

# GNN-based pipeline for track finding in the Velo at LHCb

Anthony Correia, Fotis Giasemis, Nabil Garroum, Vava Gligorov On behalf of the LHCb real-time analysis project 10<sup>th</sup> October 2023





#### Collisions and Trigger

#### — Collisions (Run 3)

- 20 MHz non-empty bunch crossing rate
- $\sim$  5 collisions / bunch crossing
- p-p collision at  $\sqrt{s} = 13.6 \text{ TeV}$



#### **Collisions and Trigger**



#### **Collisions and Trigger**



#### 3 Tracking detectors



Tracks



Tracks



#### **Motivations**

Graph Neural Network (GNN)-based track-finding pipeline based on the work of **Exa.Trkx** (*Eur. Phys.* <u>J. C 81, 876 (2021)</u>)

- Demonstrated **near-linear** inference time w.r.t. # hits
  - *Conventional* algorithms are worse-than-quadratic
  - In future LHCb upgrades: increase in instaneous luminosity and detector granularity
     → need for even more high-throughput track-finding algorithms
- High-parallelisation potential → compatible with current GPU-based Allen trigger
- Conventional algorithms implemented in Allen ⇒ allow like-for-like comparison between GNNbased algorithms and conventional algorithms (on the very same device!)
- Representation of tracks with a graph quite *natural*

Pure graph representation



#### In the Velo

- Around ~ 2200 hits / event
- Around 150 particles to reconstruct / event







Graph Building

GNN: filter edges

Build tracks from graph





**Graph Building** 

GNN: filter edges

Build tracks from graph

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#### Edges are not random

- Forward
- Away from *z*-axis ↔ more tilted
- $\Rightarrow$  this features could be learnt by a Neural Network







DNN trained so that in the embedding space

- If hit A and hit B are likely to be connected by an edge  $d(A, B)^2 = \|\vec{e_A} \vec{e_B}\|^2 < 0.010$
- Otherwise,  $d(A, B)^2 > 0.010$





⇒ You've got your rough graph

**Graph Building** 

GNN: filter edges

Build tracks from graph

- Overall training strategy in back-up
- After training, we choose maximal number of neighbours  $k_{max} = 50$  (not optimised)

**Graph Building** 

GNN: filter edges

Build tracks from graph

(evaluated on 200 events)

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- Overall training strategy in back-up
- After training, we choose maximal number of neighbours  $k_{max} = 50$  (not optimised)
- To choose maximal squared distance  $d_{\text{max}}^2$ , for various values for  $d_{\text{max}}^2$ :
  - 1. Build the rough graph using  $d_{\text{max}}^2$
  - 2. Remove all fake edges in the rough graph and build the tracks from this purified graph
  - 3. Compute track-finding performance  $\Rightarrow$  correspond to the **best performance given**  $d_{\text{max}}^2$

#### Performance if all the fake edges are discarded( $\equiv$ best performance)



 $\Rightarrow$  We will try  $d_{\text{max}}^2 = 0.010$  and  $d_{\text{max}}^2 = 0.020$ 



**Change:** Incoming and outgoing neighbours are **aggregated separately**, which increased overall GNN performance



Tracks obtained by identifying connected hits



Tracks obtained by identifying connected hits

But if you do this... track efficiency on long electrons is terrible!

Metric	Allen	etx4velo	
Efficiency	98.17%	46.23%	
Clone rate	3.07%	0.47%	
Hit efficiency	95.35%	98.89%	
Hit purity	99,67%	93.89%	

(evaluated on 1000 events)

#### The Case of Electrons

#### **Observations**

- $\sim$  55 % electrons share hits with another electron
- The 2 electrons share  $\geq 1$  hit(s) before splitting up

**Example 1**: share the first hit only



#### Example 2: share several hits before splitting up



⇒ the **connected component algorithm** consider the **2** electron tracks as a **single** track

#### 2. Issue of Shared Hits Other Tracks With Shared Hits

• Tracks crossing (> 524 in 1000 events)



Track ends on a shared hit



Track starts on a shared hit



 The last hit of a track is the first hit of another track (>141 in 1000 events)



### 2. Issue of Shared Hits Edge-Edge Connections



In this case, one cannot even guess that there are *possibly* 2 tracks!

