

# Flavour Physics: A Taster

CERN Summer Student Lecture Programme 2023

Lecture 2 of 3: CP violation and the B factories

17-19 July 2023

Mark Williams  
University of Edinburgh



THE UNIVERSITY  
*of* EDINBURGH

# Introduction

Yesterday we covered the foundations and motivations of the subject

- Quantum loops & indirect searches for new physics
- Discrete symmetries in nature
- Example: Neutral meson oscillations

Today we connect these ideas and examine them in the context of the standard model

- The CKM mechanism and quark mixing
- Complex CKM phases  $\Leftrightarrow$  CP violation
- Experimental constraints and the B factory era

# Part I: Quark flavour in the SM

# Quark mixing

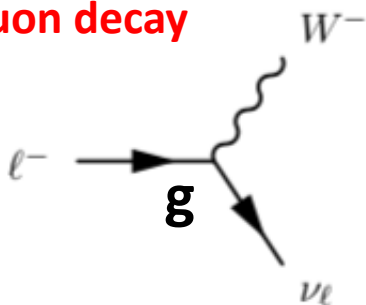
Weak interaction breaks C and P maximally, and CP a bit – **how?**

In 1960s, list of fundamental fermions was small:

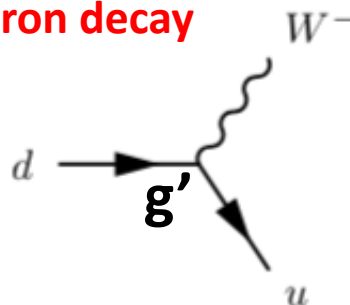
- 4 leptons ( $e, \mu, \nu_e, \nu_\mu$ )
- 3 quarks ( $u, d, s$ )

From particle lifetimes, can derive weak coupling strengths  $g$  for different decays...

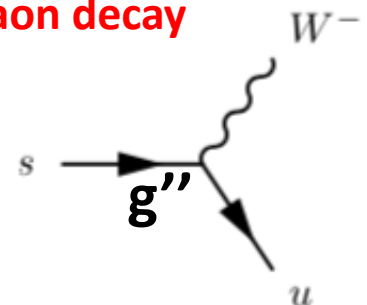
**Muon decay**



**Neutron decay**



**Kaon decay**



Find  $g > g' \gg g'' \Rightarrow$  why?

# Quark mixing

Universal coupling can be recovered if weak interaction 'sees' rotated combination of quark flavours

$\theta_c = 13^\circ$  from experiments

<https://journals.aps.org/prl/abstract/10.1103/PhysRevLett.10.531>

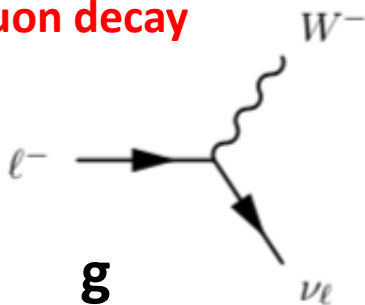
UNITARY SYMMETRY AND LEPTONIC DECAYS

Nicola Cabibbo

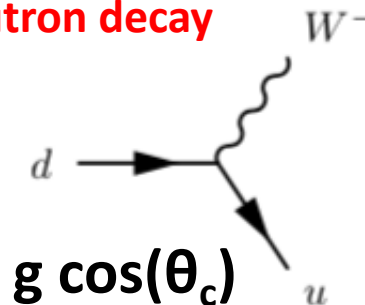
CERN, Geneva, Switzerland

(Received 29 April 1963)

