

# Off-Shell Processes from Generative Networks

Anja Butter<sup>1,3</sup>, Tomáš Ježo<sup>2</sup>, Michael Klasen<sup>2</sup>,  
**Mathias Kuschick**<sup>2</sup>, Sofia Palacios Schweitzer<sup>1</sup> and Tilman Plehn<sup>1</sup>

**1** Institut für Theoretische Physik, Universität Heidelberg, Germany

**2** Institut für Theoretische Physik, Universität Münster, Germany

**3** LPNHE, Sorbonne Université, Université Paris Cité, CNRS/IN2P3, Paris, France

## Introduction

Off-shell vs on-shell effects

Direct Diffusion

## Results

Direct Diffusion only

Direct Diffusion reweighted

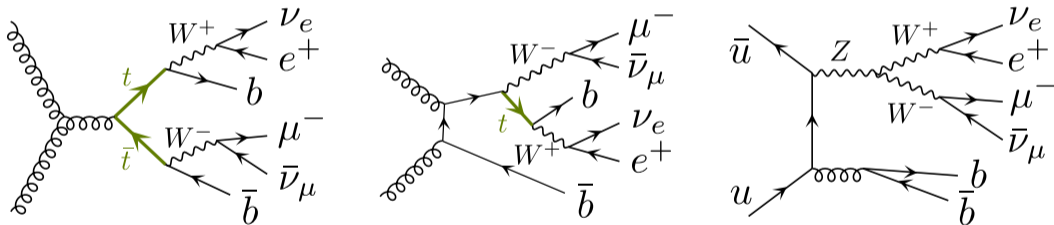
Conclusion and Outlook

## Introduction

- Fast and precise predictions of event kinematics from first principles are the basis of every LHC analysis
- Two challenges:
  - Conceptual problems to overcome: e.g. dealing with loop diagrams with many scales
  - Technical problems: increased precision comes with higher computational cost
- In this talk (and the corresponding paper) we focus on off-shell effects
  - Given the precision targets of the upcoming LHC runs, off-shell approximation is not justified
  - High computational cost of exact calculation
  - Neural-network surrogates: trained once, evaluated in parallel on GPUs

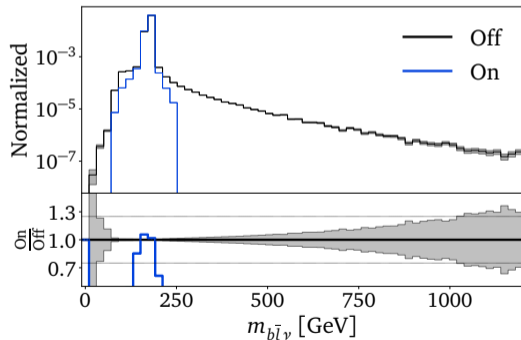
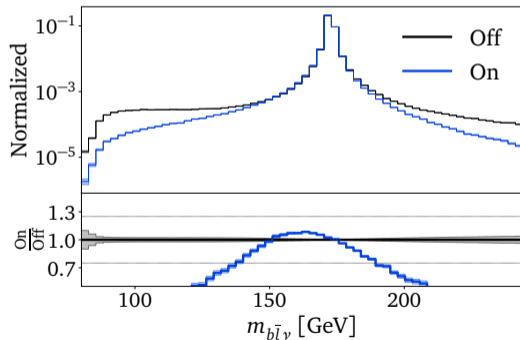
## Off-shell vs on-shell effects

- For a proof of concept we are interested at the leading order in QCD dominated by  $t\bar{t}$  production and dileptonic decay

