

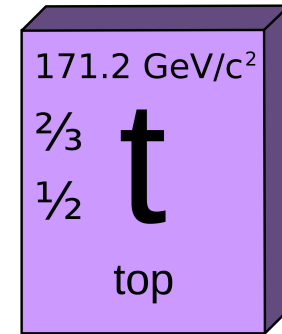
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LCHP 2024
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BSM from the top

05.06.2024

The Top quark



- The heaviest quark $m_t = \frac{vy_t}{\sqrt{2}} = 171 \text{ GeV}$, Yukawa coupling of order unity
- Due to its large mass it is strongly connected to the EW sector, affects the stability of the EW vacuum
- top-quark sector especially suitable for precision EW tests
- If there is any BSM correction to the EW sector we should see the deviation in top physics
- Unique phenomenology: dominant decays into $b + W$, decays before it hadronises, key to new physics searches

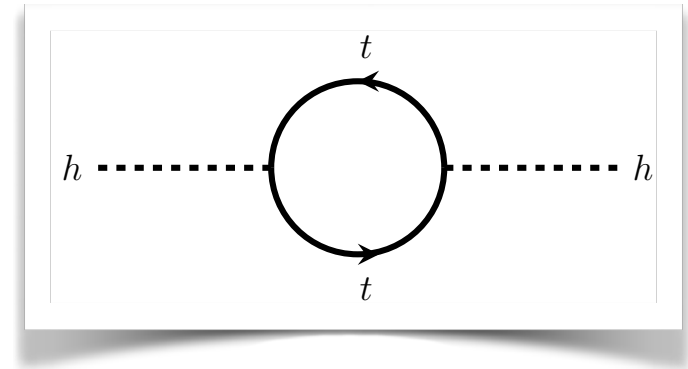
Higgs hierarchy problem

- Why is the Higgs mass so fine-tuned?
 $M_{Pl} = 10^{19}$ GeV but $m_h = 125$ GeV
- biggest radiative effect from the top-quark

$$\Pi_{hh}(0) = -2y_t^2 \int \frac{d^4k}{(2\pi)^2} \left[\frac{1}{k^2 - m_t^2} + \frac{2m_t^2}{(k^2 - m_t^2)^2} \right]$$

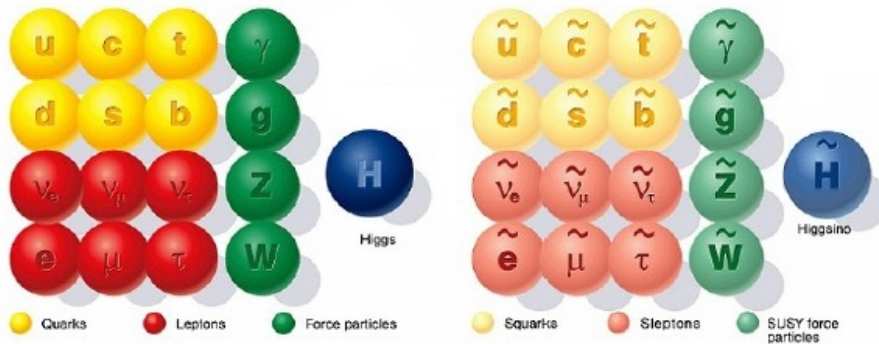
The first term is quadratically divergent $\delta m_h^2 \propto - \left(\frac{m_t}{v^2} \right)^2 \Lambda^2$

- At $\Lambda = M_{Pl}$, the correction is over 30 order of magnitudes larger than the physical SM Higgs mass
→ search for a top partner to cancel the radiative effects (SUSY, Composite-Higgs, ...)



Supersymmetry

SUPERSYMMETRY



Standard particles

SUSY particles

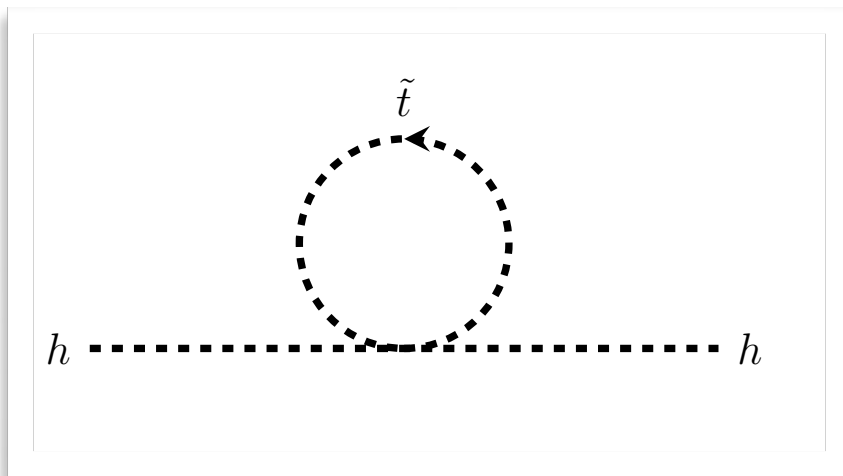
- introduce a (spontaneously broken) symmetry between fermions and bosons
- bosons and fermions have the same quantum numbers and a priori the same mass
- super-partner to the top: *stop* \tilde{t}

- contributes to the Higgs mass with same Λ^2 divergence but opposite sign

$$\Pi_{hh}(0)^{(\tilde{t})} = -2y_{\tilde{t}} \int \frac{d^4k}{(2\pi)^2} \frac{1}{k^2 - m_{\tilde{t}}^2}$$

