

Connecting The Dots 2023

8th International CTD Workshop
Université Paul Sabatier, Toulouse, France
10-13 October 2023

Background picture unmodified © Gremi35/Wikipedia Commons//CC-BY-SA-3.0/GFDL

FASER tracking system and performance

Ke Li

11/10/2023

Connecting the dots 2023



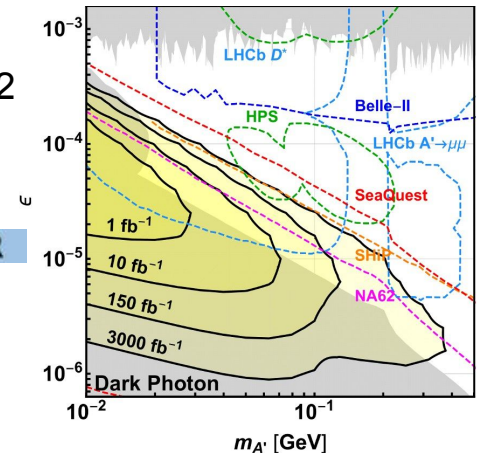
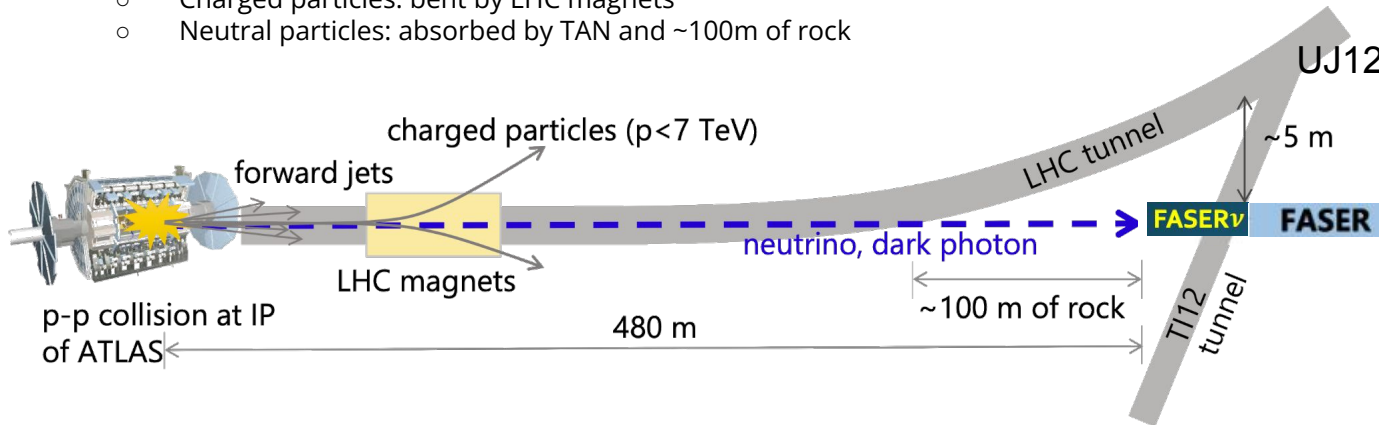
UNIVERSITY of
WASHINGTON₁

Outline

- Introduction of FASER experiment
- Silicon-strip tracker
- Tracking based on ACTS
- Alignment
- First physics results
- Summary and next todos

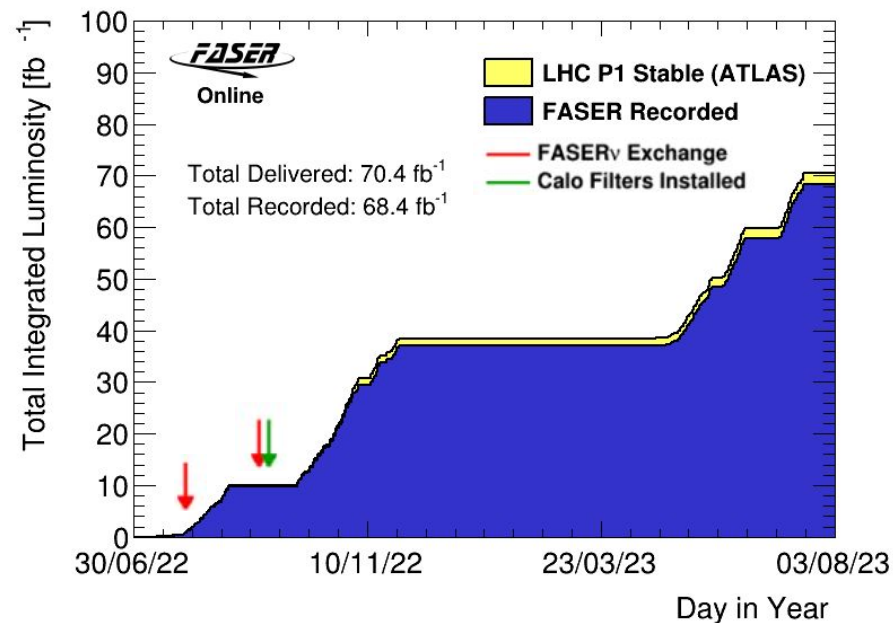
ForwArd Search ExpeRiment (FASER) at the LHC

- FASER is designed to search for **LLPs** and **neutrinos** produced in pp collisions at the ATLAS IP
- Light LLPs are produced in the decay of SM mesons, which are predominantly produced very collimated in the beam direction
- Even small detectors on (or close to) the **LOS** can have good sensitivity in these scenarios
 - $N \sim 10^{16}$ pions/ 10^{12} neutrinos in LHC Run 3 (2022-2025)
 - $E \sim \text{TeV}$, $\theta_{\text{beam axis}} \sim \text{mrad}$
 - e.g. 1% of pions with $E > 10$ GeV are produced in the forward 0.000001% of the solid angle ($\eta > 9.2$)
 - Even with 1 fb^{-1} of data FASER will have sensitivity to unconstrained parameter space
- Unique opportunities to search for long-lived particles and measure very high energy neutrino interactions
- Almost **background free**
 - Charged particles: bent by LHC magnets
 - Neutral particles: absorbed by TAN and $\sim 100\text{m}$ of rock



FASER operation at Run3

- Successfully constructed, installed and commissioned
- Smoothly operated throughout 2022
 - Continuous data taking
 - Largely automated
 - Up to 1.3 kHz
- Recorded 96.1% of delivered luminosity
 - DAQ dead-time of 1.3%
 - A couple of DAQ crashes
- Emulsion detector exchanged twice
 - Needed to manage the occupancy
 - First box only partially filled
- Calorimeter gain optimised for:
 - Low E (<300 GeV) before 2nd exchange
 - High E (up to 3 TeV) after the exchange
- Smoothly operating at 2023
 - Another $\sim 30 \text{ fb}^{-1}$ data

