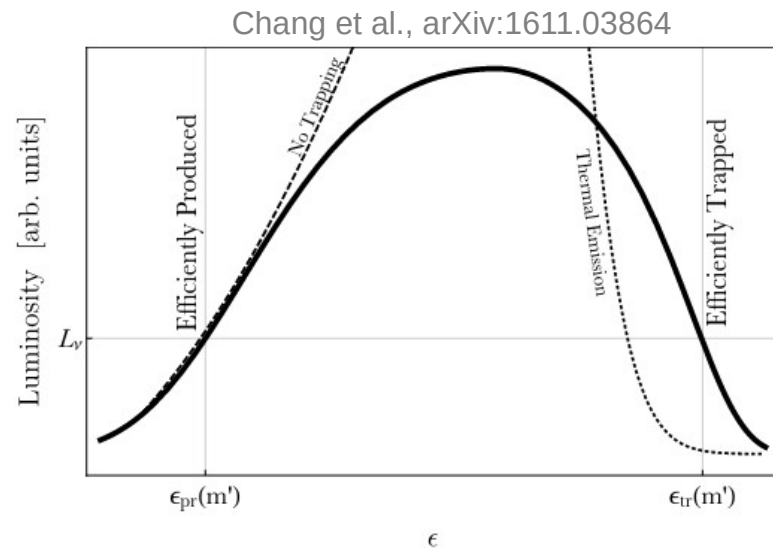


- Possibility to produce dark photons in Bremsstrahlung, Compton scattering or pair annihilations
- Temperature large enough to produce positrons (and even muons)

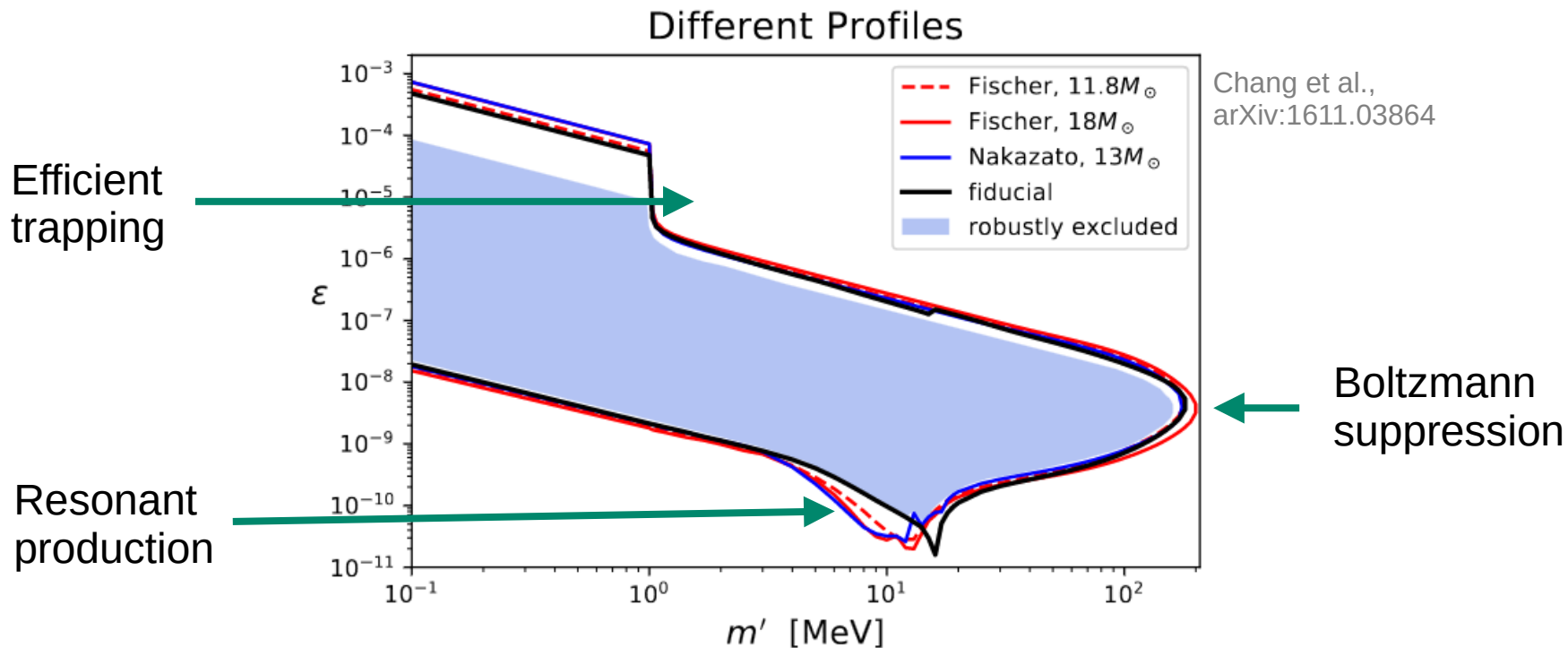


# Supernova trapping

- If dark photons are too “strongly” coupled, they are absorbed again before they leave the supernova
- Efficient trapping suppresses energy loss
- Rigorous treatment:
  - Calculate optical depth  $\tau(r, E)$  for dark photons
  - Escape probability given by  $\exp(-\tau(r, E))$
- Useful simplification: Calculate trapping radius  $r_{\text{tr}}$  for which  $\tau(r_{\text{tr}}, E) = 2/3$ 
  - Dark photons produced at  $r < r_{\text{tr}}$  never escape
  - Dark photons produced at  $r > r_{\text{tr}}$  always escape

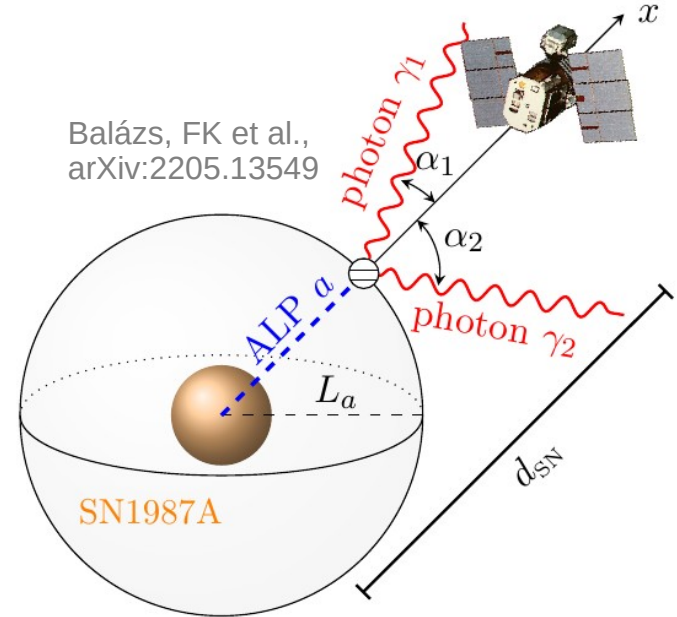
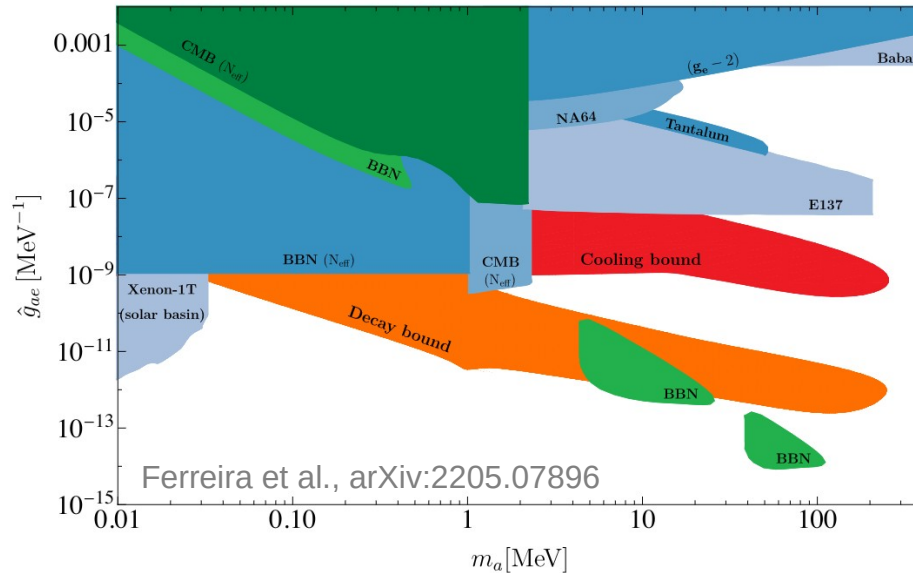


# Results



# Additional constraints from SN1987a

- If the FIPs produced in the SN can decay (or be converted) into photons on their way to Earth, even stronger bounds can be obtained

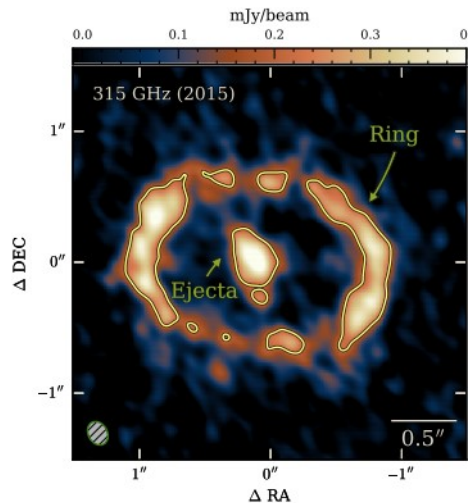


# Open questions: Trapping

- Huge experimental efforts to investigate models in the trapping regime
- How sure are we that SN constraints are absent?
- Could imagine modifications to heat transport inside supernova
- Problem: No detailed understanding of SN explosion mechanism
- Data & simulations not good enough to constrain BSM processes within SN core
- We need another supernova (ideally not too close)!

