

SPRACE

CMS Upgrades for the High-Luminosity LHC Era

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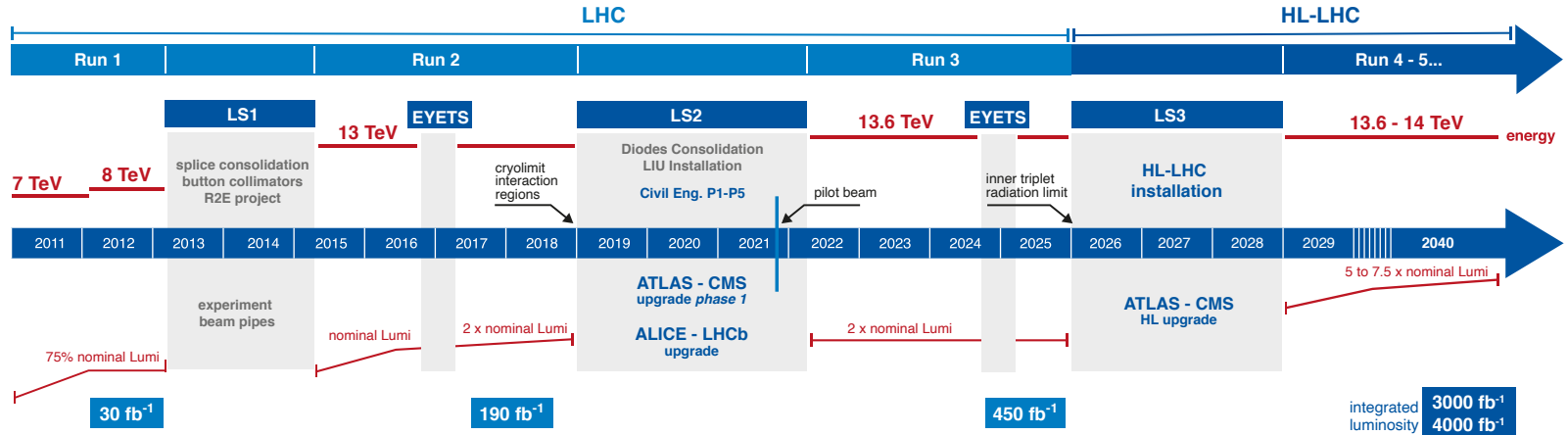
FOR THE CMS COLLABORATION

SPRACE-Unesp

The High-Luminosity LHC



LHC / HL-LHC Plan



HL-LHC TECHNICAL EQUIPMENT:



HL-LHC CIVIL ENGINEERING:



Expectations for the HL-LHC

Current limits on BSM

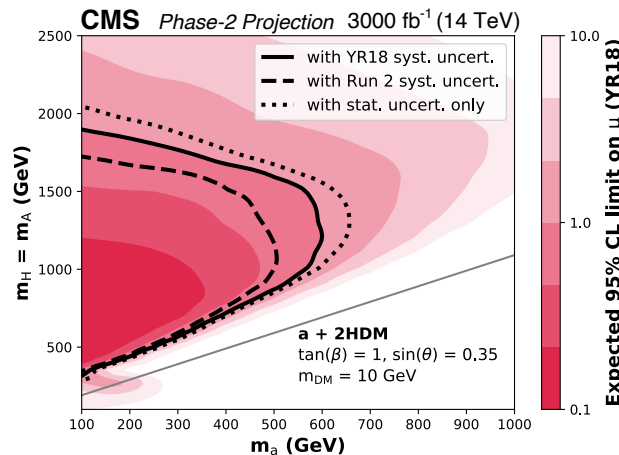
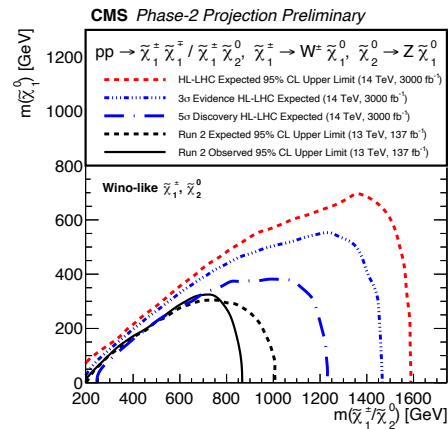
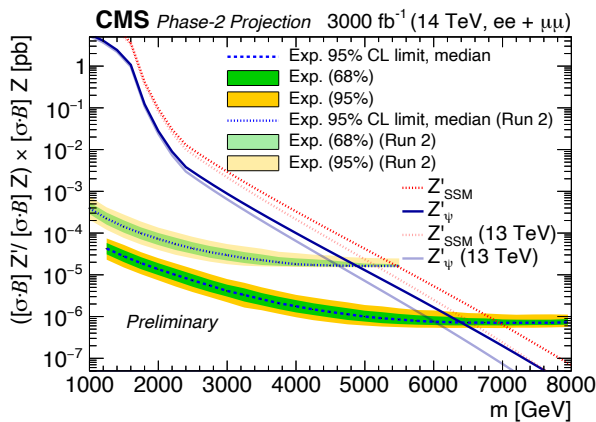
→ a rare process

even with 4000 fb⁻¹

- Full luminosity needed for evidence of new physics

Important role for precision physics

- Precise measurements of SM parameters
- Searches for rare SM processes (e.g. $H \rightarrow \mu\mu, HH$)



Expectations for the HL-LHC

Current limits on BSM

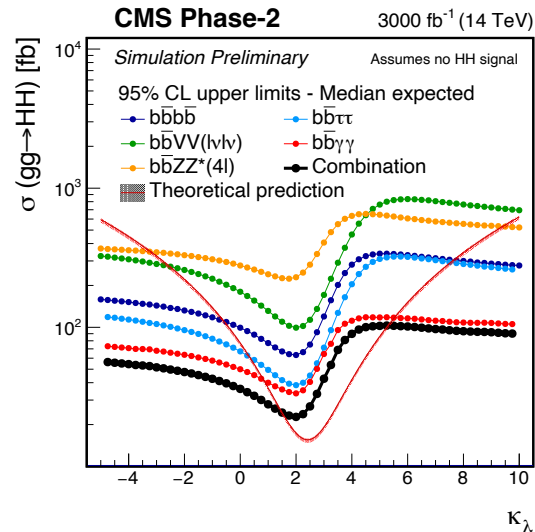
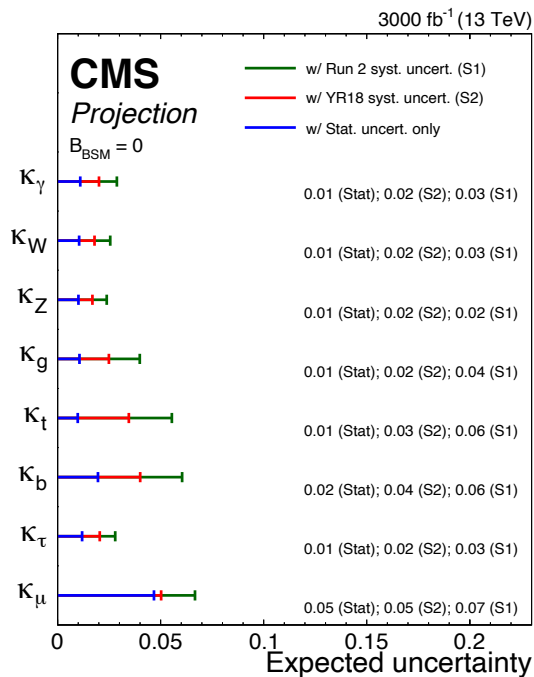
→ a rare process

