Deriving the Simplest Gauge/ String Duality

with Rajesh Gopakumar

- Part I: Open-Closed-Open Triality (arXiv: 2212.05999)
- Part II: The B-Model
- Part III: The A-Model

Edward Mazenc (UChicago \rightarrow ETH) **Precision Holography Workshop - June 5th 2023, CERN**

Today's Focus: Moments in the Gaussian Matrix Model as Stringy Correlators via OCO-Triality



The Big Picture Holography as Open/Closed String Duality



Open String Description Matrices e.g. $\mathcal{N} = 4$ SYM



Low-energy "Effective" Description GR + QFT e.g. QG in Anti-de Sitter

n $dM_{N\times N} e^{-\frac{N}{g}TrV(M)} \prod TrM^{k_i}$ *i*=1 [BIPZ'80]





A PLANAR DIAGRAM THEORY FOR STRONG INTERACTIONS

G. 't Hooft CERN - Geneva

Matrix Models and Strings What is new here?

• Previously required doublescaling limit: Feynman diagrams as "latticization" of the worldsheet

[Cf. Gross-Migdal; Douglas-Shenker; Brezin-Kazakov]



• **Dijkgraaf-Vafa**: matrix integrals from localized holomorphic Chern-Simons Theory (i.e. open string field theory on branes in non-compact CYs)



Their proposal: closed B-model string on spectral curve of matrix model?





Three Main Takeaways

How do large N gauge theories reorganize themselves into closed string theories?

Use Strebel parametrization of

 $\mathcal{M}_{g,n} \times \mathbb{R}^n_+$ (via lengths of edges) [Cf. Strebel, Kontsevich]

Can we identify a world-sheet theory which gives rise to these moduli-space integrals? For a 2-matrix model: We give 2 string theories B-Model: Superpotential derived from matrix model spectral curve A-Model: Twisted $(SL(2,\mathbb{R})/U(1))_1$ coset model with momentum condensate

"Each gauge theory FD as a string worldsheet"



What constitutes a derivation of open-closed duality? We rewrite all correlators of traces exactly as integrals over $\mathcal{M}_{g,n}$ **Open-Closed Operator dictionary**: Tr $M^k \leftrightarrow \mathcal{O}_k$

Today's Roadmap

The Proposal An equality of matrix and string correlators

The Verification OCO-Triality: 2 equivalent matrix models **OCO-Triality:** the broader lessons

The Derivation

A-Model: Lattice points on $\mathcal{M}_{g,n}$, Belyi Maps & the BMN-limit

B-Model: Topological Recursion, Top. Matter + 2d gravity & the BMN-limit

The Proposal Matrix Correlators as Stringy *n*-point Functions

Concrete String Dual to the Gaussian MM An explicit all-genus mapping of correlators



(Interacting theories correspond to deformations of background)

The Underlying Logic A web of dualities & papers

Topological recursion and moduli space integral from **B-Model** string

[Cf. Eynard-Orantin, Eynard, Dunin-Barkowski, Orantin, Shadrin, Spitz]

Closed B-Model String: Top. L.G.-theory w/ W(Z) Superpotential

Ghoshal-Vafa. Ghoshal-Imbimbo-Mukhi, Hanany-Oz-Plesser]

 W_{∞} **Relations**



The Verification

An Equality of Matrix Integrals and the Appearance of the c=1 String

A New Equality of 2 Matrix Integrals Open-Closed-Open Triality as Verification



$$D = \frac{1}{Z_N} \int dK dM_{N \times N} e^{+\frac{1}{g} Tr(V(K) - K(M - Y))} \prod_{a=1}^{Q} \det(x_a - \frac{(-1)^{NQ}}{Z_Q}) \int dA dB_{Q \times Q} e^{-\frac{1}{g} Tr(V(A) + A(B - X))} \prod_{i=1}^{N} \det(y_i - \frac{1}{Q}) det(y_i - \frac{1}{Q})$$

"Color-Flavor Transformation"

