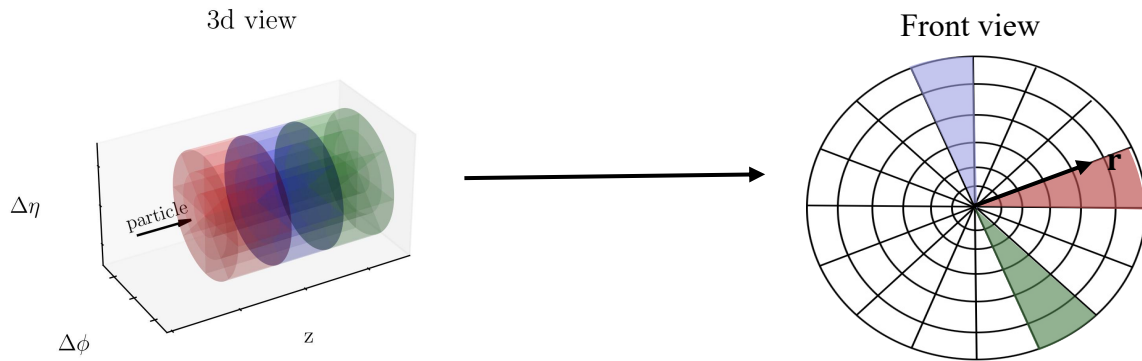


# **CaloLatent: Score-based Generative Modelling in the Latent Space for Calorimeter Shower Generation**

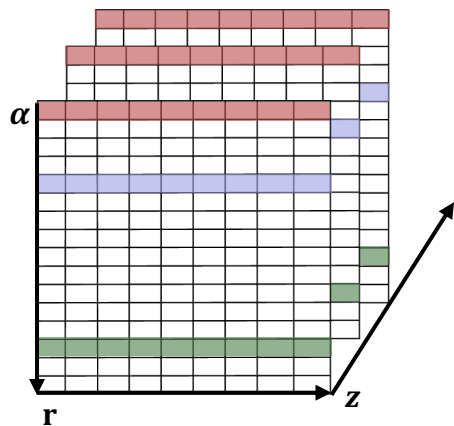
**Thandikire Madula: UCL**

Vinicius M. Mikuni: NERSC

# Surrogates for Calorimeter showers



Dataset Array format



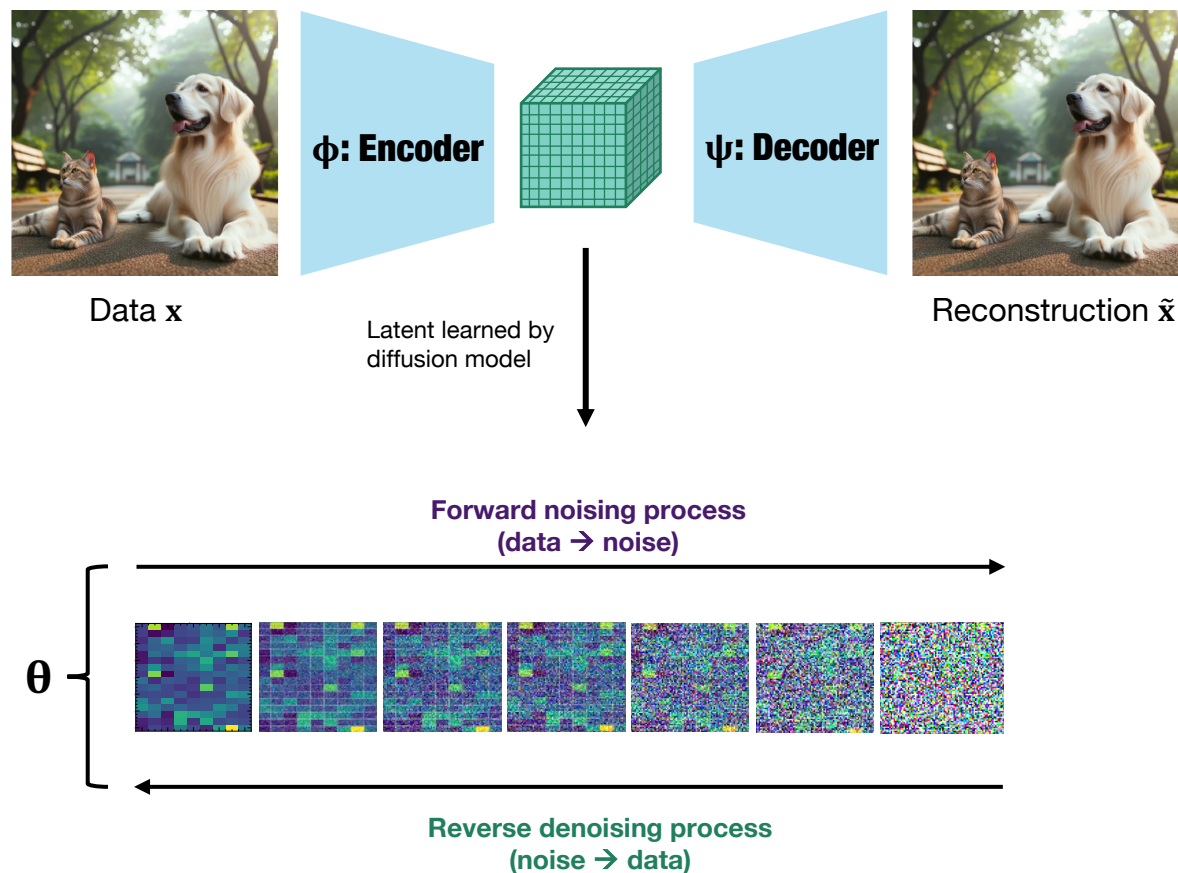
## Data Processing

1. Normalise:  $\frac{X}{E_{layer}}$
2. Minmax:  $\frac{X - X_{min}}{X_{min} - X_{max}}$
3. Logit:  $\frac{u - \alpha}{(1 - 2\alpha)u}$ ,  $u = \frac{e^X}{1 + e^X}$
4. Standardise:  $\frac{X - \mu}{\sigma}$

- Calorimeter shower simulation is a costly step in the simulation pipeline
- As experiment luminosity and calorimeter granularity increase, this bottleneck worsens
- This motivates the development of fast surrogate models in a bid to alleviate this problem
- We **focus on the dataset 2** of the fast calorimeter challenge to evaluate our proposed surrogate model
- $45 \times 16 \times 9 = 6480$

# Latent Diffusion

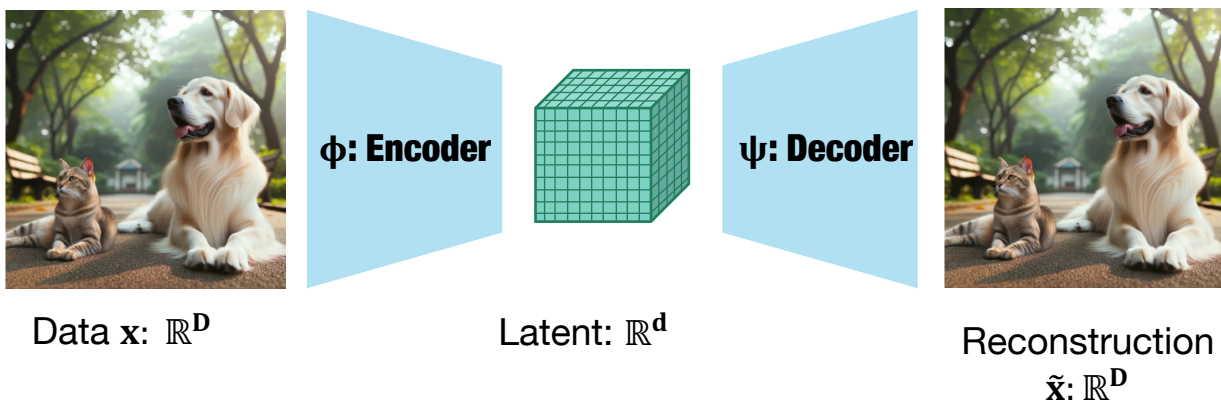
Rombach et al: [arXiv:2112.10752v2](https://arxiv.org/abs/2112.10752v2) [cs.CV]



- **Main idea:**
  - a) Map the data into a reduced latent space representation using a variational autoencoder
  - b) Train a diffusion model in the VAE bottleneck
  - c) Generate samples  $\tilde{x} = \psi(\theta(z)), z \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- **Motivation:**
  - **Reduced data dimensionality**, diffusion models are in general slower to sample from than other generative models. If we only diffuse in the latent, we should speed up sample generation
  - **Better reconstruction**, we can generate samples using an approximation of the “true” latent instead of a multivariate normal
- Generation speed exclusively determined by diffusion model and decoder; encoder only relevant at train time

# Variational AutoEncoders (VAEs)

VAEs: D. Kingma, M.Welling [arXiv:1312.6114v11](https://arxiv.org/abs/1312.6114) [stat.ML]



$$\mathcal{L}_{VAE} = \mathbb{E}_{q_{\phi}(z|x)} [-\log p_{\psi}(x|z)] + \beta \text{KL}[q_{\phi}(z|x) || p(z)]$$