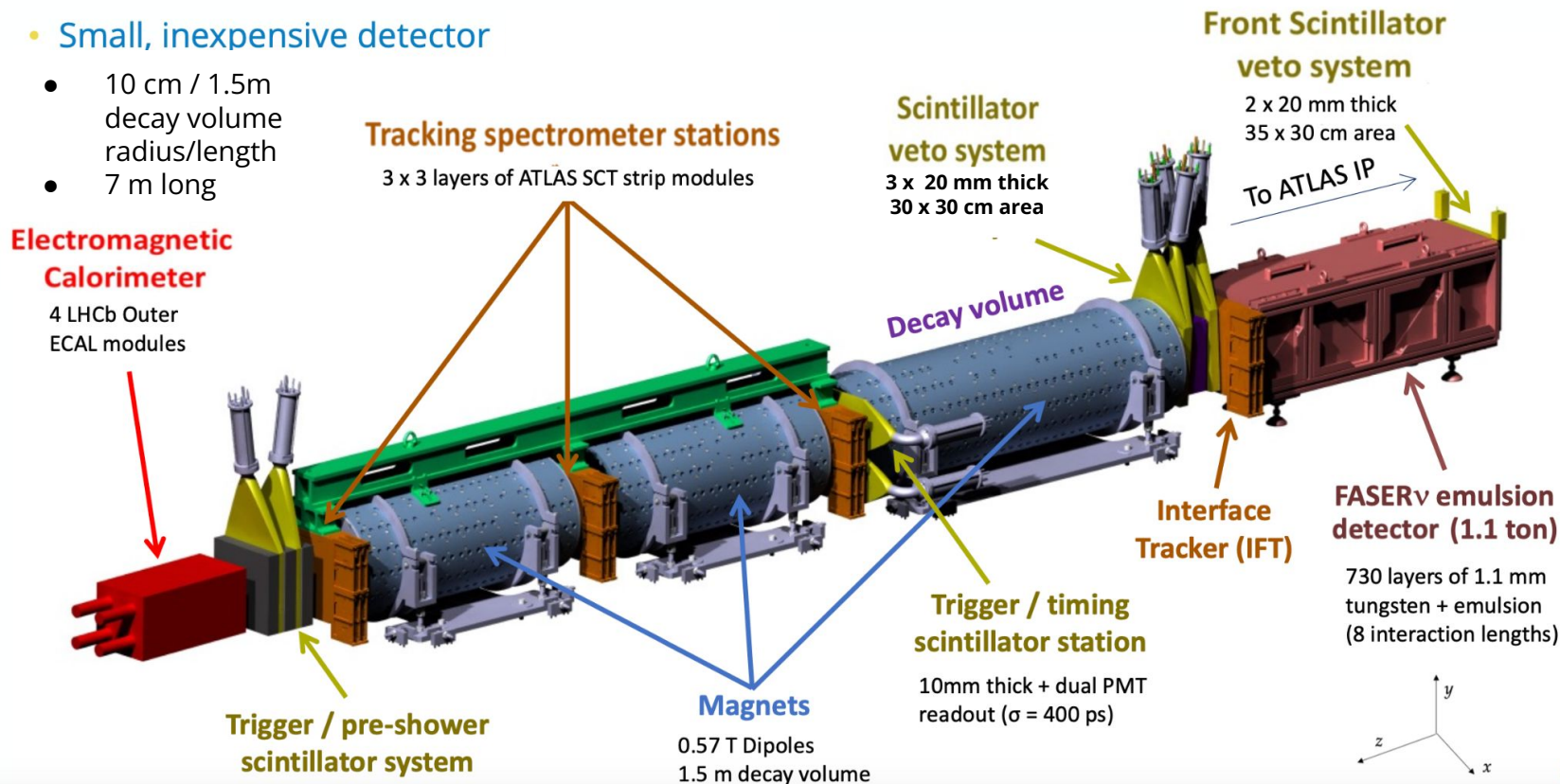


Analyses presented use 27.0 fb^{-1} or 35.4 fb^{-1} collected at 2022

FASER detector

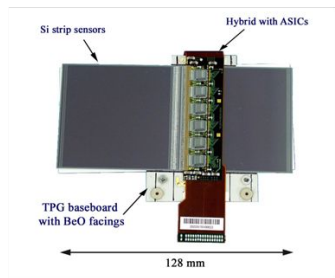
- Small, inexpensive detector

- 10 cm / 1.5m decay volume radius/length
- 7 m long



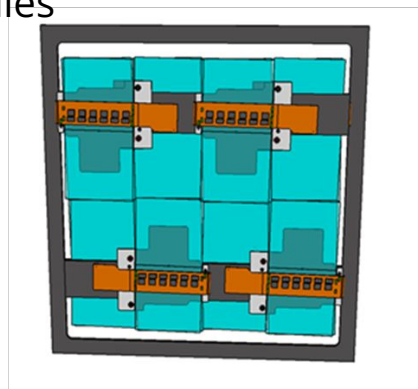
Silicon-strip Tracker

- Made by 4 tracking stations (including interface station)
 - Each containing a 3 layer (24cm x 24cm) of double-sided silicon micro-strip detectors
 - Each layer has 8 SCT modules
 - same SCT modules with ATLAS
 - 80 μ m strip pitch, 40mrad stereo angle
 - 12 layers => 96 SCT modules

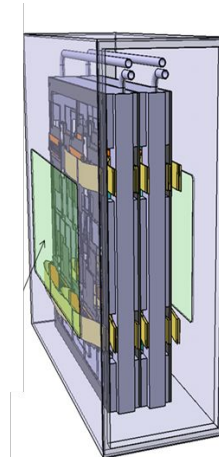


SCT module

Same with SCT in ATLAS



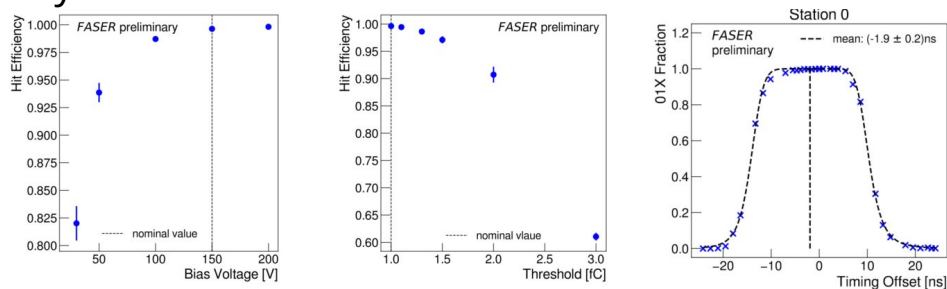
Tracking layer



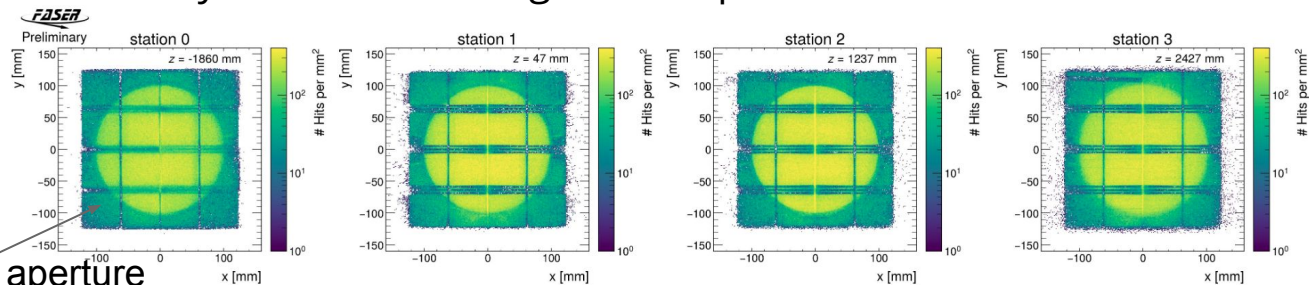
Tracking station

Tracker performance

- Build of same silicon strip module (SCT) as ATLAS, module fine time tuned with 390 ps precision
- Hit efficiency of $99.64 \pm 0.10\%$ at threshold of 1.0 fC and sensor bias 150V

