

# Heavy-flavor production and hadronization at the LHC : experimental status and perspectives from LHC experiments

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On behalf of the ALICE, ATLAS, CMS and LHCb collaborations

**Large Hadron Collider Physics conference 2024**



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**FSP ALICE**  
Erforschung von  
Universum und Materie

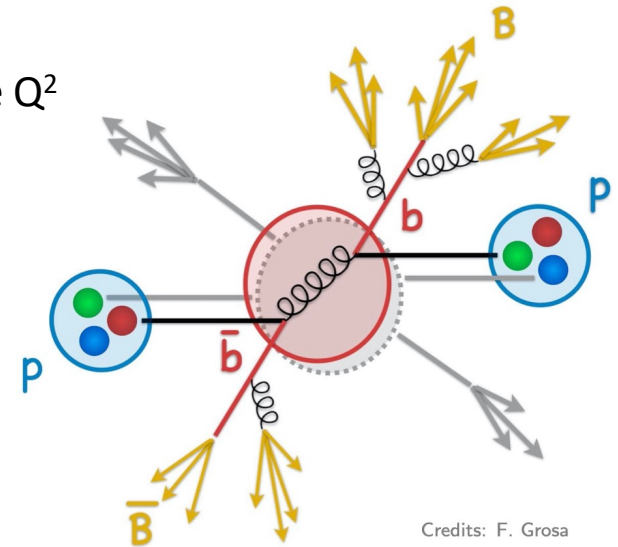
# Introduction – Heavy flavors

- Heavy-flavor hadrons contain one heavy c or b quark
- Because of their large masses ( $m_b > m_c \gg \Lambda_{\text{QCD}}$ ), they have a short formation time and experience the whole medium evolution
- Heavy quarks are produced in initial hard scattering with moderate to large  $Q^2$   
→ their production can be described with perturbative QCD calculations

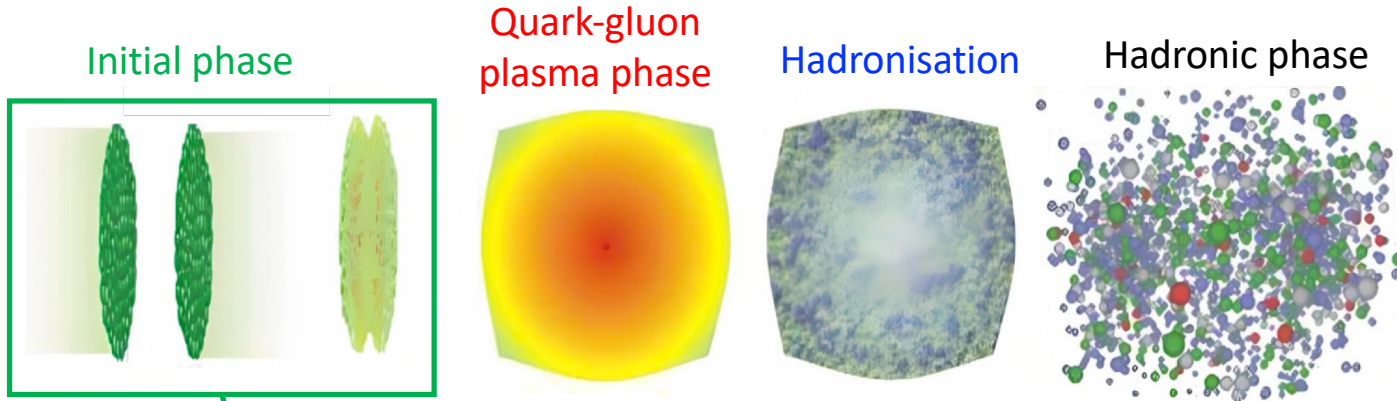
- The production can be described with the factorization approach :

$$\int \sigma_{(AB \rightarrow CX)} \propto PDF(x_a, Q^2) PDF(x_b, Q^2) \otimes \sigma_{(ab \rightarrow cd)} \otimes D_c^C(z_c, Q^2)$$

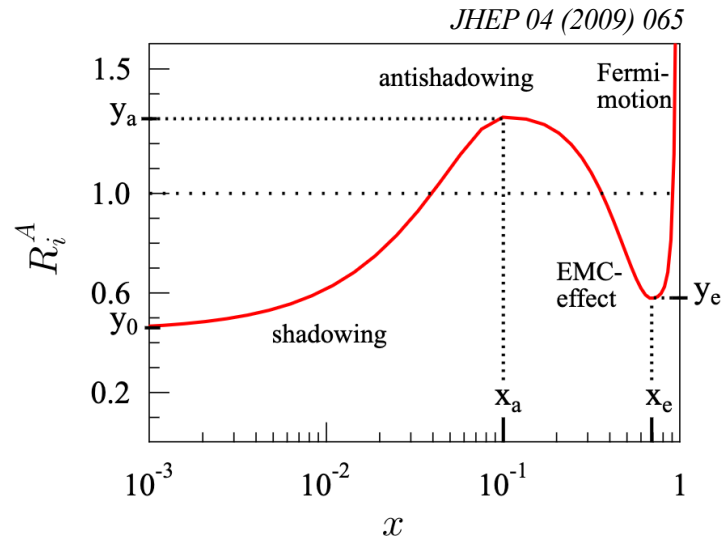
- Parton distribution functions (non perturbative)
  - Partonic cross section (perturbative)
  - Fragmentation functions (non perturbative)
- Fragmentation functions are assumed to be universal across collision systems



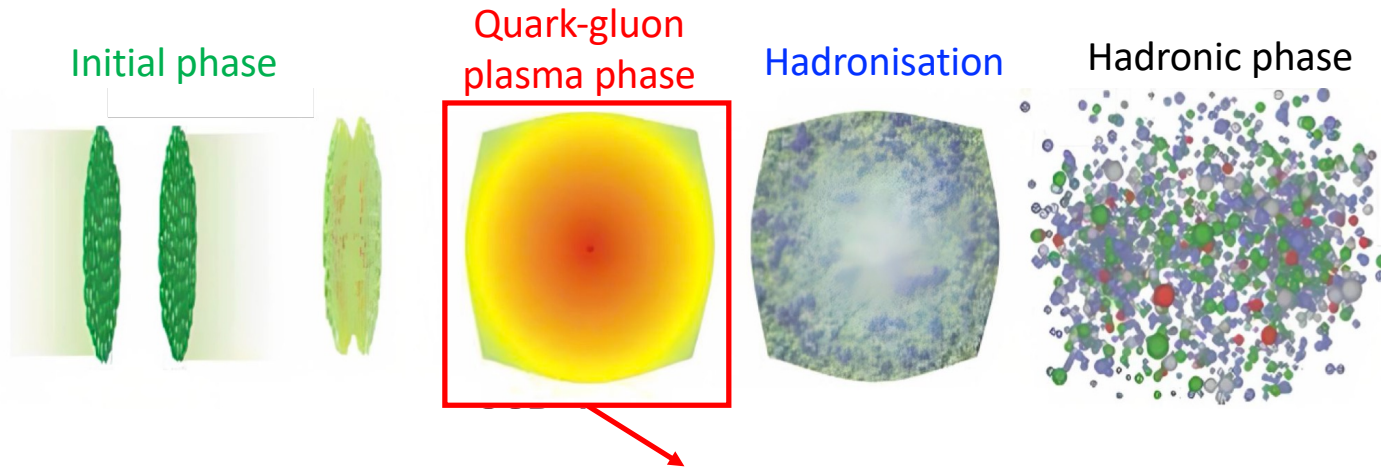
# Introduction – What can we measure and learn?



- Initial State effects :
- Saturation
  - Modification of PDFs
  - ...



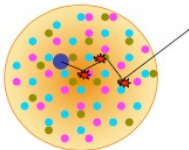
# Introduction – What can we measure and learn?



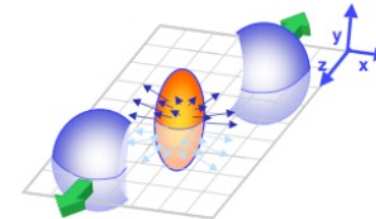
In medium effects :

- Energy loss : Interaction of heavy quarks with the medium

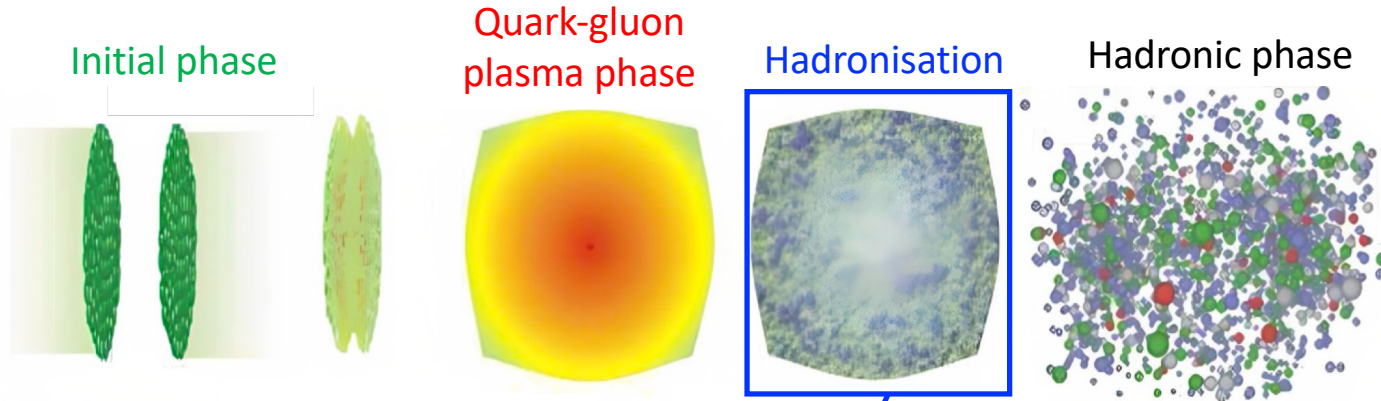
$$R_{AA} = \frac{Y_{AA}}{N_{\text{coll}} \cdot Y_{pp}}$$



Collectivity: elliptic flow, triangular flow, angular correlations...