even with 4000 fb^{-1}

□ Full luminosity needed for evidence of new physics

Important role for precision physics

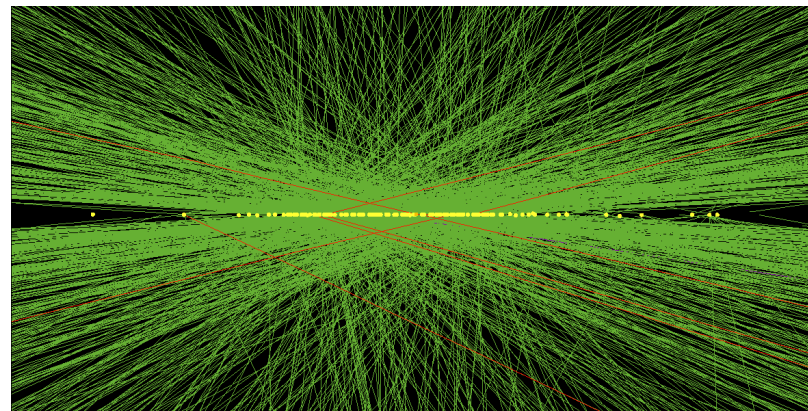
- Precise measurements of SM parameters
- Searches for rare SM processes (e.g. $H \rightarrow \mu\mu, HH$)



CMS in the High-Luminosity LHC Era

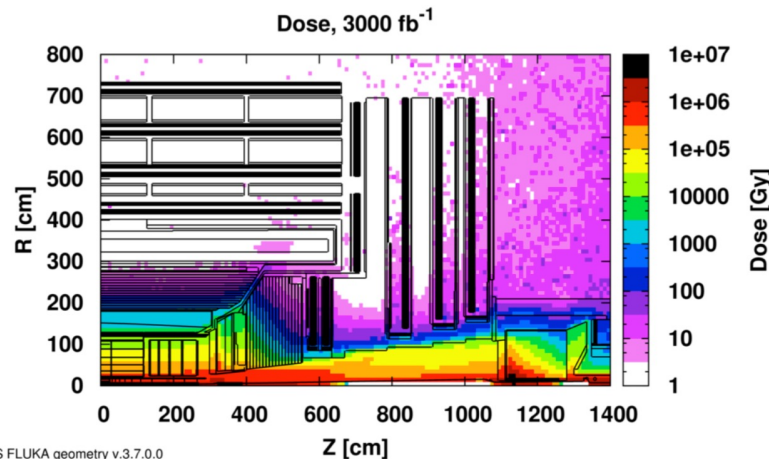
Goal

- ❑ Extend the physics programme to the 4000 fb⁻¹ integrated luminosity target
- ❑ Keep the detector performance
 - Efficiency
 - Resolution
 - Background rejection

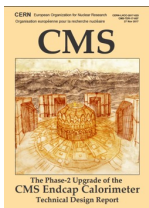


Obstacles

- ❑ High instantaneous luminosity (pileup)
 - Improved granularity and timing information
- ❑ High integrated luminosity (radiation)
 - Replacement of Tracker and Endcap Calorimeter
- ❑ Huge amount of data (computing and storage)
 - Overhauled Trigger and DAQ systems

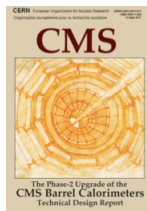


CMS Phase-2 Upgrade Overview



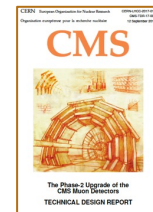
Endcap Calorimeter

- 3D showers + precise timing
- Si, Scint+SiPM in Pb/W-SS



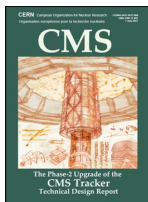
Barrel Calorimeters

- ECAL readout at 40 MHz w/ precise timing at 30 GeV
- ECAL/HCAL new back-end boards



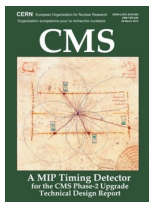
Muon Systems

- DT/CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC $1.6 < \eta < 2.4$
- Extended to $\eta \approx 3$



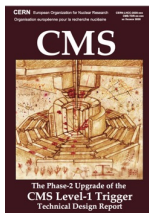
Tracker

- Si-Strip/Pixels increased granularity
- Tracking in L1-Trigger
- Extended coverage to $\eta \approx 3.8$



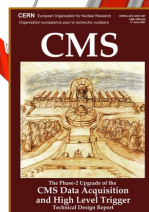
MIP Timing Detector

- Precision timing with:
 - Barrel layer: Crystals + SiPMs
 - Endcap layer: Low Gain Avalanche Diodes



