



# LFU measurement and flavour anomalies at LHCb

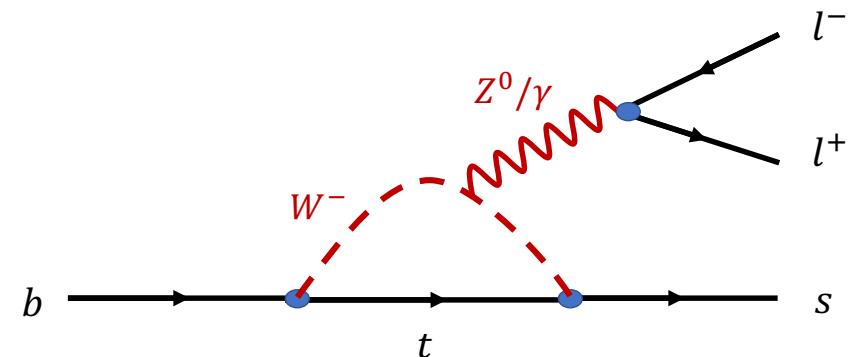
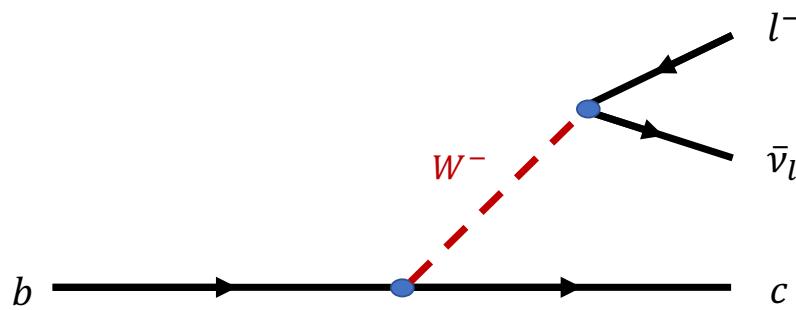
Guillaume Pietrzyk, on behalf of the LHCb experiment

12<sup>th</sup> Edition of the Large Hadron Collider Physics Conference

June 4<sup>th</sup> 2024

# Flavour anomalies

Tensions with the Standard Model (SM) have been seen in recent years in decays involving  $b \rightarrow c l \bar{\nu}_l$  and  $b \rightarrow s l l$  transitions



- Tree-level semileptonic decay:

👍  $\mathcal{B} \sim 10\%$  → high signal yields

👍 Probe 3<sup>rd</sup> generation of leptons with potential enhanced NP coupling

👎 Non-reconstructed neutrinos → challenging analyses with substantial background

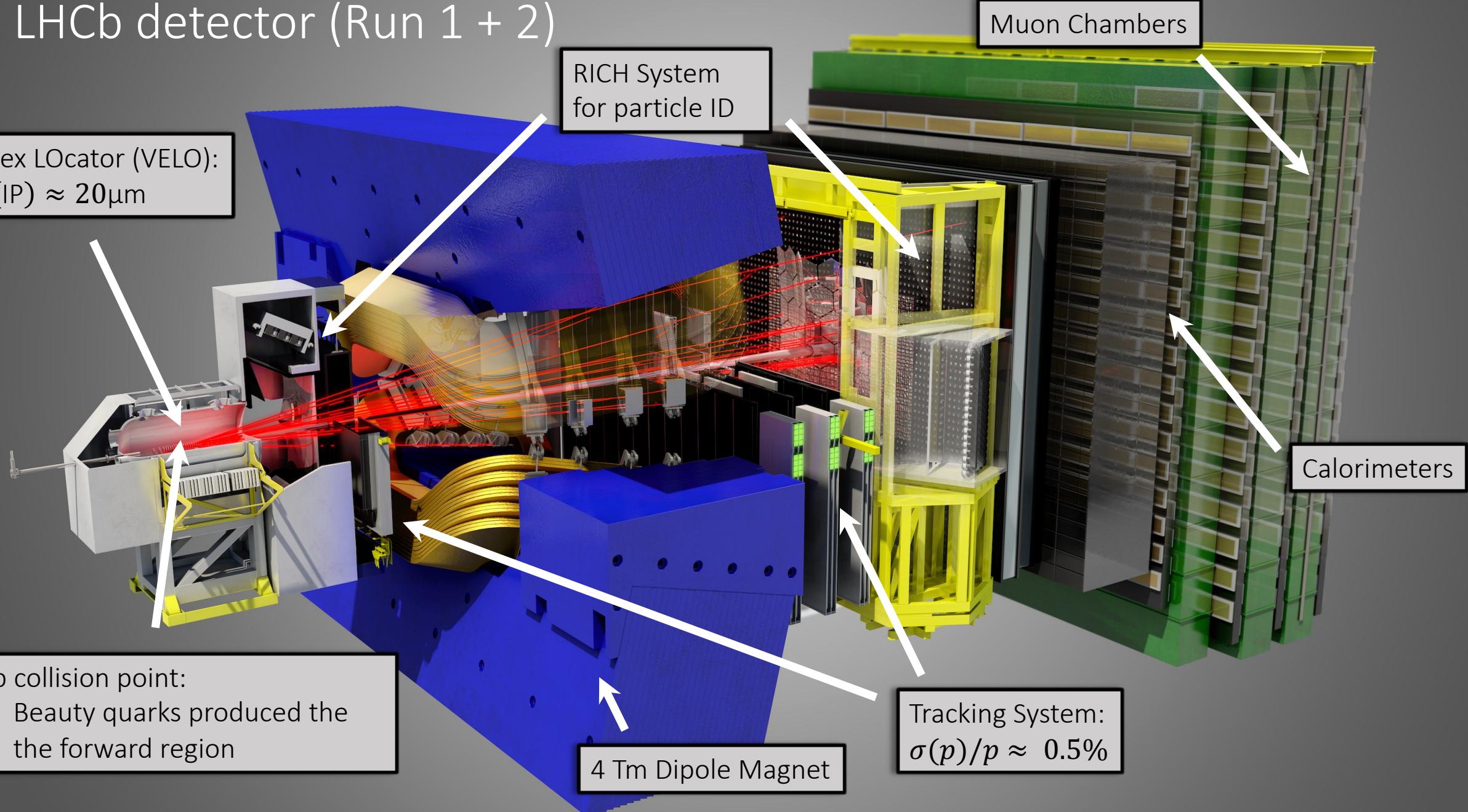
- Rare penguin decay:

👍 Fully reconstructible final state

👍 Probe higher-order diagrams where New Physics (NP) particles can appear

👎  $\mathcal{B} \sim 10^{-6}$  → low signal yields

# The LHCb detector (Run 1 + 2)



pp collision point:

- Beauty quarks produced the the forward region

Muon Chambers

RICH System  
for particle ID

VErtex LOcator (VELO):  
▪  $\sigma(\text{IP}) \approx 20\mu\text{m}$

Tracking System:  
 $\sigma(p)/p \approx 0.5\%$

4 Tm Dipole Magnet

# Outline of the talk

- Measurement of the branching fraction ratios  $R(D^+)$  and  $R(D^{*+})$  using muonic  $\tau$  decays [LHCb-PAPER-2024-007, in preparation]
- Comprehensive analysis of local and nonlocal amplitudes in the  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  decay [[LHCb-PAPER-2024-011](#)]

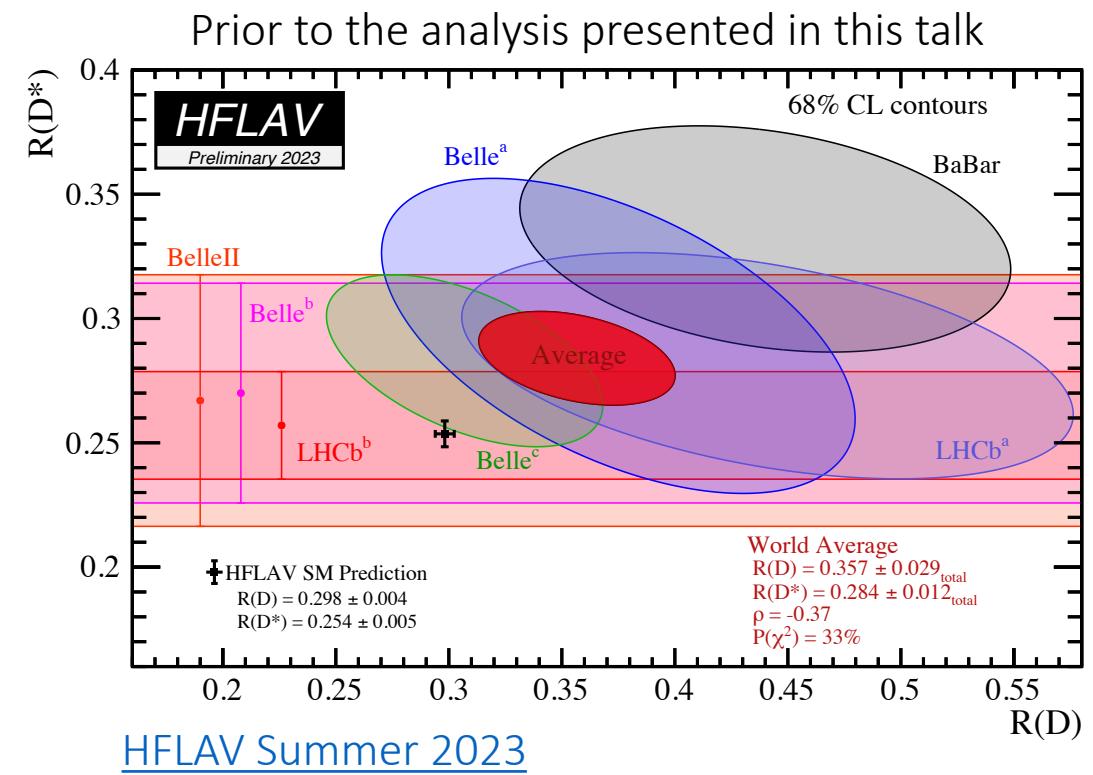
Measurement of the branching fraction ratios  
 $R(D^+)$  and  $R(D^{*+})$  using muonic  $\tau$  decays  
[LHCb-PAPER-2024-007, in preparation]

# Lepton Flavour Universality (LFU) tests in $b \rightarrow cl\nu$ transitions

- LFU in the Standard Model (SM): coupling to  $e$ ,  $\mu$  and  $\tau$  is universal. Differences are only driven by lepton masses
- New Physics can be manifested through experimental departures from LFU
- Experimental LFU test through  $R(H_c)$  ratio:

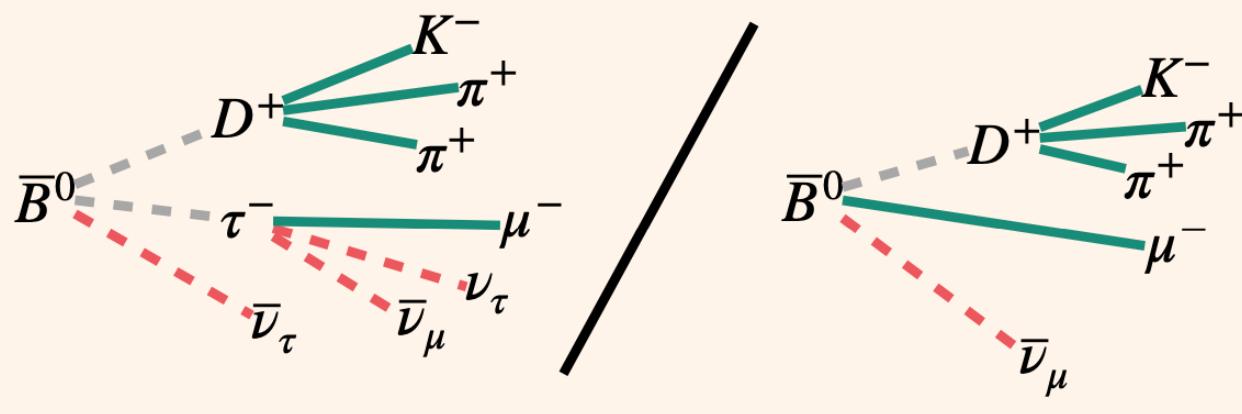
$$R(H_c) = \frac{\mathcal{B}(H_b \rightarrow H_c \tau \nu_\tau)}{\mathcal{B}(H_b \rightarrow H_c \mu \nu_\mu)}$$
$$H_b = B^0, B_{(c)}^+, B_s^0, \Lambda_b^0$$
$$H_c = D^*, D^0, D^+, D_s, J/\psi$$

- Ratio improves theoretical and experimental precision
- Most precise measurements seen in  $R(D) - R(D^*)$ :
  - [HFLAV Summer 2023](#):  $3.3\sigma$  tension from SM
  - Recent LHCb measurements with [leptonic](#) [[PRL 131 \(2023\) 111802](#)] and [hadronic](#) [[PRD 108 \(2023\) 012018](#)]  $\tau$  decays
  - $D^*$  longitudinal polarization in  $B^0 \rightarrow D^{*-} \tau^+ \nu_\tau$  decays [[LHCb-PAPER-2023-020](#)]

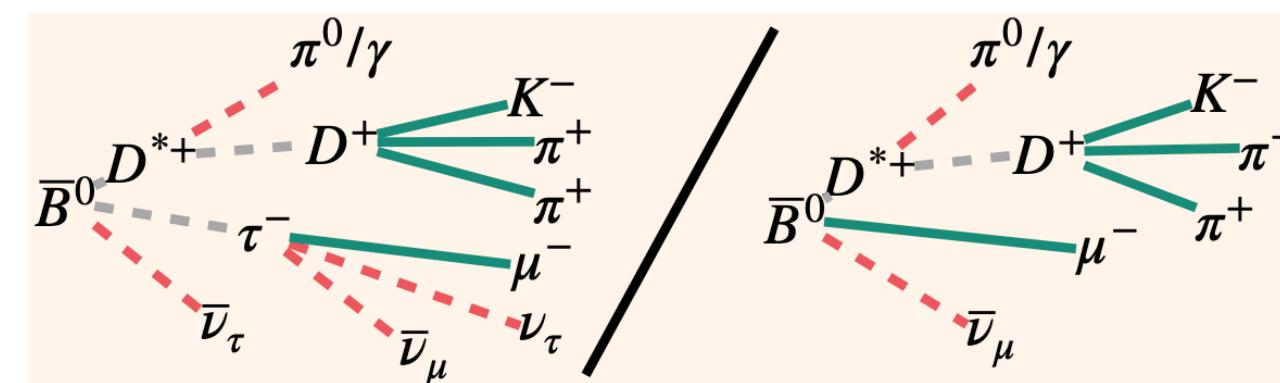


# Branching fraction ratios $R(D^+)$ and $R(D^{*+})$ : decay topology

$$R(D^+) =$$



$$R(D^{*+}) =$$



- Use  $D^{*+} \rightarrow D^+ \pi^0$
- $K^- \pi^+ \pi^+ \mu^-$  final state common to all four modes.

$$R(D^{(*)+}) = \frac{\epsilon_\mu^{D^{(*)+}}}{\epsilon_\tau^{D^{(*)+}}} \frac{N_\tau^{D^{(*)+}}}{N_\mu^{D^{(*)+}}} \frac{1}{\mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_\tau)}$$