↓  
Reconstruction term

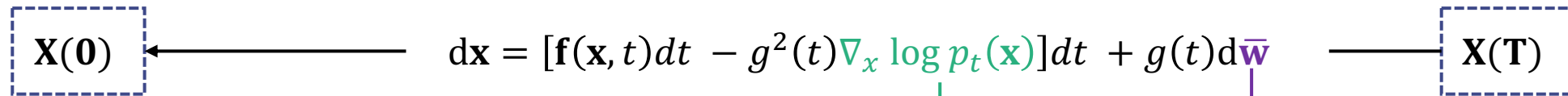
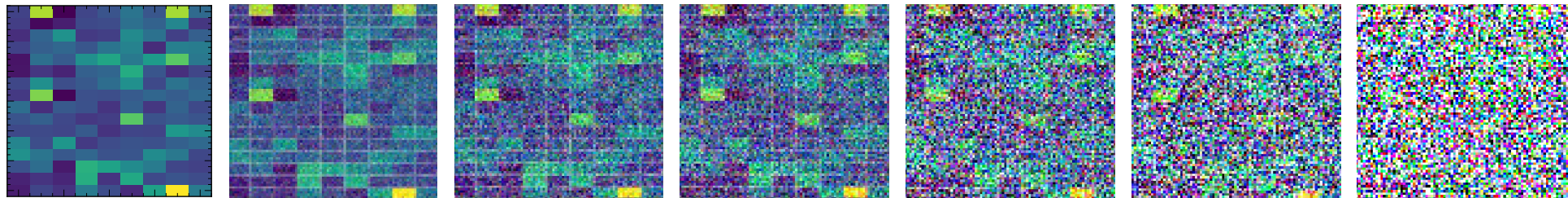
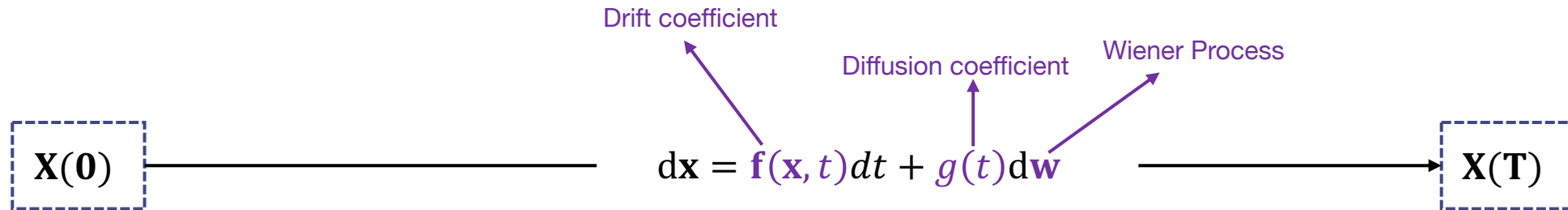
↓  
Regularisation weight

↓  
Regularisation term

- In latent diffusion, having a good VAE backbone is crucial
- The upper bound of the latent diffusion is set by the VAE i.e, latent diffusion model can only be as good as or worse than  $\tilde{x} = \psi(\phi(x))$
- With VAE's there is a balancing act happening:
  - With minimal regularization (small  $\beta$ ), the VAE excels in reconstruction, however, the latent representation may lack smoothness. This would necessitate a more elaborate diffusion model to capture the complexity. More elaborate = longer sampling time!
  - With  $\beta = 1$  the latent space will be more gaussian, a simpler diffusion model can be used since you are trying to map almost gaussian  $\rightarrow$  gaussian. Simpler == shorter sampling time. However, with heavy regularisation we sacrifice reconstruction quality!

# Score-based Generative Modelling

Score Models: Song *et al* [arXiv:2011.13456v2](https://arxiv.org/abs/2011.13456v2) [cs.LG]



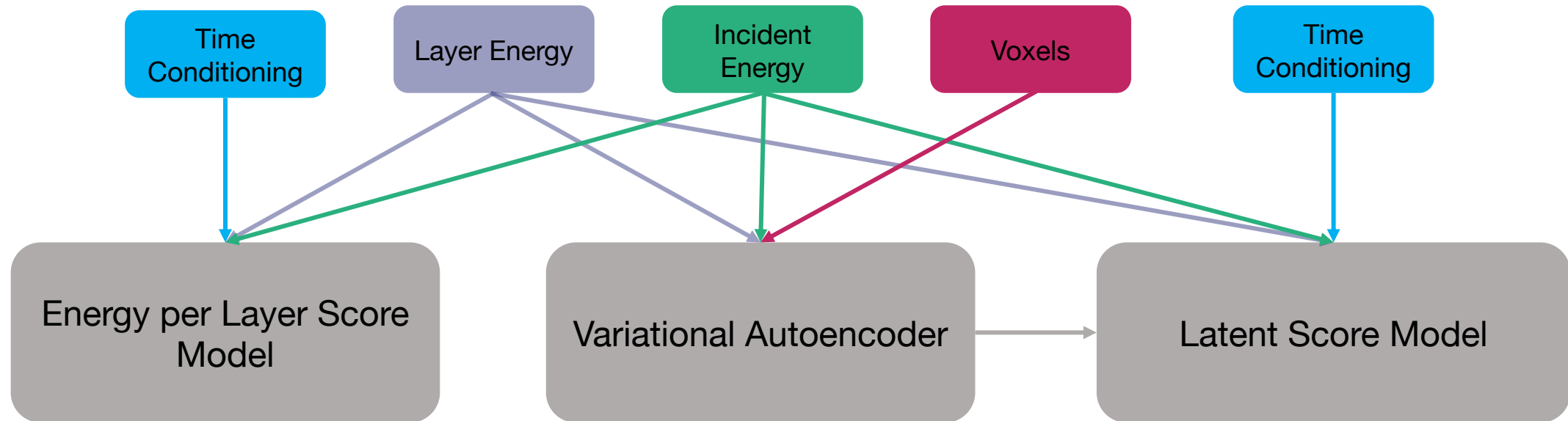
- Choose  $f$  and  $g$  so that the convolving kernel is a gaussian
- Generate samples from solving reverse SDE



