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# Rare and forbidden decays at LHCb

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on behalf of the LHCb collaboration

<u>12<sup>th</sup> Edition of the Large Hadron</u> <u>Collider Physics Conference</u>



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### Rare and forbidden decays

Decays of *c* and *b* hadrons occurring via penguin or box diagrams in the Standard Model

- FCNC processes, suppressed by small size of off-diagonal CKM elements and GIM mechanism
- Sensitive to non-Standard Model contributions
- Offer multiple tests of the SM (challenge is  $\mathcal{B} \leq 10^{-6}$ )
- Can also search for processes forbidden in SM

#### This talk

Recent results exploiting run I + II data set (9  $fb^{-1}$ ) on searches for

- Nonresonant  $B_c^+ \rightarrow \pi^+ \mu^+ \mu^-$  decays <u>EPJ.C84(2024)468</u>
- $B_{(s)}^{*0} \rightarrow \mu^+ \mu^-$  decays (new!)
- $B_s^0 \to \phi \,\mu^{\pm} \tau^{\mp}$ decays (new!)

LHCb-CONF-2024-003

LHCb-PAPER-2024-006

**Examples from this talk** 



# Search for $B_c^+ \to \pi^+ \mu^+ \mu^-$ decays

#### EPJ.C84(2024)468

- Nonresonant decays occur via annihilation plus virtual  $\gamma/Z$  radiation
- Currently no theoretical predictions
- Studies of annihilation contributions only for  $B^{\pm}$  EPJ.C41.173(2005 JPCS.1690.012162
- First study of pure annihilation process for  $B_c^+$  meson decays

#### **Analysis Strategy**

- Reconstruct  $B_c^+ \to \pi^+ \mu^+ \mu^-$  decays
- Use a BDT and PID info against combinatorial background
- BDT trained to be performant irrespective of  $m(\mu^+\mu^-)$
- Sort candidates into  $q^2 = m(\mu^+\mu^-)^2$  intervals excluding  $J/\psi$  and  $\psi(2S)$  regions
- Perform maximum-likelihood fit to  $m(B_c^+)$  in each  $q^2$  interval



# Search for $B_c^+ \to \pi^+ \mu^+ \mu^-$

- Normalise to  $B_c^+ \to J/\psi(\mu^+\mu^-)\pi^+$  decays
- Efficiencies from simulation with data/MC corrections

$$R_{\pi^{+}\mu^{+}\mu^{-}/J/\psi\pi^{+}} \equiv \frac{\mathcal{B}(B_{c}^{+} \to \pi^{+}\mu^{+}\mu^{-})}{\mathcal{B}(B_{c}^{+} \to J/\psi\pi^{+})}$$
$$= \frac{N_{\pi^{+}\mu^{+}\mu^{-}}}{N_{J/\psi\pi^{+}}} \cdot \frac{\varepsilon_{J/\psi\pi^{+}}}{\varepsilon_{\pi^{+}\mu^{+}\mu^{-}}} \cdot \frac{\mathcal{B}(J/\psi \to \mu^{+}\mu^{-})}{\mathcal{B}(J/\psi \to \mu^{+}\mu^{-})} \text{Known}$$

- Nonresonant  $B_c^+ \to \pi^+ \mu^+ \mu^-$  simulated assuming a phasespace distribution
- Obtain model-independent results by assigning systematic uncertainty due to efficiency spread (largest syst. uncty.)
- Consider two extreme cases:
  - Dimuon system forms scalar state (unpolarised)
  - Dimuon system forms vector state (longitudinal pol.)
  - $\Rightarrow$  Difference considered as systematic uncertainty



### Search for $B_c^+ \to \pi^+ \mu^+ \mu^-$

Fit includes signal and combinatorial background



Separate  $q^2$  bins

 $\Rightarrow$  No signal observed in any of the  $q^2$  bins

All  $q^2$  bins combined

• Data

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LHCb

# Search for $B_c^+ \to \pi^+ \mu^+ \mu^-$

- Systematic uncertainties included as Gaussian constraints in the fits
- Limit on branching fraction obtained for each q<sup>2</sup> interval and for all intervals combined following Feldman-Cousins prescription
- First limit on the nonresonant decay mode!