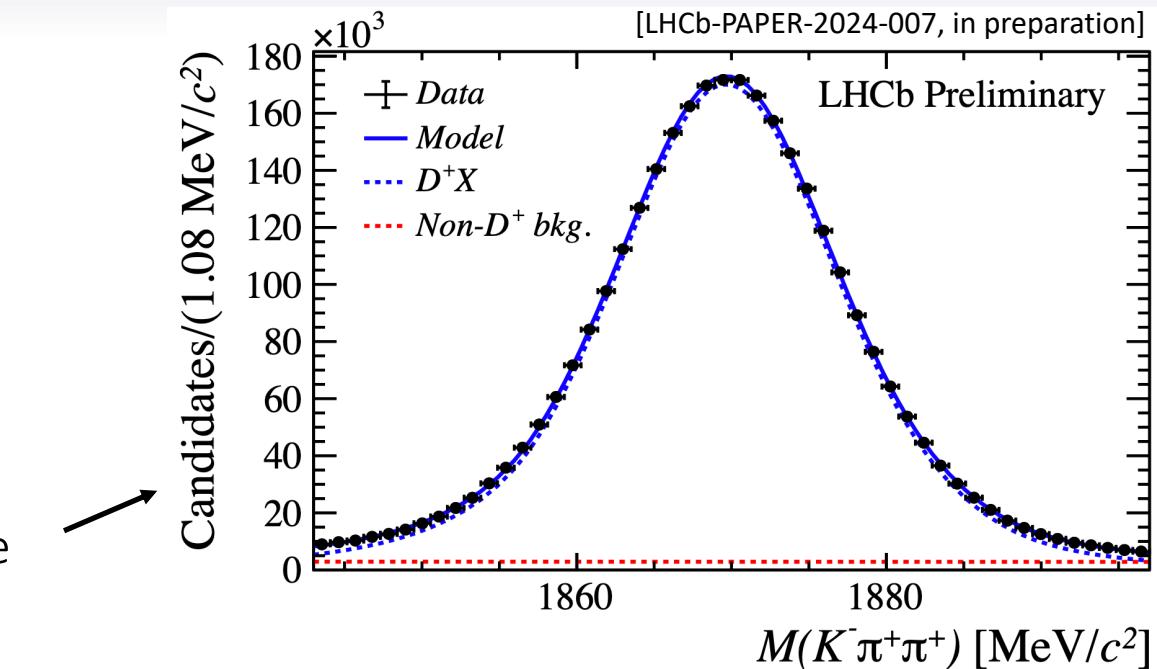
Efficiency ratio  
determined from MC

Yield ratio from data  
through a fit to  $m_{miss}^2$ ,  
 $E_\mu$  and  $q^2 = (p_B - p_D)^2$

- Main challenges:
  - Non-reconstructed  $\nu$  and  $\pi^0/\gamma$
  - Big data samples ( $> 3M$  candidates) → Sensitive to small mis-modelings

# Sample selection

- Dataset: 2015 + 2016 ( $2.0\text{fb}^{-1}$ )
- Requirements on  $K^-\pi^+\pi^+\mu^-$  candidates:
  - Kinematic
  - Topologic
  - Particle identification
- Fit  $m(K^-\pi^+\pi^+)$  and apply [sPlot](#) technique to remove fake  $D^+$  bkg.
- BDT-based isolation tool against bkg with additional charged and neutral particles:
  - Create four samples enriched with different bkg contributions
  - Final fit performed simultaneously in the four samples



Signal sample  
 $D^+\mu^-$

$1\pi$  sample  
 $D^+\mu^-\pi^\pm$

Ex:  $B \rightarrow D_0^*(2300)(\rightarrow D\pi^\pm)\mu\nu_\mu$

$2\pi$  sample  
 $D^+\mu^-\pi^+\pi^-$

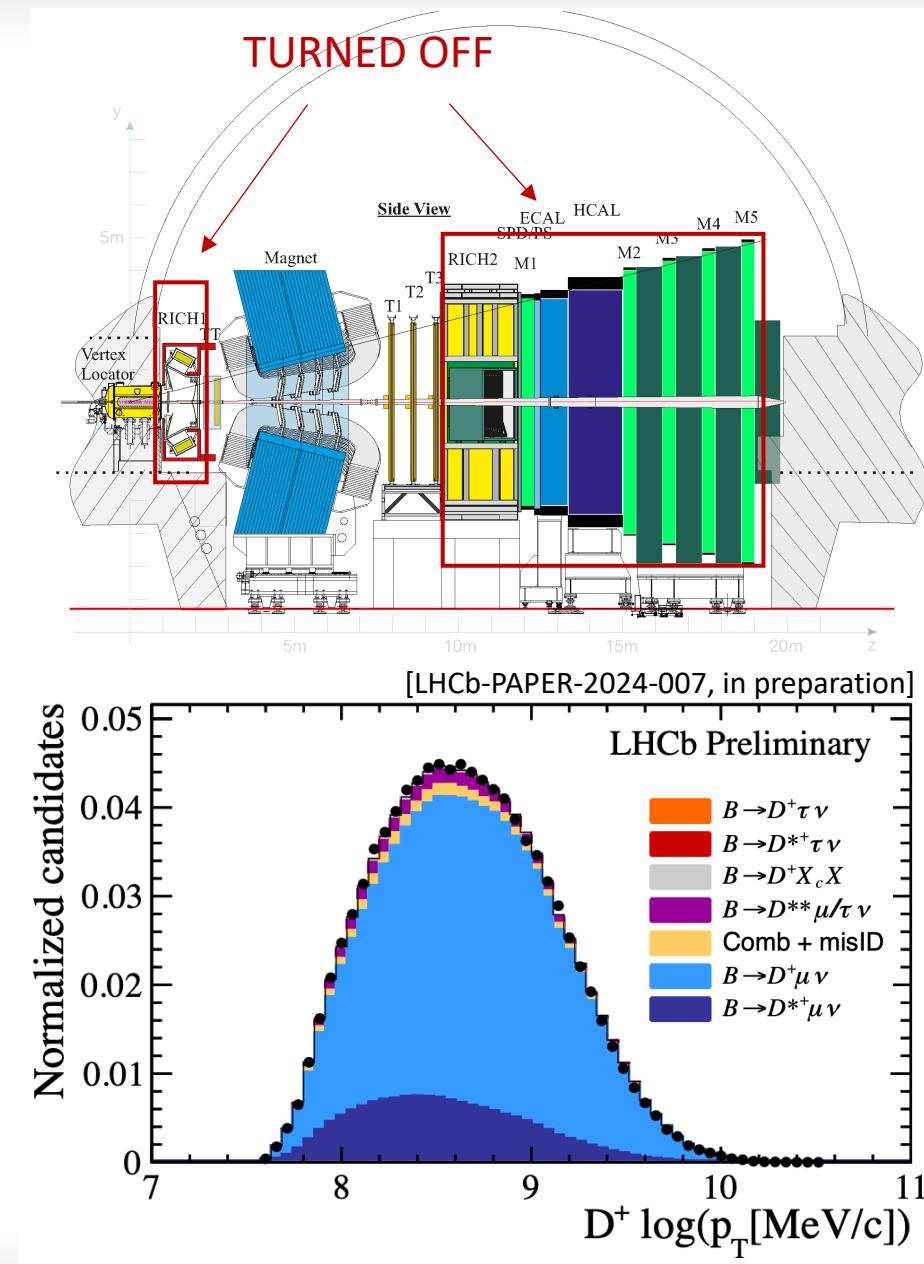
Ex:  $B \rightarrow D^*(2640)^\pm(\rightarrow D^*(2010)^+\pi^+\pi^-)\mu\nu_\mu$

$1K$  sample  
 $D^+\mu^-K^\pm$

$B \rightarrow D^+H_c(\rightarrow \mu\nu_\mu X)X'$

# Simulation

- Role: Obtain efficiencies and fit templates
- Huge statistics needed: not feasible with full LHCb simulation.
- Solution: Use *Tracker-Only* simulation
  - Missing detector effects emulated offline
  - $\times 8$  faster than full LHCb simulation
- Simulation corrections to match data distributions:
  - Reweighting of kinematics and  $D^+ \rightarrow K^- \pi^+ \pi^+$  Dalitz resonances
  - QED effects through soft-photon corrections  
[[PRL 120 \(2018\) 261804](#)]
- Excellent Data/simulation agreement reached!



# Templates building and form factors

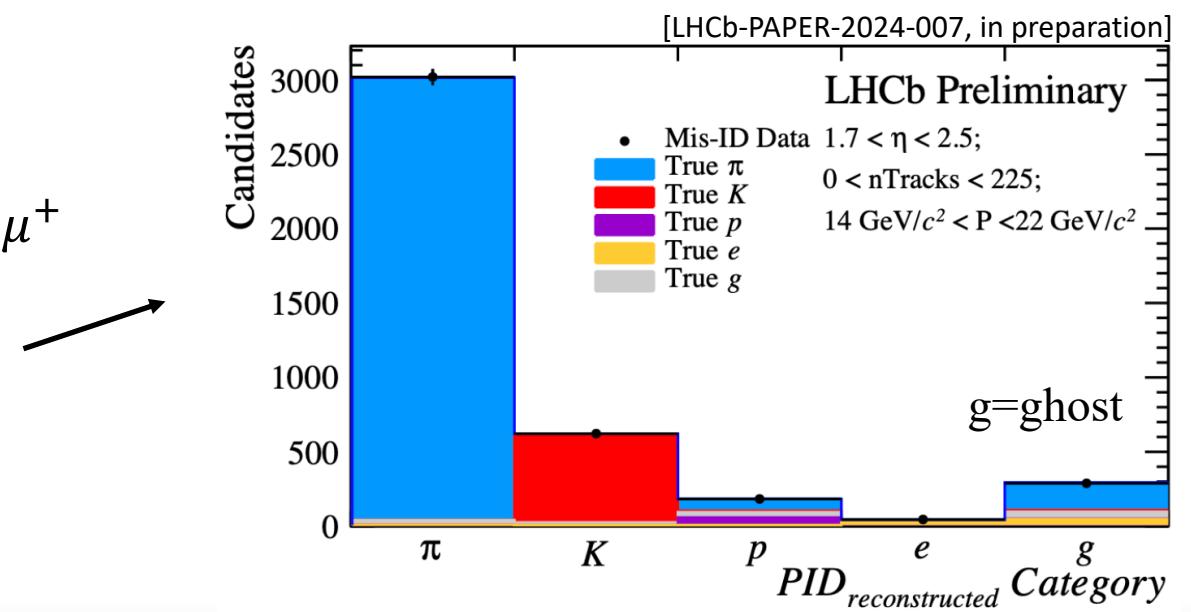
- Simulation templates:

- Signal ( $B \rightarrow D^{(*)} l \nu_l$ ). Form factors from BGL [[PRD 94 \(2016\) 094008, Eur. Phys. J. C \(2022\) 82:1141](#)]
- Bkg from  $B \rightarrow D^{**} X$  decays. Form factors from BLR [[PRD 95 \(2017\) 014022](#)]
- Double-charm bkg
- $\Lambda_b^0 \rightarrow n D^+ \mu^- \bar{\nu}_\mu$  bkg

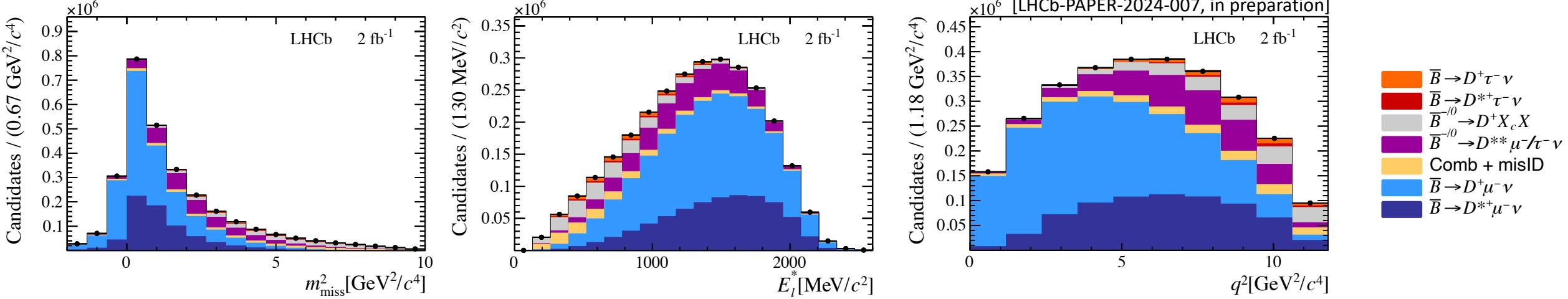
First analysis to use and implement HAMMER through RooHammerTool [[Eur. Phys. J. C \(2020\) 80:883, JINST 17 \(2022\) T04006](#)]  
→ Fast and exact form factors variations in the fit model!

- Data templates:

- Combinatorial bkg: Obtained from same-sign  $D^+ \mu^+$  data
- Muon mis-identification: Obtained from non-muonic control samples



# Results



- Analysis results:

$$R(D^+) = 0.249 \pm 0.043_{\text{stat}} \pm 0.047_{\text{sys}}$$

$$R(D^{*+}) = 0.402 \pm 0.081_{\text{stat}} \pm 0.085_{\text{sys}}$$

$$\rho = -0.39$$

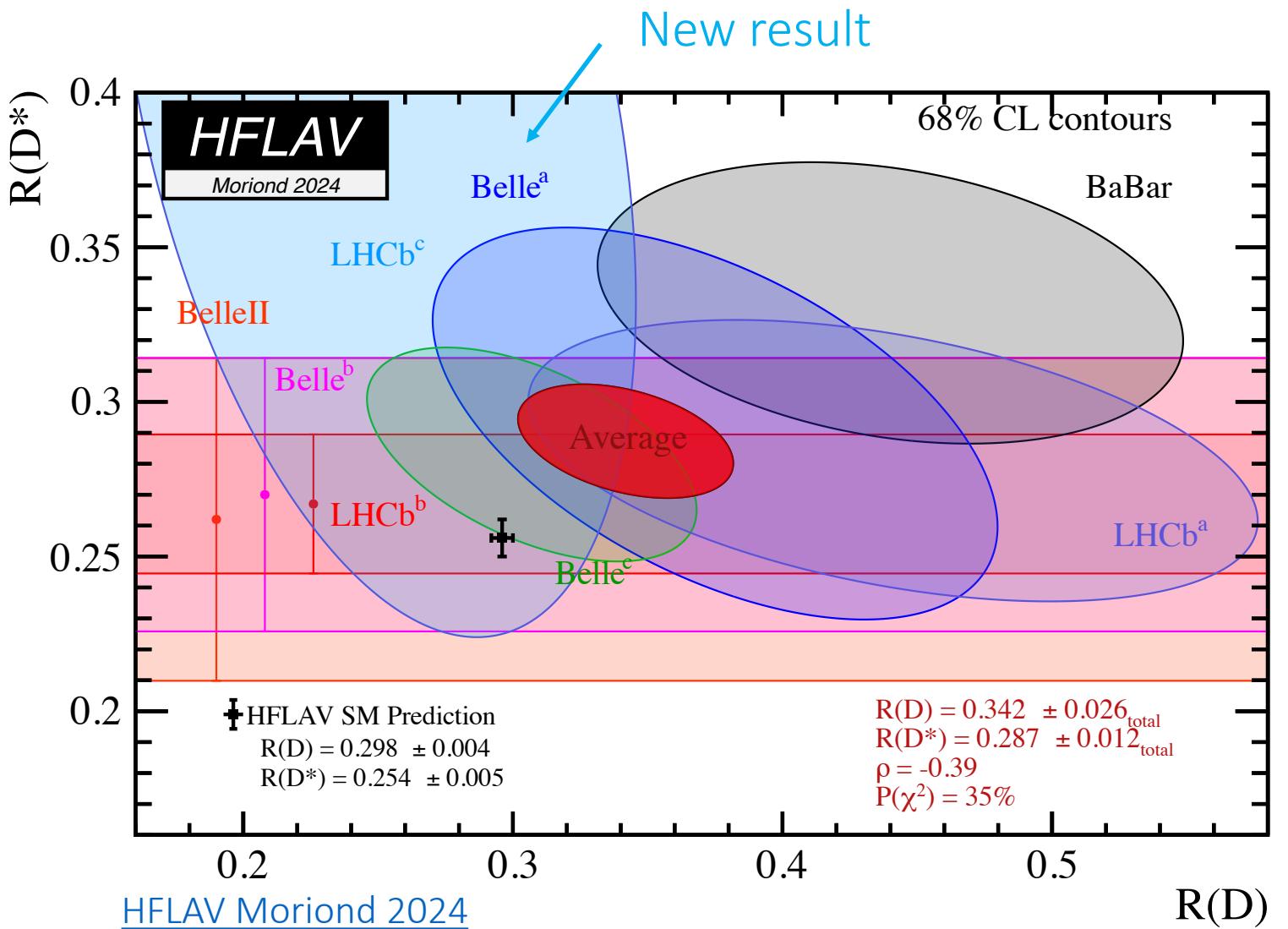
- Main systematics from form-factor parametrisations and bkg modelings.