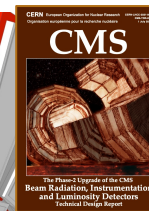
L1-Trigger

- Tracks in L1-Trigger at 40 MHz
- PFlow selection
- 750 kHz L1



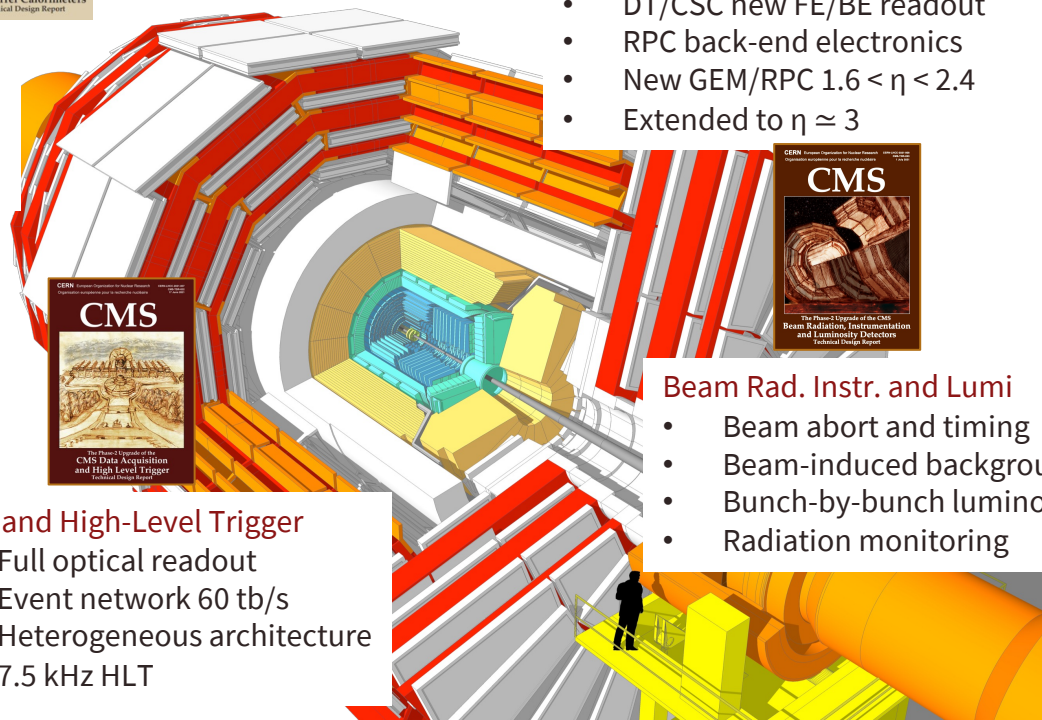
DAQ and High-Level Trigger

- Full optical readout
- Event network 60 tb/s
- Heterogeneous architecture
- 7.5 kHz HLT

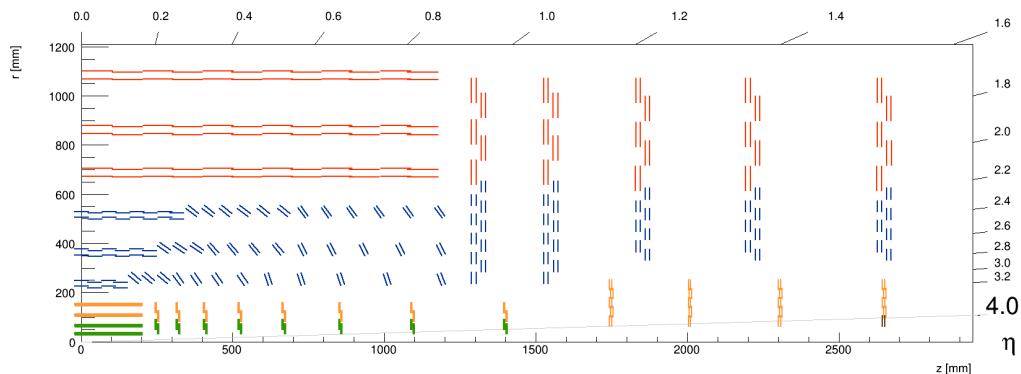


Beam Rad. Instr. and Lumi

- Beam abort and timing
- Beam-induced background
- Bunch-by-bunch luminosity
- Radiation monitoring

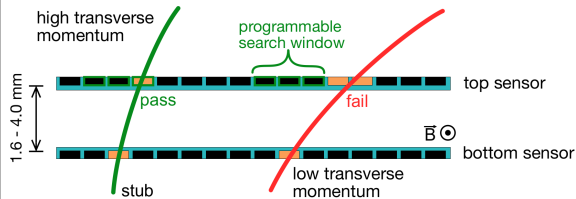
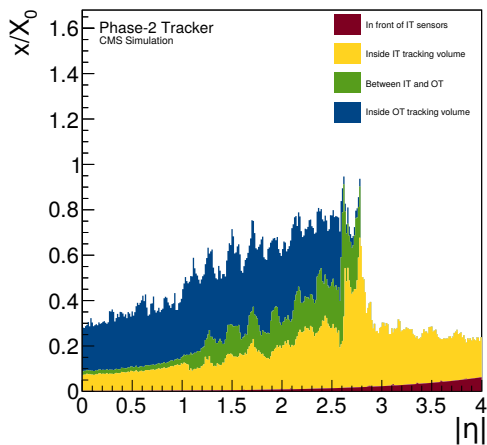


The Phase-2 Upgrade of the CMS Tracker



Requirements

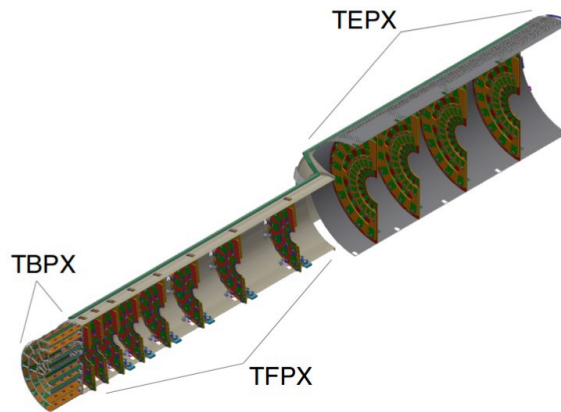
- ☐ Radiation resistance
 - Max fluence up to $O(10^{16})$ n_{eq}/cm^2
- ☐ Increased granularity
 - ~ 1200 tracks / unit of η
- ☐ Reduced material
 - Preserve calorimetric resolution
- ☐ Contribution to the L1 trigger
 - Outer Tracker: p_T modules \rightarrow stubs compatible with tracks $p_T > 2$ GeV
- ☐ Extended acceptance: $|\eta| < 4.0$



Phase-2 Tracker Geometry and Parameters

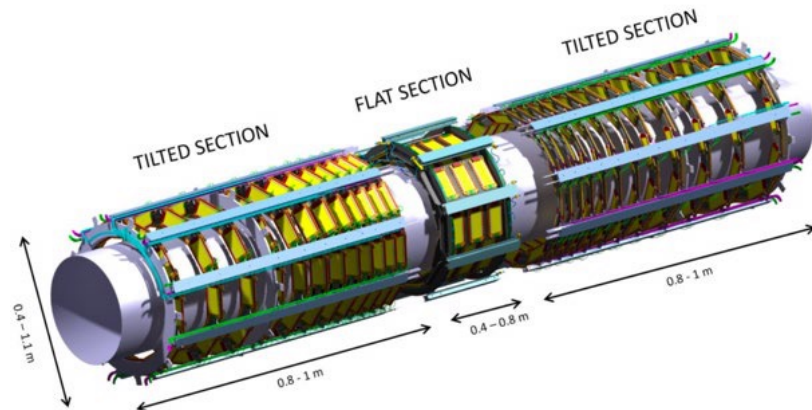
Inner Tracker

- ❑ 4 barrel layers,
- ❑ 8 small disks, 4 large discs per side
- ❑ Pixel size: $25 \times 100 \mu\text{m}^2$
- ❑ 2×10^9 channels