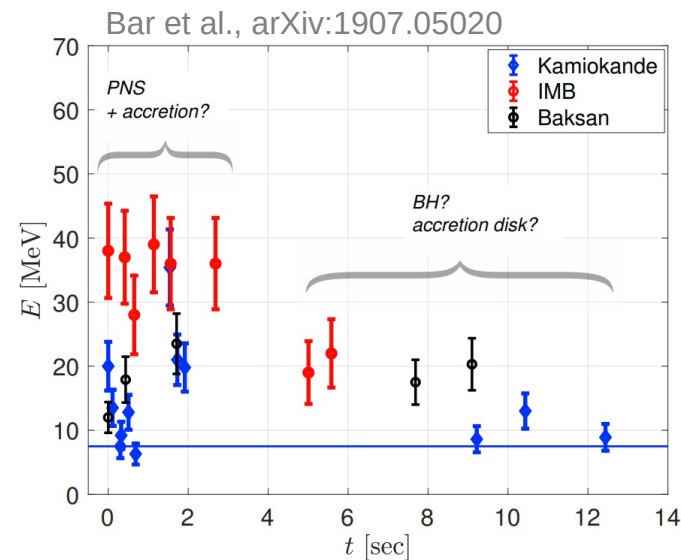
# Open question: Accretion disk

- Conceivable that some of the observed neutrinos are emitted from an accretion disk
- If true, would invalidate the SN cooling bound



- Can be tested if we can identify the SN remnant

- Neutron star would favour core collapse
- Black hole would favour accretion disk



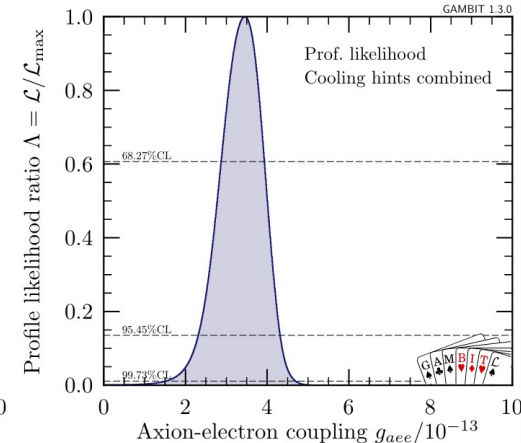
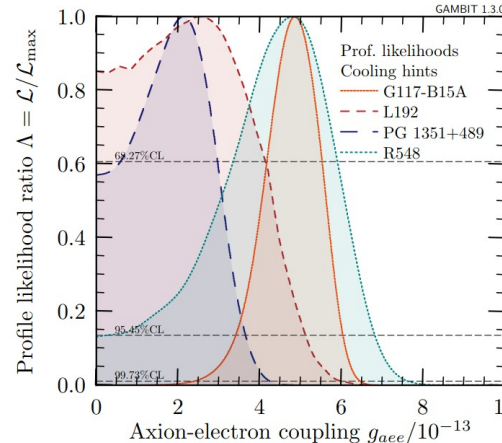
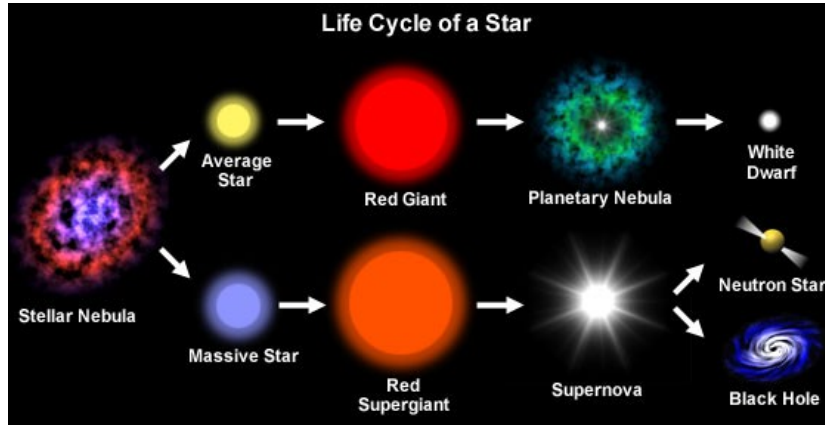
Page et al., arXiv:2004.06078

# The end (of the lifetime of a star)

- White dwarfs are electron-degenerate stellar remnants
- Certain white dwarfs pulsate, i.e. their brightness oscillates with time
- Exotic cooling via FIP production would increase the pulsation period
- Observations reveal preference for ALPs with non-zero coupling to electrons

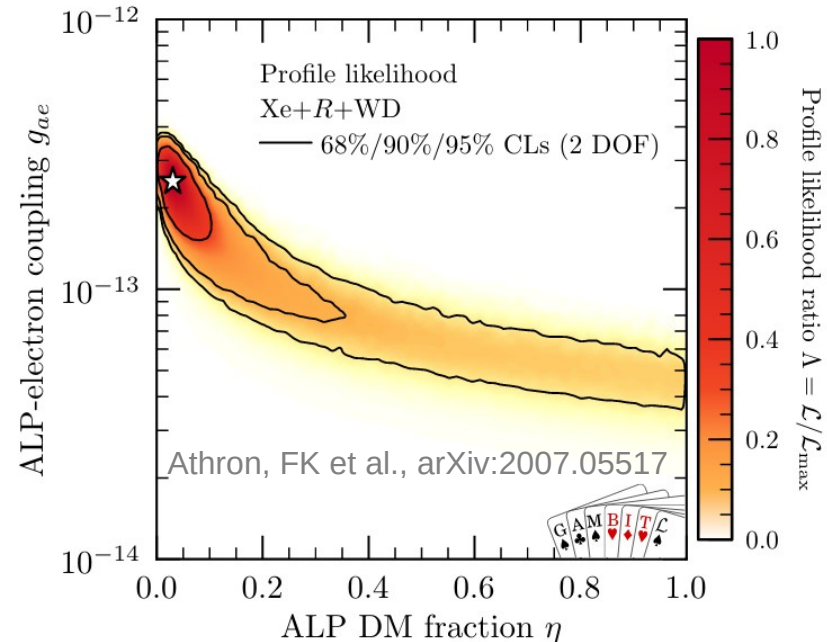
Giannotti et al.,  
arXiv:1512.08108

Hoof, FK et al.,  
arXiv:1810.07192



# Global fits

- Want to test your model against astrophysical constraints?
- All of the constraints discussed before have been implemented as likelihood functions in the GAMBIT global fitting framework
  - Automated construction of composite likelihoods for a given model
  - Efficient scans of multi-dimensional parameter space
  - Consistent treatment of uncertainties and nuisance parameters
  - Easy comparison of astrophysical bounds and laboratory experiments



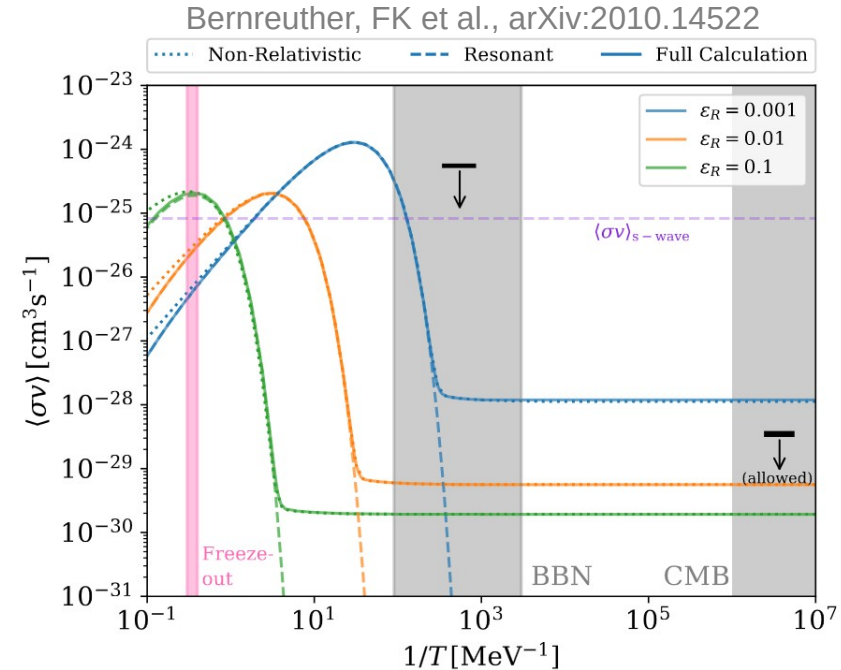
# Conclusions (lecture 1)

- FIPs can contribute to stellar cooling and accelerate stellar evolution
  - Lifetime of sun, RGB stars, HB stars
  - Duration of SN1987a neutrino pulse
  - Period increase of WD pulsation
  
- Strong constraints on axions, dark photons, light dark matter, ...
  - Up to  $\sim 10$  keV for stars
  - Up to  $\sim 100$  MeV for SN1987a
  - Large couplings allowed due to trapping



# Sub-GeV dark matter

- Cosmology places strong constraints on thermally produced dark matter
- BBN bound on  $N_{\text{eff}}$  implies  $m_{\text{DM}} > 10 \text{ MeV}$
- CMB bound on exotic energy injection implies  $m_{\text{DM}} > 10 \text{ GeV}$  for s-wave annihilation
- Even larger masses may be excluded for resonant annihilations / Sommerfeld enhancement
- Interesting to consider non-thermal DM!