Not real sample from latent space. Representational only!

# CaloLatent: The Three Musketeers

ResNet: He *et al* [arXiv:1512.03385v1](https://arxiv.org/abs/1512.03385v1) [cs.CV]



- Used to learn the energy per layer distribution
- **ResNet: 3 layers, 512 nodes**
- Trained independently for 500 epochs

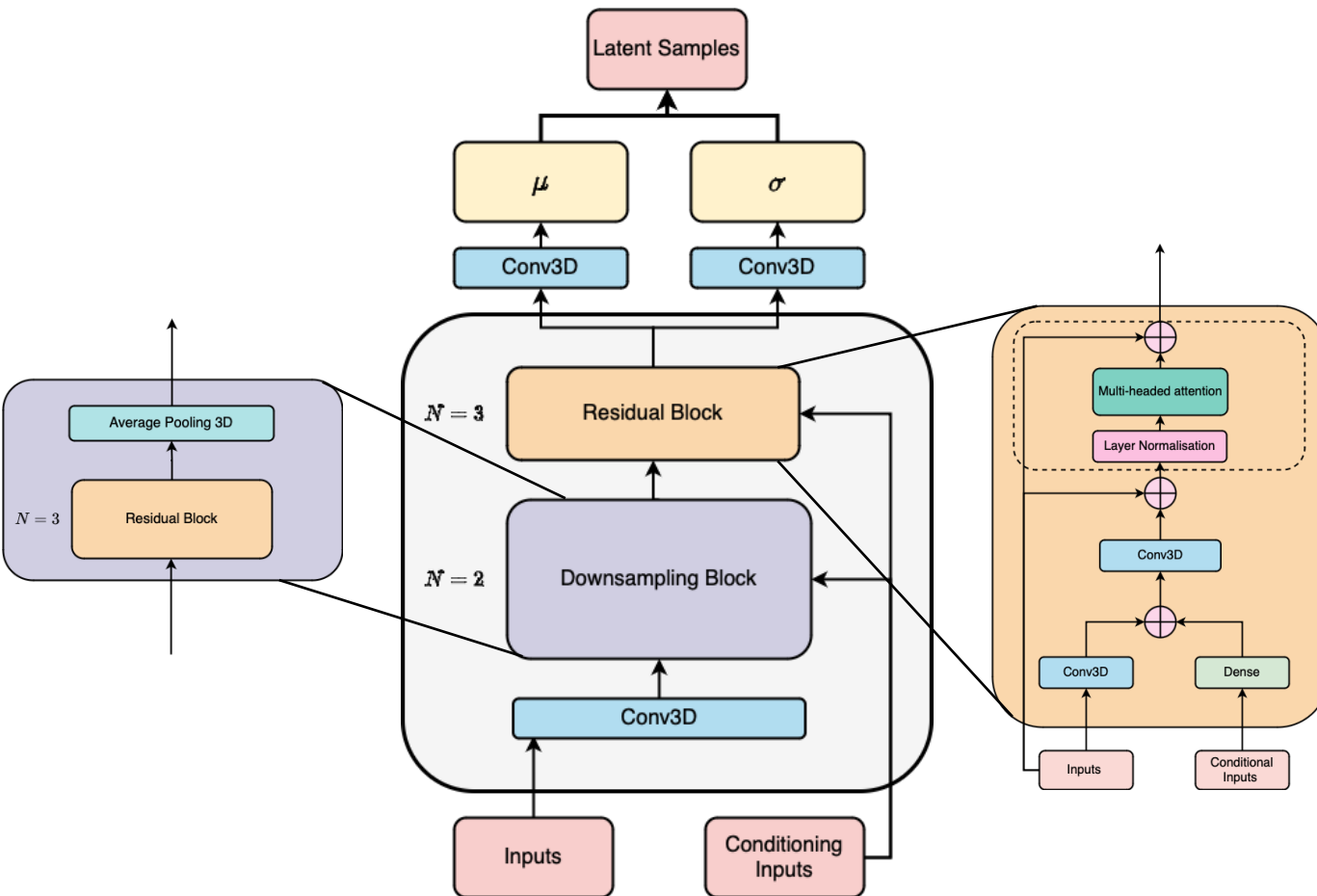
- **Encoder: 8.8M params.**
- **Decoder: 1.9M params.**
- Trained for 500 epochs
- Minimal KL:  $\beta = 1e^{-6}$