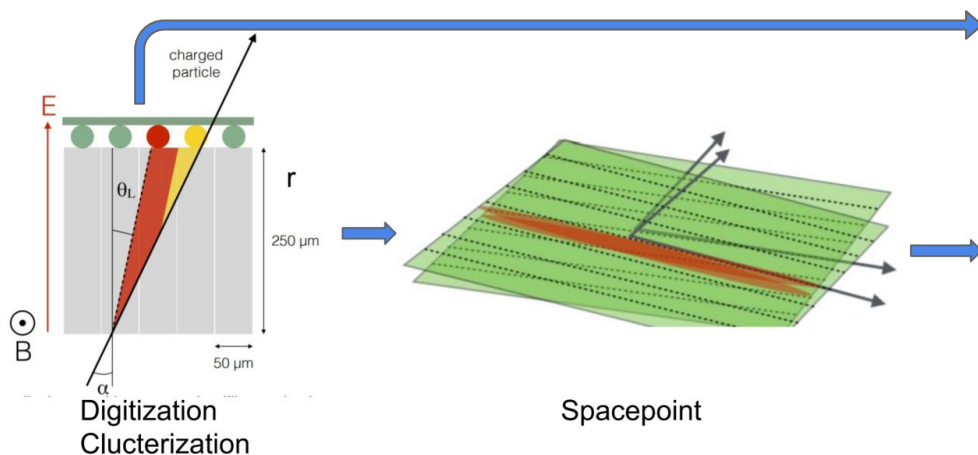


- Total number of dead/noisy strips $< 0.5\%$
- Inefficiency from module edges are expected



Magnets aperture

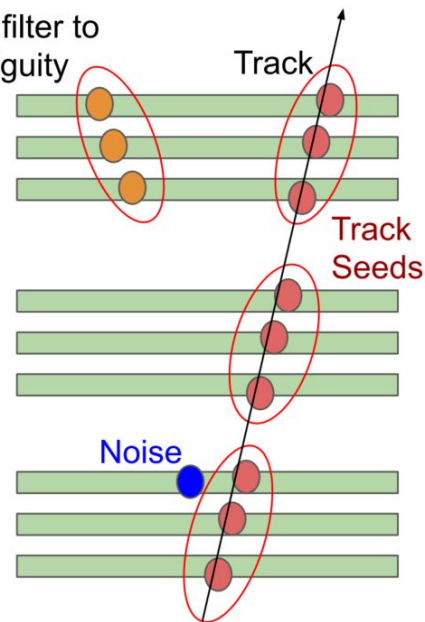
Track reconstruction



Same with ATLAS (Athena)

- Same EDM
- Similar algorithm

Use Kalman filter to resolve ambiguity

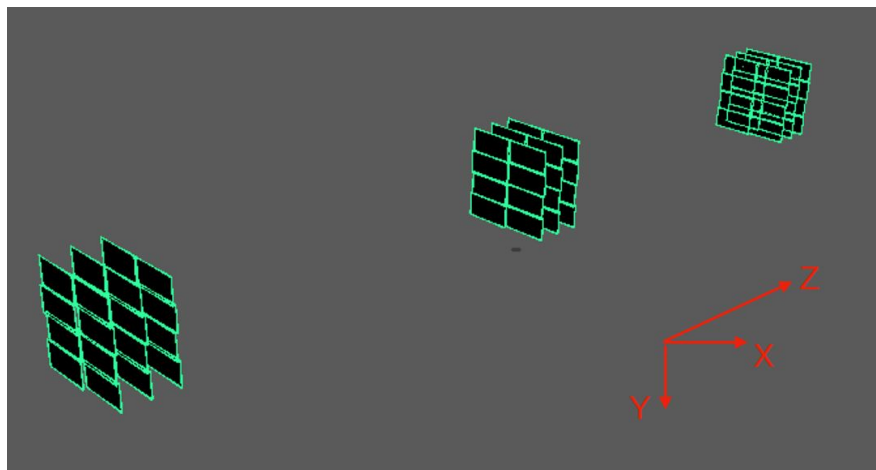


Acts

- (Combinatorial) Kalman Filter using cluster or spacepoint

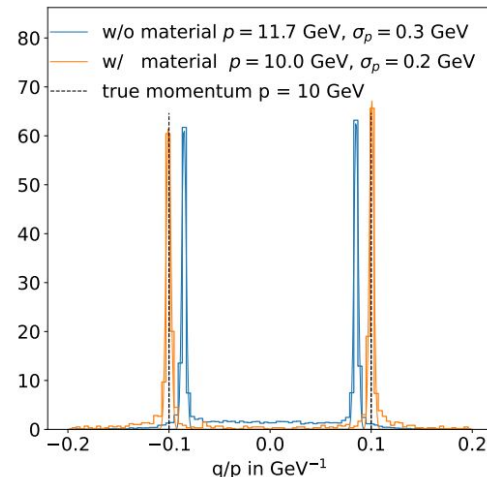
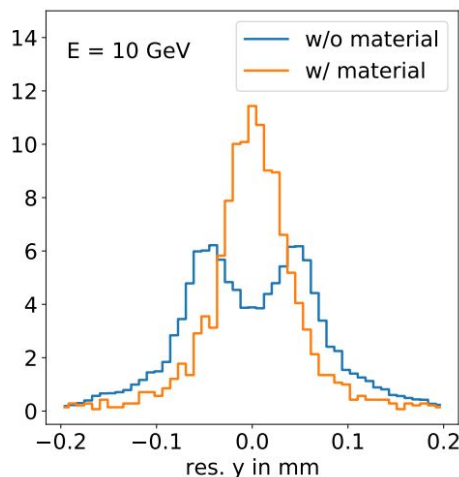
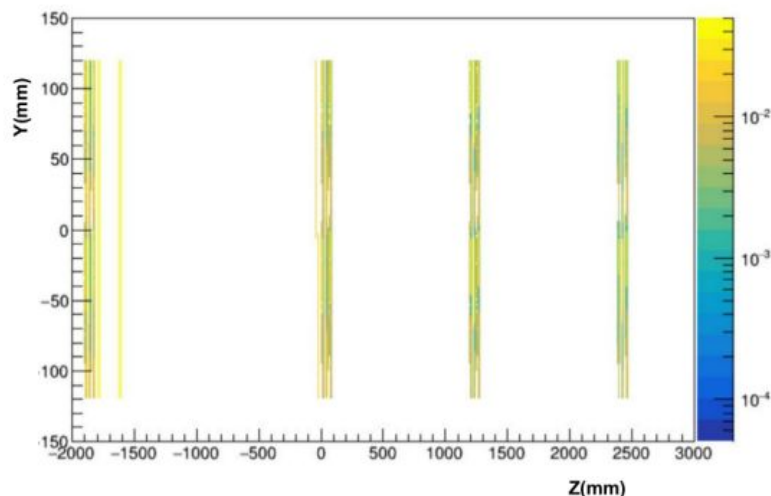
Tracking geometry with ACTS

- One cuboid volume for whole detector
 - One sub-volume for each tracker and veto/trigger stations
 - Each module has two plane surfaces and has shift on Z with nearby modules in the same layer
 - One material cylinder surface for magnets



Material mapping

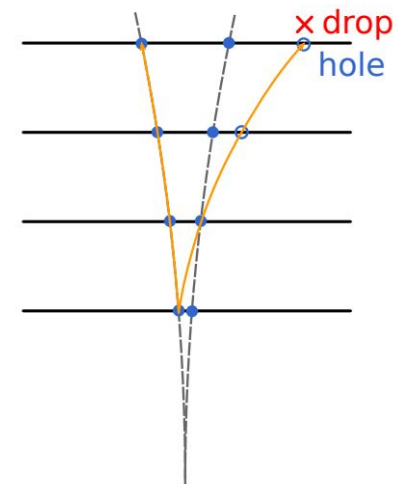
- Shoot geantino particles through whole detector and record the interactions with material
- Map the material to the simplified tracking geometry, i.e. surface, to consider the interactions with material correctly