Outer Tracker

- ❑ 6 barrel layers
- ❑ 5 discs per side
- ❑ 44 million strips
- ❑ 174 million macropixels



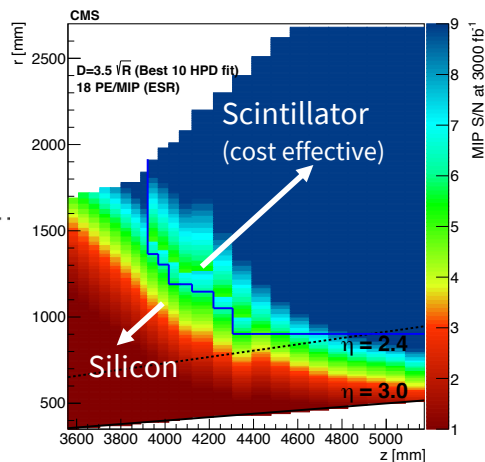
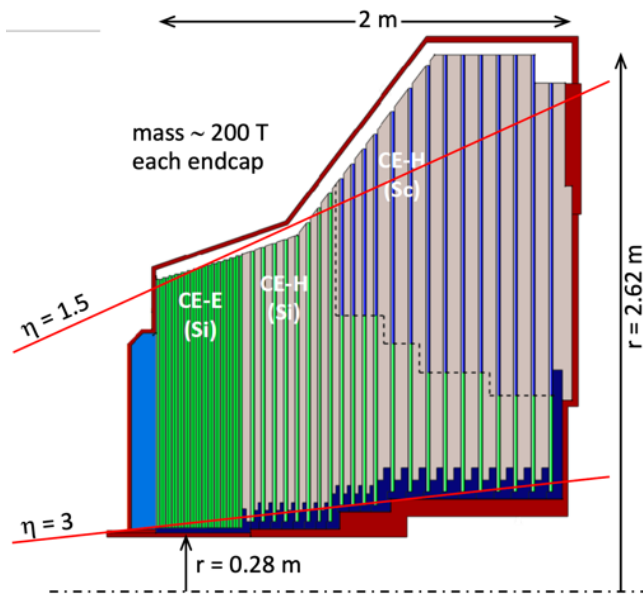
High-Granularity Calorimeter (HGCAL)

Requirements

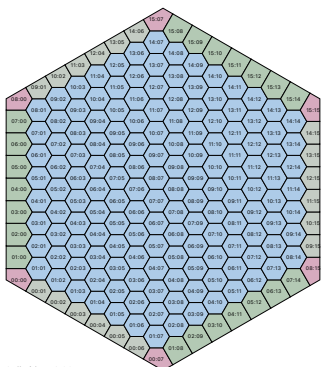
- ❑ Radiation tolerance
- ❑ Dense calorimeter
 - Shower lateral compactness
- ❑ Fine lateral/longitudinal granularity
- ❑ Precision time measurement of the showers
 - Resolution: 20 ps /channel
- ❑ Contribution to the L1 trigger

Sections

- ❑ Electromagnetic calorimeter (CE-E)
 - Si, Cu & CuW & Pb absorbers,
 - 26 layers, $27.7 \chi_0$ and $\sim 1.5 \lambda$
- ❑ Hadronic calorimeter (CE-H)
 - Si & Scintillator, stainless steel & Cu absorbers
 - 21 (7+14) layers, $\sim 8.5 \lambda$

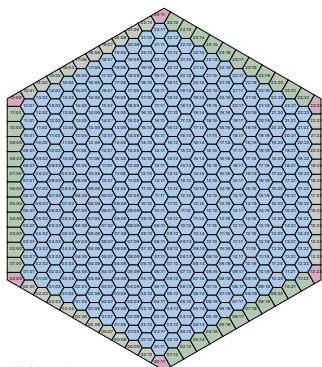


HGCAL Geometry and Parameters



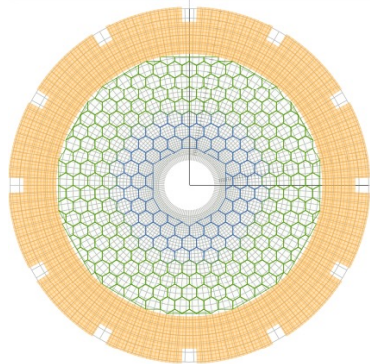
Cell side = 6.98mm
Cell flat-to-flat = 12.08mm

(a) Low density Silicon sensors



Cell side = 4.65mm
Cell flat-to-flat = 8.06mm

(b) High density Silicon sensors



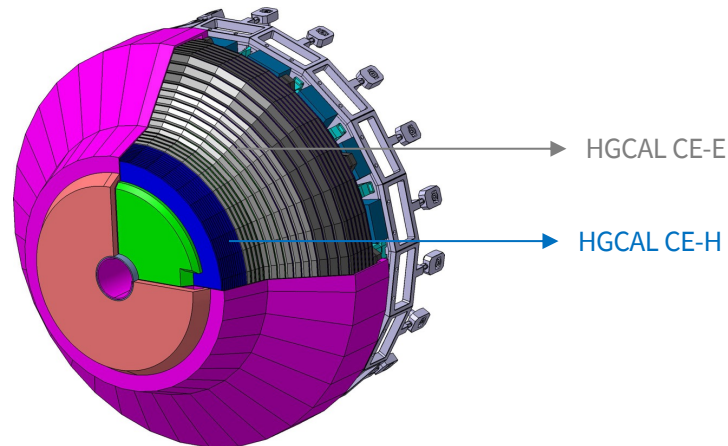
(c) Layout of a layer(38) with silicon and scintillator sensors