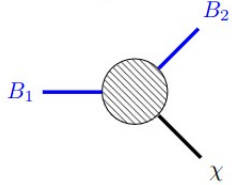
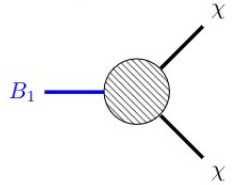
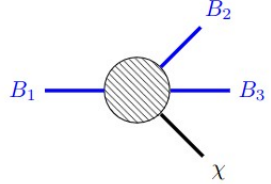
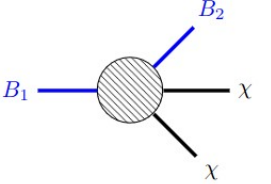
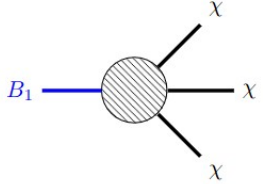
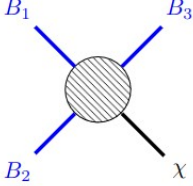
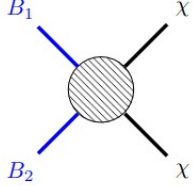


# Freeze-in production of DM

DM may also be produced via out-of-equilibrium processes

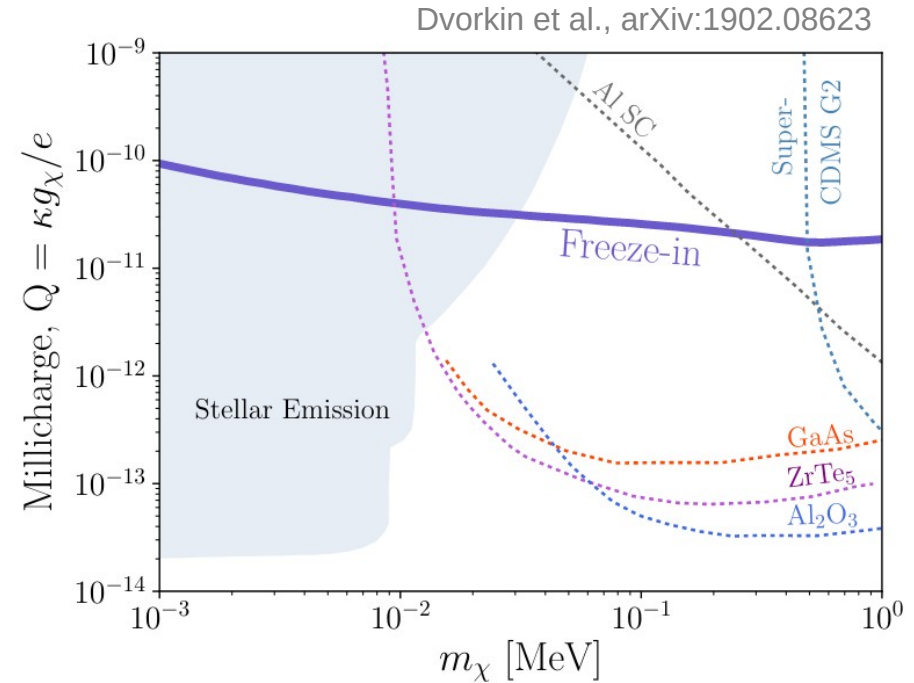
Freeze-in mechanism: “energy leakage” from the visible sector

Well-known example: Production of keV-scale sterile neutrinos

	Single production	Pair production	Triple production
FIMP DM Two-body decays			
FIMP DM Three-body decays			
FIMP DM Scatterings			D'Eramo & Lenoci, arXiv:2012.01446

# Testing freeze-in

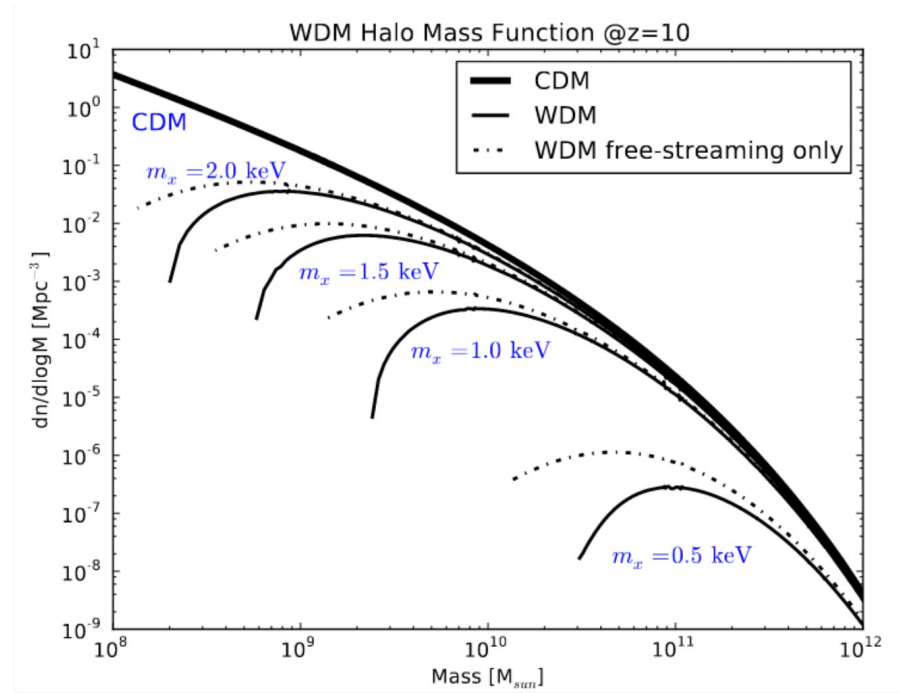
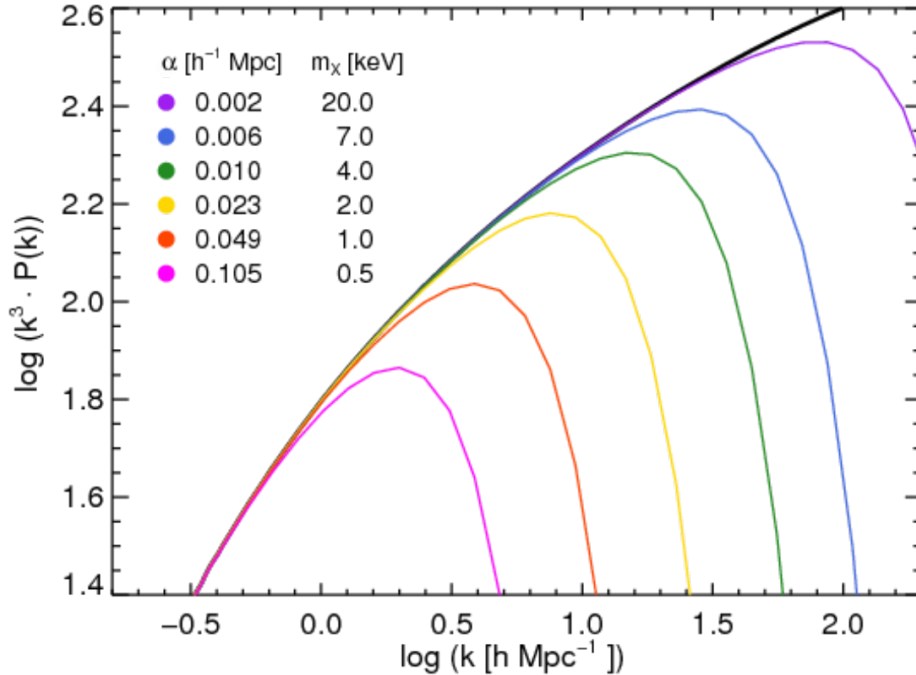
- For DM produced via the freeze-in mechanism, typical couplings are much smaller than for thermal DM
- Laboratory searches mostly hopeless (with some notable exceptions)
- Need to rely on astrophysical constraints to make progress!



