

Renormalisation group running effects in $pp \rightarrow t\bar{t}h$ in the SMEFT

(based on [Eur.Phys.J.C 84 \(2024\) 4, 403](#) with R. Gröber)

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Introduction

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Intro

Running
effects

$pp \rightarrow t\bar{t}h$
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Conclusions

Backup

- The **Standard Model (SM)**: a great successes, but it must be extended (baryon asymmetry, dark matter...)
- Many **New Physics (NP)** theories have been proposed, but no clear experimental indication.
- **Effective Field Theories (EFTs)** offer a powerful and pragmatic approach to the search for NP with minimal UV assumptions.
- This talk focuses on Standard Model Effective Field Theory (**SMEFT**).



The SMEFT

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- Parametrise heavy NP effects with a tower of gauge/Lorentz invariant operators:

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_{\mathfrak{D}_i > 4} \frac{C_i}{\Lambda^{\mathfrak{D}_i - 4}} \mathcal{O}_i,$$

$$O_i \sim \partial^{n_d^i} \phi^{n_\phi^i} A^{n_A^i} \psi^{n_\psi^i}, \quad \mathfrak{D}_i = n_d^i + n_\phi^i + n_A^i + \frac{3}{2} n_\psi^i.$$



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- ϕ, A, ψ : SM fields.
- Gauge group: $SU(3)_C \otimes SU(2)_W \otimes U(1)_Y$.



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- ϕ, A, ψ : SM fields.
- Gauge group: $SU(3)_C \otimes SU(2)_W \otimes U(1)_Y$.
- Dominant effect in collider physics at $\mathfrak{D} = 6$ (**Warsaw basis, 2499 operators**, [Grzadkowski, Iskrzynski, Misiak, Rosiek, '10]).

SMEFT: how should we use it?

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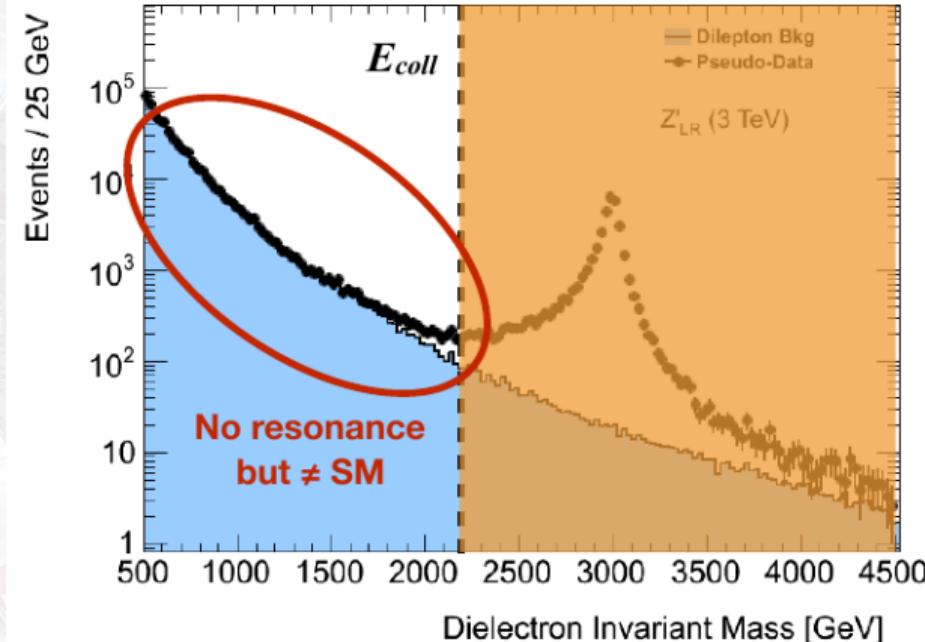


Figure: Courtesy of P. Azzi.

SMEFT: how should we use it?

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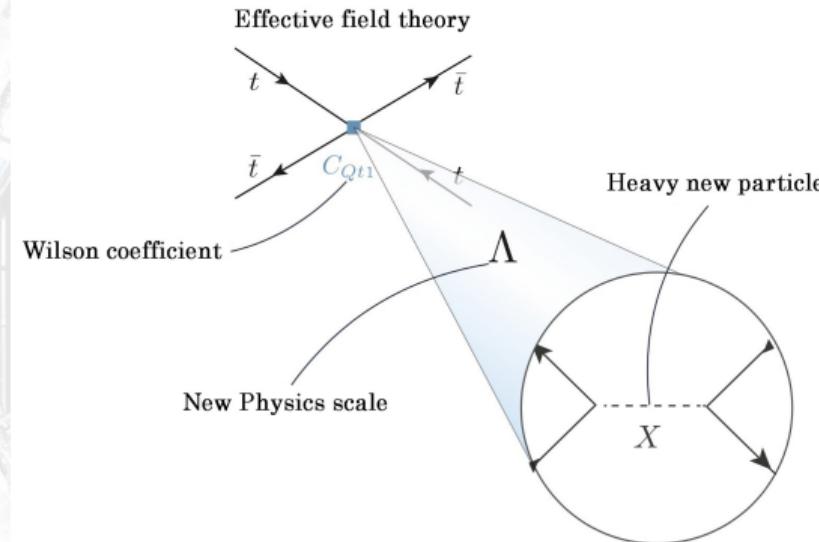


Figure: courtesy of L. Alasfar

- Information on UV mediator from IR dynamics: see [Altmannshofer, Gori, Lehmann, Zuo, '23]



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- Loop integrals (often) diverge.