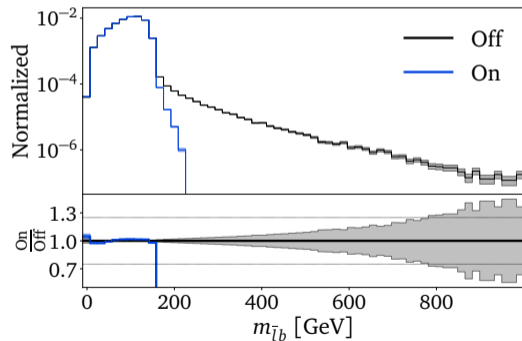
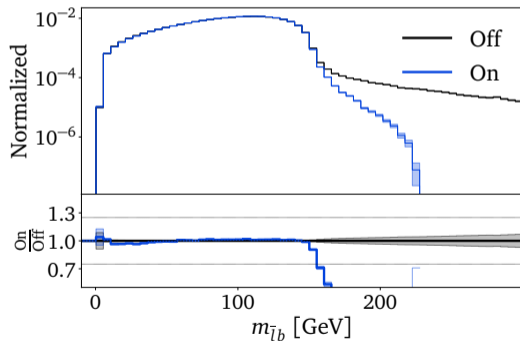


- Training datasets generated with hvq and bb4l containing 5 million events each
  - hvq data includes only approximate off-shell effects using finite top width
  - bb4l data includes full off-shell effects (including e.g non-resonant effects)

## Off-shell vs on-shell effects



## Off-shell vs on-shell effects

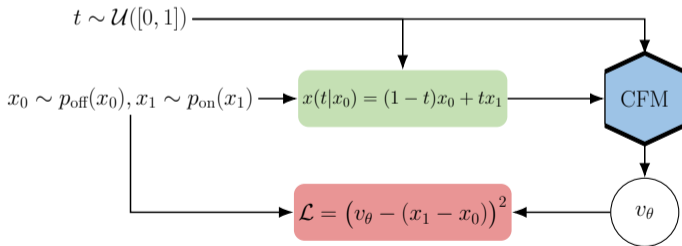


## Off-shell vs on-shell effects - Problems and Solutions

- hard to generate complicated phase space
  - solution: transform easy to calculate phase space to hard to calculate phase space
- no pairings between on-shell and off-shell events
  - solution: choose method based on distributions
- We tried different methods
  - Train a classifier for event reweighting
    - no support in some regions of the phase space renders reweighting impossible
  - Flows4Flows
    - problems due to inflexibility of INNs
    - error amplification due to chaining of 2 INNs
  - Direct Diffusion
    - single feedforward DNN, no need for invertibility

## Direct Diffusion

- We are using a setup called conditional flow matching (CFM) [arXiv:2209.15571, arXiv:2210.02747, arXiv:2209.03003, arXiv:2305.10475v2]
  - define  $x(t = 1) = x_1$  as a sample from the on-shell phase space
  - define  $x(t = 0) = x_0$  as a sample from the off-shell phase space



- For more details see talk by Sofia Palacios Schweizer (14:45, Main Auditorium)



## Direct Diffusion

- We are using a setup called conditional flow matching (CFM):
  - Encoding transformation from on- to off-shell events as a continuous time evolution

$$\frac{dx}{dt} = v(x(t), t)$$

- define  $x(t = 1) = x_1$  as a sample from the on-shell phase space
- define  $x(t = 0) = x_0$  as a sample from the off-shell phase space
- thus we get a time dependent probability density

$$p(x, t) \rightarrow \begin{cases} p_{\text{off}}(x) & t \rightarrow 0 \\ p_{\text{on}}(x) & t \rightarrow 1 \end{cases}$$

## Direct Diffusion

- we adapt the linear trajectory between on- and off-shell events to be

$$x(t|x_0) = (1-t)x_0 + tx_1 \rightarrow \begin{cases} x_0 & t \rightarrow 0 \\ x_1 \sim p_{\text{on}} & t \rightarrow 1 \end{cases}$$