Track reconstruction

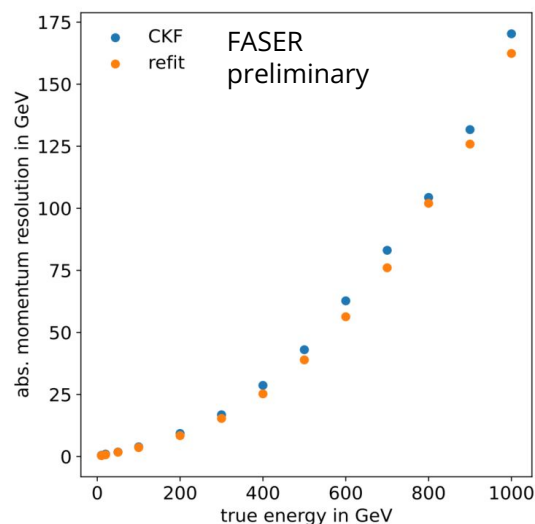
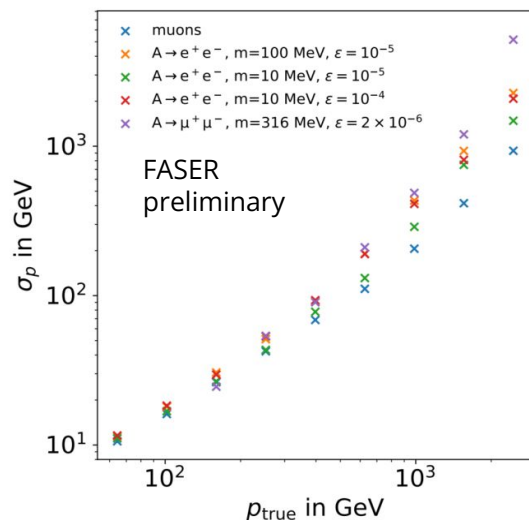
- Three approaches

- ACTS Kalman Filter (KF)
 - Loop over all the track candidates
- ACTS Combinatorial Kalman Filter (CKF)
 - Tracking finding + fitting
 - Loop over the initial parameters from all track candidates
 - Solve the ambiguity while propagating
- Chi2Fitter
 - Loop over all the track candidates
 - Use ACTS to propagate the track parameters to other layers
 - Use TMinuit to minimize $\chi^2 = \sum_i \frac{(x_{\text{meas}_i} - x_{\text{pred}_i})^2}{\sigma_x^2} + \frac{(y_{\text{meas}_i} - y_{\text{pred}_i})^2}{\sigma_y^2}$
- Cross check with each other



Combinatorial Kalman Filter

- The momentum resolution is tested with a series of MC simulations in ideal geometry
- Around 10% resolution at 100 GeV, and 17% at 1 TeV
- CKF input: a large covariance for initial parameter and all measurements
 - Refit with the previous results as input can improve the precision

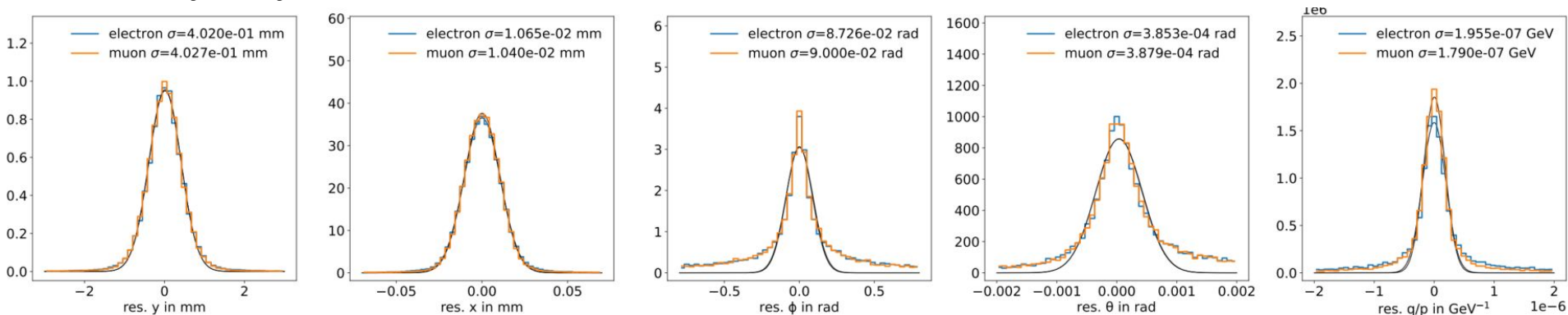


W.I.P.
More study are on going

Track parameters from CKF

- Tested with single particle MC simulation
- Track parameter is defined at a fixed plane surface
- Resolution for track x/y is $\sim 400/10 \mu\text{m}$
 - For single measurement (space point), resolution is $816/16 \mu\text{m}$

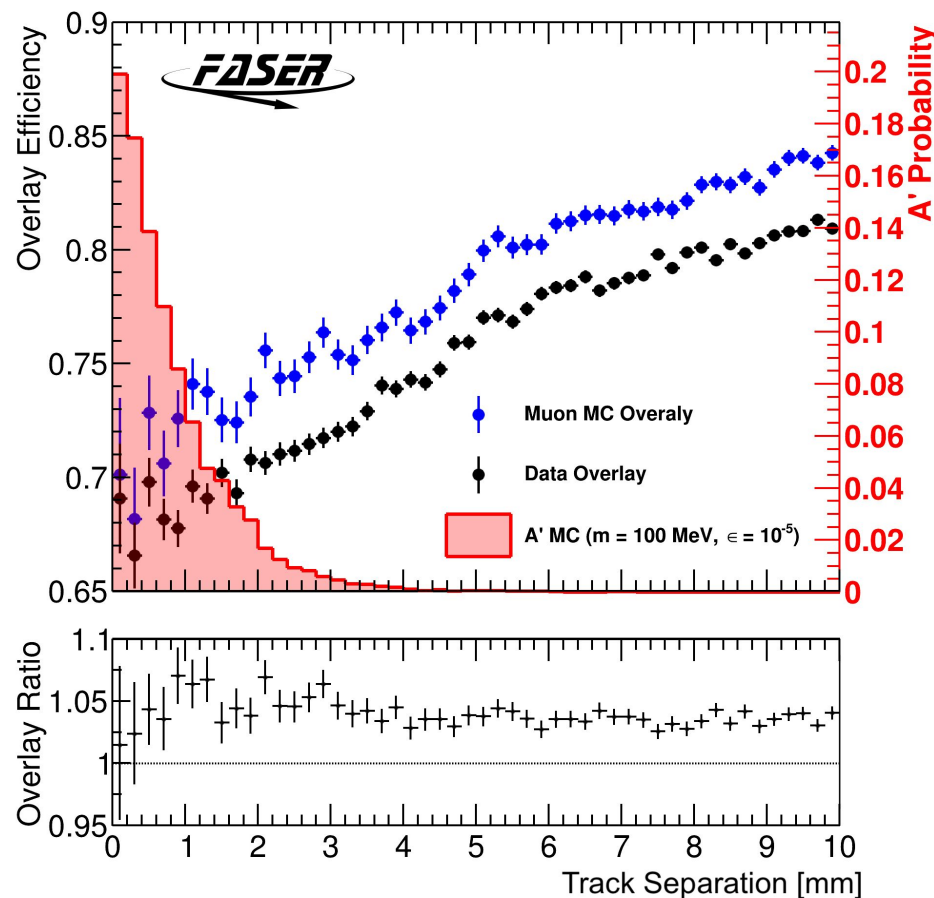
Preliminary study with MC



Track parameters: truth - reco

Reconstruction efficiency

- Reconstruction efficiency for two collimated tracks from MC and data
 - Dark photon is highly boosted
 - Two tracks are close to each other
 - Difficult to model the MC and remaining mis-alignment correctly
- Overlay events
 - Select two 1-track events and overlay the raw data
 - Re-run tracking and compare with 1-track event to get the efficiency
- Efficiency is **~70%**
- Difference between data and MC is taken as a systematic uncertainty
 - Dominant uncertainty, **~7%**



