

Jets and Substructure

Simone Caletti

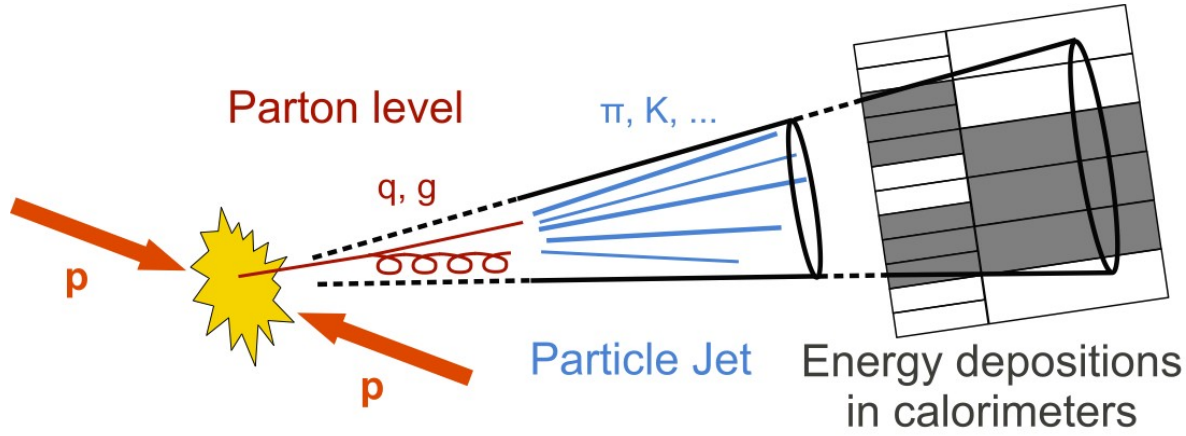
LHCP2024

June 3rd – 7th, 2024

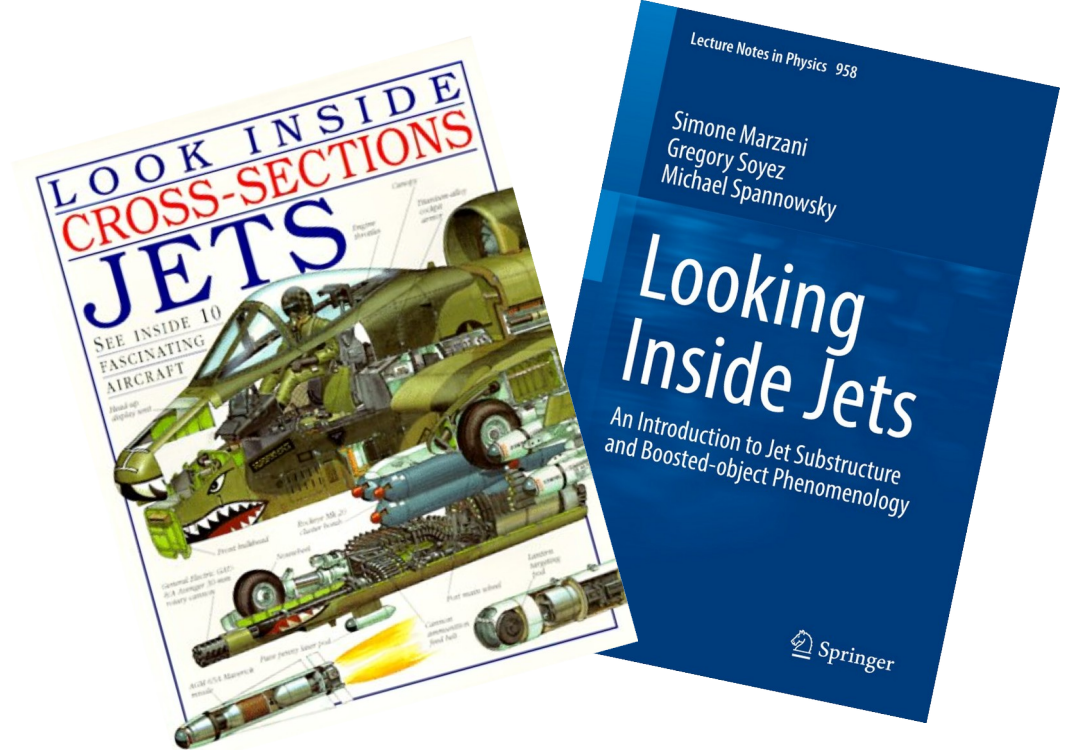
Boston, USA



What's a jet?

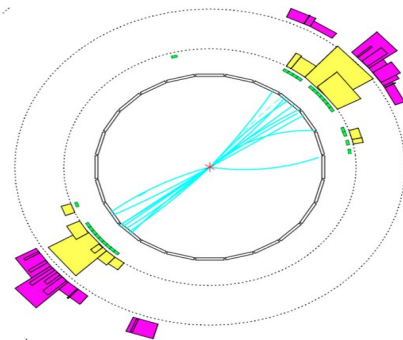


Naive definition: **collimated spray of hadrons**, ubiquitous in collider experiments, associated with the production of elementary particles that carries color charge

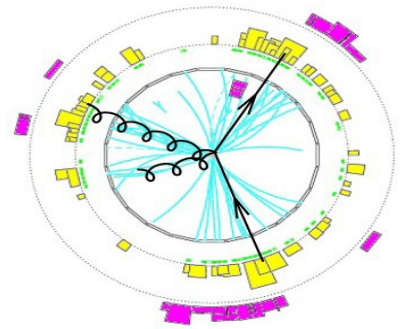
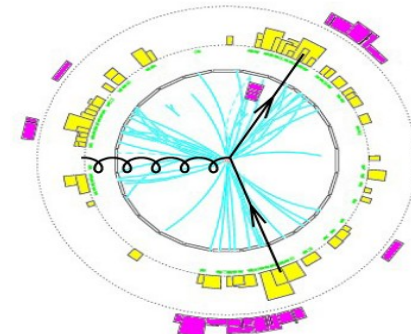


The design of good jet algorithms is therefore more a craft than a deductive science.

Banfi, Salam, Zanderighi (0601139)



clearly a 2-jet event



3-jet or 4-jet event?

Gen- k_t recombination algorithms

- Take the particles in the events as our initial list of objects.
- From this list build the *inter-particle distance* as

$$d_{ij} = \min(p_{T,i}^{2p}, p_{T,j}^{2p}) \Delta_{ij}^2$$

where we introduced

$$\Delta_{ij} = \sqrt{(\phi_i - \phi_j)^2 + (\eta_i + \eta_j)^2}$$

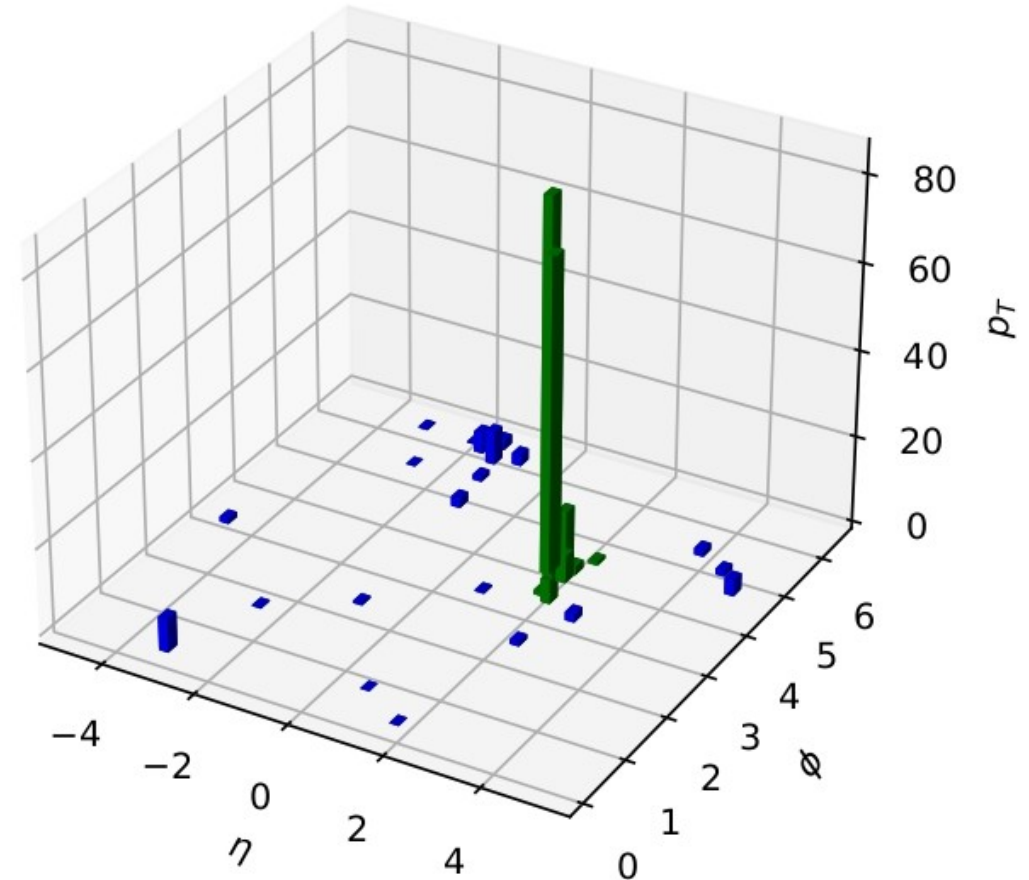
and the *beam distance* as

$$d_{B,i} = p_{T,i}^{2p} R^2$$

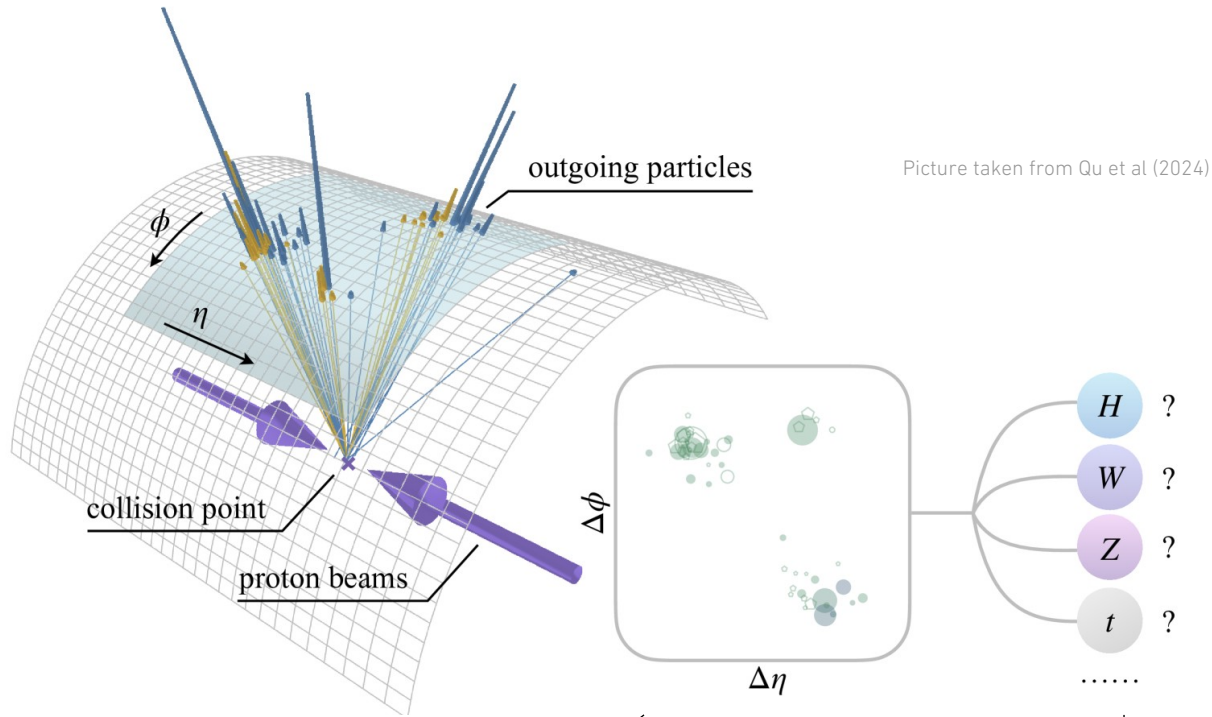
with R the jet radius.

- Iteratively find the smallest among all the two distances:
 - If $d_{ij} < d_{B,i}$ then remove i and j and recombine them into a new object k which is added to the new list.
 - If $d_{B,i} < d_{ij}$ then it is called a *jet* and removed from the list.

while(! list is empty)



Jet Substructure in a nutshell



Main LHC goals

Search of new particles

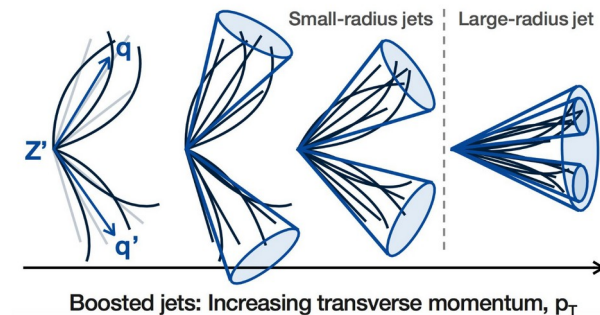
Characterization of known particles

- Jets can be formed by QCD particles or by the decay of massive particles in a boosted regime. Is it required to distinguish between signal and background.
- Looking to the internal structure of jets can give us an insight on the originating splitting
- The picture is obscured by many effects such as: hadronization, Underlying Events, Pileup
- Useful tools are
 - Grooming → clean the jet removing soft radiation
 - Tagging → identify the feature of the hard radiation and select event looking to them

- Jet Substructure
- Angularities
 - Soft Drop radius for Heavy-Quark jets

Jet tagging, flavor algorithms

THIS TALK



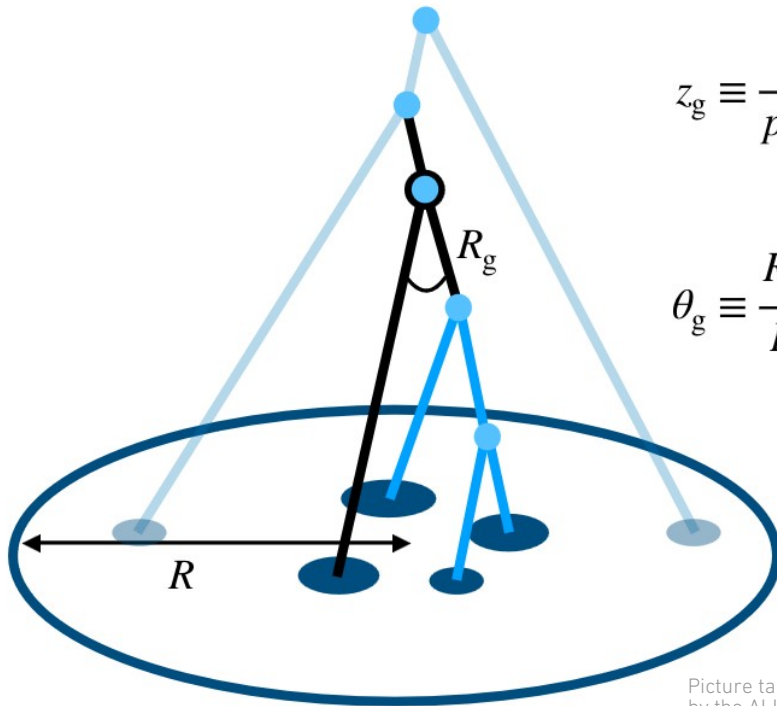
Grooming: Soft Drop

Larkoski et al. (2014)

$$\frac{\min(p_{T,i}, p_{T,j})}{p_{T,i} + p_{T,j}} > z_{\text{cut}} \left(\frac{\Delta_{ij}}{R} \right)^\beta$$