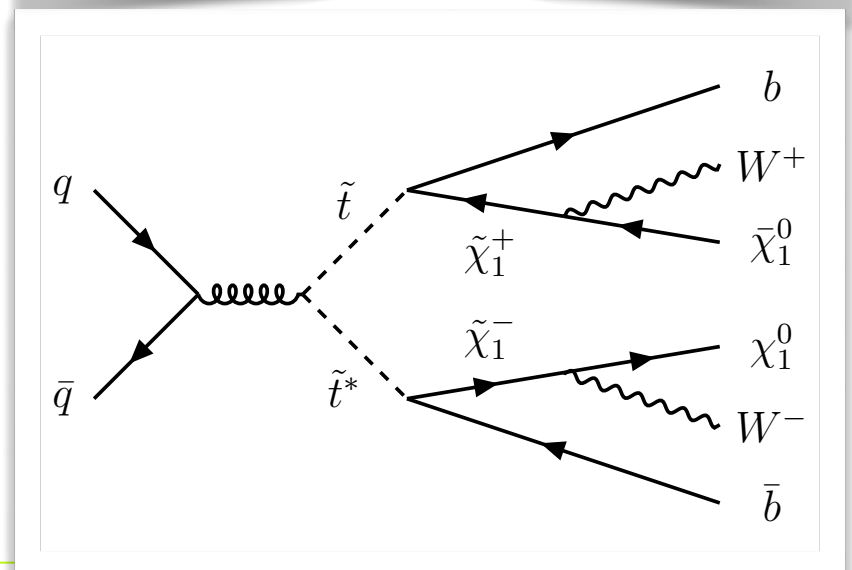
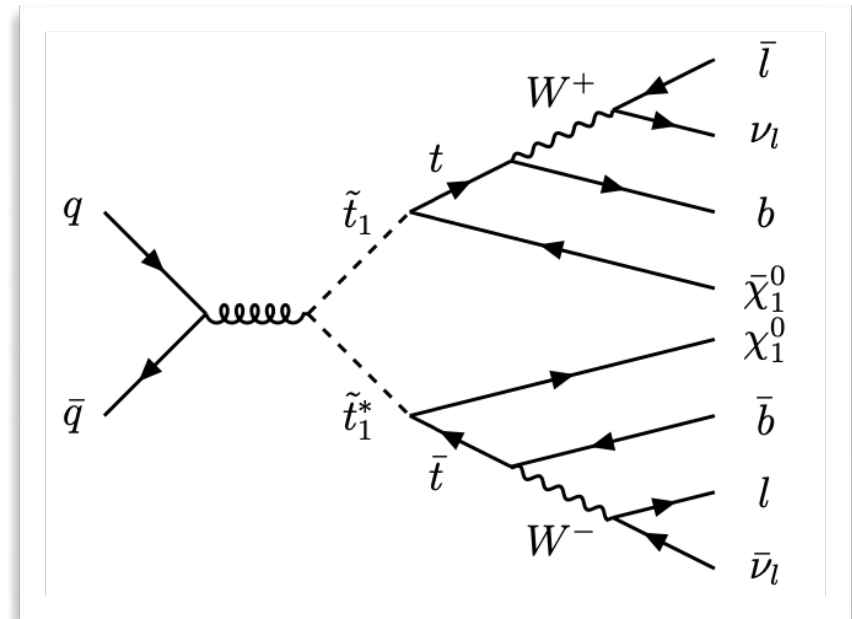
- for $y_{\tilde{t}} = -y_t^2$ and $m_{\tilde{t}} = m_t$ the contributions to m_h^2 from tops and stops cancel identically!

- for $m_{\tilde{t}} \neq m_t$ the divergence is proportional to Δm



Stop searches

- Stops decay into tops and neutralinos or bottoms and charginos
- dedicated searches for SUSY particles at the LHC
- e.g. via leptonic top decays:
final state with $2b + 2l + MET$
- top quark plays an important role in many stop searches, dominant decay channel
- long standing and refined searches in multiple channels at ATLAS and CMS



Composite Higgs Models (CHM)

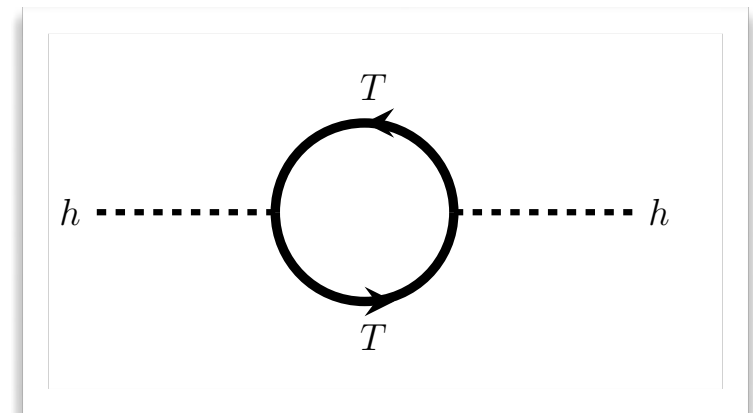
- In Composite Higgs Models, the Higgs boson is not a fundamental particle
- appears as a composite bound state of heavy fermions ($F\bar{F}$) that does not exist at high energies
 - no hierarchy problem
- independently of the exact realisation, CHMs always need a top partner T with a mass close to the top quark to ensure the correct form of the Higgs potential and right mechanism for EWSB

- $T = (T_L, T_R)$ mixes with top quarks through mass mixing

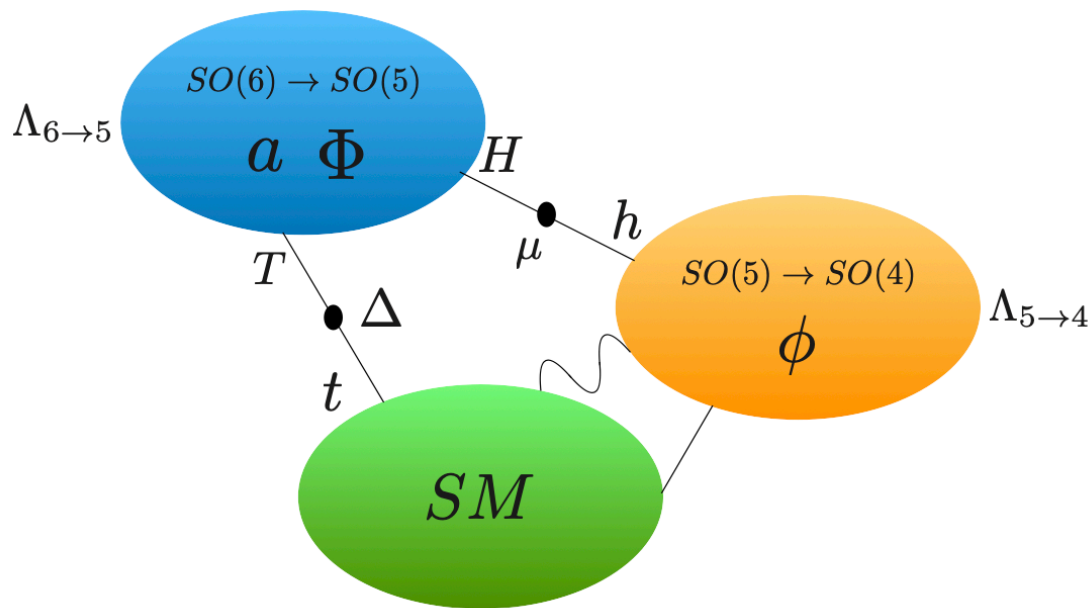
$$\mathcal{L} \supset (\bar{t}_L, \bar{T}_L) \begin{pmatrix} \frac{y_t v}{\sqrt{2}} & \Delta \\ 0 & M \end{pmatrix} \begin{pmatrix} t_R \\ T_R \end{pmatrix},$$

modifies couplings to the Higgs boson

- diagonalisation gives masses m_t and M_T and mixing angle θ



See-saw Composite Higgs



[Sanz, Setford '15]