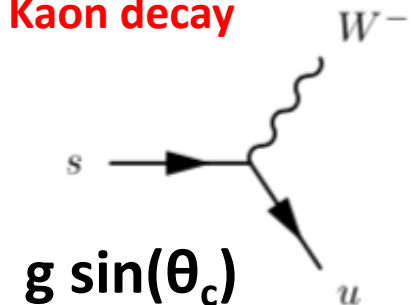
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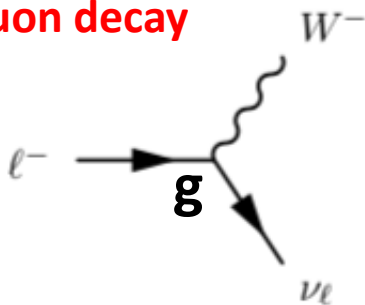
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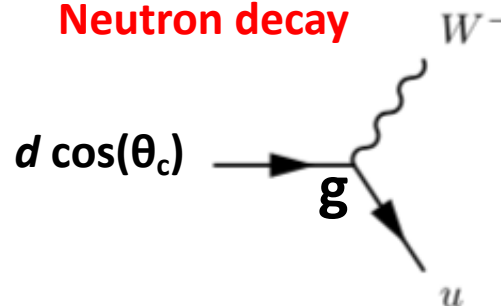
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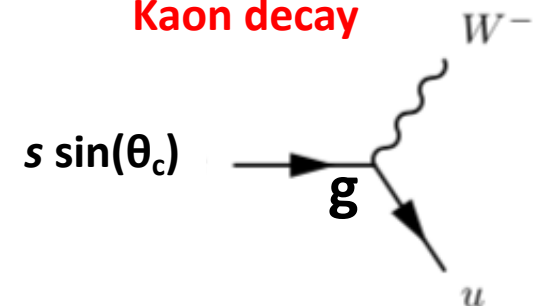
**Muon decay**



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**Kaon decay**



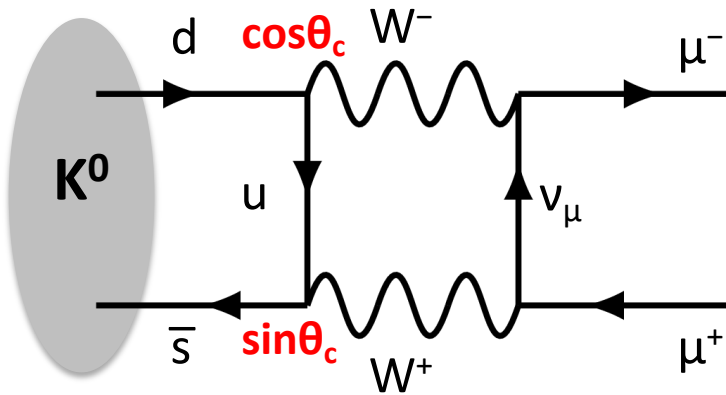
Weak eigenstates are a **mixture** (superposition) of flavour states:

$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_C & \sin \theta_C \\ -\sin \theta_C & \cos \theta_C \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

- ✓ Saves universality of weak interaction, introduces concept of quark mixing
- ✗ Predicts additional kaon decays well above observed experimental limits...

# “GIM” and the charm quark

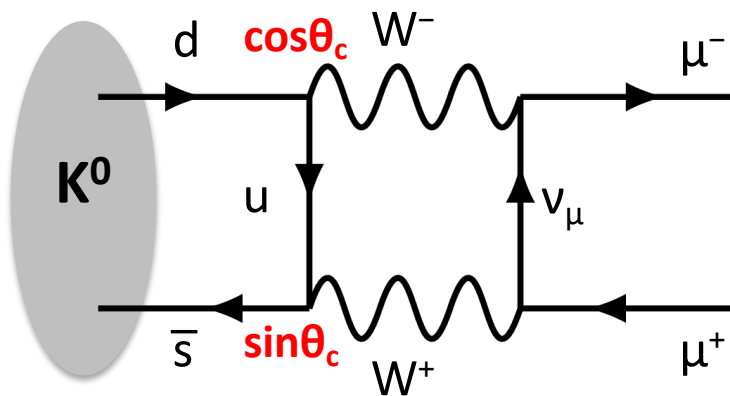
Following Cabibbo, questions remain – some apparently allowed decays are never observed