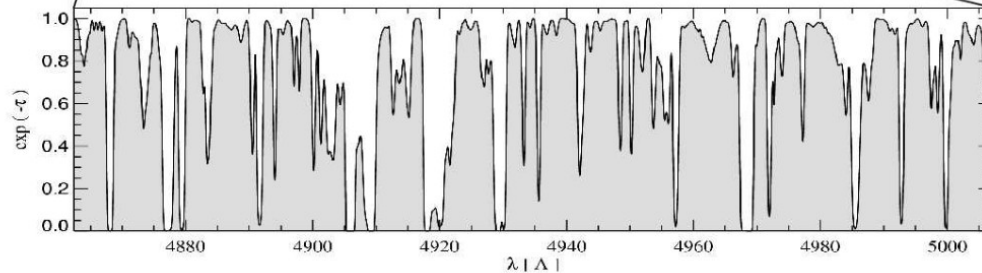
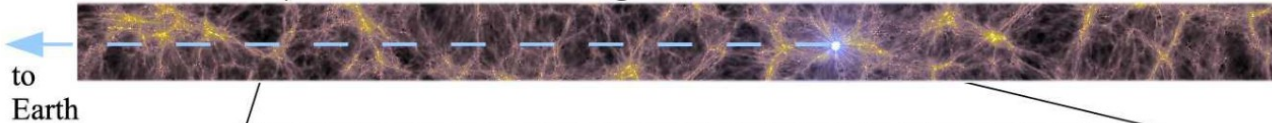
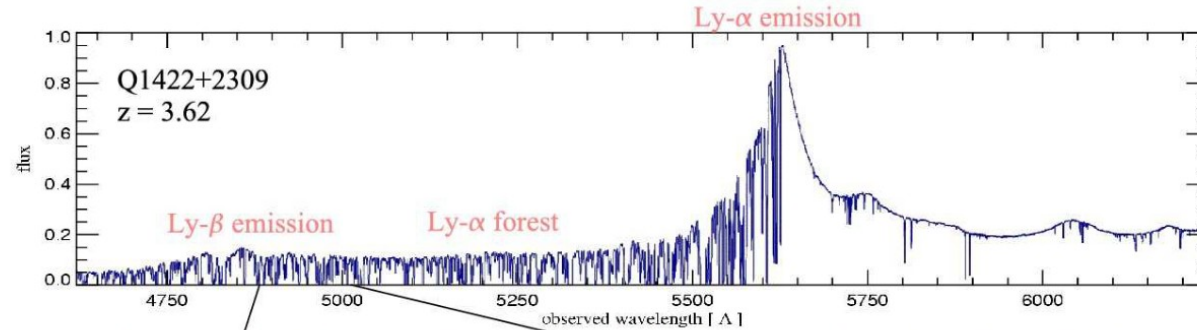
# Lower bound on DM mass

- The freeze-in mechanism in principle works down to very small DM masses
- For fermionic DM, we have to satisfy the Tremaine-Gunn bound
  - Pauli exclusion prevents the formation of very dense DM halos
  - Observations of such systems imply  $m_{\text{DM}} > 500 \text{ eV}$
- Moreover, for keV-scale DM the kinetic energy is not completely negligible
  - Free-streaming prevents the formation of small-scale structures
  - Cut-off in the matter power spectrum

# Suppression of small-scale structure



# Lyman-alpha forest



Bound on mass of thermal DM:

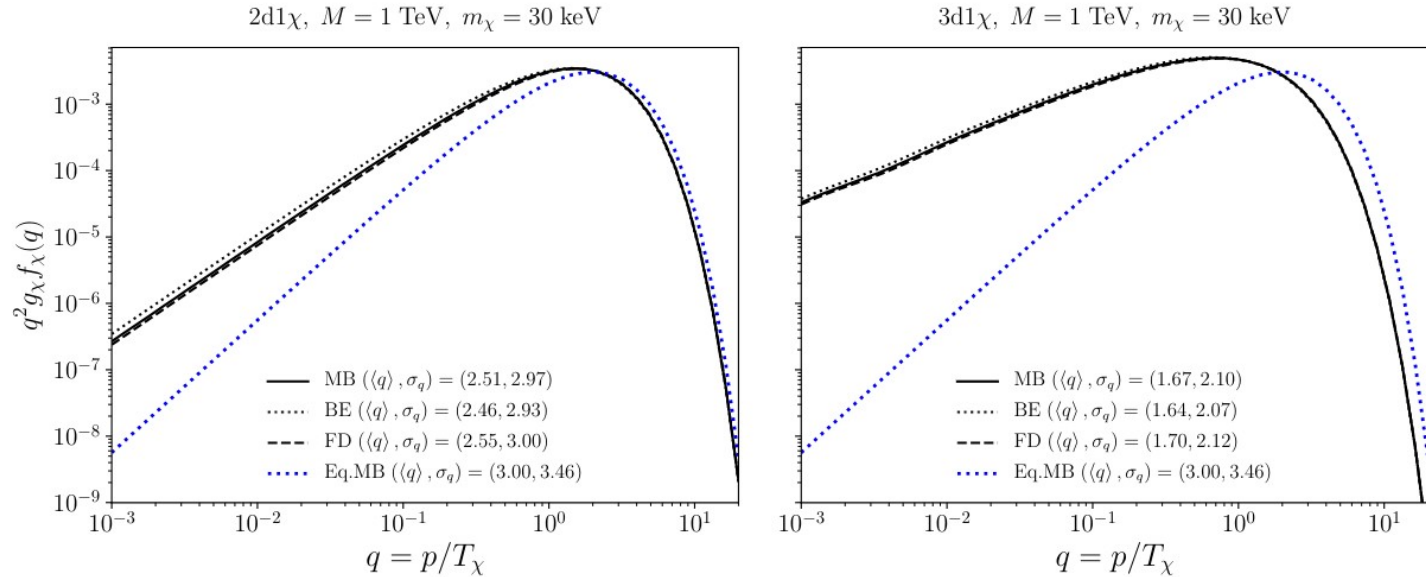
$$m_{\text{DM}} > 3.5 - 5.3 \text{ keV}$$

# Non-thermal dark matter

■ Subtlety: Non-thermal DM has non-thermal phase space distribution

■ Precise bound on DM mass becomes model-dependent

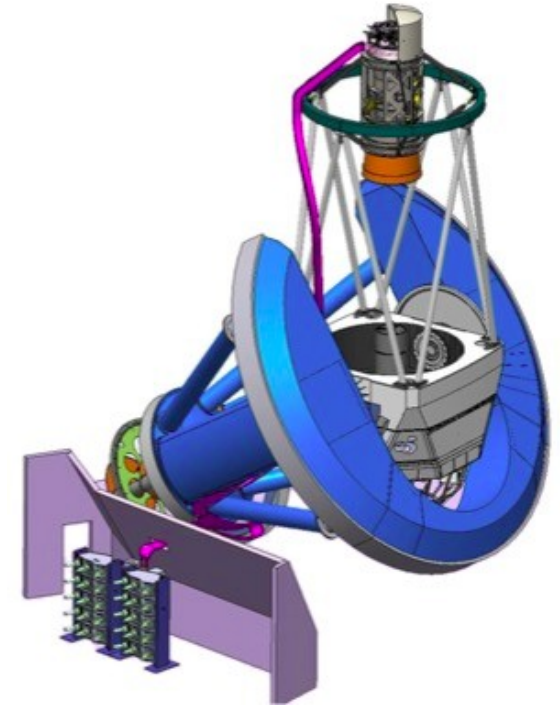
■ Can be as strong as  $m_{\text{DM}} > 20 \text{ keV}$



D'Eramo & Lenoci, arXiv:2012.01446

# DESI: The future of Lyman-alpha data

- Dark Energy Spectroscopic Instrument
- Measure of Lyman-alpha forest absorptions auto-correlation and cross-correlations with quasars
- 3d map of the distribution of matter at redshift  $z = 2-5$
- Infer BAO scale and constrain dark energy models
- Sensitive probe of suppression of small-scale structure
- Can expect much stronger (and more robust) bounds on warm DM



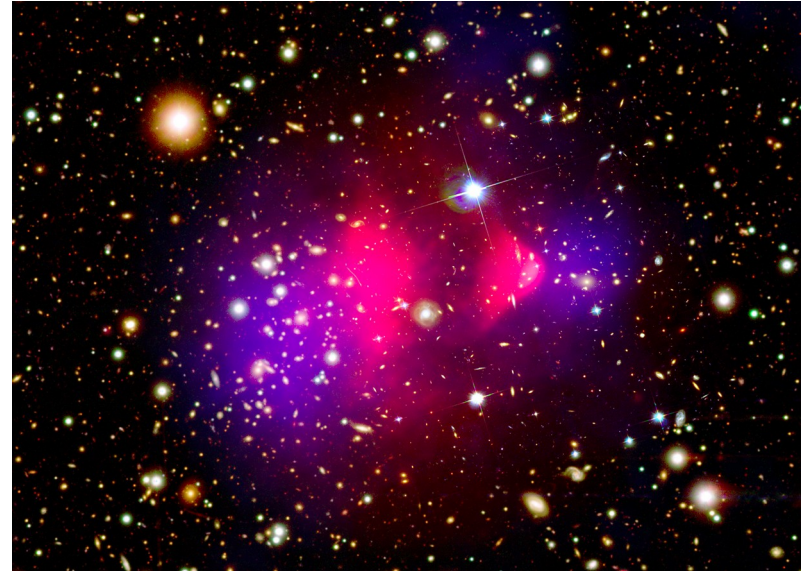


# DM self-interactions

- We (roughly) know the mass density  $\rho$  and velocity  $v$  of DM in astro systems
- Typical numbers:  $\rho \sim 0.1 - 1 \text{ GeV cm}^{-3}$     $v \sim 100 - 1000 \text{ km/s}$
- Corresponding number density  $n = \rho/m_{\text{DM}}$  increases with decreasing DM mass
- Scattering rate given by  $n v \sigma = \rho v \sigma/m_{\text{DM}}$
- Astrophysical observations place upper bound on  $\sigma/m_{\text{DM}}$
- Naive dimensional analysis:  $\sigma \sim m_{\text{DM}}^{-2}$ 
  - Lower bound on  $m_{\text{DM}}$