[Cf. Maldacena-Moore-Seiberg-Shih, Aganagic-Dijkgraaf-Klemm-Marino-Vafa, Goel - H. Verlinde, Altland-Sonner]

$$Tr_N\left[(\psi\psi^{\dagger})^k\right] = (-1)^{2k-1}Tr_Q\left[(\psi\psi^{\dagger})^k\right] = (-1)^{2k-1}Tr_Q\left[(\psi\psi^{\dagger})^k\right]$$

\rightarrow Reverse Steps

$$A_{ba} = -g\psi_{ia}^{\dagger}\psi_{ib}$$





The Imbimbo-Mukhi Matrix Model **Traces as tachyon modes in c=1 at self-dual radius**

$$\frac{1}{Z_{N}}\int dKdM_{N\times N}e^{-\frac{1}{g}\operatorname{Tr}\left(V_{p}(K)-K(M-f)\right)}\prod_{a=1}^{Q}\det(x_{a}-M) = \frac{1}{Z_{Q}}\int dAdB_{Q\times Q}e^{+\frac{1}{g}\operatorname{Tr}\left(V_{p}(A)+A(B-X)\right)}\prod_{i=1}^{N}\det(y_{i}-B) = \frac{1}{det(y_{i}-B)}\int dE(X)^{-N}\int dA_{Q\times Q}e^{-NTr\left(V_{p}(A)-AX\right)-(N+Q)Tr}$$

 $Z_{IM}(t_k, \bar{t}_k) = \det(X)^{-i\mu} \int dA_{\xi}$

Exact Operator Dictionary:

 $\frac{1}{Nk} Tr M^k \leftrightarrow \frac{\partial}{\partial \bar{t}_k} \leftrightarrow T_{-k}$

[Cf. "Kontsevich-Penner-Model", Chekhov et al., Bonora-Xiong, Moore-Plesser-Rangoolam]

$$\int dA_{Q \times Q} e^{-NTr\left(V_p(A) - AX\right) - (N+Q)Tr\log(A)} \times (\text{Penner Model})$$

$$Q \times Q e^{+i\mu \sum_{k=1} t_k Tr(A^k) + i\mu Tr(AX) - (i\mu + Q)Tr\log(A)} = \frac{1}{2} T_{k} (M + Q) = \frac{1}{2} T_$$

Genus-expansion = large N expansion

$$\bar{t}_k = \frac{1}{k} T r_Q \left(X^{-1} \right)$$

Generating Function of "Tachyon" correlators in "c=1 2d-string theory" in large phase space









All Genus 1-pt Function A detailed sanity check

 $\left\langle \frac{1}{N} Tr M^{2n} \right\rangle_{Gaussian} = 2n \left\langle T_{-2n} \right\rangle_{t_2}$

[Gopakumar-Mukhi ('95), -unpublished]



Gaussian Matrix Model \leftrightarrow c=1 string at self-dual radius with momentum +2 tachyon-background



 $=\frac{1}{N^{2n+1}}\frac{1}{2n+1} \oint dz z^{-N} e^{-\frac{N}{2}t_2 z^2} \partial_z^{2n+1} \left(z^N e^{+\frac{N}{2}t_2 z^2}\right)$



How This Verifies Our Proposal From c=1 to A- and B-model string



 $T_{-k} \leftrightarrow \mathcal{V}_{k} = ce^{-\frac{(\kappa-2)}{\sqrt{2}}\phi} e^{-i\frac{k}{\sqrt{2}}X}$

[Mukhi-Vafa, Ashok-Murthy-Troost, Nakamura-Niarchos]

Nk

$$T_{-k} \Leftrightarrow \mathcal{T}_{-k}(Z) \equiv \left(\frac{\partial}{\partial Z} W(Z,t)^k\right)$$



Ghoshal-Vafa, Ghoshal-Imbimbo-Mukhi, Hanany-Oz-Plesser]

From duality with c=1 string



Role of double-scaling? Imbimbo-Mukhi vs. Kontsevich



$$Z_{IM} \propto \int dA_{Q \times Q} e^{-\frac{1}{g}Tr\left(V_p(A) - AX\right) - (N+Q)Tr\log(A)}$$

" $N \rightarrow \infty$ " Double-scaling

[Hashimoto-Huang-Klemm-Shih]

