Synchrotron Light, Beam Dynamics and Light Sources

Lenny Rivkin

Paul Scherrer Institute (PSI)

and Swiss Federal Institute of Technology Lausanne (EPFL)



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023

Synchrotron Light

Lenny Rivkin

Paul Scherrer Institute (PSI) and Swiss Federal Institute of Technology Lausanne (EPFL)



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023

Curved orbit of electrons in magnetic field



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023

EPFL

Electromagnetic waves or photons



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023



Crab Nebula 6000 light years away





First light observed 1054 AD

GE Synchrotron New York State



G Ε Ν Ε R A \bigcirc Ν

First light observed 24 April, 1947

Synchrotron radiation: some dates

- 1873 Maxwell's equations
- •1887 Hertz: electromagnetic waves
- 1898 Liénard: retarded potentials
- •1900 Wiechert: retarded potentials
- 1908 Schott: Adams Prize Essay

... waiting for accelerators ... 1940: 2.3 MeV betatron,Kerst, Serber

Maxwell equations (poetry)

War es ein Gott, der diese Zeichen schrieb Die mit geheimnisvoll verborg 'nem Trieb Die Kräfte der Natur um mich enthüllen Und mir das Herz mit stiller Freude füllen. Ludwig Boltzman



Was it a God whose inspiration Led him to write these fine equations Nature's fields to me he shows And so my heart with pleasure glows. translated by John P. Blewett 1873 Maxwell's equations

•1887 Hertz: electromagnetic waves

- 1898 Liénard: retarded potentials
- •1900 Wiechert: retarded potentials
- 1908 Schott: Adams Prize Essay

... waiting for accelerators ... 1940: 2.3 MeV betatron,Kerst, Serber



THEORETICAL UNDERSTANDING \rightarrow

1873 Maxwell's equations

 \rightarrow made evident that changing charge densities would result in electric fields that would radiate outward

1887 Heinrich Hertz demonstrated such waves:







It's of no use whatsoever[...] this is just an experiment that proves Maestro Maxwell was right—we just have these mysterious electromagnetic waves that we cannot see with the naked eye. But they are there.

- 1873 Maxwell's equations
- •1887 Hertz: electromagnetic waves
- 1898 Liénard: retarded potentials
- •1900 Wiechert: retarded potentials
- 1908 Schott: Adams Prize Essay

... waiting for accelerators ... 1940: 2.3 MeV betatron,Kerst, Serber

Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023

Donald Kerst: first betatron (1940)



"Ausserordentlichhochgeschwindigkeitelektronenentwickelnden schwerarbeitsbeigollitron" Synchrotron radiation: some dates

- 1946 Blewett observes energy loss due to synchrotron radiation 100 MeV betatron
- 1947 First visual observation of SR NAME!
 70 MeV synchrotron, GE Lab
- I949 Schwinger PhysRev paper
- 1976 Madey: first demonstration of Free Electron laser



Why do they radiate?



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023



Synchrotron Radiation is not as simple as it seems

... I will try to show that it is much simpler



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023



Charge at rest Coulomb field, no radiation





Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023

Uniformly moving charge does not radiate



But! Cerenkov!



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023



Free isolated electron cannot emit a photon

Easy proof using 4-vectors and relativity

momentum conservation if a photon is emitted

$$\boldsymbol{P}_i = \boldsymbol{P}_f + \boldsymbol{P}_{\gamma}$$

square both sides

$$m^2 = m^2 + 2\boldsymbol{P}_f \cdot \boldsymbol{P}_{\gamma} + 0 \Rightarrow \boldsymbol{P}_f \cdot \boldsymbol{P}_{\gamma} = 0$$

in the rest frame of the electron

$$\boldsymbol{P}_f = (m, 0) \qquad \boldsymbol{P}_{\gamma} = (E_{\gamma}, p_{\gamma})$$

this means that the photon energy must be zero.



We need to separate the field from charge



Bremsstrahlung or "braking" radiation



Transition Radiation



$$c_1 = \frac{1}{\sqrt{\epsilon_1 \mu_1}} \qquad c_2 = \frac{1}{\sqrt{\epsilon_2 \mu_2}}$$