# Bullet Cluster

- Bullet Cluster shows that DM behaves more like (collisionless) galaxies than like (collisional) gas
- Can make this statement more precise by measuring mass-to-light ratio and separation between DM and galaxies in each cluster
- Result:  $\sigma/m_{\text{DM}} < 1 \text{ cm}^2 \text{ g}^{-1} \sim 2 \text{ barn} / \text{GeV}$
- Comparable to nucleon-nucleon scattering cross section



# Example 1: SIMPs

- Consider DM particles similar to SM pions (but stable!)
- Self-scattering cross section:

$$\frac{\sigma_{\text{self}}}{m_{\pi}} = \frac{m_{\pi}}{4\pi f_{\pi}^4} \sim 10^{-3} \text{ cm}^2/\text{g} \left( \frac{m_{\pi}}{1 \text{ GeV}} \right)^{-3} \left( \frac{g}{\sqrt{4\pi}} \right)^4$$

- Assuming coupling close to perturbativity limit ( $g \sim \sqrt{4\pi}$ ), Bullet Cluster implies  $m_{\pi} > 100 \text{ MeV}$
- Very difficult to realize strongly-interacting dark sector below this scale!

# Example 2: Dark photon models

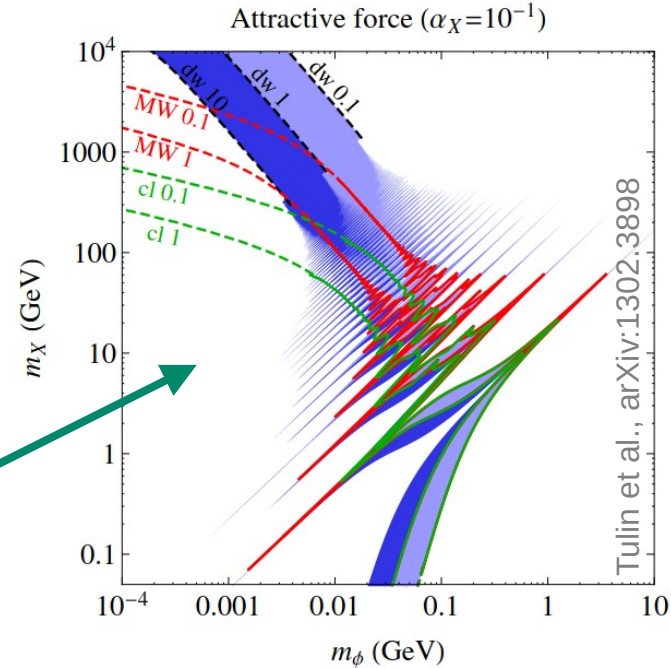
- Consider self-scattering of DM particles via exchange of a light dark photon

- Perturbative result:

$$\sigma \approx 5 \times 10^{-23} \text{ cm}^2 \left( \frac{\alpha_X}{0.01} \right)^2 \left( \frac{m_X}{10 \text{ GeV}} \right)^2 \left( \frac{10 \text{ MeV}}{m_\phi} \right)^4$$

- Huge non-perturbative corrections

Excluded by Bullet Cluster



## Example 3: Long-range interactions

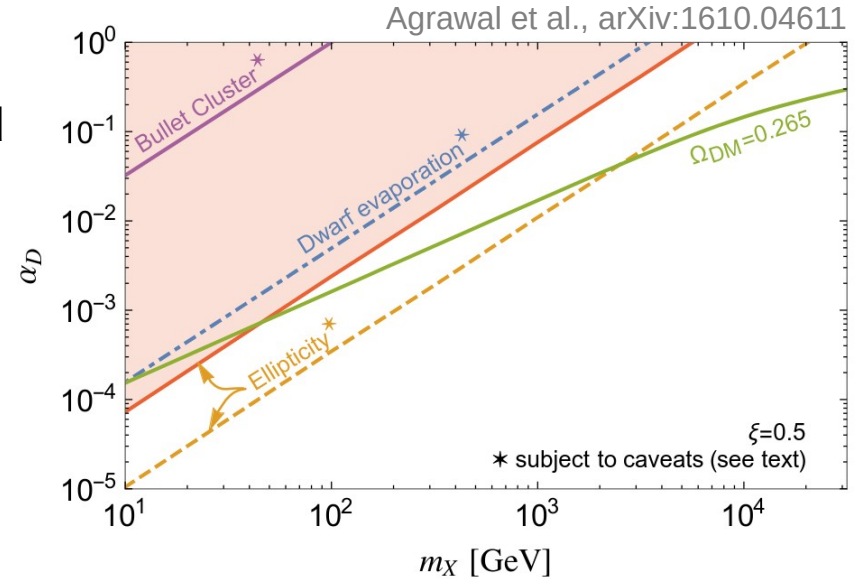
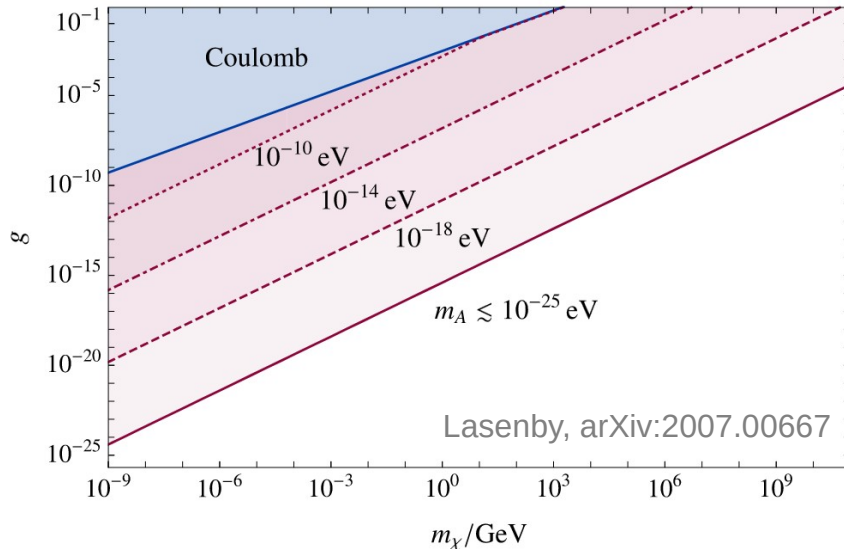
- If the dark photon mass is negligible, the cross section correspond to Rutherford scattering

$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} \sim \frac{1}{16E^2 \sin^4 \frac{\theta}{2}} \quad \text{with } E = m_{\text{DM}} v^2 / 2$$

- Scattering dominated by small velocities and scattering angles
- Effect of self-interactions in galaxy clusters (large  $v$ ) strongly suppressed
- Averaging over large number of scatters leads to effective drag force  $F \sim v^{-2}$  (like dynamical friction)

# Bounds on long-range interactions

- Leading constraint comes from the observation of elliptical DM haloes, which would be isotropised by self-interactions

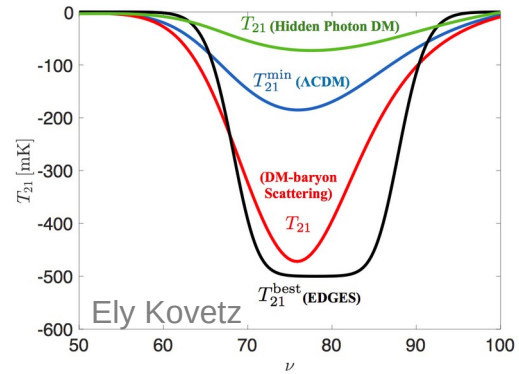


- However, constraints from Bullet Cluster become stronger for ultra-light dark photons due to plasma instabilities