 $V_p(z) = \sum_{k=1}^{p} \frac{1}{k} (z+1)^k$ $g = \frac{1}{N} = e^{p+1}$ $A = -1 + \epsilon Z$ $X = \epsilon^p \tilde{X}$

V-type **Double-scaled Hermitian MM**

[p = 2: Cf. Maldacena-Moore-Seiberg-Shih]

F-type $Q \times Q$ **Kontsevich MM**

 $Z_{Kontsevich} \propto \int dZ_{Q \times Q} e^{Tr\left(\frac{Z^{p+1}}{p+1} + Z\tilde{X}\right)}$

"N" Double-scaling **Q** fixed!

[Gaiotto-Rastelli]

p=2: OSFT c=28 Liouville +c=-2 on Q FZZT





OCO-Triality beyond Matrix Models 2 ways to reconstruct closed strings from open ones



OCO-Triality as Graph Duality V/F-type: 2 ways to reconstruct closed strings from gauge theory FDs



More on V- versus F-type **Reconstructing closed strings from open string strips**



(b) From worldlines to open string (c) Gluing homotopically equiva-(a) V-Dual Reconstruction strips lent ribbons (string bits)



Cf. Witten, Zwiebach



Glueing 3 open strings along (c)their midpoint









V- and F-type Open/Closed String duality Extending holographic duality to a triality



The Derivation Why do these closed string theories appear?

The A-Model How do we see the holomorphi the matrix?

How do we see the holomorphic maps from the WS to the TS from

Putting V-Type Open/Closed Duality to the Test



 $\langle \prod_{i=1}^{n} \frac{1}{Nk_i} : TrM^{k_i} : \rangle_c^g = \text{Sum over integer length Strebel graphs} \equiv N_{g,n}(k_1, \dots, k_n)$

Explicit sanity checks: $\langle \prod_{i=1}^{n} \frac{1}{N2k_i} : TrM^{2k_i} : \rangle_c^{g=0} = N_i$

 \rightarrow Gaussian correlators count lattice points on moduli space $\mathscr{M}_{g,n}$

 $N_{0,3}(8,8,8) = 1$

$$V_{g=0,n}(2k_1,\ldots,2k_n) \& \langle \frac{1}{N2k_1} : TrM^{2k_1} : \rangle_c^{g=1} = N_{g=1,n}(2k_1)$$



From Wick Contractions to Belyi Maps **Gaussian Correlators as Holomorphic Branched Covers**



[Cf. Rangoolam, Gopakumar]

$$\begin{aligned} \langle rM^{2k_i} \rangle &= \sum_{\alpha, \gamma \in S_{2k}} \delta(\alpha \cdot \beta \cdot \gamma) N^{-k+C_{\gamma}} \\ \beta &\in (2k_1) \dots (2k_n) \\ \end{cases} \begin{array}{l} \gamma &= (\alpha \cdot \beta)^{-1} \\ \gamma &= (\alpha \cdot \beta)^{-1} \\ \end{cases} \end{aligned}$$

Belyi Maps: Holomorphic covering maps $\Sigma_{g,n} \to \mathbb{P}^1$ of degree 2k with exactly three branch points $(0,1,\infty)$ and branching profile $(2k_1,\ldots,2k_n)$ at ∞ ; $(2)^k$ branching at **1**

<u>Belyi Thm</u>: Such Maps only exist for these integer points on $\mathcal{M}_{g,n}$

Such localization on moduli space $\mathcal{M}_{g,n}$ indeed already seen in $\frac{SL(2,\mathbb{R})_1}{TU(1)}$



The « BMN-Limit » **A New Perspective on Double-Scaling**



Wigner Semicircle \leftrightarrow full AdS Edge Region (Airy) \leftrightarrow pp-Wave geometry



$$V_{g,n}(2k_1,\ldots,2k_n) \rightarrow Vol_{Kontsevich}(2k_1,\ldots,2k_n)$$

Cover all of moduli space!



i=1

The B-Model How do we see the constant maps from the WS to the critical points of the super potential?