$q^2$ interval	$R_{\pi^+\mu^+\mu^-/J/\psi\pi^+}$	UL at 90% CL	UL at 95% CL	
$0.1 < q^2 < 1.1 \mathrm{GeV}^2$	$(-0.2^{+}_{-3.5}^{+}_{-0.7}) \times 10^{-5}$	$1.3 \times 10^{-4}$	$2.1 \times 10^{-4}$	
$1.1 < q^2 < 8.0  \text{GeV}^2$	$(1.5^{+}_{-})^{7.9}_{-})^{+}2.3}_{-}\times10^{-5}$	$1.7{ imes}10^{-4}$	$2.2 \times 10^{-4}$	
$11.0 < q^2 < 12.5  {\rm GeV}^2$	$(-28.4^{+10.5}_{-16.1}) \times 10^{-5}$	$0.6 \times 10^{-4}$	$0.7{ imes}10^{-4}$	
$15.0 < q^2 < 35.0  {\rm GeV}^2$	$(0.2^{+11.5}_{-10.5}) \times 10^{-5}$	$1.9 \times 10^{-4}$	$2.3 \times 10^{-4}$	Limit for all $q^2$
All	$(-3.0^{+15.0}_{-13.8}) \times 10^{-5}$	$2.1 \times 10^{-4}$	$2.7 \times 10^{-4}$	intervals combined

Update of  $\mathcal{R}_{\psi(2S)/J/\psi}$  ratio

- Ratio of branching fractions between resonant modes used as cross check in  $B_c^+ \rightarrow \pi^+ \mu^+ \mu^-$  search
- Performed dedicated optimisation to update previous measurement PRD.87.071103
- For optimised selection

 $B_c^+ \rightarrow \psi(2S)\pi^+$  fit  $\frac{\mathcal{B}(B_c^+ \to \psi(2S)\pi^+)}{\mathcal{B}(B_c^+ \to J/\psi\pi^+)} =$ LHCb • Data 100 Candidates per 10 MeV **—** Total fit 9 fb<sup>-1</sup>  $B_c^+ \rightarrow \psi(2S)\pi^+$ 80  $\cdots B_c^+ \rightarrow \psi(2S)K^+$  $0.254 \pm 0.018 \,(\text{stat}) \pm 0.003 \,(\text{syst}) \pm 0.005 \,(\text{BF})$  $\blacksquare B_c^+ \rightarrow \psi(2S)\rho^+$ 60 ----- Combinatorial 40 Uncertainty on  $\mathcal{B}$  of  $\Rightarrow$  World's best measurement leptonic decays 20 EPJ.C84(2024)468 6200 6400  $m(\pi^+\mu^+\mu^-)$  [MeV]

Normalisation mode fit

6400

 $m(\pi^+\mu^+\mu^-)$  [MeV]

Data

— Total fit

 $B_c^+ \rightarrow J/\psi \pi^+$ 

 $\cdots B_c^+ \rightarrow J/\psi K^+$ 

-----  $B_c^+ \rightarrow J/\psi \rho^+$ 

····· Combinatorial

6600

6600

2000 F LHCb

6200

1800 ₽ 9 fb<sup>-1</sup>

1600

1400

1200

1000 800 600

400

200 0

Candidates per 10 MeV

Search for  $B_{(s)}^{*0} \rightarrow \mu^+ \mu^-$  decays



- $B_{(s)}^{*0} \rightarrow \mu^+ \mu^-$  decays can provide constraints on Wilson coeffs. complementary to  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  decays  $\Rightarrow$  not helicity suppressed
- Expect  $\mathcal{B}(B_s^{*0} \rightarrow \mu^+\mu^-) \lesssim 10^{-11}$  within SM <u>PRL.116.141801</u>
- High production rates of  $B_{(s)}^{*0}$ , but high background level for decays at collision point
- Most promising approach <u>EPJ.C82(2022)459</u>
- $\Rightarrow$  Search within  $B_c^+ \rightarrow B_{(s)}^{*0}\pi^+ \rightarrow \mu^+\mu^-\pi^+$  decay chain
- $\Rightarrow$  Exploit displaced vertex signature to suppress background
- $\Rightarrow$  Demonstrated in recent search for  $D^{*0} \rightarrow \mu^+\mu^-$  decays <u>EPJ.C83(2023)666</u>
- No nonresonant  $B_c^+ \rightarrow \pi^+ \mu^+ \mu^-$  background as previously shown