- hence the conditional velocity field becomes

$$v(x(t|x_0), t|x_0) = \frac{d}{dt} [(1-t)x_0 + tx_1] = -x_0 + x_1$$

## Direct Diffusion

- from Bayesian statistics:  $p(x, t) = \int dx_0 p(x, t|x_0)p_{\text{data}}(x_0)$
- making use of the continuity eq. to find unconditional  $v(x, t)$ :

$$\begin{aligned}\frac{\partial p(x, t)}{\partial t} &= \int dx_0 \frac{\partial p(x, t|x_0)}{\partial t} p_{\text{data}}(x_0) \\ &= - \int dx_0 \nabla_x (v(x, t|x_0)p(x, t|x_0)) p_{\text{data}}(x_0) \\ &= -\nabla_x (p(x, t)v(x, t))\end{aligned}$$

we identify  $v(x, t) = \int dx_0 \frac{v(x, t|x_0)p(x, t|x_0)p_{\text{data}}(x_0)}{p(x, t)}$

## Direct Diffusion - Loss and Predictions

- the loss function used then is a simple MSE loss

$$\begin{aligned}\mathcal{L}_{\text{CFM}} &= \langle [v_{\theta}(x, t) - v(x(t|x_0), t|x_0)]^2 \rangle \\ &= \langle [v_{\theta}((1-t)x_0 + tx_1, t) - (x_1 - x_0)]^2 \rangle_{t \sim \mathcal{U}(0,1), x_0 \sim p_{\text{off}}, x_1 \sim p_{\text{on}}}\end{aligned}$$

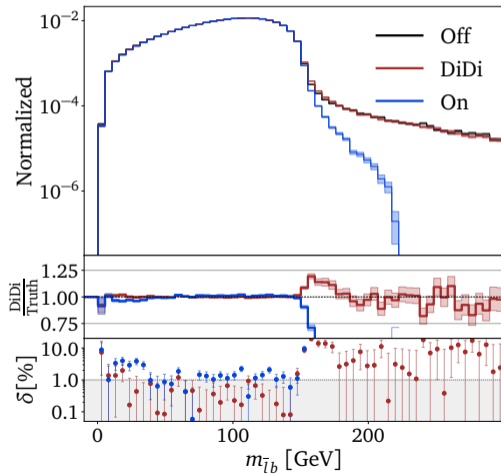
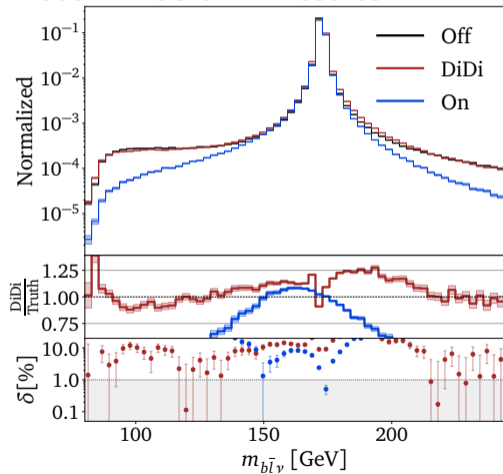
- predictions can be made by solving the ODE

$$\begin{aligned}\frac{d}{dt}x(t) &= v_{\theta}(x(t), t) \\ \Rightarrow x_0 &= x_1 - \int_0^1 v_{\theta}(x, t) dt\end{aligned}$$

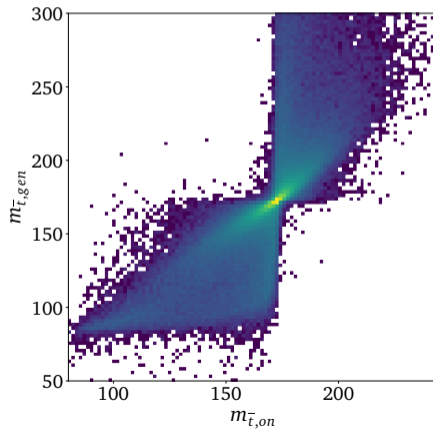
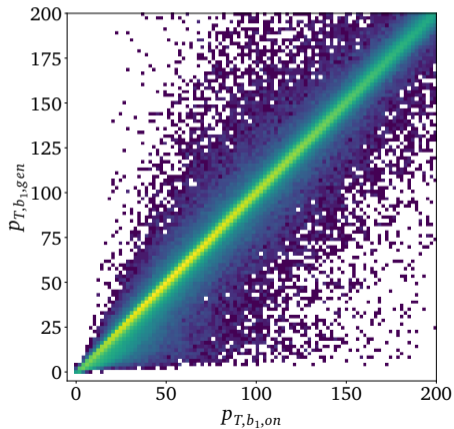
## Phase Space Preprocessing