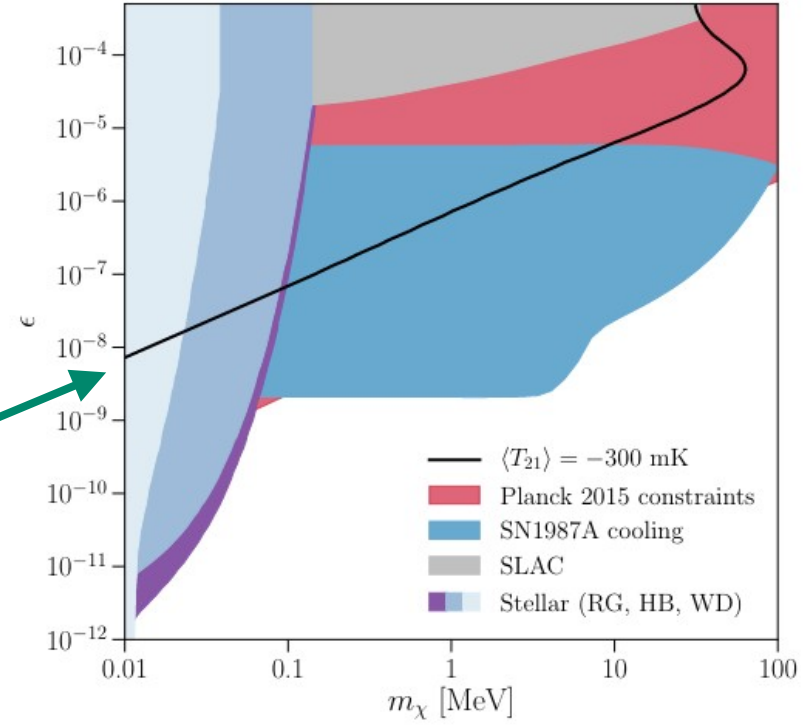
# Bounds on millicharged dark matter

Kovetz et al., arXiv:1807.11482

- Long-range self-interaction from exchange of SM photons
- Leading constraints from stellar cooling and cosmology ( $N_{\text{eff}}$ , CMB)



Interesting parameter range for 21cm brightness temperature

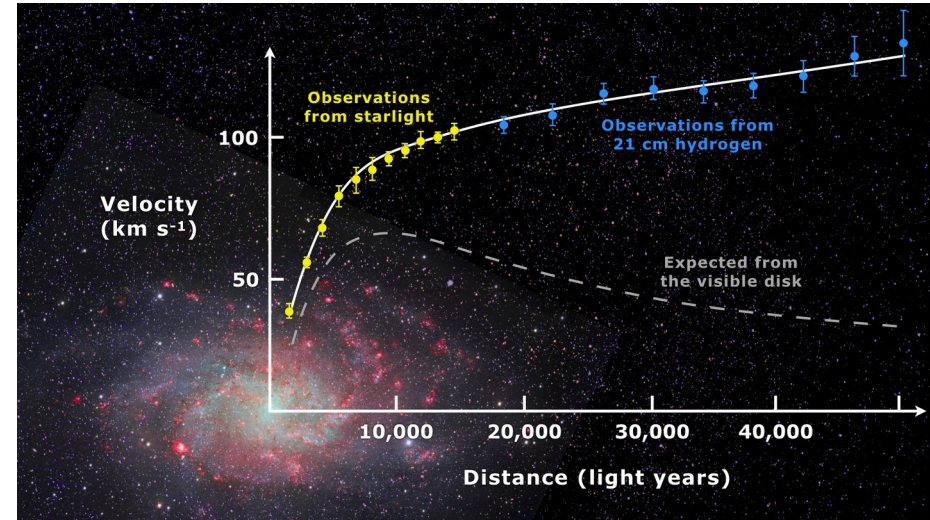


# Stronger bounds on DM self-interactions

- Numerical simulations of structure formation predict the radial distribution of DM particles in DM halos:

NFW profile:  $\rho(r) \sim r^{-1} (r + r_s)^{-2}$

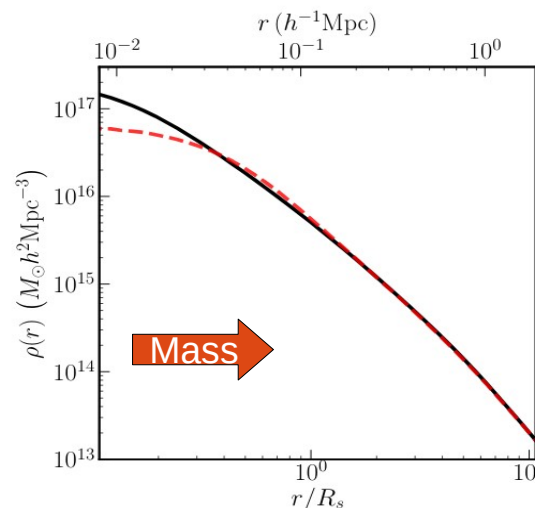
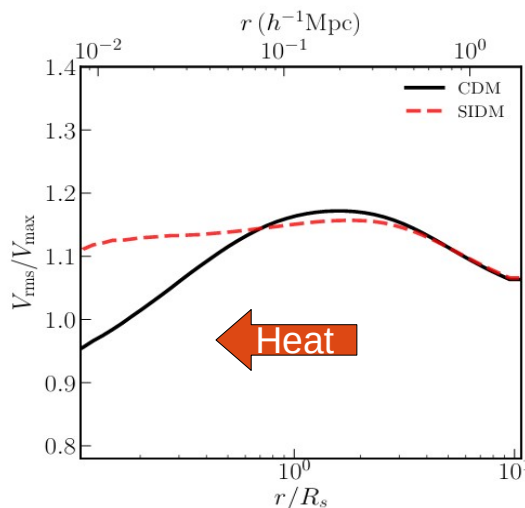
- Prediction broadly confirmed by measurements of galactic rotation curves:  $v_{\text{rot}}(r)^2 = G M(r) r^{-1}$





# Core formation

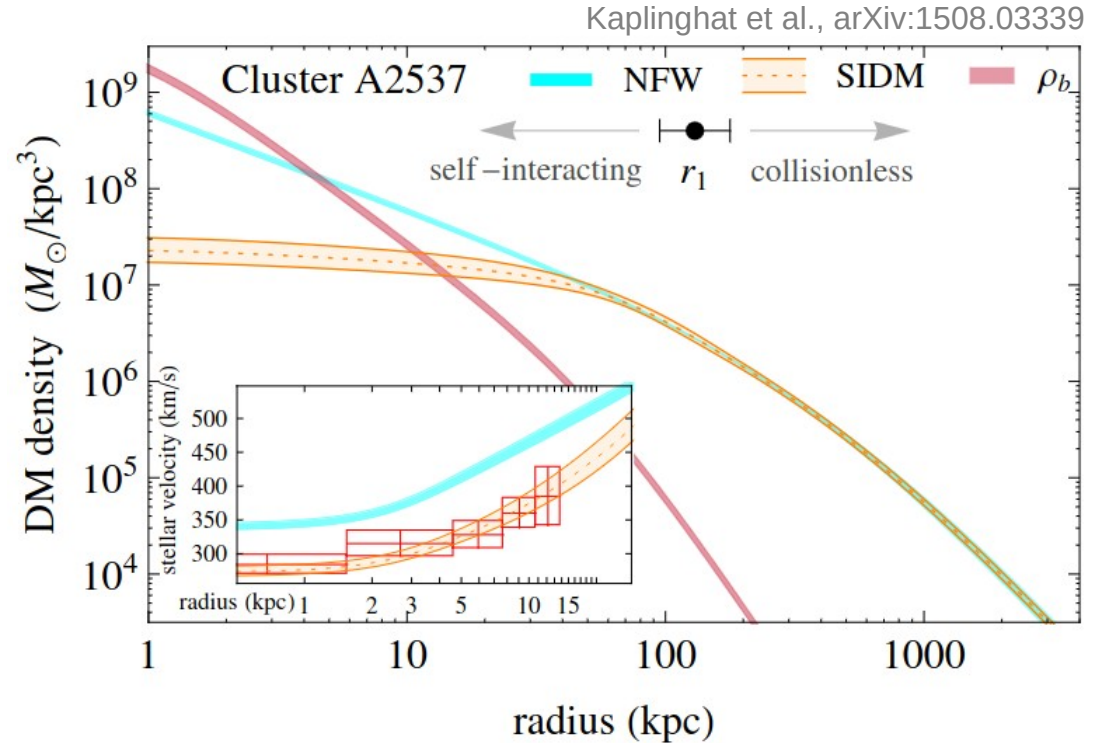
- Dark matter (DM) self-interactions can transfer energy from hot regions of a DM halo (shallow gravitational potential) to cold regions (deep gravitational potential)
- As a result, they transform halos with cuspy profiles into halos with central cores