Process  $K^0 \rightarrow \mu^+\mu^-$  apparently highly suppressed (based on exp.) – but **why?**

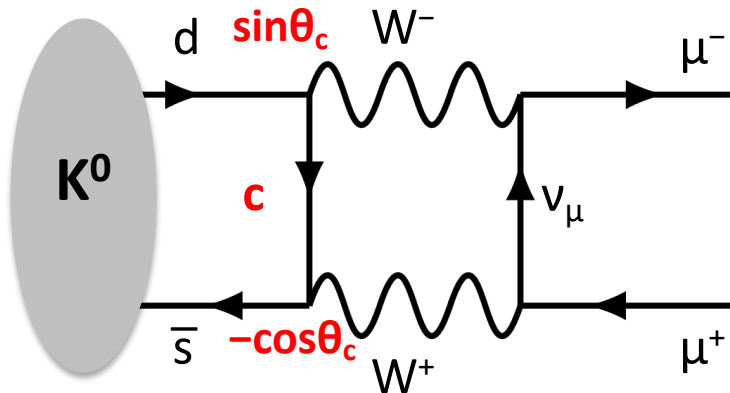
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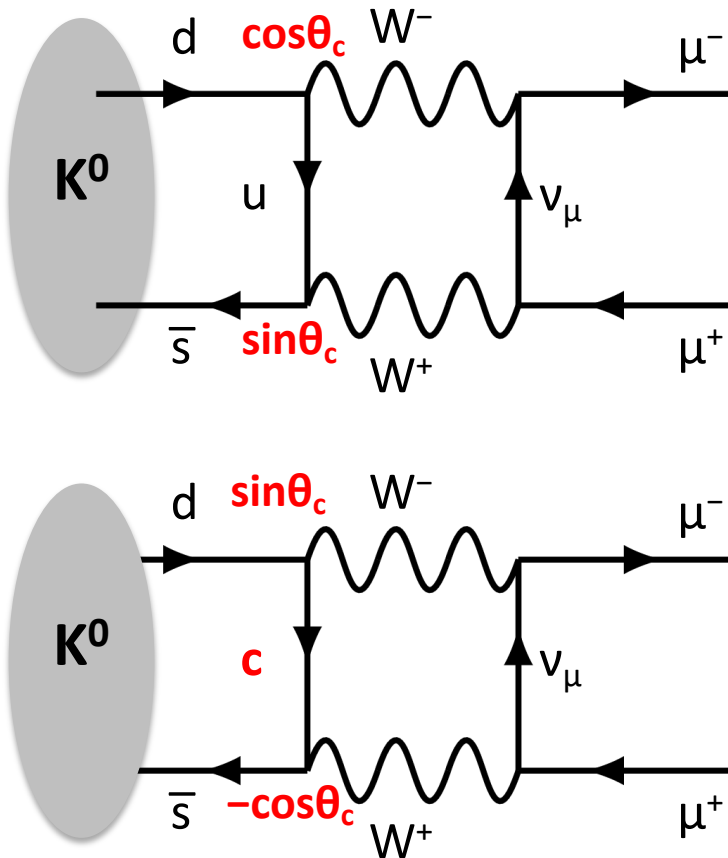
Add charm quark  $\Rightarrow$  add second diagram (= amplitude)