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- Loop integrals (often) diverge.
- Renormalization procedure induces energy-dependent parameters.
- Crucial ingredient to connect different energy scales (e.g.: matching scale Λ , experiment $\sim v \ll \Lambda$).



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- Loop integrals (often) diverge.
- Renormalization procedure induces energy-dependent parameters.
- Crucial ingredient to connect different energy scales (e.g.: matching scale Λ , experiment $\sim v \ll \Lambda$).
- The scale dependence of the coefficients is encoded in the **Renormalization Group Equations (RGEs)** (1-loop):
$$\mu \frac{dC_i(\mu)}{d\mu} = \frac{1}{16\pi^2} \Gamma_{ij}(\mu) C_j(\mu).$$
- $\Gamma_{ij}(\mu)$: **Anomalous Dimension Matrix (ADM)**.



Structure of ADM in the SMEFT

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- $\Gamma_{ij}(\mu)$: known at 1-loop [(Alonso), Jenkins, Manohar, Trott, '13].

- $\Gamma_{ij}(\mu)$ depends on μ through the couplings:

$$\Gamma_{ij}(\mu) = g_1^2(\mu)\Gamma_{ij}^{(g_1^2)} + g_2^2(\mu)\Gamma_{ij}^{(g_2^2)} + \dots$$



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$$\Gamma_{ij}(\mu) = g_1^2(\mu)\Gamma_{ij}^{(g_1^2)} + g_2^2(\mu)\Gamma_{ij}^{(g_2^2)} + \dots$$

- Exactly solvable with only one coupling (typically g_s^2 , $\Gamma_{ij}(\mu) = g_s^2(\mu)\Gamma_{ij}^{(g_s^2)}$, [Maltoni, Vryonidou, Zhang, '16], [Battaglia, Grazzini, Spira, Wiesemann '21]).



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- $\Gamma^{(g_i)}$ do not commute: **analytical solution is impossible.**



Solving the RGEs I

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$$C_i(\mu_F) = C_i(\mu_I) + \Gamma_{ij}(\mu_I)C_j(\mu_I) \frac{\log(\mu_F/\mu_I)}{16\pi^2}.$$

- Neglects dependence on μ of Γ .
- Ok only if $\Gamma_{ij}(\mu_I)C_j(\mu_I) \frac{\log(\mu_F/\mu_I)}{16\pi^2} \ll 1$



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①

Approximate solution (first leading log):

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②

Numeric solution:

- More precise.
- Slow! Problem for extensive phenomenological analyses.



Solving the RGEs II

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- Example: a Monte Carlo analysis in an hadronic collider:

- $\hat{s} = x_1 x_2 E_{\text{collider}}^2$: different for each event.
- $C_i(\mu = \Lambda) \xrightarrow[\text{RG flow}]{} C_i(\mu \sim \sqrt{\hat{s}})$ for each event.
- Can be optimized (grid).



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- Can be optimized (grid).

- State of the art:

- `DsixTools` ([Fuentes-Martin, Ruiz-Femenia, Vicente, Virto, '20], `Mathematica`).
- `wilson` ([Aebischer, Kumar, Straub, '18], `python`) SMEFT running ported from `DsixTools`.



RGESolver [S.D.N.,Silvestrini,'22]

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- A C++ library that performs RG evolution of SMEFT coefficients.
- General flavour structure (assuming L, B conservation, 2499 operators).
- Tested against DsixTools.
- High time efficiency: (numeric running: $\mathcal{O}(0.1\text{s})$ vs $\mathcal{O}(10\text{s})$ (DsixTools)).
- Flavour back-rotation implemented.
- Inclusion in HEPfit ([De Blas et. al.,'19]) in progress!





$pp \rightarrow \bar{t}th$ @LHC (SM)

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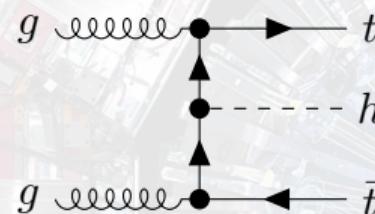
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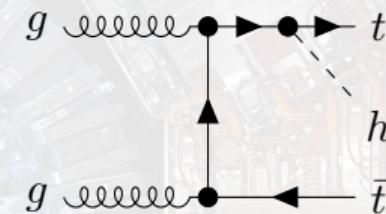
Conclusions

Backup

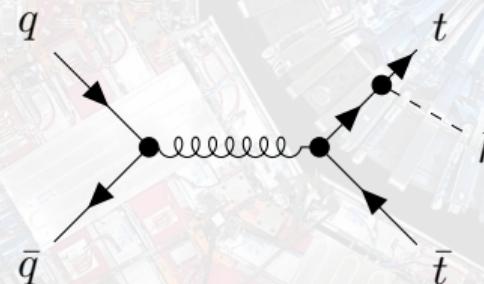
- Tree-level in the SM:



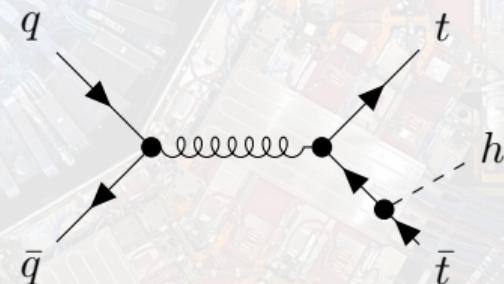
(a)