Hit-hit connection is not enough ⇒ need **edge-edge connections** 



#### 2. Issue of Shared Hits Edge-Edge Connections

3 kind of **edge-edge connections** (or *triplets*) are possible







From the purified graph of hit-hit connections



Build edge-edge connections (or triplets)





From the purified graph of hit-hit connections



Build edge-edge connections (or triplets)

Classify the triplets with the GNN Filter out the fake triplets





Classify the triplets with the GNN Filter out the fake triplets

Algorithm to build tracks from triplets









**Don't repeat the overall GNN inference**: start from the previous GNN

- Compute triplet score from node and edge encodings of the GNN
- Train GNN with overall loss  $\mathcal{L} = \mathcal{L}_{edges} + \mathcal{L}_{triplets}$



**Connect left and right elbows** and remove duplicate edge-edge connections







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Before building the tracks from the graph of triplets...

• Choose  $s_{edge,min} = 0.4$  to optimise performance (could be increased to optimise throughput)

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Before building the tracks from the graph of triplets

- Choose  $s_{edge,min} = 0.4$  to optimise performance (could be increased to optimise throughput)
- Choose  $s_{\text{triplet,min}}$  by evaluating track-finding performance as a function of  $s_{\text{triplet,min}}$ 
  - High efficiency
  - Ghost rate < 1%



 $\Rightarrow$  choose  $s_{\text{triplet,min}} = 0.32$ 

Category	Metric
Long, no electrons	Efficiency
<ul> <li>Reconstructible in the velo</li> </ul>	Clone rate
<ul> <li>Reconstructible in the SciFi</li> <li>Not an electron</li> </ul>	Hit efficiency
	Hit Purity
Long electrons	Efficiency
<ul> <li>Acceptance</li> <li>Reconstructible in the velo</li> </ul>	Clone rate
<ul><li>✓ Reconstructible in the SciFi</li><li>✓ Electron</li></ul>	Hit efficiency
	Hit purity
Long, from strange	Efficiency
<ul> <li>Reconstructible in the velo</li> </ul>	Clone rate
<ul> <li>Decays from a strange</li> <li>Good proxy for displaced</li> </ul>	Hit efficiency
tracks	Hit purity
X	Ghost rate

• Evaluation with 5,000 events

 Track matched to a particle if at least 70% of its hits belong to this particle



Category	Metric	Allen
Long, no electrons	Efficiency	99.26%
<ul> <li>In acceptance</li> <li>Reconstructible in the velo</li> </ul>	Clone rate	2.54%
<ul> <li>Reconstructible in the SciFi</li> <li>Not an electron</li> </ul>	Hit efficiency	96.46%
	Hit Purity	99.78%
Long electrons	Efficiency	97.11%
<ul> <li>In acceptance</li> <li>Reconstructible in the velo</li> </ul>	Clone rate	4,25%
<ul><li>Reconstructible in the SciFi</li><li>Electron</li></ul>	Hit efficiency	95.24%
	Hit purity	97.11%
Long, from strange	Efficiency	97.69%
<ul> <li>In acceptance</li> <li>Reconstructible in the velo</li> </ul>	Clone rate	2.50%
<ul> <li>Decays from a strange</li> <li>Good proxy for displaced</li> </ul>	Hit efficiency	97.69%
tracks	Hit purity	99.34%
Х	Ghost rate	2.18%

- Evaluation with 5,000 events
- Track matched to a particle if at least 70% of its hits belong to this particle
- Allen algorithm described in <u>arXiv:2207.03936v2</u>