Liénard-Wiechert potentials

$$\varphi(\mathbf{t}) = \frac{1}{4\pi\varepsilon_0} \frac{\mathbf{q}}{\left[\mathbf{r}\left(1 - \mathbf{\vec{n}} \cdot \mathbf{\vec{\beta}}\right)\right]_{ret}} \qquad \qquad \mathbf{\vec{A}}(\mathbf{t}) = \frac{\mathbf{q}}{4\pi\varepsilon_0} \mathbf{c}^2 \left[\frac{\mathbf{\vec{v}}}{\mathbf{r}\left(1 - \mathbf{\vec{n}} \cdot \mathbf{\vec{\beta}}\right)}\right]_{ret}$$

and the electromagnetic fields:

$$\nabla \cdot \vec{\mathbf{A}} + \frac{1}{c^2} \frac{\partial \varphi}{\partial t} = 0$$
 (Lorentz gauge)

$$\vec{\mathbf{B}} = \nabla \times \vec{\mathbf{A}}$$

$$\vec{\mathbf{E}} = -\nabla \boldsymbol{\varphi} - \frac{\partial \vec{\mathbf{A}}}{\partial t}$$

Fields of a moving charge

$$\vec{\mathbf{E}}(t) = \frac{q}{4\pi\varepsilon_0} \left[\frac{\vec{\mathbf{n}} - \vec{\beta}}{\left(1 - \vec{\mathbf{n}} \cdot \vec{\beta}\right)^3 \gamma^2} \cdot \frac{1}{\mathbf{r}^2} \right]_{ret} + \text{``near field''}$$

$$\frac{q}{4\pi\varepsilon_0 c} \left[\frac{\vec{\mathbf{n}} \times \left[(\vec{\mathbf{n}} - \vec{\beta}) \times \vec{\beta} \right]}{\left(1 - \vec{\mathbf{n}} \cdot \vec{\beta} \right)^3 \gamma^2} \cdot \frac{1}{\mathbf{r}} \right]_{ret} \quad \text{``far field''}$$

$$\vec{\mathbf{B}}(t) = \frac{1}{c} [\vec{\mathbf{n}} \times \vec{\mathbf{E}}]$$

Energy flow integrated over a sphere

Power ~
$$E^2$$
 · Area $A = 4\pi r^2$

Near field
$$P \propto \frac{1}{r^4} r^2 \propto \frac{1}{r^2}$$

Far field
$$P \propto \frac{1}{r^2} r^2 \propto const$$

Radiation = constant flow of energy to infinity

Transverse acceleration



Radiation field quickly separates itself from the Coulomb field



Longitudinal acceleration



Radiation field cannot separate itself from the Coulomb field

Synchrotron Radiation Basic Properties



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023



Beams of ultra-relativistic particles: e.g. a race to the Moon

An electron with energy of a few GeV emits a photon... a race to the Moon!

$$\Delta t = \frac{L}{\beta c} - \frac{L}{c} = \frac{L}{\beta c} (1 - \beta) \sim \frac{L}{\beta c} \cdot \frac{1}{2\gamma^2}$$

Electron will lose

- by only 8 meters
- the race will last only 1.3 seconds

$$\Delta L = L(1-\beta) \cong \frac{L}{2\gamma^2}$$

 $\beta \equiv \frac{\nu}{c}$

$$\gamma \equiv \frac{E}{mc^2} = \frac{1}{\sqrt{1-\beta^2}}$$



Moving Source of Waves: Doppler effect



Cape Hatteras, 1999

Time compression

Electron with velocity β emits a wave with period T_{emit} while the observer sees a different period T_{obs} because the electron was moving towards the observer



The wavelength is shortened by the same factor

$$\lambda_{obs} = (1 - \beta \cos \theta) \lambda_{emit}$$

in ultra-relativistic case, looking along a tangent to the trajectory



since

$$1 - \beta = \frac{1 - \beta^2}{1 + \beta} \cong \frac{1}{2\gamma^2}$$

Radiation is emitted into a narrow cone



Sound waves (non-relativistic)

Angular collimation





Doppler effect (moving source of sound)

$$\lambda_{heard} = \lambda_{emitted} \left(1 - \frac{\mathbf{v}}{\mathbf{v}_s} \right)$$



Synchrotron radiation power



$$C_{\gamma} = \frac{4\pi}{3} \frac{r_e}{(m_e c^2)^3} = 8.858 \cdot 10^{-5} \left[\frac{\text{m}}{\text{GeV}^3}\right]$$

The power is all too real!



ig. 12. Damaged X-ray ring front end gate valve. The power incident on the valve was approximately 1 kW for a duration estimated to 2-10 min and drilled a hole through the valve plate.