(b)



(c)



(d)



$pp \rightarrow \bar{t}th$ @LHC (SMEFT) I

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Conclusions

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- Some operators induce a rescaling of the SM vertices.
- $\mathcal{O}_{t\phi} = (\phi^\dagger \phi) \bar{Q}_L \phi t_R$ rescales the top Yukawa coupling:

$$\mathcal{O}_{t\phi} \xrightarrow[\text{S.S.B.}]{} \frac{v^2}{2} \frac{h}{\sqrt{2}} \bar{t}t + \dots, \quad g_{t\bar{t}h} = \underbrace{-i \frac{m_t}{v}}_{\text{SM}} + i \underbrace{\frac{v^2}{\sqrt{2}} C_{t\phi}}_{\text{SMEFT}}.$$

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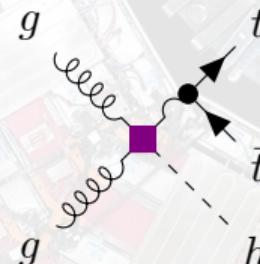
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$$\mathcal{O}_{t\phi} \xrightarrow[\text{S.S.B.}]{v^2} \frac{h}{2\sqrt{2}} \bar{t}t + \dots, \quad g_{t\bar{t}h} = \underbrace{-i \frac{m_t}{v}}_{\text{SM}} + i \underbrace{\frac{v^2}{\sqrt{2}} C_{t\phi}}_{\text{SMEFT}}.$$

- Some operators induce new interaction vertices!



$$\mathcal{O}_{\phi G} = (\phi^\dagger \phi) G_{\mu\nu}^A G^{A\mu\nu}$$

- New couplings:

$$ggh, gghh, gggh\dots$$



$pp \rightarrow \bar{t}th$ @LHC (SMEFT) II

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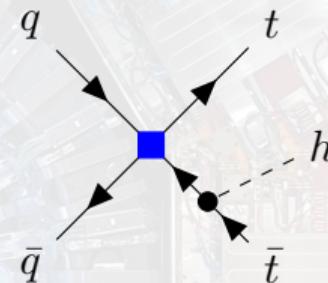
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Conclusions

Backup

$$\mathcal{O}_{uu}^{1133} = (\bar{q}\gamma^\mu \mathbb{P}_R q)(\bar{t}\gamma_\mu \mathbb{P}_R t)$$

- New coupling: $\bar{q}q\bar{t}t$.





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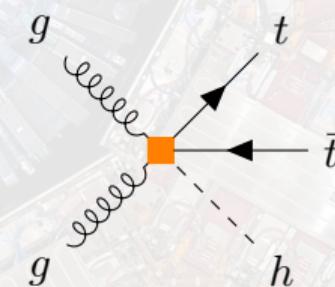
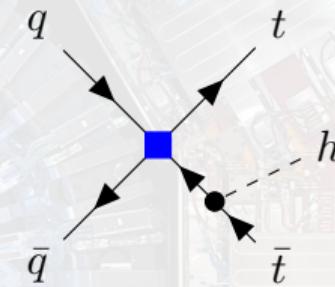
$$\mathcal{O}_{uu}^{1133} = (\bar{q}\gamma^\mu \mathbb{P}_R q)(\bar{t}\gamma_\mu \mathbb{P}_R t)$$

- New coupling: $\bar{q}q\bar{t}t$.

$$\mathcal{O}_{tG} = (\bar{Q}_L \sigma^{\mu\nu} T_A t_R) \tilde{\phi} G_{\mu\nu}^A$$

- New couplings:
 $\bar{t}tg g, \bar{t}tgh, \bar{t}tggh.$

- Modifies $\bar{t}tg$.





Dynamical vs Fixed scale I

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- RGEs connect different energy scales: $\Lambda = \mathcal{O}(\text{TeV}) \rightarrow \mu_R$.
- How to choose μ_R ?



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Conclusions

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- RGEs connect different energy scales: $\Lambda = \mathcal{O}(\text{TeV}) \rightarrow \mu_R$.
- How to choose μ_R ?
- We set some Wilson coefficients at the scale $\Lambda = 2 \text{ TeV}$ (inside the bounds in [Ethier et al., '21]) and test their impact on differential distributions.
- New bounds in [Celada et al., '24].
- We compare two different choices:
 - Fixed scale: $\mu_R = m_t$ (same for all the events).
 - Dynamical scale: $\mu_R = (p_{T,h} + p_{T,t} + p_{T,\bar{t}})/2$ (changes event by event).