- Reduction of phase space
  - Phase space of 6 final state particles with 4 momentum components each (24D)
  - Transformed into  $p_T, \eta, \phi, m$  with constant  $m$  (18D)
  - Aligning every event's coordinates to one  $\phi$  (17D)
  - One  $p_x$  and one  $p_y$  is fixed due to  $p_T^{\text{tot}} = 0$  (15D)
- Transformations:
  - $p_T \rightarrow p_T^{1/3}$
  - $\phi \rightarrow \text{arctanh}(\phi/\pi)$
- Standardization

## Direct Diffusion - Results



## Direct Diffusion - Results



## Reweighting

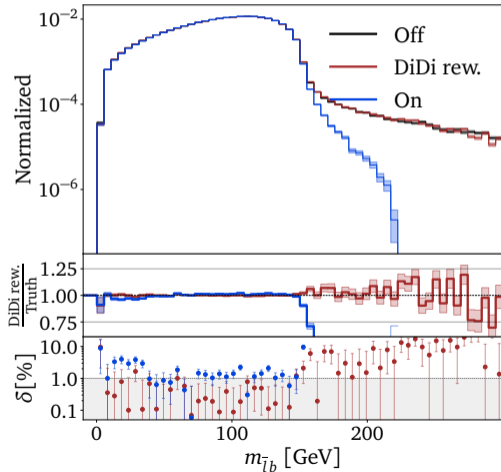
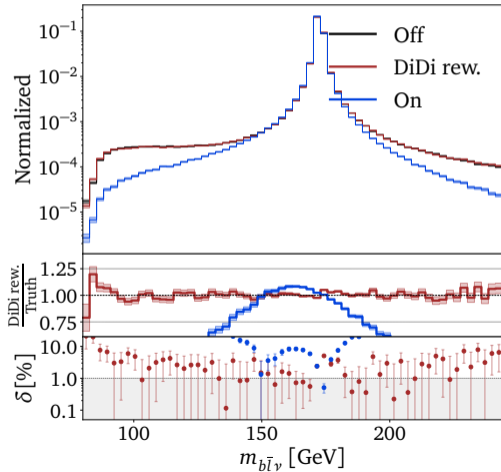
$$C(x) = \frac{p_{\text{off,data}}(x)}{p_{\text{off,data}}(x) + p_{\text{off,model}}(x)}$$

$$w(x) = \frac{p_{\text{off,data}}(x)}{p_{\text{off,model}}(x)} = \frac{C(x)}{1 - C(x)}$$

- use  $p_{\text{T}}^{-1}$  instead of  $p_{\text{T}}$



## Reweighting - Results



## Conclusion

- Interesting problem, because it cannot be solved by modifying the amplitude at a give phase space point
- Instead, it requires a generative approach covering the complete off-shell phase space
- The advantage of this method is that the generative network only needs to learn a controlled deviation
- Small network with limited training effort can reproduce the target off-shell kinematics at the 10% level or better with only 5 million events
- Classifier reweighting improves its precision to the level of few percent even in challenging kinematic distributions

# Outlook

- Upcoming paper: Kicking it Off(-shell) with Direct Di-fusion
- Advancing to higher order processes
- Include processes that change final state structure
- Conditionalize training for different simulation parameters