[No, Sanz, Setford '16]

- 2 successive symmetry breakings
- Higgs doublets mix pGB from both symmetry breakings
- scalar pGB a associated with heavy scale $f_a \sim \Lambda_{6 \rightarrow 5}$ (could be an axion)
- EWSB involves new fermionic composites, the top partners T with $m_T \sim \Lambda_{6 \rightarrow 5}$

- T couples to a via

$$\mathcal{L} \supset -c_T \frac{\partial_\mu a}{\Lambda_{6 \rightarrow 5}} (\bar{T} \gamma^\mu T)$$

- t- T mixing introduces top-ALP coupling

$$c_t \propto c_T \frac{\Delta^2}{m_T^2}$$

Axion Like Particles (ALPs)

- ALPs appear as (pseudo) Goldstone bosons in many SM extensions with a spontaneous breaking of a global symmetry
- pseudo-scalars with shift symmetry $a \rightarrow a + c$
 - restricts ALP couplings to SM particles
 - couplings momentum dependent
 - ⇒ energy scaling for processes involving ALPs differs from background processes
- traditional searches focus on light ALPs and couplings to bosons
- searches in a larger mass range and with ALP-fermion couplings
 - top quark!

ALP-top coupling

- ALP associated with a heavy new scale $f_a \gg v$

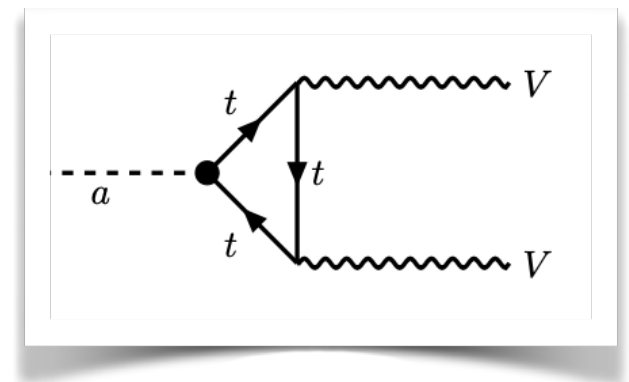
⇒ EFT approach $\mathcal{L} = \mathcal{L}_{SM} + \mathcal{L}_a$

$$\mathcal{L}_a = \frac{1}{2}(\partial_\mu a)(\partial^\mu a) + \frac{1}{2}m_a^2 a^2 + c_{\tilde{W}}\mathcal{O}_{\tilde{W}} + c_{\tilde{B}}\mathcal{O}_{\tilde{B}} + c_{\tilde{G}}\mathcal{O}_{\tilde{G}} + \sum_{f=u,d,e,Q,L} c_f \mathcal{O}_f$$

- couplings to fermions are proportional to the fermion masses
→ special role for the top quark

$$\mathcal{L} \supset -ic_t \frac{m_t a}{2f_a} (\bar{t}\gamma^5 t)$$

- switching on only c_t , the couplings to vector bosons are generated at 1-loop level



Direct ALP searches via top quarks

ALP searches at the LHC via top quarks use high-energy LHC probes in a large mass range:

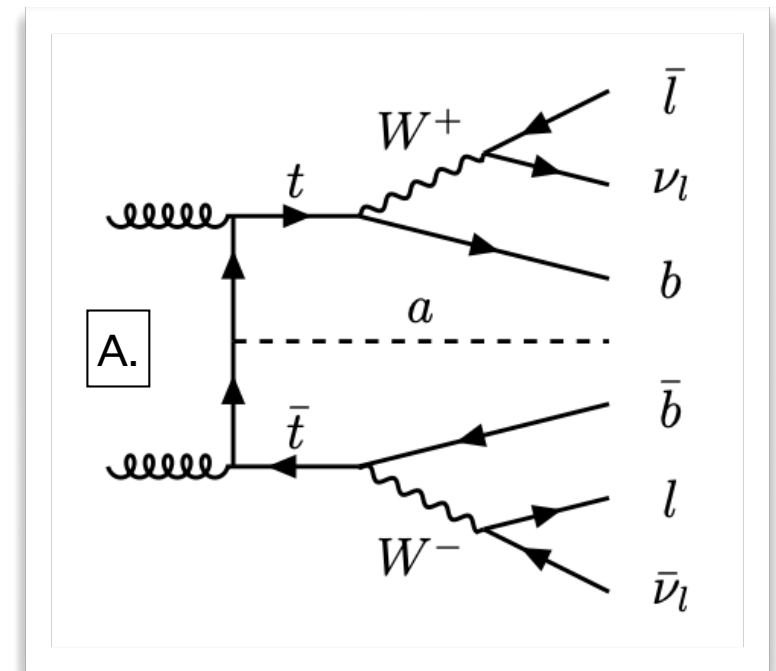
A. direct limits on c_t from associated production of the ALP with top quarks

→ assume the ALP collider stable, escapes the detector as MET

→ reinterpret a Run II ATLAS SUSY search in $2l + 2b + MET$ final state

→ use ALP EFT UFO file and MG5 to generate events

[[FE, Madigan, Sanz, Ubiali '23](#)]



ATLAS top squark search: [[2102.134929](#)]

Indirect ALP searches via top quarks

B. ALP mediated non-resonant top-quark pair production

CMS: [\[2108.02803\]](#), ATLAS: [\[2202.12134\]](#)

