

ALICE status report

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on behalf of
The ALICE Collaboration

LHCC Open Session – Wednesday March 8°, 2023

Contents



- 1. Latest publications and selected physics results
- 2. Data taking in 2023
- 3. ALICE + ITS3 & FoCal
- 4. ALICE 3 upgrade



Cylindrical Structural Shell

Half Barrels



1. Latest publications and selected physics results

- 2. Data taking in 2023
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- 4. ALICE 3 upgrade



New publications since last LHCC



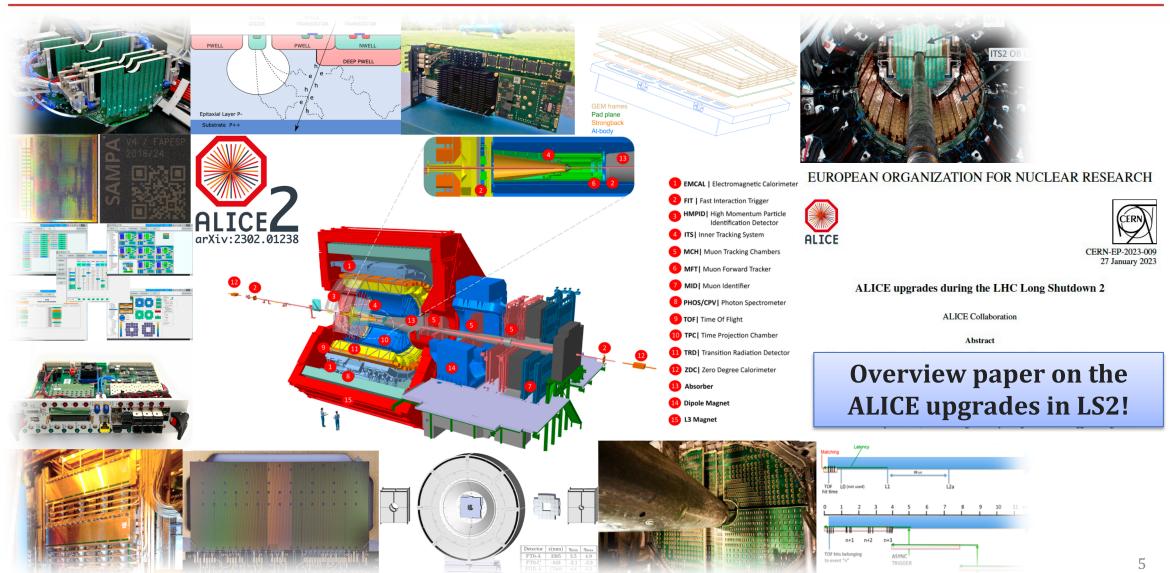
- 1. Pseudorapidity densities of charged particles with transverse momentum thresholds in pp collisions at \sqrt{s} = 5.02 and 13 TeV, arXiv:2211.15364
- 2. Production of pions, kaons and protons as a function of the transverse event activity in pp collisions at $\sqrt{s} = 13$ TeV, arXiv:2301.10120
- 3. Measurement of (anti)nuclei production in p-Pb collisions at $\sqrt{s_{\text{NN}}} = 8.16 \text{ TeV}$, arXiv:2212.04777
- 4. First measurement of prompt and non-prompt D*+ vector meson spin alignment in pp collisions at \sqrt{s} = 13 TeV, arXiv:2212.06588
- 5. Azimuthal anisotropy of jet particles in p-Pb and Pb-Pb collisions at $\sqrt{s_{NN}}$ = 5.02 TeV, arXiv:2212.12609
- 6. Exploring the non-universality of charm hadronisation through the measurement of the fraction of jet longitudinal momentum carried by Λ_c^+ baryons in pp collisions, arXiv:2301.13798
- 7. Symmetry plane correlations in Pb-Pb collisions at $\sqrt{s_{NN}} \equiv 2.76 \text{ TeV}$, arXiv:2302.01234
- 8. Measurement of the non-prompt D-meson fraction as a function of multiplicity in proton-proton collisions at $\sqrt{s} = 13$ TeV, arXiv:2302.07783

- 9. Neutron emission in ultraperipheral Pb-Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$, arXiv:2209.04250
- 10. <u>Light (anti)nuclei production in Pb-Pb collisions at $\sqrt{s} = 5.02$ TeV, arXiv:2211.14015</u>
- 11. Measurement of (anti)nuclei production in p-Pb collisions $at\sqrt{s_{\rm NN}}$ = 8.16 TeV, arXiv:2212.0477
- 12. Measurement of the Λ hyperon lifetime, arXiv:2303.00606
- 13. Azimuthal correlations of heavy-flavor hadron decay electrons with charged particles in pp and p-Pb collisions at $\sqrt{s} = 5.02 \text{ TeV}$ arXiv: 2303.00591
- 14. Inclusive photon production at forward rapidities in pp and p-Pb collisions at $\sqrt{s} = 5.02$ TeV, arXiv: 2303.00590
- 15. Measurement of the radius dependence of charged-particle jet suppression in Pb-Pb collisions at $\sqrt{s} = 5.02$ TeV, arXiv: 2303.0059092
- 16. <u>ALICE upgrades during the LHC Long Shutdown 2</u>, arXiv:2302.01238

ALICE upgrades during the LHC Long Shutdown 2



arXiv:2302.01238, submitted to JINST, as part of a Special Issue on LHC and experiments upgrades during LS2



ALICE estimates transparency of the Milky Way

Nature Physics volume 19, 61 (2023)



Measurement of ³He absorption cross section and implications for interpretation of potential dark annihilation signals

nature physics

to antimatter



Article

https://doi.org/10.1038/s41567-022-01804-8

Measurement of anti-³He nuclei absorption in matter and impact on their propagation in the Galaxy

Received: 18 February 2022

Accepted: 21 September 2022

Published online: 12 December 2022

Check for updates

The ALICE Collaboration*

can be produced through high-energy cosmic-ray collisions with the interstellar medium or could also originate from the annihilation of dark-matter particles that have not yet been discovered. On Earth, the only way to produce and study antinuclei with high precision is to create them at high-energy particle accelerators. Although the properties of elementary antiparticles have been studied in detail, the knowledge of the interaction of light antinuclei with matter is limited. We determine the disappearance probability of 3 He when it encounters matter particles and annihilates or disintegrates within the ALICE detector at the Large Hadron Collider. We extract the inelastic interaction cross section, which is then used as an input to the calculations of the transparency of our Galaxy to the propagation of ³He stemming from dark-matter annihilation and cosmic-ray interactions within the interstellar medium. For a specific dark-matter profile, we estimate a transparency of about 50%, whereas it varies with increasing 3 He momentum from 25% to 90% for cosmic-ray sources. The results indicate that 3 He nuclei can travel long distances in the Galaxy, and can be used to study cosmic-ray interactions and dark-matter annihilation.

In our Galaxy, light antinuclei composed of antiprotons and antineutrons

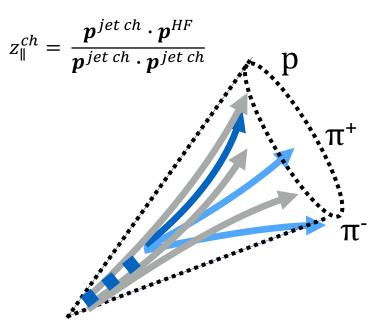
Published in Nature Physics!