Dynamical vs Fixed scale II

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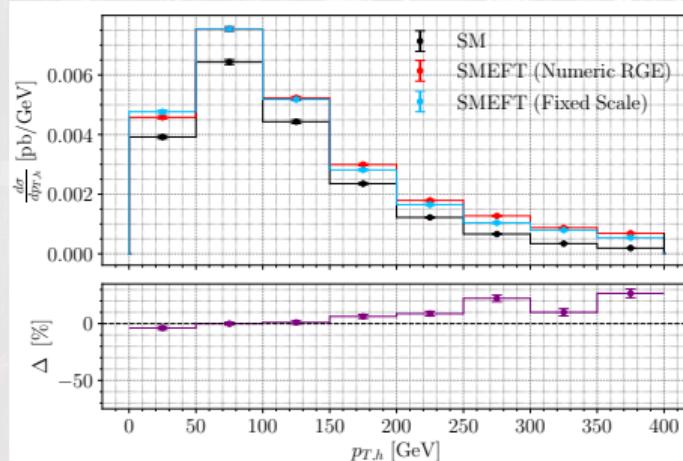
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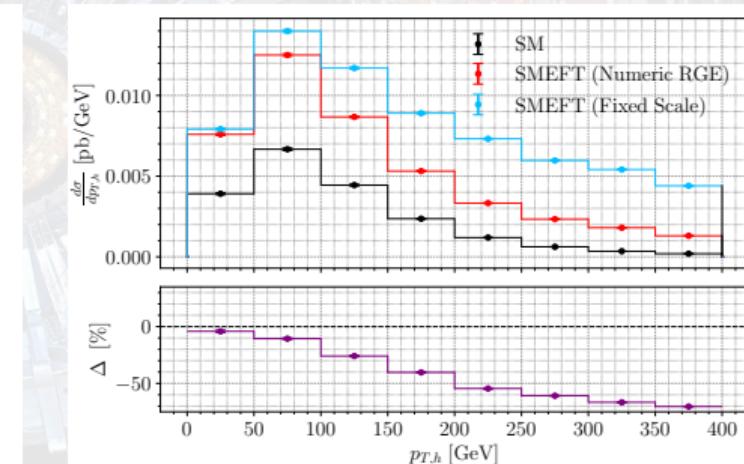
Conclusions

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- Sizeable/important effect for large coefficients ($\sim 1 \text{ TeV}^{-2}/\sim 100 \text{ TeV}^{-2}$) .
- Numeric running in both cases!



Conservative scenario: $C_{4t} \sim 1 \text{ TeV}^{-2}$.



Extreme scenario: $C_{4t} \sim 100 \text{ TeV}^{-2}$.

Numeric vs. 1LL

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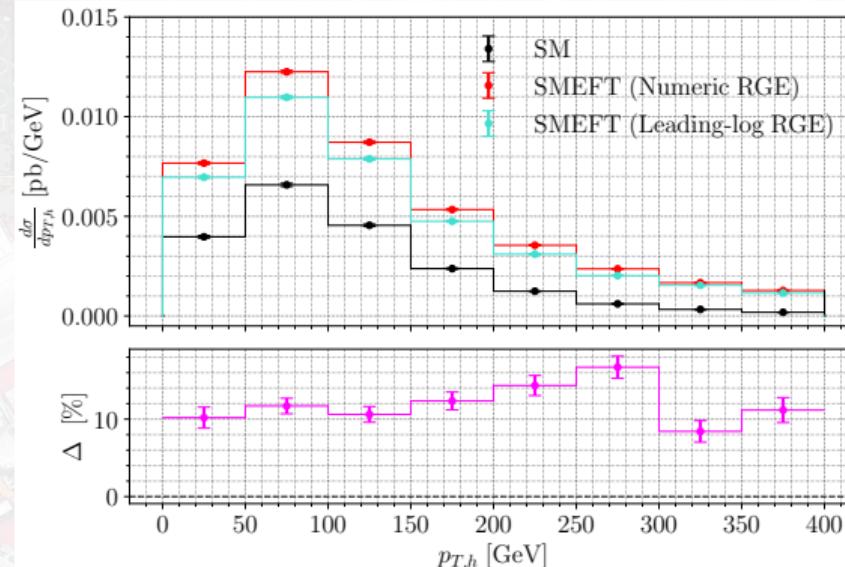
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Conclusions

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- Small ($< 5\%$, not shown)/sizeable effect for large coefficients ($\sim 1 \text{ TeV}^{-2}/\sim 100 \text{ TeV}^{-2}$).
- Numeric running: $\simeq 20$ mins, 1LL running : $\simeq 40$ s,
 $\mu_R = \mu_F = (p_{T,h} + p_{T,t} + p_{T,\bar{t}})/2$ (dyn. ren. scale).





g_s vs y_t |

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- $g_{ht\bar{t}} = \frac{m_t}{v} \left(1 - \frac{v^2}{\sqrt{2}} C_{t\phi}\right)$ is the effective Higgs-top coupling.

$$\beta_{t\phi} \propto y_t^3 \left(C_{Qt}^{(1)} + \frac{4}{3} C_{Qt}^{(8)} \right),$$

$$\mathcal{O}_{Qt}^{(1,8)} = (\bar{Q}_L \gamma^\mu (T^A) Q_L) (\bar{t}_R \gamma_\mu (T^A) t_R).$$



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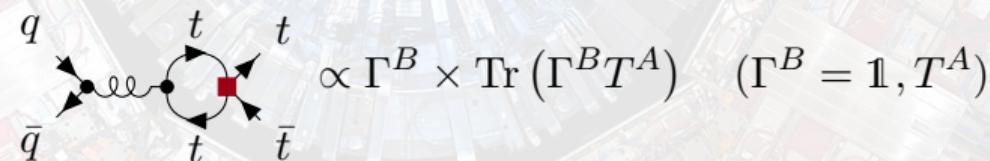
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$$\mathcal{O}_{Qt}^{(1,8)} = (\bar{Q}_L \gamma^\mu (T^A) Q_L) (\bar{t}_R \gamma_\mu (T^A) t_R).$$

- $C_{Qt}^{(8)}$ contributes via penguin diagrams to the running of operators (such as \mathcal{O}_{uu}^{33ii} , $i = 1, 2$) entering at tree-level.
- $C_{Qt}^{(1)}$ **does not!** We can compare g_s vs y_t running effects