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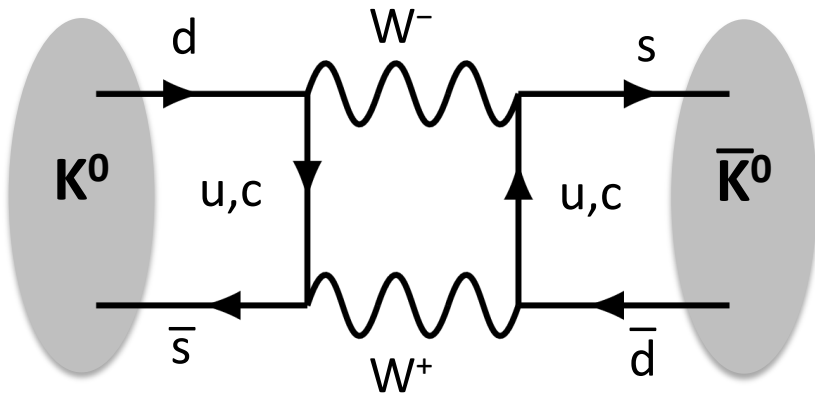
Two amplitudes  $\sim$ equal and have opposite sign  
 $\Rightarrow$  total amplitude **highly suppressed!**

**Cancellation not perfect because u and c quarks have different mass.**

$\Rightarrow$  **GIM mechanism**

# [Neutral kaon mixing]

Same diagrams cause kaon mixing



Mixing rate strongly depends on charm quark mass – if we can observe kaon mixing we can **predict** this mass

Kaon mixing experimentally confirmed since 1960s

Measurement of  $\Delta m_k$  (=oscillation frequency) gave prediction  $m_c = 1.5 \text{ GeV}$

$$\Delta m_k = \frac{G_F^2}{4\pi} m_K f_K^2 m_c^2 |V_{cs} V_{cd}|^2$$

# “GIM” and the charm quark

<https://journals.aps.org/prd/abstract/10.1103/PhysRevD.2.1285>

## Weak Interactions with Lepton-Hadron Symmetry\*

S. L. GLASHOW, J. ILIOPOULOS, AND L. MAIANI†

*Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02139*

(Received 5 March 1970)

We propose a model of weak interactions in which the currents are constructed out of four basic quark fields and interact with a charged massive vector boson. We show, to all orders in perturbation theory, that the leading divergences do not violate any strong-interaction symmetry and the next to the leading divergences respect all observed weak-interaction selection rules. The model features a remarkable symmetry between leptons and quarks. The extension of our model to a complete Yang-Mills theory is discussed.

Leads to remarkable symmetry  
between quark and lepton sector

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L$$
$$\begin{pmatrix} u \\ d' \end{pmatrix}_L, \begin{pmatrix} c \\ s' \end{pmatrix}_L$$

Makes testable prediction of existence and mass of charm quark...

# “GIM” and the charm quark

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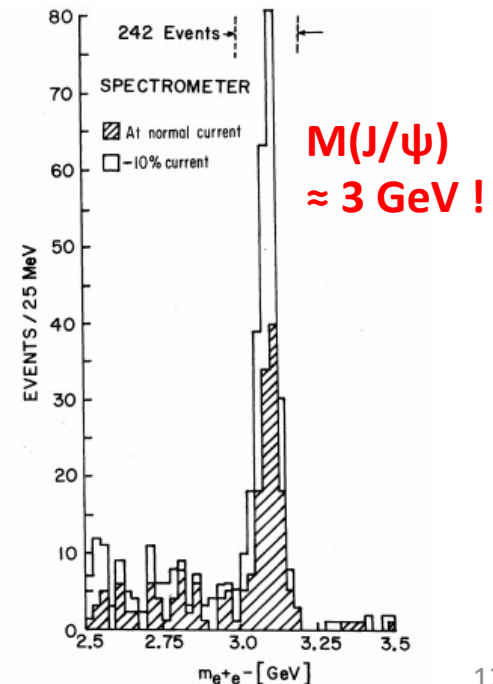
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$$\begin{pmatrix} u \\ d' \end{pmatrix}_L, \begin{pmatrix} c \\ s' \end{pmatrix}_L$$



J/ψ meson  
(c $\bar{c}$  bound state)  
discovered  
simultaneously  
at BNL and SLAC  
in 1974

Makes testable prediction of existence and mass of charm quark...



# Where's the CP violation?

<https://doi.org/10.1143/PTP.49.652>

CP violation experimentally verified in weak interaction, but couldn't fit into existing theory...