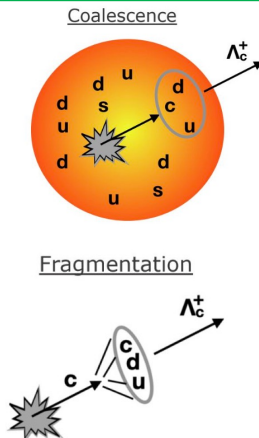


# Introduction – What can we measure and learn?



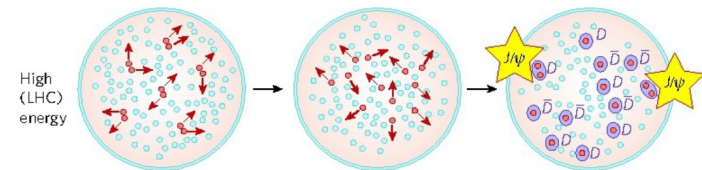
## Mechanisms

- Coalescence: combination of quarks close in phase space
- Fragmentation: "break up" of charm quark



## Models

- Statistical hadronization : charm quarks distributed to hadrons according to thermal weights

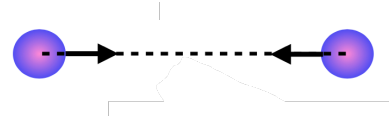


# Introduction – Different collision systems

- Measurements in different collision size allow to investigate several properties

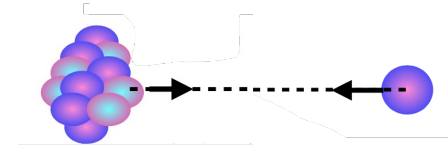
- Proton-proton collisions :

- Measurement of fragmentation fraction
- Test of pQCD models regarding hadron formation



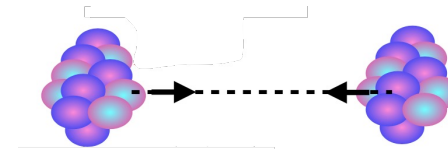
- Proton-Nucleus collisions :

- Initial state effects
- Interplay between soft and hard process



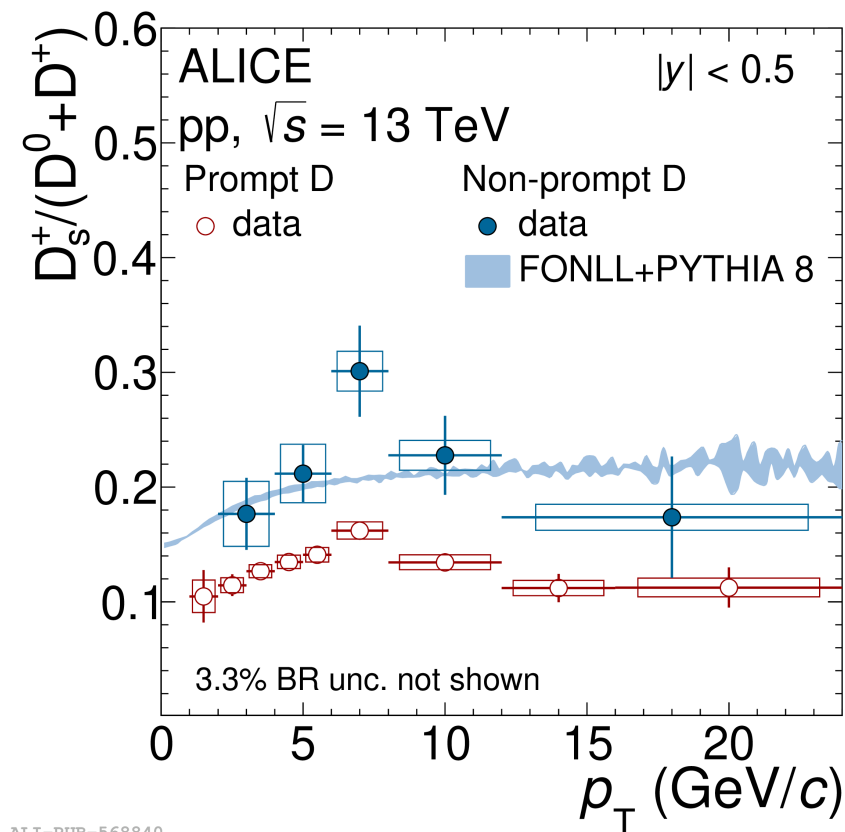
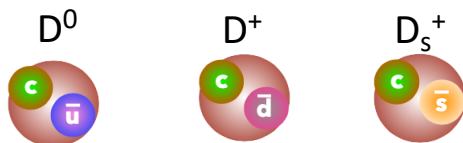
- Nucleus-Nucleus collisions

- Properties of the quark-gluon plasma (QGP)
- Final state effects



# D-meson production in pp collisions

- Understanding the hadronization mechanism is necessary as enhancement in the strangeness is expected in QGP
- Prompt strange-to-non-strange meson ratio exhibit an increasing trend as a function of  $p_T$  up to  $\sim 8$  GeV/c
- No significant trend visible in the non-prompt case
- FONLL calculation describe the data in the  $p_T$  range



ALI-PUB-568840

FONLL, JHEP 10 (2012) 137

# D-meson production in pp collisions

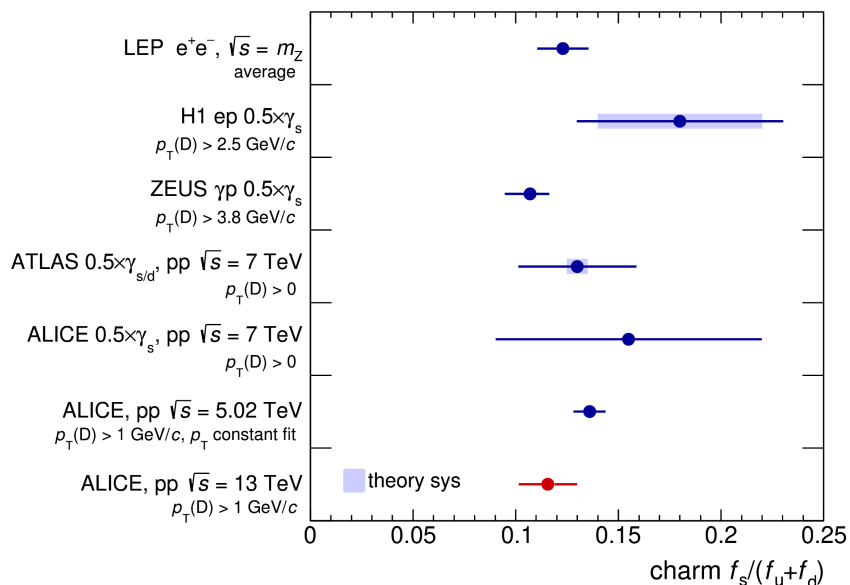


ALICE

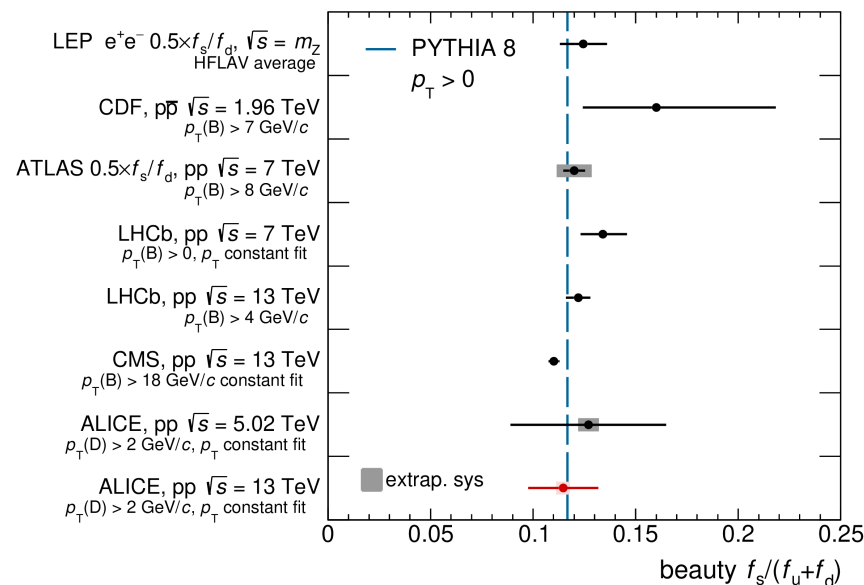
ALICE, JHEP 12 (2023) 086

ALICE, arxiv:2402.16417

- Results are compatible with the values found for  $e^+e^-$  collisions  
 → Indicates universality of the fragmentation function for mesons

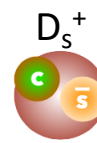
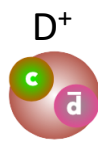
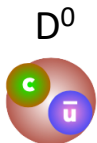


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Prompt

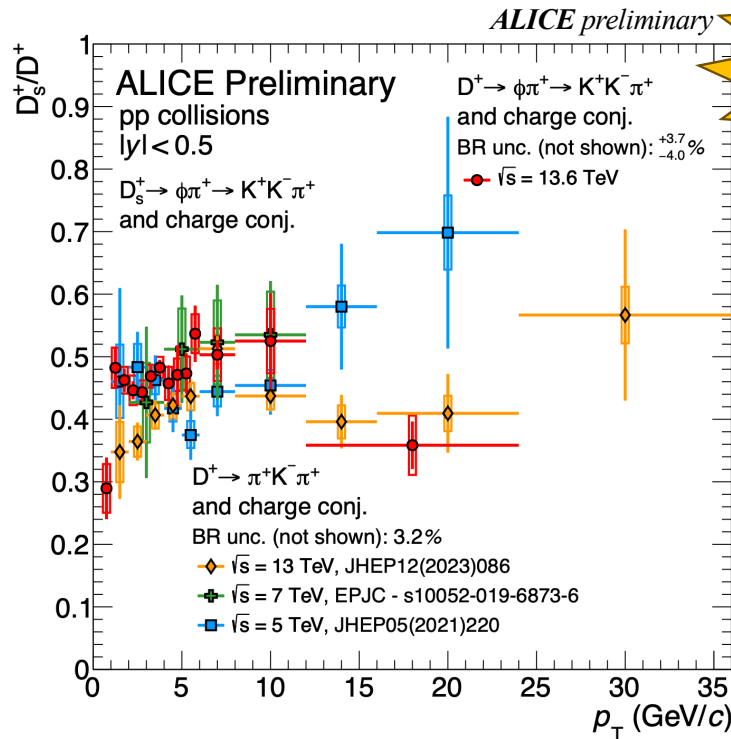
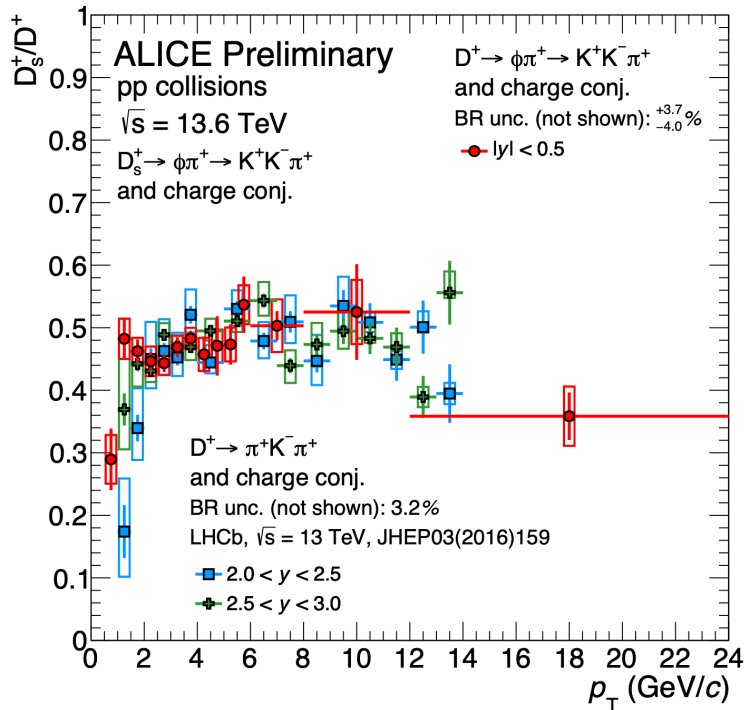
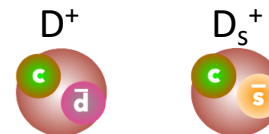