Alignment

Purpose:

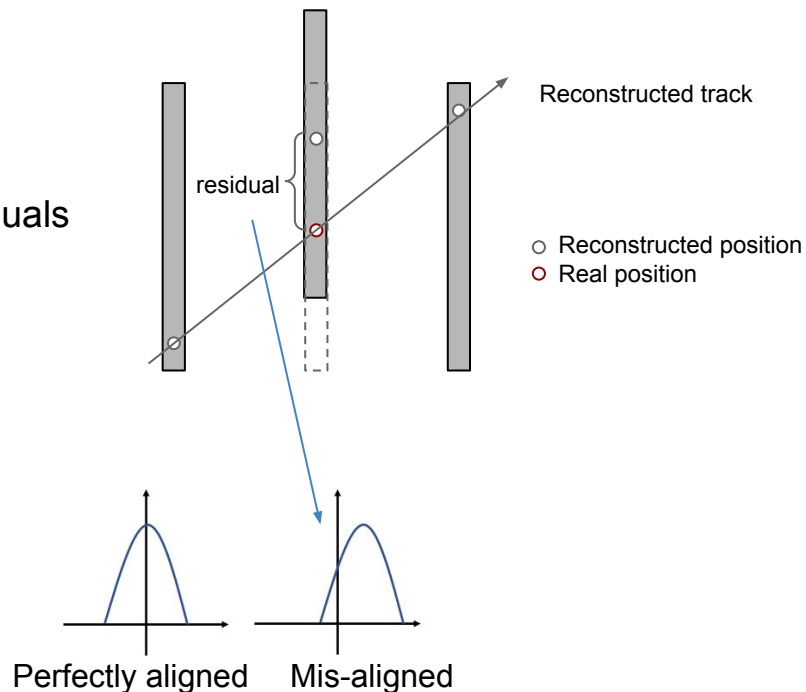
Calibrate the geometry

Method:

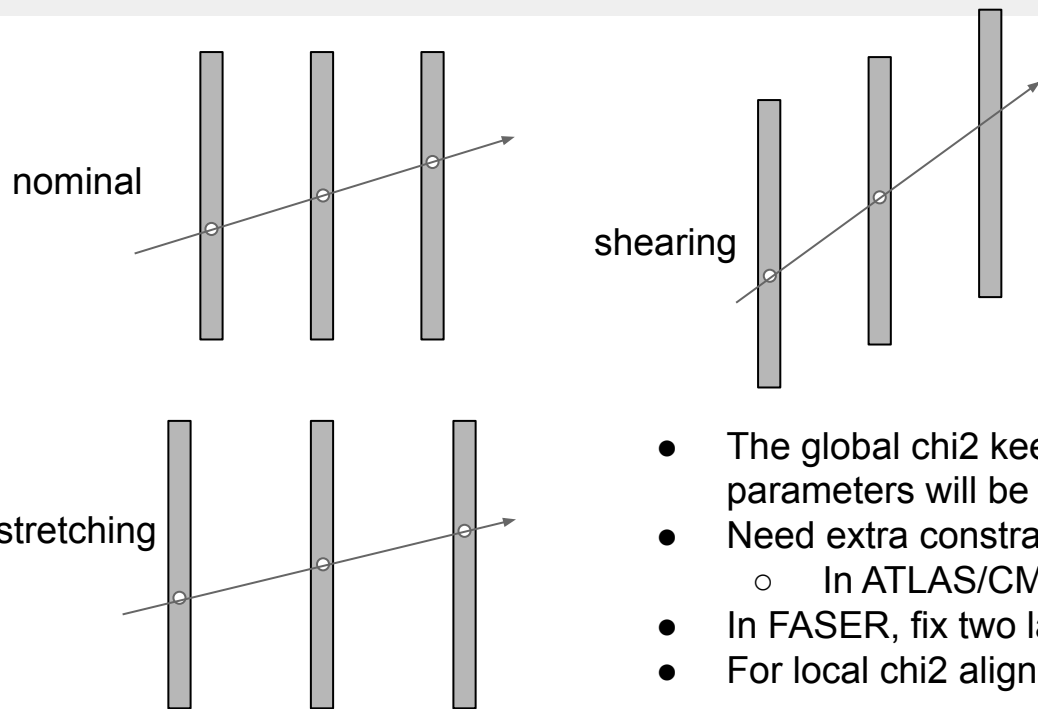
Minimize the χ^2 defined with residuals

Two approaches in FASER

- Global χ^2 using Millepede II
 - W.I.P.
- Iterative local χ^2



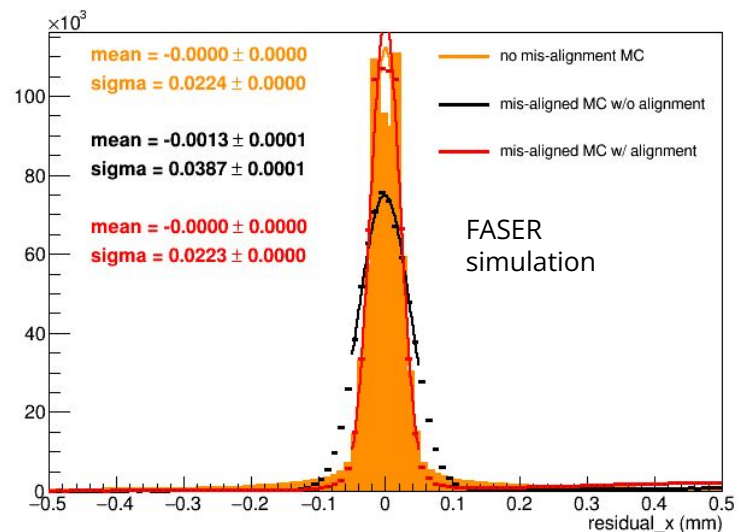
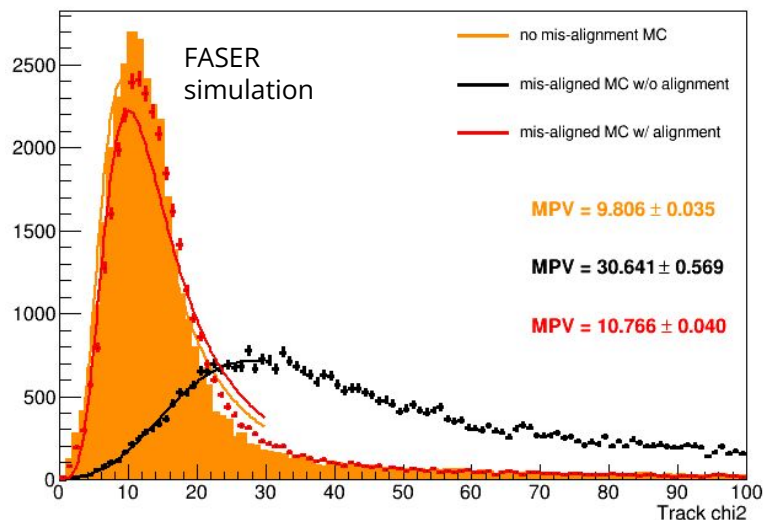
Weak mode and Alignment strategy



- The global χ^2 keeps invariant in weak modes but track parameters will be affected
- Need extra constraints
 - In ATLAS/CMS, good constraints from J/psi or Z mass
- In FASER, fix two layers in order to avoid weak modes
- For local χ^2 alignment, converged after ~ 20 iterations