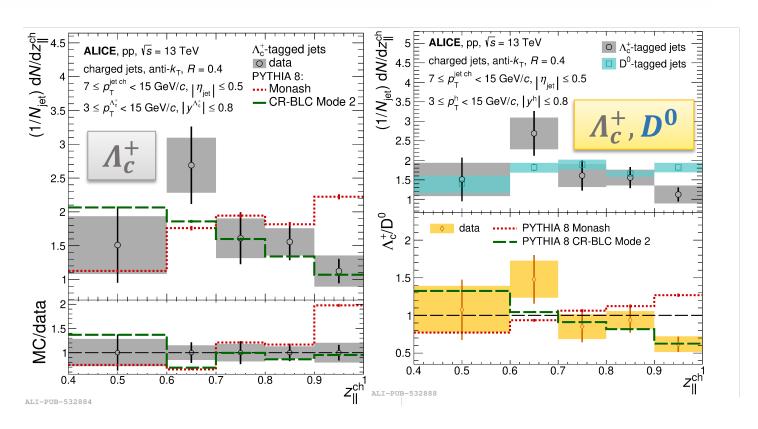
EXPLORING NEW HORIZONS AT THE LHC Accelerators for society • 20 years of the string theory landscape • Sizing up the proton

First measurement of the Λ_c fragmentation in pp



Longitudinal momentum fraction carried by the Λ_c^+





c quark

arXiv: 2301.13798

First measurement of Λ_c^+ fragmentation properties in hadronic collisions:

- Hint of softer fragmentation pattern than predicted by PYTHIA with leading-order string fragmentation (e.g. Monash)
- New constraints on the enhancement of charmed baryon production in pp collisions

Azimuthal anisotropy of jet particles



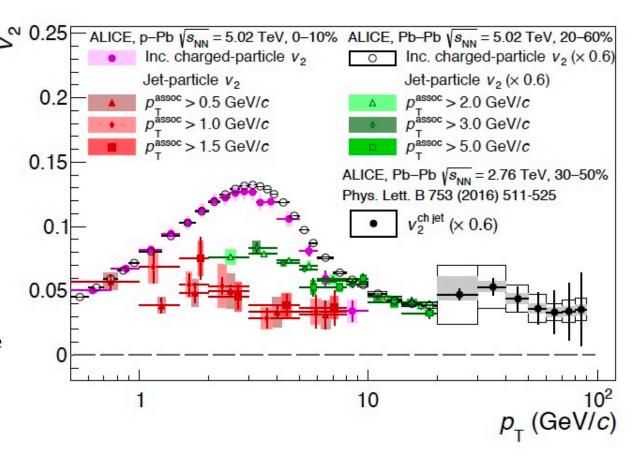
arXiv: 2212.12609

Long-standing puzzle: collective effects observed in small collision systems, but no signs of jet quenching $\ ^{\circ}$ 0.25

• First measurement of jet-particle v_2 in high-multiplicity p-Pb collisions at low $p_{\rm T}$ = (0.5 – 8.0) GeV/c

$$\frac{\mathrm{d}^2 N}{\mathrm{d}p_{\mathrm{T}}\mathrm{d}\varphi} = \frac{1}{2\pi} \frac{\mathrm{d}N}{\mathrm{d}p_{\mathrm{T}}} \left(1 + 2 \sum_{n=1}^{\infty} v_n(p_{\mathrm{T}}) \cos[n(\varphi - \Psi_n)] \right)$$

• No dependence on p_T is observed (while the inclusive charged-particle v_2 shows a clear dependence).



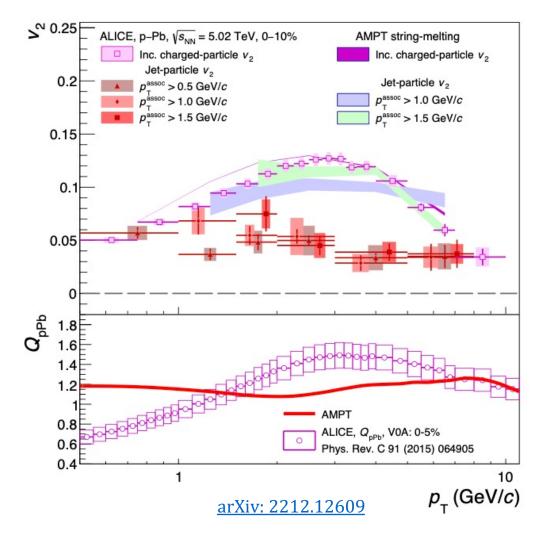
Azimuthal anisotropy of jet particles



Long-standing puzzle: collective effects observed in small collision systems, but no signs of jet quenching

AMPT (A MultiPhase Transport model):

- 1. overestimates the measured jet-particle v_2 , predicting a shape and magnitude compatible with those of inclusive charged particles (all final state particles generated with HIJING are treated on equal footing)
- 2. AMPT predicts significant v_2 with only few parton-parton scatterings, while preserving $Q_{\rm pPb}$ (in the region where usually jet quenching is observed, i.e. $p_{\rm T}$ >7 GeV/c)

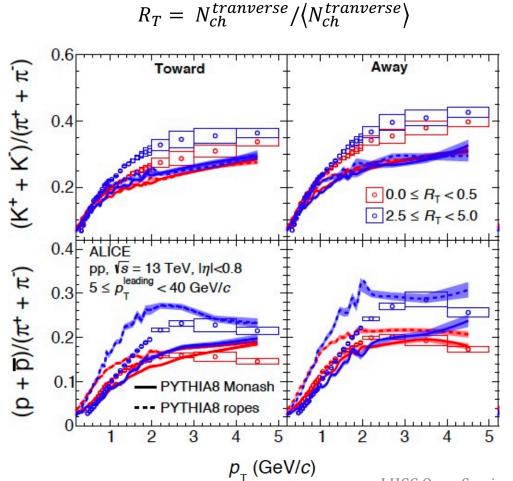


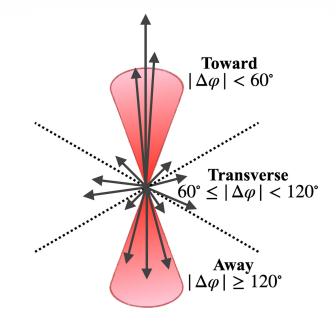
Identified particle production vs. $R_{\rm T}$



arXiv: 2301.10120

Relative transverse activity classifier, underlying event multiplicity:





Increase of p/ π ratio at moderate and high p_T at large R_T

No effect in PYTHIA Monash; PYTHIA ropes show qualitatively similar effect

Particle production in jets and underlying event not independent: density effects in pp?

Antinuclei production from small to large systems

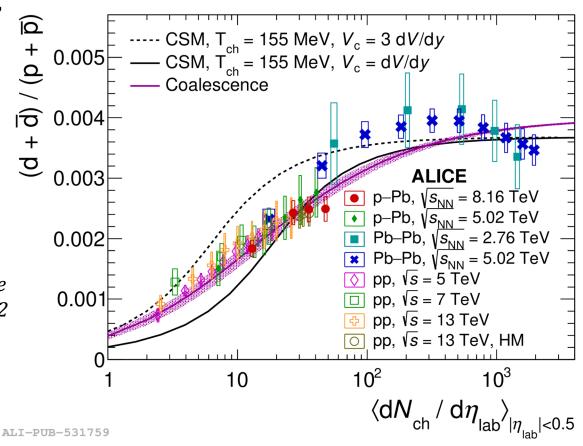


How (anti-)nuclei are formed in high-energy collisions, and how they survive in the post hadronization phase?