## Systematic uncertainties

Source	$\mathcal{R}(D^+)$	$\mathcal{R}(D^{*+})$
Form factors	0.023	0.035
$\bar{B} \rightarrow D^{**}[D^+ X] \mu/\tau \nu$ fractions	0.024	0.025
$\bar{B}^{+/0} \rightarrow D^+ X_c X$ fraction	0.020	0.034
Misidentification	0.019	0.012
Simulation size	0.009	0.030
Combinatorial background	0.005	0.020
Data/simulation agreement	0.016	0.011
Muon identification	0.008	0.027
Multiple candidates	0.007	0.017
Total systematic uncertainty	0.047	0.086

# New $R(D) - R(D^*)$ HFLAV average

- New result compatible with World Average (WA) and SM
- New tension with SM:  $3.17\sigma$



# Comprehensive analysis of local and nonlocal amplitudes in the $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay

[LHCb-PAPER-2024-011, arXiv:2405.17347]

# $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ : some intriguing effects

- Described by  $b \rightarrow s\mu\mu$  penguin transitions:

$$H_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i [C_i O_i + C'_i O'_i]$$

Left chirality                      Right chirality, suppressed by SM

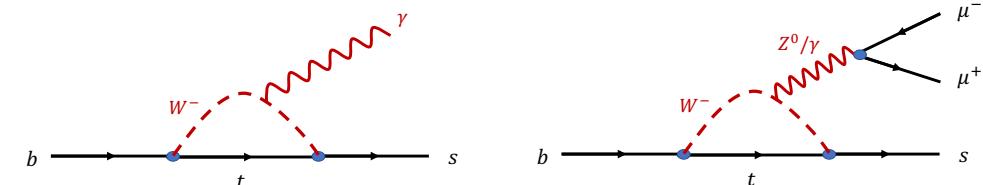
$C_i^{(i)}$  (Wilson coeff.): short-distance, sensitive to NP through  $C_i = C_i^{\text{SM}} + \Delta C_i^{\text{NP}}$

$O'_i$  (Operators): long-distance, dependent to QCD form factors

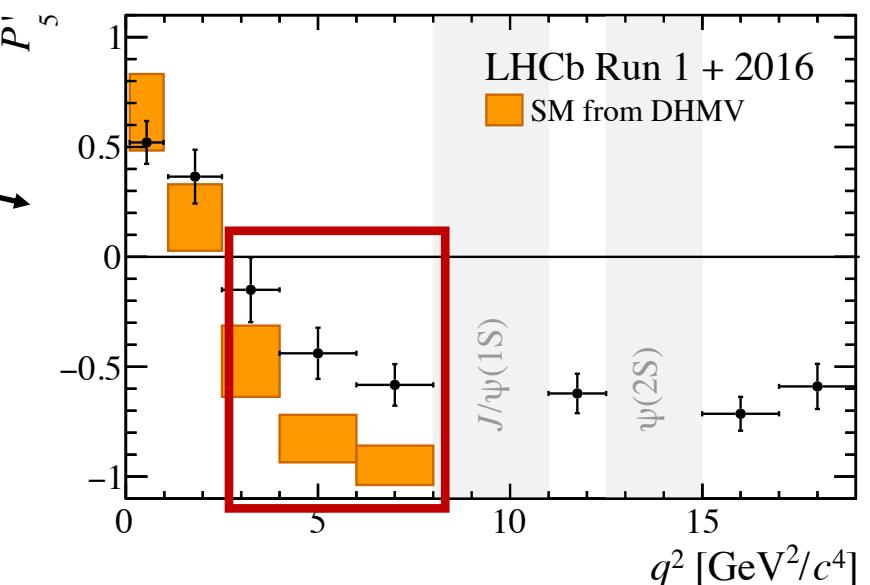
- Tensions with SM are present in angular observables and differential decay rates of  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$
- Points to a NP vector contribution through  $\Delta C_9^{\text{NP}} \neq 0$  [[Eur. Phys. J. C \(2023\) 83:648](#)]
- Important question: Is this a genuine NP effect and a mis-modelled QCD contribution?

$C_7$  = Penguin photon

$C_{9/10}$  = Electroweak penguin



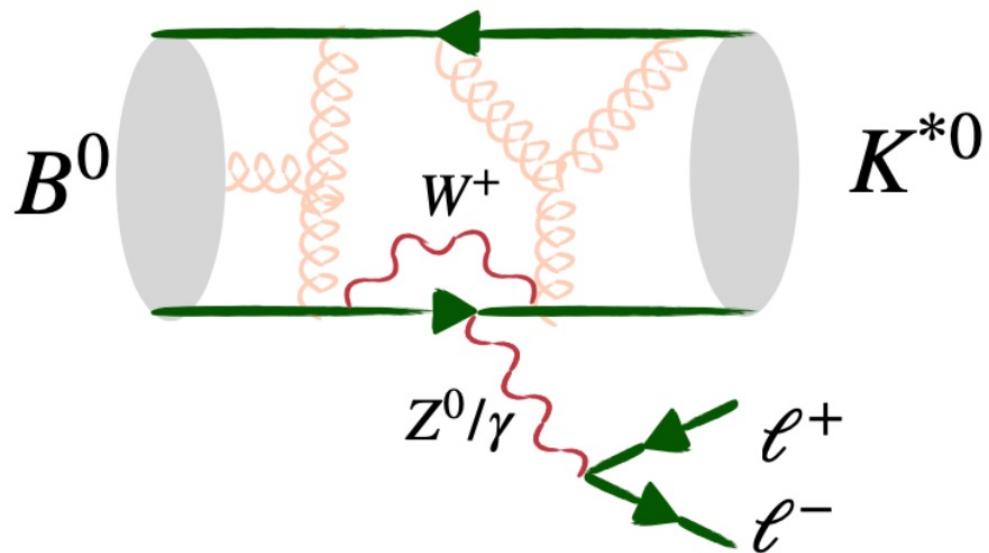
$$q^2 = m_{\mu\mu}^2$$



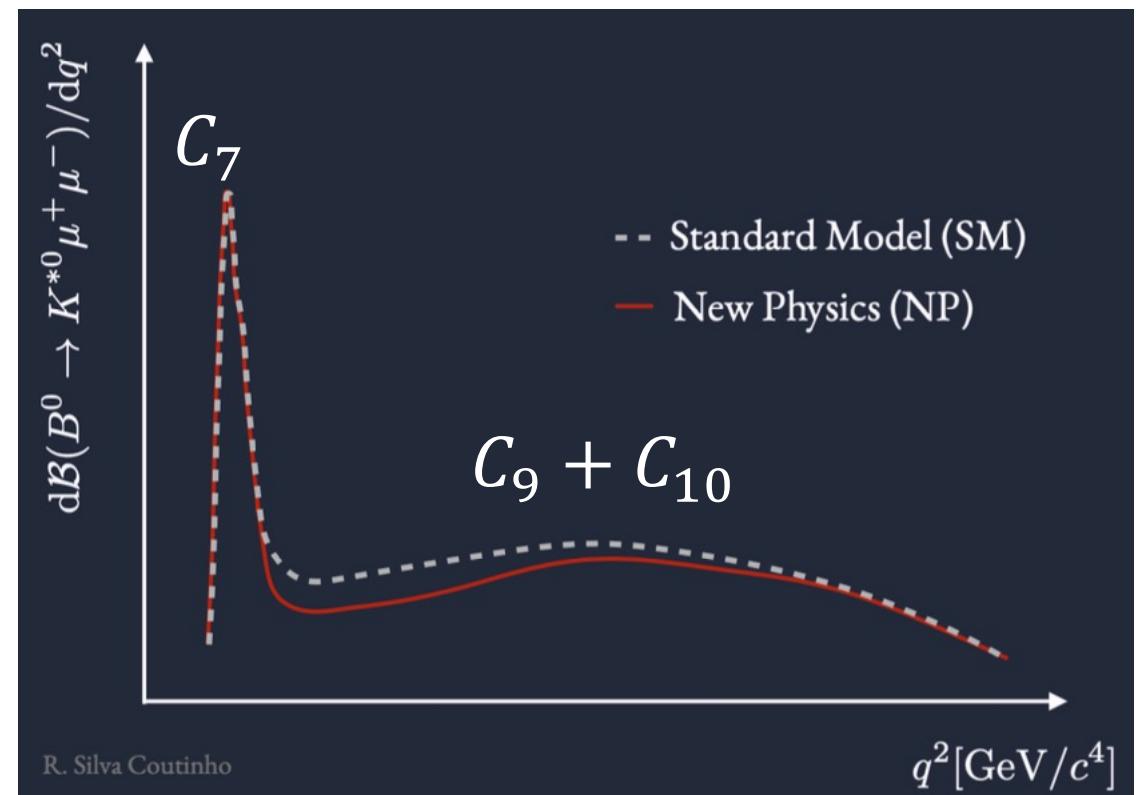
[PRL 125 \(2020\) 011802](#)

# $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ : a complex system

Non-resonant  $b \rightarrow s\mu\mu$   
penguin transitions

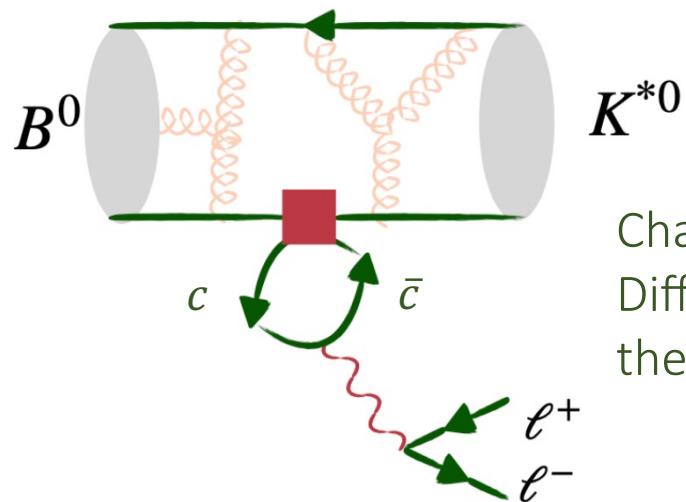


Local amplitudes



# $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ : a complex system

Contributions from  $c\bar{c}$  resonances!



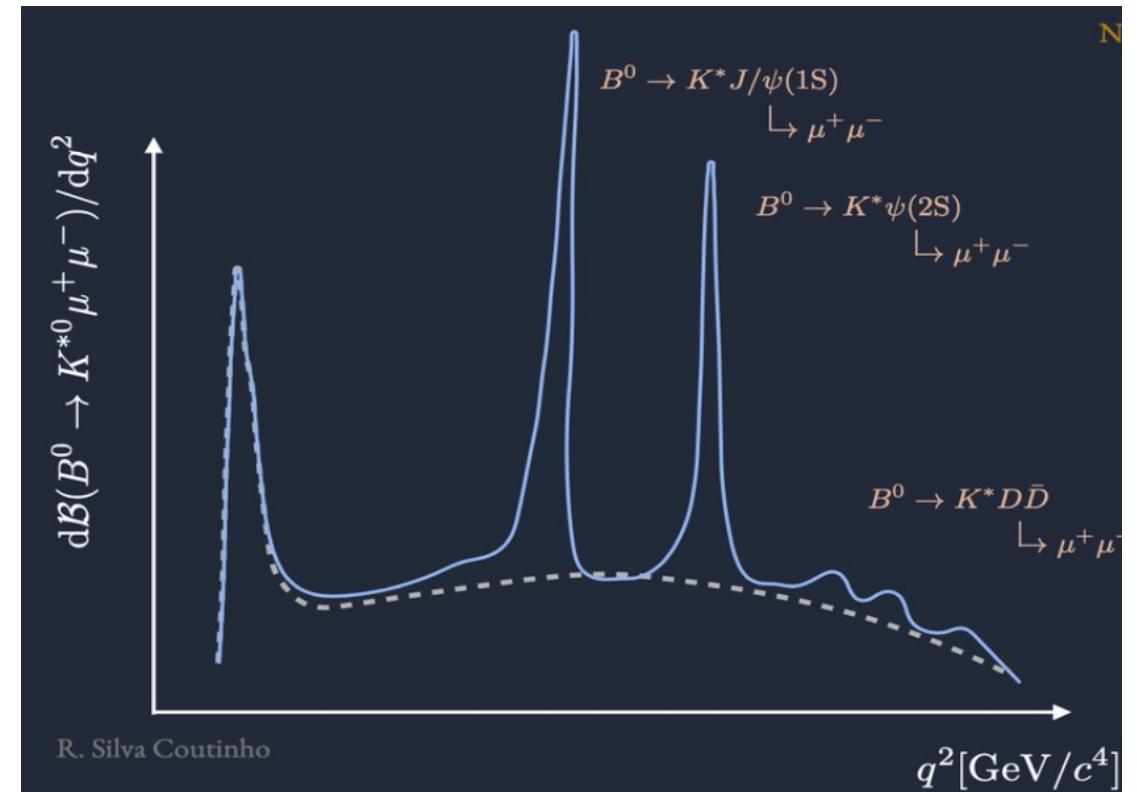
Charm loops!  
Difficult to model  
theoretically

Dangerous ! They can enter the analysis

region and mimic  $\Delta C_i^{\text{NP}} \neq 0$

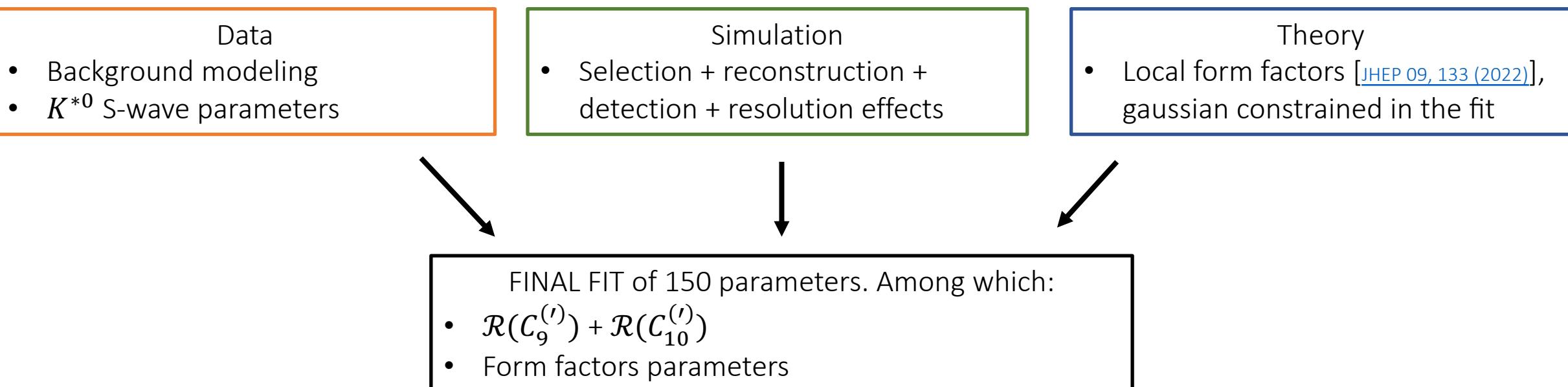
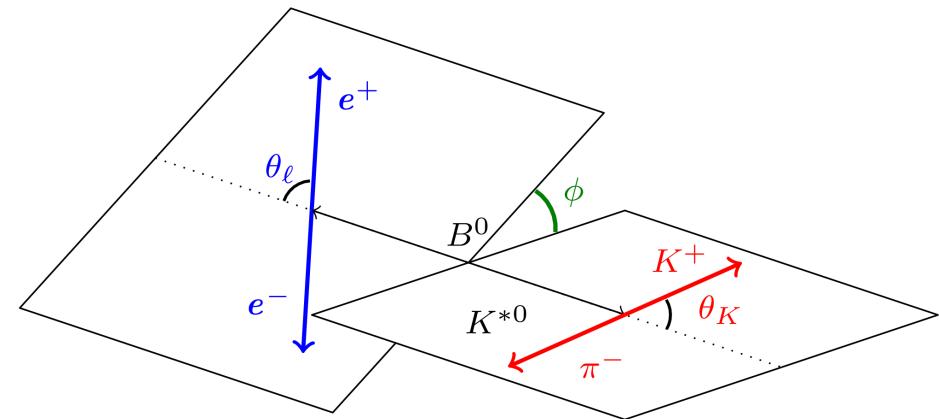
→ Need a complete simultaneous experimental modeling of local and nonlocal amplitudes!