## **CP-Violation in the Renormalizable Theory of Weak Interaction**

Makoto KOBAYASHI and Toshihide MASKAWA

*Department of Physics, Kyoto University, Kyoto*

(Received September 1, 1972)

KM realised that **we need 3 generations** to allow CP violation...

### **Cabibbo**

$$\begin{bmatrix} d' \\ s' \end{bmatrix} = \begin{bmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{bmatrix} \begin{bmatrix} d \\ s \end{bmatrix}$$

1 (real) parameter: mixing angle  $\theta_c$

### **Cabibbo Kobayashi Maskawa (CKM)**

$$\begin{bmatrix} d' \\ s' \\ b' \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} d \\ s \\ b \end{bmatrix}$$

4 parameters: 3 real mixing angles  
**1 complex phase!**

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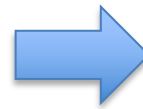
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**Prediction of another 2 new quarks even before charm was discovered!**

**⇒ b (t) quark not discovered until 1977 (1994)!**

# [Discovering beauty/bottom]

## Observation of a Dimuon Resonance at 9.5 GeV in 400-GeV Proton-Nucleus Collisions

S. W. Herb, D. C. Hom, L. M. Lederman, J. C. Sens,<sup>(a)</sup> H. D. Snyder, and J. K. Yoh  
Columbia University, New York, New York 10027

and

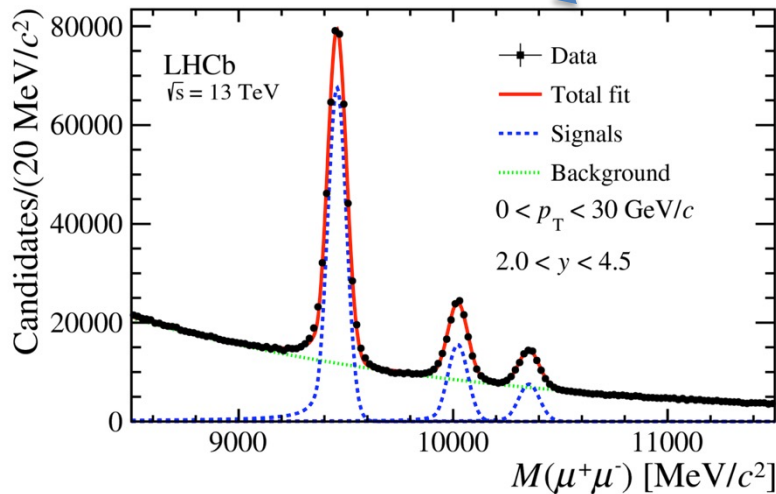
J. A. Appel, B. C. Brown, C. N. Brown, W. R. Innes, K. Ueno, and T. Yamanouchi  
Fermi National Accelerator Laboratory, Batavia, Illinois 60510

and

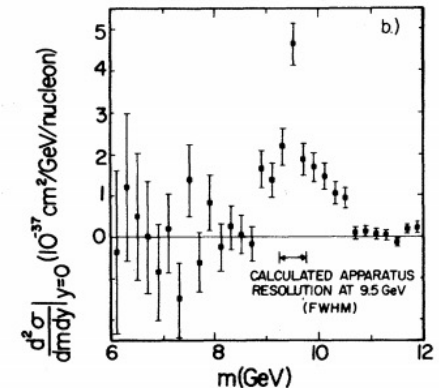
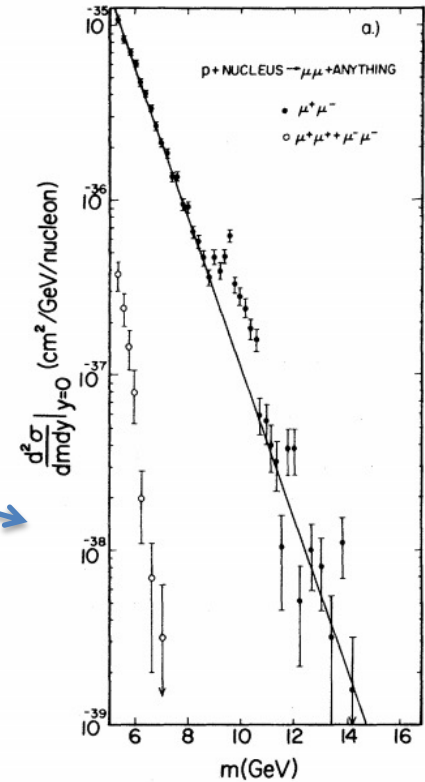
A. S. Ito, H. Jöstlein, D. M. Kaplan, and R. D. Kephart  
State University of New York at Stony Brook, Stony Brook, New York 11974  
(Received 1 July 1977)