- **ResNet: 6.5M params.**
- Trained independently for 250 epochs after VAE and Layer model



All models trained using 4 A100 GPUs, total training time < 36 hours

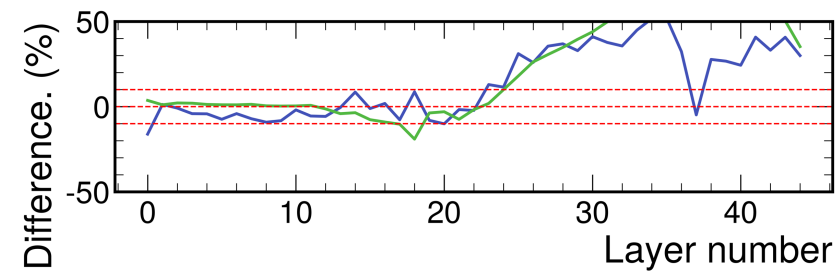
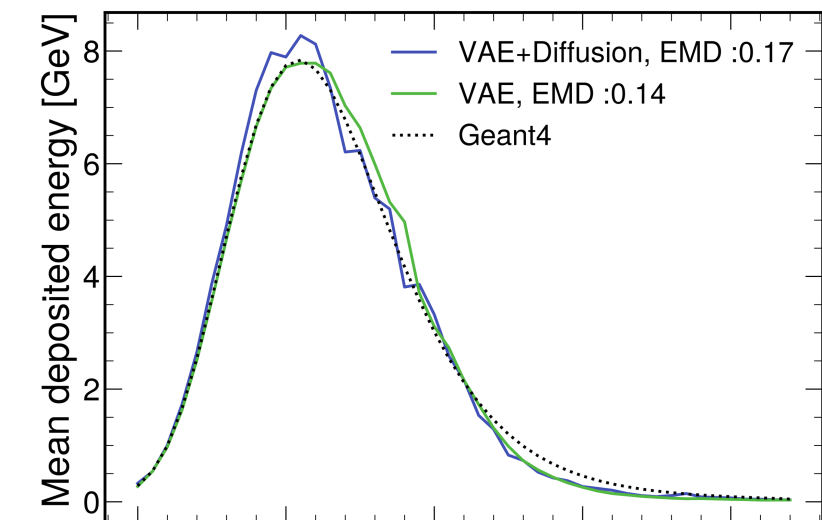
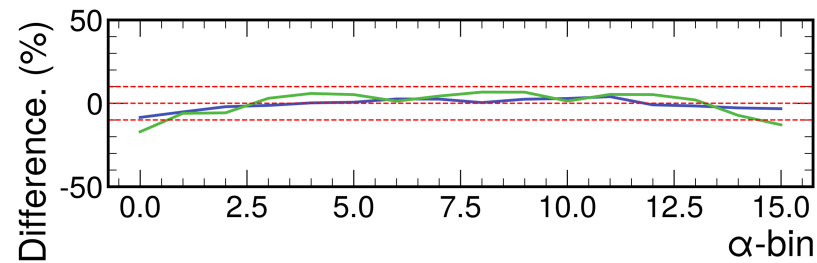
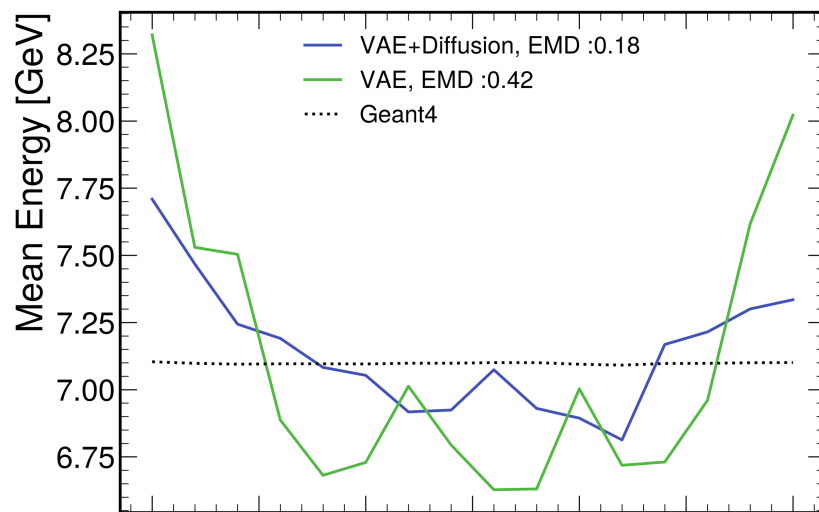
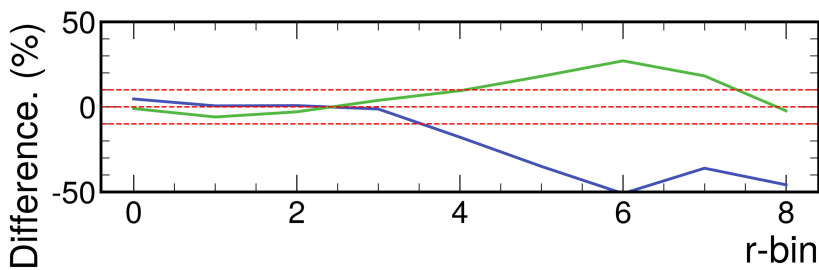
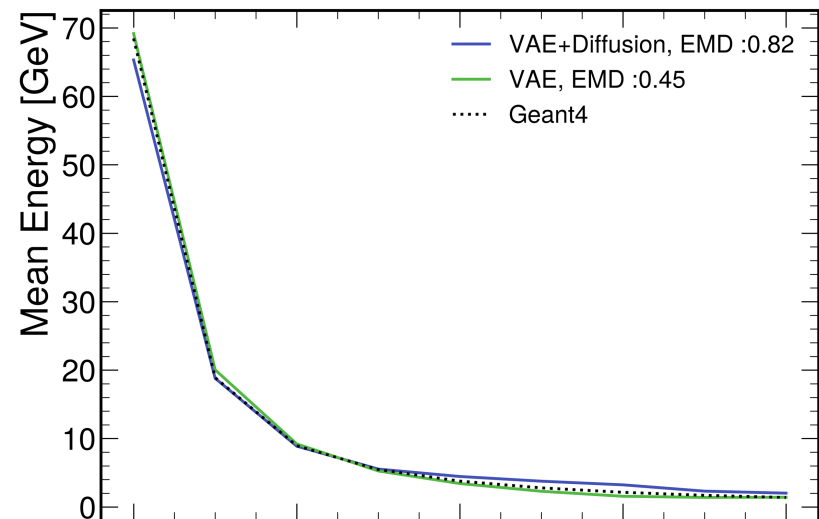
# Model Architecture: VAE Encoder