Synchrotron radiation power

Power emitted is proportional to:

$$P_{\gamma} = \frac{cC_{\gamma}}{2\pi} \cdot \frac{E^4}{\rho^2}$$

$$C_{\gamma} = \frac{4\pi}{3} \frac{r_e}{(m_e c^2)^3} = 8.858 \cdot 10^{-5} \left[\frac{\text{m}}{\text{GeV}^3}\right]$$



$$P_{\gamma} = \frac{2}{3}\alpha\hbar c^2 \cdot \frac{\gamma^4}{\rho^2}$$



$$\hbar c = 197 \text{ Mev} \cdot \text{fm}$$



PAUL SCHERRER INSTITUT

Energy loss per turn:

$$U_0 = C_{\gamma} \cdot \frac{E^4}{\rho}$$



Typical frequency of synchrotron light

Due to extreme collimation of light observer sees only a small portion of electron trajectory (a few mm)



Short magnet: higher energy photons

When Lorentz factor is not very high (e.g. protons)...



Other Ideas? Pulse length: difference in times it takes an electron and a photon to cover this distance





Spectrum of synchrotron radiation

- Synchrotron light comes in a series of flashes every T_0 (revolution period)
- the spectrum consists of harmonics of

$$\omega_0 = \frac{1}{T_0}$$



 flashes are extremely short: harmonics reach up to very high frequencies

$$\omega_{typ} \cong \gamma^3 \omega_0$$

$$\omega_0 \sim 1 \text{ MHz}$$

 $\gamma \sim 4000$
 $\omega_{\text{typ}} \sim 10^{16} \text{ Hz !}$

• At high frequencies the individual harmonics overlap

continuous spectrum !

Wavelength continuously tunable !







Synchrotron radiation flux for different electron energies



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023

EPF

H. Wiedemann, *Synchrotron Radiation*Springer-Verlag Berlin Heidelberg 2003
H. Wiedemann, *Particle Accelerator Physics*Springer, 2015 <u>Open Access</u>

A.Hofmann, *The Physics of Synchrotron Radiation* Cambridge University Press 2004

A. W. Chao, M. Tigner, *Handbook of Accelerator Physics and Engineering*, World Scientific 2013



Radiation is emitted into a narrow cone



Radiation effects in electron storage rings

Average radiated power restored by RF

- Electron loses energy each turn to synchrotron radiation
- RF cavities accelerate electrons back to the nominal energy

Radiation damping

 Average rate of energy loss produces DAMPING of electron oscillations in all three degrees of freedom (if properly arranged!)

Quantum fluctuations

 Statistical fluctuations in energy loss (from quantized emission of radiation) produce RANDOM EXCITATION of these oscillations

Equilibrium distributions

 The balance between the damping and the excitation of the electron oscillations determines the equilibrium distribution of particles in the beam

$$U_0 \cong 10^{-3}$$
 of E

 $V_{RF} > U_0$

Radiation damping

Transverse oscillations



Accelerator based Photon Sources, L. Rivkin, Palanga, Lithuania, Baltic School of Physics, August 9/10, 2023

Average energy loss and gain per turn

 Every turn electron radiates small amount of energy

$$E_1 = E_0 - \frac{U_0}{E_0} = E_0 \left(1 - \frac{U_0}{E_0} \right)$$

 only the amplitude of the momentum changes

- Only the longitudinal component of the momentum is increased in the RF cavity
- Energy of betatron oscillation



$$A_1^2 = A_0^2 \left(1 - \frac{U_0}{E_0} \right)$$
 or $A_1 \cong A_0 \left(1 - \frac{U_0}{2E_0} \right)$





Damping of vertical oscillations

But this is just the exponential decay law!

 The oscillations are exponentially damped with the damping time (milliseconds!)

$$\tau = \frac{2ET_0}{U_0}$$

the time it would take particle to 'lose all of its energy'

In terms of radiation power

$$\tau = \frac{2E}{P_{\gamma}}$$

$$P_{\gamma} \propto E^4$$



Adiabatic damping in linear accelerators



$$x' = \frac{p_{\perp}}{p}$$
 decreases $\propto \frac{1}{E}$



In a **storage ring** beam passes many times through same RF cavity



Clean loss of energy every turn (no change in x')

- Every turn is re-accelerated by RF (x' is reduced)
- Particle energy on average remains constant

Emittance damping in linacs:



Radiation damping

Longitudinal oscillations

Longitudinal motion: compensating radiation loss U_0



- RF cavity provides accelerating field $f_{RF} = h \cdot f_0$ with frequency
 - h harmonic number
- The energy gain:

$$U_{RF} = eV_{RF}(\tau)$$

- Synchronous particle:
 - has design energy
 - gains from the RF on the average as as it loses per turn $\rm U_{\rm 0}$