1977, Lederman et al (proton beam on fixed target)

2018, LHCb (pp collisions)



'bottomonium'  
( $b\bar{b}$  bound state)  
 $Y \rightarrow \mu^+\mu^-$   
 $M \approx 9.5 \text{ GeV}$



# CKM structure

Current experimental status:

<https://pdg.lbl.gov/2023/reviews/rpp2022-rev-cp-violation.pdf>

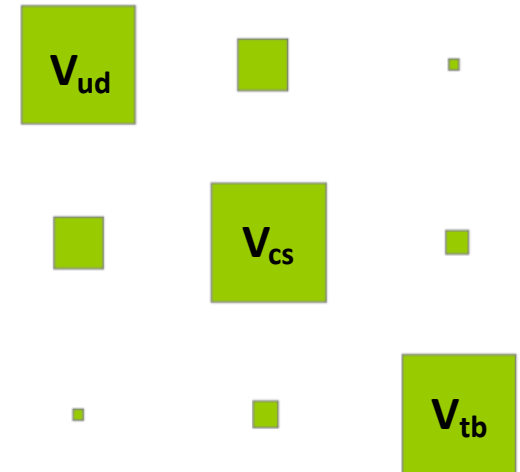
$$\begin{bmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{bmatrix} = \begin{bmatrix} 0.97435 \pm 0.00016 & 0.22500 \pm 0.00067 & 0.00369 \pm 0.00011 \\ 0.22486 \pm 0.00067 & 0.97349 \pm 0.00016 & 0.04182^{+0.00085}_{-0.00074} \\ 0.00857^{+0.00020}_{-0.00018} & 0.04110^{+0.00083}_{-0.00072} & 0.999118^{+0.000031}_{-0.000036} \end{bmatrix}$$

Magnitudes  $|V_{ij}|^2$  appear in probabilities (=rates) of decays.

Magnitudes have suggestive pattern  
No known reason!

Transitions within same generation : “**Cabibbo Favoured**” (CF)

Processes with 1 (2) off-diagonal elements :  
“**Singly (doubly) Cabibbo Suppressed**” (SCS / DCS)