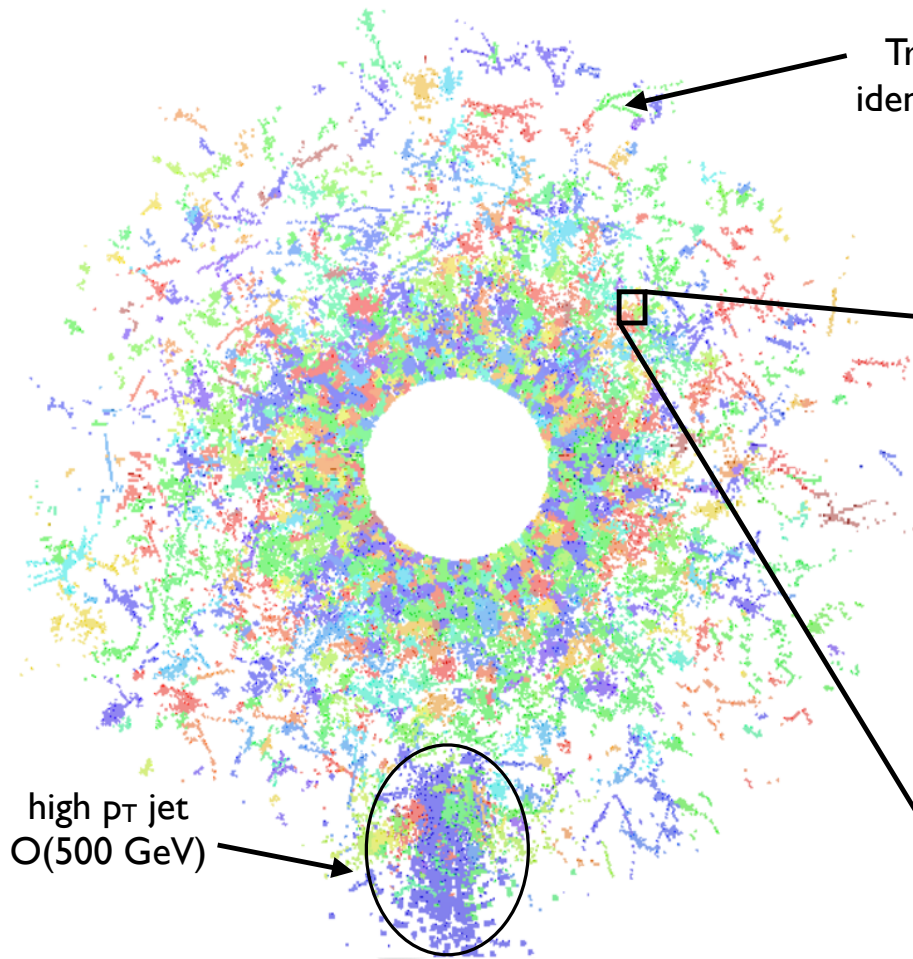
Sensors

- ❑ Silicon (120/200/300 μm)
 - Total 620 m²
 - 0.5 – 1.0 cm² \Rightarrow 6M channels
- ❑ Plastic scintillators with SiPM readout
 - Total 370 m²
 - 4 – 30 cm² \Rightarrow 280k channels

Intrinsic timing capabilities

- ❑ \sim 25 ps resolution for the HGCROC





Tracks and clusters clearly identifiable by eye throughout most of detector.

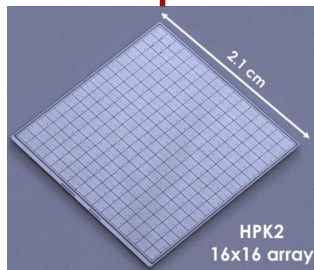
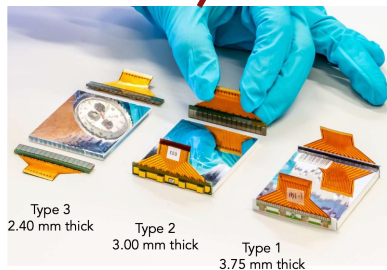
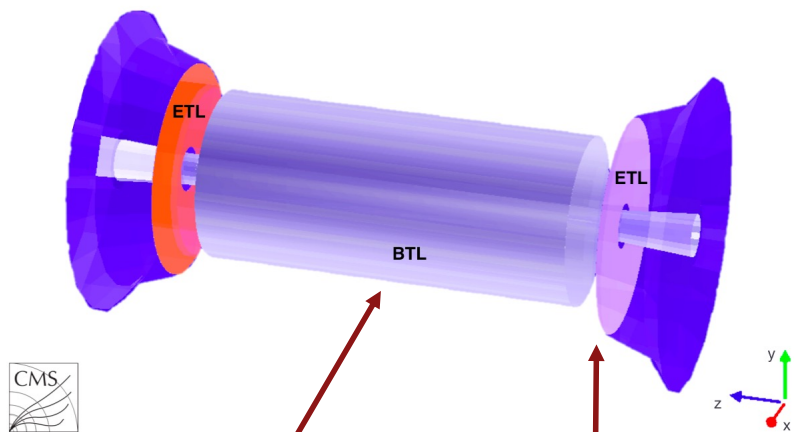
the longitudinal shower footprint

CMS
CMS Experiment at LHC, CERN
Data recorded: Thu Jan 1 01:00:00 1970 CEST
Run/Event: 1 / 10
Lumi section: 1