Non-Prompt

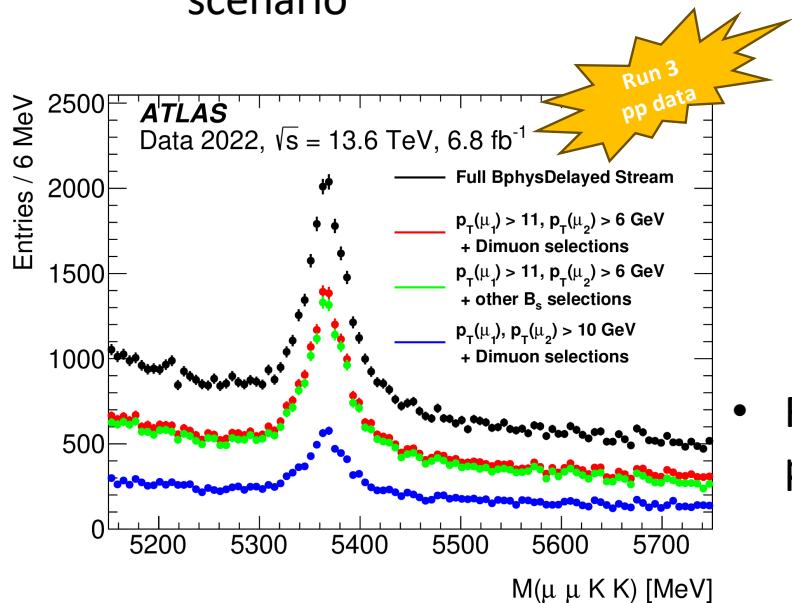


# D-meson production in pp collisions:

- New results at  $\sqrt{s} = 13.6$  TeV
- No dependence with energy collision is observed
- Results are compatible with LHCb results

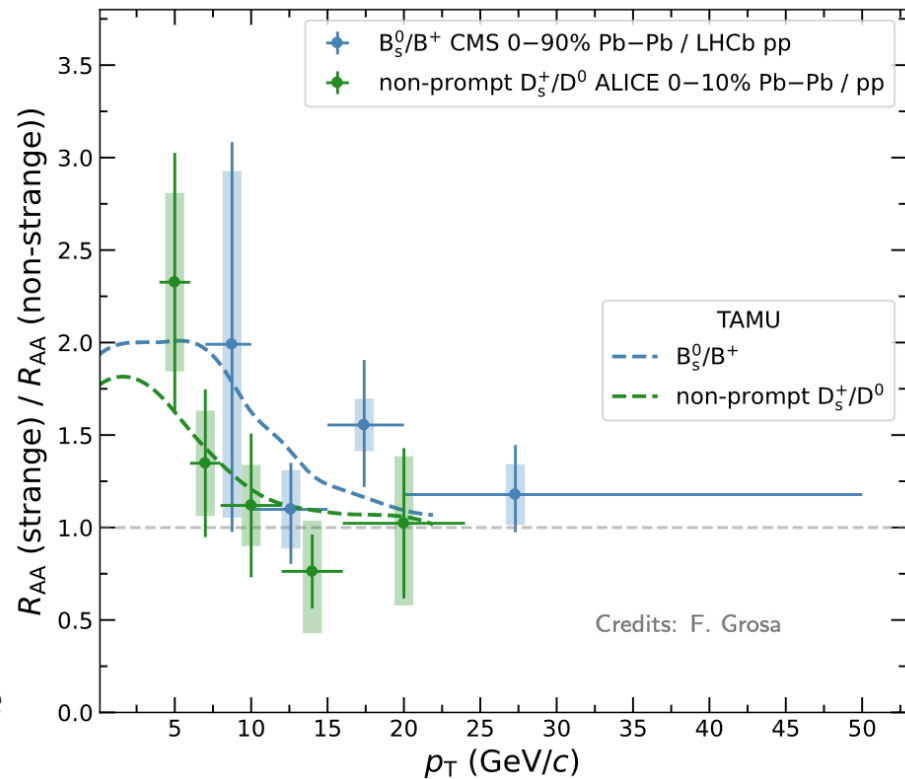


- Prompt strange-to-non-strange meson ratio in Pb–Pb collisions shows hint of a strangeness enhancement
- Model implementing strangeness enhancement and hadronisation via recombination compatible with data
- Measurements also compatible with no enhancement scenario



- Run 3 data will allow more precise measurements

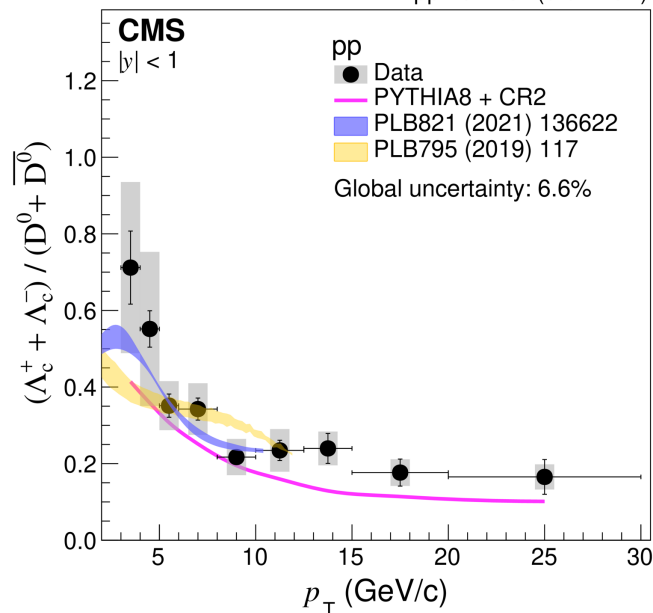
*CMS, Phys. Lett. B 829 (2022) 137062*  
*ALICE, Phys. Lett. B 846 (2023) 137561*



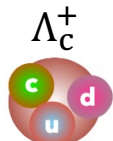
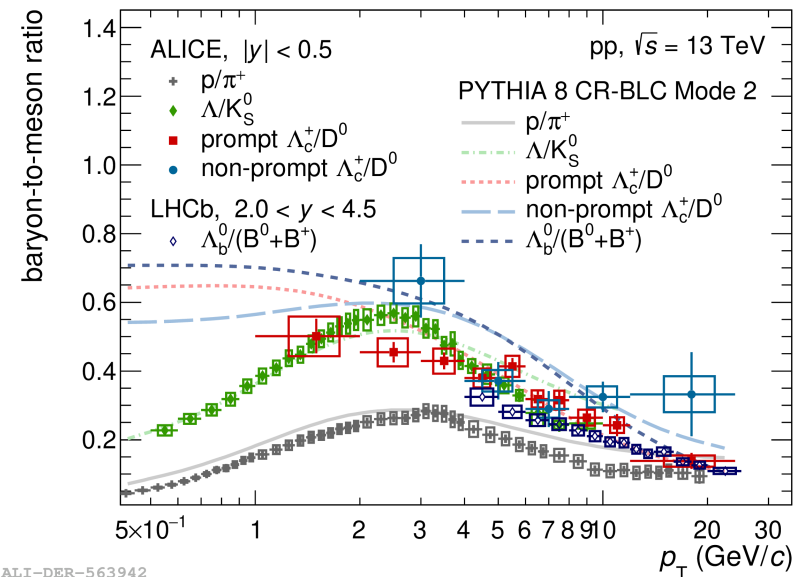
*TAMU, Phys. Lett. B 735 (2014) 445*

- The  $\Lambda_c^+/D^0$  production in pp collisions shows a **decreasing trend with increasing  $p_T$**
- The  $\Lambda_c^+/D^0$  production in pp collisions in ALICE shows a similar **decreasing trend with increasing  $p_T$**
- PYTHIA 8 predictions shows good agreement with data for  $p_T < 10$  GeV/c, underestimates data for  $p_T > 10$  GeV/c

CMS, JHEP 01 (2024) 128  
pp 252 nb<sup>-1</sup> (5.02 TeV)



ALICE, Phys. Rev. D (2023)108, 112003  
LHCb, Phys. Rev. D (2019)100, 031102

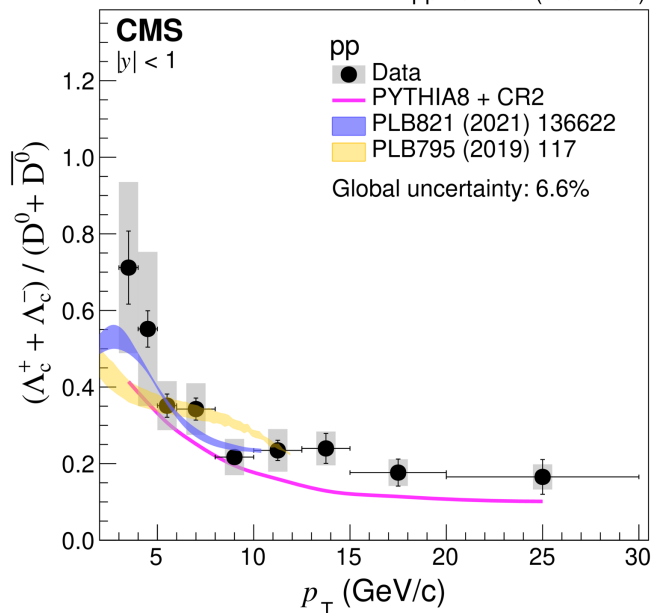


PYTHIA 8, JHEP 08 (2015) 003  
Catania, Phys. Lett. B 821 (2021) 136622  
SHM, Phys. Lett. B 795 (2019) 117

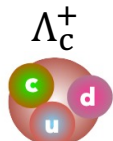
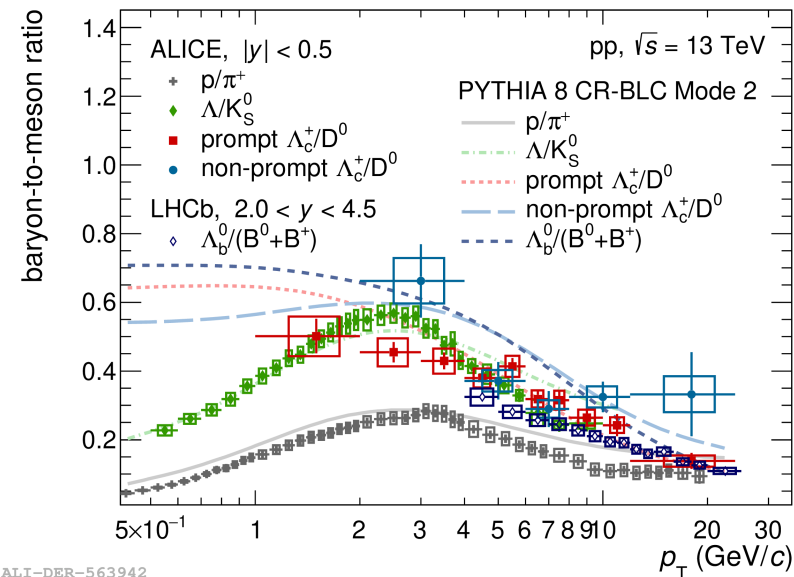
ALI-DER-563942

- Model with coalescence & fragmentation processes (PLB821(2021)136622) shows good agreement in the available  $p_T$  range and reproduces the trend
- Statistical hadronization model (PLB795(2019)117) shows also good agreement with data in the available range

CMS, JHEP 01 (2024) 128  
pp 252 nb<sup>-1</sup> (5.02 TeV)