## Nonlocal amplitudes

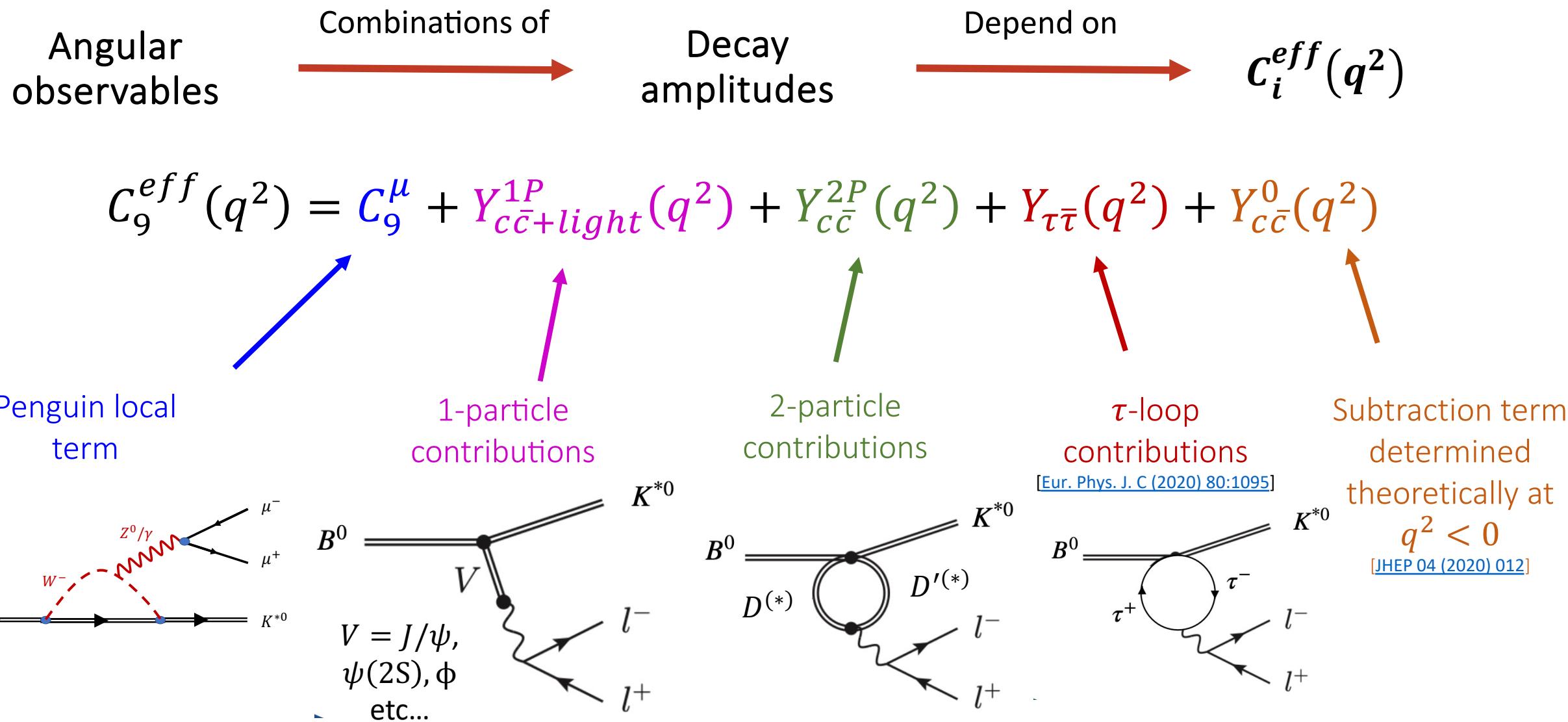


# $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ : analysis strategy

- Unbinned measurement of **angular observables** through a parametrisation of  $q^2$  and the 3 decay angles  $\theta_l$ ,  $\theta_K$  and  $\phi$
- Dataset: Run 1 (2011-2012) + Run 2 (2016-2018):  $8.4\text{fb}^{-1}$   
→ First analysis to use the full dataset!

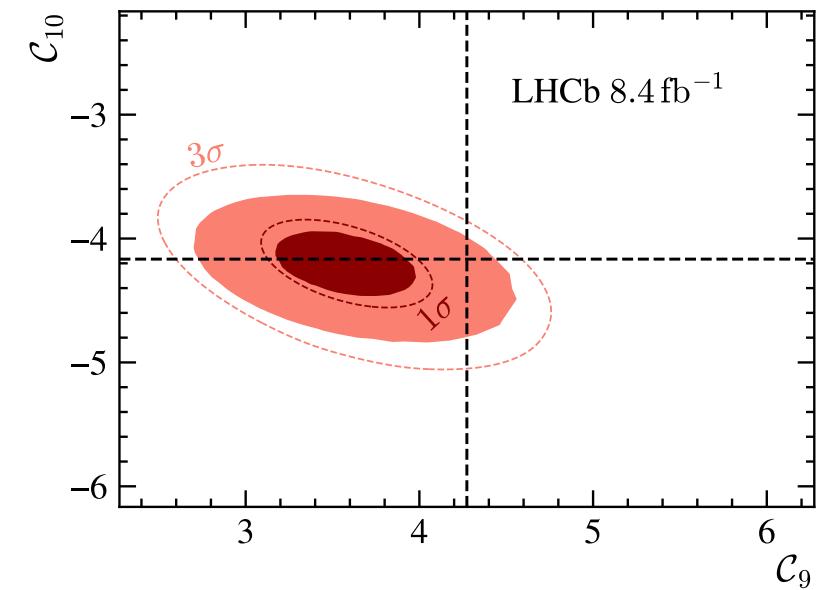
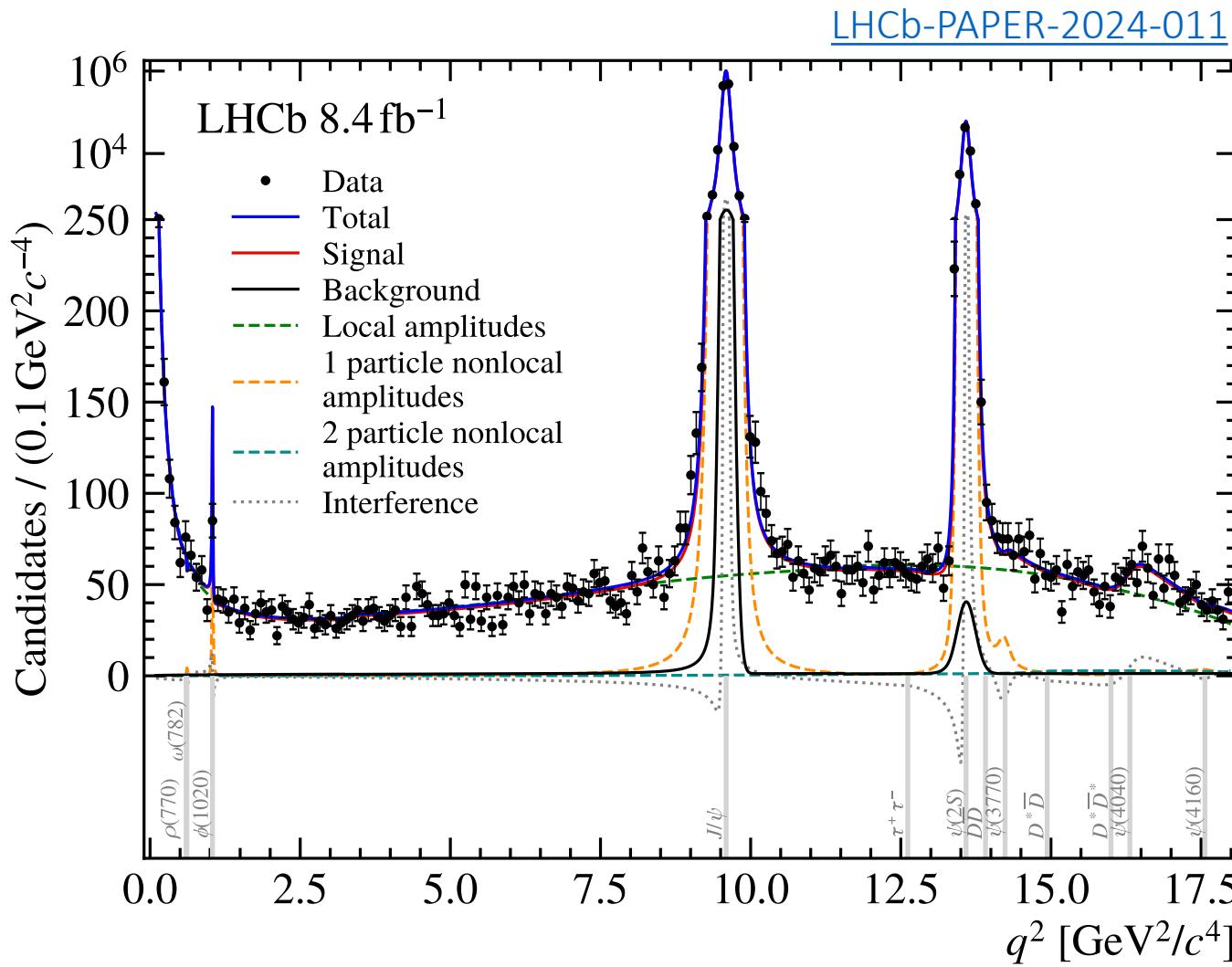


# Parametrisation of local and nonlocal contributions



# Results

[LHCb-PAPER-2024-011](#)



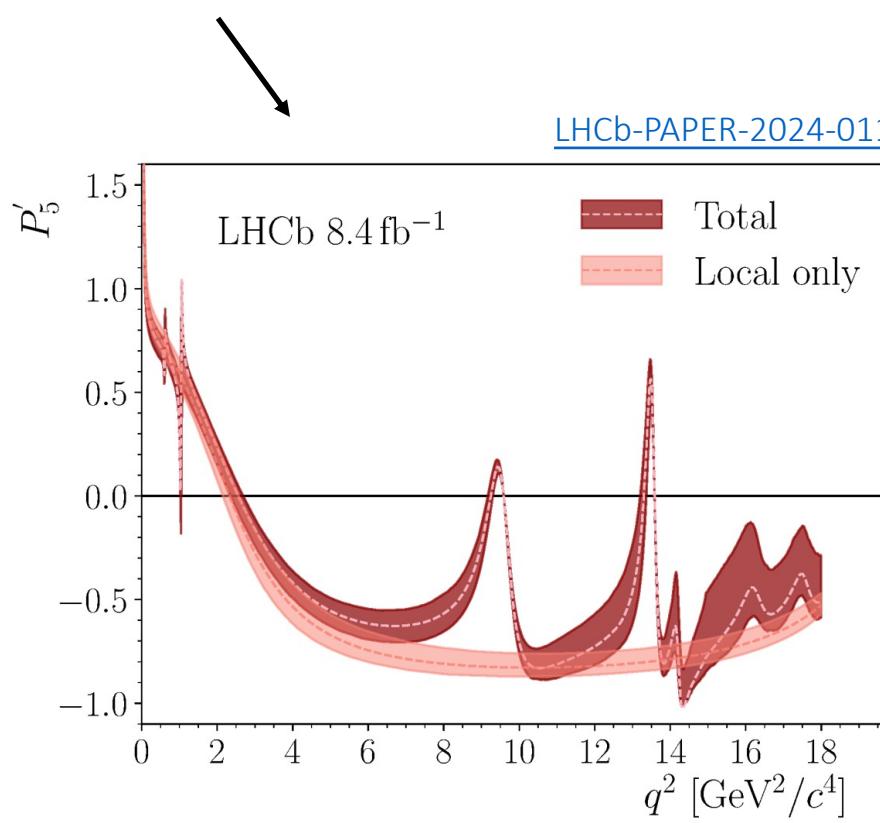
- Impressive modeling of the full  $q^2$  spectrum!
- Results in agreement with previous LHCb analyses
- Biggest deviation is seen with:  

$$\Delta C_9^{NP} = -0.71 \pm 0.33$$

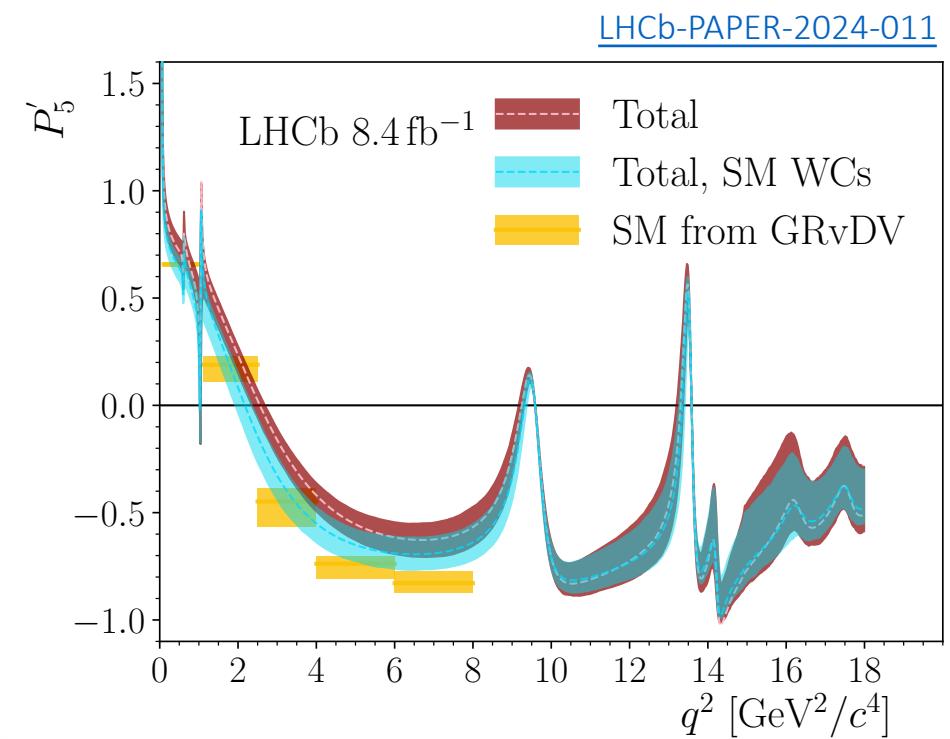
$$(2.1\sigma \text{ from zero!})$$
- Global significance:  $1.5\sigma$  from SM

# Interpretation of the measurement

- Analysis makes it possible to separate local from non-local contributions!
- Non-local contributions do influence angular observables