Calorimeter clusters

tracks

MIP Timing Detector (MTD) for Phase-2 Upgrade



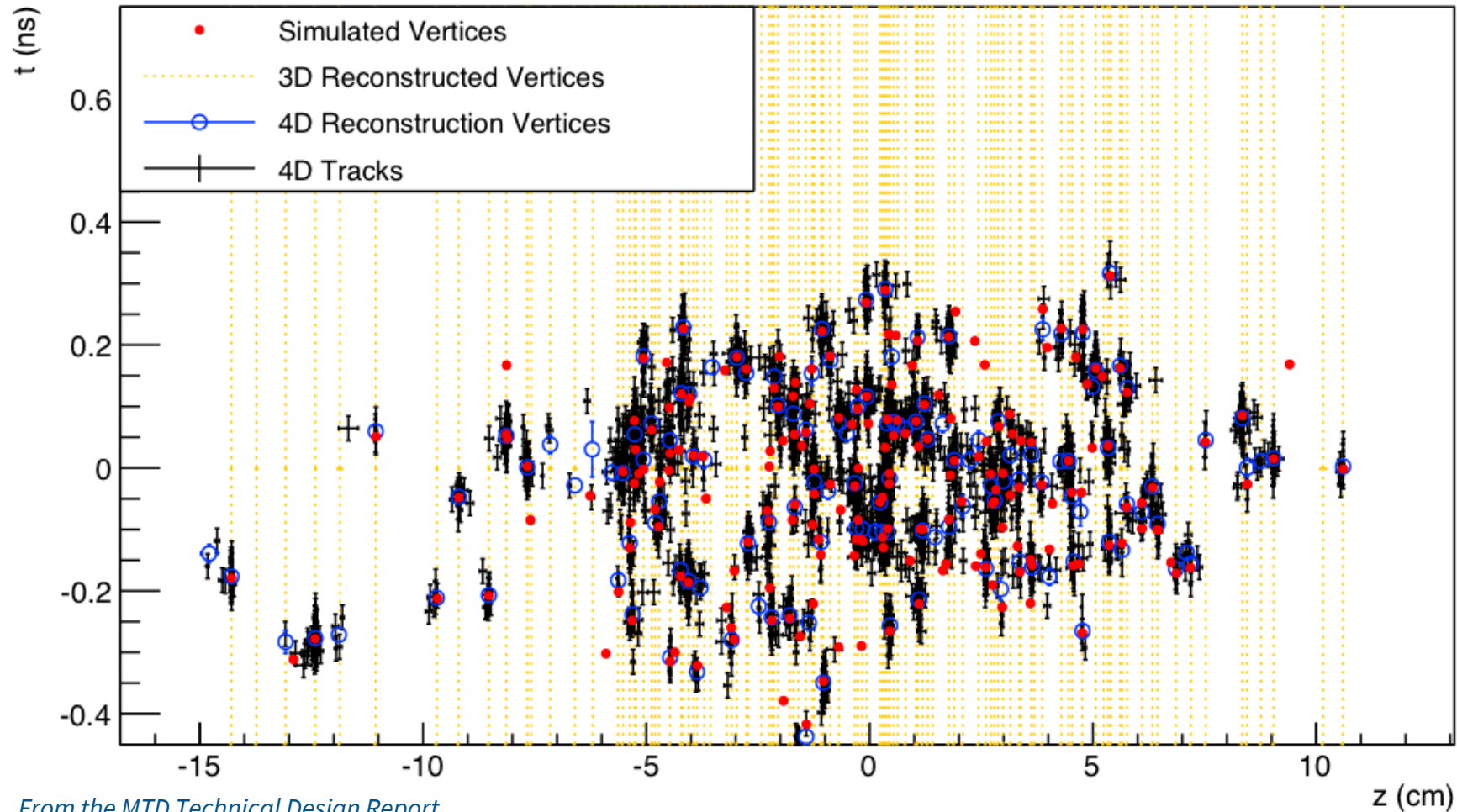
Measure the production time of minimum ionizing particles

- ❑ Longitudinal spread of bunches
- ❑ Interactions in a bunch crossing spread with rms ~ 200 ps

Motivations

- ❑ Pileup mitigation
- ❑ Searches for beyond the standard model
 - Delayed particles
 - Time-of-flight of heavy stable charged particles (HSCPs)
- ❑ Particle identification (PID) from time of flight

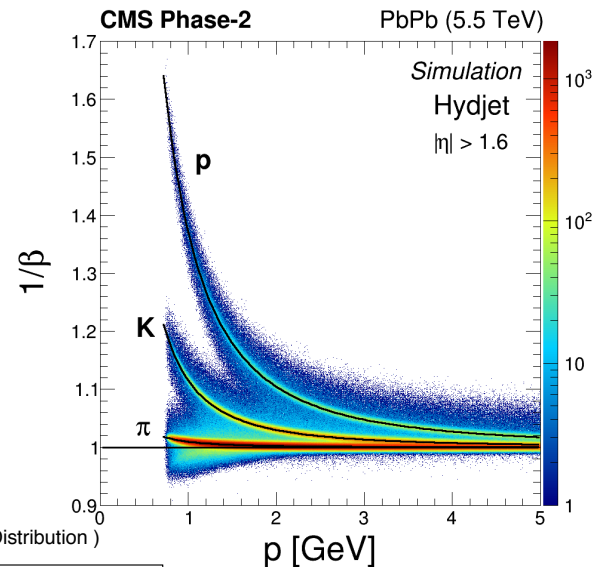
	Barrel Timing Layer (BTL)	Endcap Timing Layer (ETL)
Technology	LYSO crystal bars (with SiPM readout)	LGADs
Coverage in $ \eta $	< 1.45	1.6-3.0
Surface area (m ²)	~ 38	~ 14
No. channels	332k	$\sim 8.5\text{M}$
Fluence at 4 ab^{-1} ($n_{\text{eq}}/\text{cm}^2$)	2×10^{14}	2×10^{15} max



MTD Performance

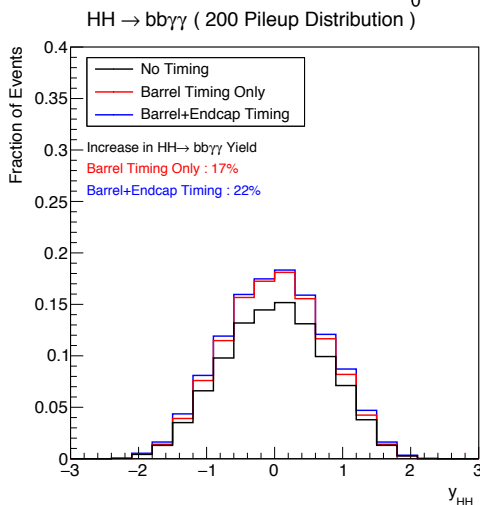
Timing resolution

- 30 – 60 ps in the barrel
- 35 ps per track in the endcap

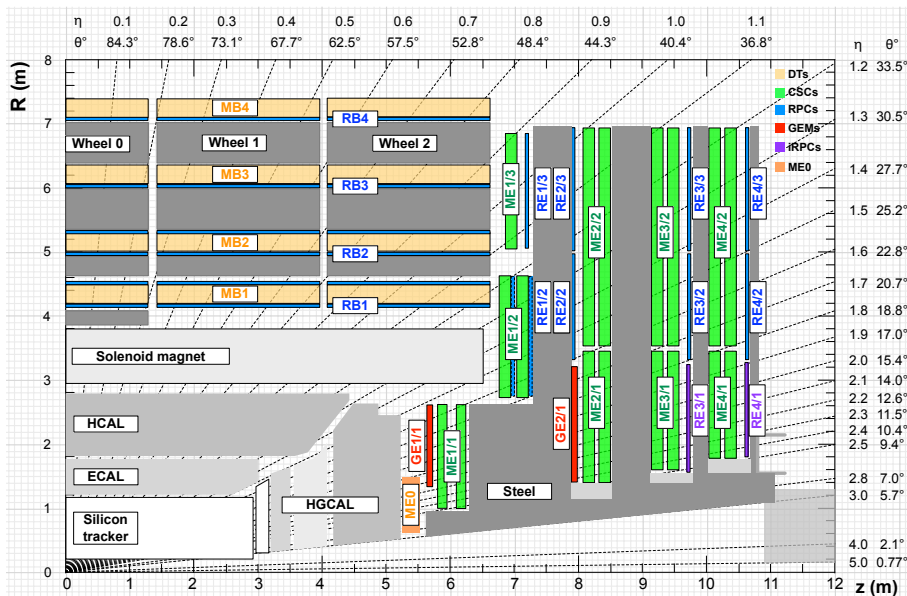


Impact on physics

- 10 – 12% improvement in p_T^{miss} resolution
 - $H \rightarrow \tau\tau$, BSM searches
- HH production: +20% signal yield
- PID for heavy ion physics