1. Break the jet j into two subjets by undoing the last stage of C/A clustering and label them as j_1 and j_2 .
2. If j_1 and j_2 pass the SD condition then deem j to be the final soft-drop jet.
3. Else: redefine

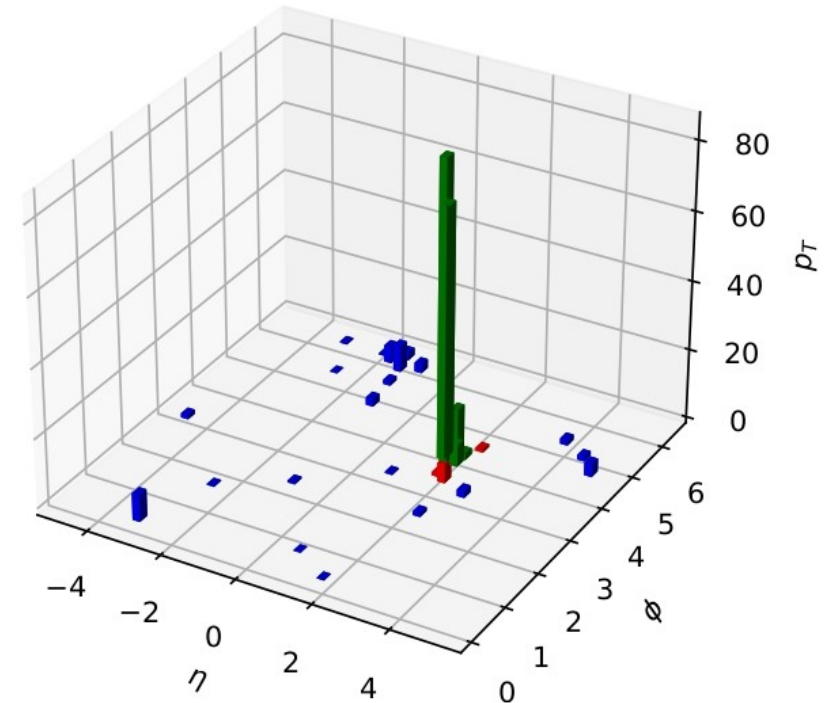
$$j = \max_{p_T} [j_1, j_2] \quad \text{while(! SD)}$$



$$z_{sg} \equiv \frac{p_{T,\text{subleading}}}{p_{T,\text{leading}} + p_{T,\text{subleading}}}$$

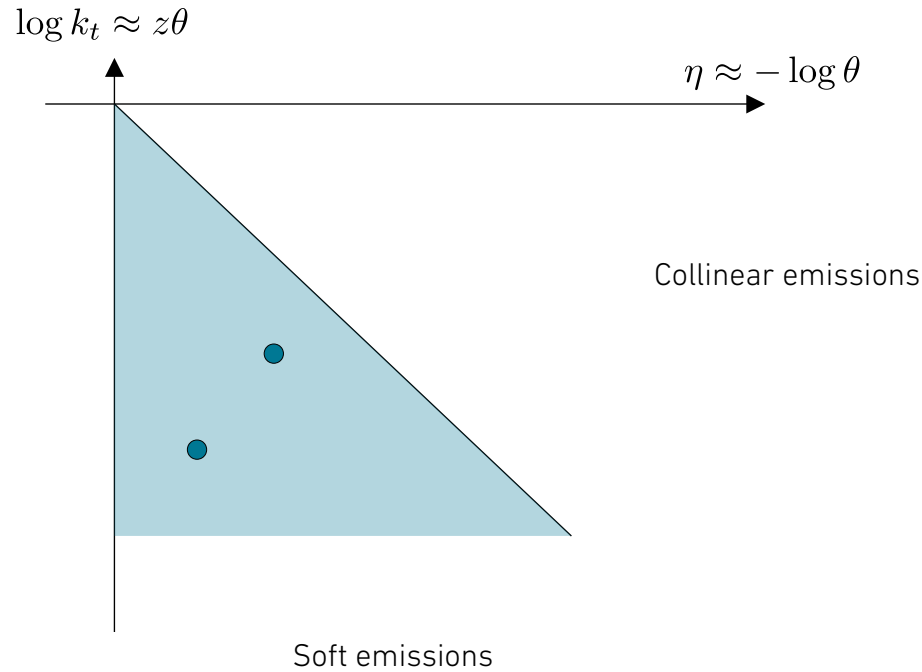
$$\theta_{sg} \equiv \frac{R_{sg}}{R} \equiv \frac{\sqrt{\Delta y^2 + \Delta \phi^2}}{R}$$

Picture taken from 2204.10246 by the ALICE collaboration



The Lund Plane

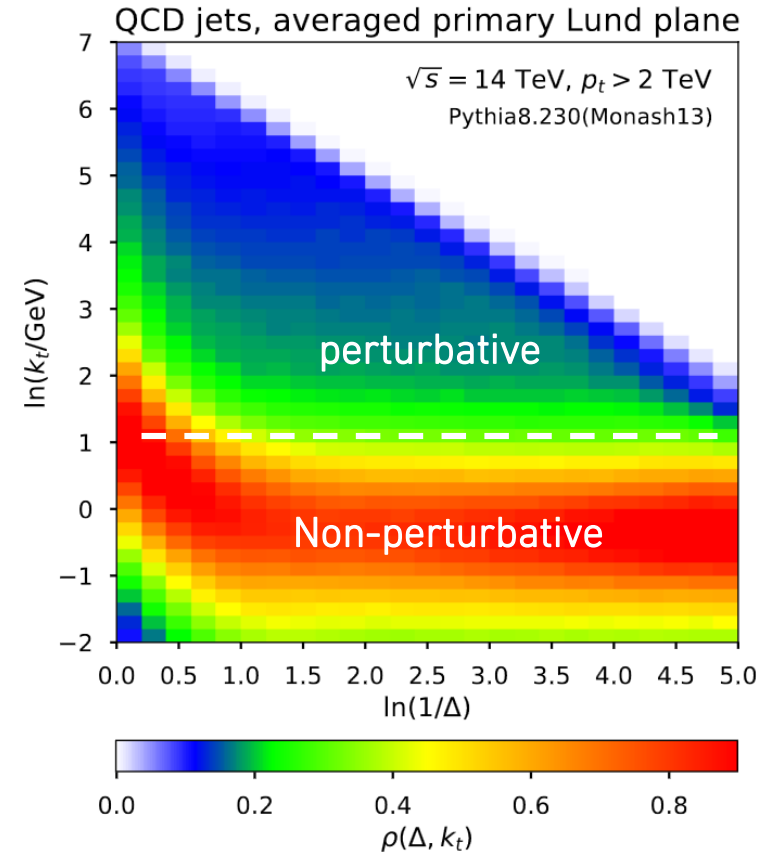
The Lund jet plane is a way to represent QCD radiation inside a jet or in the whole event and it is extremely useful in a wide range of applications.



Soft-collinear emissions are uniformly distributed among the Lund plane in a LL picture

$$\rho \simeq \frac{2\alpha_S C_F}{\pi} \approx 0.16$$

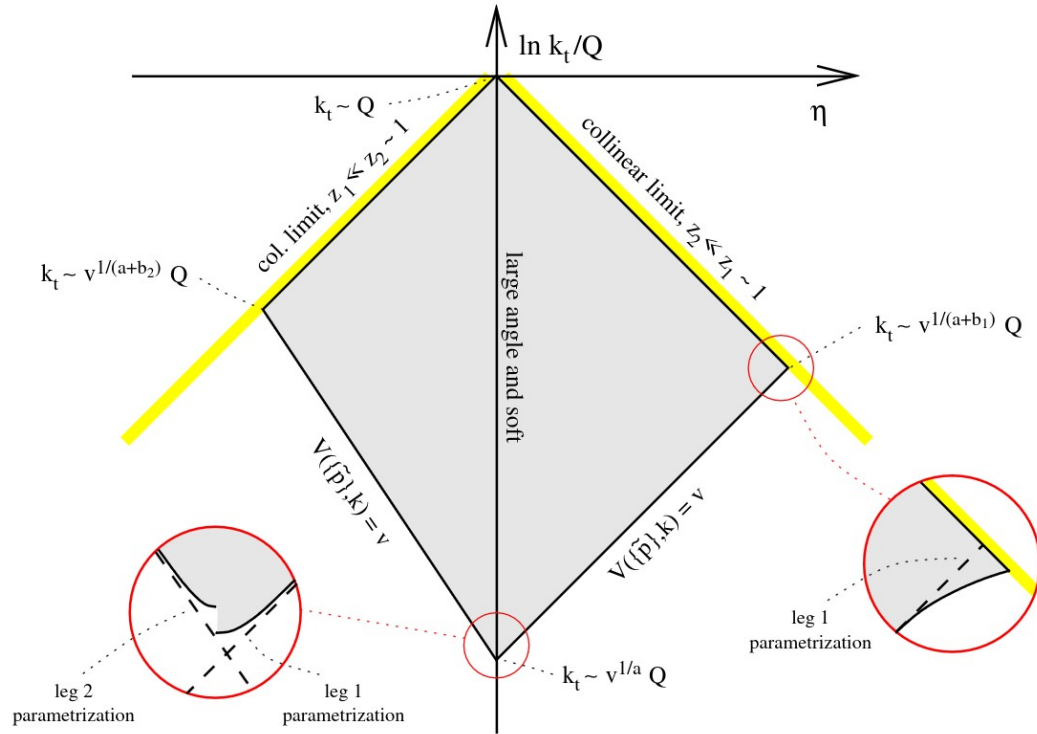
Taken from Dreyer et al (2018)



Different kinematic regimes are clearly separated

Automated resummation up to NLL

[Banfi, Salam, Zanderighi (0407286)]



$$a = 1$$

$$b_\ell = b = \alpha - 1$$

$$g_{\ell d_\ell} = \left(\frac{2 \cosh \eta_{\text{jet}}}{R} \right)^{\alpha-1} \frac{\mu_Q}{p_{T,\text{jet}} R}$$

For observables that can be parameterized as

$$V(\{\tilde{p}\}, k) = d_\ell(\mu_Q) \left(\frac{k_t^{(\ell)}}{\mu_Q} \right)^a e^{-b_\ell \eta^{(\ell)}} g_\ell(\phi^{(\ell)})$$

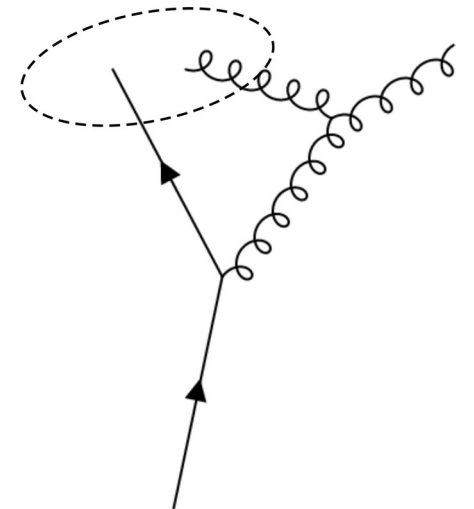
we have

$$\Sigma^{\text{NLL}}(v) = \mathcal{M} \mathcal{S} e^{-\mathcal{R}} \quad \text{with} \quad \mathcal{M}(v) = \frac{e^{-\gamma_E \mathcal{R}'(v)}}{\Gamma(1 + \mathcal{R}'(v))}$$

ANGULARITY

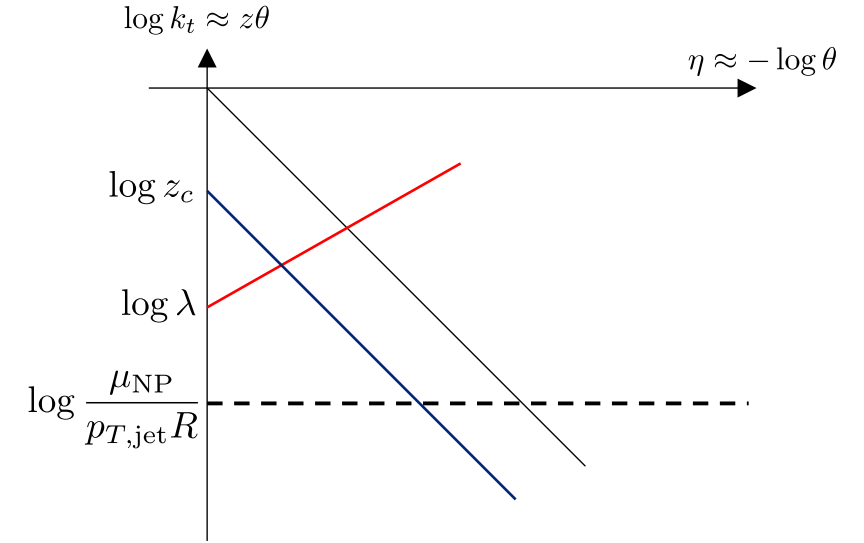
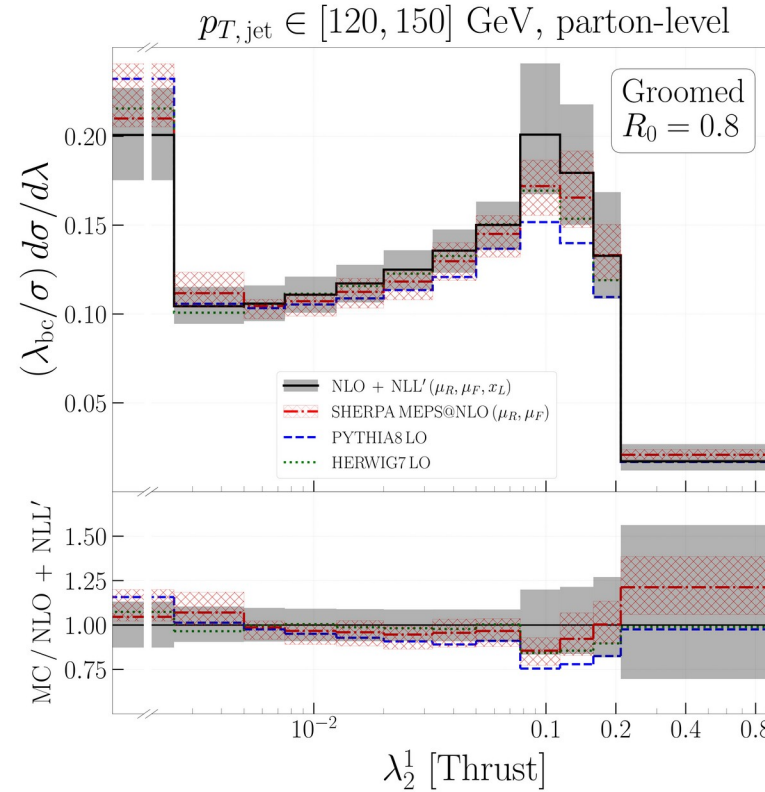
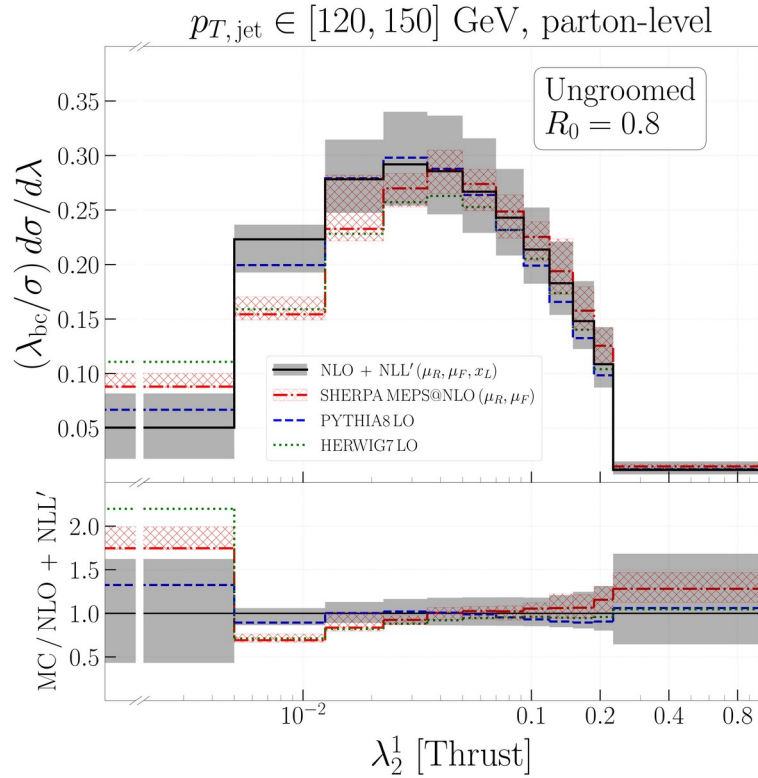
$$\lambda_\alpha^k = \sum_{j \in \text{Jet}} \left(\frac{p_{T,j}}{\sum_{j \in \text{Jet}} p_{T,j}} \right)^k \left(\frac{\Delta_j}{R} \right)^\alpha$$

$$\simeq \sum_{j \in \text{Jet}} z_j^k \theta_j^\alpha$$



Jet Angularities in Z+jet at LHC

[SC, Fedkevych, Marzani, Reichelt, Schumann, Soyez, Theeuwes (2104.06920)]