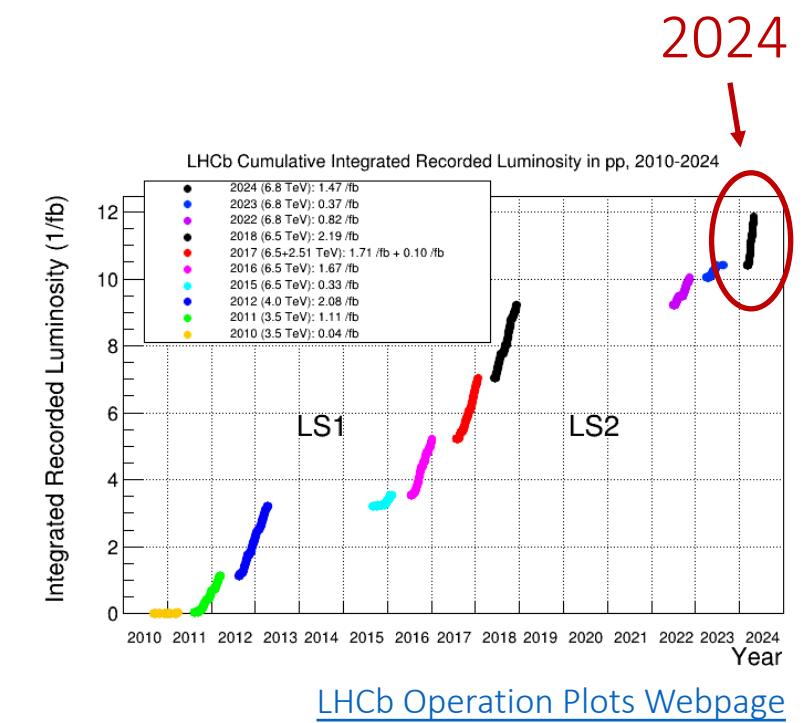
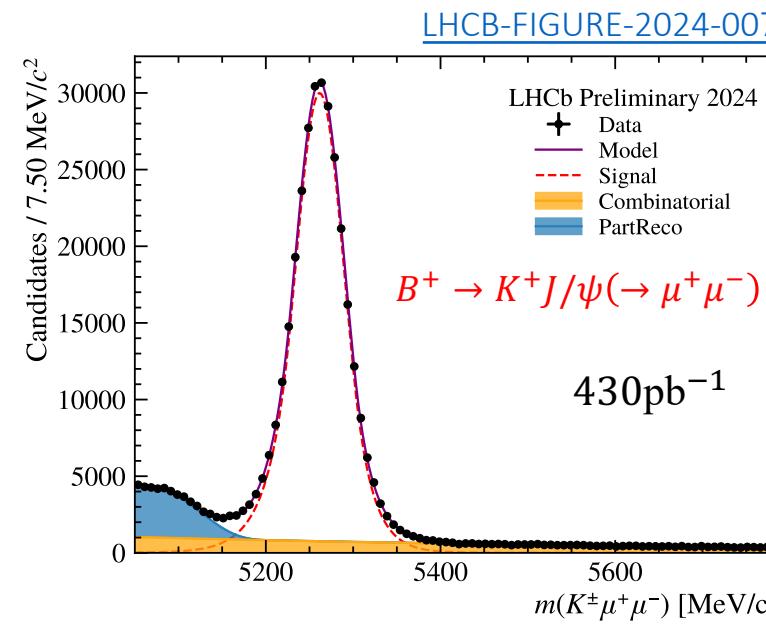
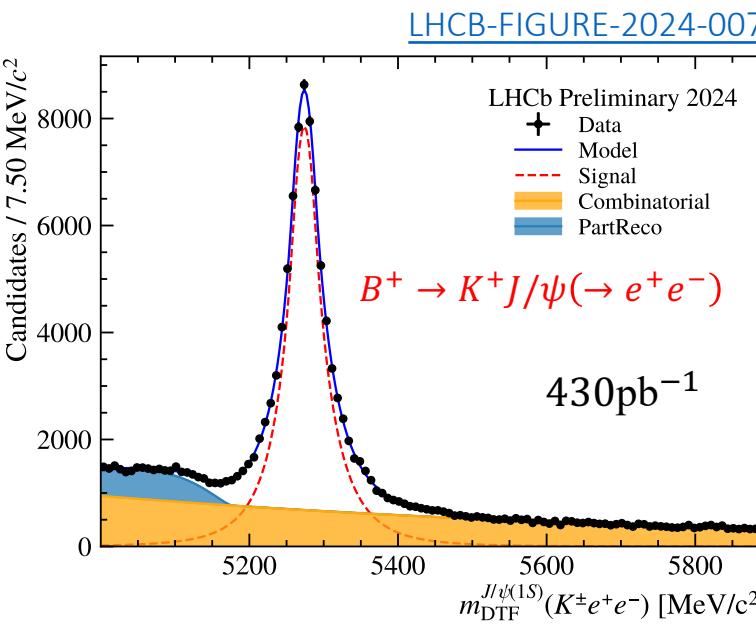
- Cyan: Fixing experimental local results to SM predictions:
  - Cyan and yellow: impact of non-local modelling
  - Cyan and red: impact of possible NP
- →  $P_5'$  tension cannot be fully described by non-local contributions!



# Towards Run 3 data

# First $B$ decays plots of 2024

- LHCb is collecting copious data samples of  $B$  decays thanks to increased pile-up (from 1 to 5) and trigger efficiencies.
- Precision measurements expected with 2024 data!

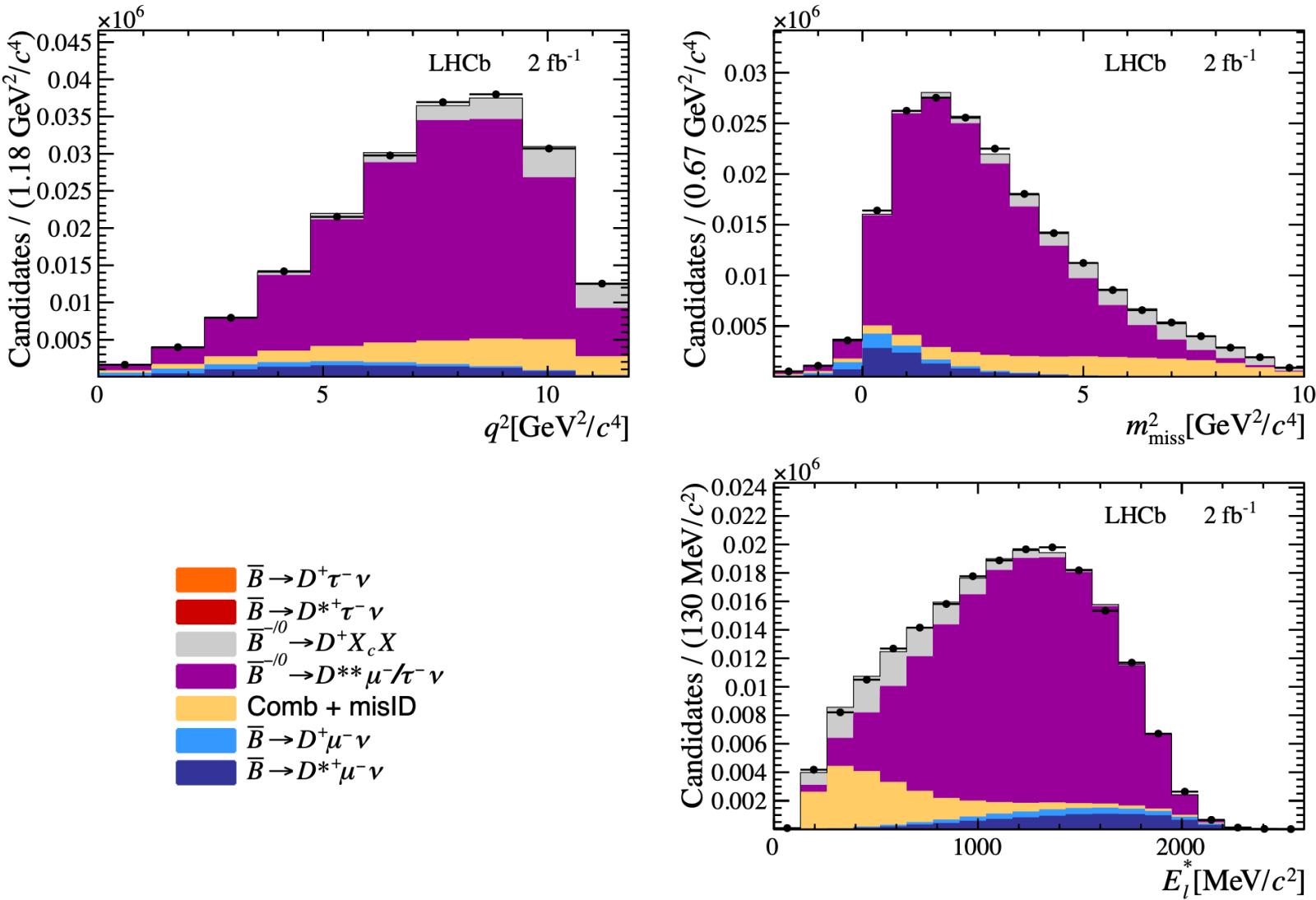


# Summary

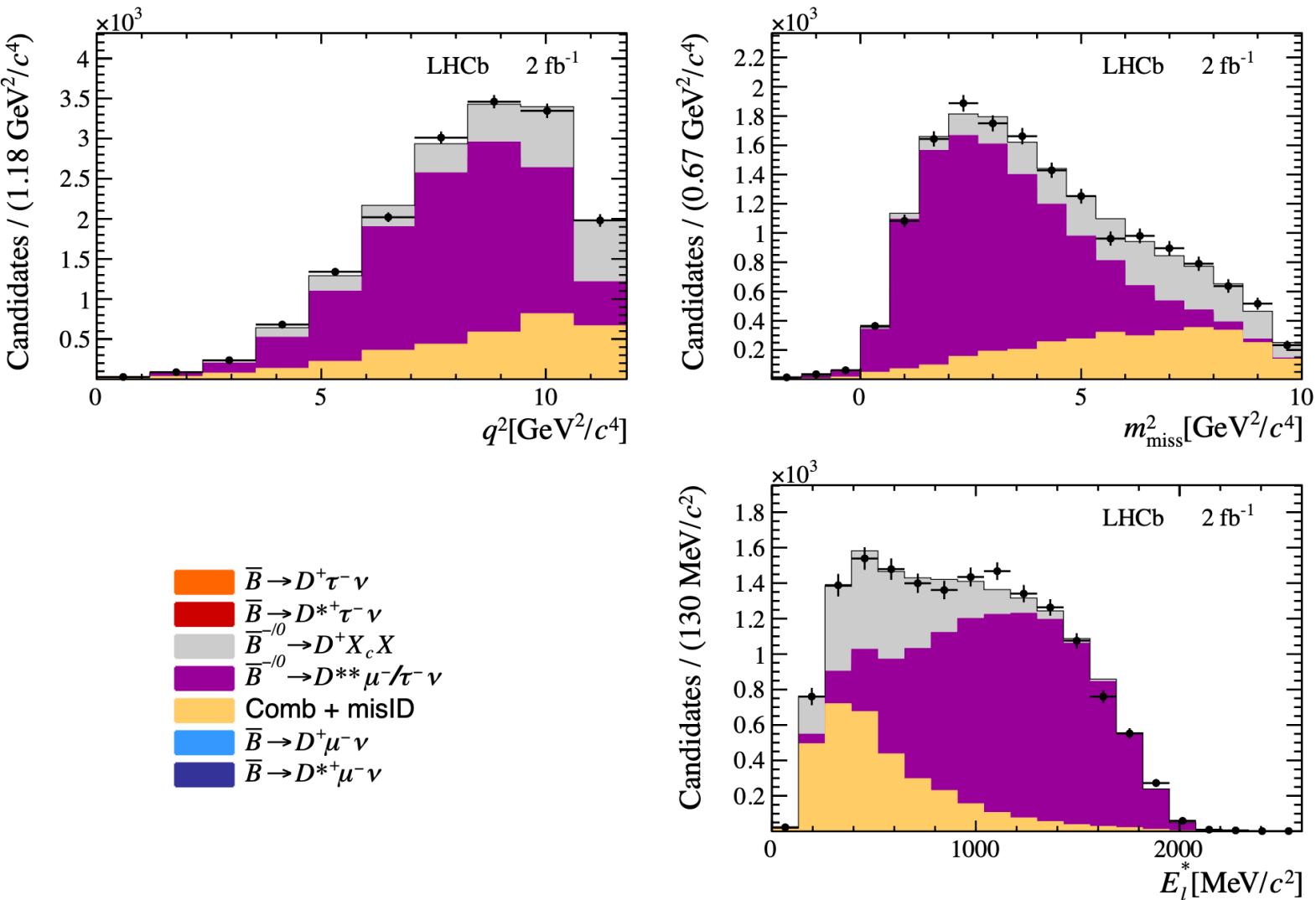
- New measurement of  $R(D^+)$  and  $R(D^{*+})$  using muonic  $\tau$  decays transitions compatible with world average and SM expectations:
  - $\sim 3\sigma$  tension remains
- Complex angular analysis of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  allows to separate local from non-local effects:
  - Important input for theorists to improve our understanding of non-local effects
  - SM tensions not fully excluded
- LHCb is collecting high  $B$ -decays yields:
  - Expect precise updates on LFU and flavour anomalies measurements!

# BACKUP

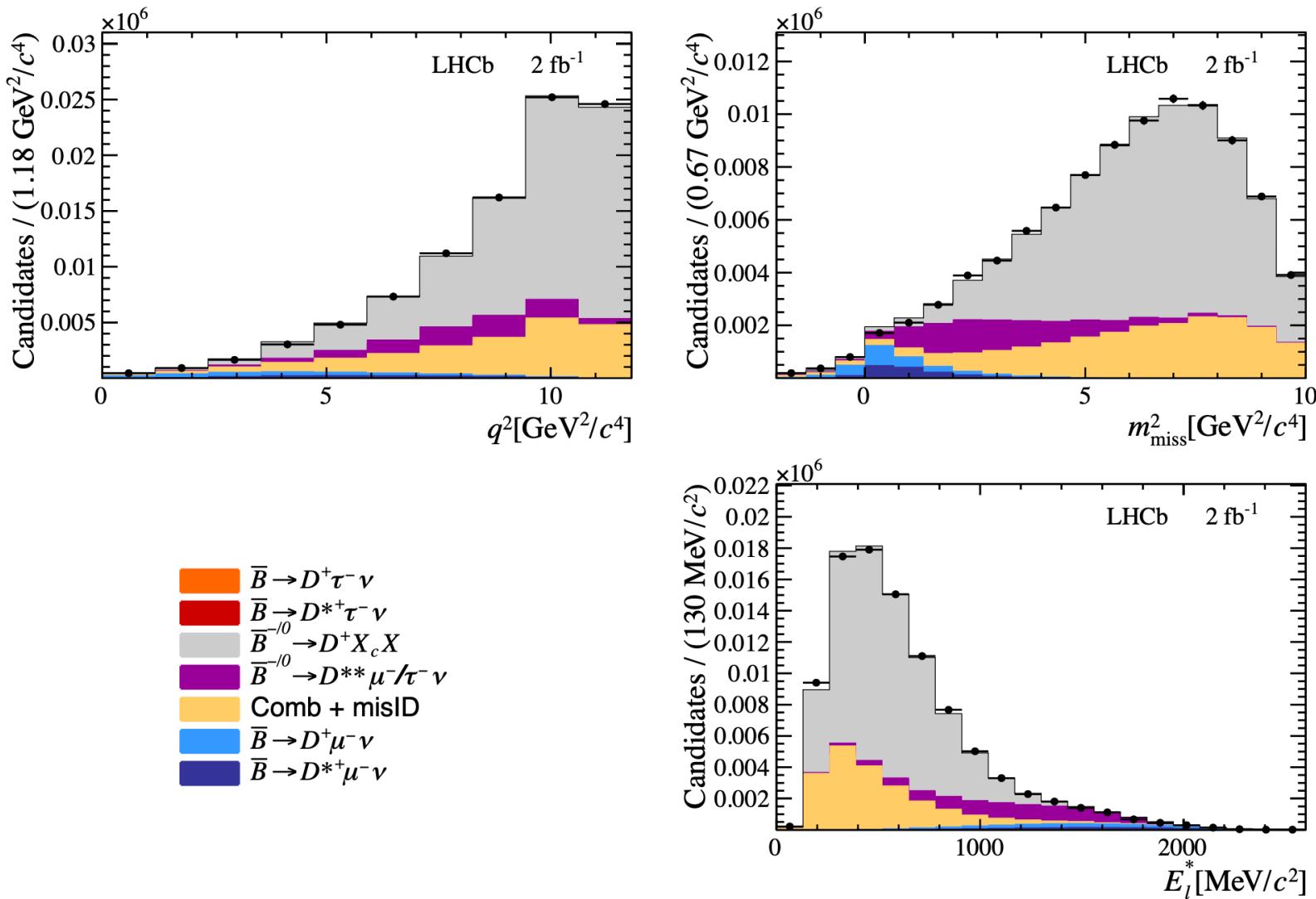
# $R(D) - R(D^*)$ results in the $1\pi$ sample