C. reinterpret ALP mediated searches with two on-shell gauge boson final states

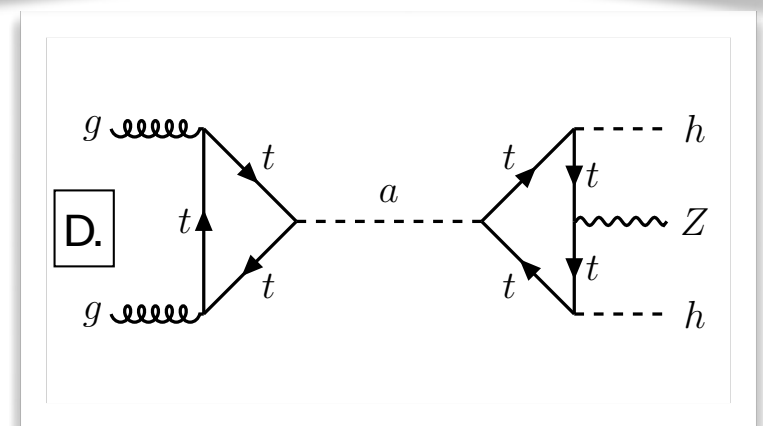
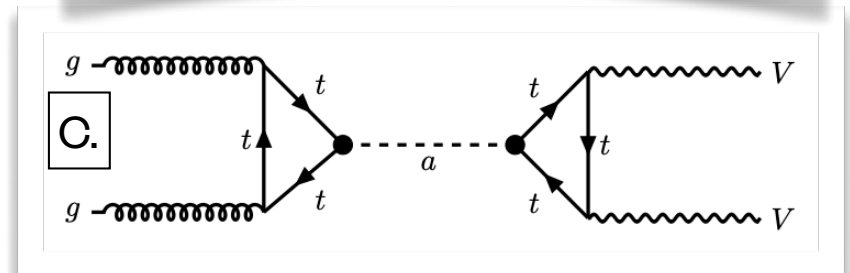
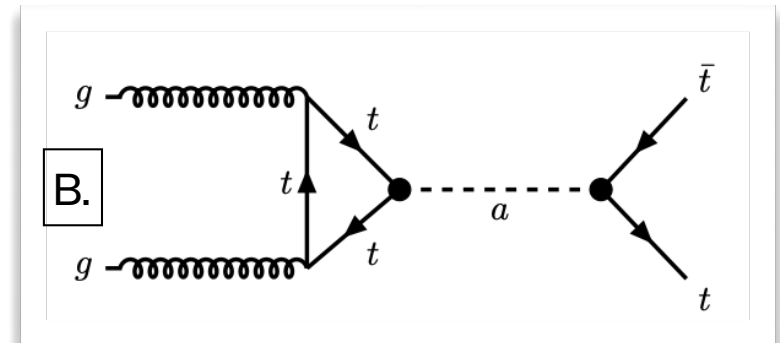
[\[Gavela, No, Sanz, Trocóniz, 2019\]](#), [\[Carra et al., 2021\]](#)

D. reinterpret ALP mediated Di-Higgs production with an associated Z boson

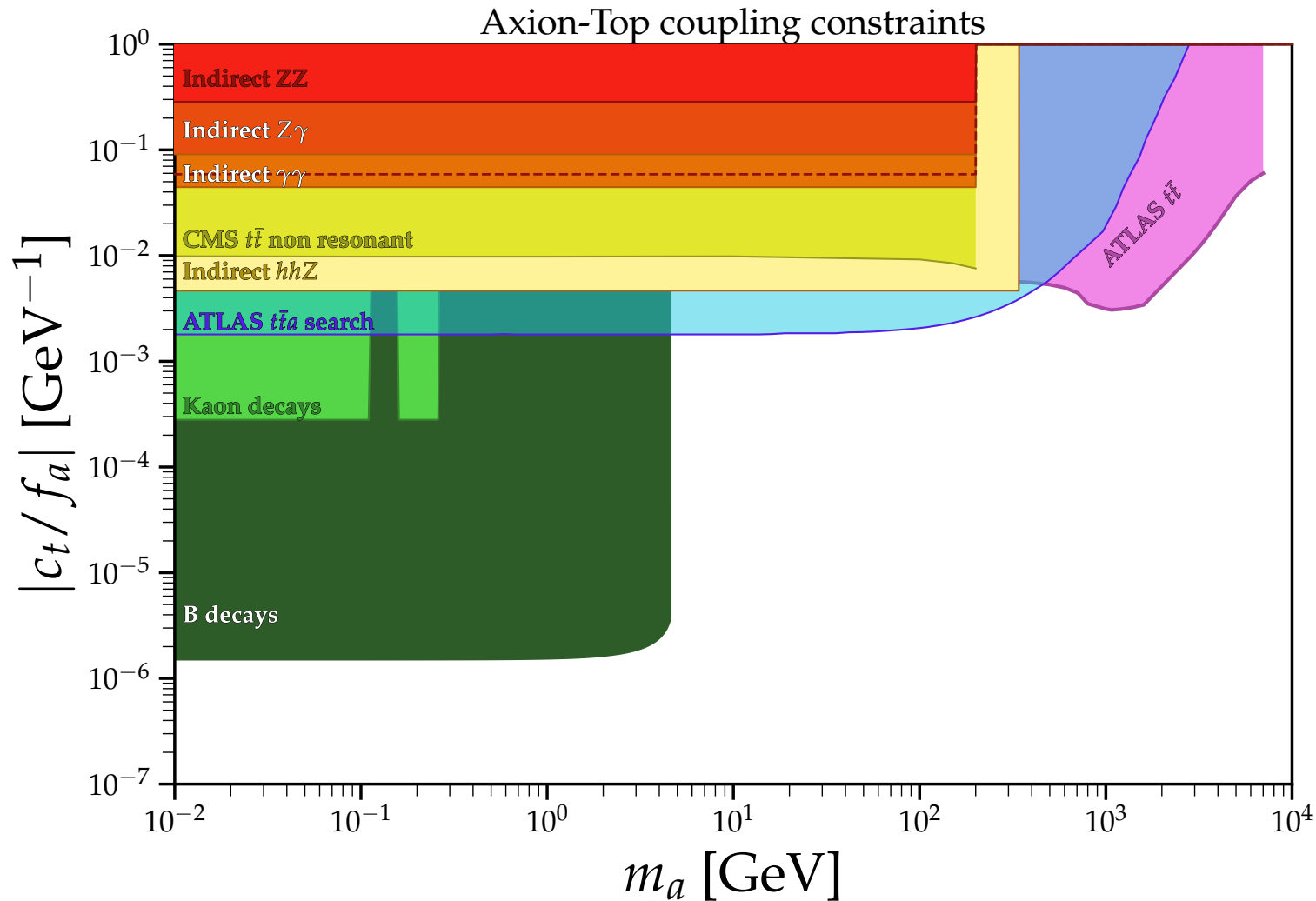
→ We interpret an ATLAS search for a Di-Higgs final state with an undetected Z ($Z \rightarrow \nu\nu$)

→ motivates a dedicated search for Di-Higgs +Z final states

[\[FE, Madigan, Salas-Bernardez, Sanz, Ubiali '24\]](#)