Perturbative domain:

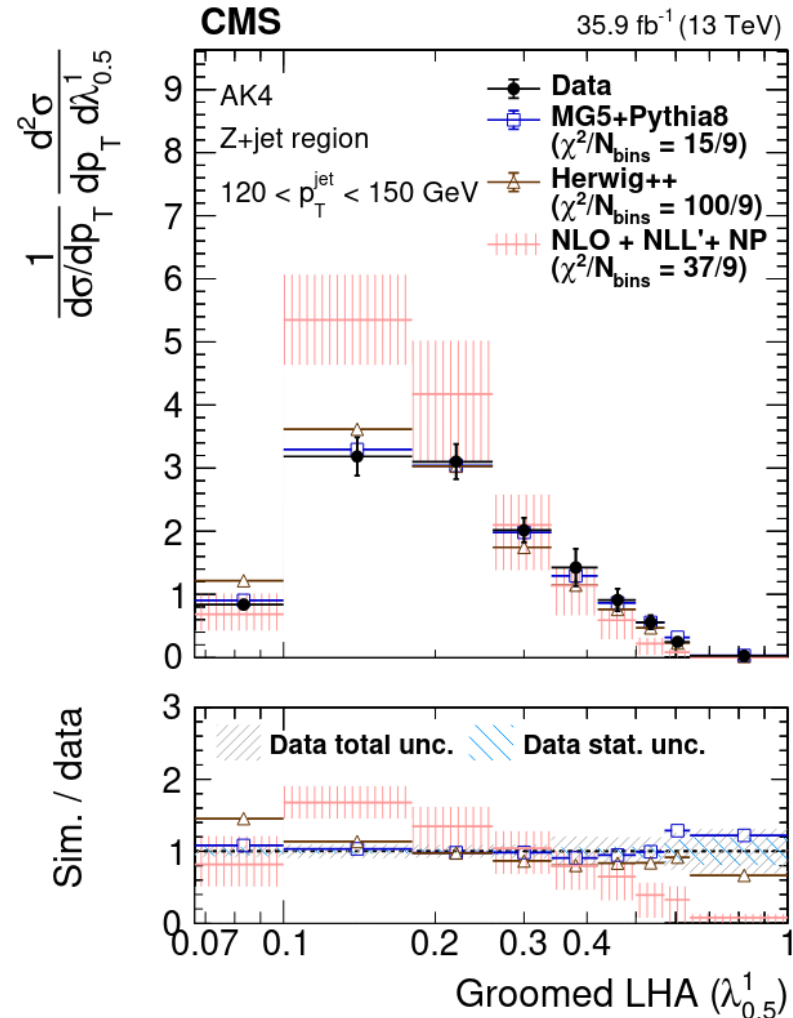
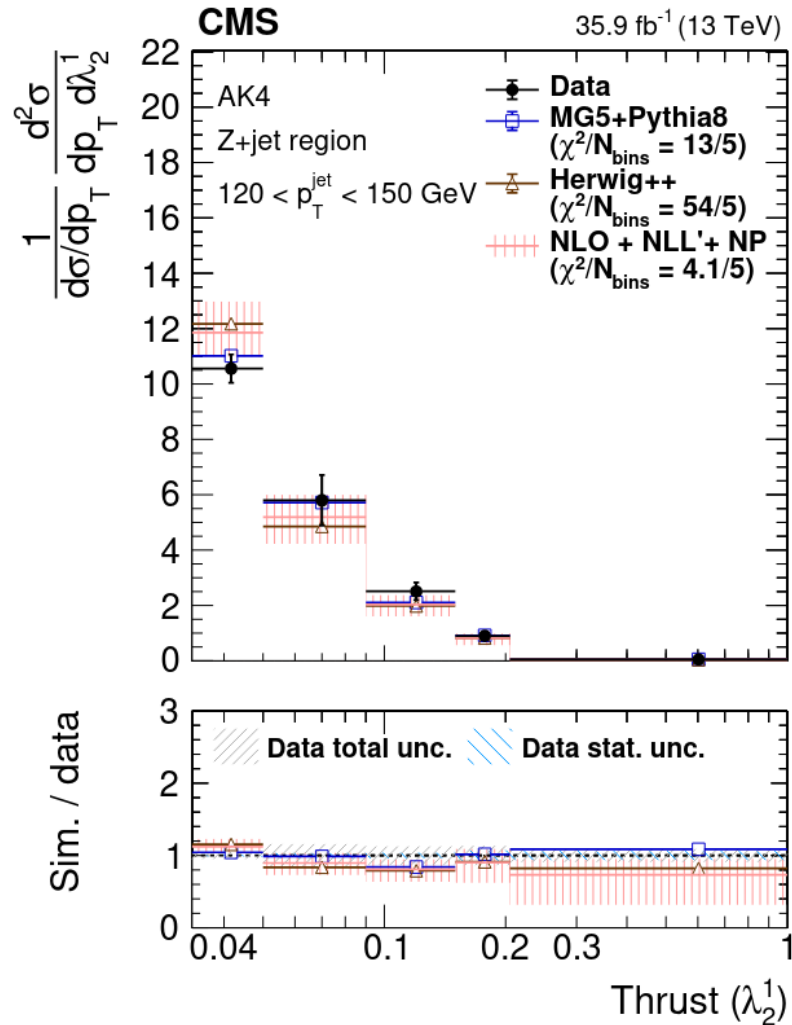
$$\left\{ \begin{array}{l} \alpha > 1 \\ v > \frac{\mu_{NP}}{p_{T,\text{jet}} R} \left(\frac{\mu_{NP}}{z_{\text{cut}} p_{T,\text{jet}} R} \right)^{\frac{\alpha-1}{\beta+1}} \end{array} \right. \quad \left\{ \begin{array}{l} \alpha \leq 1 \text{ or ungroomed} \\ v > \left(\frac{\mu_{NP}}{p_{T,\text{jet}} R} \right)^{\min[\alpha, 1]} \end{array} \right.$$

- Good agreement between MC and analytical predictions
- Visible NP transition point for the ungroomed case at ~ 0.01
- Visible SD transition point for the groomed case at ~ 0.1
- SD extends the perturbative domain and removes NGL

Jet Angularities in Z+jet at LHC

[SC, Fedkevych, Marzani, Reichelt, Schumann, Soyez, Theeuwes (2104.06920)]
 [CMS Collaboration (2109.03340)]

- NLL resummation with NLO matching is automated within a SHERPA plugin
- This allows to easily produce predictions for pheno studies

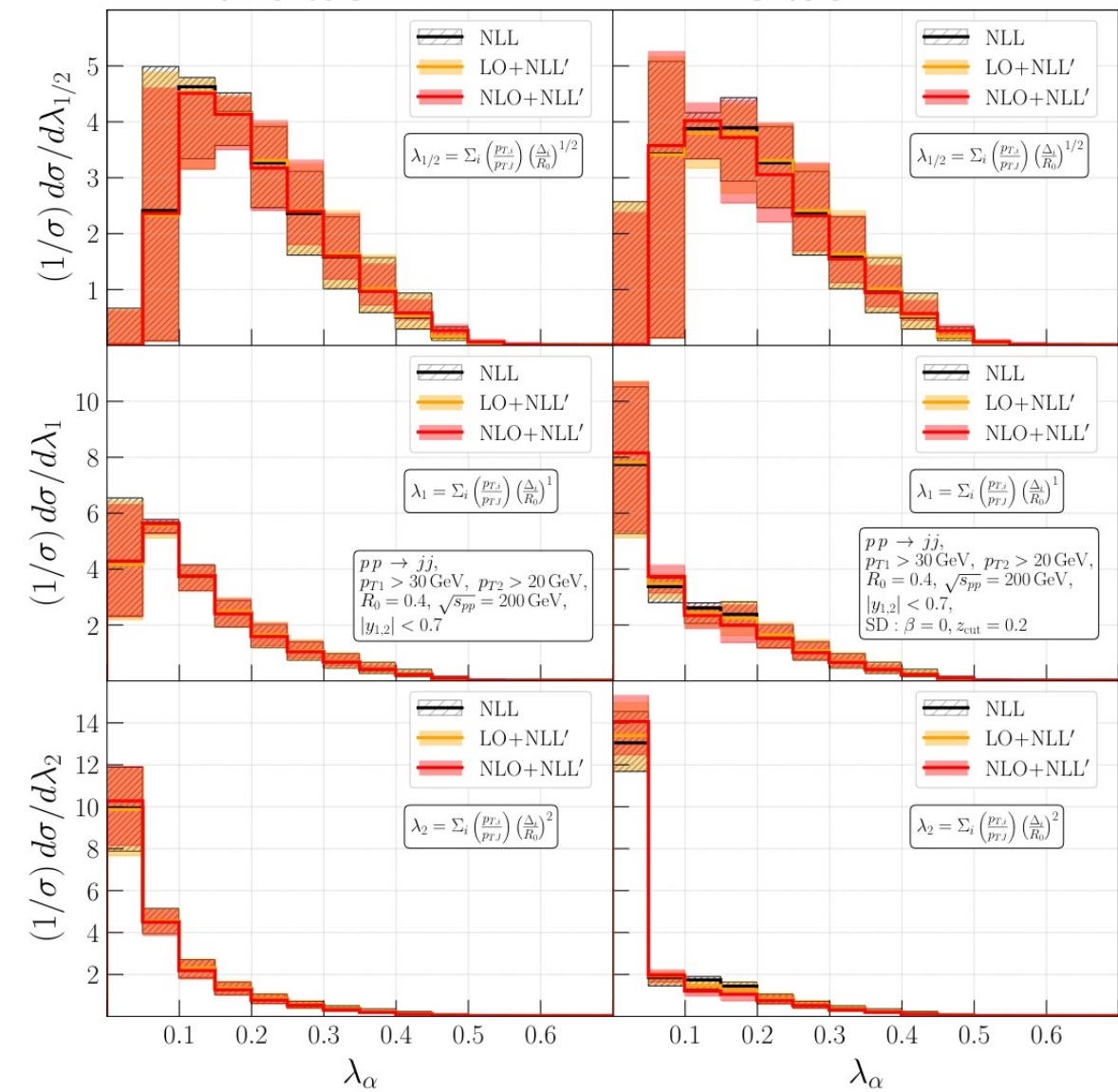


Jet Angularities in dijet at RHIC

[Chien, Fedkevych, Reichelt, Schumann (2404.04168)]