ALICE, Phys. Rev. D (2023)108, 112003  
LHCb, Phys. Rev. D (2019)100, 031102

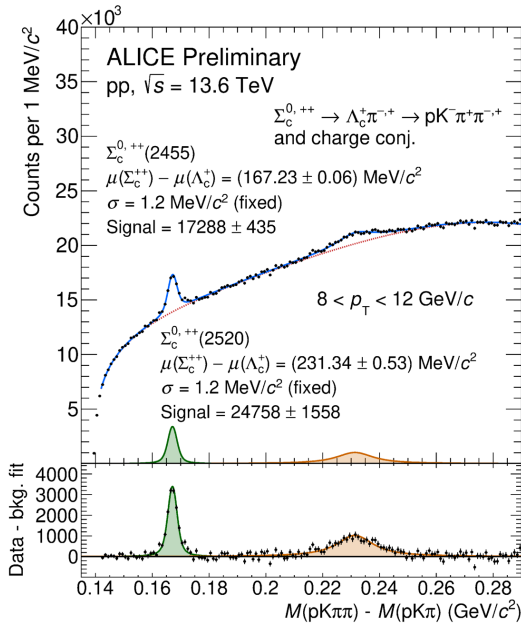


PYTHIA 8, JHEP 08 (2015) 003  
Catania, Phys. Lett. B 821 (2021) 136622  
SHM, Phys. Lett. B 795 (2019) 117

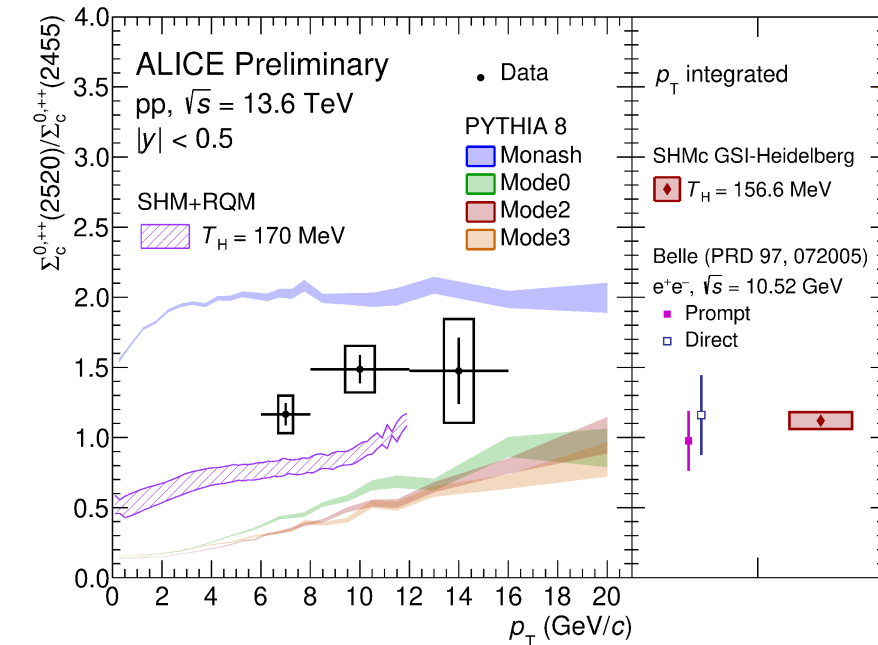
ALI-DER-563942

# Run 3 measurement in pp collisions

- Run 3 data is being analyzed and new results in pp at  $\sqrt{s} = 13.6$  TeV are starting to be published



ALI-PREL-571534



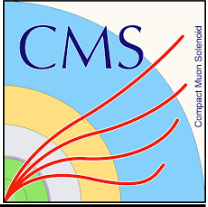
ALI-PREL-574270



*Pythia 8 (Monash), Eur. Phys. J. C 74 (2014)*  
*Pythia 8 (Mode 0/2/3), JHEP 08 (2015) 003*  
*SHM+RQM, Phys. Lett. B 795 (2019) 117-121*  
*SHMc, Phys. Lett. B 797 (2019) 134836*  
*Belle, Phys. Rev. D 97, 072005 (2018)*

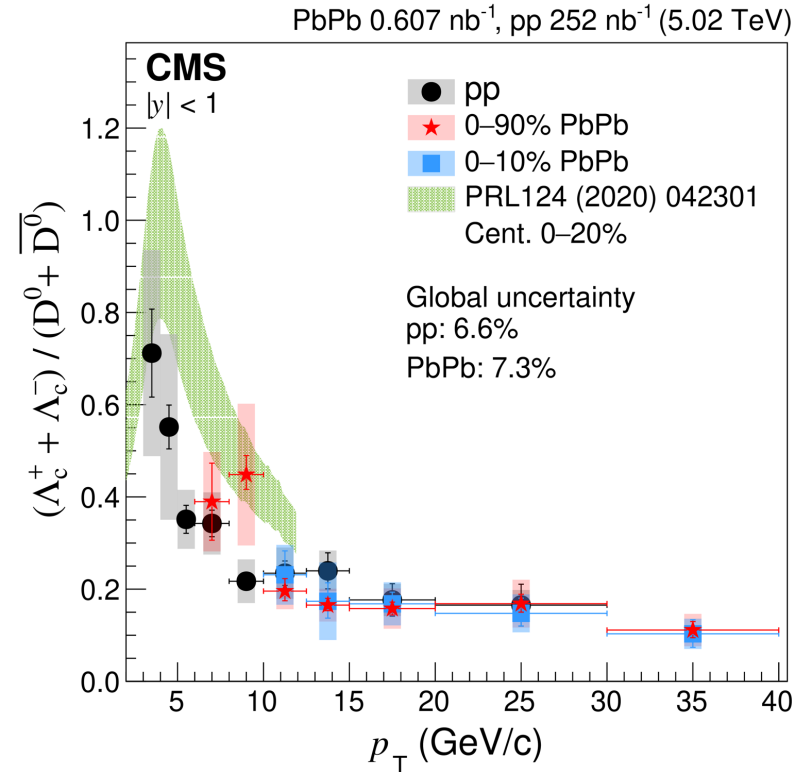
→ first measurement of  $\Sigma_c^{0,++}(2520)$  in ALICE

# $\Lambda_c^+$ measurement in pp & Pb–Pb collisions

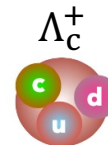


CMS, JHEP 01 (2024) 128

- The  $\Lambda_c^+ / D^0$  production in Pb–Pb collisions is compatible with the pp result
- Model prediction shows good agreement with the data in the overlapping  $p_T$  range ( $10 < p_T < 12.5$  GeV/c)
- Both pp and Pb–Pb results tend toward the value found for  $e^+e^-$  collisions in this high  $p_T$  region  
→ **No significant contribution from coalescence at high  $p_T$  in Pb–Pb**



*M. He & R. Rapp, Phys. Rev. Lett. 124 (2020) 042301*

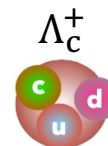
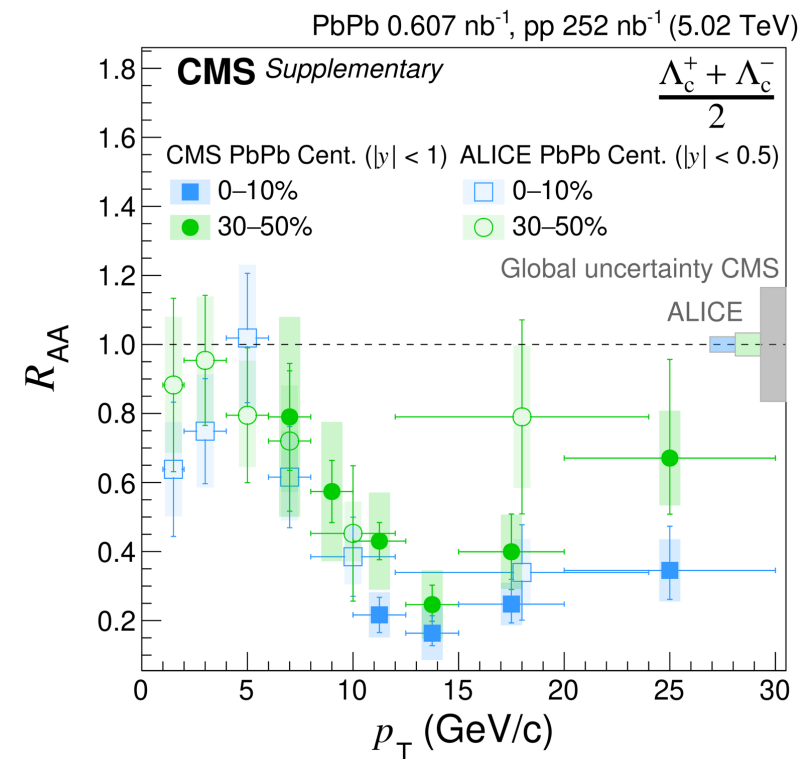


# $\Lambda_c^+$ measurement in Pb–Pb collisions

CMS, JHEP 01 (2024) 128

ALICE, Phys. Lett. B 839 (2023) 137796

- The  $R_{AA}$  shows a suppression for central collisions, with a maximal suppression around  $p_T \approx 14$  GeV/c
- Comparison with ALICE values show a good agreement in the overlapping  $p_T$  range
- ALICE and CMS combined results show that the **suppression is larger at intermediate  $p_T$  values**, similar to what was observed for  $D^0$  mesons

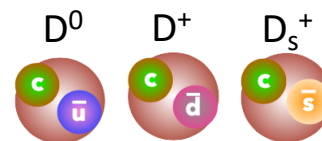
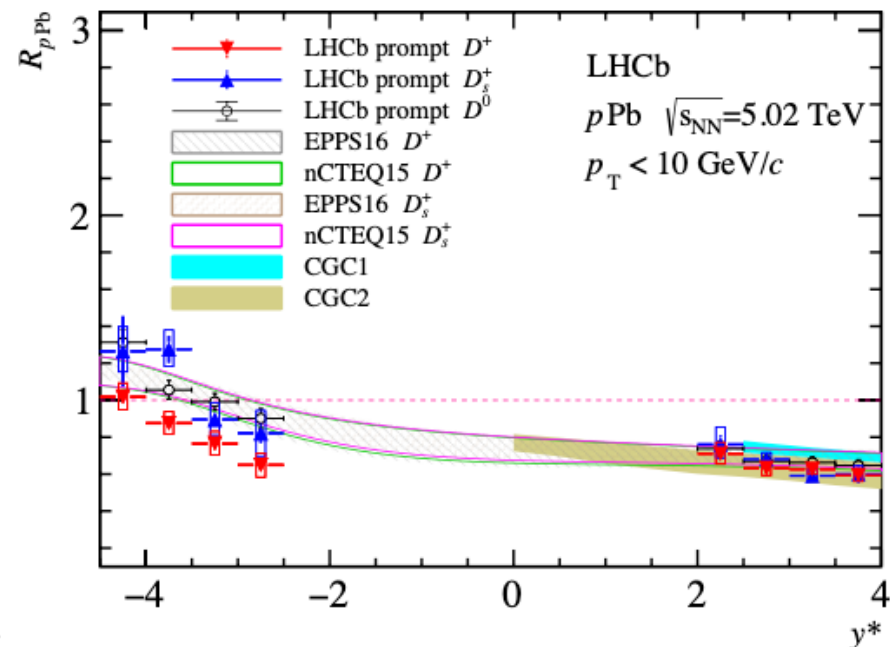