The Phase-2 Upgrade of the Muon Detector



Existing DT, CSC, and RPC detectors

- Upgraded electronics for HL-LHC conditions

Enhanced forward muons

- iRPC: RE3/1 and RE4/1
 - Short electrode recovery
 - Reduced total charge discharge
- GEM detectors:
 - GE1/1 (already in) and GE2/1
 - Improved L1 μ trigger in endcap
- ME0 detector
 - Muon coverage to $|\eta| = 2.8$:

The Phase-2 Upgrade of the Level-1 Trigger

Retain two-level trigger approach

- Level-1 + High-Level Trigger

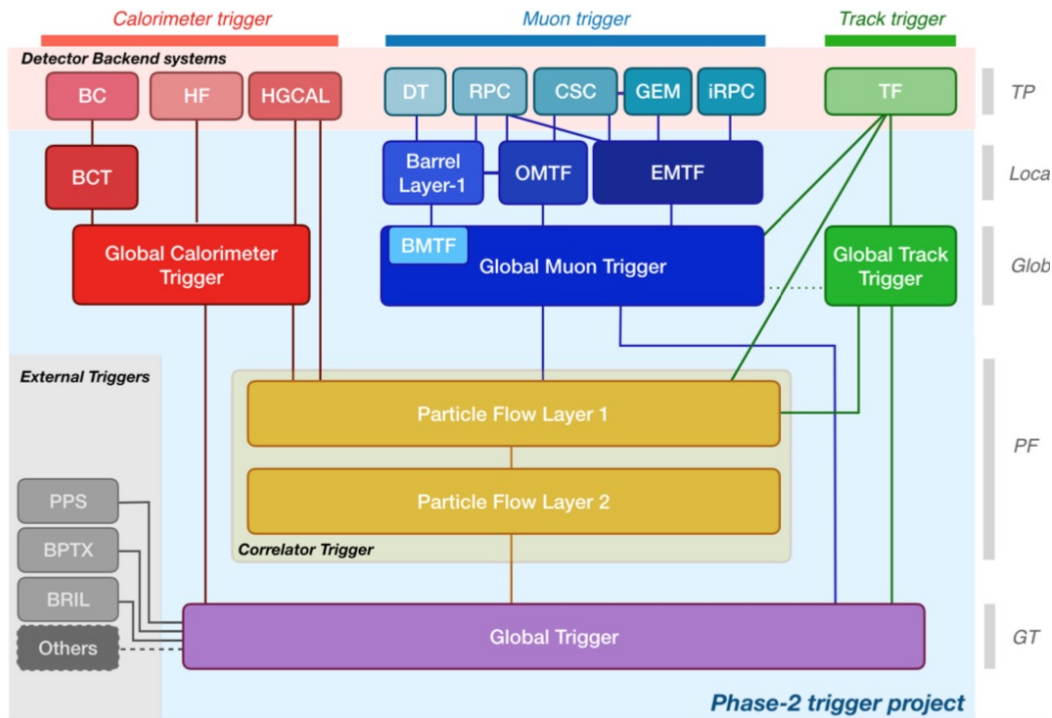
Key parameters

- Rate: 100 kHz \rightarrow 750 kHz
- Latency: 3.8 μ s \rightarrow 12.5 μ s

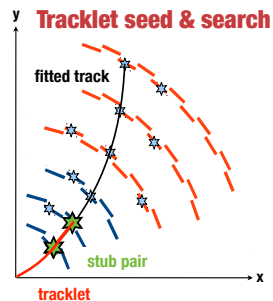
Inputs

- Calorimeters
- Muon System
- Outer Tracker

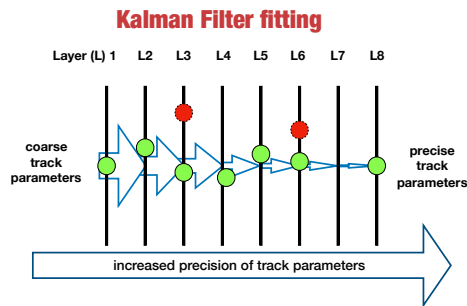
Four independent trigger processing paths



Level-1 Trigger Highlights



+



Charged particle track reconstruction

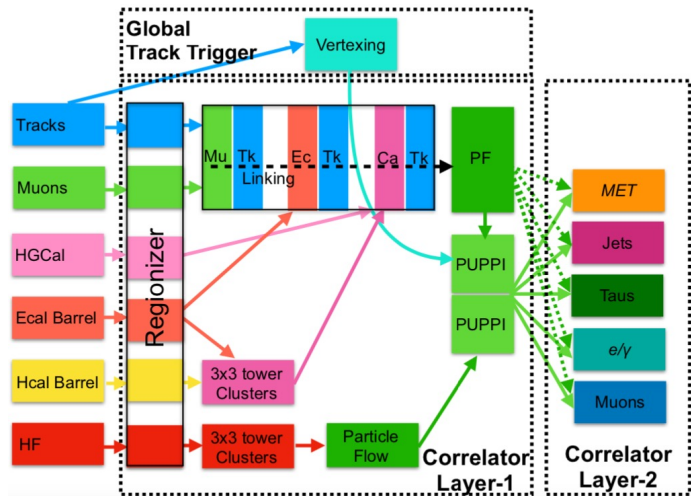
- Stubs from Outer Tracker
- Hybrid tracklet+KF track finder
- Extended L1 tracking for displaced trajectories

Correlator layer for sophisticated algorithms

- Particle-flow
- Machine learning

40 MHz Scouting

- Intermediate L1T data streams
- Diagnostics, monitoring and physics



The Phase-2 Upgrade of the DAQ/HLT

Data Acquisition (DAQ)

- ❑ Data pathway and time decoupling between detector readout and data reduction
- ❑ Local storage at experimental site
- ❑ Transfer to offline storage