Two main models: statistical hadronization model and coalescence → discriminate using system size dependence of deuteron yields

- **1. Coalescence model**: evolution of the d/p ratio *well described* over the full multiplicity interval
- **2. Canonical statistical model (CSM)**: consistent with the Pb-Pb measurements at $\sqrt{s_{NN}} = 2.76$ TeV and $\sqrt{s_{NN}} = 5.02$ TeV within the uncertainties, describing the plateau at the highest multiplicities. At lower multiplicities better agreement with coalescence model.

arxiv: 2211.14015, arxiv: 2212.04777



Antinuclei production from small to large systems

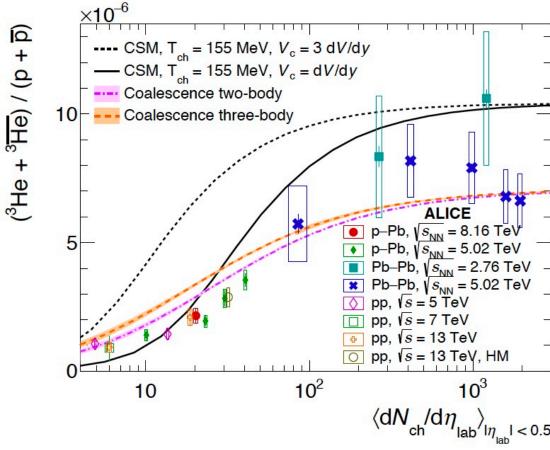


How (anti-)nuclei are formed in high-energy collisions, and how they survive in the post hadronization phase?

Two main models: statistical hadronization model and coalescence → discriminate using system size dependence of deuteron yields

- Coalescence model: well described at low and intermediate charged-particle multiplicity densities, while predictions underestimate the data at high multiplicities
- **2. Canonical statistical model (CSM)**: only qualititative agreement at low and intermediate multiplicities, while describing the plateau at the highest multiplicities.

<u>arxiv: 2211.14015</u>, <u>arxiv: 2212.04777</u>

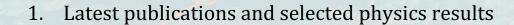


Institute affiliations on author list



- In response to the invasion of Ukraine by the Russian Federation, the LHC experiments postponed publications in journals
- Discussions of institutional acknowledgement on publications resulted in a joint decision by Collaboration Boards of the four large experiments:
 - 1. Authors affiliated with Russian or Belarussian institutes, or with JINR, sign the Collaboration's scientific publications with their names and ORCID identifiers (where available), and the institute affiliation is replaced, respectively, by the reference:
 - "Affiliated with an institute [or an international laboratory] covered by a cooperation agreement with CERN." The complete author list including all institute affiliations is made available to the journal in a non-public form for the purpose of machine-readable analysis or as historical data.
 - 2. No acknowledgement to the Russian and Belarussian funding agencies and JINR is made. On request, the experiment management will release a certificate attesting the contribution of the aforementioned institutes and funding agencies, or of JINR, to the work presented in the publication.
- o Implementation of this decision in progress
 - Journals contacted, expect to resume publications soon
 - Collection of ORCIDs for all authors ongoing





2. Data taking in 2023



Data taking in 2023



March 6° - September 17°: pp

- Magnet scans
- High-rate scan for Pb-Pb preparation:
- 1. Regular scan up to 4 MHz (equivalent Pb-Pb 50 kHz track load)
- 2. Improve detector conditioning to trip (TPC, MCH, TRD)
- *3. Commission the TPC firmware*

September 17° - October 1°: pp reference

October 2° - October 30°: Pb-Pb

| Objectives | Magnet polarity Solenoid / Dipole | Magnet field Solenoid / Dipole | Interaction rate | Run ning time |
|---|--|--------------------------------------|---------------------|---------------------|
| Test low field reco in preparation for Pb-Pb | +/+ | +12kA / +6 kA (low field*) | 500 kHz | 6h |
| Calibration | +/+ | +12kA / +6 kA (low field*) | 5-10 Khz | ~2h |
| Alignement | 0/0 | 0/0 | 5-10 Khz | 6h |
| Calibration | -/- | -12kA / -6 kA (low field*) | 5-10 Khz | ~2h |
| Test low field reco in preparation for Pb-Pb | -/- | -12kA / -6 kA (low field*) | 500 kHz | 6h |

^{*} Nominal Magnet field configuration: +30kA/+6kA



Reconstruction and calibration of the 2022 pp data

- 1. CTF skimming \rightarrow Data skimming with offline trigger
- 2. Both standard grid nodes with CPUs and the EPN farm with GPUs are being used.

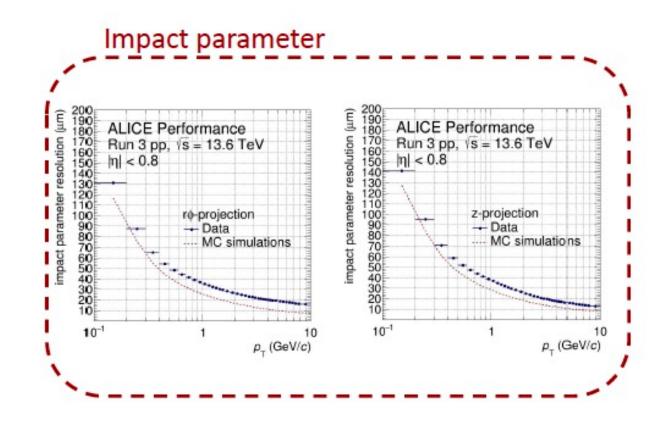
- Impact parameter resolution close to the nominal values
- \circ dE/dx back to nominal Bethe-Bloch values
- Good reconstruction of both neutral and charged decays through EMCAL and muon systems



Reconstruction and calibration of the 2022 pp data

- 1. CTF skimming on going \rightarrow free the space for the new data taking
- 2. Both standard grid nodes with CPUs and the EPN farm with GPUs are being used.

- Impact parameter resolution close to the nominal values
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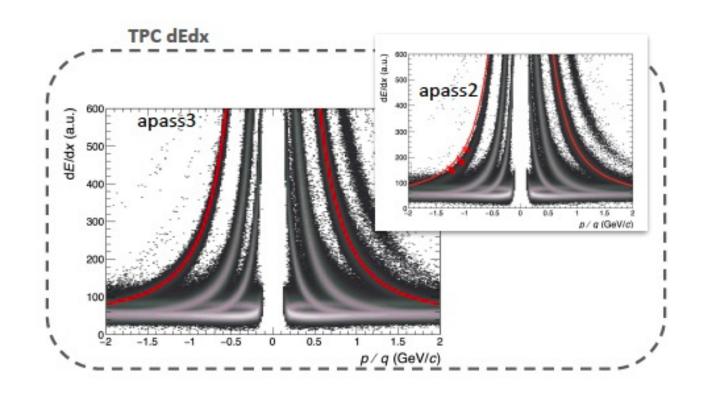




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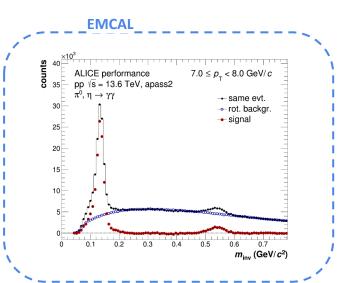