# D meson in p–Pb collisions

- The  $R_{pPb}$  of  $D^+$  and  $D_s^+$  mesons has been measured at  $\sqrt{s_{NN}} = 5.02$  TeV
- At forward rapidity, good agreement between  $D^0$  and  $D^+$
- At backward rapidity, the  $D^+$   $R_{pPb}$  is lower than  $D^0$  and  $D_s^+$  one
- Calculations with 2 different set of nPDFs agree with data at forward rapidity, overestimate  $D^+$  data at backward rapidity
- CGC model prediction (which include saturation of partons at small Bjorken- $x$ ) agree with the data at forward rapidity

LHCb, JHEP 01 (2024) 070

LHCb, JHEP 10 (2017) 090



EPPS16: *Eur.Phys.J.C* 77 (2017) 3, 163

nCTEQ: *Phys.Rev.D* 93 (2016) 8, 085037

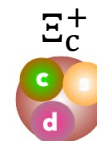
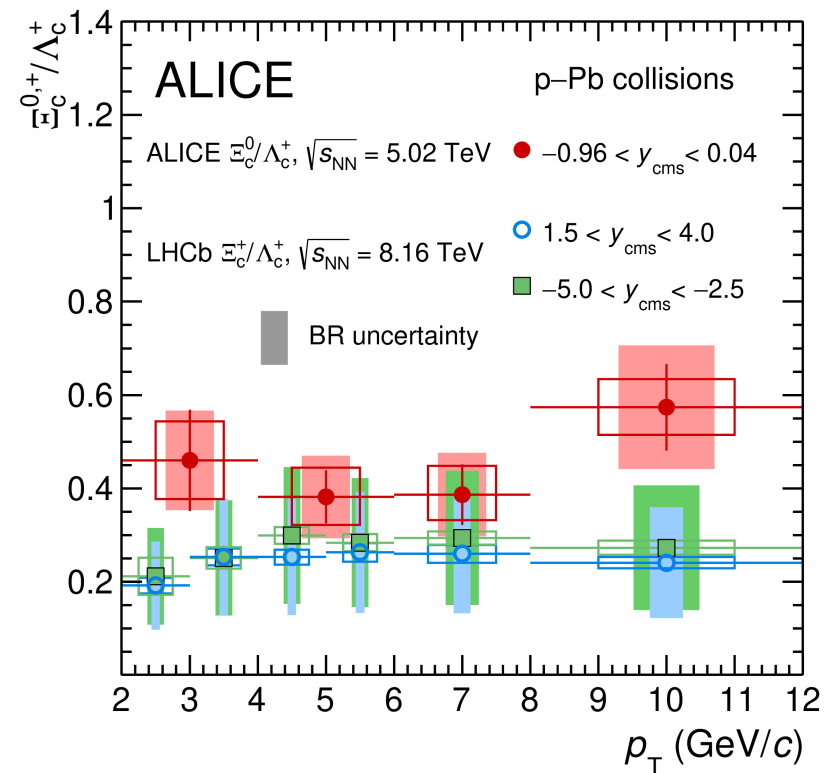
CGC: *Phys. Rev. D* 91 (2015) 114005



# $\Xi_c^+$ production in p–Pb collisions

- The  $\Xi_c^+/\Lambda_c^+$  ratio show no significant  $p_T$  dependence for both p–Pb and Pb–p directions.  
→ **strong indication that the same processes govern hadronization in p–Pb and Pb–p collisions**
- ALICE and LHCb points are compatible within uncertainties

*LHCb, Phys. Rev. C (2024) 109, 044901*  
*ALICE, arXiv:2405.14538*

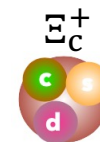
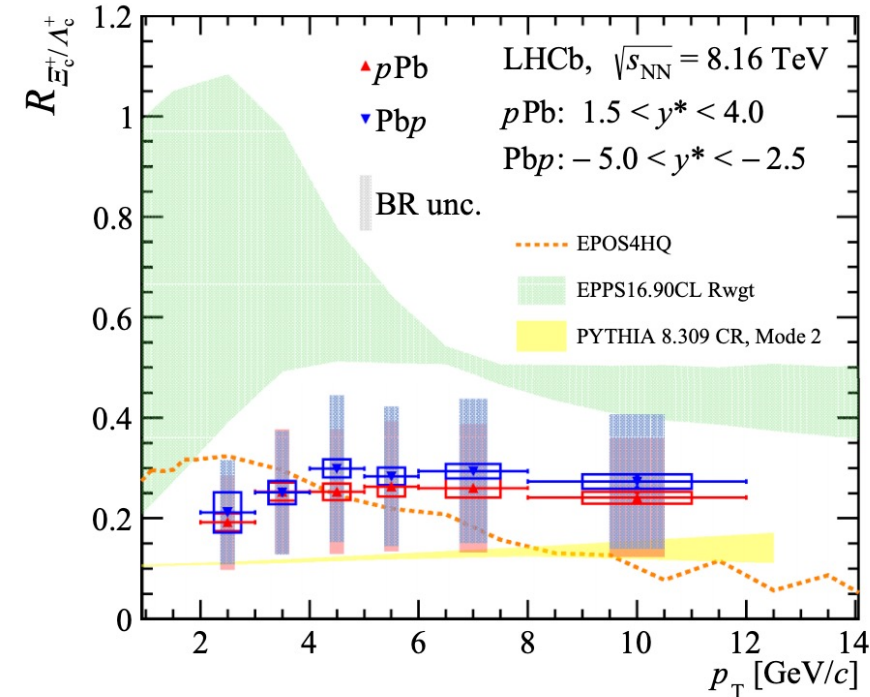


ALI-PUB-571023

# $\Xi_c^+$ production in p–Pb collisions

- The  $\Xi_c^+/\Lambda_c^+$  ratio show no significant  $p_T$  dependence for both p–Pb and Pb–p directions.  
→ **strong indication that the same processes govern hadronization in p–Pb and Pb–p collisions**
- ALICE and LHCb points are compatible within uncertainties
- The EPPS16 model significantly overestimates LHCb data but shows similar trend
- PYTHIA 8.3 calculations describe data within uncertainties
- EPOS4HQ calculations describe data within uncertainties but show different trend

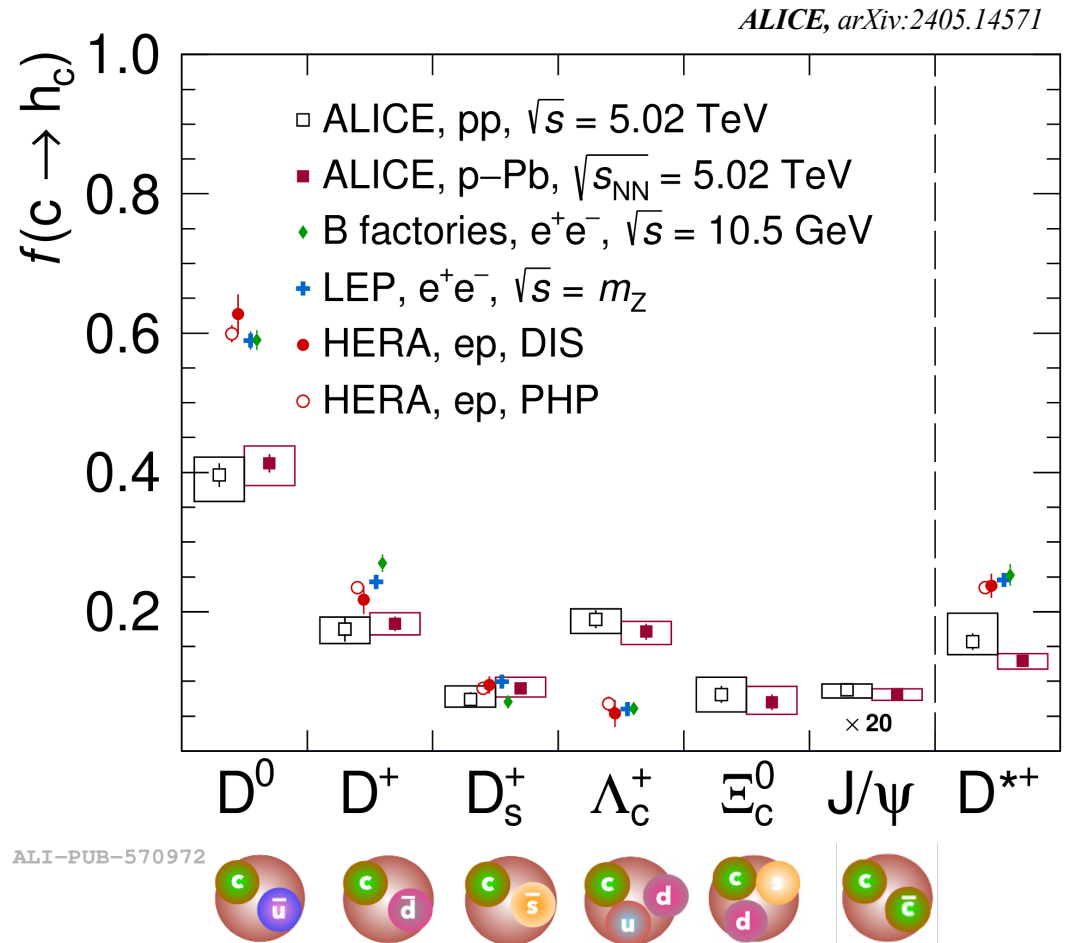
LHCb, Phys. Rev. C (2024) 109, 044901



*EPPS16*, Eur. Phys. J. C 77 (2017) 163  
*PYTHIA8.3*, J. High Energy Phys. 08 (2015) 003.  
*EPOS4HQ*, Phys. Rev. C (2023) 108, 034904

# Charm fragmentation functions

- Heavy-flavor charm mesons and baryons are used to evaluate the charm fragmentation fractions
  - The values are consistent between pp and p-Pb collisions
  - A difference is observed in pp and p-Pb collisions with respect to  $e^+e^-$  and ep collisions
  - Increase in  $\Lambda_C^+$  production accompanied by a concomitant decrease in  $D^0$
- evidence that universality (i.e. collision-system independence) of parton-to-hadron fragmentation is not valid