Longitudinal motion: phase stability



- Particle ahead of synchronous one
 - gets too much energy from the RF
 - goes on a longer orbit (not enough B)
 >> takes longer to go around
 - comes back to the RF cavity closer to synchronous part.
- Particle behind the synchronous one
 - gets too little energy from the RF
 - goes on a shorter orbit (too much B)
 - catches-up with the synchronous particle

Longitudinal motion: energy-time oscillations

energy deviation from the design energy, or the energy of the synchronous particle



longitudinal coordinate measured from the position of the synchronous electron

Orbit Length

Length element depends on x

$$dl = \left(1 + \frac{x}{\rho}\right) ds$$

ds

dl

ρ

Horizontal displacement has two parts:



- To first order x_{β} does not change L
- x_{ϵ} has the same sign around the ring

Length of the off-energy orb
$$L_{\varepsilon} = \oint dl = \oint \left(1 + \frac{x_{\varepsilon}}{\rho}\right) ds = L_0 + \Delta L$$

$$\Delta L = \delta \cdot \oint \frac{D(s)}{\rho(s)} ds \quad \text{where} \quad \delta = \frac{\Delta p}{p} = \frac{\Delta E}{E}$$

Something funny happens on the way around the ring...

Revolution time changes with energy



Particle goes faster (not much!)

while the orbit length increases (more!)

$$T_0 = \frac{L_0}{c\beta}$$

$$\frac{d\beta}{\beta} = \frac{1}{\gamma^2} \cdot \frac{dp}{p} \quad \text{(relativity)}$$

$$\frac{\Delta L}{L} = \mathbf{\alpha} \cdot \frac{dp}{p}$$

• The "slip factor" $\eta \cong \alpha$ since $\alpha >> \frac{1}{\gamma^2}$

$$\frac{\Delta T}{T} = \left(\boldsymbol{\alpha} - \frac{1}{\gamma^2} \right) \cdot \frac{dp}{p} = \boldsymbol{\eta} \cdot \frac{dp}{p}$$

isochronous ring:

$$\eta = 0 \text{ or } \gamma = \gamma_{tr}$$

Not only accelerators work above transition



Dante Aligieri Divine Comedy Longitudinal motion: $P_{\gamma} \propto E^2 B^2$ damping of synchrotron oscillationsDuring one period of synchrotron oscillation:• when the particle is in the upper half-plane, it loses more
energy per turn, its energy gradually reduces



 when the particle is in the lower half-plane, it loses less energy per turn, but receives U₀ on the average, so its energy deviation gradually reduces

The synchrotron motion is damped

the phase space trajectory is spiraling towards the origin

Robinson theorem: Damping partition numbers

- Transverse betatron oscillations are damped with
- Synchrotron oscillations are damped twice as fast

$$\tau_x = \tau_z = \frac{2ET_0}{U_0}$$

$$\tau_{\varepsilon} = \frac{ET_0}{U_0}$$

The total amount of damping (Robinson theorem) depends only on energy and loss per turn

$$\frac{1}{\tau_x} + \frac{1}{\tau_y} + \frac{1}{\tau_{\varepsilon}} = \frac{2U_0}{ET_0} = \frac{U_0}{2ET_0} (J_x + J_y + J_{\varepsilon})$$

the sum of the partition numbers $J_x + J_z + J_{\epsilon} = 4$



Equilibrium beam sizes

Radiation effects in electron storage rings

Average radiated power restored by RF

- Electron loses energy each turn to synchrotron radiation
- RF cavities accelerate electrons back to the nominal energy

Radiation damping

 Average rate of energy loss produces **DAMPING** of electron oscillations in all three degrees of freedom (if properly arranged!)

Quantum fluctuations

 Statistical fluctuations in energy loss (from quantized emission of radiation) produce RANDOM EXCITATION of these oscillations

Equilibrium distributions

 The balance between the damping and the excitation of the electron oscillations determines the equilibrium distribution of particles in the beam

$$U_0 \cong 10^{-3}$$
 of E_0

$$V_{RF} > U_0$$

Quantum nature of synchrotron radiation

Damping only

- If damping was the whole story, the beam emittance (size) would shrink to microscopic dimensions!*
- Lots of problems! (e.g. coherent radiation)

* How small? On the order of electron wavelength

$$E = \gamma mc^2 = h\nu = \frac{hc}{\lambda_e} \implies \lambda_e = \frac{1}{\gamma} \frac{h}{mc} = \frac{\lambda_C}{\gamma}$$

 $\lambda_C = 2.4 \cdot 10^{-12} m$ – Compton wavelength

Diffraction limited electron emittance



Quantum nature of synchrotron radiation

Quantum fluctuations

 Because the radiation is emitted in quanta, radiation itself takes care of the problem!