	$s_{\text{triplet}} > 0.32$		
Category	Metric	Allen	Etx4velo $d^2 = 0.010$
			$u_{\rm max} = 0.010$
Long, no electrons	Efficiency	99.26%	99.28%
<ul> <li>Reconstructible in the velo</li> </ul>	Clone rate	2.54%	0.96%
<ul> <li>✓ Reconstructible in the SciFi</li> <li>✓ Not an electron</li> </ul>	Hit efficiency	96.46%	98.73%
	Hit Purity	99.78%	99.94%
Long electrons	Efficiency	97.11%	98.80%
<ul> <li>✓ In acceptance</li> <li>✓ Reconstructible in the velo</li> <li>✓ Reconstructible in the SciFi</li> <li>✓ Electron</li> </ul>	Clone rate	4,25%	7.42%
	Hit efficiency	95.24%	96.54%
	Hit purity	97.11%	98.46%
Long, from strange	Efficiency	97.69%	97.50%
<ul> <li>✓ In acceptance</li> <li>✓ Reconstructible in the velo</li> <li>✓ Decays from a strange</li> <li>Good proxy for displaced</li> <li>tracks</li> </ul>	Clone rate	2.50%	0.92%
	Hit efficiency	97.69%	98.22%
	Hit purity	99.34%	99.68%
X	Ghost rate	2.18%	0.76%

- Evaluation with 5,000 events
- Track matched to a particle if at least 70% of its hits belong to this particle
- Allen algorithm described in <u>arXiv:2207.03936v2</u>



	$s_{\text{triplet}} > 0.32$	$s_{\text{triplet}} > 0.36$		
Category	Metric	Allen	Etx4velo $d^2 = 0.010$	Etx4velo $d^2 = 0.020$
			$u_{\text{max}} = 0.010$	$a_{\rm max} = 0.020$
Long, no electrons	Efficiency	99.26%	99.28%	99.51%
<ul> <li>Reconstructible in the velo</li> </ul>	Clone rate	2.54%	0.96%	0.89%
<ul> <li>✓ Reconstructible in the SciFi</li> <li>✓ Not an electron</li> </ul>	Hit efficiency	96.46%	98.73%	98.90%
	Hit Purity	99.78%	99.94%	99.94%
<ul> <li>Long electrons</li> <li>✓ In acceptance</li> <li>✓ Reconstructible in the velo</li> <li>✓ Reconstructible in the SciFi</li> <li>✓ Electron</li> </ul>	Efficiency	97.11%	98.80%	99.22%
	Clone rate	4,25%	7.42%	7.31%
	Hit efficiency	95.24%	96.54%	96.79%
	Hit purity	97.11%	98.46%	98.46%
<ul> <li>Long, from strange</li> <li>✓ In acceptance</li> <li>✓ Reconstructible in the velo</li> <li>✓ Decays from a strange</li> <li>Good proxy for displaced</li> <li>tracks</li> </ul>	Efficiency	97.69%	97.50%	98.06%
	Clone rate	2.50%	0.92%	0.81%
	Hit efficiency	97.69%	98.22%	98.77%
	Hit purity	99.34%	99.68%	99.68%
X	Ghost rate	2.18%	0.76%	0.81%

• Evaluation with 5,000 events

 Track matched to a particle if at least 70% of its hits belong to this particle

- Allen algorithm described in <u>arXiv:2207.03936v2</u>
- 2 different GNN trainings for  $d_{\text{max}}^2 = 0.010$  and  $d_{\text{max}}^2 = 0.020$