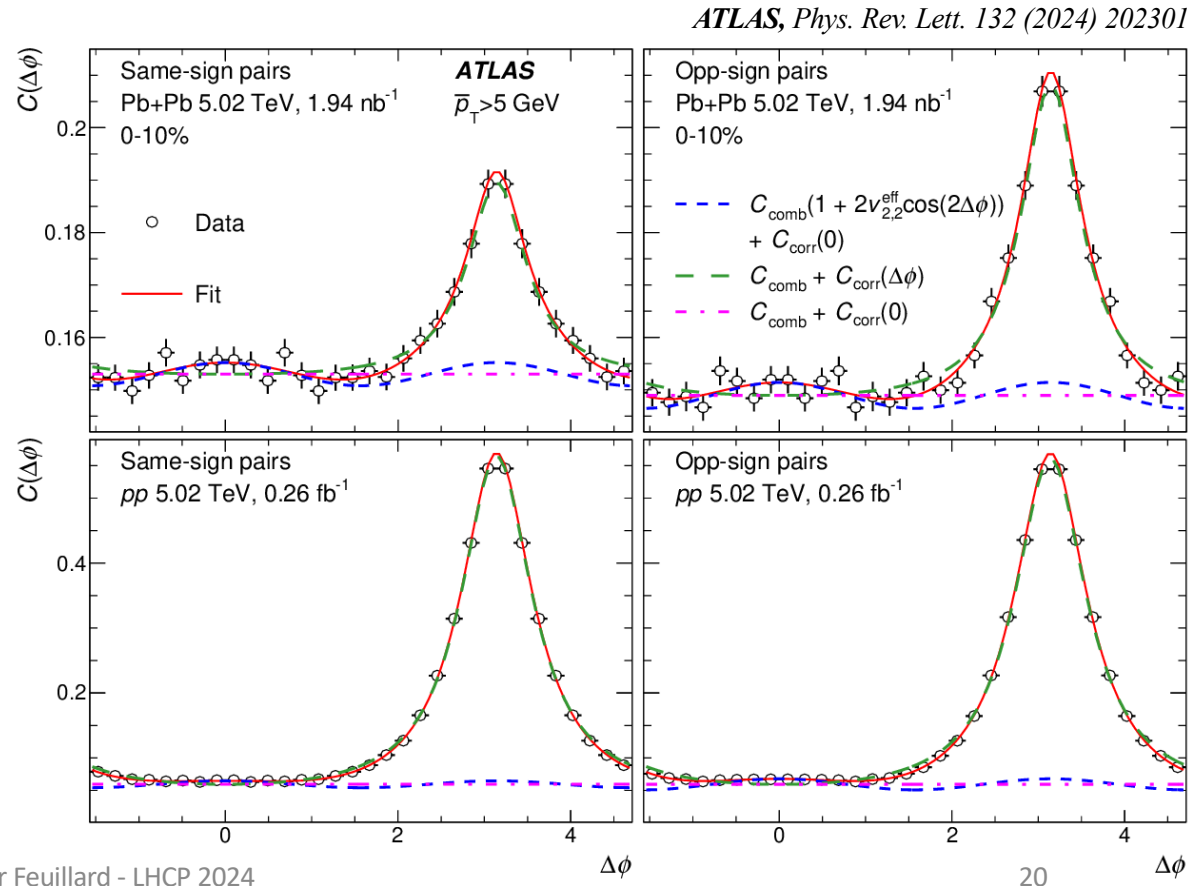


# Muons produced via heavy-flavor decays in pp & Pb–Pb collisions

- Two-muon correlation functions were constructed and studied as a function of azimuthal angle difference  $\Delta\phi$

- A strong enhancement is observed in the correlation functions at  $\Delta\phi \sim \pi$   
→ consistent with semileptonic decays of heavy-quark pair

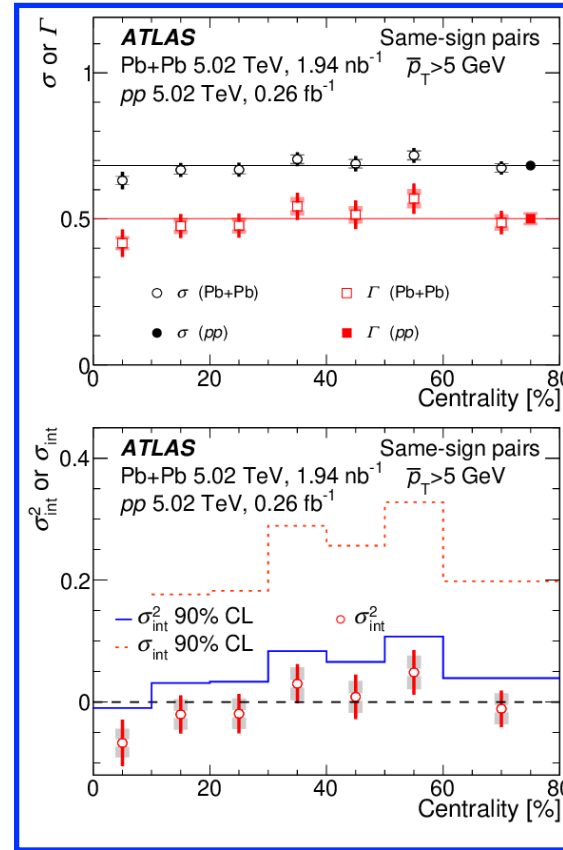
- The peaks are characterized :
  - half-width at half-maximum  $\Gamma$
  - the standard deviation  $\sigma$



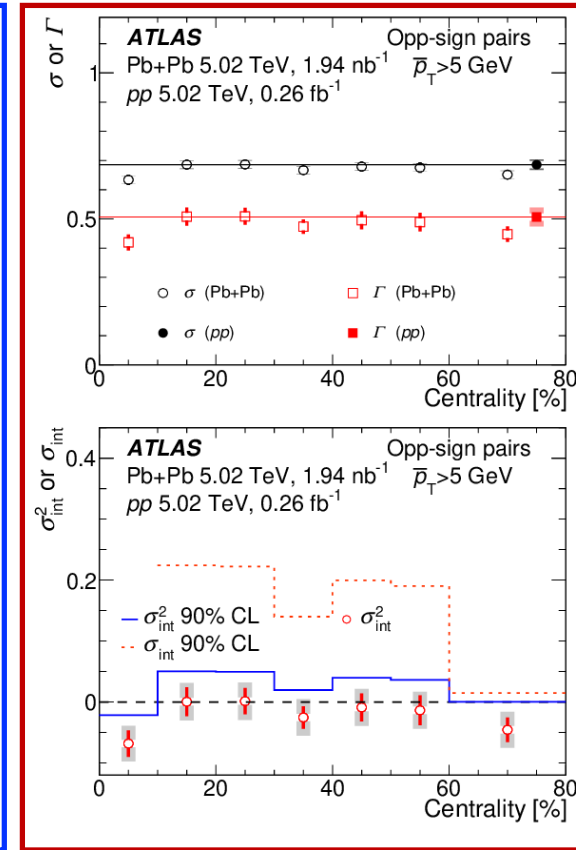
# Muons produced via heavy-flavor decays in pp & Pb–Pb collisions

ATLAS, Phys. Rev. Lett. 132 (2024) 202301

- $\Gamma$  and  $\sigma$  show no significant difference between pp collisions Pb–Pb collisions
- $\Gamma$  and  $\sigma$  show no significant variation with centrality except in the 0–10% most central collisions, where a significant decrease in the Pb–Pb widths is observed
- The results are consistent between **same-sign pairs (negligible charm contribution)** and **opposite-sign pairs (~90% of the yield in pp collisions from bb)**
- **Results can provide constraints on models** for the additional angular deflection introduced by the QGP



same-sign pairs

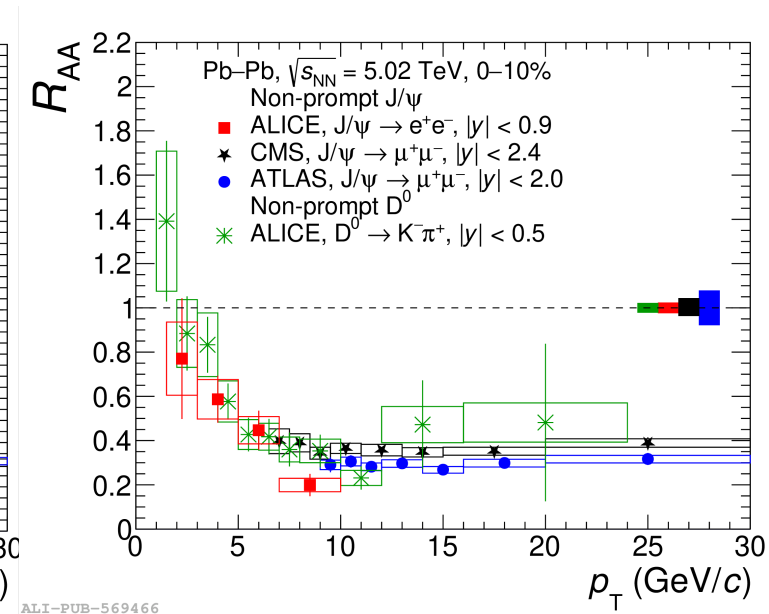
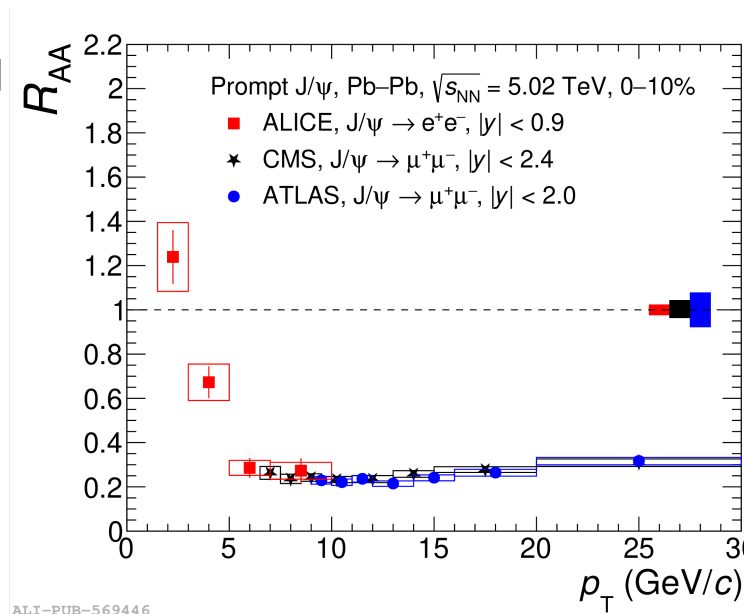


opposite-sign pairs

- The prompt  $J/\psi$   $R_{AA}$  increases towards low  $p_T$ , and even exceeds unity in the lowest  $p_T$  bin  
→ likely due to cold nuclear matter effects
- The non-prompt  $J/\psi$   $R_{AA}$  increases towards low  $p_T$ , but remains  $< 1$   
→ small cold nuclear matter effects

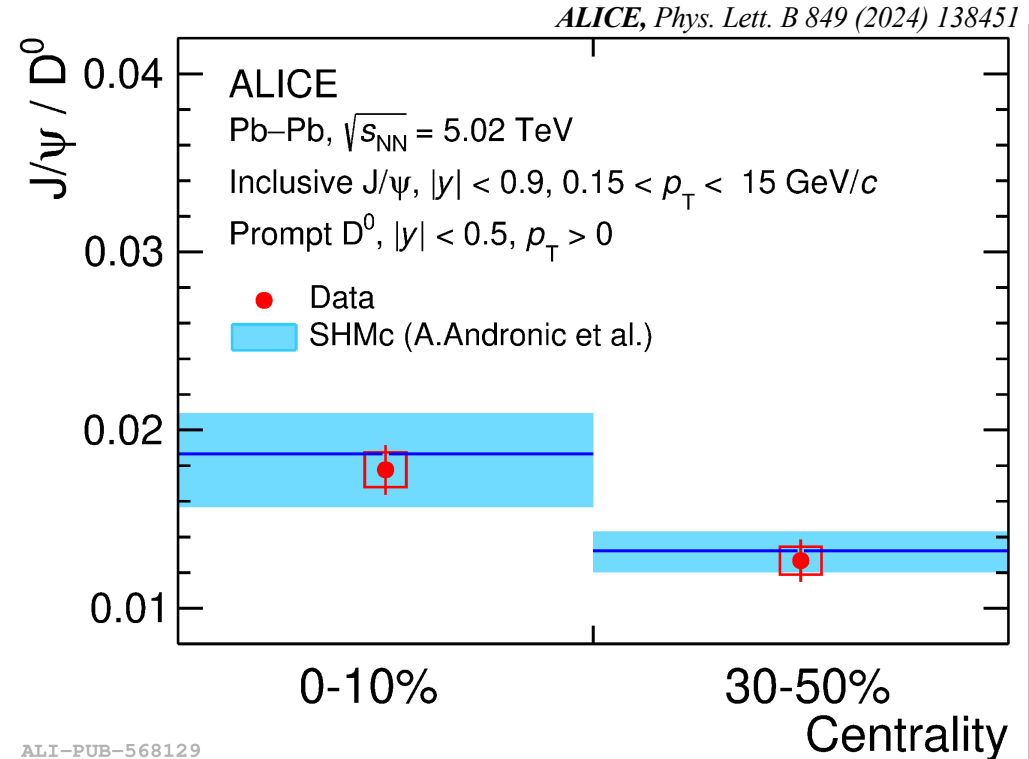
*ALICE, JHEP 02 (2024) 066*  
*ATLAS, Eur. Phys. J. C 78 (2018) 762*  
*CMS, Eur. Phys. J. C 78 (2018) 509*