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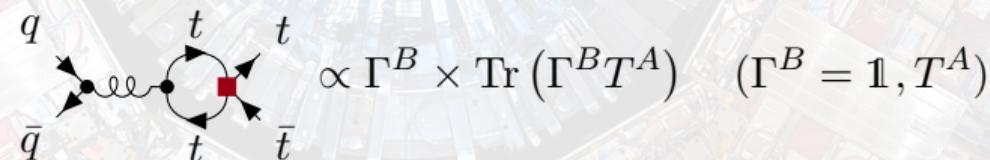
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- We set $C_{Qt}^{(1,8)} \neq 0$ individually in such a way they contribute (almost) in the same way to the Yukawa-induced running.



g_s vs y_t ||

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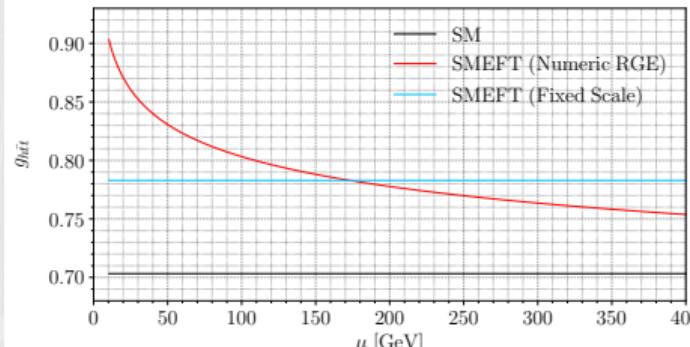
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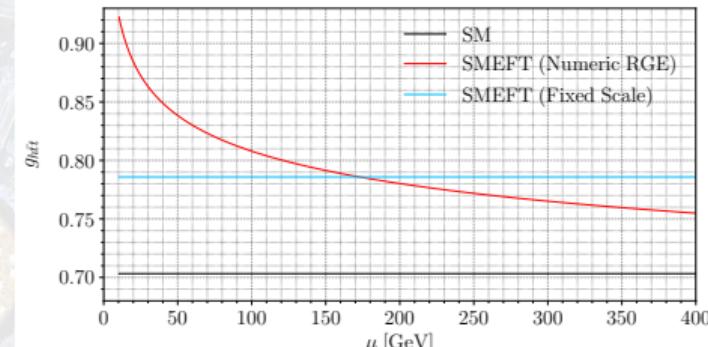
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$$C_{Qt}^{(1)}(\Lambda) = \frac{4}{3} \times 20 / \text{TeV}^2.$$



$$C_{Qt}^{(8)}(\Lambda) = 20 / \text{TeV}^2.$$

$$\beta_{t\phi} \propto y_t^3 \left(C_{Qt}^{(1)} + \frac{4}{3} C_{Qt}^{(8)} \right)$$

- Same behaviour in $g_{ht\bar{t}}$ for both operators!



g_s vs y_t III

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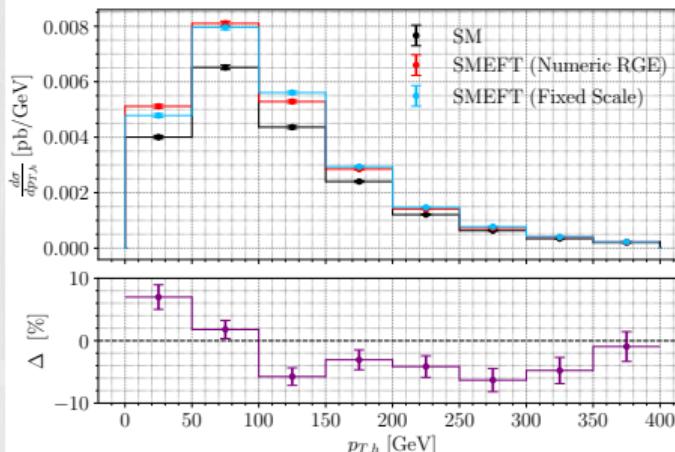
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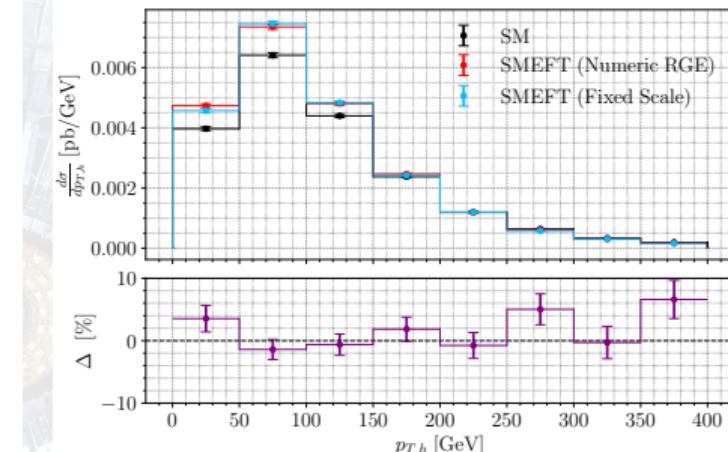
Backup