# Search for $B_{(s)}^{*0} \rightarrow \mu^+ \mu^-$ decays

#### **Analysis Strategy**

- Reconstruct  $B_c^+ \to \pi^+ \mu^+ \mu^-$  decays
- Use BDT, angular info and PID against combinatorial bkg.
- Same BDT as for nonresonant decays, but dedicated selection
- Perform 2D ML fit to  $m(\mu^+\mu^-)$  and  $m(\pi^+\mu^+\mu^-)$
- Normalise to  $B_c^+ \to J/\psi(\mu^+\mu^-)\pi^+$  decays
- Efficiencies from sim. corrected for data/MC discrepancies

$$\begin{aligned} \mathcal{R}_{B_{(s)}^{*0}(\mu^{+}\mu^{-})\pi^{+}/J/\psi\pi^{+}} &\equiv \frac{\mathcal{B}(B_{c}^{+} \to B_{(s)}^{*0}(\mu^{+}\mu^{-})\pi^{+})}{\mathcal{B}(B_{c}^{+} \to J/\psi\pi^{+})} \\ &= \frac{N_{B_{(s)}^{*0}\pi^{+}}}{N_{J/\psi\pi^{+}}} \left[ \frac{\varepsilon_{J/\psi\pi^{+}}}{\varepsilon_{B_{(s)}^{*0}\pi^{+}}} \right] \frac{\text{Known } \mathcal{B}}{\mathcal{B}(J/\psi \to \mu^{+}\mu^{-})} \end{aligned}$$

#### Normalisation mode fits



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# Search for $B_{(s)}^{*0} \rightarrow \mu^+ \mu^-$ decays

• Fit includes signal  $B_c^+ \to B^{*0}(\mu^+\mu^-)\pi^+$ 

and  $B_c^+ \to B_s^{*0}(\mu^+\mu^-)\pi^+$  decays, and combinatorial bkg.

No statistical dependency between signal yields



Number of events in 2D signal regions consistent with background-only expectation

Signal mode fit HCb preliminary Candidates per 10 MeV/ $c^3$ Data Total fit ······ Combinatorial 25  $B_c^+ \rightarrow B_s^{*0}(\mu^+\mu^-) \pi^+$  $B_c^+ \rightarrow B^{*0}(\mu^+\mu^-) \pi^+$ 10 6200 6400 6600  $m(\pi^{+}\mu^{+}\mu^{-})$  [MeV/c<sup>2</sup>] LHCb preliminary Candidates per 5 MeV/c<sup>2</sup> 9 fb<sup>-1</sup> 15 10 5300 5500 5400  $m(\mu^{+}\mu^{-})$  [MeV/ $c^{2}$ ] LHCb-CONF-2024-003

 $\Rightarrow$  No signal observed for both decay modes

Search for 
$$B_{(s)}^{*0} \to \mu^+ \mu^-$$
 decays

- Systematic uncertainties included as Gaussian constraints in signal mode fit
- Largest systematic due to data/MC discrepancies in muon impact parameters (but negligible impact)
- Results from fit to data

 $\mathcal{R}_{B^{*0}(\mu^+\mu^-)\pi^+/J/\psi\pi^+} = (-0.44^{+1.99}_{-1.12}) \times 10^{-5}$  $\mathcal{R}_{B^{*0}_s(\mu^+\mu^-)\pi^+/J/\psi\pi^+} = (0.43^{+2.45}_{-1.41}) \times 10^{-5}$ 

⇒ Upper limit on branching fraction based on Feldman-Cousins method

 $\mathcal{R}_{B^{*0}(\mu^+\mu^-)\pi^+/J/\psi\pi^+} < 3.8 (5.2) \times 10^{-5} \text{ at } 90 (95)\% \text{ CL}$  $\mathcal{R}_{B^{*0}_s(\mu^+\mu^-)\pi^+/J/\psi\pi^+} < 5.0 (6.3) \times 10^{-5} \text{ at } 90 (95)\% \text{ CL}$ 