- Results are compatible between ALICE, ATLAS and CMS in the overlapping  $p_T$  region
- Results are compatible with non-prompt  $D^0$  measurements



# Charmonium production

- The  $J/\psi/D^0$  provides tight constraint to models because uncertainties related to the  $c\bar{c}$  cross section cancel out  
→ parameter-free prediction relying only on deconfined and thermalized charm quarks
- The ratio is sensitive to the hadronisation mechanisms of the different charm hadron
- The ratio is higher in most central collisions
- SHMc model predictions describe the data well  
→ **hints that both  $J/\psi$  and  $D^0$  are produced via the coalescence of charm quarks**



*SHMc, Phys. Lett. B 797 (2019) 134836*

- The measurement of many heavy flavor species offers a solid ground to test the pQCD models and the factorization approach  
→ breaking of universal hadronisation for all systems
- The ability to measure several observables ( $R_{AA}$ , production ratios...) provides many avenues for model comparison and improves our understanding of heavy quark interaction with the medium  
→ Charmed hadrons produced through coalescence at low  $p_T$ , no significant contribution from coalescence at high  $p_T$  in Pb–Pb
- Run 3 data allows more precise measurements with smaller uncertainties, and the first results are being shown this year



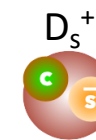
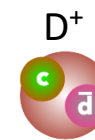
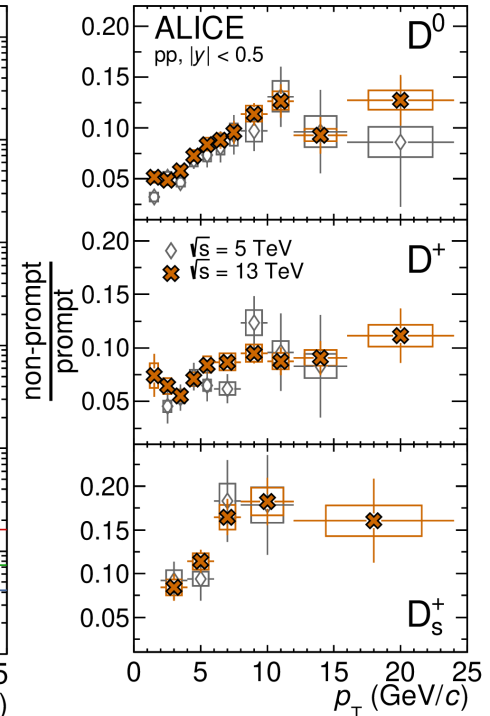
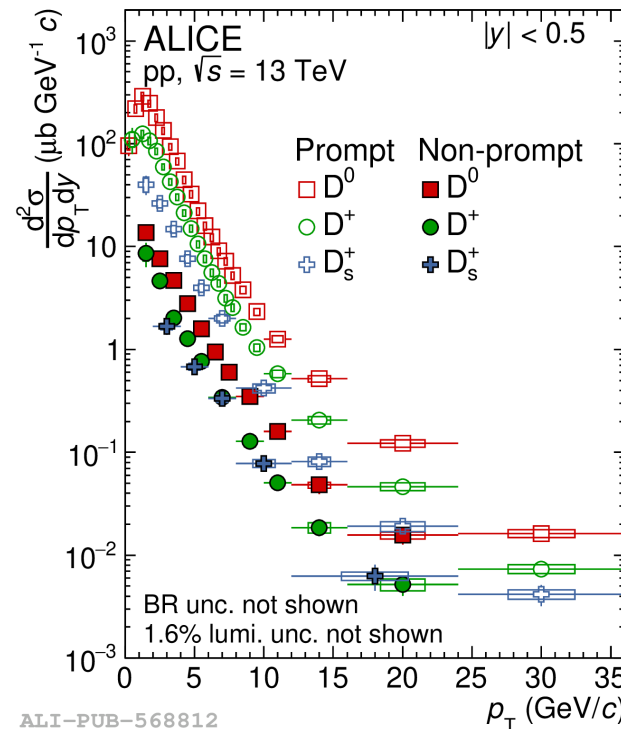
- **Hadronic and semi-leptonic decays of charm baryons**, Chong Kim  
→ Tuesday June 5th at 11:54 ([link](#))
- **HF production, propagation and hadronization in QGP**, Stefano Politano  
→ Tuesday June 5th at 14:36 ([link](#))
- **Heavy-flavour polarization measurements**, Xiaozhi Bai  
→ Tuesday June 5th at 14:36 ([link](#))

**THANK YOU FOR YOUR  
ATTENTION!**

# BACK-UPS

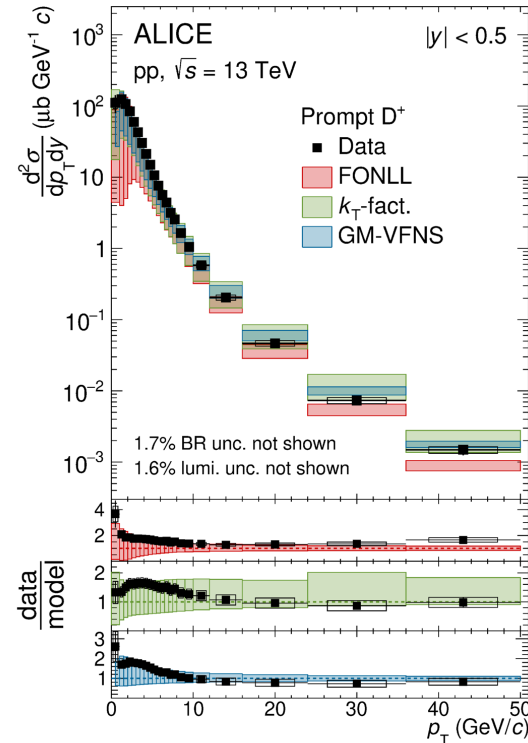
# D mesons cross sections in pp collisions

- Measurement of  $p_T$ -differential cross-sections of D mesons
- The production of prompt D mesons is larger than the one of non-prompt D at low  $p_T$ .  
→ expected since  $m_c < m_b$
- The ratios exhibit an increasing trend as a function of  $p_T$  up to  $\sim 12$  GeV/c  
→ Indicates a harder  $p_T$  spectrum of beauty hadrons w.r.t prompt charm mesons

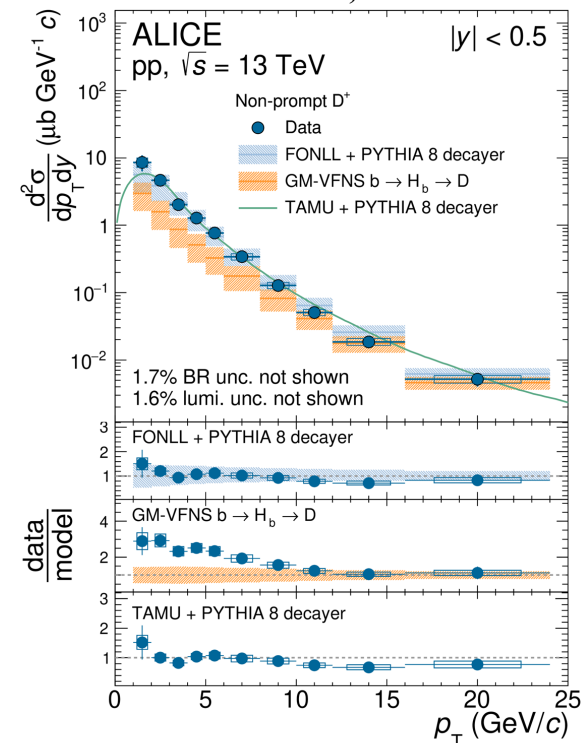
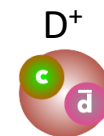


# D mesons cross sections in pp collisions

- Comparison with pQCD calculations implementing the factorisation approach
- For FONLL calculations :
  - slightly underestimate the prompt data
  - good agreement for the non-prompt
- For GM-VFNS:
  - slightly underestimate the prompt data at low  $p_T$  and overestimate at high  $p_T$
  - Underestimate the non-prompt data at low  $p_T$  but good agreement at high  $p_T$
- For  $k_T$ -factorization : overestimate the prompt measurement at high  $p_T$
- For TAMU : good agreement with the non-prompt measurement



ALI-PUB-567841

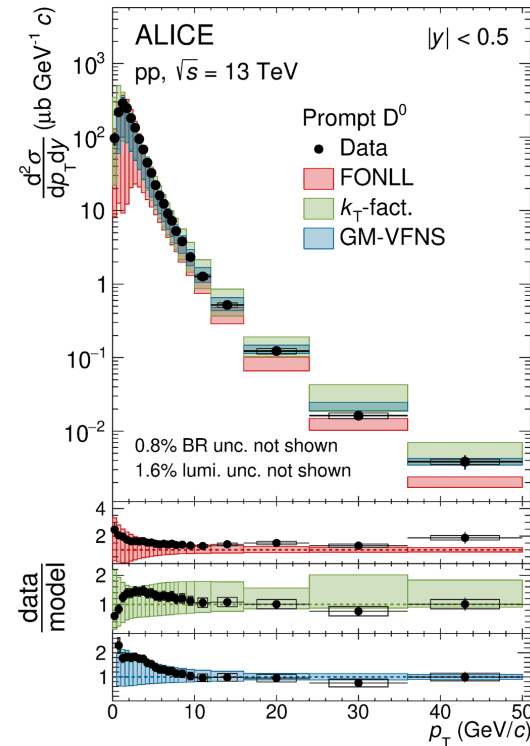


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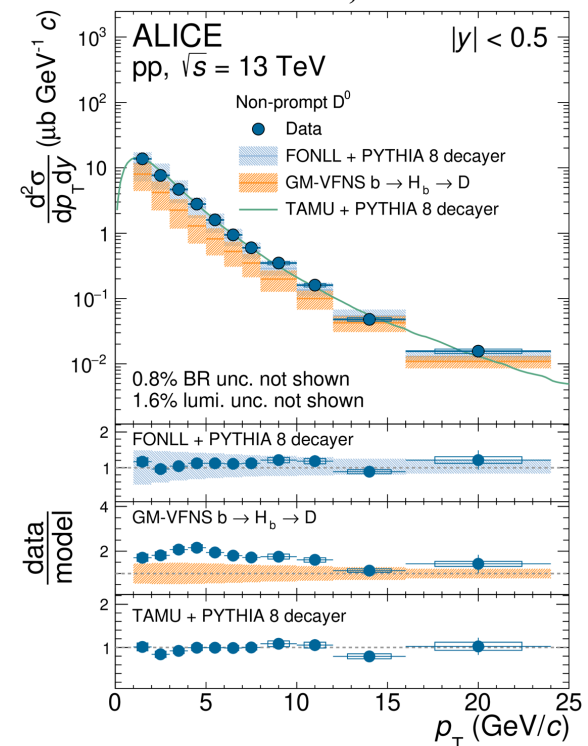
**FONLL**, JHEP 10 (2012) 137  
 **$k_T$ -fact.**, Phys. Rev. D 104 (2021) 094038  
**GM-VFNS**, Nucl. Phys. B 925 (2017) 415–430  
**TAMU**, Phys. Rev. Lett. 131 (Jul, 2023) 012301