Constraints on the ALP-Top coupling

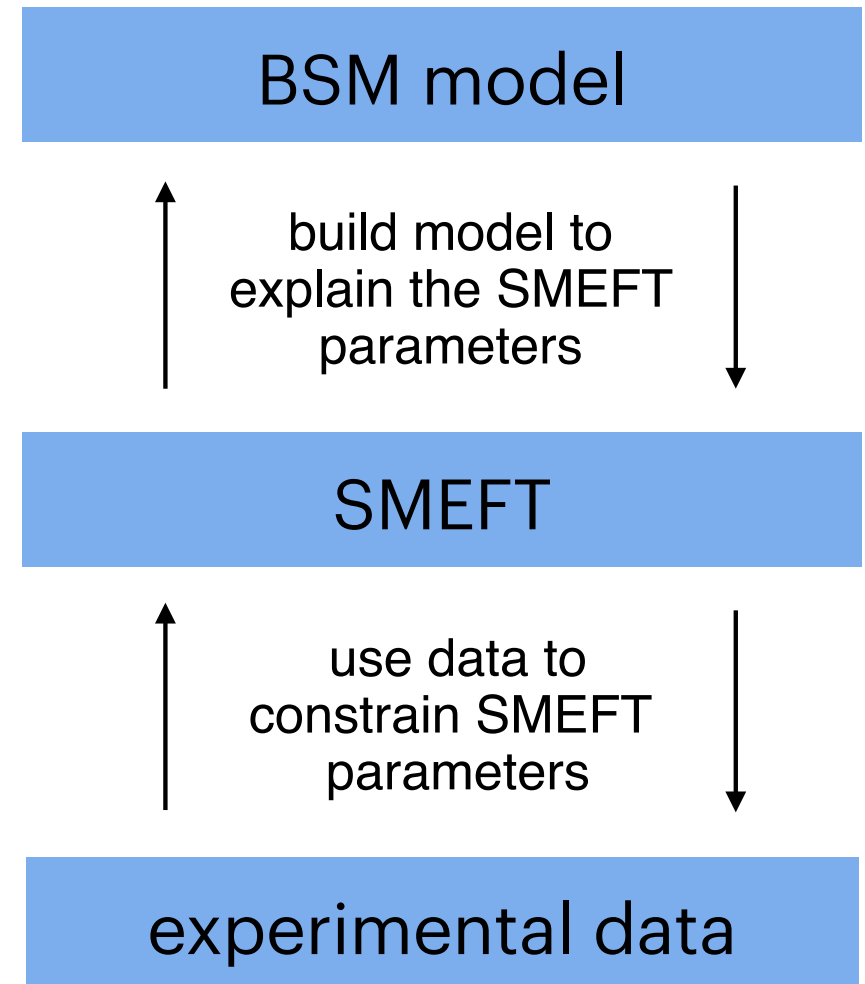


[FE, Madigan, Salas-Bernardez, Sanz, Ubiali '24]

SMEFT

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \delta\mathcal{L}^{d\leq 4} + c_5\mathcal{O}_5 + \sum_i c_{6i}\mathcal{O}_{6i} + \sum_i c_{8i}\mathcal{O}_{8i} + \dots$$

- describe low-energy dynamics independently of the details at high energies
→ series of higher dimensional operators
- split model-to-data analysis in 2 steps:
 1. constrain Wilson coefficients from precise low-energy measurements (e.g. global SMEFT fit)
→ model independent!
 2. motivate and constrain new models to explain the Wilson coefficients

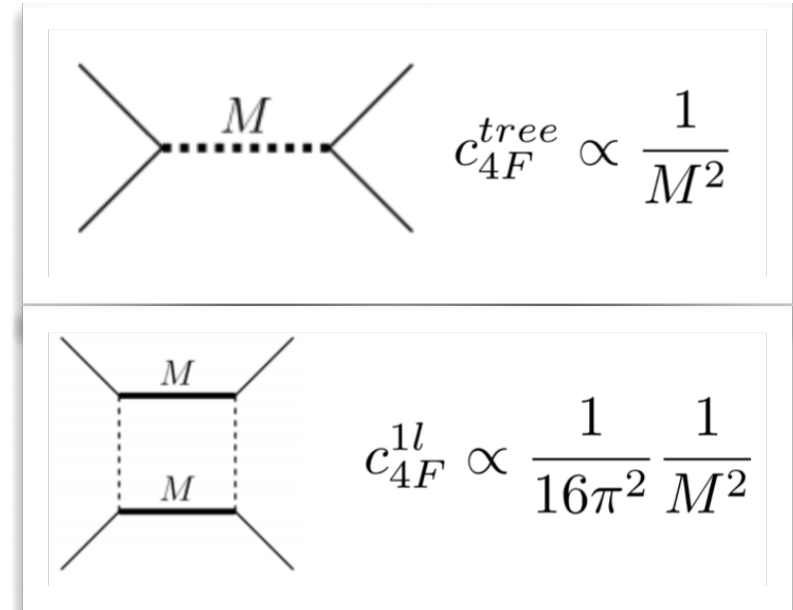


UV benchmark models for 4F operators

- strong SMEFT bounds come from 4F operators
- 4-top operators at dim-6:

$$\mathcal{L}_{4t} = \frac{c_{qq}^{(1)}}{\Lambda^2} (\bar{q}_3 \gamma^\mu q_3) (\bar{q}_3 \gamma_\mu q_3) + \frac{c_{qq}^{(3)}}{\Lambda^2} (\bar{q}_3 \gamma^\mu \tau^I q_3) (\bar{q}_3 \gamma_\mu \tau^I q_3) + \frac{c_{qu}^{(1)}}{\Lambda^2} (\bar{q}_3 \gamma^\mu \tau^I q_3) (\bar{u}_R \gamma_\mu \tau^I u_R) \\ + \frac{c_{qu}^{(8)}}{\Lambda^2} (\bar{q}_3 \gamma^\mu T^A q_3) (\bar{u}_R \gamma_\mu T^A u_R) + \frac{c_{uu}}{\Lambda^2} (\bar{u}_R \gamma^\mu \tau^I u_R) (\bar{u}_R \gamma_\mu \tau^I u_R)$$

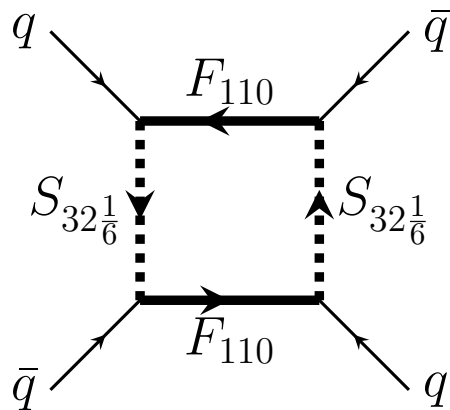
- 4F operators at 1-loop via box diagrams could contain lighter resonances than at tree-level
 - Interesting interplay between constraints from low-energy precision measurements and direct collider searches
 - automatically guaranteed for DM candidates with Z_2 symmetry