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 $\Rightarrow$  Assuming (no measurement yet)

$$\frac{\mathcal{B}(B_c^+ \to B_s^* \pi^+)}{\mathcal{B}(B_c^+ \to J/\psi \pi^+)} \approx \left| \frac{V_{cs}}{V_{cb}} \right|^2 \approx 0.6 \cdot 10^3 \Longrightarrow \mathcal{B}(B_s^{*0} \to \mu^+ \mu^-) \lesssim 10^{-7}$$

**Confidence belts based on FC prescription** 



# Search for $B_s^0 \to \phi \, \mu^{\pm} \tau^{\mp}$

- Lepton flavour violating decay
- Possible in SM with neutrino oscillation ( $\mathcal{B} \leq 10^{-50}$ )
- First search for this decay

#### **Analysis Strategy**

- Reconstruct  $B_s^0 \to \phi \quad \mu^{\pm} \tau^{\mp}$  $\downarrow K^+ K^- \downarrow 3\pi^{\pm}(\pi^0) \nu_{\tau}$
- Reconstruct  $B_s^0$  mass using kinematic fit constraining  $\tau$  direction (using collision point,  $\phi \mu^{\pm}$  vertex and  $3\pi^{\pm}$  vertex),  $\tau$  mass and  $\nu_{\tau}$  mass
- Use a BDT against combinatorial background
- Use a second BDT against partially reconstr. *b*-hadron decays
- Use PID info and veto background from D decays



Search for 
$$B_s^0 \to \phi \, \mu^{\pm} \tau^{\mp}$$

- Normalisation mode is  $B_s^0 \to \phi(K^+K^-) \psi(2S)(J/\psi \pi^+\pi^-)$  $\downarrow \mu^+\mu^-$
- Relative efficiencies from simulation with data/MC corrections
- Largest systematic uncertainty originates from known branching fractions



$$N_{\rm exp} = \frac{\mathcal{B}(\tau^- \to \pi^- \pi^+ \pi^- \nu_{\tau})}{\mathcal{B}(B_s^0 \to \psi(2S)\phi)\mathcal{B}(\psi(2S) \to J/\psi\pi^+\pi^-)\mathcal{B}(J/\psi \to \mu^+\mu^-)} \varepsilon_{\rm rel.,3\pi} N_i(\psi(2S)\phi) \times \mathcal{B}(B_s^0 \to \phi\mu^+\tau^-)$$
  
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# Search for $B_s^0 \to \phi \, \mu^{\pm} \tau^{\mp}$

#### Signal mode fit

- Partially reconstructed *b*-hadron decays (dominant background) modelled by smooth distribution
- ⇒ Choice of model treated as discrete nuisance parameter
- Misidentified  $B \to \overline{D}\phi \pi^+_{\to\mu^+}$  decays (peaking) modelled and constrained using info from simulation, control data and knowledge on inclusive  $\overline{D} \to \pi^- \pi^+ \pi^- X$  decays from BESIII PRD107(2023)032002

PRD108(2023)032002



 $\Rightarrow$  No significant signal observed in any fit configuration

# Search for $B_s^0 \to \phi \, \mu^{\pm} \tau^{\mp}$

- Systematic uncertainties included as Gaussian constraints in signal fit
- No excess observed over background-only hypothesis
- Upper limits based on Feldman-Cousins prescription

$$\begin{split} &\mathcal{B}(B^0_s \!\to\! \phi \mu^{\pm} \tau^{\mp}) < 1.0 \times 10^{-5} \text{ at } 90\% \text{ CL} \\ &\mathcal{B}(B^0_s \!\to\! \phi \mu^{\pm} \tau^{\mp}) < 1.1 \times 10^{-5} \text{ at } 95\% \text{ CL} \end{split}$$

- $\Rightarrow$  First upper limit on this decay mode
- $\Rightarrow$  Comparable sensitivity with other  $b \rightarrow s \tau \mu$  searches



### Summary and outlook

- Extremely rare and forbidden decays offer multiple constraints to non-SM contributions
- Presented three new first searches for
  - Nonresonant  $B_c^+ \rightarrow \pi^+ \mu^+ \mu^-$  decays <u>EPJ.C84(2024)468</u>
  - $B_{(s)}^{*0} \rightarrow \mu^+ \mu^-$  decays New for
  - $B_s^0 \to \phi \ \mu^{\pm} \tau^{\mp} \text{ decays} \ LHCP!$
- Other recent searches (not covered in this talk)
  - $B \to D\mu^+\mu^-$  decays
  - $\Lambda_c^+ \to p \mu^+ \mu^-$  decays
  - $B_s^0 \to \mu^+ \mu^- \gamma$  decays