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ALI-PUB-567836

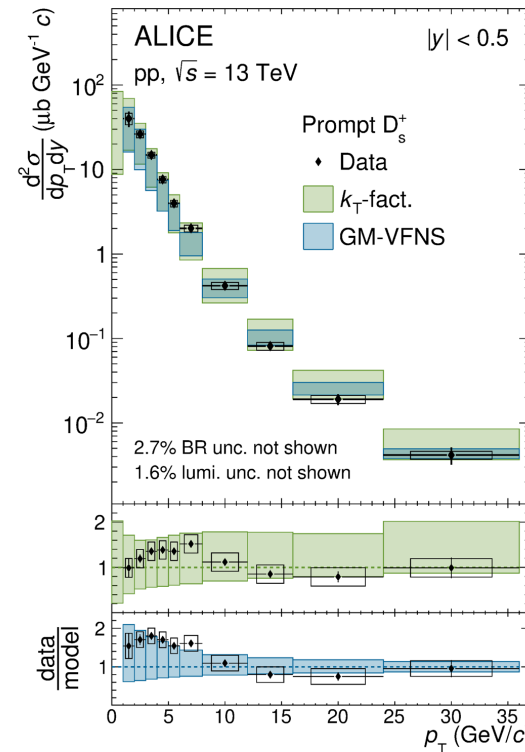


ALI-PUB-568816

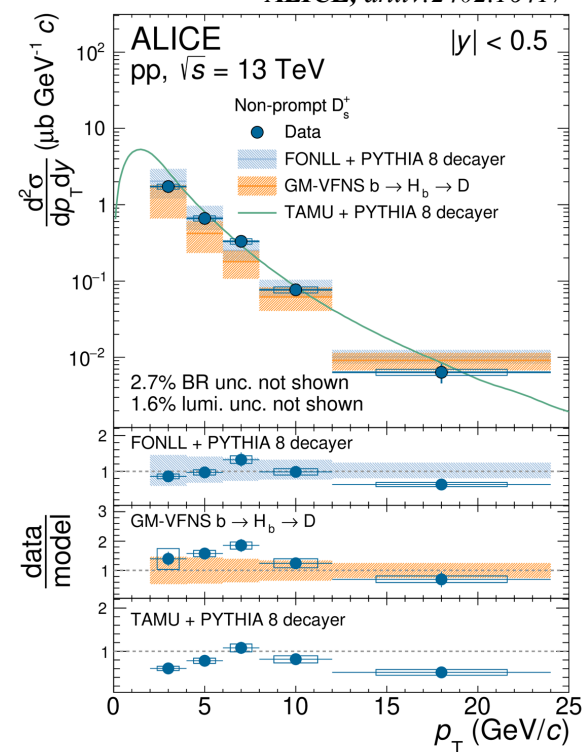
**FONLL**, JHEP 10 (2012) 137  
 **$k_T$ -fact.**, Phys. Rev. D 104 (2021) 094038  
**GM-VFNS**, Nucl. Phys. B 925 (2017) 415–430  
**TAMU**, Phys. Rev. Lett. 131 (Jul, 2023) 012301

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- For  $k_T$ -factorization : overestimate the prompt measurement at high  $p_T$
- For TAMU : overestimates the data



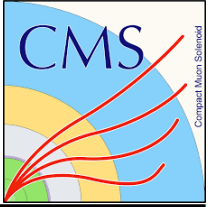
ALI-PUB-567851



ALI-PUB-568824

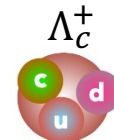
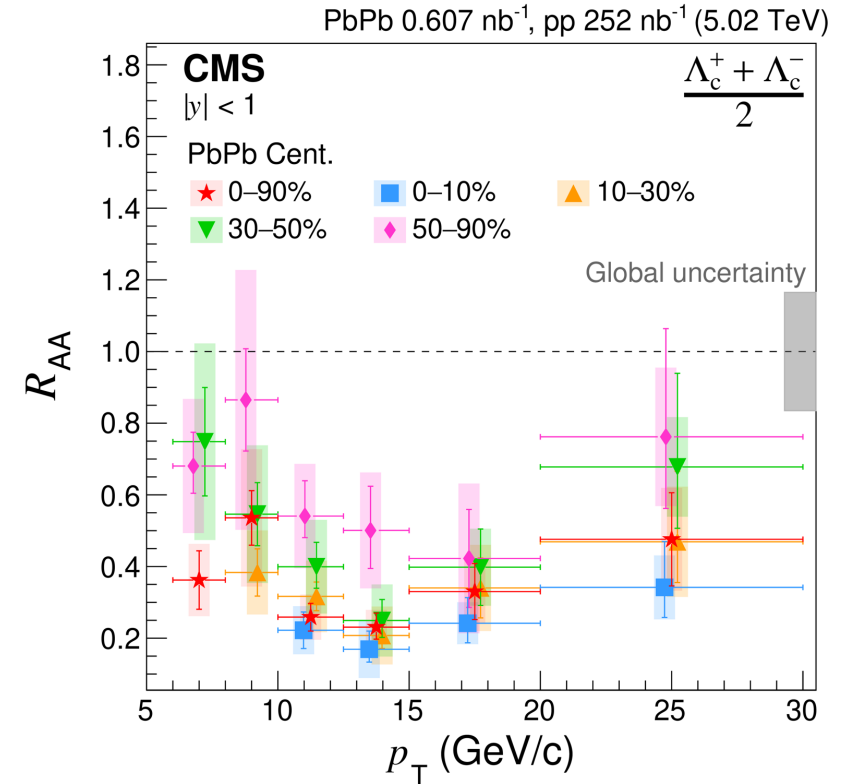
**FONLL**, JHEP 10 (2012) 137  
 **$k_T$ -fact.**, Phys. Rev. D 104 (2021) 094038  
**GM-VFNS**, Nucl. Phys. B 925 (2017) 415–430  
**TAMU**, Phys. Rev. Lett. 131 (Jul, 2023) 012301

# Lambdac+ measurement



CMS, JHEP 01 (2024) 128

- The  $R_{AA}$  shows a suppression for central collisions, with a maximal suppression around  $p_T \approx 14$  GeV/c
- Suppression is stronger for more central collisions



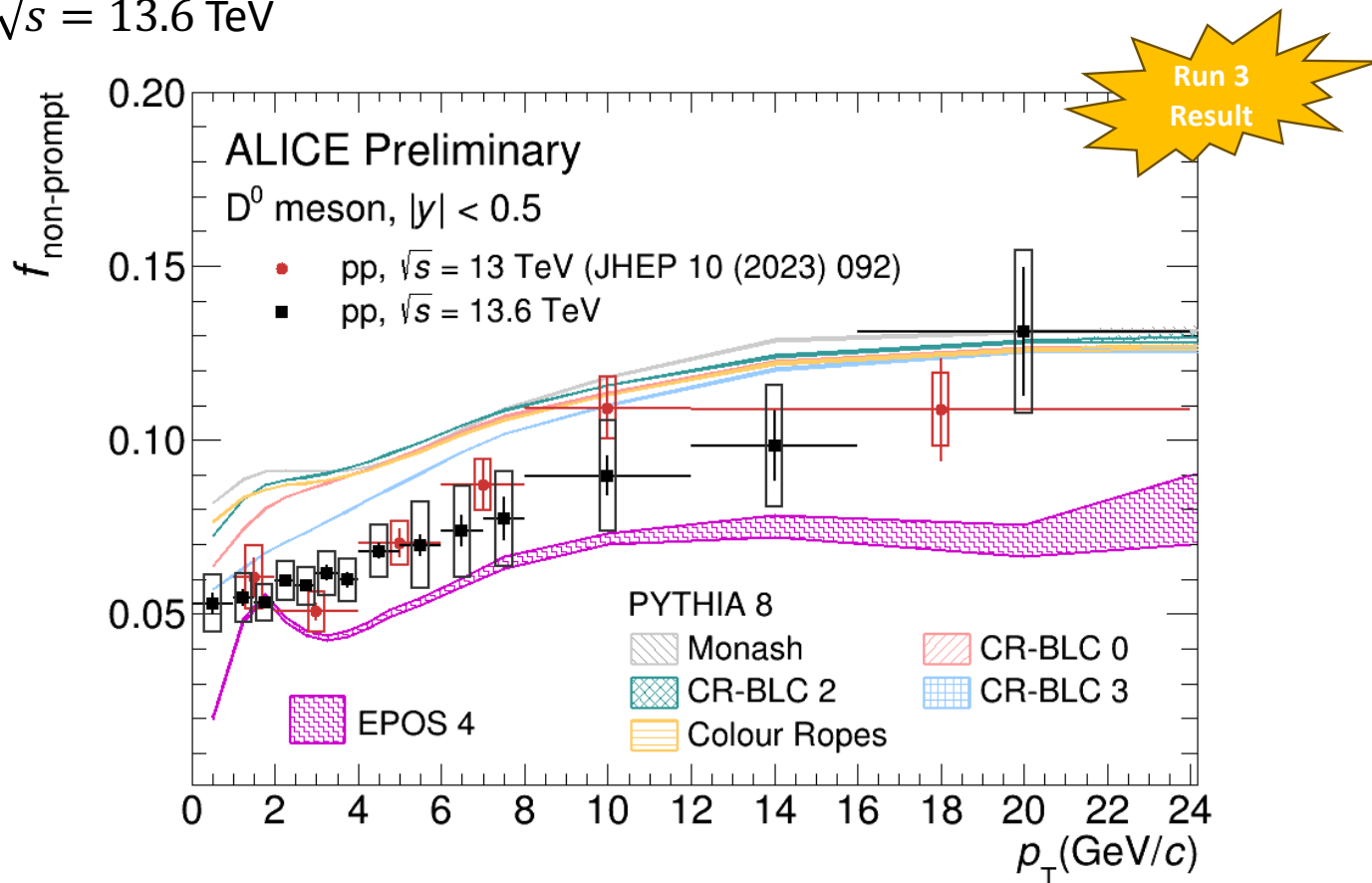
# D mesons cross sections in pp collisions



ALICE

ALICE preliminary

- New result at  $\sqrt{s} = 13.6$  TeV





# $B_s^0 \rightarrow \mu\mu$ lifetime

*ATLAS, JHEP 09 (2023) 199*  
*CMS, Phys. Lett. B 842 (2023) 137955*

- Measurement of the  $B_s^0$  lifetime can be a test to find Beyond Standard Model effects
- ATLAS and CMS measured the lifetime with unprecedented precision
- Measured values :
  - CMS :  $\tau_{\mu\mu} = 1.83_{-0.20}^{+0.23}(\text{stat.})_{-0.04}^{+0.04}(\text{syst.})$
  - ATLAS :  $\tau_{\mu\mu} = 0.99_{-0.07}^{+0.42}(\text{stat.})_{-0.17}^{+0.17}(\text{syst.})$
- Compatible within uncertainties with PDG value