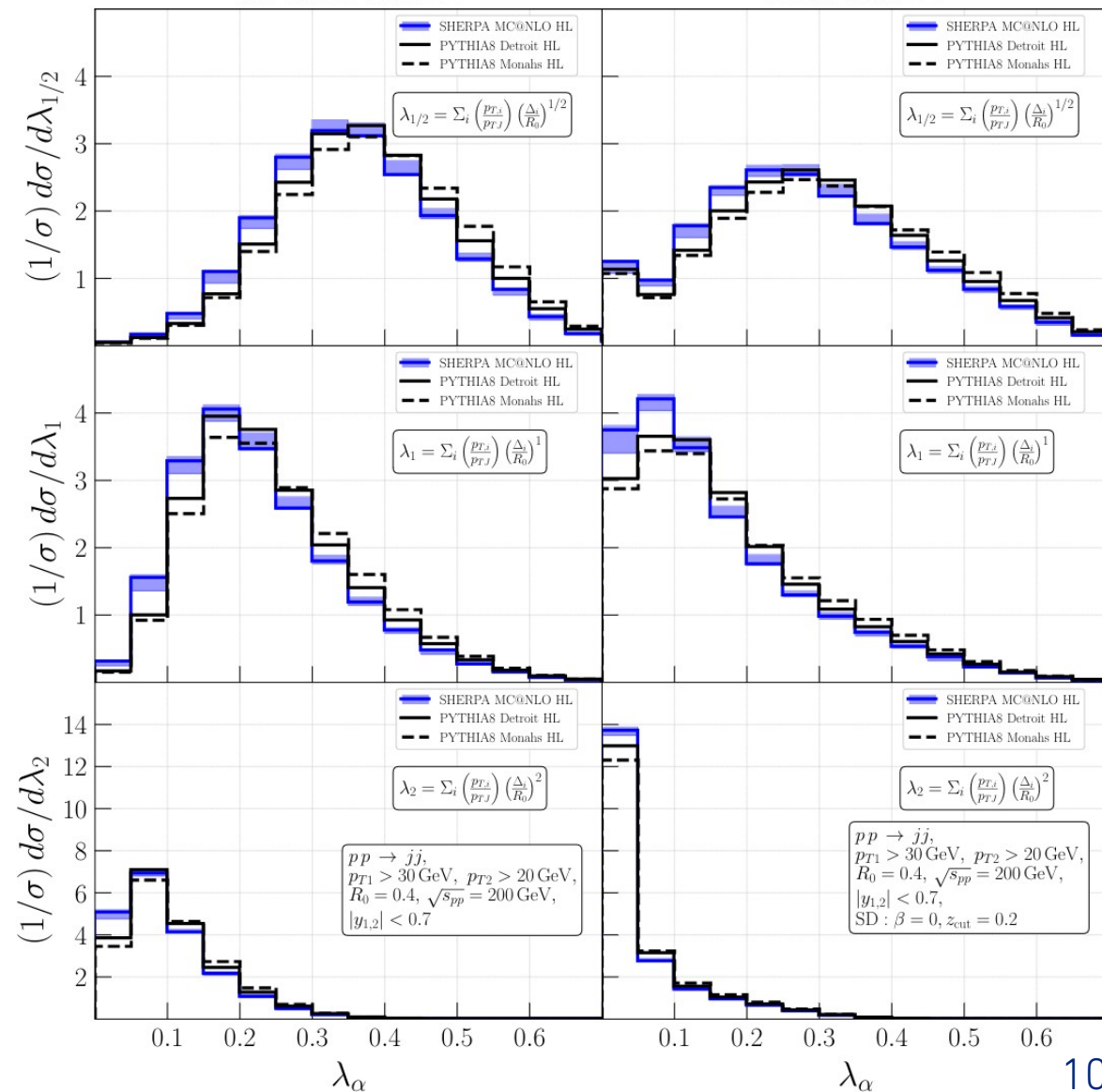
UNGROOMED PL

GROOMED PL



UNGROOMED

GROOMED



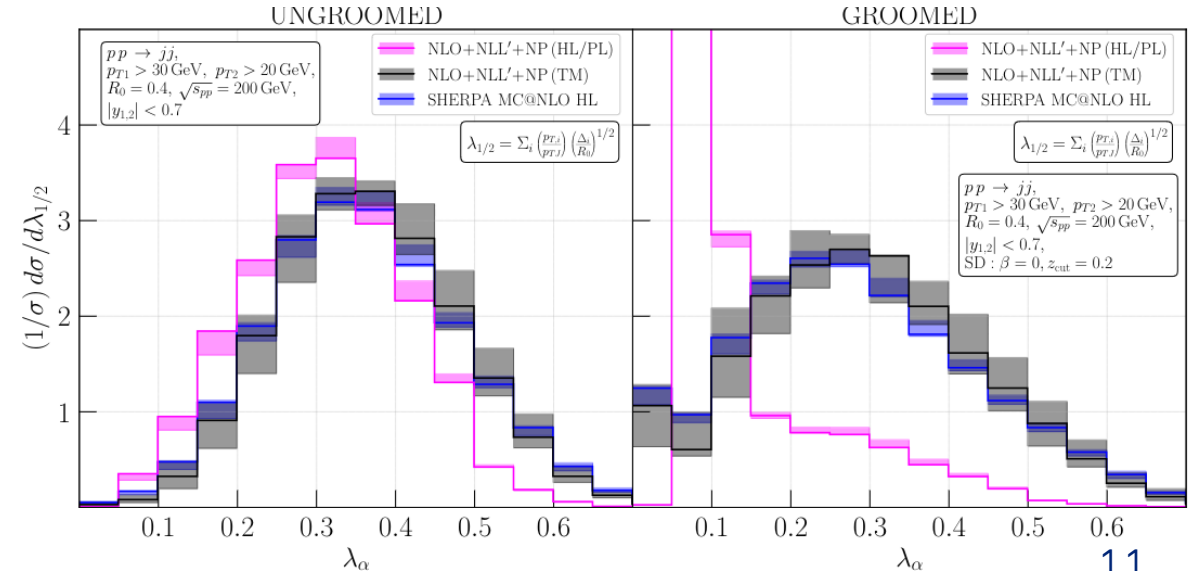
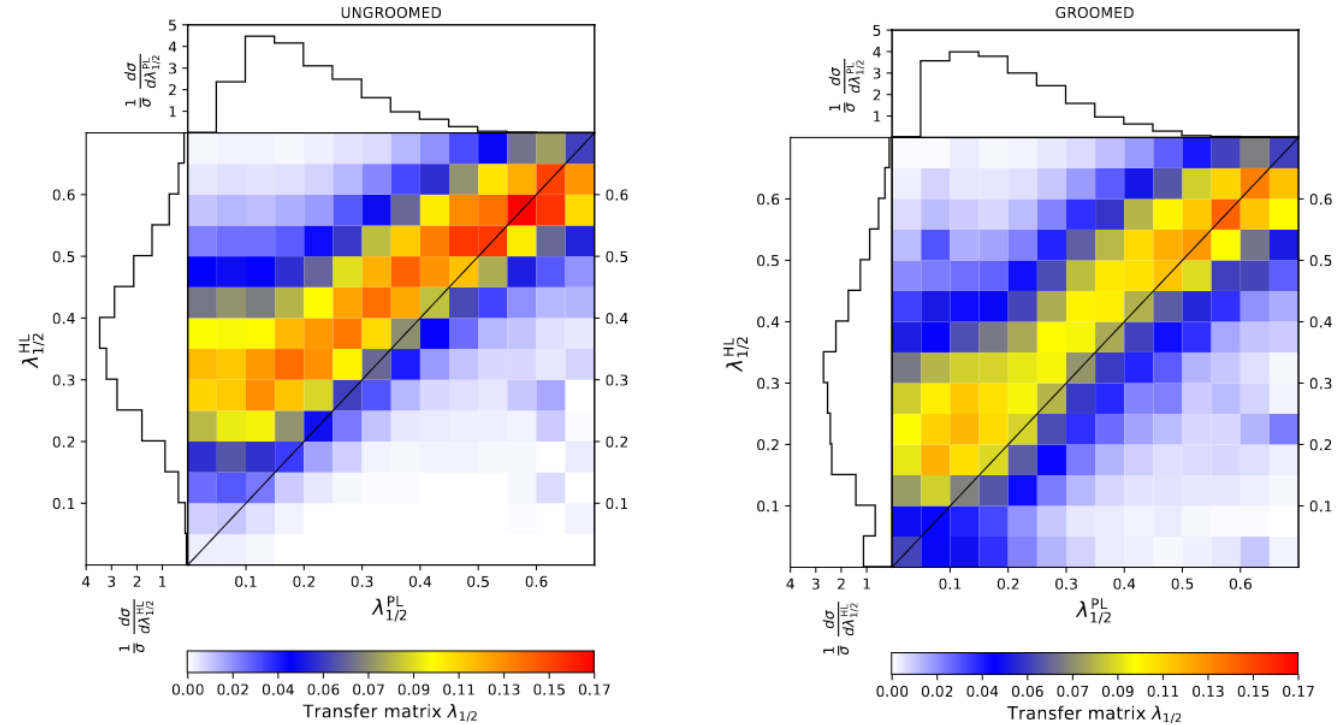
Non-perturbative corrections

[Chien, Fedkevych, Reichelt, Schumann (2404.04168)]

$$\mathcal{T}(\vec{v}_h|\vec{v}_p) = \frac{\int d\mathcal{P} \frac{d\sigma}{d\mathcal{P}} \delta^{(m)}(\vec{v}_p - \vec{V}(\mathcal{P})) \delta^{(n)}(\vec{v}_h - \vec{V}(\mathcal{H}(\mathcal{P})))}{\int d\mathcal{P} \frac{d\sigma}{d\mathcal{P}} \delta^{(m)}(\vec{v}_p - \vec{V}(\mathcal{P}))}$$

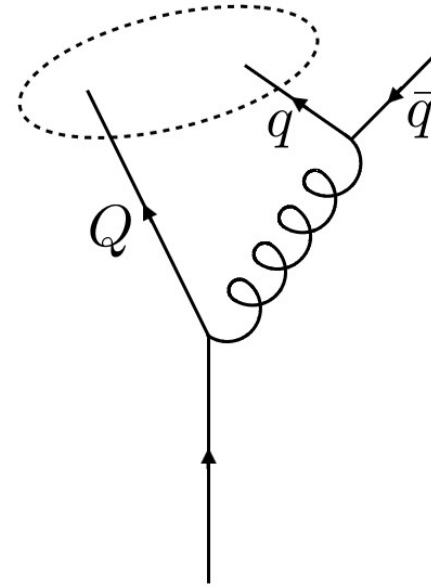
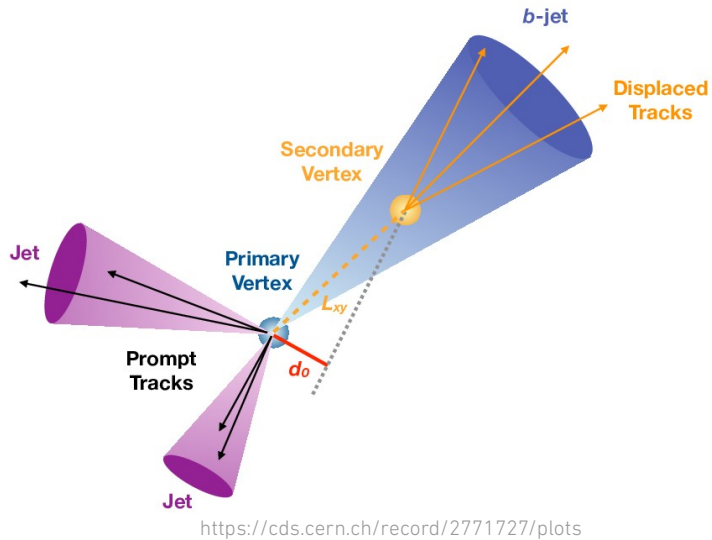
$$\frac{d^m \sigma^{\text{HL}}}{dv_{h,1} \dots dv_{h,m}} = \int d^m \vec{v}_p \mathcal{T}(\vec{v}_h|\vec{v}_p) \frac{d^m \sigma^{\text{PL}}}{dv_{p,1} \dots dv_{p,m}}$$

- The element of the transfer matrix can be extracted from MC event generator if individual events are accessible at different stages of their evolution in the simulation process.
- Transfer matrix corrections are very compatible with pure MC predictions, especially for the groomed case.



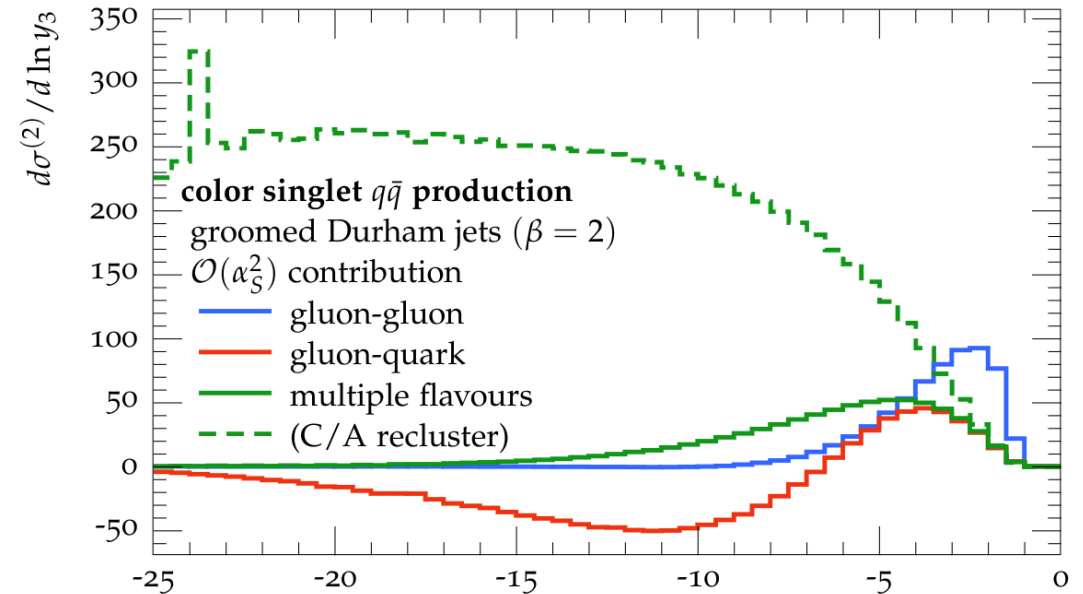
Flavor algorithms

[SC, Larkoski, Marzani, Reichelt (2205.01117) and (2205.01109)]



1. Cluster jets with any IRC safe clustering algorithm
2. Recluster the jet with JADE
3. At each stage require that particles i and j pass the SD condition for $\beta > 0$.
4. Return the net flavor of the groomed jet as the flavor of the initial jet

- Heavy-quark-initiated jets are experimentally identified exploiting B hadron lifetime, i.e. the display vertex of **anti-kt** jets.
- From the theory perspective, the **partonic net flavor** of anti-kt jets is **not** IRC safe at NNLO.
- **Flavor-kt** can be used from theory point of view, but then we cannot directly compare with experiment.



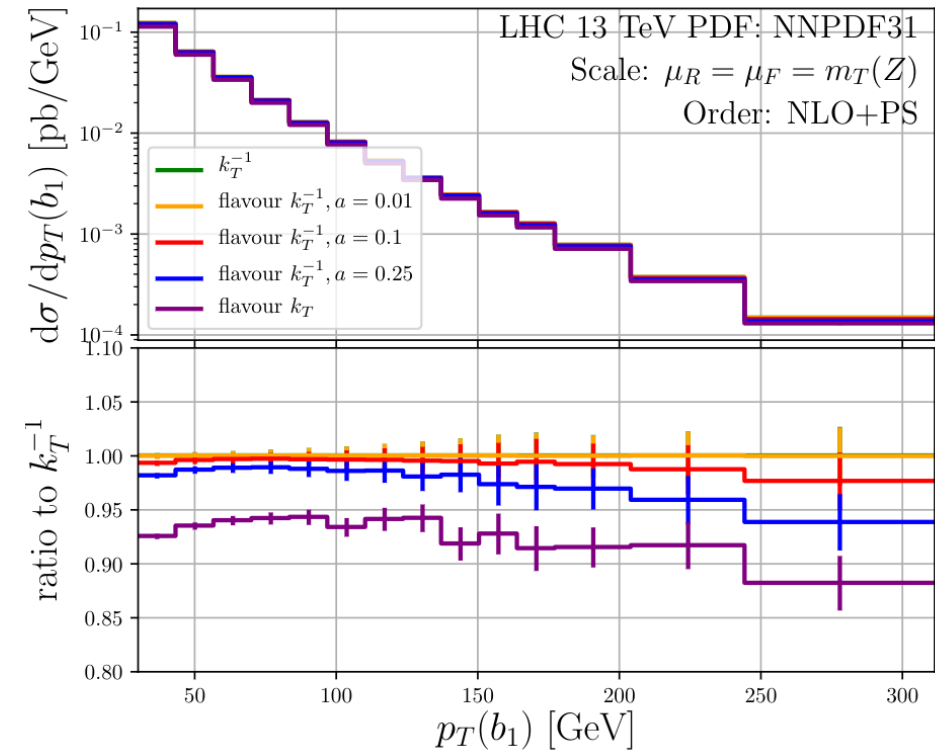
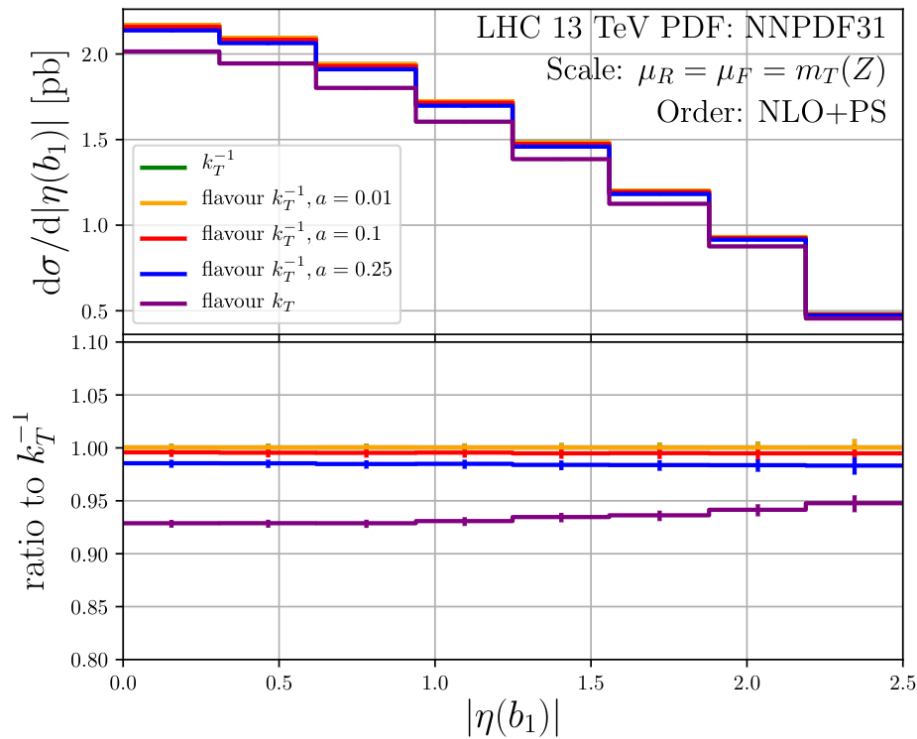
Flavor algorithms