# $R(D) - R(D^*)$ results in the $2\pi$ sample



# $R(D) - R(D^*)$ results in the 1K sample

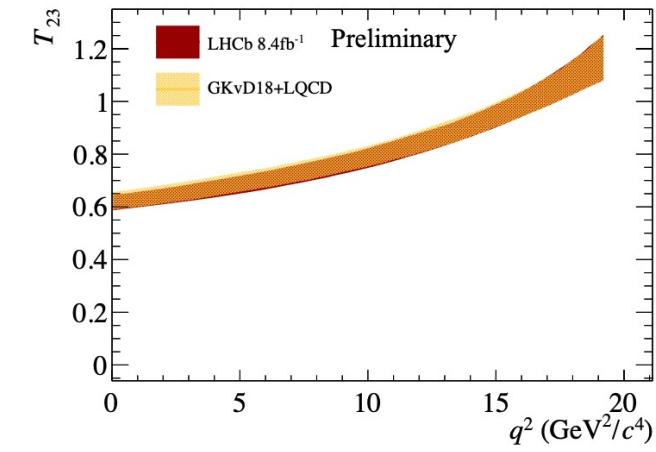
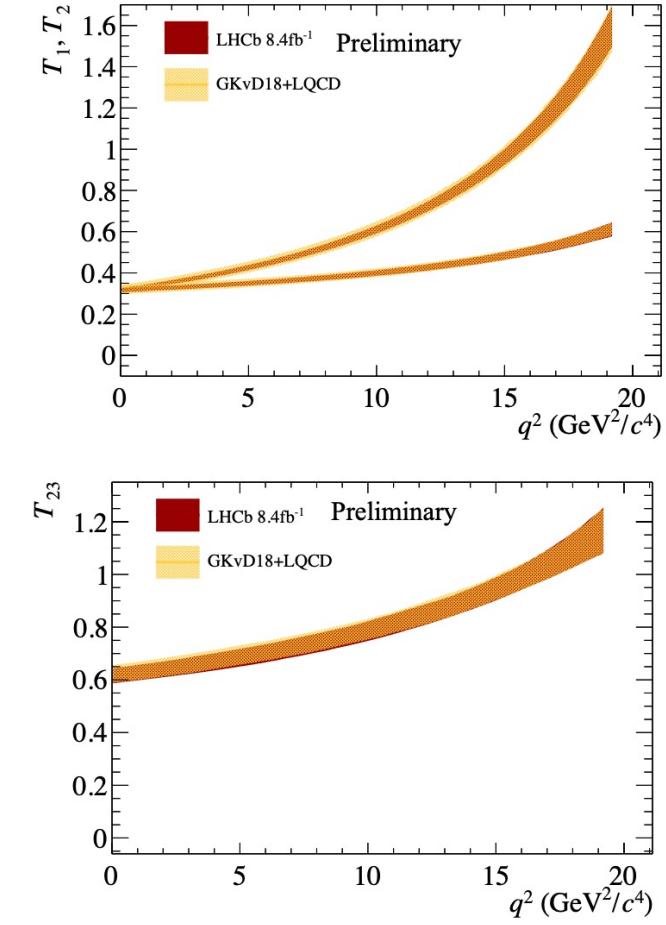
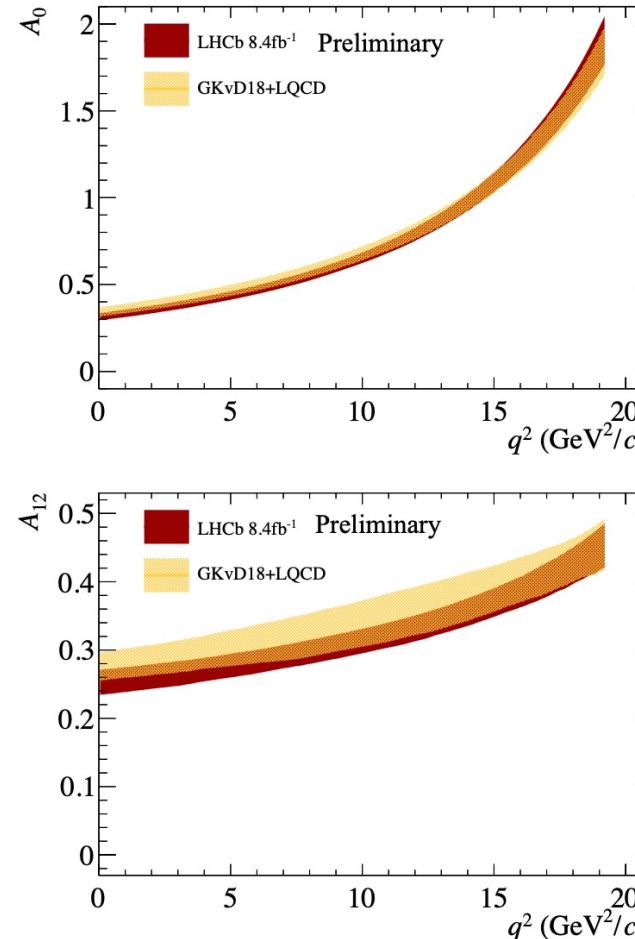
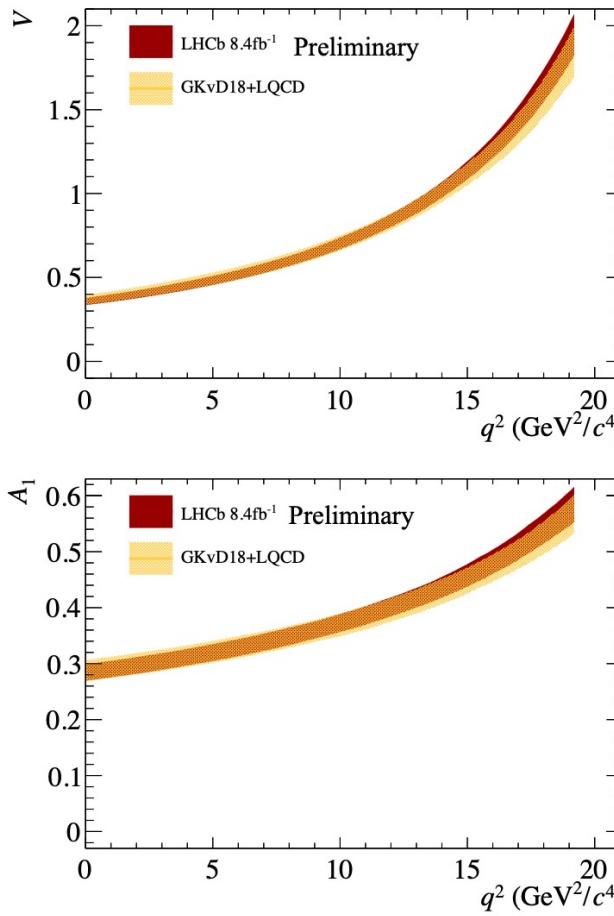


# Neutrino Reconstruction

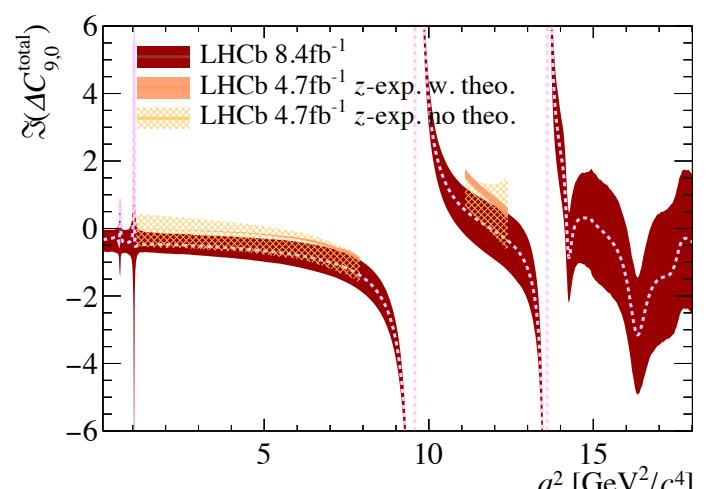
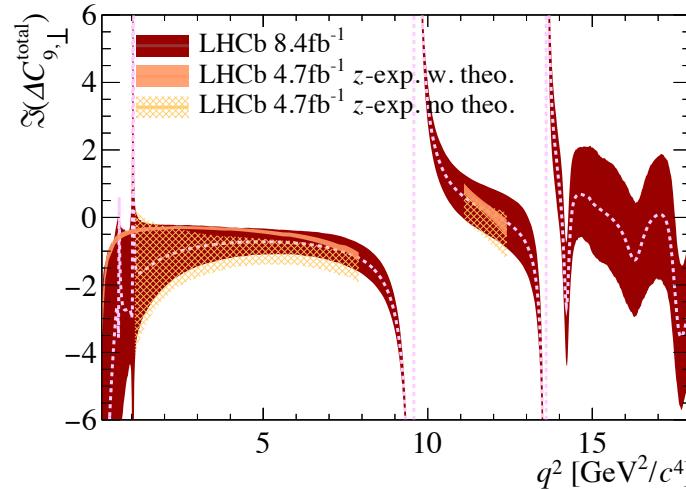
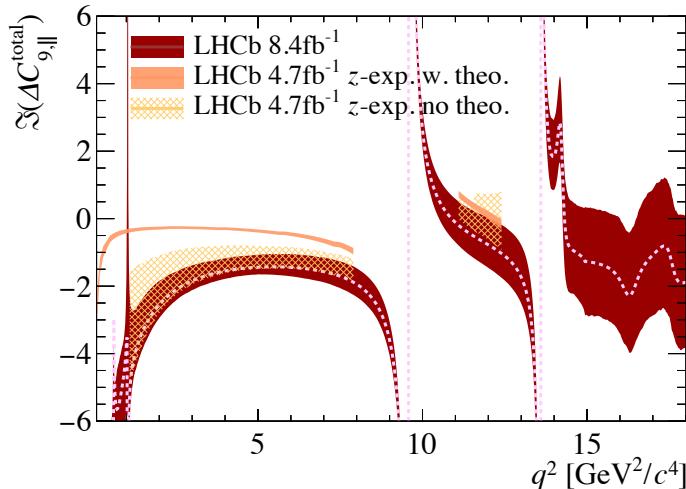
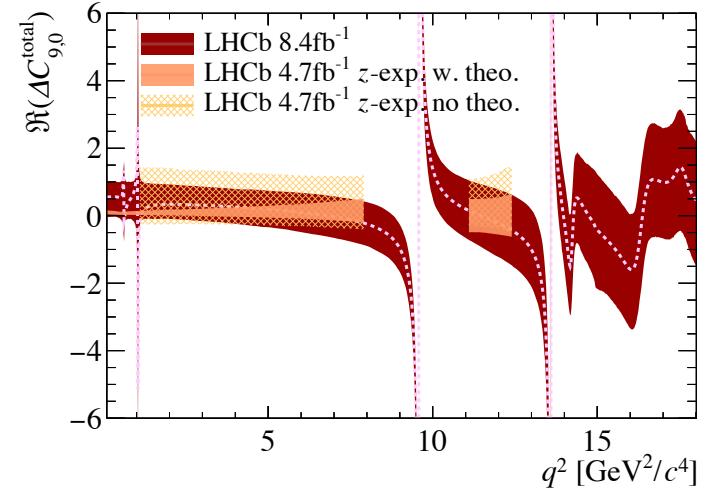
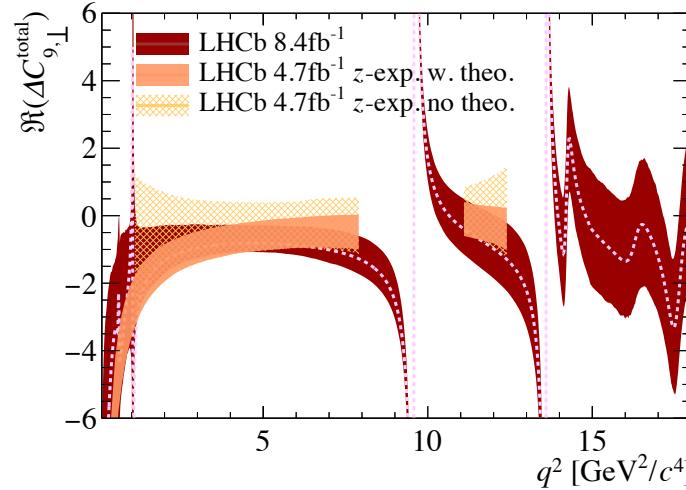
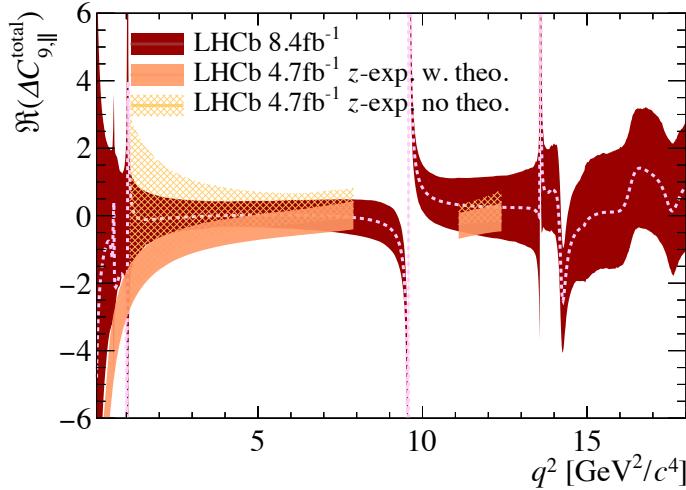
- For muonic decay:
  - $p_{B_z} = \frac{m_B}{m_Y} p_{Y_z}$
  - $p_B$  direction aligns with the vector connecting  $B$  decay vertex and associated PV
- For hadronic  $\tau$  decay:
  - Four-momentum conservation
  - Constraints of  $\tau$  and  $B$  known masses
  - $p_B$  direction aligns with the vector connecting  $B$  vertex and associated PV
  - $p_\tau$  direction aligns with the vector connecting  $\tau$  and  $B$  vertices
  - Solve equations to determine missing momentum with two-fold ambiguity