SMEFT benchmark models for first generation

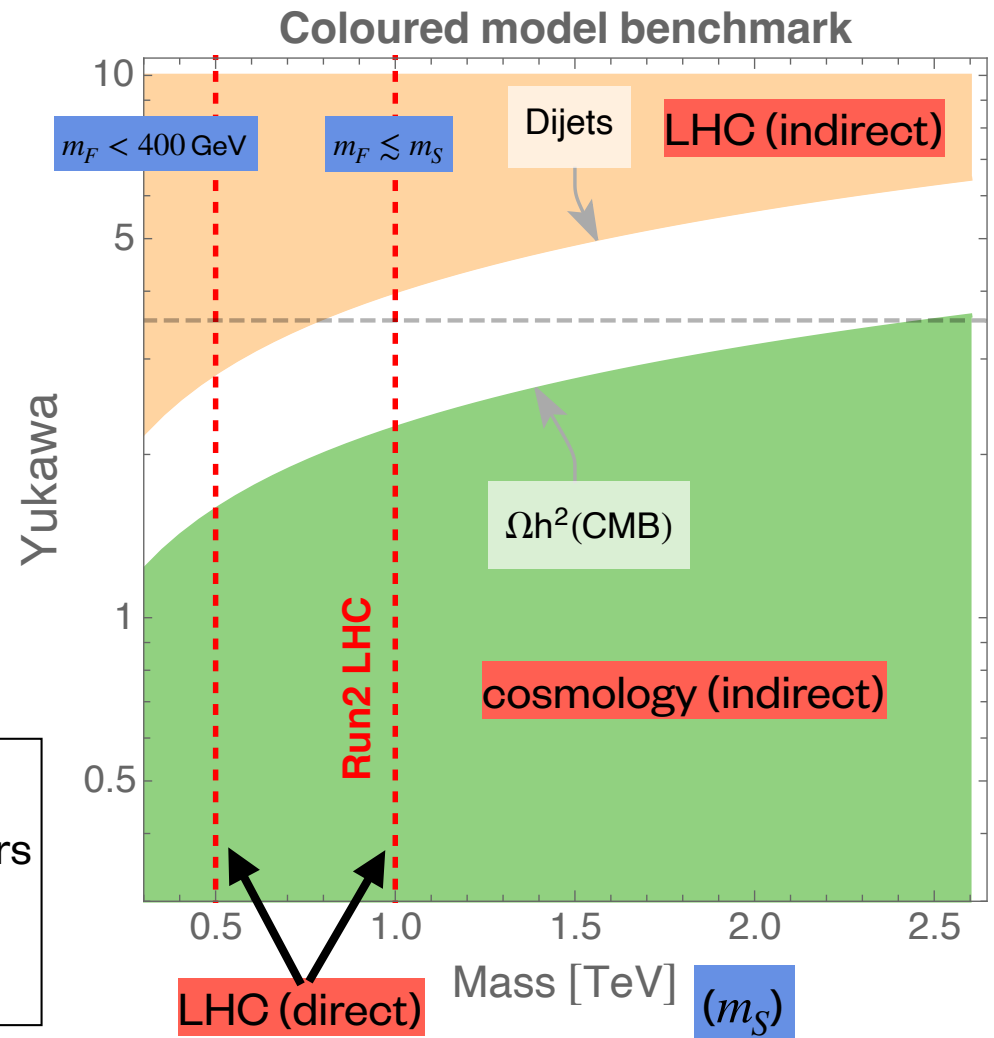
Models with DM candidates (e.g. F_{110}) are 2-particle extensions, constrained by

- the DM relic abundance
- indirect LHC searches
- direct LHC searches



- 1st and 2nd generation: strong constraints from low-energy measurements, need heavy partners
- 3rd generation: top partners need to be light!
⇒ Completely different pheno!

[Cepedello, FE, Hirsch, Sanz '23]



SMEFT benchmarks for third generation

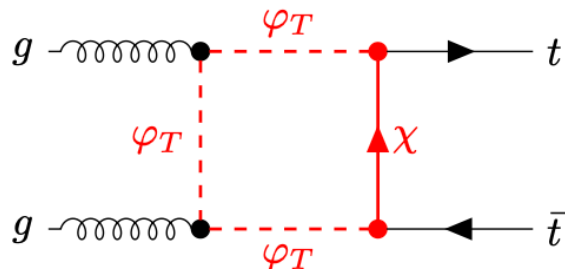
Scalar top partner φ_T and fermionic DM candidate χ

$$\mathcal{L}_{BSM} = \bar{\chi} \left(i\not{\partial} - \frac{1}{2}m_\chi \right) \chi + |D_\mu \varphi_T|^2 - m_T^2 |\varphi_T|^2 - (y_{DM} \varphi_T^\dagger \bar{\chi} t_R + h.c.)$$

1.) Indirect searches in $pp \rightarrow t\bar{t}$:

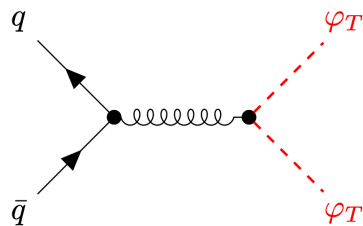
For $\sqrt{s} \sim \sqrt{5}m_T$ the validity of the EFT is not guaranteed

→ 1-loop calculation in the full UV theory

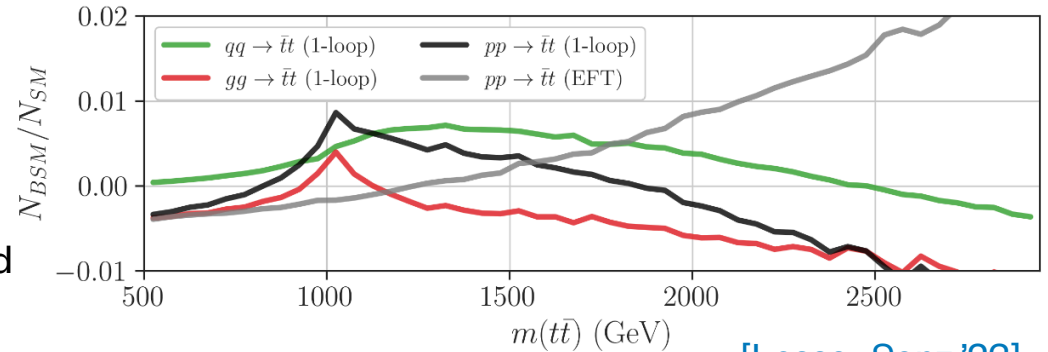


Visible effects in $m_{t\bar{t}}$ and p_T distribution

2.) Direct searches: on-shell φ_T production with $t + \chi$ decay, $t\bar{t} + \text{MET}$ final state