Banerjee et al.,  
arXiv:1906.12026

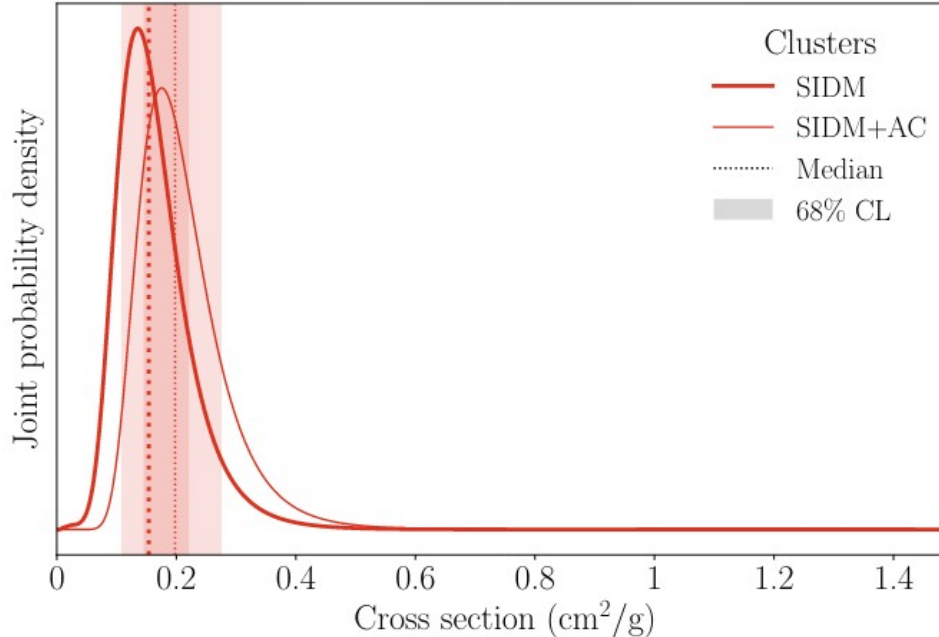
# Empirical Jeans formalism

- Rule of thumb: Core radius  $r_1$  given implicitly by  $\rho(r_1) \sigma/m_{\text{DM}} v t_{\text{age}} \sim 1$
- Observational upper bound on  $r_1$  implies upper bound on  $\sigma/m_{\text{DM}}$



# SIDM bounds from core sizes

Sagunski et al., arXiv:2006.12515

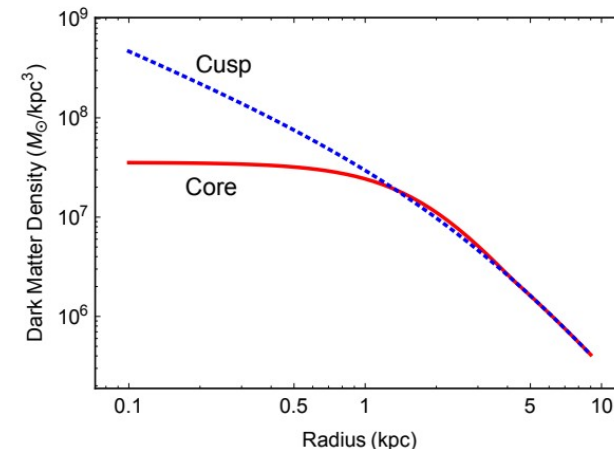
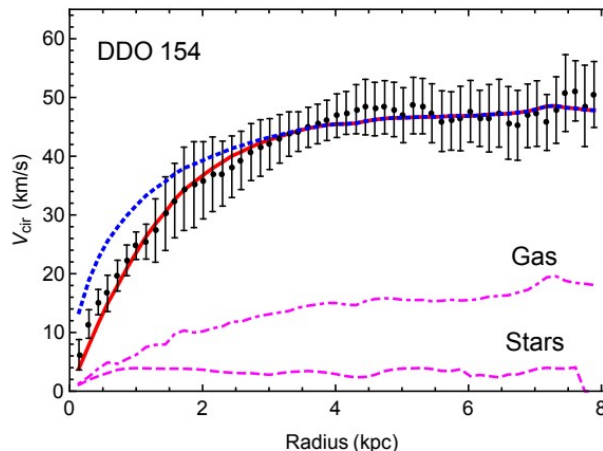


- A recent analysis of galaxy clusters gives  $\sigma/m_{\text{DM}} < 0.3 \text{ cm}^2 \text{ g}^{-1}$
- Main challenge: Account for baryonic effects (e.g. adiabatic contraction) that could counteract core formation
- Note: Vanishing cross section strongly disfavoured!

# The cusp-core problem

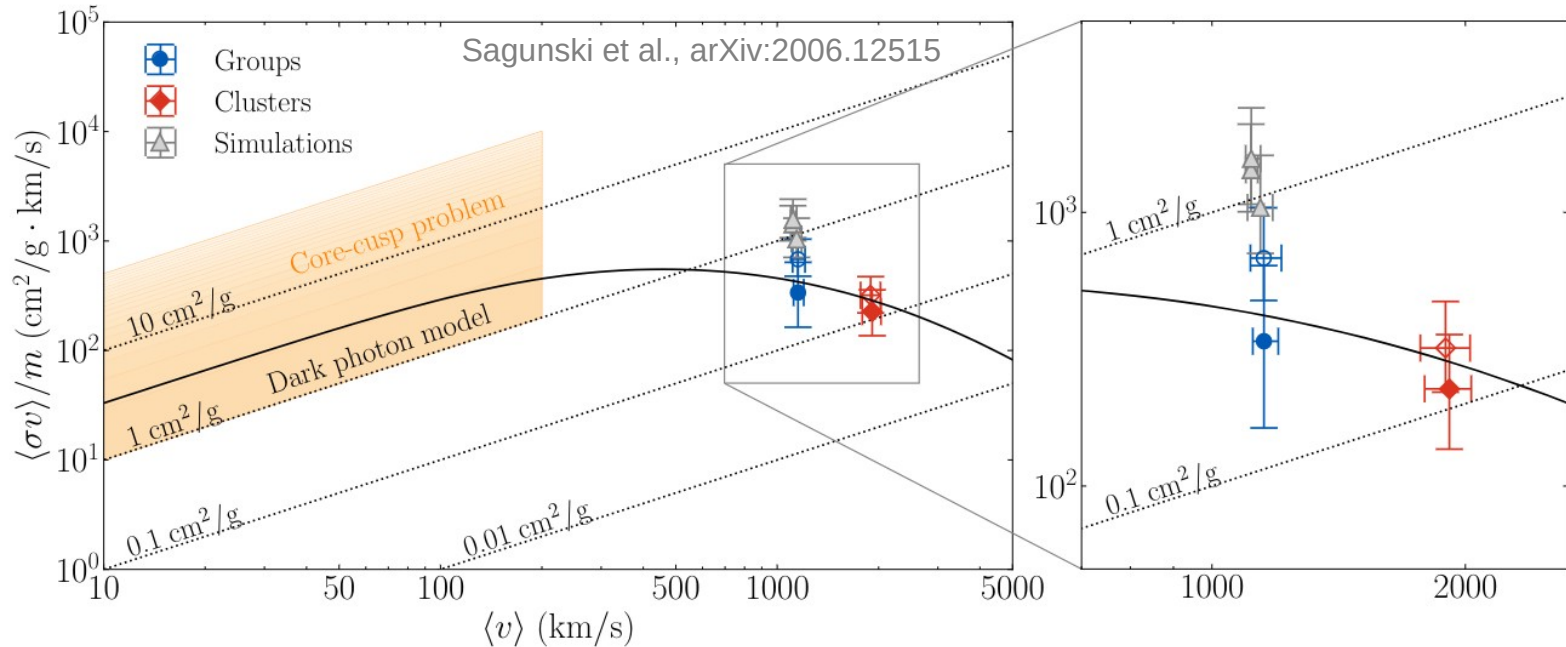
- Various systems exhibit discrepancy between predicted and observed  $v_{\text{rot}}(r)$  in central region
- Deficit in mass points to constant-density cores rather than cuspy density profiles
- Note: Important caveat: Neither the observational situation nor the predictions from numerical simulations are fully robust, so there may be no cusp-core problem