Finding a B-Model in Disguise The Many Faces of Topological Recursion



[Cf. Eynard-Orantin, Eynard, DOSS]

Gaussian Model Spectral Curve $x = \frac{1}{y} + ty$ Landau-Ginsburg Superpotential $W(Z) = \frac{1}{Z} + tZ$ (Cf. Dijkgraaf-Vafa)

Branchpoints of Spectral Curve dx = 0

Critical Points of Superpotential dW = 0

Topological Recursion: Residues at branchpoints of spec curve **B-model string:** localization to constant maps into critical points of W

Integrate out matter first: moduli space integral & intersection numbers Integrate out « gravity » first (cf. Losev): Top. Recursion as matter residue calculus with new contact terms



CohFT Correlators Traces as Matter Primaries + Gravitational Descendants



$$TrM^{2k} \leftrightarrow \mathbf{O}_{+} \sum_{d=0}^{k-1} \frac{(2k)!}{(k-d)!(k-1-d)!} \psi^{2d} + \mathbf{O}_{-} \sum_{d=0}^{k-1} \frac{(2k)!}{(k-1-d)!(k-1-d)!} \psi^{2d} + \mathbf{O}_{-} \sum_{d=0}^{k-1} \frac{(2k)!}{(k-1-d)!} \psi^{2d} +$$

Main tool: TR as CohFT (Eynard 2011 + DOSS 2014 + Giachetto Thesis+...)

> # Matter Primaries = # Edges of Eigenvalue Distribution

Extra psi-class Insertions

Sanity Checks: g=0 3pt & 4pt, and g=1 1pt correlators from explicit moduli-space integrals

 $\frac{d}{1-d!}\psi^{2d+1}$

Very Explicit Universal Operator Dictionary!



The BMN Limit - Take 2 « Washing Out the Matter Theory »

Reproduce Okounkov & Okounkov-Pandharipande!



From our operator dictionary

Maximize ψ -class insertions



Decouples matter theory



$\lim_{\kappa \to \infty} \frac{\langle Tr M^{2\kappa x_1} \dots Tr M^{2\kappa x_n} \rangle^{(g)}}{2^{2\kappa |x|} \kappa^{3g-3+3n/2}} = \frac{2^g}{(\pi)^{n/2}} \sum_{d_1 + \dots + d_n = d_{g,n}} \langle \prod_{\alpha=1}^n \psi_{\alpha}^{d_\alpha} \rangle_{\mathscr{M}_{g,n}} x_{\alpha}^{d_\alpha+1/2}$

 $\lim Tr M^{2k} \sim O_a \times 2^{2k} \sum k^{d+1/2} \psi^d$ $k \rightarrow \infty$

 $\langle O_{\alpha_1} \dots O_{\alpha_n} \rangle^{TFT} \times \begin{bmatrix} n \\ \prod \sum c_{\mathbf{k_i},d} \psi_i^d \end{bmatrix}$ gravity in BMN $\mathbf{J}_{\mathcal{M}_{g,n}} = 1 \quad d$

Pure 2d top limit!





AdS/CFT How do these lessons fit into holography?

The Even Bigger Picture As a topological subsector of "standard" *AdS/CFT*



Thank You!

All-genus 1-pt fn

Two B-Model g=0 4pt fn calculations

Happy to go into more details!

More on A-model "cigar"

Two B-Model Perspectives on 4-pt Fn. "Pure Matter" vs. Intersection Theory Computations of $N_{0,4}(2k_1,...,2k_4)$

"Pure Matter" LG w/ contact terms (cf. Losev)

 $W(Z) = \frac{1}{Z} + Z$

Start with 3-pt Fn. (Cf. Vafa)

 $N_{g=0,n=3}(k_{1},k_{2},k_{3}) = \langle \mathcal{O}_{k_{1}}\mathcal{O}_{k_{2}}\mathcal{O}_{k_{3}} \rangle$ = $\oint \frac{1}{W'(z)} \frac{1}{z^{k_{1}+z}} \frac{1}{z^{k_{1}+z}}$ = $\underset{z \to 1}{\text{Res}} \frac{z^{2}}{(z^{2}-1)^{k_{3}+z}}$