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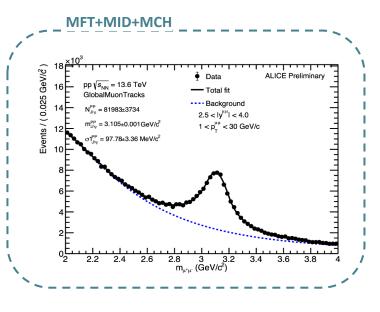
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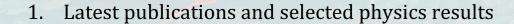
$$\pi^0$$
 , $\eta o\gamma\gamma$



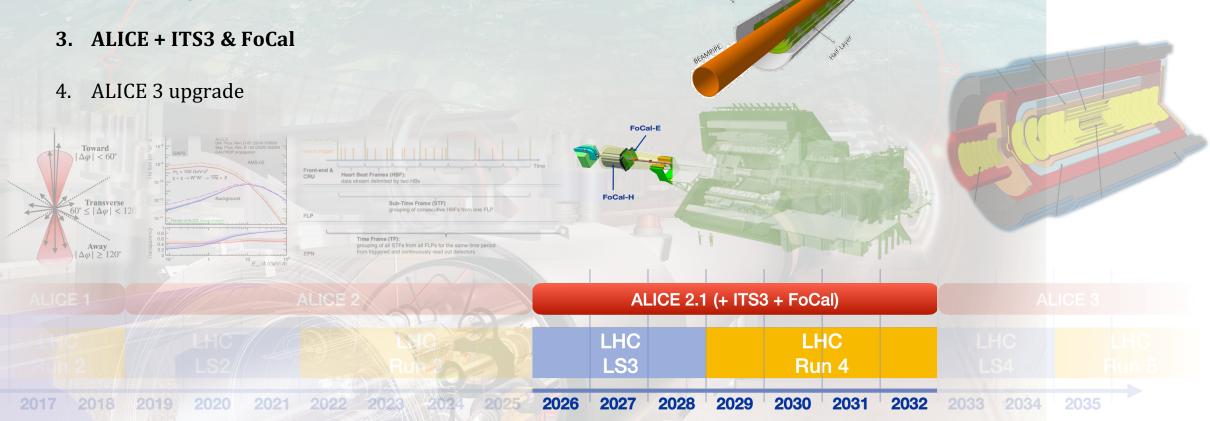
$$J/\psi \rightarrow \mu^+\mu^-$$







2. Data taking in 2023



Cylindrical Structural Shell

Half Barrels

Forward Calorimeter (FoCal)



EMCal: Si-W optimized for photon and π^0 reconstruction

HCal: Cu-scintillator: direct photon isolation and jets

Goals: explore gluon saturation at small x by measuring forward direct- γ , π^0 , jets + their correlations in p-Pb and quarkonia in Ultraperipheral p-Pb and Pb-Pb

FoCal-E FoCal-H

Current focus: prototype development and beam tests; preparation of TDR

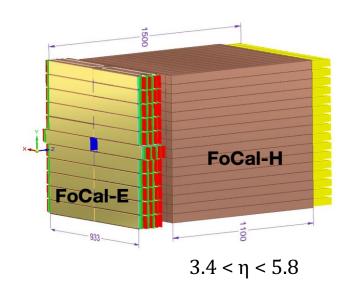
Letter-of-Intent: CERN-LHCC-2020-009

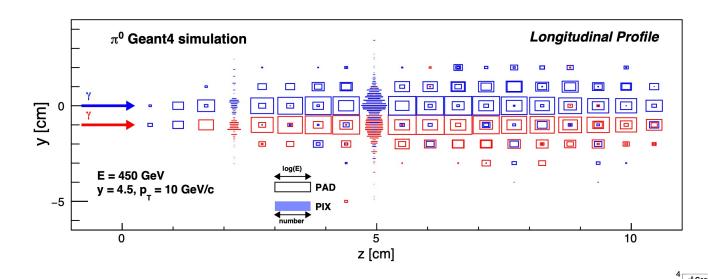
Forward Calorimeter (FoCal)

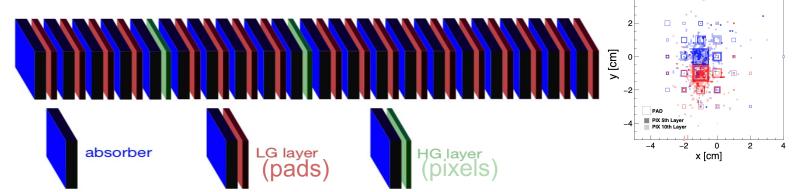


FoCal-E: segmented in 18 layers of tungsten and silicon pads with low granularity ($\sim 1~\text{cm}^2$) and two layers of tungsten and silicon pixels with high granularity ($\sim 30\text{x}30~\mu\text{m}^2$).

FoCal-H: metal/scintillating calorimeter with high granularity of up to 2.5 x 2.5 cm²







Letter-of-Intent: CERN-LHCC-2020-009

Forward CALorimeter (FOCAL)



Full tower prototype

Letter-of-Intent: CERN-LHCC-2020-009

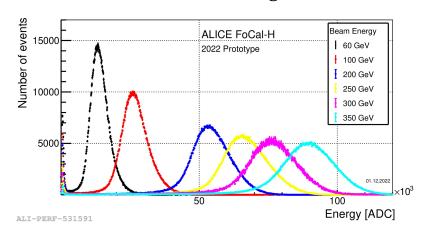
ECal

- o Pads: test Time-over-Threshold for large dynamic range
- o Pixels: test Outer Barrel HIC-based layers
- First time: pads + pixels with common CRU readout

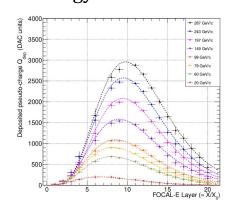
HCAL

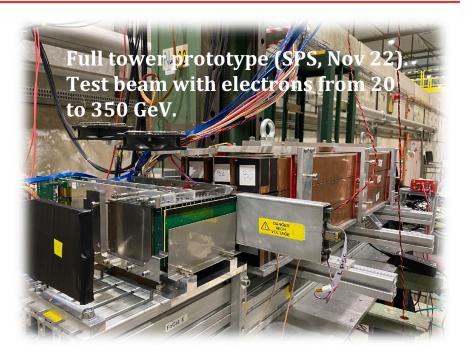
- o 9 prototype modules
- Test commercial (CAEN) and custom (VMM) readout

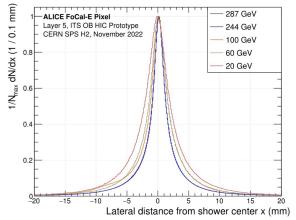
HCAL reconstructed charge distributions



Pad layers: longitudinal energy distribution







Pixel layer 5: lateral shower distribution

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Inner Tracking System 3

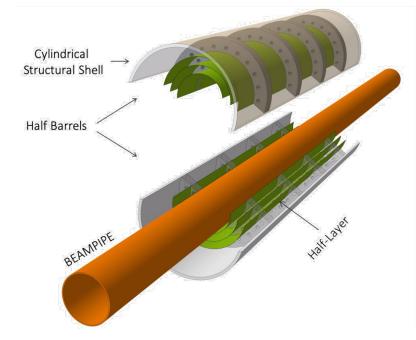


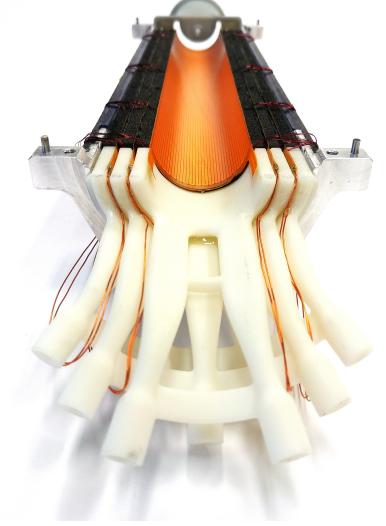
Novel vertex detector:

- curved wafer-scale ultra-thin silicon sensors arranged in perfectly cylindrical layers
- o unprecedented low material budget of $0.05\% X_0$ per layer
- o innermost layer at 18 mm radial distance from the interaction point

Large improvement of the tracking precision and efficiency at low transverse momentum → significant advancement in the measurement of low momentum charm and beauty hadrons and low-mass dielectrons in heavy-ion collisions at the LHC

R&D on the detector mechanics, sensor technology and readout system ongoing.