# Local form factors interpolation

- Comparison between prior and posterior

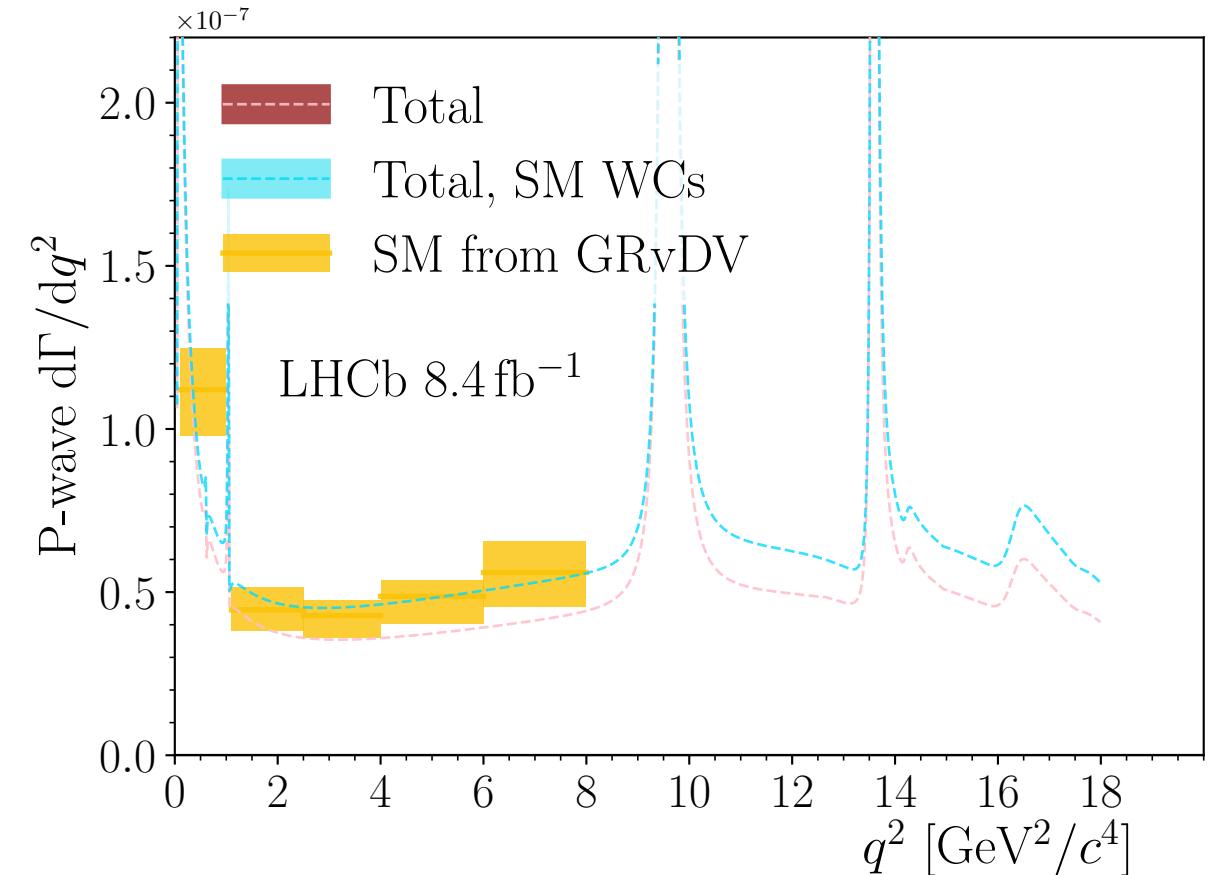
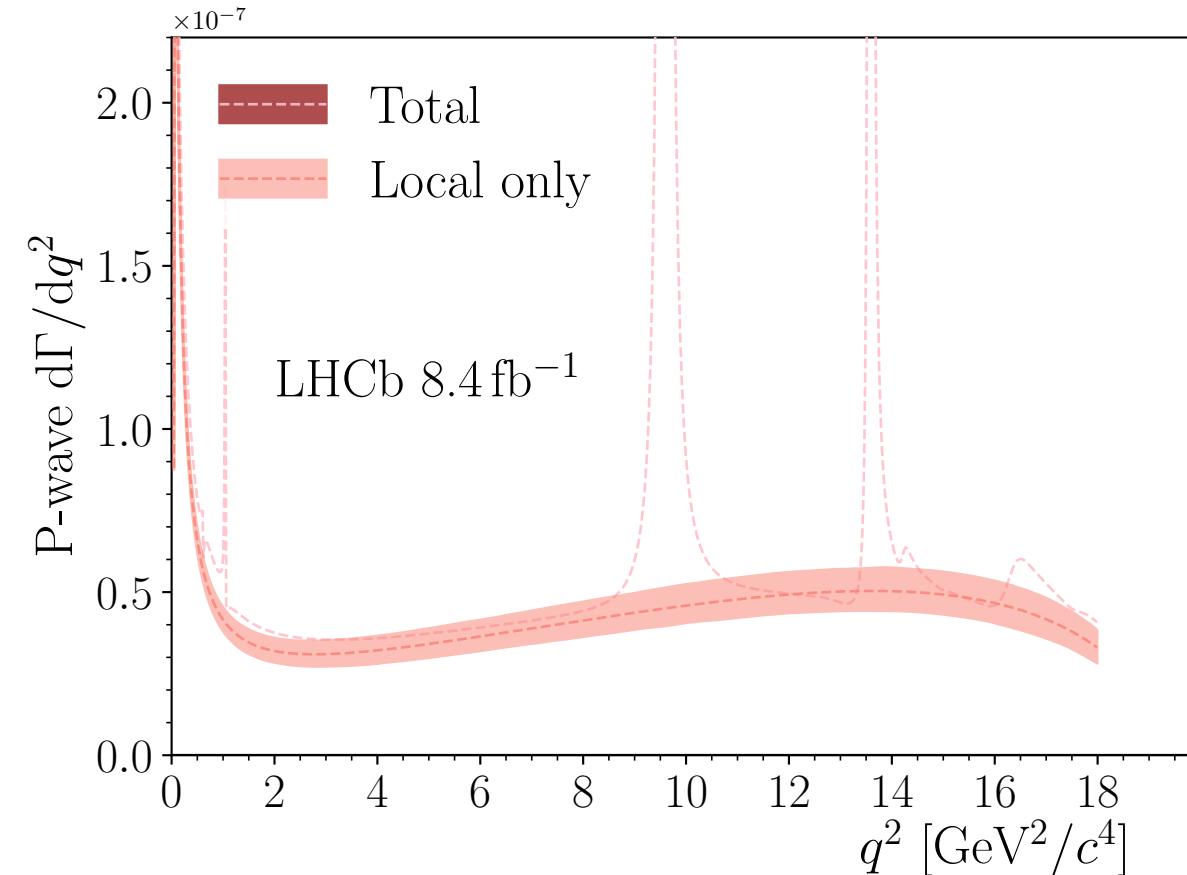


# Impact of non-local amplitudes on the WCs, shown per helicity

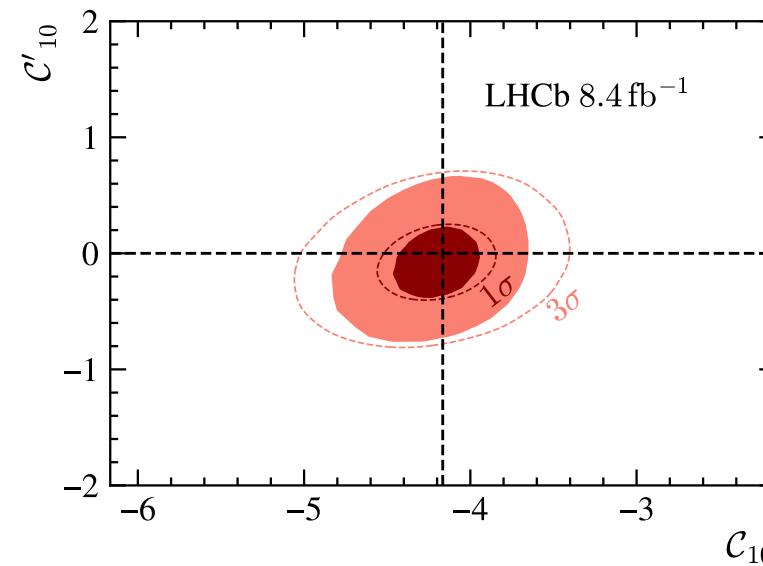
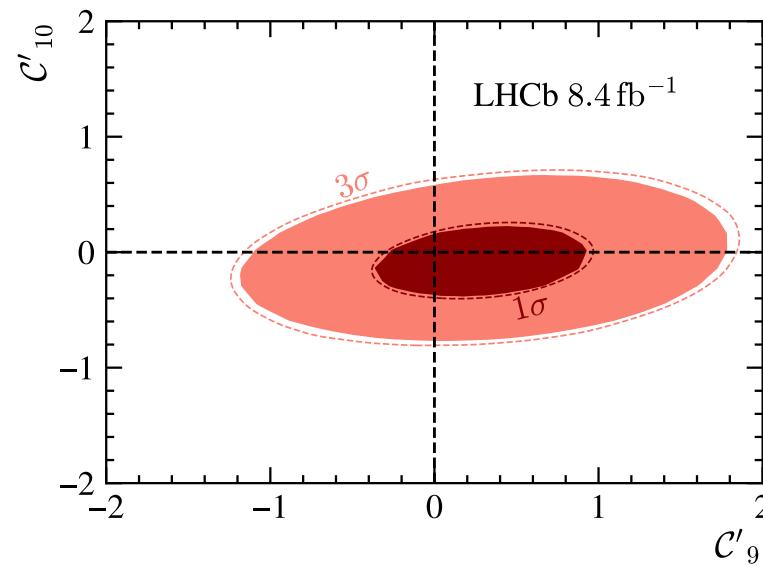
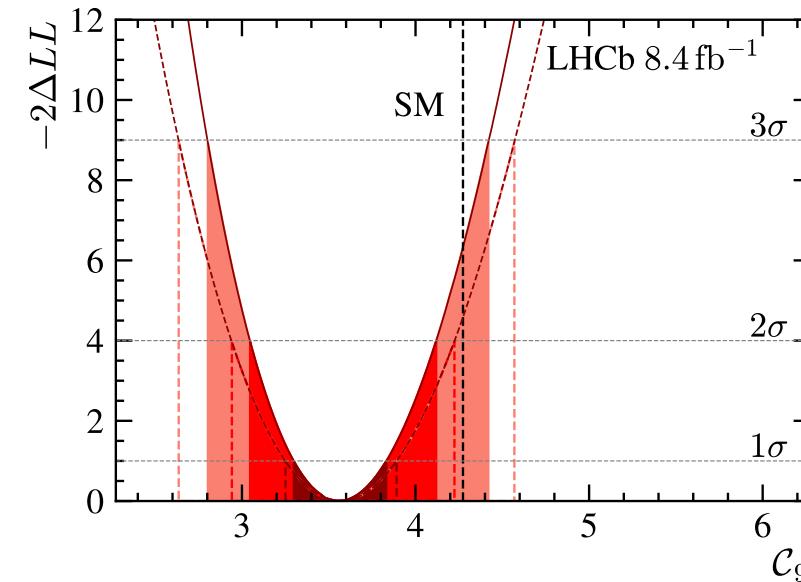
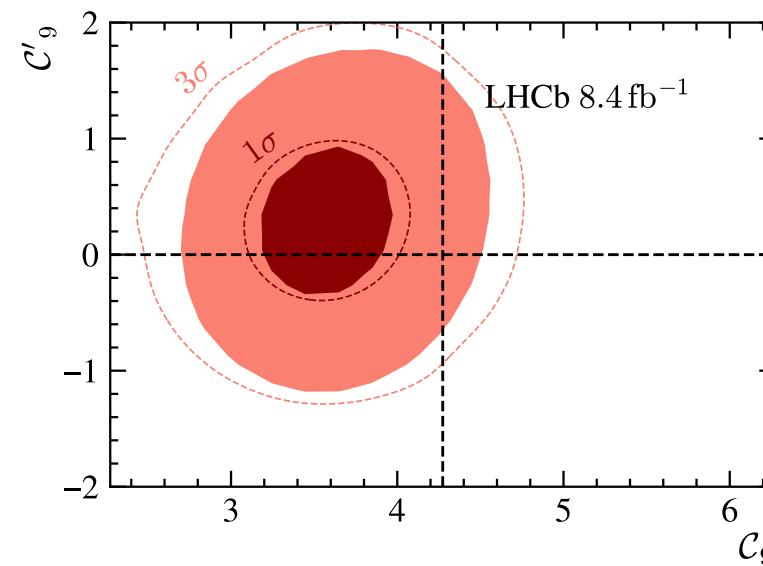
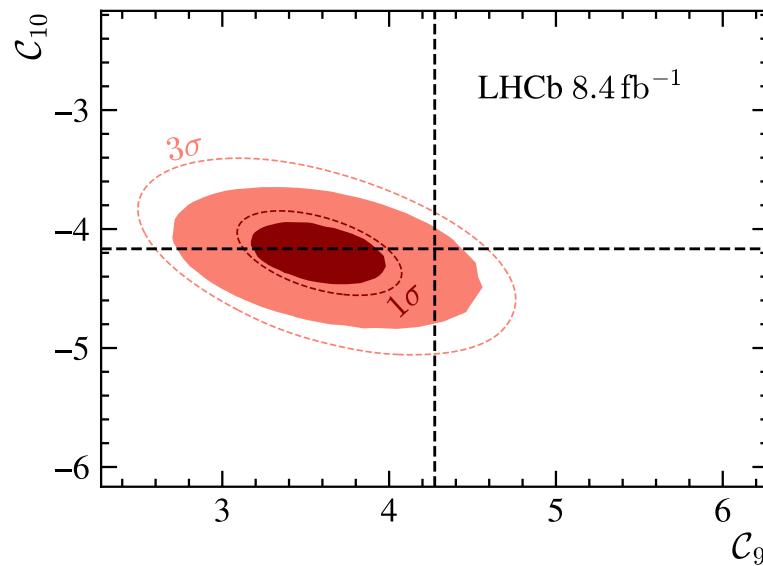


# P-wave differential BF

- Cyan: Fixing experimental local results to SM predictions:
  - Cyan and yellow: impact of non-local modelling
  - Cyan and red: impact of possible NP



# Wilson coefficients results




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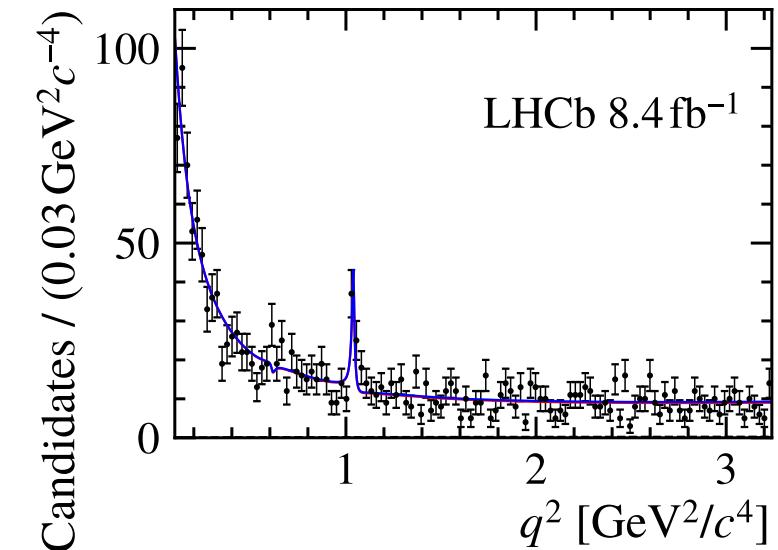
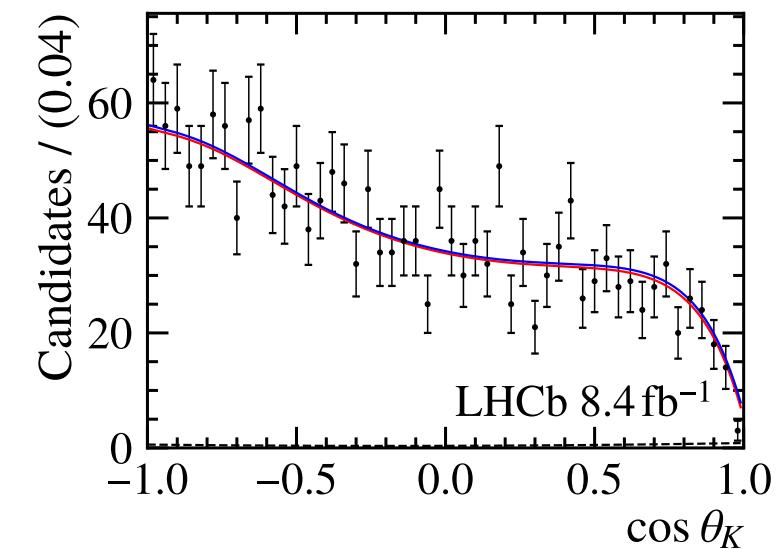
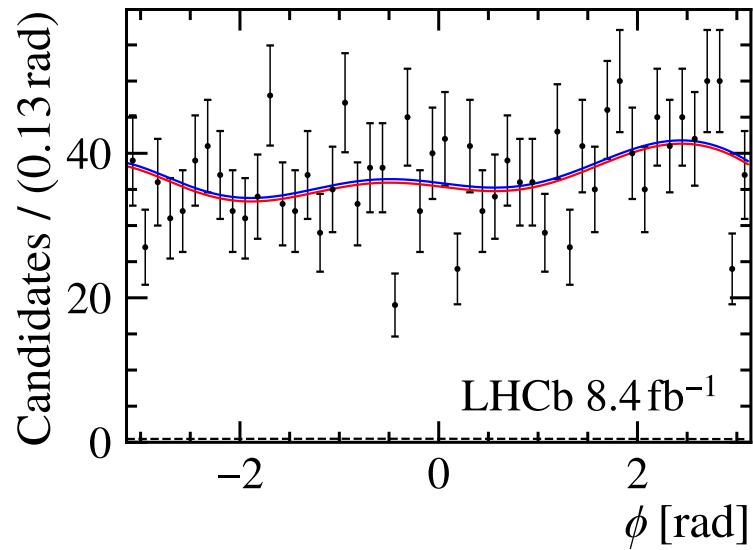
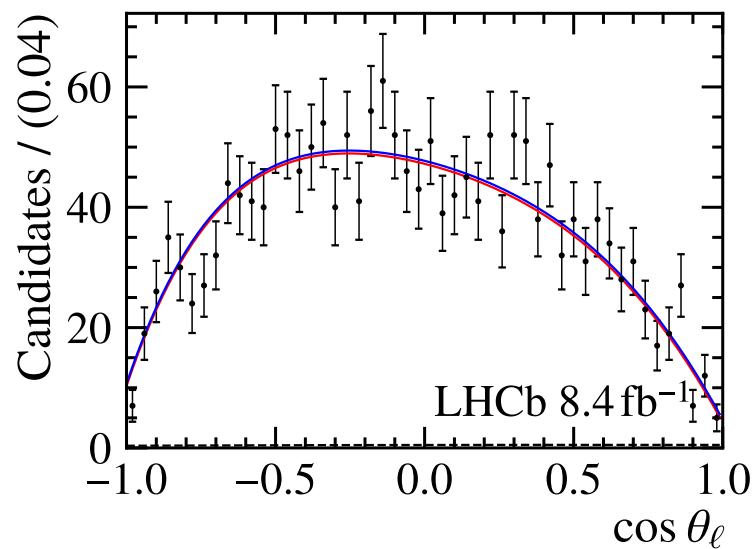
## Wilson Coefficient results