		$s_{\text{triplet}} > 0.32$	$s_{\text{triplet}} > 0.36$			
Category	Metric	Allen	$\frac{\text{Etx4velo}}{d_{\text{max}}^2 = 0.010}$	$\frac{\text{Etx4velo}}{d_{\text{max}}^2 = 0.020}$		
<ul> <li>Velo-only, no electrons</li> <li>✓ In acceptance</li> <li>✓ Reconstructible in the velo</li> <li>✓ Not reconstructible in the SciFi</li> <li>✓ Not an electron</li> </ul>	Efficiency	96.84%	97.03%	97.86%		
	Clone rate	3.84%	1.08%	1.02%		
	Hit efficiency	93.89%	97.93%	98.32%		
	Hit Purity	99.50%	99.84%	99.82%		
<ul> <li>Velo-only electrons</li> <li>✓ In acceptance</li> <li>✓ Reconstructible in the velo</li> <li>✓ Not reconstructible in the SciFi</li> <li>✓ Electron</li> </ul>	Efficiency	67.81%	85.10%	86.69%		
	Clone rate	10.27%	5.02%	4.97%		
	Hit efficiency	79.21%	93.33%	93.88%		
	Hit purity	97.35%	99.07%	98.99%	Velo-only	categories
<ul> <li>Velo-only, from strange</li> <li>✓ In acceptance</li> <li>✓ Not reconstructible in the velo</li> <li>✓ Decays from a strange</li> <li>Good proxy for displaced tracks</li> </ul>	Efficiency	93.53%	93.07%	96.05%		
	Clone rate	5.60%	1.97%	1.77%		
	Hit efficiency	90.05%	93.92%	96.05%		
	Hit purity	99.36%	99.67%	99.64%	Worse	Better

### Conclusion

#### **Track-Finding Physics Performance of GNN-based pipeline**

- Comparable or superior performance to Allen's velo track-finding algorithm
- Excellent electron reconstruction
- Low ghost rate

#### **Ongoing Work**

- Implementation in Allen to
  - properly optimise the throughput of the GNN-based pipeline
  - Compare the optimal throughput to conventional algorithm
- Extension to other LHCb tracking detectors, starting from the SciFi

### Thank You For Your Attention!





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### Backup Slides



### Velo geometry



#### **1** plane = 4 sensor planes





Use **700,000 events** for training, with the following selection

- Particles are straight enough
- **Particles** leave  $\geq$  **3** hits in the Velo
- **Event** has  $\geq$  500 genuine hits



#### 1. GNN-based Track Finding Approach

**Graph Building** 

GNN: filter edges

Build tracks from graph



Training set of 700,000 events divided into sub-epochs of 7,000 events

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**Graph Building** 

GNN: filter edges

Build tracks from graph

Rough graph with  $k_{\text{max}} = 50$  and  $d_{\text{max}}^2 = 0.010$ 



Even though 1% of genuine edges are 2-plane apart, the rough graph needs to contain almost 50% of such edges

 $\Rightarrow k_{\text{max}}$  could probably be reduced to increase throughput

Graph Building	Building <b>GNN: filter edges</b>		lges	>	Build tracks from grap		
1 Encode every hit and edge in a high-dimensional space	edge ace		$\vec{r} = (r, \phi, z)$ –		Node Encoder	$\rightarrow \vec{n} \in \mathbb{R}^{256}$	
		$(r_{\rm in},\phi_{\rm in},z_{\rm in},r)$	$f_{\rm out}, \phi_{\rm out}, z_{\rm out})$	-	Edge Encoder	$\rightarrow \vec{e} \in \mathbb{R}^{256}$	





#### Trained with a sigmoid focal loss



#### Trained with a sigmoid focal loss



 Solve the ambiguity of shared hits under the following hypothesis: "All hits that precede a splitting point can be attributed to all the newly identified tracks"

•  $\Rightarrow$  Assume that this does not happen









Overall GNN loss = GNN loss on edges + GNN loss on triplets

#### **GNN loss on edges**

#### 0.01750.0025Training Training Validation Validation 0.01500.0020 LHCb Run 3 Simulation LHCb Run 3 Simulation 0.0125ss 0.0015 Tosy SS 0.0100 0.00750.0010 0.00500.00050.002580 80 100 20 40 60 100 120 140 160 20 40 60 120 140 160 0 0 Sub-epoch Sub-epoch

Training set of 700,000 events divided into sub-epochs of 7,000 events

#### **GNN loss on triplets**

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**Connect left and right elbows** and remove duplicate edge-edge connections



**Apply connected components**, excluding splitting edge-edge connections

New Hypothesis: a track may split into 2 tracks only one time → Allow to keep *locality* 



(track, particle) couple for which **70% of the hits of track belong to the particle** 