ITS3 - Breadboard model 3 + wind tunnel

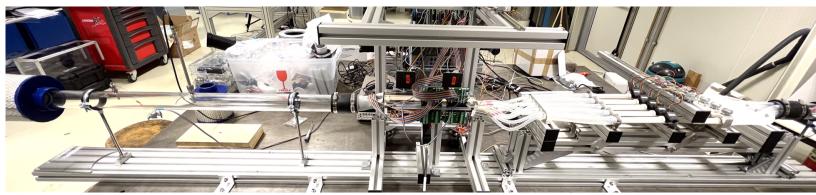


Breadboard model 3 ready

- Based on silicon with added Kapton heaters
- Airducts for precise flow control

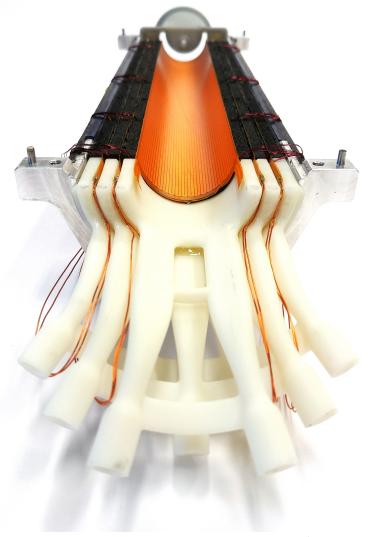
Wind tunnel commissioned

o Includes laser measurement system for vibrational analyses









ITS3 - 65nm CMOS technology

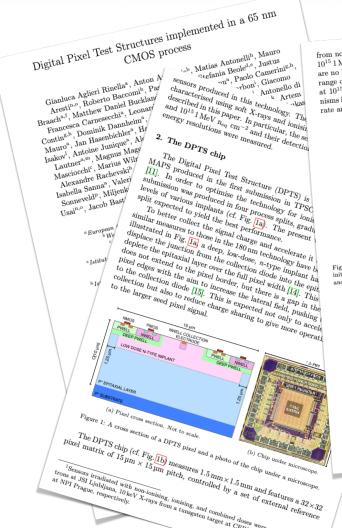


Characterisation continues at high pace

- o Involves a large number of institutes (also outside ALICE)
- \circ Beam tests at cadence of $\sim 1/month$

First comprehensive paper on the TPSCo 65nm:

- Detailed characterisation in the lab
- Several test beams
- Irradiations up to: 10¹⁵ 1MeV neq/ cm² (NIEL) and 100 kGy (TID) (exceeding by two order of magnitude ITS3 needs)
- V2 on arXiv



from non-irradiated to $10^{13}\,1\,\mathrm{MeV}$ $n_{\mathrm{eq}}\,\mathrm{cm}^{-2}$ and from $10^{14}\,1\,\mathrm{MeV}$ $n_{\mathrm{eq}}\,\mathrm{cm}^{-2}$ to $10^{15}\,1\,\mathrm{MeV}$ $n_{\mathrm{eq}}\,\mathrm{cm}^{-2}$. In addition, at the largest irradiation dose, the four peaks are no longer resolved and the contribution from seed pixels with energy in the range of 400– $400\,\mathrm{e}^{-}$ becomes more prominent. These changes to the spectrum at $10^{15}\,1\,\mathrm{MeV}$ $n_{\mathrm{eq}}\,\mathrm{cm}^{-2}$ indicate an alteration to the charge collection mechanisms in the sensor due to radiation damage, such as the increased recombination rate and the changes in the electric fields.

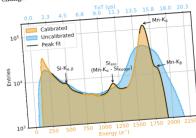


Figure 12: Measured 55 Fe spectrum of single pixel clusters with a threshold set to $120\,e^-$. The initial spectrum (blue) is ToT calibrated (orange) which resolves the two x-ray peaks (Mn- K_{α} and Mn- K_{β}) plus the Mn- K_{α} silicon escape (Si_{esc}) and silicon fluorescence (Si- $K_{\alpha,\beta}$) peaks.

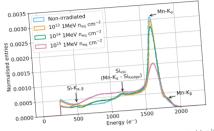


Figure 13: Measured ⁵⁵Fe spectra of seed pixels for different levels of non-ionising irradiation

Aglieri Rinella *et al.*, [doi:10.48550/arXiv.2212.08621]

ITS3 - Engineering Run 1 (ER1)



First submission of wafer-scale sensors (stitching prototypes)

- o "MOSS": $14 \times 259 \text{ mm}$, 6.72 MPixel (22.5 x 22.5 and $18 \times 18 \mu m^2$)
- o "MOST": $2.5 \times 259 \text{ } mm^2$, 0.9 MPixel ($18 \times 18 \text{ } \mu m^2$)

wafer (∅=300 mm)

In production

Expected shipping dates + quantities:

- Early March: delivery of dummy wafers for dicing tests
- 28 April: expected delivery of final wafers
- o 1 month for thinning and dicing

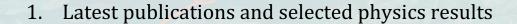


Test system for MOSS under preparation

- All parts prototyped and commissioned
- Version 2 with minor improvements being produced
- Gluing and bonding tests with dummy silicon structures
- Ready for the chips to arrive







- 2. Data taking in 2023
- 3. ALICE + ITS3 & FoCal



ALICE 3



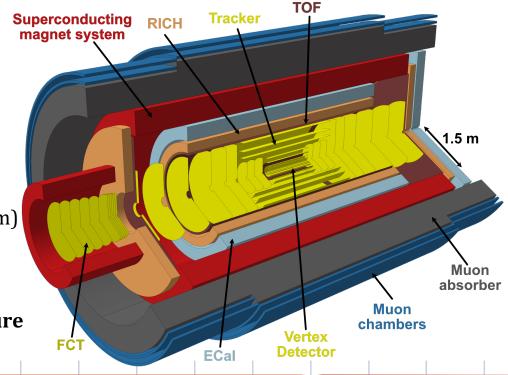
Goals:

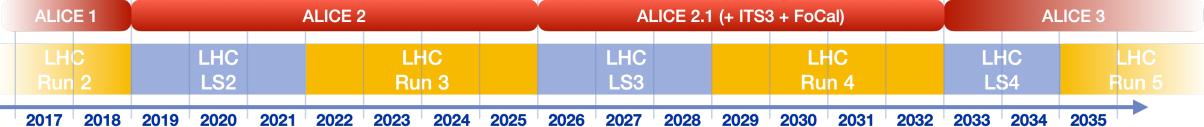
- Quark-gluon plasma temperature and its time evolution
- Heavy flavour transport and hadronization from QGP
- Hadron interactions
- Exotica and BSM searches (axion-like particles etc.)