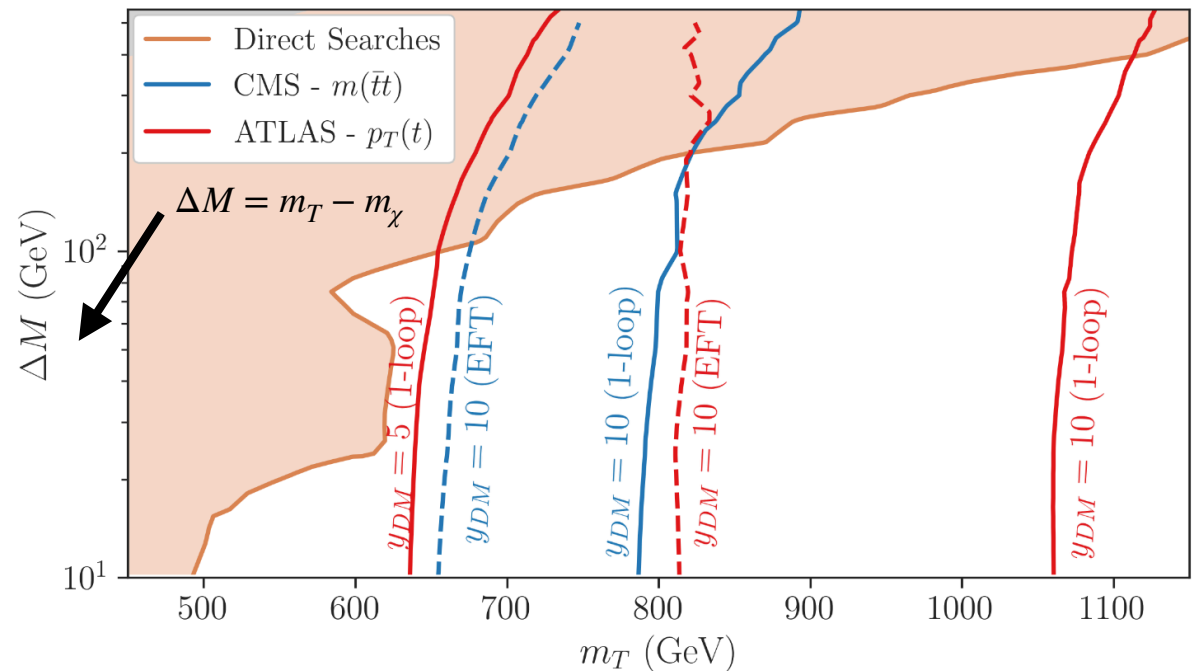


Distributions for $t\bar{t}$ invariant mass for $m_T = 500$ GeV, $m_\chi = 400$ GeV, $y_{DM} = 5$



[Lessa, Sanz '23]

LHC Constraints



Summary

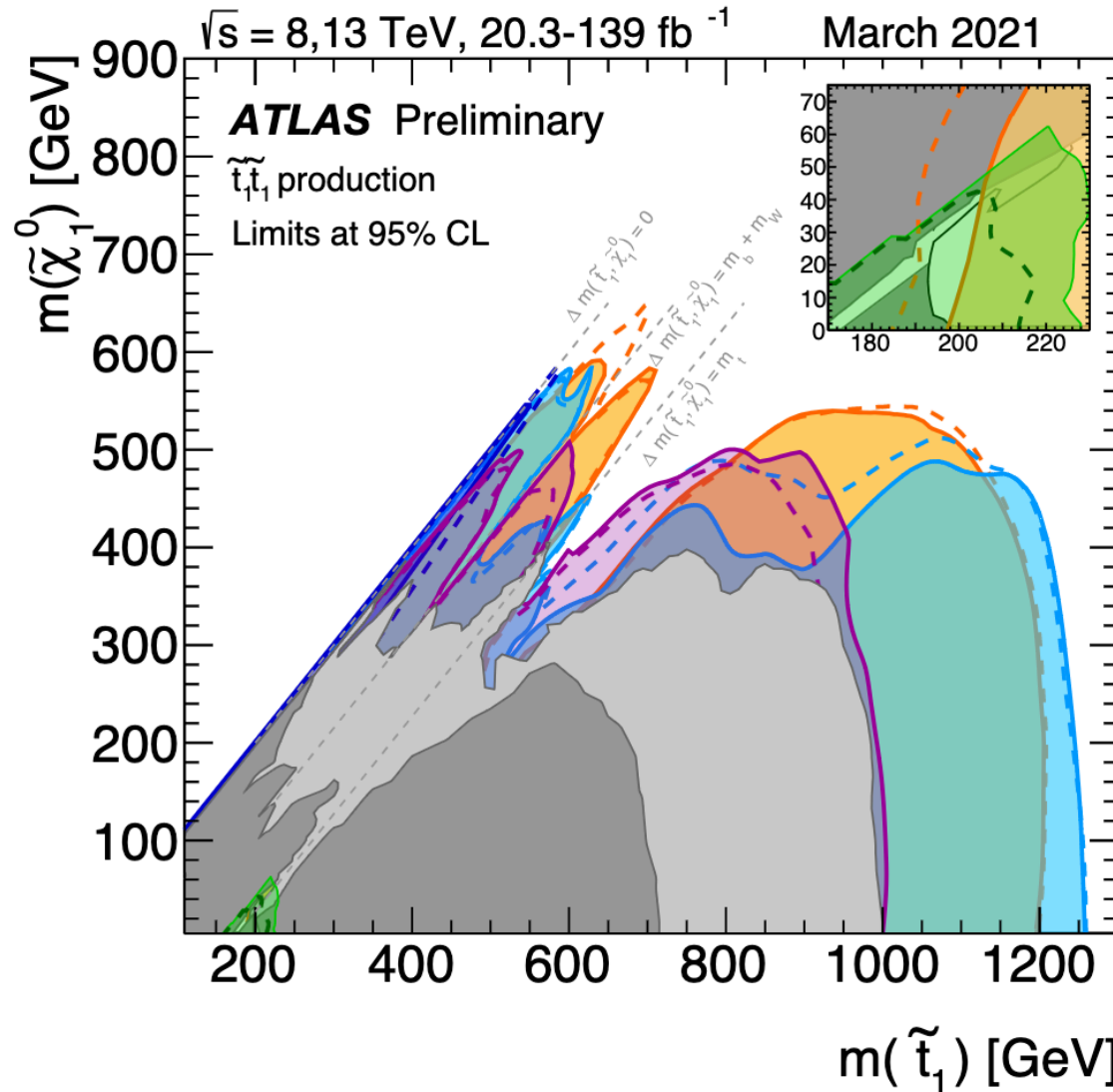
- Top quarks are strongly connected to the EW sector due to their heavy mass
- Top quarks are well-suited for EW precision physics
- Existence of a light scalar (Higgs) motivates searches for (light) top-partners (e.g. Supersymmetry, Composite Higgs models)
- Additionally, top quarks couple strongly to ALPs and play a key role in the search for ALPs
- Furthermore, operators involving top-quarks can be used in the SMEFT framework to classify and constrain new BSM models including DM

Thank you!