[Czakon, Mitov, Poncelet (2205.11879)]

$$d_{ij}^{(F)} = \overbrace{\left(\frac{\Delta_{ij}}{R}\right)^2 \min(k_{T,i}^{-2}, k_{T,j}^{-2})}^{\text{standard anti-}k_t \text{ measure}} \begin{cases} \mathcal{S}_{ij}, & \text{if both } i \text{ and } j \text{ have non-zero flavor of opposite sign} \\ 1, & \text{otherwise} \end{cases}$$

$$\mathcal{S}_{ij} = 1 + \Theta(1 - \kappa_{ij}) \cos\left(\frac{\pi}{2} \kappa_{ij}\right)$$

$$\text{with } \kappa_{ij} \equiv \frac{1}{a} \frac{k_{T,i}^2 + k_{T,j}^2}{2k_{T,\max}^2}$$



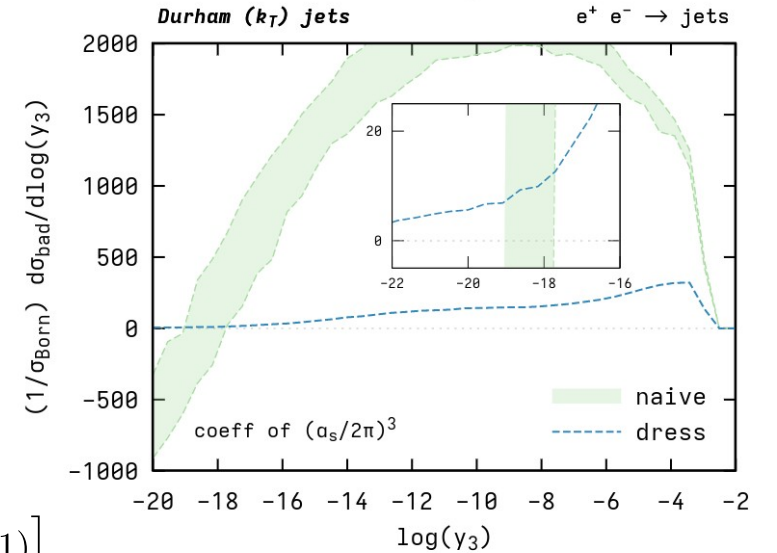
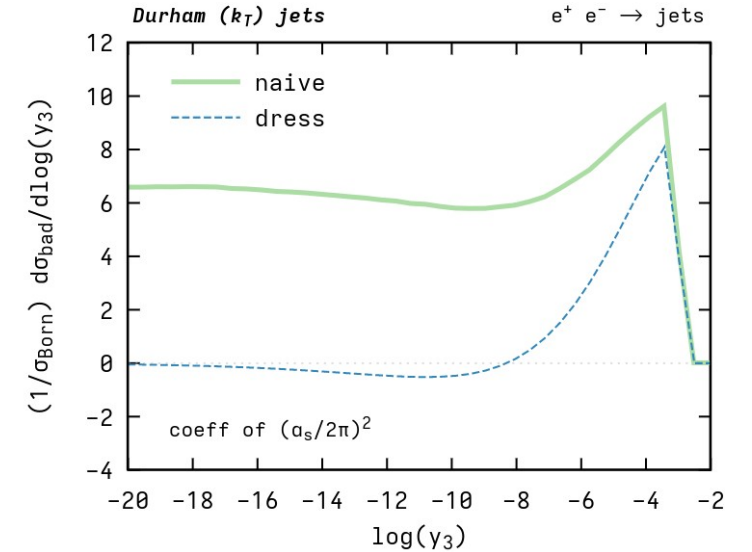
Flavor algorithms

[Gauld, Huss, Stagnitto (2208.11138)]

1. Initialize empty sets tag_k for each jet j_k to accumulate all flavored particles assigned to it
2. Populate a set \mathcal{D} of distance measures based on all allowed pairings:
 - (a) For each unordered pair p_i and p_j add the distance measure d_{p_i, p_j} , if either both particles are flavored or at least one particle is unflavored and p_i and p_j are associated with the same jet.
 - (b) If p_i is associated to jet j_k , add the distance measure d_{p_i, j_k} . At hadron colliders, add the beam distance $d_{p_i, B_{\pm}}$
3. While the set \mathcal{D} is not empty, select the pairings with the smallest distance measure:
 - (a) d_{p_i, p_j} is the smallest. Merge the two particles into a new particle k_{ij} carrying the sum of the four-momenta and flavor. All entries in \mathcal{D} involving p_i or p_j are removed and new distances for k_{ij} are added to \mathcal{D} .
 - (b) d_{p_i, j_k} is the smallest. Assign the particle p_i to the jet j_k , $\text{tag}_k \rightarrow \text{tag}_k \cup \{p_i\}$ and remove all entries in \mathcal{D} that involve p_i .
 - (c) $d_{p_i, B_{\pm}}$ is the smallest. Discard particle p_i and remove all entries in \mathcal{D} that involve p_i .
4. The flavor assignment for the jet j_k is determined according to the accumulated flavors in tag_k .

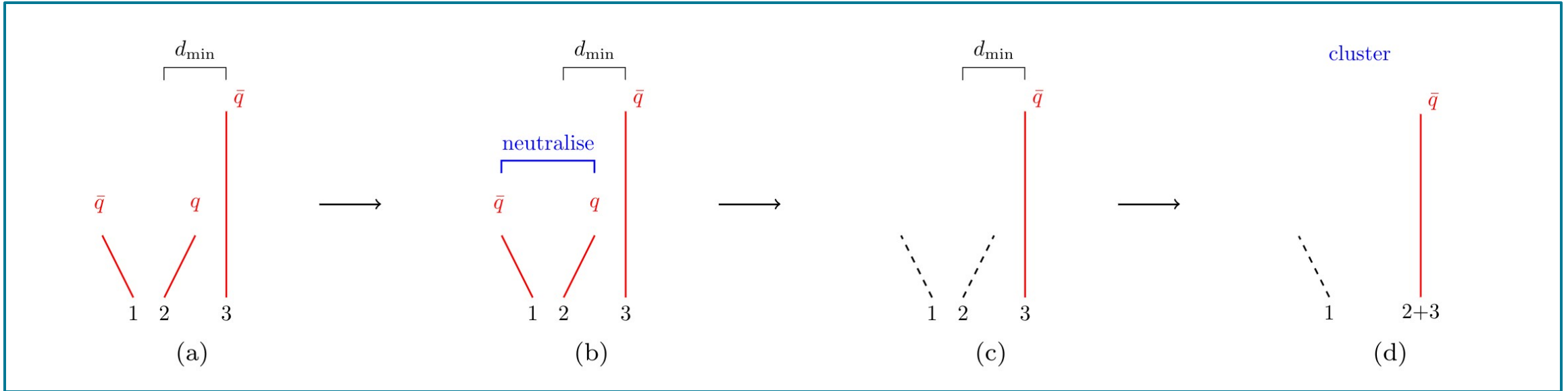
$$d_{ab} = \Omega_{ab}^2 \max(p_{T,a}^{\alpha}, p_{T,b}^{\alpha}) \min(p_{T,a}^{2-\alpha}, p_{T,b}^{2-\alpha})$$

$$\Omega_{ab}^2 = 2 \left[\frac{1}{\omega^2} (\cosh(\omega \Delta y_{ab}) - 1) - (\cos \Delta \phi - 1) \right]$$



Flavor algorithms

[Caola, Grabarczyk, Hutt, Salam, Scyboz, Thaler (2306.07314)]



Consider a soft $q\bar{q}$ pair (particles 1 and 2) and a hard \bar{q} (particle 3) with

$$p_{T,1} \sim p_{T,2} \ll p_{T,3}$$

We have that $\Delta R_{23} < R$

while $\Delta R_{12} > R$

Before the 2+3 clustering, the flavor of 1 is used to neutralized the flavor of 2.

Now 2 is clustered with 3 into a 2+3 object with the flavor of 3.

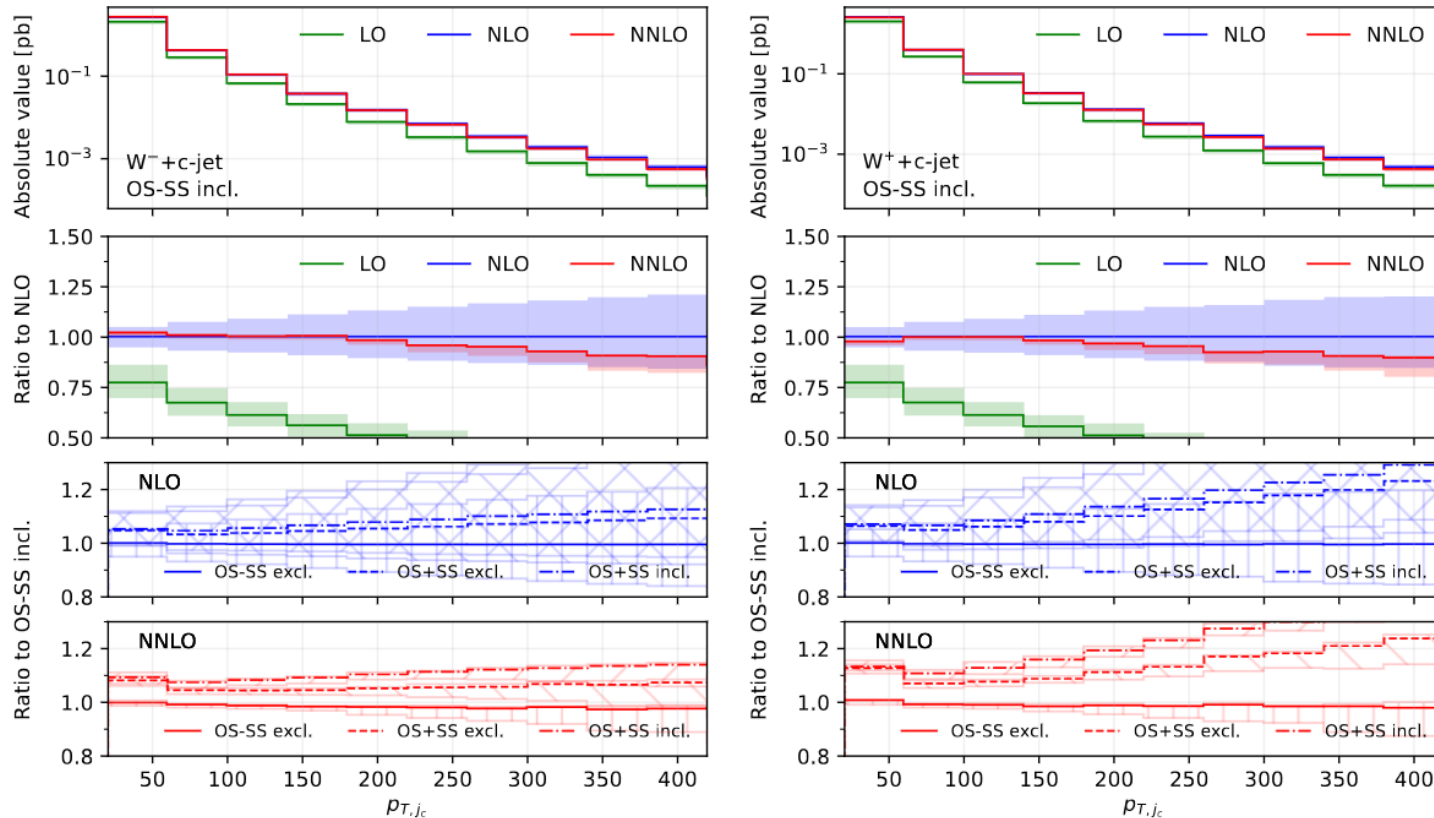
The neutralization metric is given by

$$u_{ik} = \max(p_{T,i}^\alpha, p_{T,j}^\alpha) \min(p_{T,i}^{2-\alpha}, p_{T,j}^{2-\alpha}) \times \Omega_{ij}^2$$

$$\Omega_{ij}^2 = 2 \left[\frac{1}{\omega^2} (\cosh(\omega \Delta y_{ij}) - 1) - (\cos \Delta \phi_{ij} - 1) \right]$$

W+c-jet @ NNLO

[Gehrmann-De Ridder, Gehrmann, Glover., Huss, Rodriguez Garcia, Stagnitto (2311.14991)]
[Czakon, Mitov, Pellen, Poncelet (2212.00467)]