Matrix Model Answer: $\langle \prod_{i=1}^{n=4} \frac{1}{N2k_i} : TrM^{2k_i} : \rangle_c^{g=0} = N_{g=0,n=4}(2k_1, \dots, 2k_4) = k_1^2 + k_2^2 + k_3^2 + k_4^2 - 1$

$$\frac{1}{Nk}: TrM^k: \leftrightarrow \mathcal{O}_k \equiv \frac{1}{Z^{k+1}}$$

$$= \oint \frac{1}{W'(z)} \frac{1}{z^{k_{1}+1}} \frac{1}{z^{k_{2}+1}} \frac{1}{z^{k_{3}+1}}$$

$$= \underset{z \to 1}{\operatorname{Res}} \frac{z^{2}}{(z^{2}-1)} \frac{1}{z^{k_{1}+1}} \frac{1}{z^{k_{2}+1}} \frac{1}{z^{k_{3}+1}} + \underset{z \to -1}{\operatorname{Res}} \frac{z^{2}}{(z^{2}-1)} \frac{1}{z^{k_{1}+1}} \frac{1}{z^{k_{2}+1}} \frac{1}{z^{k_{3}+1}}$$

$$= \left(\frac{1}{2}\right) + (-1)^{k_{1}+k_{2}+k_{3}} \left(\frac{1}{2}\right)$$



Matter Theory as Iterated Residue Calculus B-Model "after integrating out gravity"

"Pure Matter" LG w/ contact terms (cf. Losev)

 $C_W(\mathcal{O}_{k_i}, \mathcal{O}_{k_i}) =$

 $\langle \mathcal{O}_{2k_1} \mathcal{O}_{2k_2} \mathcal{O}_{2k_3} \mathcal{O}_{2k_4} \rangle = \frac{d}{dt} \langle \mathcal{O}_{2k_1} \mathcal{O}_{2k_2} \mathcal{O}_{2k_2} \mathcal{O}_{2k_4} \rangle$

Contributions from deformed 3-pt fn

$$\frac{d}{dz}\left(\frac{\mathscr{O}_{k_{i}}\mathscr{O}_{k_{j}}}{W'(z)}\right) = \sum_{l=1}^{k_{i}+k_{j}} 2l \mathscr{O}_{2l}$$

$$_{k_{3}}\rangle_{W+t\mathcal{O}_{2k_{4}}}\Big|_{t=0} + \sum_{i=1}^{3} \langle C_{W}(\mathcal{O}_{2_{k}4}, \mathcal{O}_{2k_{i}}) \prod_{j\neq i}^{3} \mathcal{O}_{2k_{j}} \rangle$$

 $= -(2k_4 + 1)(k_1 + k_2 + k_3 + k_4 + 1) + k_1(1 + k_1) + k_2(1 + k_2) + k_3(1 + k_3) + 2k_4(k_1 + k_2 + k_3) + 3k_4(1 + k_4)$

Contributions from contact terms

$$= k_1^2 + k_2^2 + k_3^2 + k_4^2 - 1 = N_{g=0,n=4}(2k_1, \dots, 2k_4)$$

4-pt Function from Moduli Space Integral **B-Model "after integrating out matter"**



+Perm(1,2,3,4) +Perm(1,2,3,4)

From operator insertions

 $-\frac{3}{64}\langle\kappa_1\rangle_{\mathcal{M}_{0,4}} \qquad -\frac{3}{64}\langle\kappa_1\rangle_{\mathcal{M}_{0,4}}$

From "background" dual to matrix potential

Coefficients fixed both by local behavior of spectral curve & Bergmann kernel near branchpoints) and our new operator dictionary

 $= k_1^2 + k_2^2 + k_3^2 + k_2^2$

$$k_4^2 - 1 = N_{g=0,n=4}(2k_1, \dots, 2k_4)$$

Traces from Hodge-GUE All genus-0 and genus-1 correlators

$$\langle TrM^{2k_1} \dots TrM^{2k_a} \rangle_c^g = \sum_{h=0}^{\lfloor g/2 \rfloor} \frac{2^g}{2^{3h}(2h)!} \sum_{l=0}^{l} \frac{1}{l!} \int_{\mathcal{M}_{g-h,a+l+l}}$$