Back-up slides

Third generation SUSY searches



[SUSY March 2021 Summary Plot Update](#)

- Observed limits
- - - Expected limits

- Data 15-18, $\sqrt{s} = 13 \text{ TeV}, 139 \text{ fb}^{-1}$
- █ monojet, $\tilde{t}_1 \rightarrow bff' \tilde{\chi}_1^0$
 [2102.10874]
- █ 0L, $\tilde{t}_1 \rightarrow t\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bW\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bff' \tilde{\chi}_1^0$
 [2004.14060]
- █ 1L, $\tilde{t}_1 \rightarrow t\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bW\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bff' \tilde{\chi}_1^0$
 [2012.03799]
- █ 2L, $\tilde{t}_1 \rightarrow t\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bW\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bff' \tilde{\chi}_1^0$
 [2102.01444]

- Data 15-16, $\sqrt{s} = 13 \text{ TeV}, 36.1 \text{ fb}^{-1}$
- █ $\tilde{t}_1 \rightarrow t\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bW\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bff' \tilde{\chi}_1^0$
 [1709.04183, 1711.11520,
 1708.03247, 1711.03301]
- █ $t\tilde{t}, \tilde{t}_1 \rightarrow t\tilde{\chi}_1^0$
 [1903.07570]

- Data 12, $\sqrt{s} = 8 \text{ TeV}, 20.3 \text{ fb}^{-1}$
- █ $\tilde{t}_1 \rightarrow t\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bW\tilde{\chi}_1^0 / \tilde{t}_1 \rightarrow bff' \tilde{\chi}_1^0$
 [1506.08616]

Reinterpreting a SUSY top-squark search

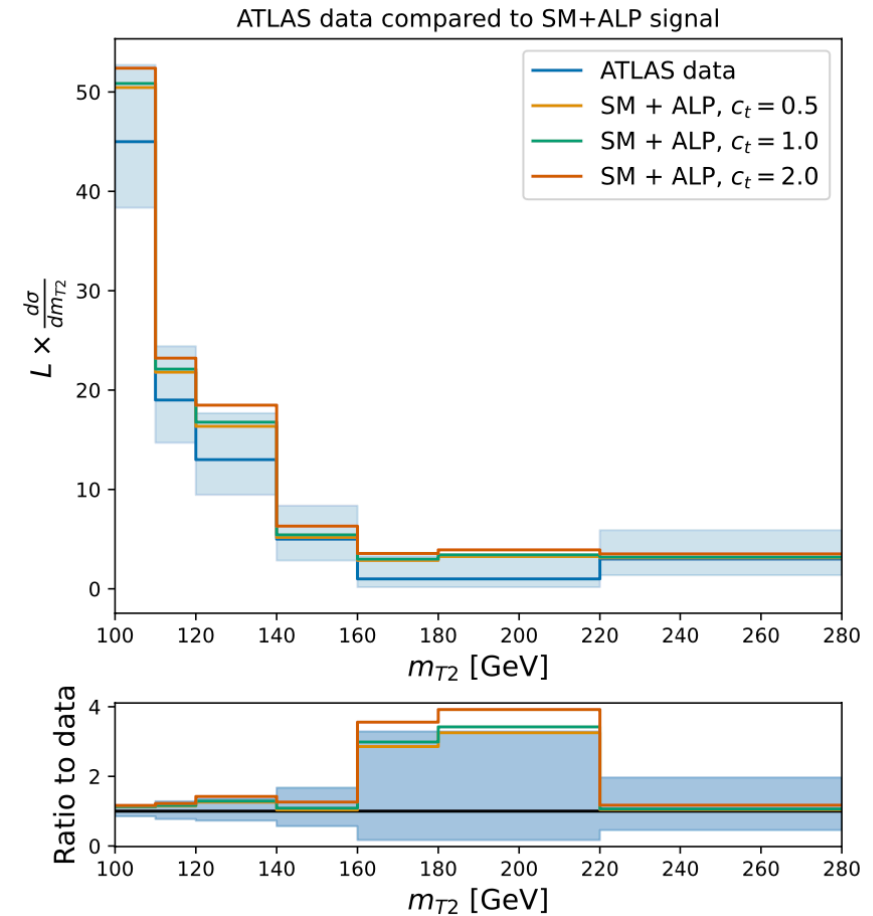
- SM background, ALP signal and SUSY benchmarks all lead to the **same final state topology** of

$$2l + 2j + MET$$

$$\text{with } MET = \begin{cases} \nu & SM \\ \nu + a & ALP \\ \nu + \tilde{\chi}^0 & SUSY \end{cases}$$

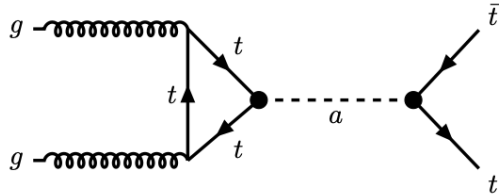
- compare ALP signal + SM background for different c_t to data
- assume a Poisson likelihood and use a profiled likelihood ratio to derive limits on c_t/f_a

$$\left| \frac{f_a}{c_t} \right| > 552.2 \text{ GeV at 95\% CL}$$



Indirect constraints on c_t

A. ALP mediated $t\bar{t}$ production:



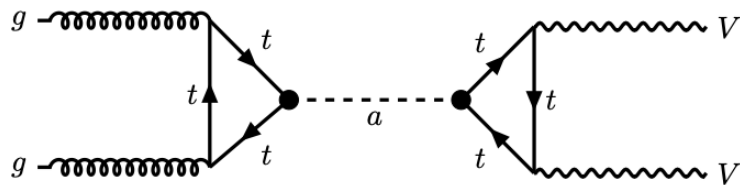
light off-shell ALP contributing non-resonantly to $gg \rightarrow a \rightarrow t\bar{t}$,
 calculate at tree-level with effective coupling $c_{agg}^{eff} = -\frac{\alpha_s}{8\pi}c_t$

1. **CMS: $m_{t\bar{t}}$ distribution** in the lepton + jets channel,
 Run-II data [\[2108.02803\]](#), lower bins and ALP-SM interference dominate:
2. **ATLAS: p_T spectrum** of the boosted hadronically decaying top-quark
[\[2202.12134\]](#), dominated by high bins and pure ALP signal

$$\left| \frac{f_a}{c_t} \right| > 103.1 \text{ GeV at 95\% CL}$$

$$\left| \frac{f_a}{c_t} \right| > 169.5 \text{ GeV at 95\% CL}$$

B. ALP mediated diboson production



Non-resonant searches with ALP as off-shell mediator of a $2 \rightarrow 2$ scattering process

Constraints on g_{aVV} through $gg \rightarrow VV$ diboson production,
 data from CMS search at $\sqrt{s} = 13 \text{ TeV}$ [\[Gavela, No, Sanz, Trocóniz, 2019\]](#)
[\[Carra et al., 2021\]](#)

VV	lower limit on $\frac{f_a}{c_t}$
ZZ	3.5 GeV
$\gamma\gamma$	22.5 GeV
Z γ	11.0 GeV

Summary of constraints from Run-II data

ALPs: current collider constrains for different choices of $|c_t|$