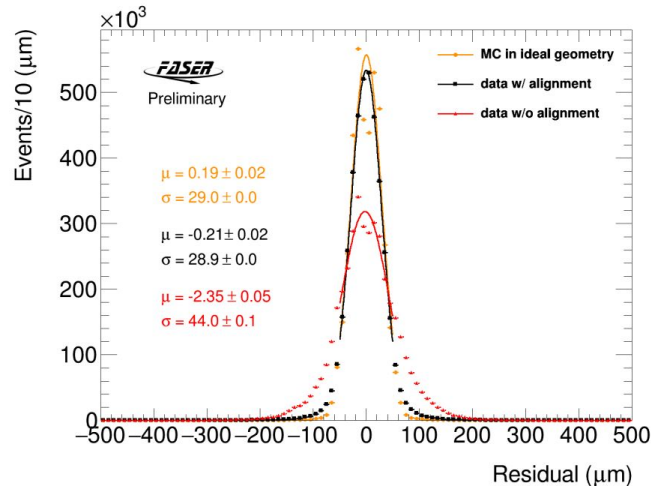
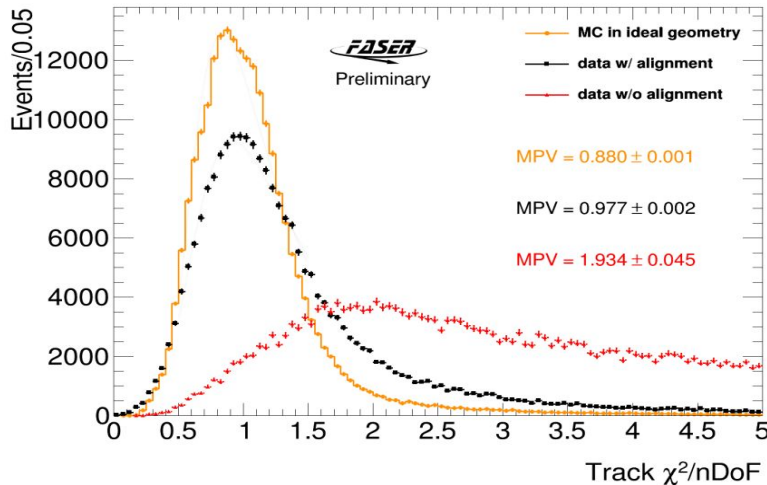
Validation with mis-aligned MC

- Single muon MC ($E = [100, 1000]$ GeV) with mis-aligned geometry (randomly mis-align all station/layer/module)
- Good tracks: $p_z > 300$ GeV, $n\text{Clusters} > 14$, $\chi^2 < 200$, $r < 95$ mm
- ~ 20 iterations
- Both residual and track χ^2 improved significantly and more consistent with the results in ideal geometry



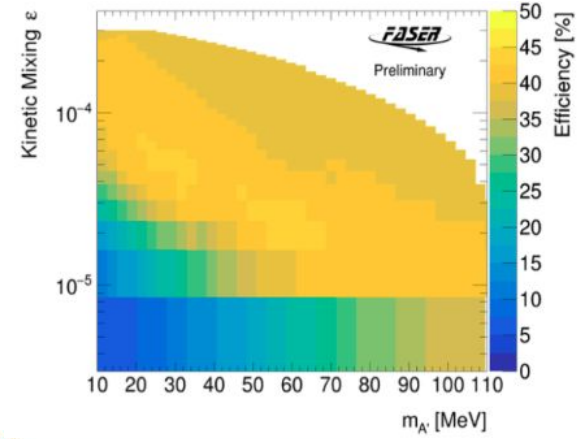
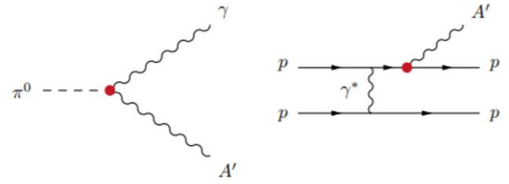
First alignment on collision data

- Main challenge: no good prior knowledge to set constraints
- Iterative local chi2 alignment
- Validated with MC simulation
- Only consider 2 of 6 degree of freedoms, Y translation and Z rotation
 - Silicon strip detector, precision on Y is much better than X
 - Track parameters and residuals are improved significantly
 - Remaining discrepancy will be taken as systematic uncertainty



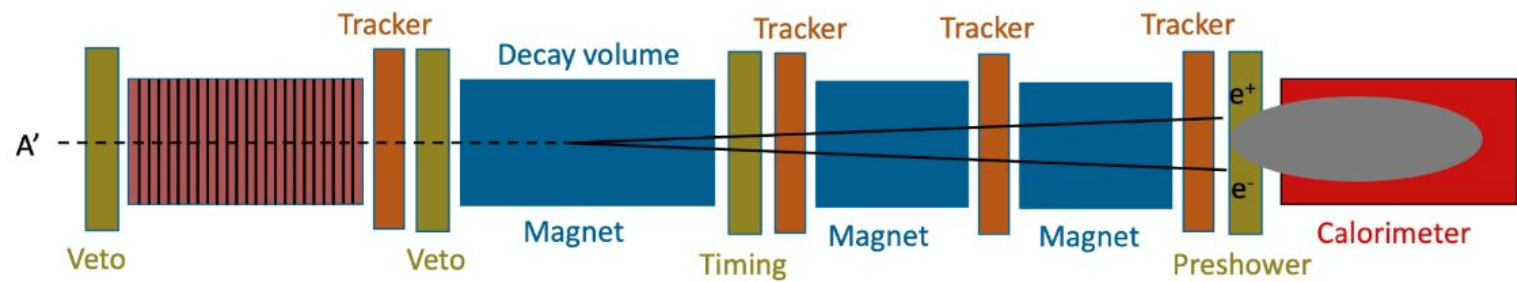
Physics results: dark photon

- Mainly produced from decay of light mesons (π^0 and η), and dark bremsstrahlung
- Simple and robust $A' \rightarrow e^+e^-$ selection, optimised for discovery
 - Blind events with no veto signal and $E(\text{calo}) > 100 \text{ GeV}$
 - Eff. of $\sim 50\%$ across region of sensitivity



1, good quality collision event

4, Timing and preshower consistent with ≥ 2 MIPs



2, No signal ($< 40 \text{ pC}$) in any veto scintillator

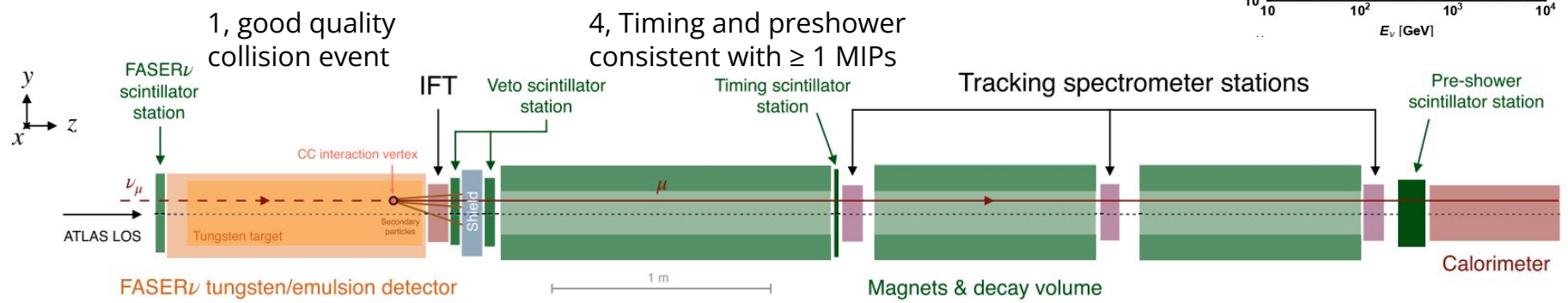
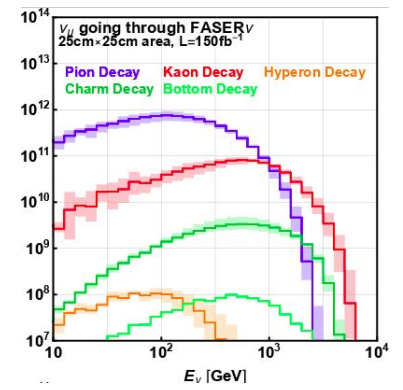
3, Exactly 2 good tracks in fiducial volume:

- $p > 20 \text{ GeV}$ and $r < 95 \text{ mm}$ (r of magnet: 100 mm)
- Extrapolated to $r < 95 \text{ mm}$ at veto scintillators

5, Energy in calorimeter $E > 500 \text{ GeV}$

First direct observation of collider neutrinos

- A huge number of neutrinos produced in the LHC collisions traverse the FASER location covering an unexplored neutrino energy regime
 - Originate from hadron decays, mainly pion, kaon and charm mesons
- Expected to record several 1000 of neutrino interactions in Run3
 - $\sim 1000 \nu_e, \sim 10000 \nu_{\mu}, \sim 50 \nu_{\tau}$
- For first study, we use silicon tracker to detect neutrino interaction at FASER ν
 - Focusing on ν_{μ} CC interactions



1, good quality collision event

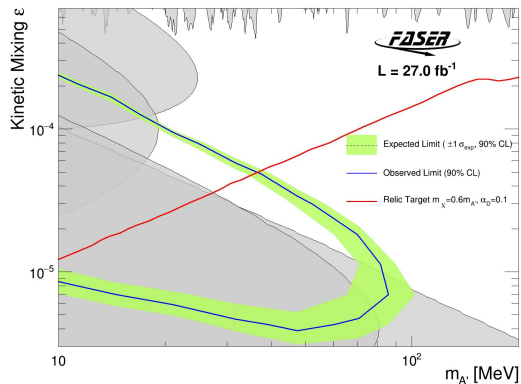
4, Timing and preshower consistent with ≥ 1 MIPs

2, No signal (<40 pC) in 2 front vetos, but signal (>40 pC) in other 3 vetos

- 3, Exactly 1 good fiducial track
- $p > 100$ GeV, $\theta < 25$ mrad, $r < 95$ mm
 - Extrapolated to $r < 95$ mm at veto scintillators

First physics results

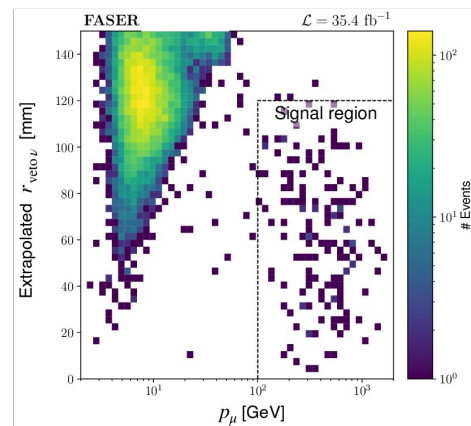
- No event in SR for dark photon is observed
- **FASER sets limits in previously unexplored parameter space!**
 - Probes new territory in the interesting thermal-relic region



- Track reconstruction and alignment are crucial for these two analyses
- **Updating the studies** with a better track finding algorithm and detector alignment
- Possible future improvement:
 - ML track finder for two collimated tracks

- Observed **153 neutrino events** with 0.2 background
 - Consistent with prediction: 151 ± 41
- Significance of **16σ**

$$n_\nu = 153_{-13}^{+12}(\text{stat})_{-2}^{+2}(\text{bkg}) = 153_{-13}^{+12}(\text{tot})$$



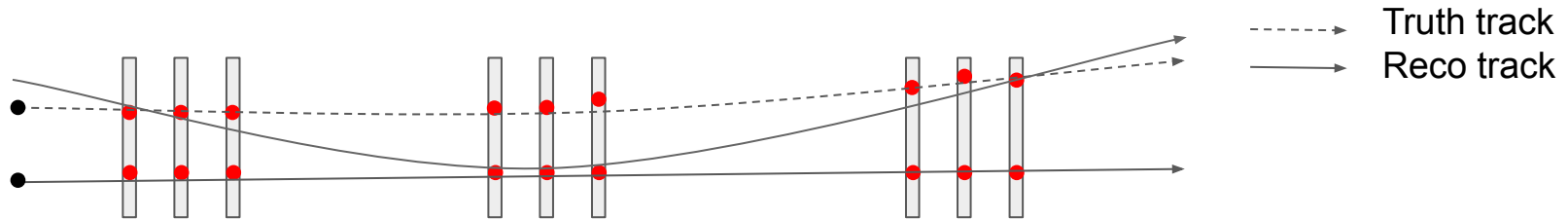
Summary

- FASER successfully constructed and took data in 2022 and 2023 of Run 3
 - Running with fully functional detector and very good efficiency
- Track reconstruction is developed using ACTS, e.g. CKF
 - Good reconstruction efficiency and resolution (10% at 100 GeV)
 - First experimental result using ACTS
- Preliminary alignment with iterative local chi2 approach
 - Better consistency of track chi2 after alignment w.r.t MC in ideal geometry
- Good efficiency for LLP signature, e.g. ~50% for $A \rightarrow ee$
- Further improvements
 - Developing new alignment with millepede II
 - Misalignment is the dominant systematic uncertainty, ~7%
 - ML track finder for collimated tracks (<70% efficiency)
 - ...

back-up

Inefficiency for overlay tracks

- One of the reasons
 - ≥ 2 segments in each station
 - Due to the geometry, no precise track parameter until fitting with 3 stations
 - There is a possibility to select wrong segment especially at second station



Alignment

- 6 alignment parameters per module (X/Y/Z shift and rotations)

- Residual is defined as: $\vec{r} = \vec{f}(\vec{a}, \vec{\pi}) - \vec{m}$

$\vec{f}(\vec{a}, \vec{\pi})$: Prediction from track fitting

- Define the total chi2 from all the tracks as:

$$\chi^2 = \sum_{tracks} \vec{r}_i^T \cdot V_i^{-1} \cdot \vec{r}_i$$

\vec{m} : Measurements

- Minimize the chi2

$$\frac{d\chi^2(\vec{a})}{d\vec{a}} = \vec{0}$$

V_i^{-1} : Covariance matrix of residuals measurements



$$\Delta \vec{a} = - \left(\sum_{tracks} \left(\frac{d\vec{r}_i(\vec{a})}{d\vec{a}_0} \right) \cdot V_i^{-1} \cdot \left(\frac{d\vec{r}_i(\vec{a})}{d\vec{a}_0} \right)^T \right)^{-1} \cdot \left(\sum_{tracks} \left(\frac{d\vec{r}_i(\vec{a})}{d\vec{a}_0} \right) \cdot V_i^{-1} \cdot \vec{r}_i(\vec{a}_0) \right)$$

Alignment parameters

Track seeding

- Start with track segments in each stations
 - 3 layers of SCT modules
 - Each layer is expected to have 2 clusters
 - Linear chi2 fit (no magnet field in stations)
 - Allows for missing hits (can create track segment from only 4 clusters)
- Combine 3 or 4 track segments to build a track candidate

	Efficiency in %	Purity in %
	$\epsilon = \frac{\# \text{ segments with all hits matched to the same particle}}{\# \text{ events} \cdot 6}$	$p = \frac{\# \text{ segments with all hits matched to the same particle}}{\# \text{ segments}}$