This will be pursued through a novel detector provided with:

- o high readout rate capabilities
- unprecedented pointing resolution (innermost layer at R=5 mm)
- excellent tracking and particle identification over a large acceptance

Preparation of scoping document as part of approval procedure for phase IIb upgrades (Q4 '23 - Q1 '24)





ALICE 3: R&D on Time-Of-Flight



1. Thinner LGAD sensors

- 25 and 35 μm thick prototypes
- Excellent time resolution < 25 ps
- Sensors of 10 μm in preparation

First very thin LGAD prototypes produced by FBK

25 μm and 35 μm -thick FBK single channel

Area = $1x1 \text{ mm}^2$



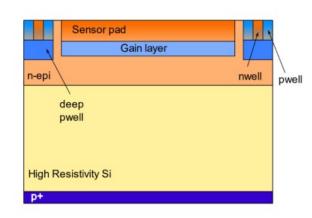
SantaCruz single-channel LGAD read-out board *V1.4 SCIPP*08/18 (G_{amplifier} ~ **6**)



+ Second stage external amplifier $(G_{amplifier} \sim 11-14)$

2. CMOS sensors with gain layer

- Sensors back from foundry
- Preparations for test beams



Standard sensors produced by HPK

50 μm -thick HPK single channel (W42 & W36 with different doping concentrations)

Area = $1.3x1.3 \text{ mm}^2$



ALICE 3: R&D on Time-Of-Flight

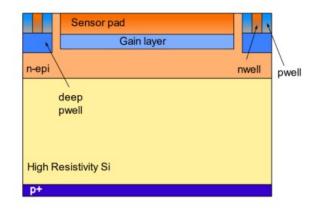


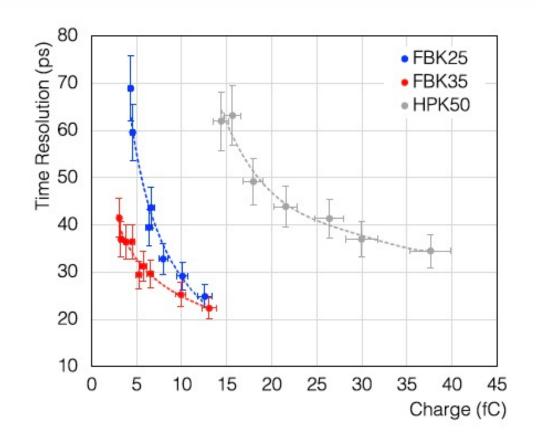
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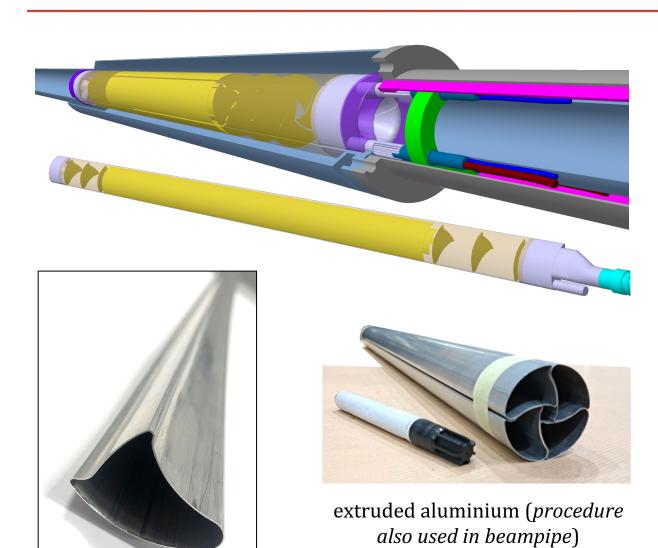


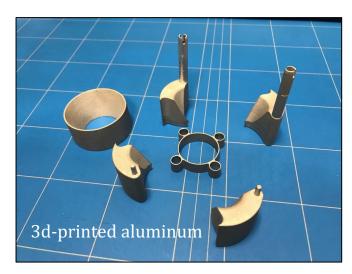


<u>F. Carnesecchi *et al.*,</u> https://doi.org/10.1140/epjp/s13360-022-03619-1

ALICE 3: R&D on vertex detector mechanics







Module mock-up: 0.3mm Aluminum foil welded and formed







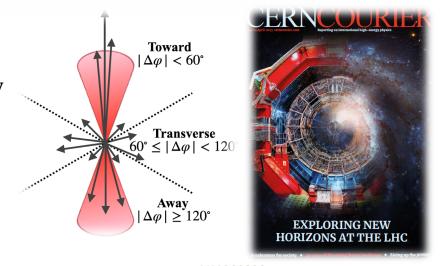


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Conclusions

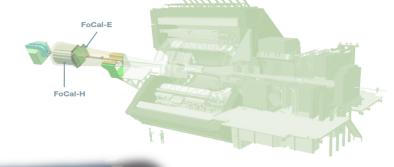


1. Since the last LHCC ALICE published 16 new papers, including an overview of the ALICE upgrade during the Long Shutdown 2



2. Preparation of 2023 data taking ongoing: activities already planned for ppruns, where also preparatory tests for Pb-Pb will be organized