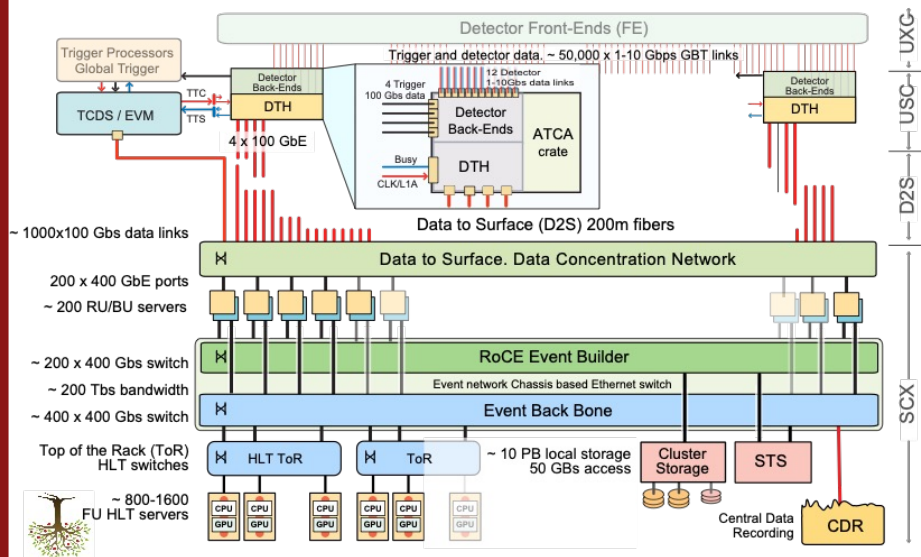
High-Level Trigger (HLT)

- ❑ Efficient selection of events of interest
- ❑ Data reduction 100:1 with respect to L1T
- ❑ Within computing resources envelope cost

Key Parameters

CMS detector Peak (PU)	LHC	HL-LHC	
	Phase-1	Phase-2	200
L1 accept rate (maximum)	100 kHz	500 kHz	750 kHz
Event Size at HLT input	2.0 MB	6.1 MB	8.4 MB
Event Network throughput	1.6 Tb/s	24 Tb/s	51 Tb/s
Event Network buffer (60s)	12 TB	182 TB	379 TB
HLT accept rate	1 kHz	5 kHz	7.5 kHz
HLT computing power	0.7 MHS06	17 MHS06	37 MHS06
Event Size at HLT output	1.4 MB	4.3 MB	5.9 MB
Storage throughput	2 GB/s	24 GB/s	51 GB/s
Storage throughput (Heavy-Ion)	12 GB/s	51 GB/s	51 GB/s
Storage capacity needed (1 day)	0.2 PB	1.6 PB	3.3 PB

Phase-2 Data Acquisition System



Key parameters

- ❑ 50,000 high-speed front-end optical links
- ❑ Up to 60 Tb/s data rate
- ❑ Total event size 7–10 MB

Highlights

- ❑ Unified detector readout
 - ATCA form-factor for detector backend
- ❑ Dual-function board DTH-400
 - DAQ data aggregation
 - Timing and Trigger Control and Distribution
- ❑ Event Network
 - RDMA over Converged Ethernet
- ❑ Heterogeneous HLT nodes
 - GPU-equipped servers

Phase-2 Beam Radiation, Instr. and Lumi

Beam radiation monitoring

- ❑ Optimise protection and lifetime of subdetectors
- ❑ Both real-time and integrated fluence

Luminosity measurement

- ❑ Real time
 - Operational scenario optimisation
- ❑ Key parameter is precision:
 - 1% offline, 2% online
 - Both bunch-by-bunch lumi

	Available outside stable beams	Independent of TCDS	Independent of foreseeable central DAQ downtimes	Offline luminosity available at LS frequency (bunch-by-bunch)	Statistical uncertainty in physics per LS (bunch-by-bunch)	Online luminosity available at ~1s frequency (bunch-by-bunch)	Statistical uncertainty in vdM scans for ovis (bunch-by-bunch)	Stability and linearity tracked with emittance scans (bunch-by-bunch)
FBCM hits on pads	✓	✓	✓	✓	0.037%	✓	0.18%	✓
D4R1 clusters (+coincidences)	✓	✓	✓	✓	0.021%	✓	0.07%	✓
HFET [sum ET] (+HFOC [towers hit])	✓	<i>if configured</i>	<i>if configured</i>	✓	0.017%	✓	0.23%	✓
TEPX clusters (+coincidences)	<i>if qualified beam optics</i>	✗	<i>if configured</i>	✓	0.020%	✓	0.03%	✓
OT L6 track stubs	✗	✗	<i>if configured</i>	✓	0.006%	✓	0.03%	✓
MB trigger primitives via back end	✓	✗	✗	✓	0.25%	✓	1.2%	✓
40 MHz scouting BMTF muon	✓	✗	✗	✓	0.96%	✓	4.7%	✓
REMUS ambient dose equivalent rate	✓	✓	✓	<i>orbit integrated</i>	<i>orbit integrated</i>	<i>orbit integrated</i>	<i>orbit integrated</i>	<i>orbit integrated</i>

Highlights

- ❑ Luminosity measurement from IT Endcap Pixel
- ❑ Luminosity readout from OT stubs at 40 MHz
- ❑ New lumi detector: Fast Beam Conditions Monitor
- ❑ New system of neutron monitors

State of the CMS Upgrade – Highlights

Tracker

- ❑ Outer Tracker: about to start module production
- ❑ Inner Tracker: ASIC final and in production

HGCAL

- ❑ Considerable progress on mechanics
- ❑ SiPM, scintillator production started – 40% of the sensors received

MTD

- ❑ Barrel: about to start module production
- ❑ Endcap: sensor procurement review in July; ASIC functionality proven

Muon Detector

- ❑ RPC and GEM chambers production ongoing

Conclusions

The high-luminosity configuration paves the way for the full exploitation of the LHC.

- ❑ Complete the cycle LEP → LHC → HL-LHC

Full luminosity needed for the most extensive searches and most precise measurements.

- ❑ Elucidation of the EWSB and of the Higgs boson characteristics

The HL-LHC conditions will be the harshest to date.

- ❑ Event rate, pileup, radiation

The CMS Phase-2 Upgrade will allow us to profit from the HL-LHC era.

- ❑ Keep (and improve) the high performance delivered in Phase-1

Thanks!

References

- ❑ High Luminosity LHC Technical Design Report:
<https://cds.cern.ch/record/2284929>

- ❑ Report on the Physics at the HL-LHC and Perspectives for the HE-LHC
<https://arxiv.org/abs/1902.10229>

- ❑ CMS Projected Physics Results
<http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/FTR/index.html>

- ❑ CMS Phase-2 Upgrade Documents
 - Technical Proposal: <https://cds.cern.ch/record/2020886>
 - Upgrade Scope Document: <https://cds.cern.ch/record/2055167>
 - Technical Design Reports:
<http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/TDR/index.html>



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