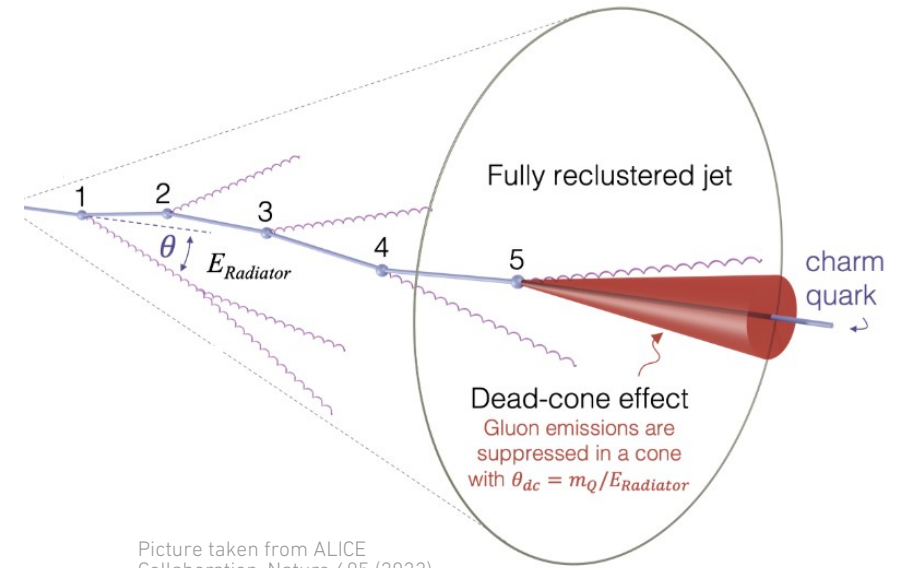
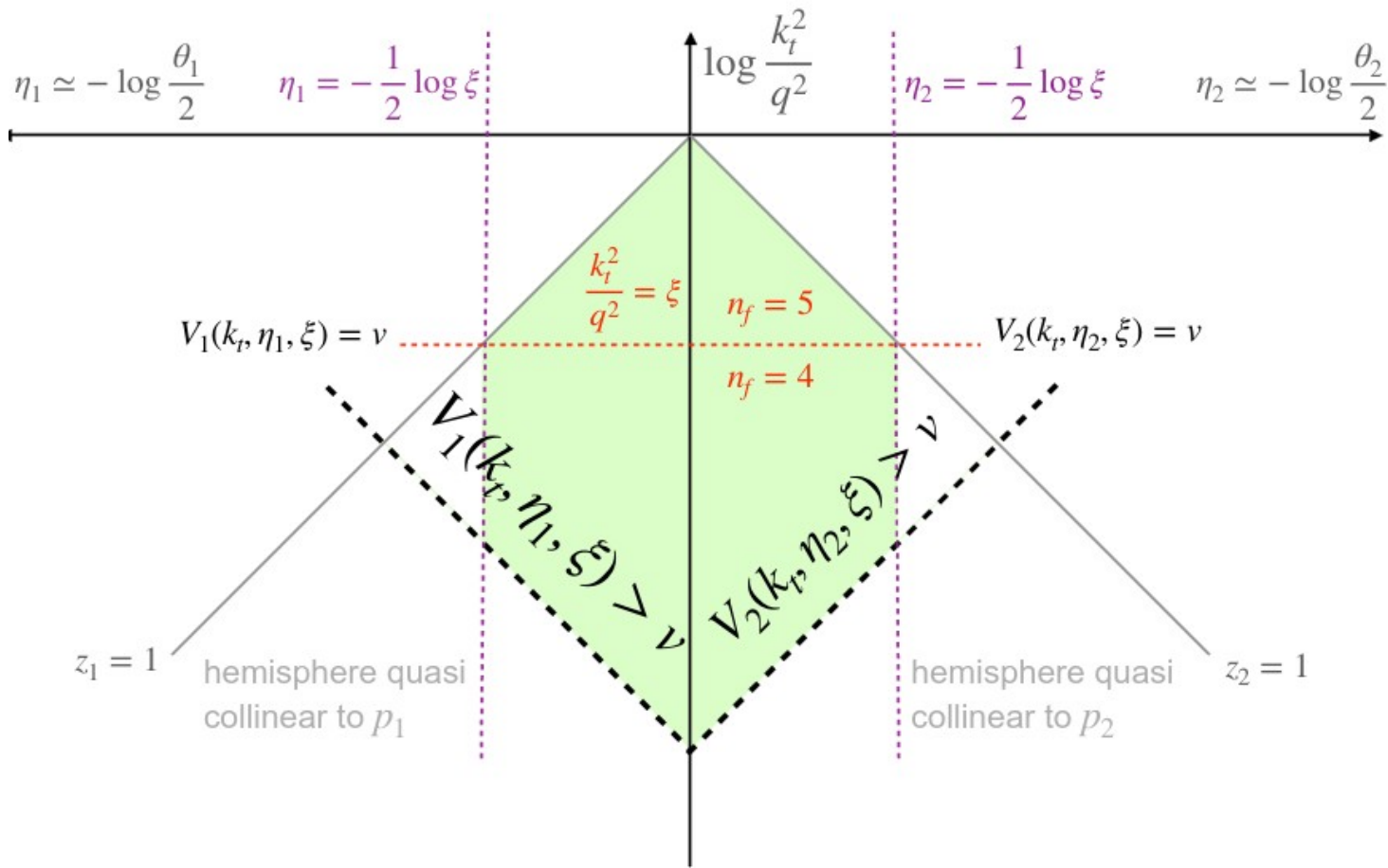
- First calculations employing IRC safe flavor algorithms for V+h processes are available.
- Last Les Houches workshop played a central role in implementing all the flavor algorithm within the fastjet contrib framework (hopefully available soon).

What about JSS for heavy-quark-initiated jets?

→ Also, see talk by Matthew yesterday

Lund Plane for massive particles

[Ghira, Marzani, Ridolfi (2309.06139)]



Picture taken from ALICE Collaboration, Nature 605 (2022) 440-446

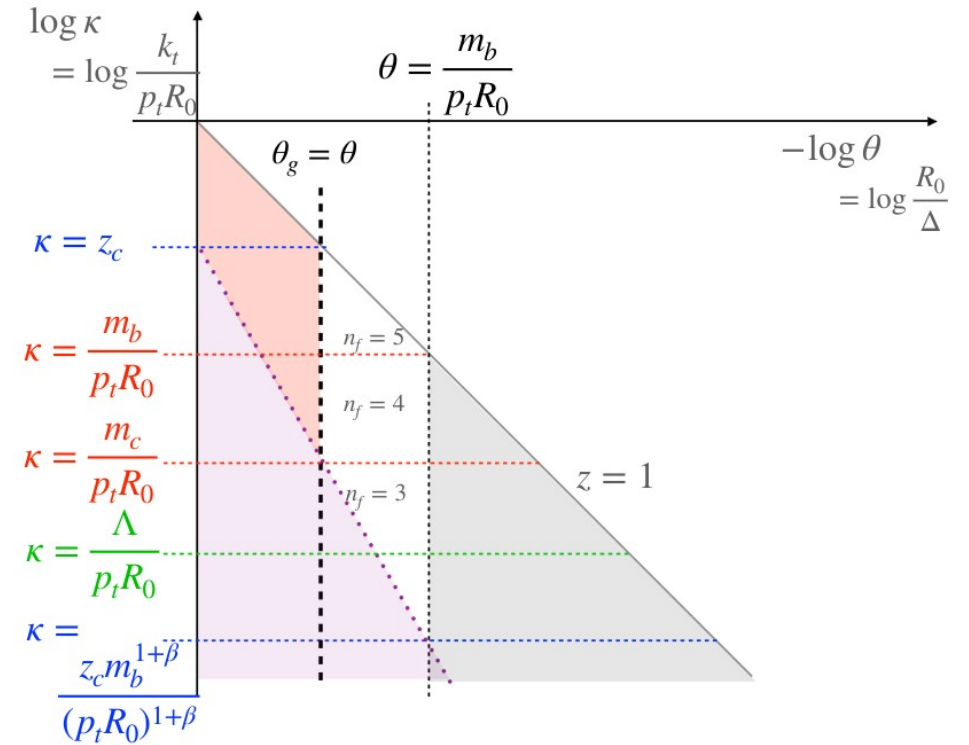
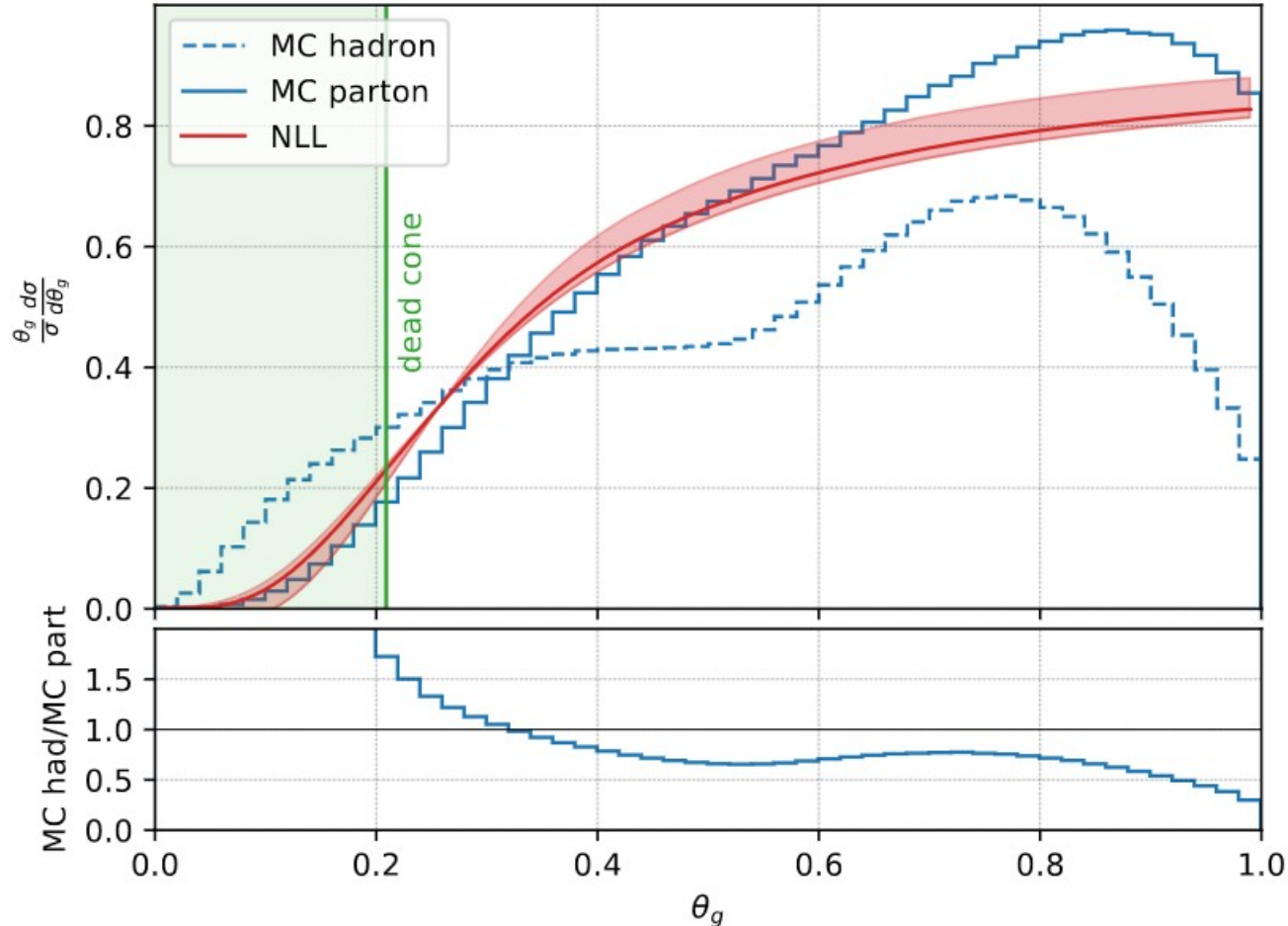
$$\alpha_S \int \frac{d\theta^2}{\theta^2 + \frac{m^2}{Q^2}} \sim \alpha_S \log \frac{m^2}{Q^2}$$

- When jets are initiated by a heavy flavor quark, the quark mass shields the collinear singularity \rightarrow dead-cone effect
- In the massive case, we can still employ the Lund plane technique to compute the Sudakov form factor, provided that we reduce the available phase-space introducing two vertical lines set by the quark mass.

Heavy-flavor jets substructure

[SC, Ghira, Marzani (2312.11623)]

b jet AKT4, $z_c = 0.1$, $\beta = 0$, $p_t \geq 50$ GeV

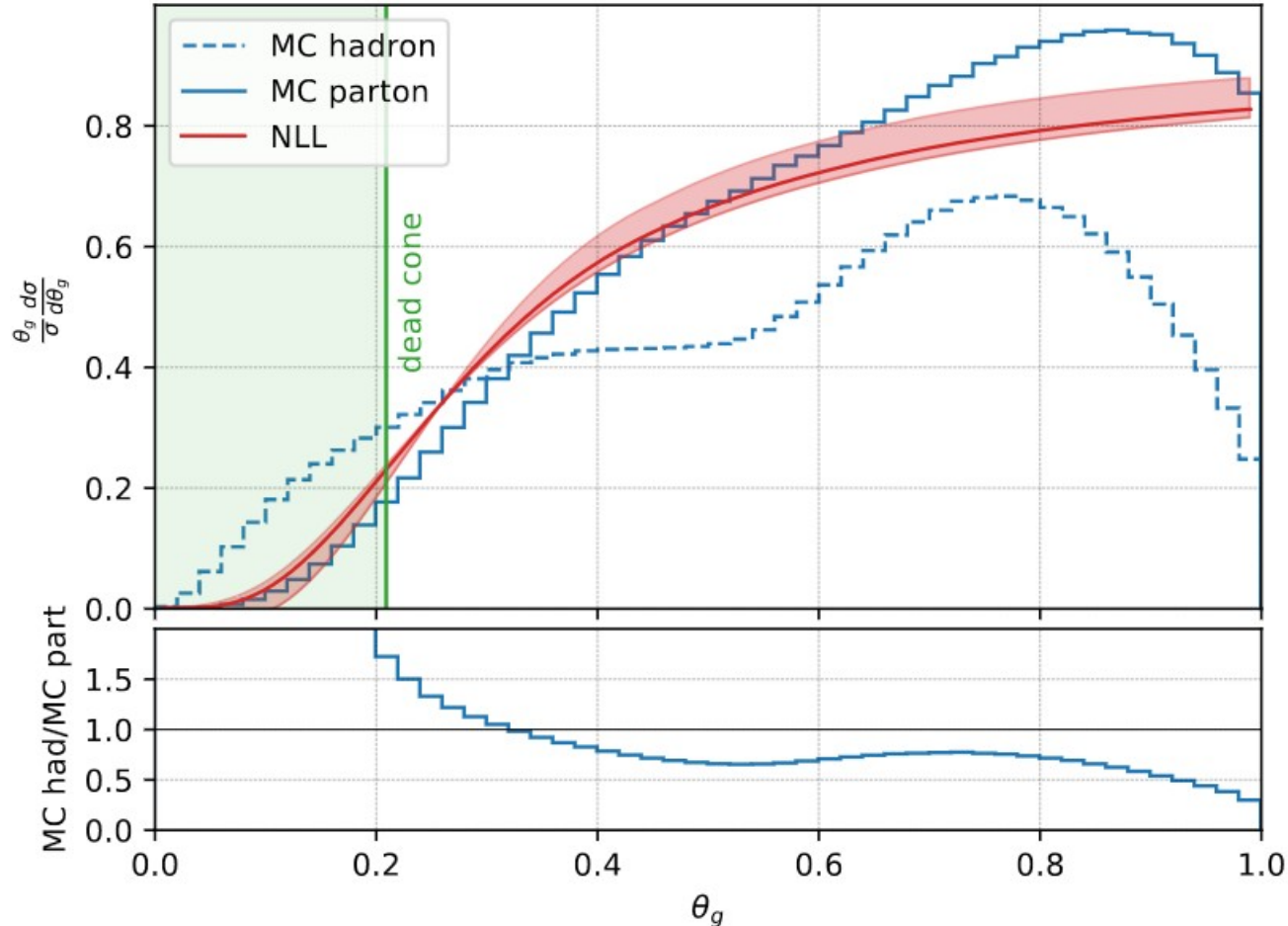


- Heavy quark jets allow to explore the dead-cone effect and to study heavy-quark fragmentation.
- First jet substructure resummed calculations with mass effects are available. Still to work on automatization, matching, etc. in order to provide full phenomenology.
- It would be interesting to compare heavy quark jet measurements with in-jet hadron fragmentation.