3. ITS3 and FoCal: Intense prototype testing in lab and with beams ongoing; TDRs in preparation.



4. ALICE 3: R&D in progress for sub-detector systems.

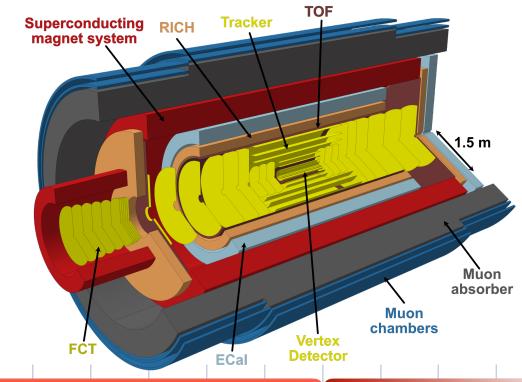


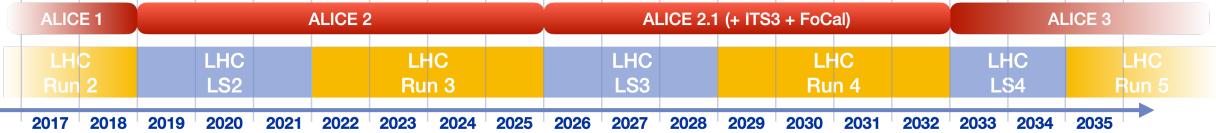
backup

ALICE 3



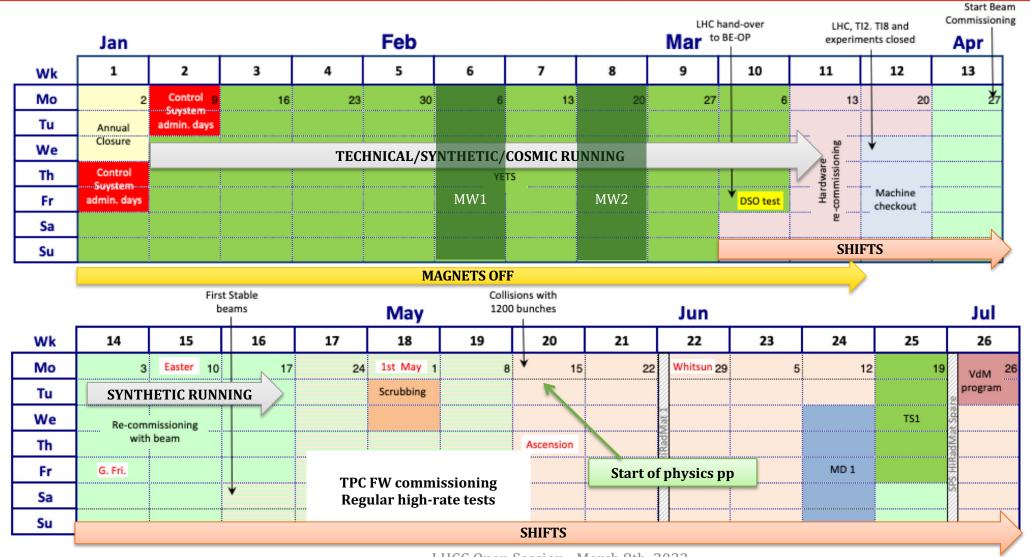
- Preparation of scoping document as part of approval procedure for phase IIb upgrades (Q4 '23 Q1 '24)
- 2023-25: R&D for the selection of technologies, small-scale proof of concept prototypes
- 2026-27: large-scale engineered prototypes → Technical
 Design Reports
- 2028-30: construction and testing
- o 2031-32: contingency
- o 2033-34: preparation of cavern and installation





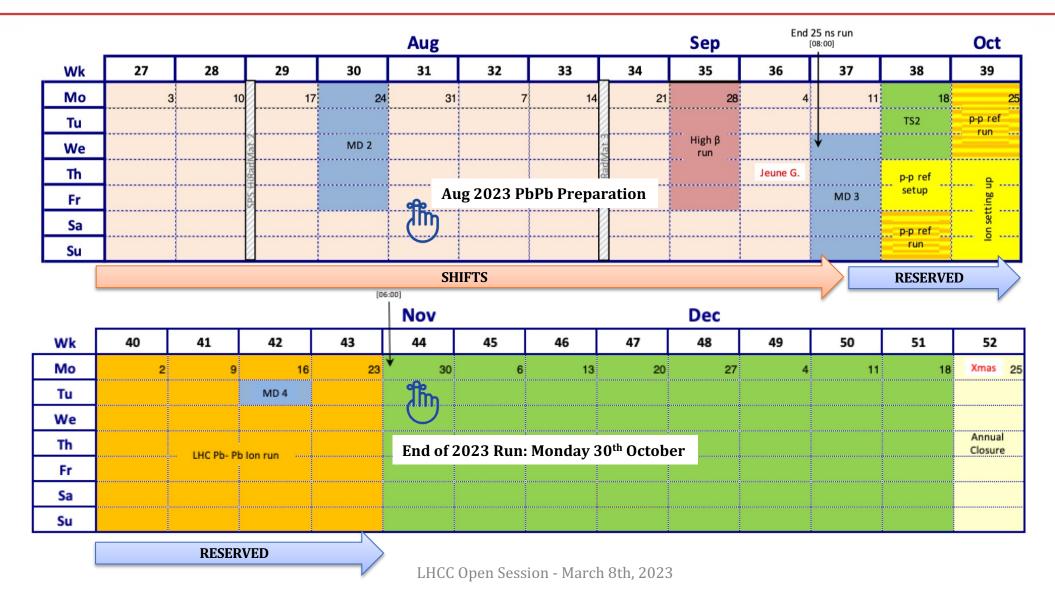
2023 LHC schedule and ALICE plans





2023 LHC schedule and ALICE plans

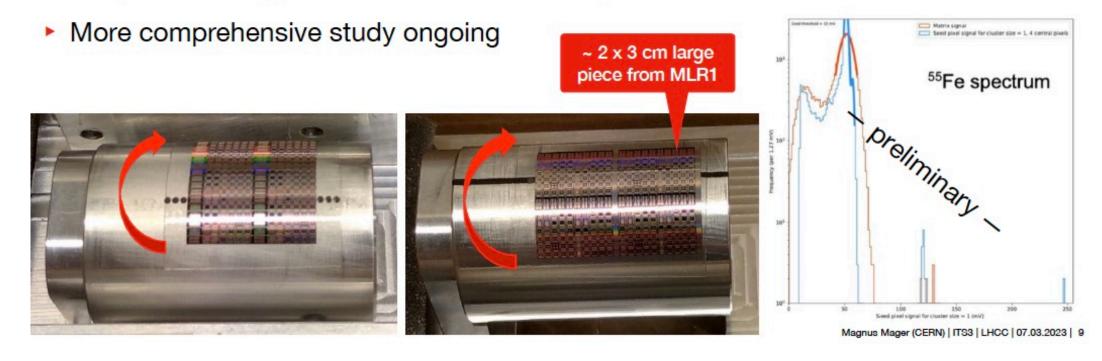




ITS3 – 65nm CMOS technology



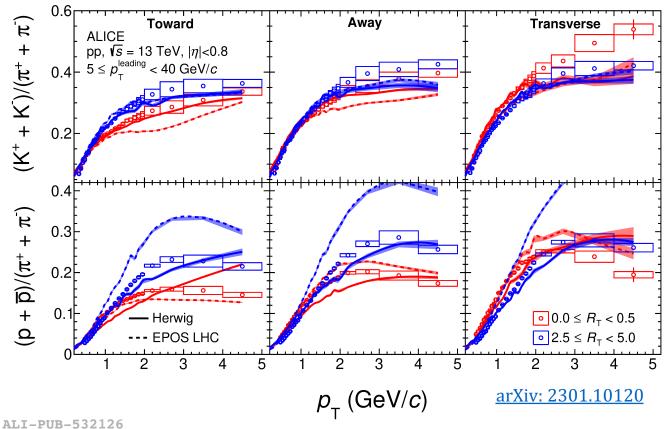
- Larger pieces from fully processed 65nm wafers are bent to R = 18 mm and <u>electrically</u> characterised
- They work nicely (tested with a Fe-55 source)

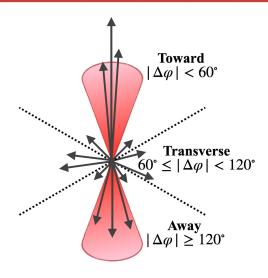


Identified particle production vs. $R_{\rm T}$



Relative transverse activity classifier: $R_T = N_{ch}^{tranverse} / \langle N_{ch}^{tranverse} \rangle$





 K/π ratio: different behaviours in transverse compared to toward and away sides

 p/π ratio: radial flow-like features for high R_T

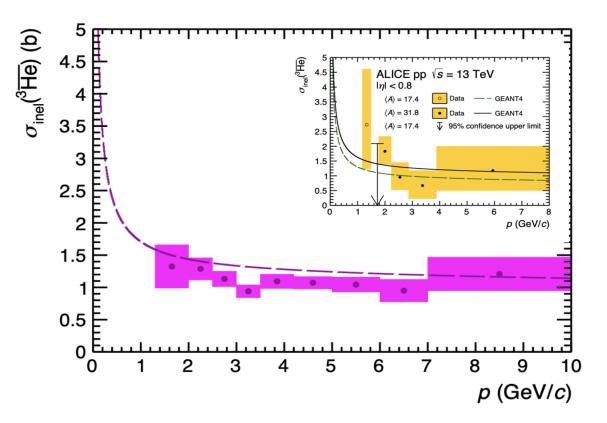
HERWIG: does not reproduce the p_T dependence for the p/π ratio