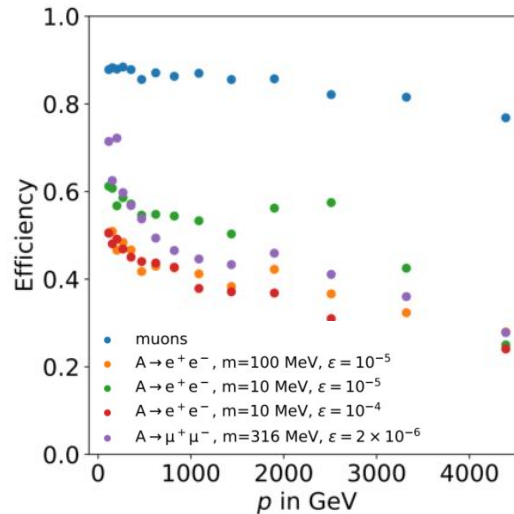
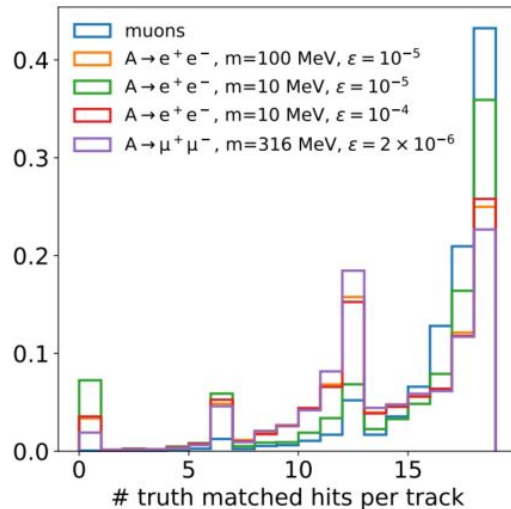
all segments	93.4	3.5
segment selection	90.0	46.9
remove ghosts	89.6	83.6

W.I.P.

More study are on going

Track finding

- Track finding = find the correct clusters to build a track candidate
- Combine 3 or 4 track segments from different stations
 - Each combination will go to track fitting
- Efficiency = truth-matched tracks / all truth tracks



Truth-matched track:

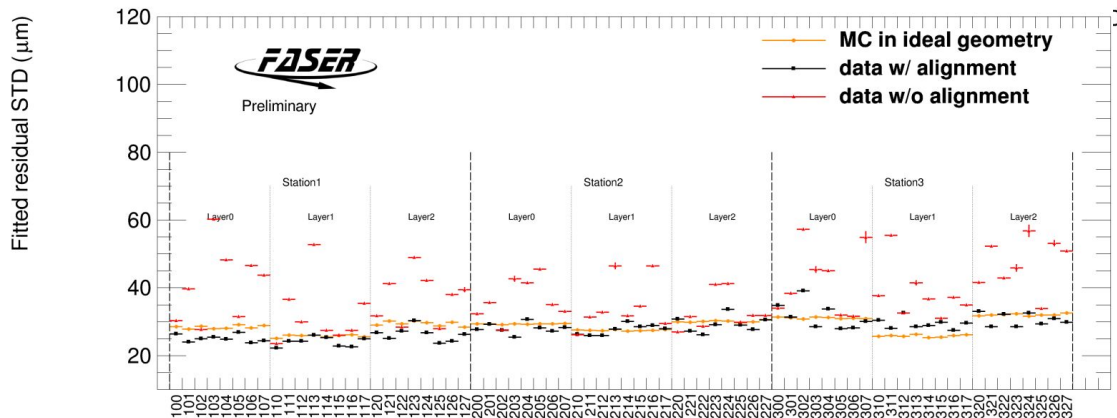
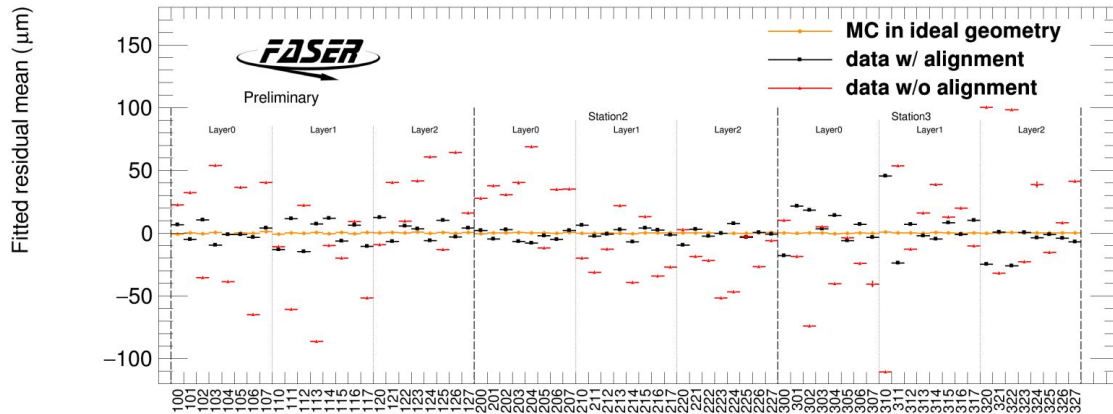
- Momentum is close to truth momentum
- At least 4 Truth-matched clusters per station

W.I.P.

More study are on going

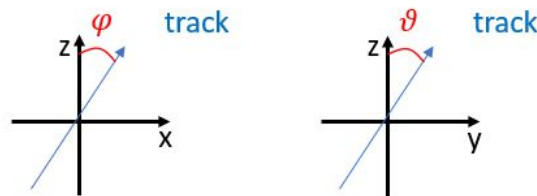
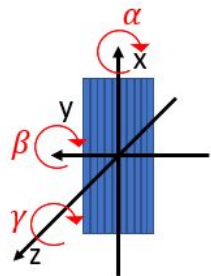
Detector performance - alignment

- > Mean and std of the residuals for each module



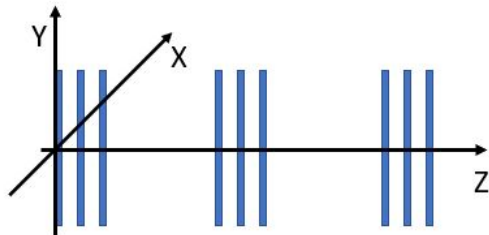
Coordinate systems

- Local (module)



Same with ATLAS

- Global



Origin point is defined by the center of magnets

- Global chi2 alignment: residuals are defined in local frame
- Local chi2 alignment: residuals are defined in global frame for layer/station and local frame for module alignment

Alignment

- 6 alignment parameters per module (X/Y/Z shift and rotations)

- Residual is defined as: $\vec{r} = \vec{f}(\vec{a}, \vec{\pi}) - \vec{m}$

$\vec{f}(\vec{a}, \vec{\pi})$: Prediction from track fitting

- Define the total chi2 from all the tracks as:

\vec{m} : Measurements

- Minimize the chi2 $\chi^2 = \sum_{tracks} \vec{r}_i^T \cdot V_i^{-1} \cdot \vec{r}_i$

V_i^{-1} : Covariance matrix of residuals measurements

$$\frac{d\chi^2(\vec{a})}{d\vec{a}} = \vec{0}$$



$$\Delta\vec{a} = - \left(\sum_{tracks} \left(\frac{d\vec{r}_i(\vec{a})}{d\vec{a}_0} \right) \cdot V_i^{-1} \cdot \left(\frac{d\vec{r}_i(\vec{a})}{d\vec{a}_0} \right)^T \right)^{-1} \cdot \left(\sum_{tracks} \left(\frac{d\vec{r}_i(\vec{a})}{d\vec{a}_0} \right) \cdot V_i^{-1} \cdot \vec{r}_i(\vec{a}_0) \right)$$

↑
Alignment parameters