Tulin & Yu, arXiv:1705.02358

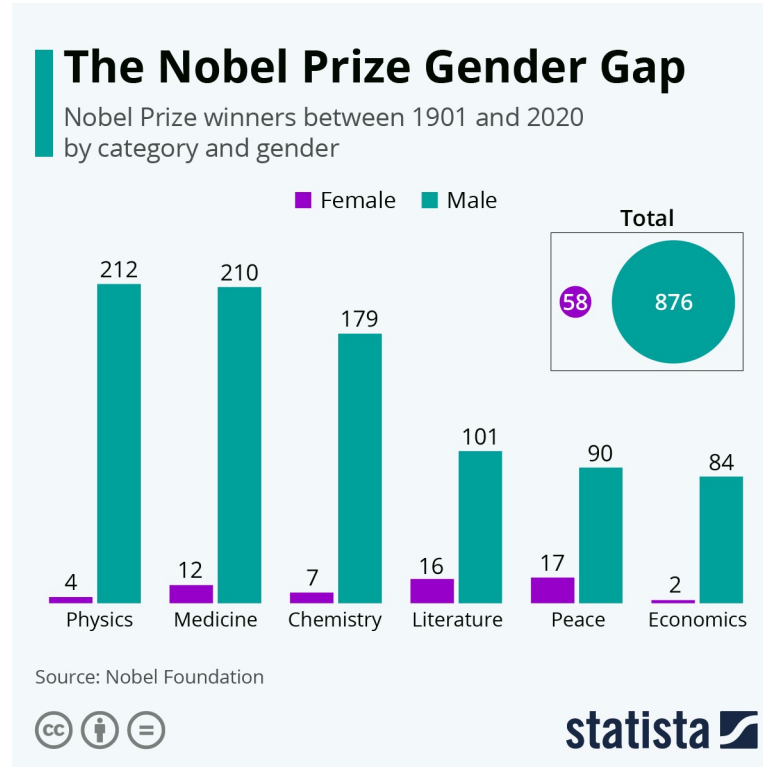


# Solving the cusp-core problem

- Velocity-dependent DM self-interactions can resolve the cusp-core problem



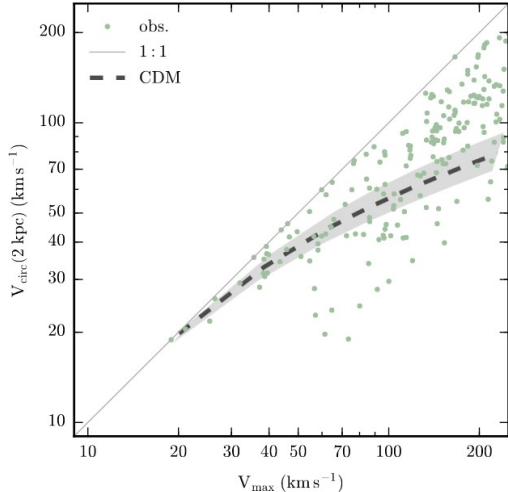
# The diversity problem



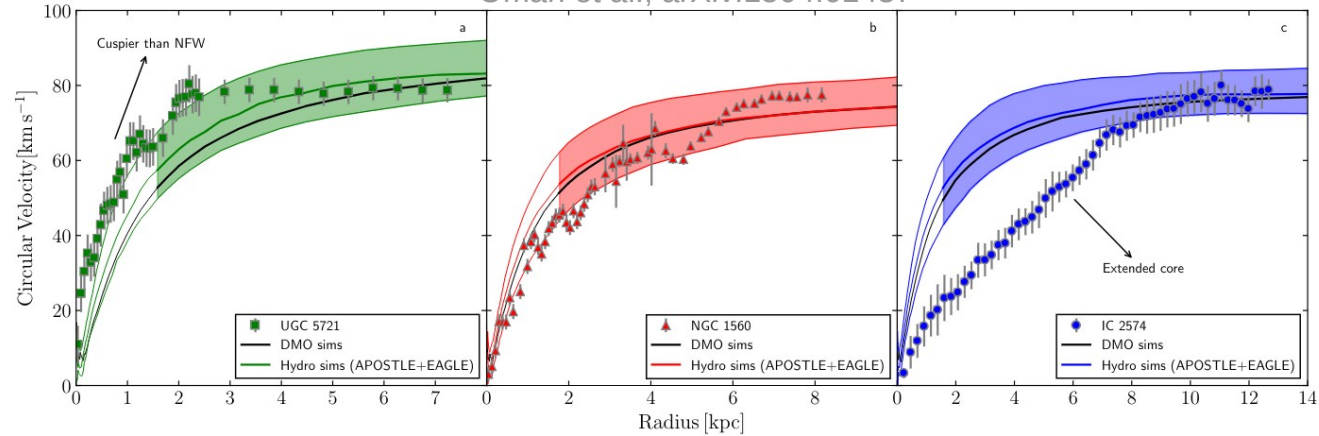
# The other diversity problem

■ Dwarf galaxy rotation curves exhibit much more diversity than expected

Creasey et al., arXiv:1612.03903



Oman et al., arXiv:1504.01437

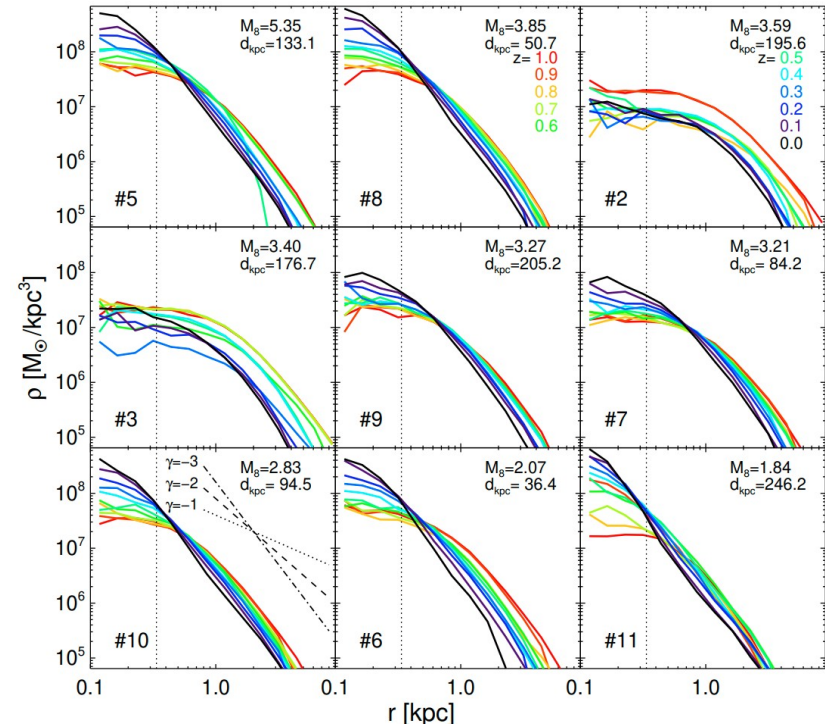


- In fact, some dwarf galaxies are even cuspier than in  $\Lambda$ CDM!
- Speculated to be a projection effect due to non-circular motion
- No conclusive demonstration that enough diversity is achieved
- Possibly greatest challenge for  $\Lambda$ CDM on small scales

# Gravo-thermal collapse

- Cores created by DM self-interactions are not stable
- Once the inner region is fully thermalised, the direction of the heat flow reverses and the central region starts cooling down
- After sufficiently long times (or for very large cross sections) cores experience gravitational collapse and cusps reappear  
→ gravo-thermal catastrophe

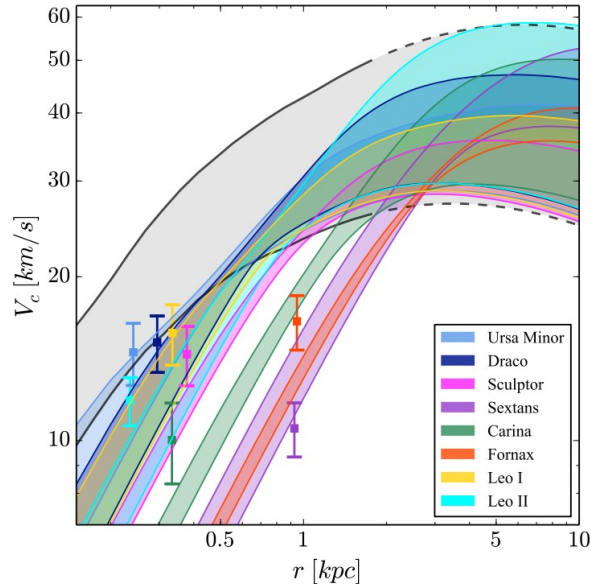
Turner et al., arXiv:2010.02924





# The impact of tidal forces

- If the outer parts of a DM halo are stripped by tidal forces (e.g. from a nearby galaxy), the heat loss increases and core collapse accelerates



- High concentration halos become even denser while low concentration halos are disrupted

Sameie et al., arXiv:1904.07872; FK et al., arXiv: 1904.10539

- Moreover, central density of a Milky Way satellite depends on its precise orbit (i.e. the pericenter distance)

- Possible explanation of the observed diversity of MW satellites

Valli & Yu, arXiv:1711.03502

# Conclusions (part 2)

- Non-thermal DM particles can have masses below the MeV scale
- Below the keV scale there are strong bounds from small-scale structure
- Need to account for non-thermal phase space distribution
  
- Astrophysical bounds on self-interactions constrain the ratio  $\sigma/m$
- Bullet Cluster gives lower bound on the mass of many DM candidates
  
- Measurements of core sizes give even stronger bounds, but also hints
- Self-interactions may solve the cusp-core and diversity problem

# Hooked?

<https://indico.scc.kit.edu/event/3490/>

## Light Dark World 2023

19-21 September 2023

KIT

Europe/Berlin timezone

### Overview

Call for Abstracts

Participant List

Equity, diversity and inclusion

Venue

Accommodation



















Travel

Felix Kahlhoefer

 [kahlhoefer@kit.edu](mailto:kahlhoefer@kit.edu)



### Confirmed speakers:

-  Sebastian Baum
-  Kim Berghaus
-  Elisabetta Bossio
-  Jamie Boyd
-  Torsten Bringmann
-  Pilar Coloma
-  Pratika Dayal
-  Miguel Escudero
-  Angelo Esposito
-  Elina Fuchs
-  Saniya Heeba
-  Kyle Leach
-  Seung Joon Lee
-  Laura Molina Bueno
-  Diego Redigolo
-  Giovanni Villadoro
-  Susanne Westhoff
-  Sam Witte

# Thank you...

- ... to all the lecturers for giving an excellent overview of the FIPs landscape
- ... to all participants for making FIPs in the ALPs such an exciting, entertaining and inspirational event
- ..., Gaia, for all your time and hard work, which made this school possible