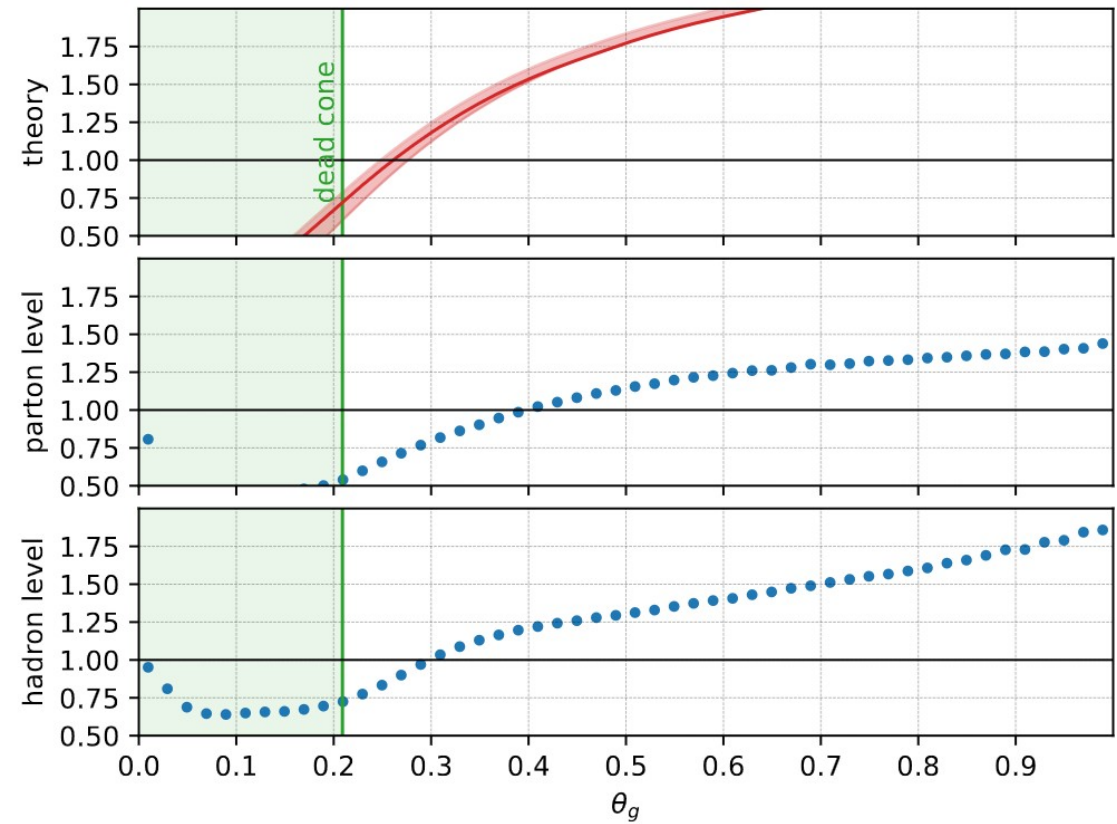
Heavy-flavor jets substructure

[SC, Ghira, Marzani (2312.11623)]

b jet AKT4, $z_c = 0.1$, $\beta = 0$, $p_t \geq 50$ GeV



b jet/light-quark jet, $z_c = 0.1$, $\beta = 0$, $p_t \geq 50$ GeV

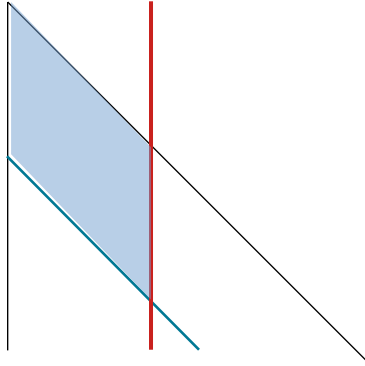


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Heavy-flavor jets substructure

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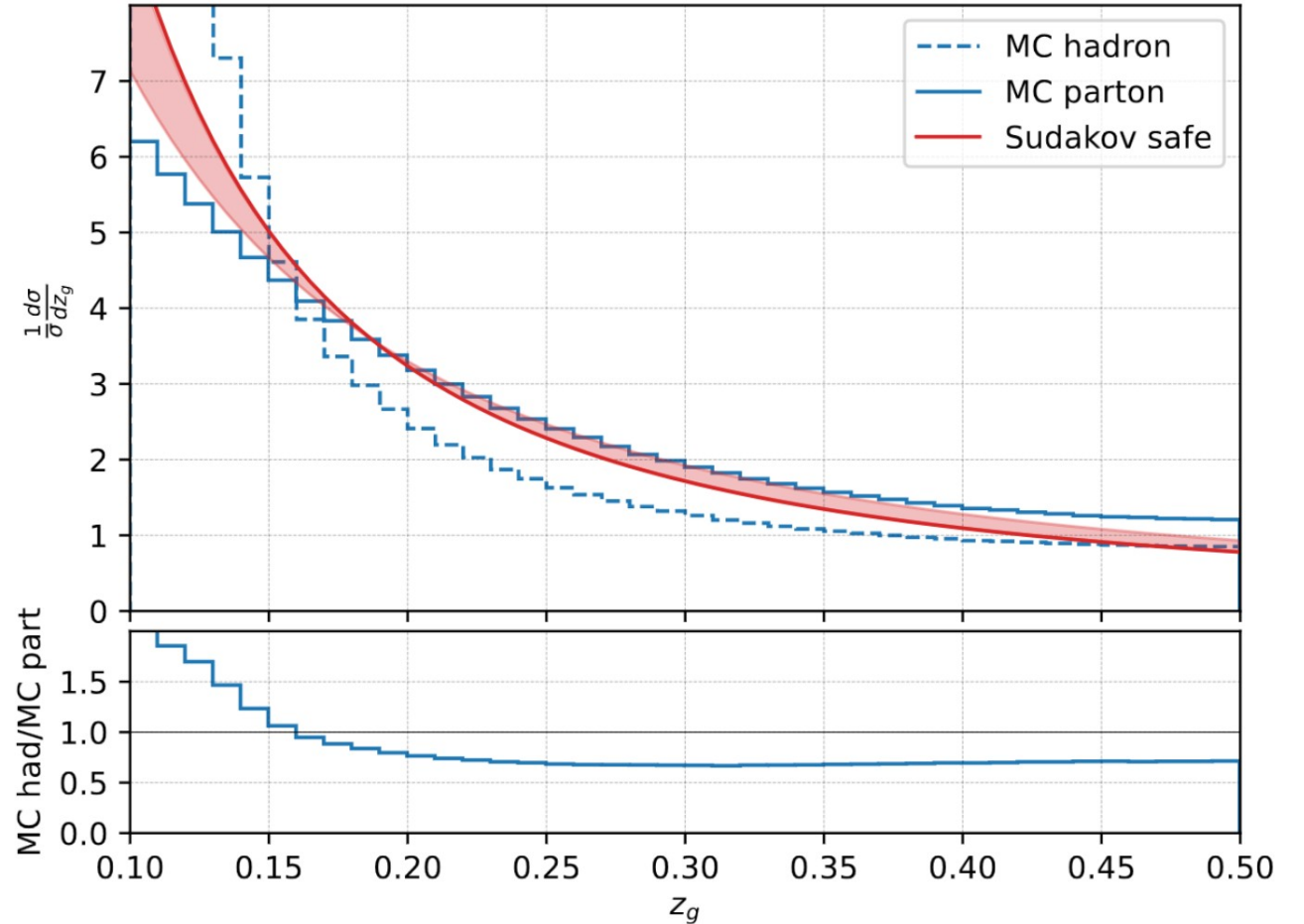
z_g is **not** IRC safe in the massless case for $\beta \geq 0$.
It can be computed using the Sudakov safety prescription, also in the massive case



$$\frac{1}{\sigma_0} \frac{d\sigma}{dz_g} = \int_0^1 d\theta_g \underbrace{\frac{1}{\sigma_0} \frac{d\sigma}{d\theta_g}}_{p(\theta_g)} p(z_g|\theta_g)$$

Where the conditional probability is evaluated at fixed order and $p(\theta_g)$ is resummed. This way the Sudakov form factor regulates the θ_g singularity of the integrand.

b jet AKT4, $z_c = 0.1, \beta = 0, p_t \geq 50$ GeV



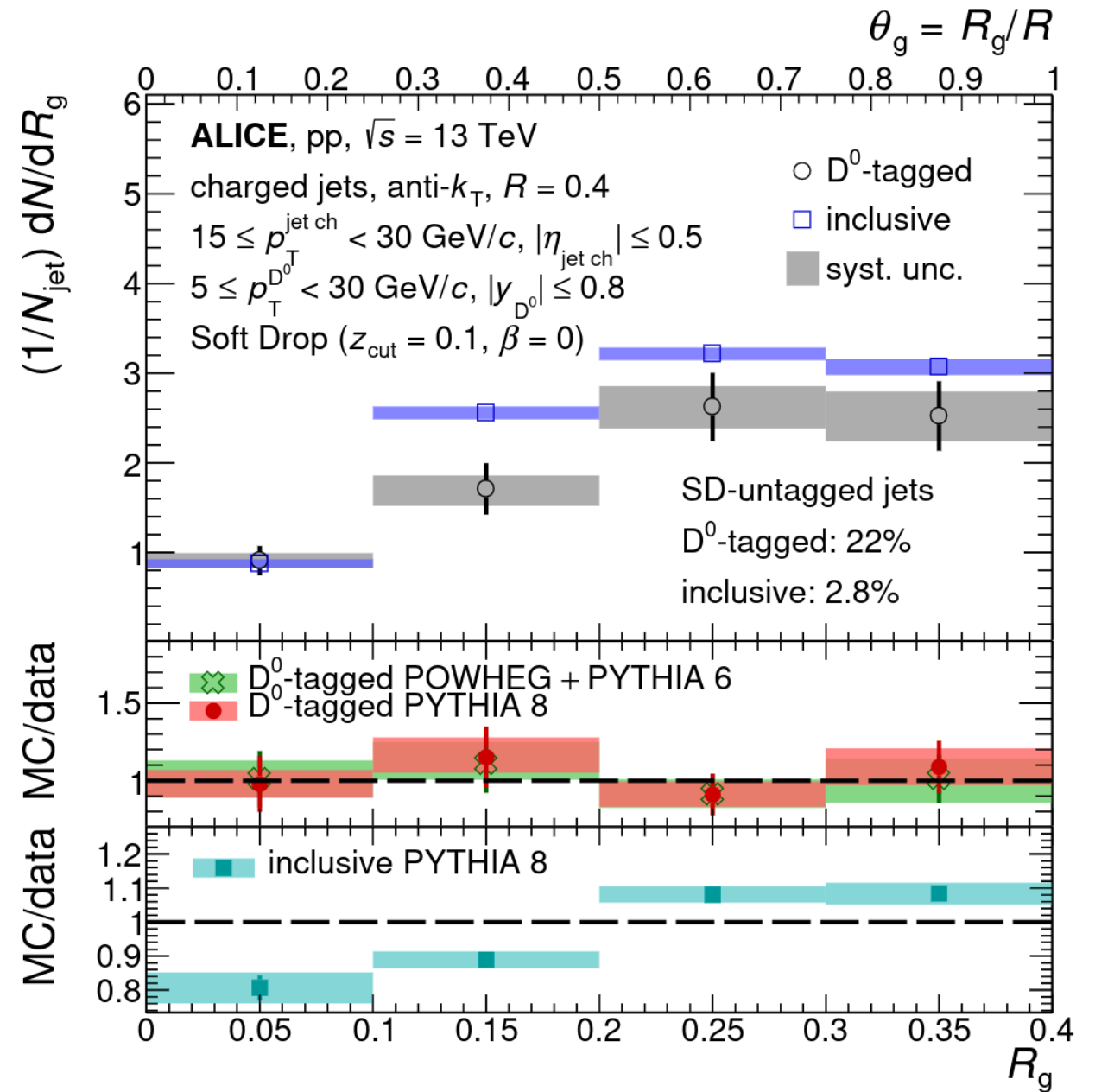
→ in the high- z_g region we undershoot the MC prediction because we used unsymmetrized splitting functions

Notice that z_g and the fragmentation variable $\zeta = 1 - \frac{p_{T,b}}{p_{T,\text{jet}}}$ are the same at $\mathcal{O}(\alpha_S)$ for $\zeta > z_c$

Heavy-flavor jets substructure

[ALICE Collaboration (2204.10246) and (2208.04857)]

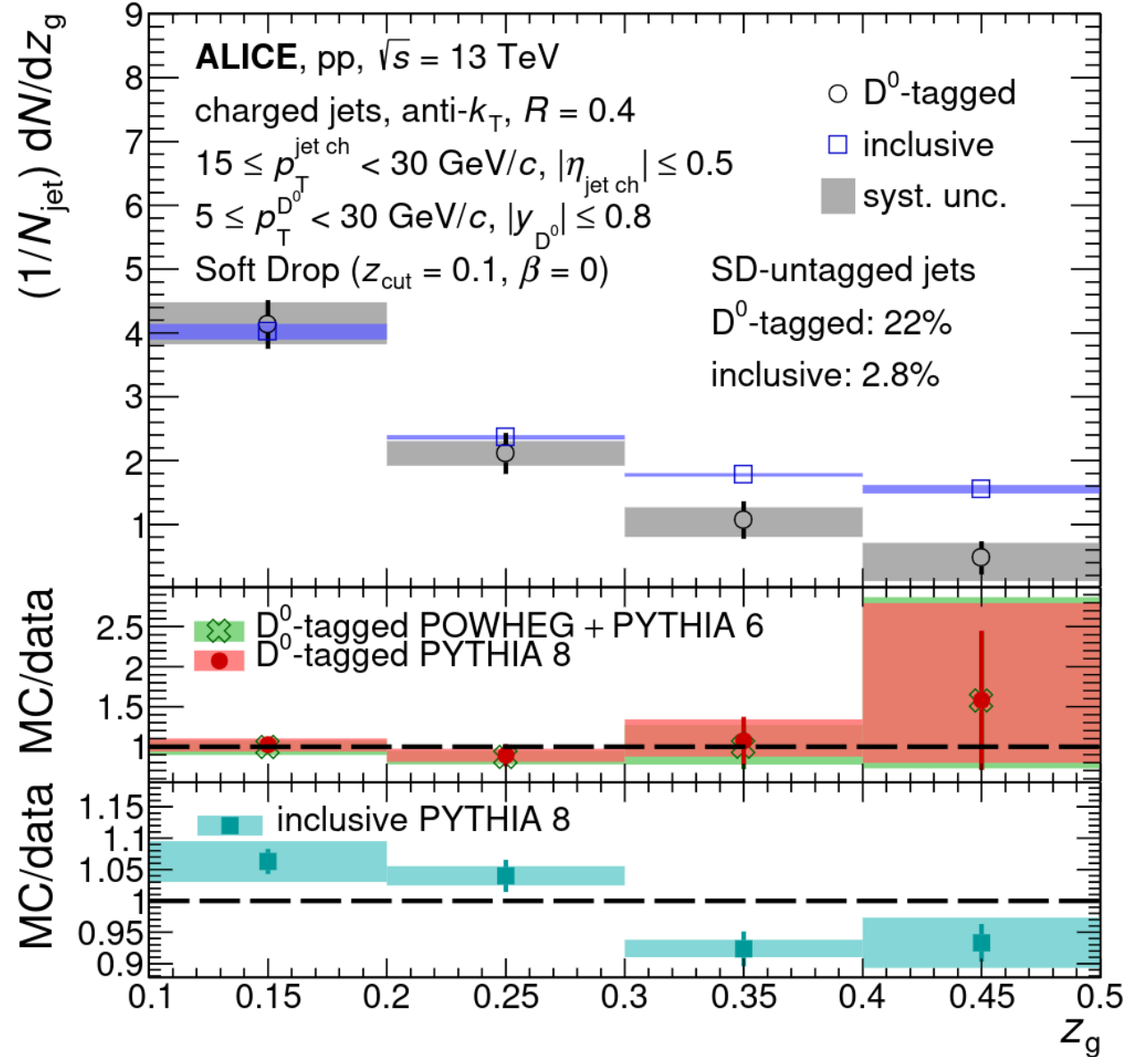
- Measurements of the SD radius for charm jets.
- First direct experimental constraint on the charm-quark splitting function obtained via the measurement of the groomed shared momentum fraction of the first splitting.
- The charm and inclusive-jet distributions are consistent at small R_g . Possible interplay between the dead-cone effect from the charm quark, and the more abundant emissions from quarks compared with gluons at small angles.