 It is sufficient to use quasi-classical picture:
 » Emission time is very short
 » Emission times are statistically independent (each emission - only a small change in electron energy)

Purely stochastic (Poisson) process

Visible quantum effects

I have always been somewhat amazed that a purely quantum effect can have gross macroscopic effects in large machines;

and, even more,

that Planck's constant has just the right magnitude needed to <u>make practical</u> the construction of large electron storage rings. A significantly larger <u>or smaller value of</u>

would have posed serious -- perhaps insurmountable -- problems for the realization of large rings.

Mathew Sands

Quantum excitation of energy oscillations



For large time intervals RF compensates the energy loss, providing damping towards the design energy E_{θ}

Steady state: typical deviations from E_{θ} \approx typical fluctuations in energy during a damping time τ_{ε} Equilibrium energy spread: rough estimate

We then expect the rms energy spread to be

$$\sigma_{\varepsilon} \approx \sqrt{N \cdot \tau_{\varepsilon}} \cdot u_{ph}$$

and since $\tau_{\varepsilon} \approx \frac{E_0}{P_{\gamma}}$ and $P_{\gamma} = N \cdot u_{ph}$

 $\sigma_{\epsilon} \approx \sqrt{E_0 \cdot u_{ph}}$ geometric mean of the electron and photon energies!

Relative energy spread can be written then as:

$$\frac{\sigma_{\varepsilon}}{E_0} \approx \gamma \sqrt{\frac{\dot{\lambda}e}{\rho}}$$

$$\hat{\lambda}_e = \frac{\hbar}{m_e c} \approx 4 \cdot 10^{-13} m$$

it is roughly constant for all rings



 $\frac{\sigma_{\varepsilon}}{E_0} \sim const \sim 10^{-3}$

Equilibrium bunch length

Bunch length is related to the energy spread

 Energy deviation and time of arrival (or position along the bunch) are conjugate variables (synchrotron oscillations)



 $\hat{\tau} = \frac{\alpha}{\Omega} \left(\frac{\hat{\varepsilon}}{E} \right)$

recall that



Two ways to obtain short bunches:

RF voltage (power!)

$$\sigma_{\tau} \propto V_{\sqrt{V_{RF}}}$$

• Momentum compaction factor in the limit of $\alpha = 0$ isochronous ring: particle position along the bunch is frozen

$$\sigma_{ au} \propto lpha$$

3^O

Excitation of betatron oscillations





$$\Delta \varepsilon = \gamma \Delta x_{\beta}^{2} + 2\alpha \Delta x_{\beta} \Delta x_{\beta}' + \beta \Delta x_{\beta}'^{2} = \left[\gamma D^{2} + 2\alpha D D' + \beta D'^{2} \right] \cdot \left(\frac{\varepsilon_{\gamma}}{E} \right)^{2}$$

Excitation of betatron oscillations

Electron emitting a photon

- at a place with non-zero dispersion
- starts a betatron oscillation around a new reference orbit

$$x_{\beta} \approx D \cdot \frac{\mathcal{E}_{\gamma}}{E}$$



Horizontal oscillations: equilibrium

Emission of photons is a random process

Again we have random walk, now in **x**. How far particle will wander away is limited by the radiation damping The balance is achieved on the time scale of the damping time $\tau_x = 2 \tau_e$

$$\sigma_{x\beta} \approx \sqrt{\mathcal{N} \cdot \tau_x} \cdot D \cdot \frac{\varepsilon_{\gamma}}{E} = \sqrt{2} \cdot D \cdot \frac{\sigma_{\varepsilon}}{E}$$

Typical horizontal beam size ~ 1 mm

Quantum effect visible to the naked eye!

Vertical size - determined by coupling

Beam emittance

Betatron oscillations

• Particles in the beam execute betatron oscillations with different amplitudes. $\sigma_{x'}$

Transverse beam distribution

- Gaussian (electrons)
- "Typical" particle: 1σ ellipse (in a place where $\alpha = \beta' = 0$)

Emittance
$$\equiv \frac{\sigma_x^2}{\beta}$$

Units of
$$\varepsilon \quad [m \cdot rad]$$

 $\varepsilon = \sigma_x \cdot \sigma_x$
 $\sigma_x = \sqrt{\varepsilon \beta}$
 $\sigma_{x'} = \sqrt{\varepsilon \beta}$
 $\beta = \frac{\sigma_x}{\sigma_x}$

Area = $\pi \cdot \varepsilon$

► X

 σ_{x}

2-D Gaussian distribution



Probability to be inside $n-\sigma$ ellipse

$$P_n = 1 - e^{-n^2/2}$$