- The VAE backbone for CaloLatent is a 3D convolutional neural network with residual blocks.
- Reduce data dimensionality from **6408**  $\rightarrow$  **1008**
- Increase the number of channels from **1**  $\rightarrow$  **64**  $\rightarrow$  **128**  $\rightarrow$  **256** as we down sample to account for information loss
- Only apply attention in final residual blocks
- Decoder is inversion of Encoder, however, doesn't have residual blocks after up sampling.

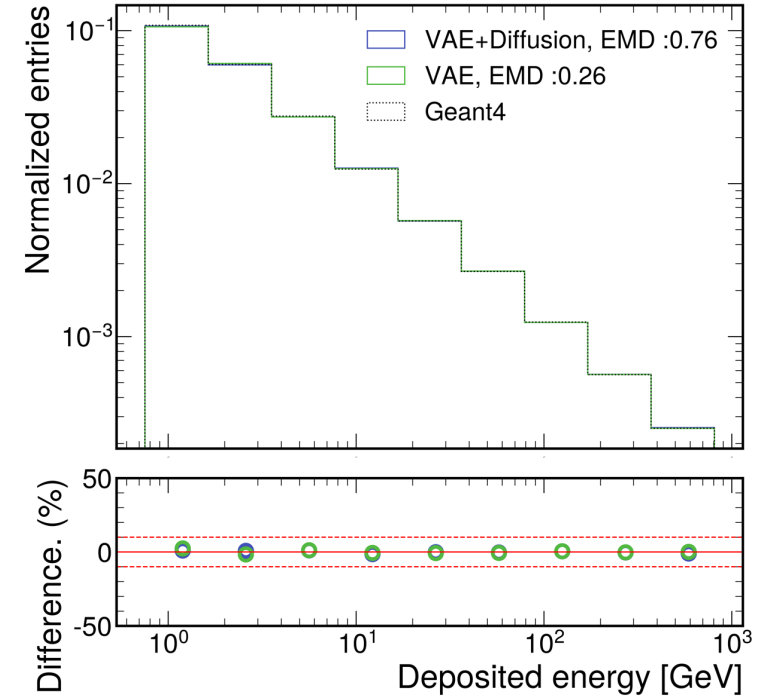
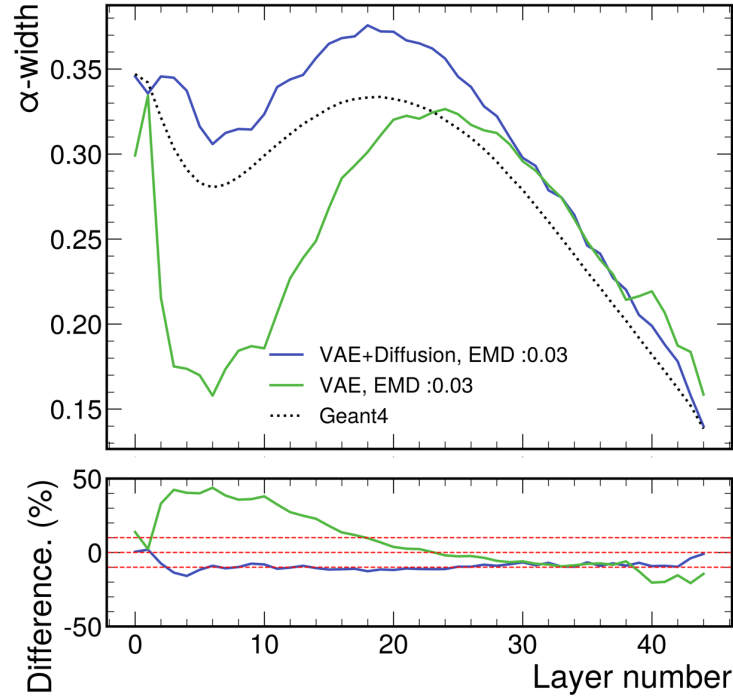