<u>JHEP02(2024)032</u> <u>LHCb-PAPER-2024-005</u> <u>LHCb-PAPER-2023-045</u>

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- Still to come (exploiting run I + II):
  - $\Sigma^+ \rightarrow p \ \mu^+ \mu^-$  (LHCB-CONF-2024-002),  $\tau \rightarrow 3\mu$ , ...
- LHCb Upgrade I (runs 3 4) started taking data (expect ~50 fb<sup>-1</sup> by 2032) and will continue making measurements



# Backup

### The LHCb experiment

- Single-arm forward spectrometer optimised for studies of beauty and charm hadrons
- Large cross sections:  $\sigma_{b\bar{b}} \approx 280 (500) \,\mu b$ ,  $\sigma_{c\bar{c}} \approx 1500 (3000) \,\mu b$  at 7(13) TeV



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JHEP10(2015)172

JHEP03(2016)159

# Searches for $B_{(s)}^0 \to \mu^+ \mu^-$ decays

- Helicity suppressed FCNC, precise SM predictions
- $\mathcal{B}(B_s^0 \to \mu^+ \mu^-)$  measured by ATLAS, CMS and LHCb
- Statistically limited, largest systematic uncertainty originates from fragmentation fraction  $f_s/f_d$  <u>PRD.104.032005</u>
- $B^0 \rightarrow \mu^+ \mu^-$  still unobserved, but in reach







Search for  $B_c^+ \to \pi^+ \mu^+ \mu^-$  decays



## Search for $B \rightarrow D\mu^+\mu^-$ decays

- Nonresonant signal decays selected in the range  $q^2 < 8.0 \text{ GeV}^2$  to avoid all charmonium
- Charmonium region selected narrowly around  $J/\psi$
- Yields for signal and backgrounds vary freely
- Use  $B^0 \rightarrow J/\psi(\mu^+\mu^-) K^*(K^+\pi^-)$  for normalisation
- Keep separate fragmentation fraction  $f_c/f_u$  <u>PRD.100.112006</u>

$$\frac{f_c}{f_u} \cdot \mathcal{B} \left( B_c^+ \to D_s^+ J/\psi \right) = (1.63 \pm 0.15 \pm 0.13) \times 10^{-5}$$
$$\frac{\mathcal{B} \left( B_c^+ \to D_s^{*+} J/\psi \right)}{\mathcal{B} \left( B_c^+ \to D_s^+ J/\psi \right)} = 1.91 \pm 0.20 \pm 0.07$$
$$\Gamma_{\pm\pm}/\Gamma_{\text{tot}} = 0.50 \pm 0.11$$

 $\Rightarrow$  World's best results



 $m(D_c^+J/\psi)$  [MeV/c<sup>2</sup>]

## Search for $B \rightarrow D\mu^+\mu^-$ decays

No signal observed for all other modes 16日 9 fb<sup>-1</sup> Branching fraction Upper limits 90% CL 95% CL  $\mathcal{B}\left(B^0 \to \overline{D}{}^0 \mu^+ \mu^-\right)$  $4.0 \times 10^{-8}$   $5.1 \times 10^{-8}$  $\mathcal{B}(B^+ \to D_s^+ \mu^+ \mu^-)$  $2.4 \times 10^{-8}$   $3.2 \times 10^{-8}$  $\mathcal{B}\left(B_s^0 \to \overline{D}{}^0 \mu^+ \mu^-\right)$  $1.2 \times 10^{-7}$   $1.6 \times 10^{-7}$ 5000 5200  $f_c/f_u \cdot \mathcal{B} \left( B_c^+ \to D_s^+ \mu^+ \mu^- \right) \quad 7.5 \times 10^{-8} \quad 9.6 \times 10^{-8}$  $9.6 \times 10^{-7}$   $1.1 \times 10^{-6}$  $\mathcal{B}(B^0 \to \overline{D}{}^0 J/\psi)$  $\mathcal{B}(B^+ \to D^+_s J/\psi)$  $2.8 \times 10^{-7}$   $3.5 \times 10^{-7}$  $\mathcal{B}\left(B_s^0 \to \overline{D}{}^0 J/\psi\right)$  $1.0 \times 10^{-6}$   $1.5 \times 10^{-6}$ LHCb