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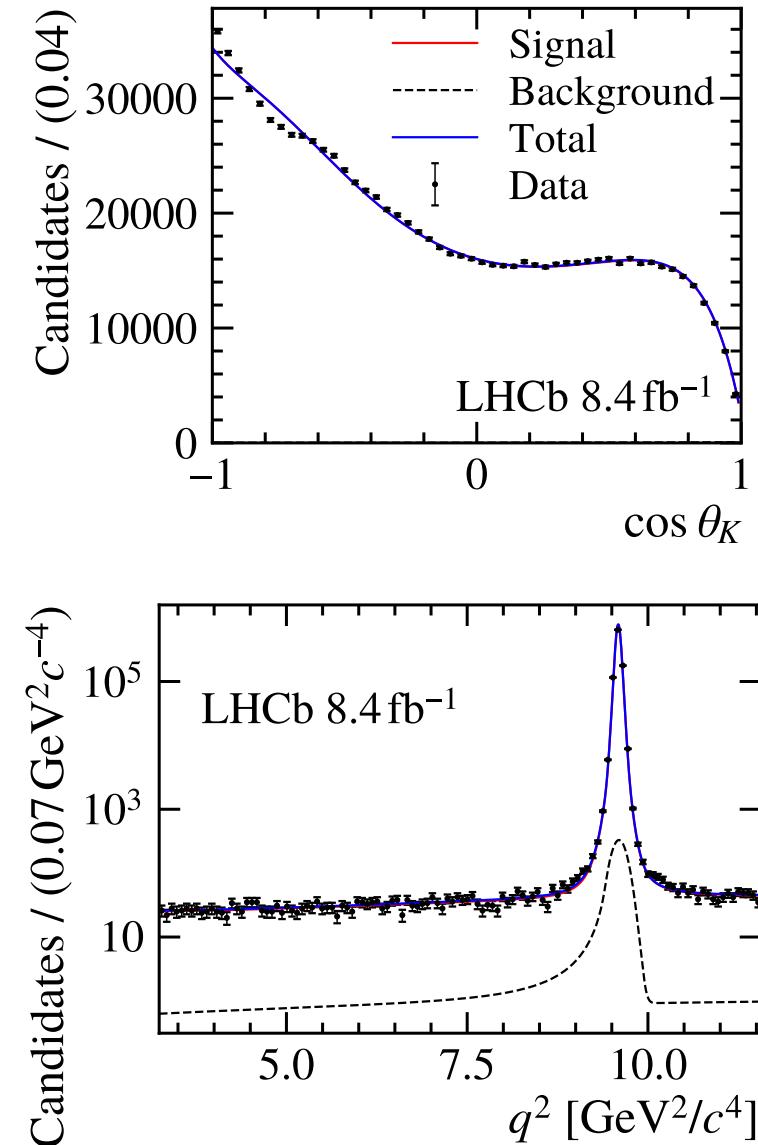
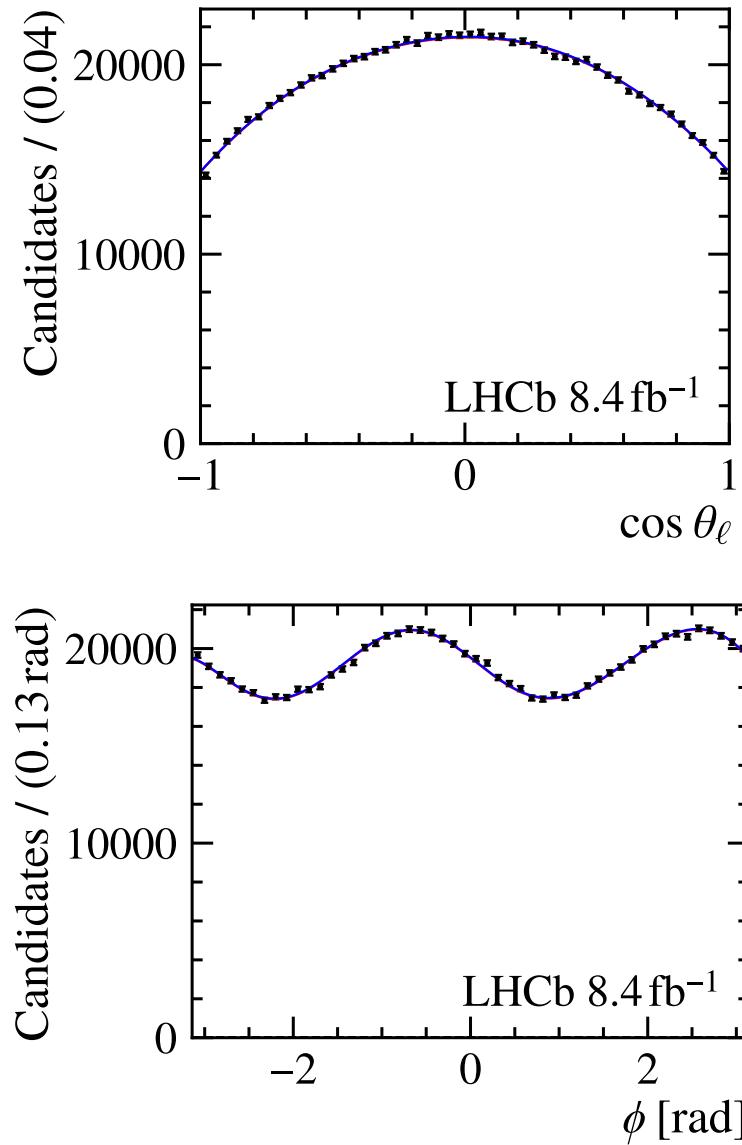
$C_9$	$3.56 \pm 0.28 \pm 0.18$
$C_{10}$	$-4.02 \pm 0.18 \pm 0.16$
$C'_9$	$0.28 \pm 0.41 \pm 0.12$
$C'_{10}$	$-0.09 \pm 0.21 \pm 0.06$
$C_{9\tau}$	$(-1.0 \pm 2.6 \pm 1.0) \times 10^2$

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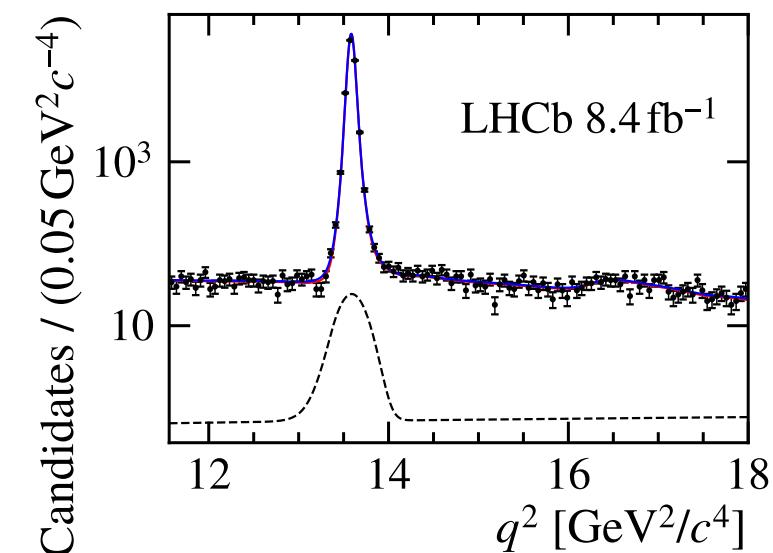
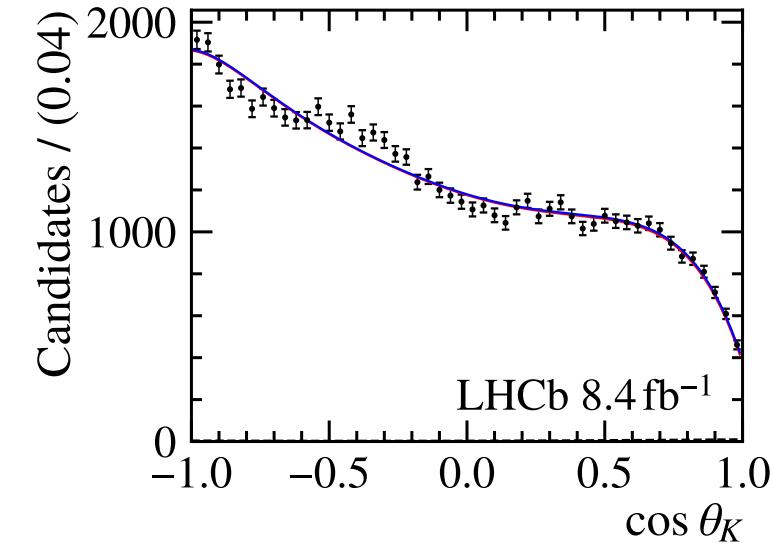
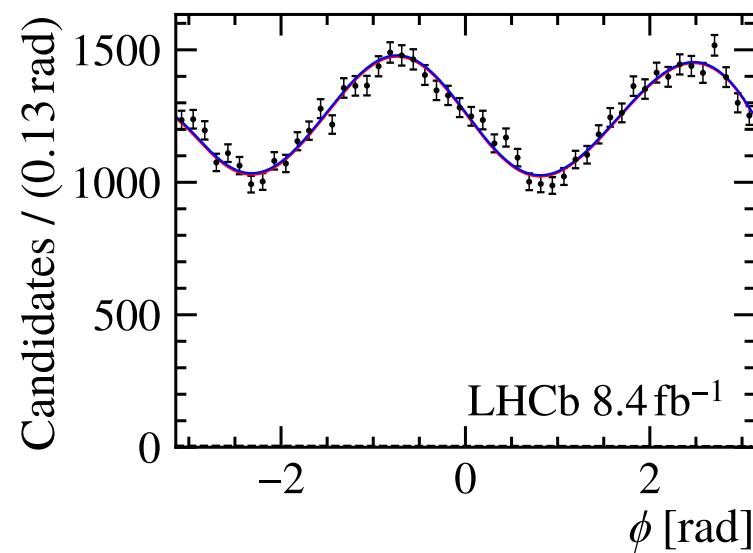
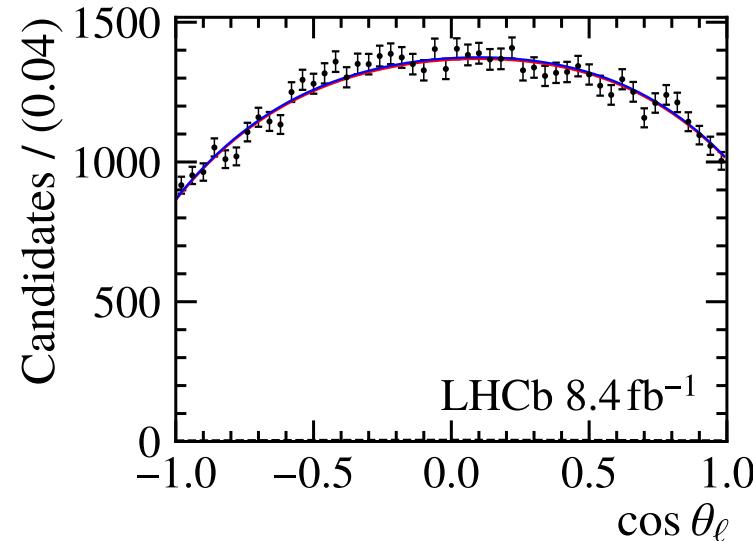
# Angular fit: low- $q^2$



# Angular fit: central- $q^2$



# Angular fit: high- $q^2$



# Z-expansion: another cool method!

## Z-expansion

[Phys. Rev. Lett. 132 (2024) 131801]  
 [Phys. Rev. D 109 (2024) 052009]

**Decay rate:**  $\frac{d^5\Gamma[B^0 \rightarrow K^{*0} \mu^+ \mu^-]}{dq^2 dk^2 d\vec{\Omega}} = \frac{9}{32\pi} \sum_i I_i(q^2, k^2) f_i(\vec{\Omega})$

$$I_i \propto (\mathcal{A}_{\lambda_1} \mathcal{A}_{\lambda_2}^*)$$

$$\mathcal{A}_{\lambda}^{L,R} = \mathcal{N}_{\lambda} \left\{ \underbrace{[(C_9 \pm C'_9) \mp (C_{10} \pm C'_{10})]}_{\text{WCs}} \underbrace{\mathcal{F}_{\lambda}(q^2)}_{\text{FFs}} + \frac{2m_b M_B}{q^2} \underbrace{[(C_7 \pm C'_7)]}_{\text{WCs}} \underbrace{\mathcal{F}_{\lambda}^T(q^2)}_{\text{FFs}} - 16\pi^2 \frac{M_B}{m_b} \underbrace{\mathcal{H}_{\lambda}(q^2)}_{\text{non-local}} \right\}$$

Fit params.  
 Constrained ext. inputs.  
 Fixed to SM  
 Constrained ext. inputs.

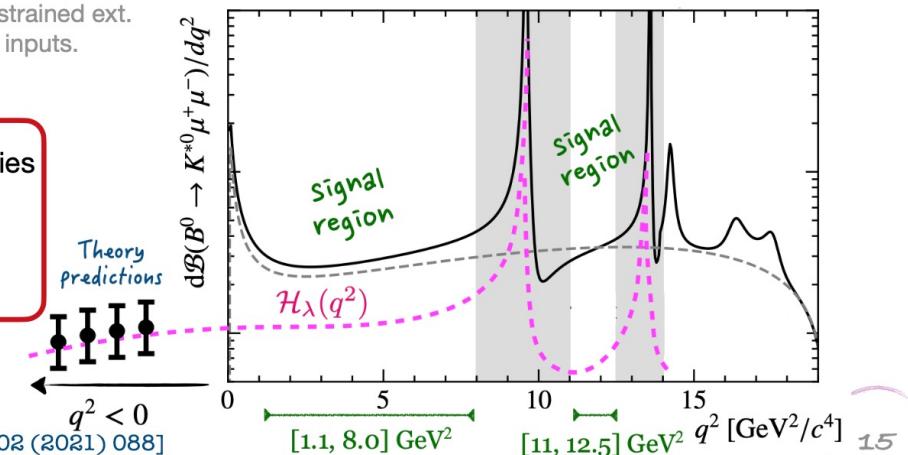
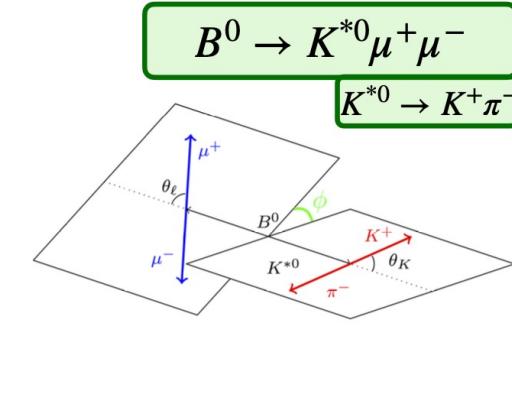
Map  $q^2$  into  $z(q^2)$ , remove the poles and expand into a converging series

$$\mathcal{H}_{\lambda}(z) = \frac{1 - z z_{J/\psi}^*}{z - z_{J/\psi}} \frac{1 - z z_{\psi(2S)}^*}{z - z_{\psi(2S)}} \times \dots \times \sum_n \alpha_{\lambda,n} z^n$$



M. Vieites Diaz (CERN)

[JHEP 02 (2021) 088]



# First $B$ decays plots of 2024

[LHCb-FIGURE-2024-007](#)