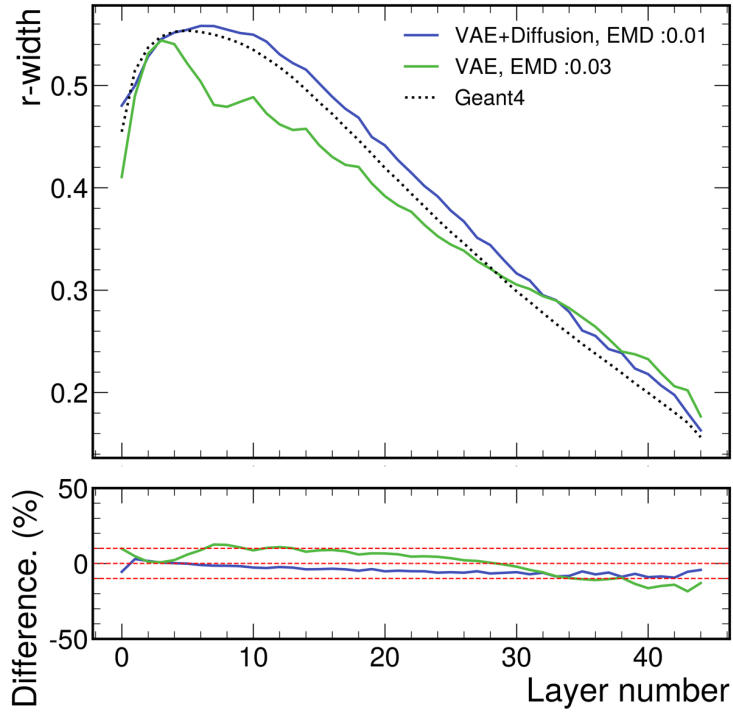


# Results





# Results



$$\sigma_i = \sqrt{\langle x_i^2 \rangle - \langle x_i \rangle^2} \quad , \quad \langle x_i \rangle = \frac{\sum_j x_{i,j} E_j}{\sum_j E_j}$$

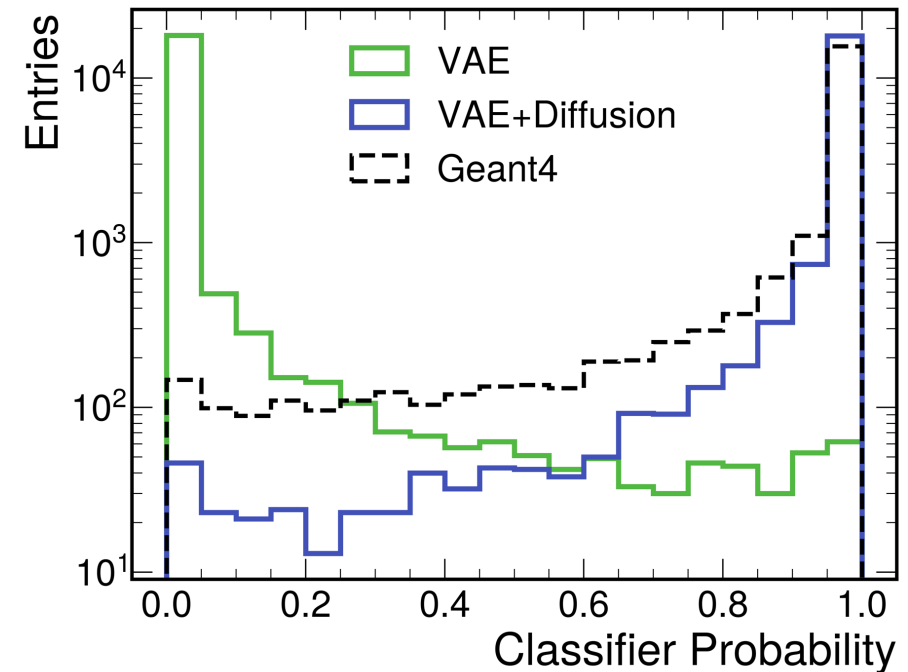
# Model Evaluation: Sample Quality

## Calorimeter Challenge Classifier Metrics (Model vs Geant4)

Classifier Inputs	AUC/JSD	
	VAE	VAE + Diffusion
Low-level	0.9951 / 0.8748	0.9865 / 0.7868
Norm. Low-level	0.9947 / 0.8907	0.9808 / 0.7614
High-level	0.9924 / 0.8462	0.9662 / 0.6595