Heavy-flavor jets substructure

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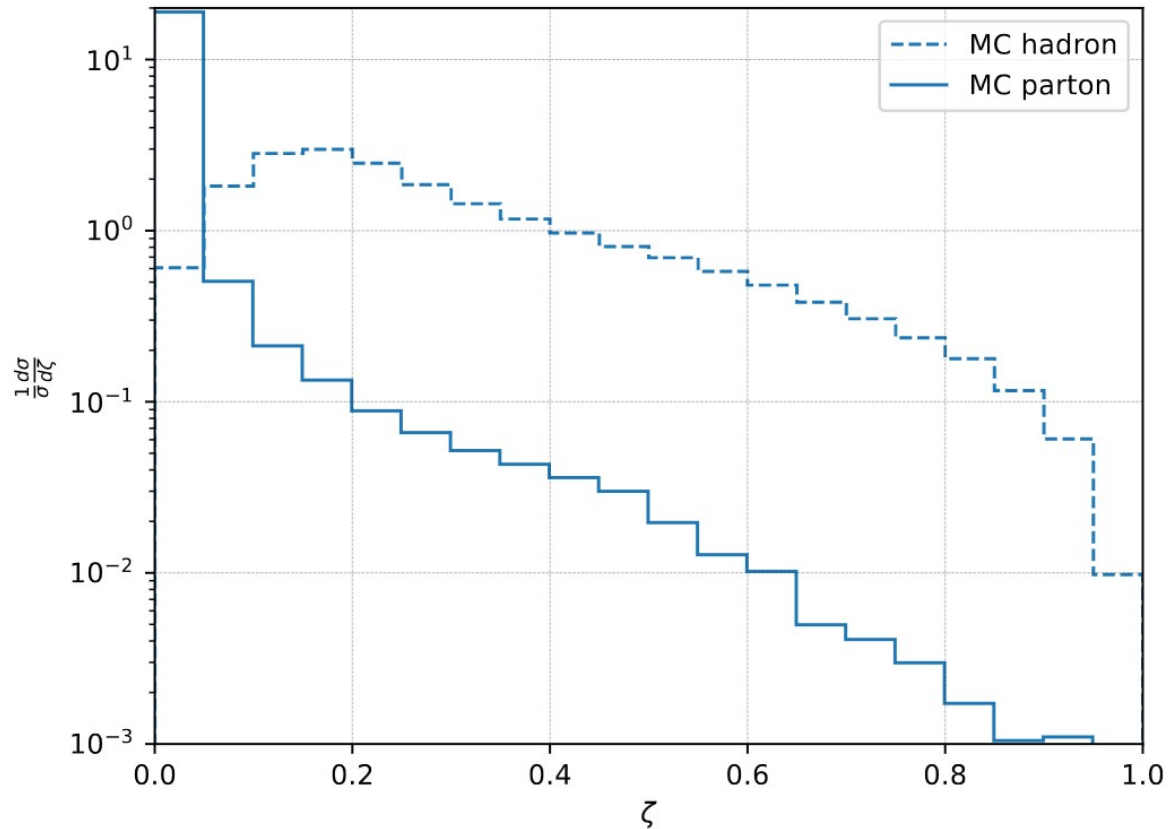
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- The charm and inclusive-jet distributions are consistent at small R_g . Possible interplay between the dead-cone effect from the charm quark, and the more abundant emissions from quarks compared with gluons at small angles.
- The z_g distributions show that charm-tagged jets have significantly fewer symmetric splittings compared with inclusive jets. This is consistent with the role of mass effects in the QCD splitting function.



Heavy-flavor jets substructure

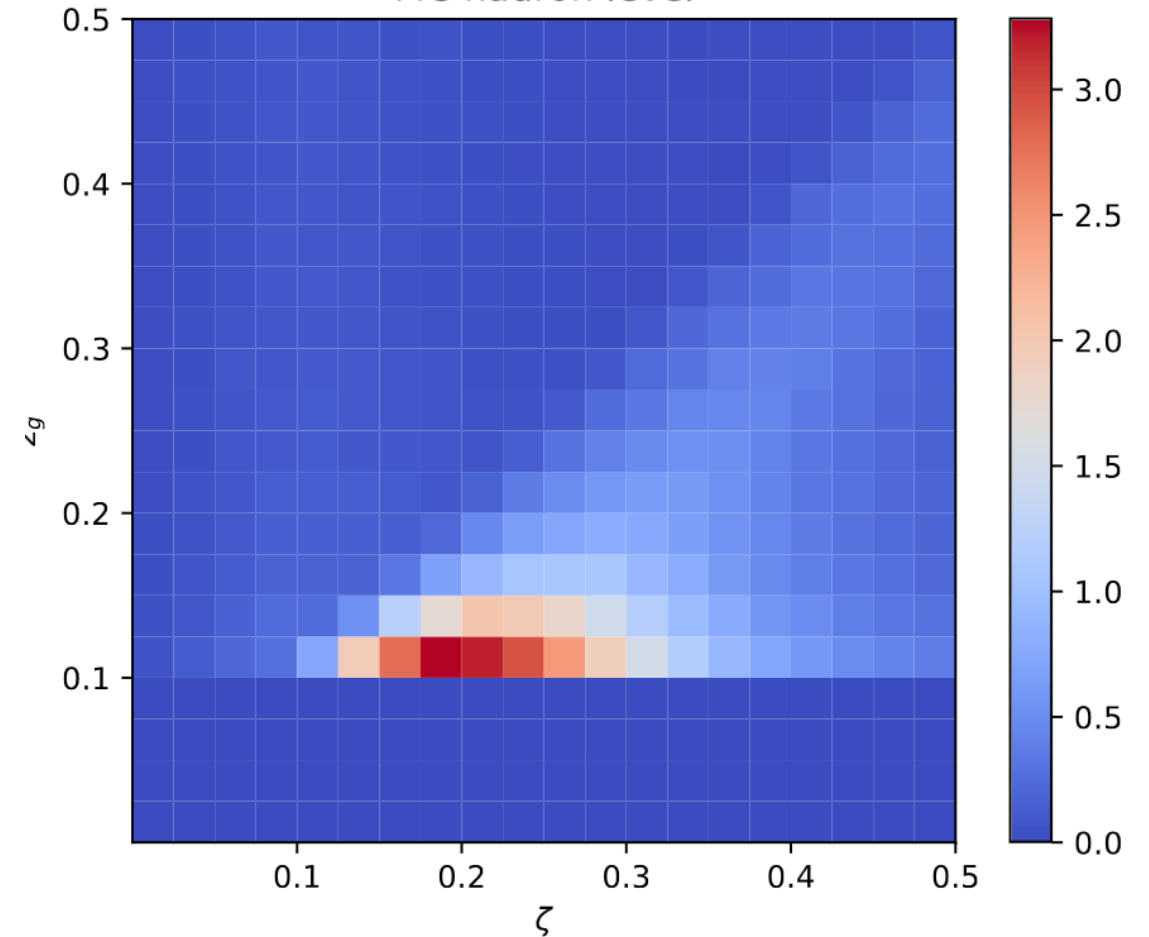
[SC, Ghira, Marzani (2312.11623)]

B(b) AKT4 jet, $z_c = 0.1$, $\beta = 0$, $p_T \geq 150$ GeV



- Non-perturbative effects are large.
- Fragmentation functions are under better perturbative control than Soft Drop observables, but the latter seem to be more robust against non-perturbative corrections.

MC hadron level



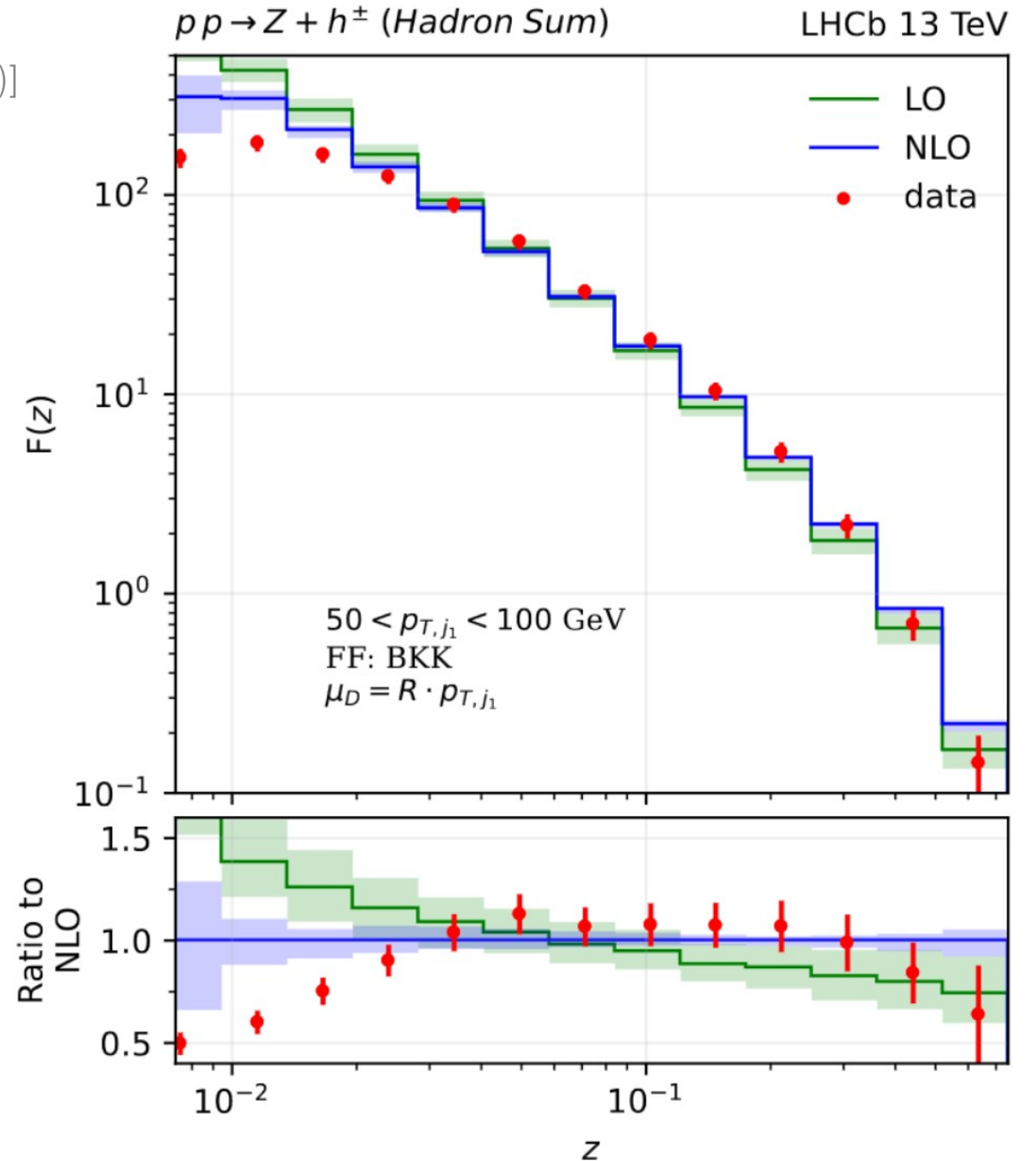
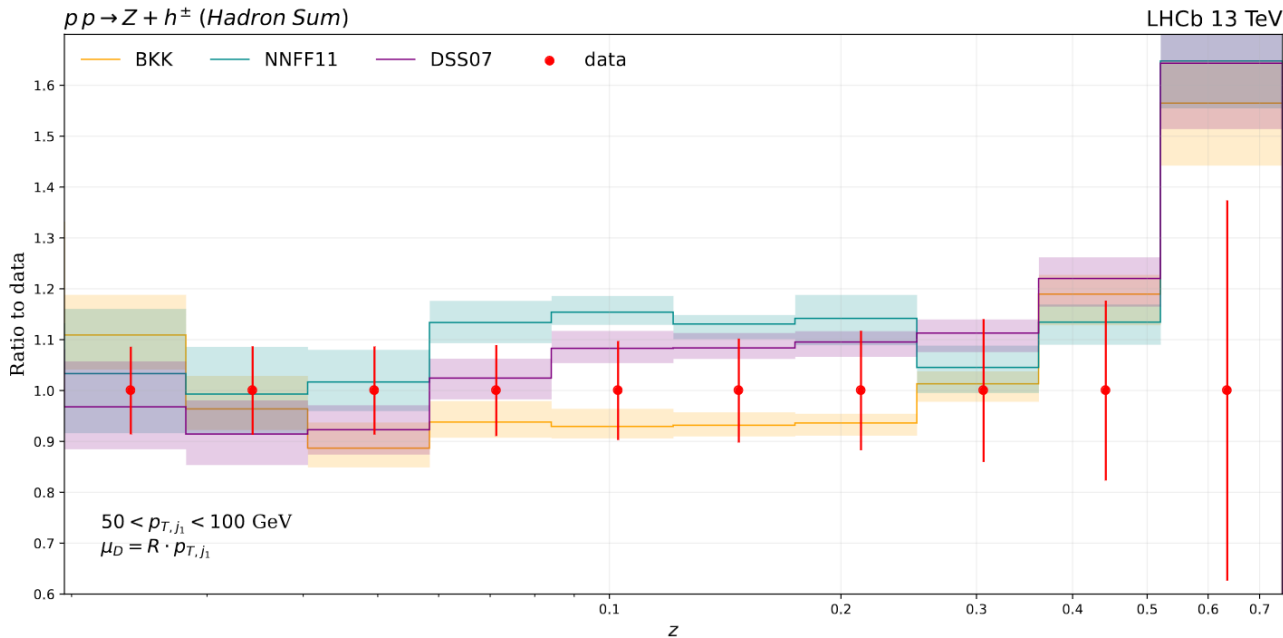
- The $\mathcal{O}(\alpha_S)$ correlation between the two observables is not maintained when higher-order corrections and non-perturbative effects are included.
- Thus z_g and ζ offer different handles to study heavy-flavor dynamics.

Hadron-in-jet fragmentation

[SC, Gehrmann-De Ridder, Huss, Rodriguez Garcia, Stagnitto (2405.17540)]
 [LHCb Collaboration (2109.08084)]

- It is likely that this dataset is able to offer important constraints on FFs when included in global fits.

$$z = \frac{\mathbf{p}_h \cdot \mathbf{p}_{j_1}}{|\mathbf{p}_{j_1}|^2} \qquad F(z) = \frac{1}{\sigma_{Z+\text{jet}}} \frac{d\sigma_{Z+h}}{dz}$$



Conclusions

- Jet substructure is a **very active field** and gives an insight on the jet evolution.
- A detailed study of **non-perturbative contributions** is important to provide high-quality predictions.
- A **continuous communication** between theorist and experimentalist is crucial to develop useful tools that can have an impact on what we compute and measure.
- Flavor algorithms allow to look at heavy-quark-initiated jet dynamics. The study of heavy-quark jets gives us the opportunity to observe important property of QCD, like the **dead-cone effect**.
- The interplay/complementarity between **hadron fragmentation** and heavy-jet substructure should further explored and might give interesting insight on non-perturbative QCD dynamics.