Reproduce

g = 0 n-pt Matrix Model answer

$$\left\langle \prod_{i=1}^{n} Tr M^{2k_i} \right\rangle_c^{g=0} = \frac{(k_{tot} - 1)!}{(k_{tot} - n + 2)!} \prod_{i=1}^{n} \frac{(2k_i)!}{(k_i)!(k_i - 1)!}$$

g = 1 n-pt(Also beyond MM answers) Cf. Morozov-Shakirov $\langle TrM^{2k_1}TrM^{2k_2}\rangle_{conn}^{g=1} = \begin{pmatrix} k \\ -k \end{pmatrix}$

Requires the λ_g -formula (Fab

Hodge bundle trivial, ψ -class intersection numbers purely combinotorial

$$\frac{k_1^2 + k_1 k_2 + k_2^2 - 2(k_1 + k_2) + 1}{12} \int_{i=1}^{2} \frac{(2k_i)!}{(k_i)!(k_i - 1)!} \int_{\mathcal{M}_{g,n}} \psi_1^{\alpha_1} \dots \psi_n^{\alpha_n} \lambda_g = \frac{(2g + n - 3)!}{\alpha_1! \dots \alpha_n!} \times \frac{2^{2g-1} - 1}{2^{2g-1}} \frac{|B_{2g}|}{(2g)!}$$

The A-Model: the Cigar From c=1 at self-dual radius to the topological coset

- Can map tachyon vertex operators in c=1 at self-dual radius to operators in coset model, giving operator dictionary for traces :
- $TrM^k \leftrightarrow D_{j=1/2}^k$, where the momentum background makes us
 - consider vertex operators in the spectrally flowed j = 1/2

sector

Compact ~ZZ // Non-compact~FZZT

All Genus 1-pt Function $\langle \frac{1}{N} Tr M^{2n} \rangle_{Gaussian} = 2n \langle T_{-2n} \rangle_{t_2}$ **A detailed sanity check**

c=1 string calculation:

Gaussian Matrix Model \leftrightarrow c=1 string at self-dual radius with momentum +2 tachyon-background

G

$$\begin{array}{l} \textbf{All Genus 1-pt Function} \\ \textbf{A detailed sanity check} \\ \textbf{aussian Matrix calculation:} \\ \langle \frac{1}{N}TrM^{2n} \rangle = \frac{1}{Z} \int dMe^{-\frac{N}{2t_2}TrM^2}TrM^{2n} = \left(\frac{2t_2}{N}\right)^n \frac{1}{N} \sum_{k=0}^{N-1} \frac{1}{h_k} \int dxe^{-x^2}F_k(x)F_k(x) \\ \end{array}$$

$$= \left(\frac{2t_2}{N}\right)^n \frac{1}{N} \frac{1}{h_{N-1}} \frac{1}{2n+1} \frac{2}{dxe^{-x^2}} \int dx e^{-x^2} x^{2n+1} F_N(x) F_N(x) F_N(x) F_N(x)$$

$$=\frac{1}{N^{2n+1}}\frac{1}{2n+1}\oint dz z^{-N}e^{-\frac{N}{2}t_2z^2}\partial_z^{2n+1}\left(z^Ne^{+\frac{N}{2}t_2z^2}\right)$$

 $F_{N-1}(x) \qquad \begin{array}{c} \text{Christoffel-} \\ \text{Darbboux} \end{array} \sum_{k=0}^{N-1} \frac{1}{h_k} F_k(x) F_k(x) = \frac{1}{h_{N-1}} \left(F'_N(x) F_{N-1}(x) - F_N(x) F'_{N-1}(x) \right)$ EOM $(2x - \partial_x)F'_k(x) = 2kF_k(x)$

 $= 2n \langle T_{-2n} \rangle_{t_2}$

 $F_{N}(x) = 2^{-N}(-1)^{N}e^{x^{2}}\frac{1}{2\sqrt{\pi}}\int (is)^{N}e^{isx-s^{2}/4}$ ation $F_{N-1} = 2^{-(N-1)}(N-1)!\oint \frac{e^{2ux-u^{2}}}{u^{N}}$

Integral Representation