- $\Rightarrow$  First limits or improvements  $\ge$  3 orders of magnitude
- SM predictions  $\sigma(10^{-5} 10^{-8})$

PRD65.2002.037504 NP.B612.2001.25 PTEP.2020.053B07



# Search for $\Lambda_c^+ \to p \mu^+ \mu^-$ decays

- SM predictions:  $\begin{array}{l} \sigma(10^{-8}) \text{ (short distance)} \\ \sigma(10^{-6}) \text{ (long distance)} \end{array}$  JHEP09(2021)208
- Search for nonresonant decays in ranges
  - $m(\mu^+\mu^-) < 508 \text{ MeV}/c^2$
  - $m(\mu^+\mu^-) > 1060 \text{ MeV}/c^2$
- Normalise to  $\Lambda_c^+ \to \phi(\mu^+\mu^-) p$  decays
- No excess observed over background-only hypothesis
- ⇒ Set upper limit extrapolated to full  $m(\mu^+\mu^-)$  range (assuming phase-space distribution)

$$\mathcal{B}(\Lambda_c^+ \to p \mu^+ \mu^-) < 7.3 \ (8.2) \times 10^{-8} \text{ at } 90\% \ (95\%) \text{ CL}$$

 $\Rightarrow$  Best upper limit on this decay mode

LHCb-PAPER-2024-005



# Search for $B_s^0 \to \mu^+ \mu^- \gamma$ decays

- No helicity suppression wrt.  $B_s^0 \rightarrow \mu^+ \mu^-$  decays, compensating for QED vertex
- SM prediction  $\sigma(10^{-9})$  <u>JHEP11(2017)184</u>
- First search as part. reconstructed bkg. for <u>PRL128.041801</u>  $B_s^0 \rightarrow \mu^+ \mu^-$  decays at  $m(\mu^+ \mu^-) > 4.9 \text{ GeV}/c^2$
- Reconstruct  $\gamma$  and perform search in three  $q^2$  bins
- Normalise to  $B_s^0 \to J/\psi \eta(\gamma \gamma)$  decays
- No excess observed over background-only hypothesis

$$\Rightarrow \qquad \mathcal{B}(B_{s}^{0} \to \mu^{+} \mu^{-} \gamma)_{\mathrm{I}} < 3.6 (4.2) \times 10^{-8} \\ \mathcal{B}(B_{s}^{0} \to \mu^{+} \mu^{-} \gamma)_{\mathrm{II}} < 6.5 (7.7) \times 10^{-8} \\ \mathcal{B}(B_{s}^{0} \to \mu^{+} \mu^{-} \gamma)_{\mathrm{III}} < 3.4 (4.2) \times 10^{-8} \\ \mathcal{B}(B_{s}^{0} \to \mu^{+} \mu^{-} \gamma)_{\mathrm{I, with } \phi \text{ veto}} < 2.9 (3.4) \times 10^{-8} \\ \mathcal{B}(B_{s}^{0} \to \mu^{+} \mu^{-} \gamma)_{\mathrm{comb.}} < 2.5 (2.8) \times 10^{-8} \\ \mathcal{LHCb-PAPER-2023-045}$$







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### Overview of rare decays

Expected level of suppression

$10^{-5}$	• Radiative $b \rightarrow s\gamma$		
$10^{-6}$	• Semileptonic $b \rightarrow s\ell\ell$		
10 <sup>-9</sup>	• Helicity suppressed $B_s^0 \rightarrow \mu^+ \mu^-$		
10 <sup>-12</sup>	• $K_{\rm s}^0 \rightarrow \mu^+ \mu^- ({\rm s} \rightarrow d)$		
	• $D^0 \rightarrow \mu^+ \mu^-$ , long distance $(c \rightarrow u)$		
$10^{-19}$	• $D^{(*)0} \rightarrow \mu^+ \mu^-$ , short distance $(c \rightarrow u)$		
$10^{-30}$	Baryon number violation		
$10^{-50}$	Lepton flavor violation		