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g_s vs y_t III

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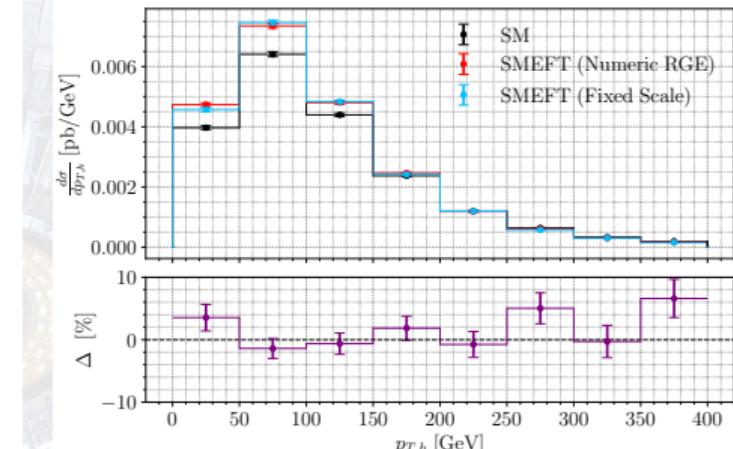
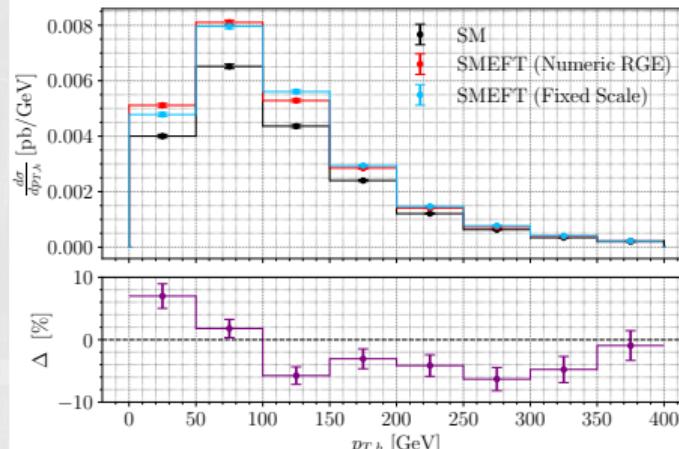
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$$C_{Qt}^{(1)}(\Lambda) = \frac{4}{3} \times 20 / \text{TeV}^2.$$

$$C_{Qt}^{(8)}(\Lambda) = 20 / \text{TeV}^2.$$

$$\beta_{t\phi} \propto y_t^3 \left(C_{Qt}^{(1)} + \frac{4}{3} C_{Qt}^{(8)} \right)$$

- The difference between fixed and dynamical scale shows the importance of running effects.
- $\Delta \neq 0$ for $C_{Qt}^{(1)}$ → Top Yukawa contributions are important!



Conclusions

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- Running effects are a crucial ingredient for precision physics in the next future.
- Sizable differences can arise when employing a dynamical renormalisation scale vs a fixed renormalization scale.
- In presence of large Wilson coefficients, the leading-log solution of the RGEs shows sizeable differences w.r.t. the numeric integration.
- Yukawa contributions can be as important as strong ones in some cases.



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Thank you for your attention!



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Impact of SM running of g_s

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Running effects

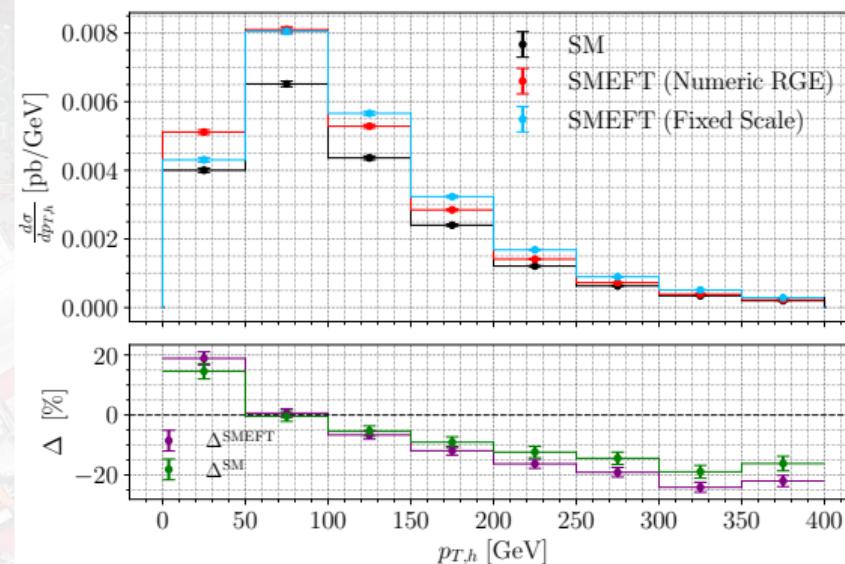
 $pp \rightarrow t\bar{t}h$
@LHC

Conclusions

Backup

- $g_s = g_s(m_t)$ in the fixed case scenario (instead of $g_s = g_s(\mu_F) = g_s((p_{T,h} + p_{T,t} + p_{T,\bar{t}})/2)$ in the SM@1 loop).