- Optimal AUC/JSD: 0.5 / 0.0
- Low-level → Voxel level variables
- High-level → Histogram level Variable
- VAE + Diffusion → CaloLatent

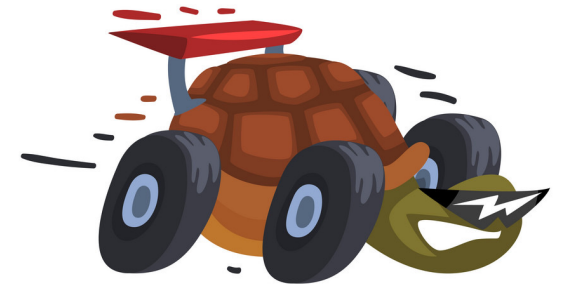
## Alternative Classifier Formulation ( VAE vs CaloLatent)



# Model Evaluation: Sampling Time

Model	Time to 100 Showers [s]
Geant4	$\mathcal{O}(10^4)$
CaloScore	5.8
CaloScore V2*	27.8
CaloLatent	1.9

- All models evaluated using a single A100 GPU
- CaloScore V2 trains an additional student teacher model to reduce evaluation time to 0.01s for 100 showers



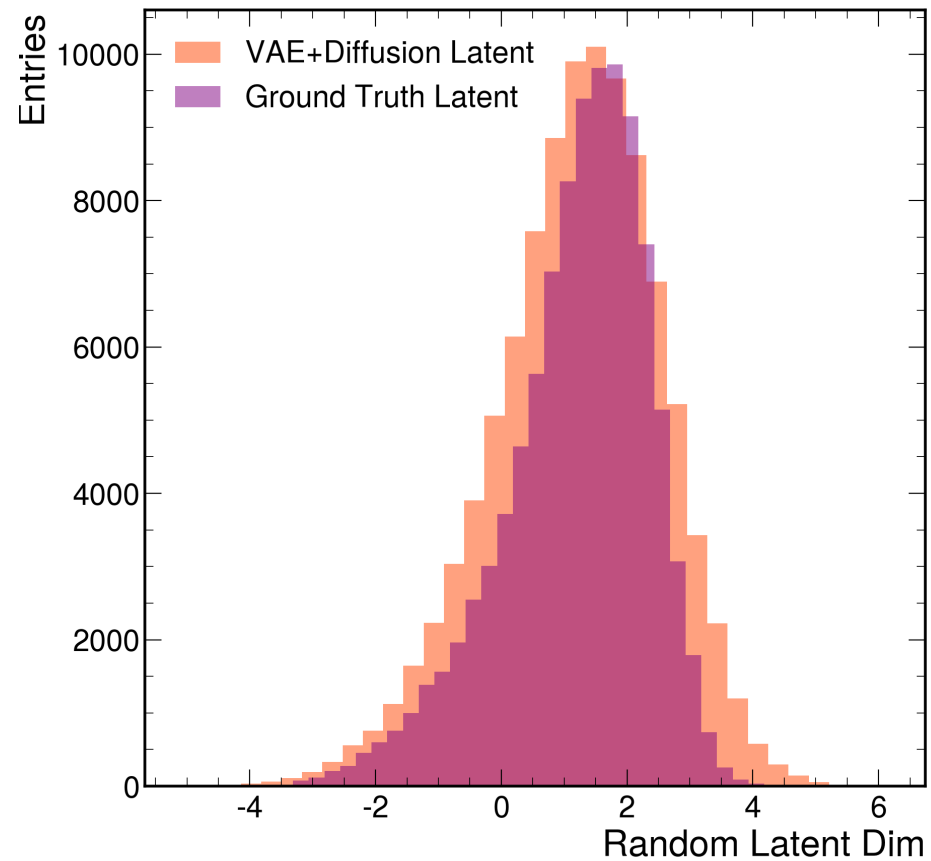
# Conclusion

## Summary

- Have introduced CaloLatent, a latent diffusion-based model
- Demonstrated promising sample generating abilities, with plenty of room for improvement
- Demonstrated faster sampling time compared to similar published diffusion models

## Further development

- Improve latent models' ability to capture latent distribution
  - Larger/different architecture
  - Hyperparameter optimisation
- Improve sample generation
  - Larger latent
  - Larger encoder



Looking at a random slice of the diffusion latent, compared to the "true latent" produced by the encoder