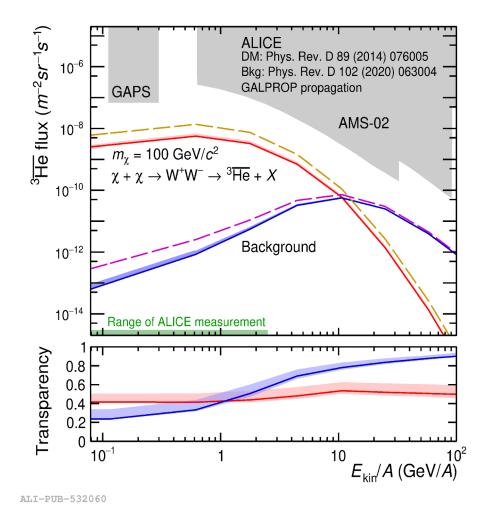
EPOS LHC: does predict but overestimates the evolution with R_{T}



Nature Physics: https://www.nature.com/articles/s41567-022-01804-8

- First ever measurement of antihelium-3 inelastic cross sections
- High transparency of 50% for typical DM scenario and 25-90% for background
- Antihelium is a promising candidate for dark matter searches!

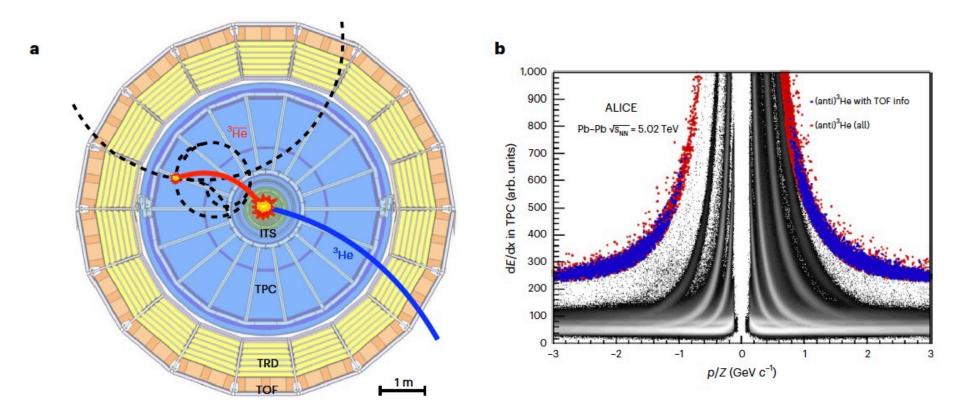






Nature Physics: https://www.nature.com/articles/s41567-022-01804-8

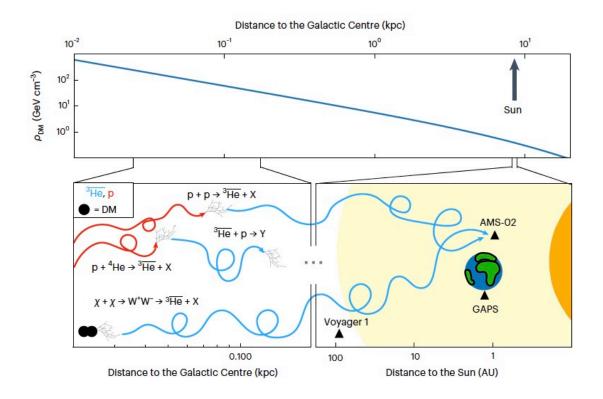
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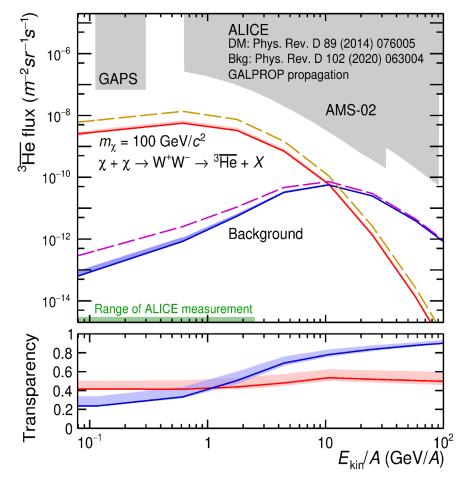




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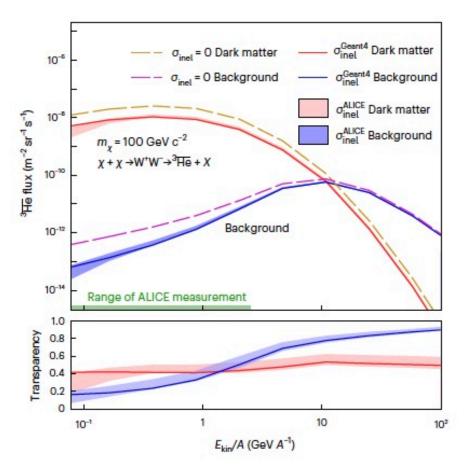




ALI-PUB-532060



Nature Physics: https://www.nature.com/articles/s41567-022-01804-8



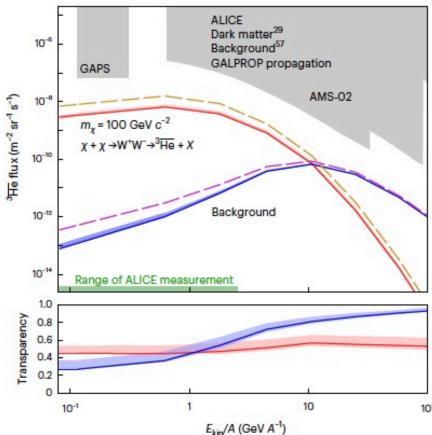


Fig. 4 | Expected ³He flux near Earth before and after solar modulation. Data before (left) and after (right) solar modulation. The latter is obtained using the force-field method with modulation potential $\phi = 400$ MV. The results are shown as a function of kinetic energy per nucleon (E_{kin}/A) . Fluxes for DM signal χ (red) and cosmic-ray background (blue) antihelium nuclei for different cases of Inelastic cross sections used in the calculations (top). The bands show the results obtained with $\sigma_{loc}(^{3}He)$ from ALICE measurements, and the full lines correspond to the results using the parameterizations. The dashed lines show the fluxes obtained with $\sigma_{\text{inel}}(^3\overline{\text{He}})$ set to zero for the DM signal (orange line) and for the

cosmic-ray background (magenta line). The green band on the x axis indicates the kinetic-energy range corresponding to the ALICE measurement for $\sigma_{lnel}(^{3}\overline{He})$. Transparency of our Galaxy to the propagation of ³He outside (left) and inside (right) the Solar System (bottom). The shaded areas (top right) show the expected sensitivity of the GAPS79 and AMS-0230 experiments. The top panels also show the fluxes obtained with $\sigma_{inel}(\overline{^{3}He})$ set to zero. Only the uncertainties relative to the measured $\sigma_{inel}({}^{3}\overline{He})$ are shown, which represent standard deviations. The calculations employ the 3 He DM source described elsewhere29 and the 3 He production cross section from the cosmic-ray background 57.