$$C_{Q_t}^{(1)} = 20 \text{ TeV}^{-2}.$$





$p_{T,h}$ VS μ_F

S. Di Noi

Intro

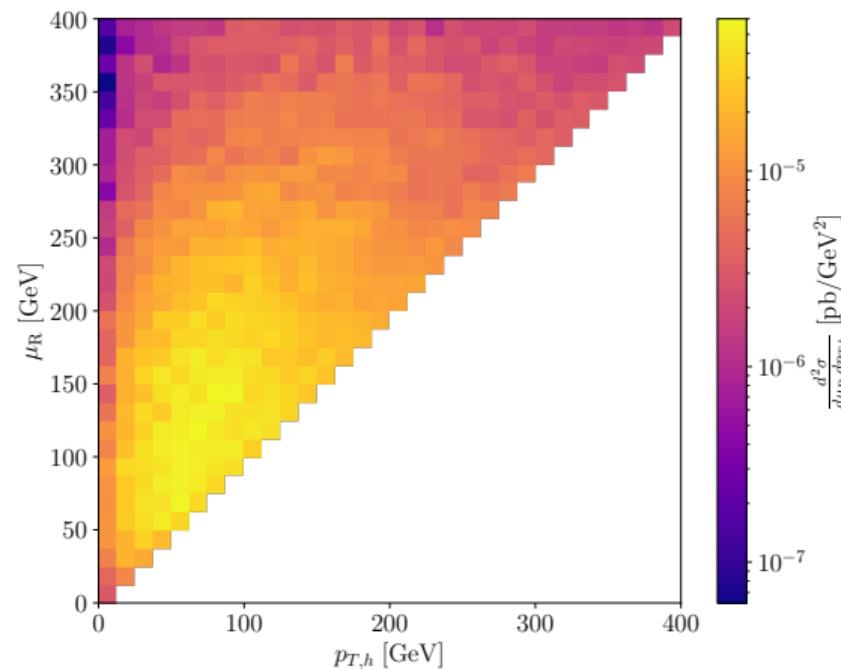
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$$C_{Qt}^{(1)} = 20 \text{ TeV}^{-2}.$$





Back-rotation effects

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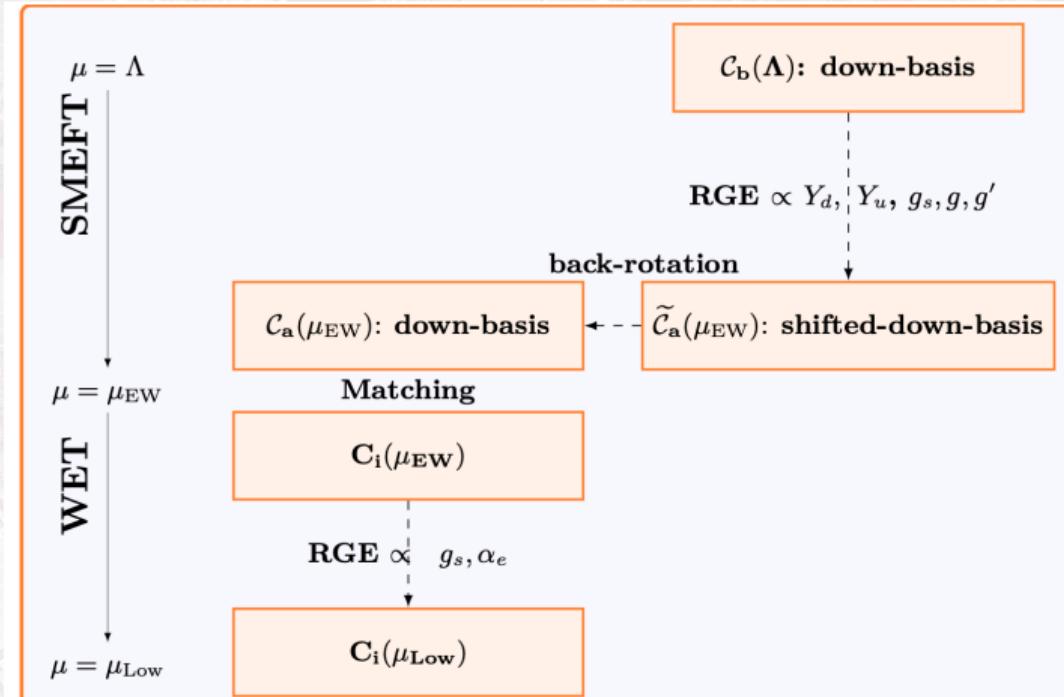


Figure: from ([Aebischer,Kumar,'20])



Running, logs, divergent parts I

S. Di Nof

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$$i\mathcal{M} \propto q \bar{q} t \bar{t} h + \text{diagram with blue square} + \text{diagram with orange star}$$
$$\sim C_{4t}(\mu_R) \frac{g_s^2}{16\pi^2} \left(A + B \left(\frac{\psi}{e} + \log \frac{\mu_R^2}{m_t^2} \right) \right) + C_{2t2q}(\mu_R) - \cancel{\delta_{2t2q}}.$$

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$$i\mathcal{M} \propto q \cdot \bar{q} \cdot t \cdot t \cdot h + \text{[diagram with blue square]} + \text{[diagram with orange star]}$$

$$\sim C_{4t}(\mu_R) \frac{g_s^2}{16\pi^2} \left(A + B \left(\frac{\psi}{e} + \log \frac{\mu_R^2}{m_t^2} \right) \right) + C_{2t2q}(\mu_R) - \cancel{\delta_{2t2q}}.$$

- Divergences determine the ADM: $\delta_{2t2q} = -\frac{\Gamma_{2t2q,4t}}{32\pi^2\epsilon} C_{4t}$



Running, logs, divergent parts I

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$$i\mathcal{M} \propto \begin{array}{c} q \\ \swarrow \quad \searrow \\ \bar{q} \end{array} \begin{array}{c} t \\ \nearrow \quad \searrow \\ \text{---} \end{array} \begin{array}{c} t \\ \nearrow \quad \searrow \\ h \end{array} + \begin{array}{c} q \\ \swarrow \quad \searrow \\ \bar{q} \end{array} \begin{array}{c} t \\ \nearrow \quad \searrow \\ \text{---} \end{array} \begin{array}{c} t \\ \nearrow \quad \searrow \\ h \end{array} + \begin{array}{c} q \\ \swarrow \quad \searrow \\ \bar{q} \end{array} \begin{array}{c} t \\ \nearrow \quad \searrow \\ \star \end{array} \begin{array}{c} t \\ \nearrow \quad \searrow \\ h \end{array}$$
$$\sim C_{4t}(\mu_R) \frac{g_s^2}{16\pi^2} \left(A + B \left(\frac{\psi}{e} + \log \frac{\mu_R^2}{m_t^2} \right) \right) + C_{2t2q}(\mu_R) - \cancel{\delta_{2t2q}}.$$

- Divergences determine the ADM: $\delta_{2t2q} = -\frac{\Gamma_{2t2q,4t}}{32\pi^2\epsilon} C_{4t}$

$$C_{2t2q}(\mu_R) = C_{2t2q}(\Lambda) + C_{4t}(\mu_R) \frac{g_s^2}{16\pi^2} \log \frac{\Lambda^2}{\mu_R^2} \quad (1\text{LL})$$

$$C_{4t}(\mu_R) \simeq C_{4t}(\Lambda) + \dots$$



Running, logs, divergent parts II

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- If we neglect the finite term (A) and we assume that $\mu_R \sim v \sim m_t$, we can include loop effects without any computation!

$$i\mathcal{M} \propto C_{4t}(\Lambda) \frac{g_s^2}{16\pi^2} \left(A + B \left(\log \frac{m_t^2}{\mu_R^2} + \log \frac{\mu_R^2}{\Lambda^2} \right) \right) + C_{2t2q}(\Lambda)$$

$$\simeq C_{4t}(\Lambda) \frac{g_s^2}{16\pi^2} B \log \frac{\mu_R^2}{\Lambda^2} + C_{2t2q}(\Lambda)$$



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- If we neglect the finite term (A) and we assume that $\mu_R \sim v \sim m_t$, we can include loop effects without any computation!

$$i\mathcal{M} \propto C_{4t}(\Lambda) \frac{g_s^2}{16\pi^2} \left(A + B \left(\log \frac{m_t^2}{\mu_R^2} + \log \frac{\mu_R^2}{\Lambda^2} \right) \right) + C_{2t2q}(\Lambda)$$
$$\simeq C_{4t}(\Lambda) \frac{g_s^2}{16\pi^2} B \log \frac{\mu_R^2}{\Lambda^2} + C_{2t2q}(\Lambda)$$

- In some cases, finite terms can be phenomenologically relevant! [Alasfar,de Blas,Gröber,'22] .
- Not interesting in our case: **the focus is on running effects!**



Is the SMEFT general enough?

S. Di Nof

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@LHC

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- The SMEFT assumes a SM-like Higgs boson:

$$(\tilde{\varphi}, \varphi) = \frac{v+h}{\sqrt{2}} \cdot U, \quad U = \exp\left(i\frac{\pi^I \tau^I}{v}\right).$$

- The Higgs EFT (HEFT) instead assumes a more general scenario: U and h are treated separately.
- $\text{SM} \subset \text{SMEFT} \subset \text{HEFT}$.
- Less correlations between coefficients in HEFT: (e.g., in SMEFT $g_{5h} = vg_{6h}$ but not in HEFT).
- Measure correlation → insights about EW SSB.
- More about this topic in [Brivio, Trott, '17].



Sum over external polarizations in QCD

S. Di Noi

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- Ex: 2 external gauge bosons, $\mathcal{M} = \epsilon^{\mu_1}(p_1)\epsilon^{\mu_2}(p_2)\mathcal{M}_{\mu_1\mu_2}$.
- **Ward identity:** $p_1^{\mu_1}\mathcal{M}_{\mu_1\mu_2} = p_2^{\mu_2}\mathcal{M}_{\mu_1\mu_2} = 0$ ("each photon is independent").
- In QED (abelian), we can use:

$$\sum_{\text{Pol}} \epsilon_\mu(p) \epsilon_\nu(p)^* = -g_{\mu\nu} + \cancel{\frac{n_\mu p_\nu}{(n \cdot p)}} + \cancel{\frac{p_\mu n_\nu}{(n \cdot p)}} - \cancel{\frac{p_\mu p_\nu}{(n \cdot p)^2}}.$$



Sum over external polarizations in QCD

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- Ward identity → **Slavnov-Taylor identity:**

$$p_1^{\mu_1}\epsilon^{\mu_2}(p_2)\mathcal{M}_{\mu_1\mu_2} = \epsilon^{\mu_1}(p_1)p_2^{\mu_2}\mathcal{M}_{\mu_1\mu_2} = 0.$$

- Weaker than QED: all the particles must be on-shell.
- Terms $\propto p_1, p_2$ cannot be dropped.
- If we do, we must compensate subtracting (incoherently) MEs with external ghosts ([Malmquist, Kachelrieß, '21]).