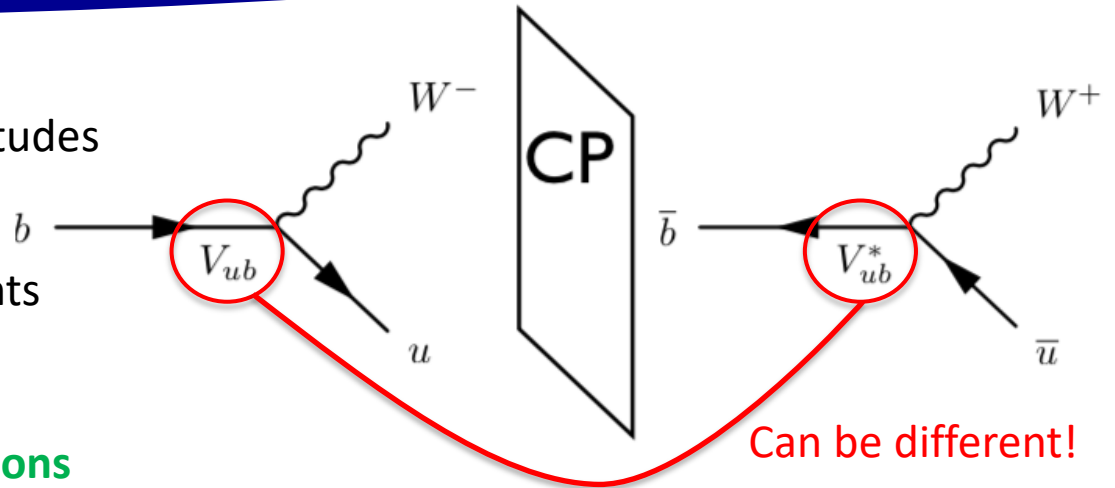


# CKM and CP violation

CP operator  
⇒ complex conjugation of amplitudes

With 3 generations, CKM elements  $V_{ij}$  can be complex

**A universe with 2 (or 1) generations could not have CP violation this way!**



**Highly predictive (= good theory!)**

- Can make many independent measurements of  $V_{ij}$  from different systems
- Test if these are self-consistent

**Next job: measure the magnitudes and phases of these complex parameters  $V_{ij}$**

# CKM parameterization: 'PDG'

Decompose into three rotation matrices:

$$s_{ij} = \sin\theta_{ij}$$

$$c_{ij} = \cos\theta_{ij}$$

$$V_{\text{CKM}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

Parameters:

- 3 rotation angles  $\theta_{12}, \theta_{13}, \theta_{23}$
- CP-violating phase  $\delta$

**Observed hierarchy motivates an alternative parameterisation...**

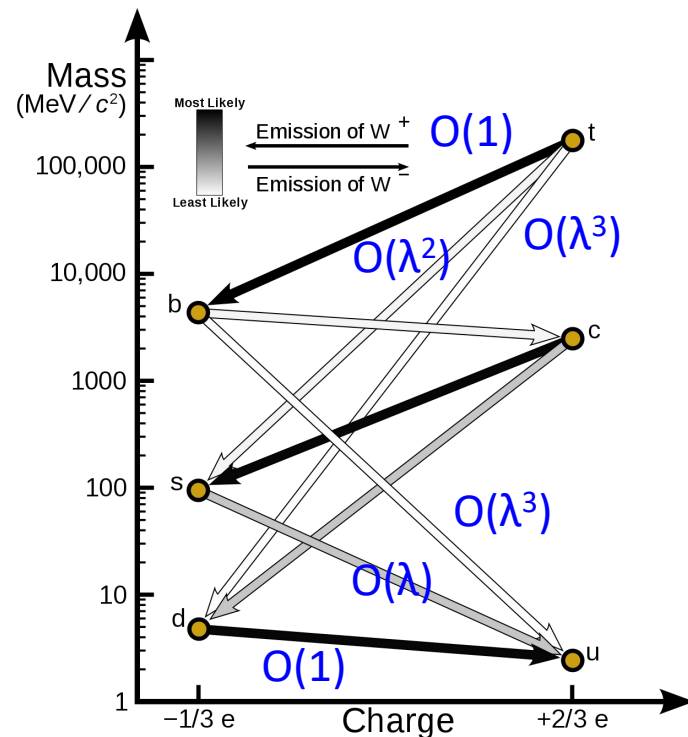
# CKM parameterization: Wolfenstein

$$\begin{bmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}$$

Expand CKM matrix elements in powers of  $\lambda \approx 0.22$  (i.e.  $\sin\theta_c$ )

Here shown to order  $\lambda^3$

Parameters:  $A, \lambda, \rho, \eta$  Quantify CP violation



# Part II: Testing the CKM mechanism

## a. Magnitudes

# Testing the CKM mechanism

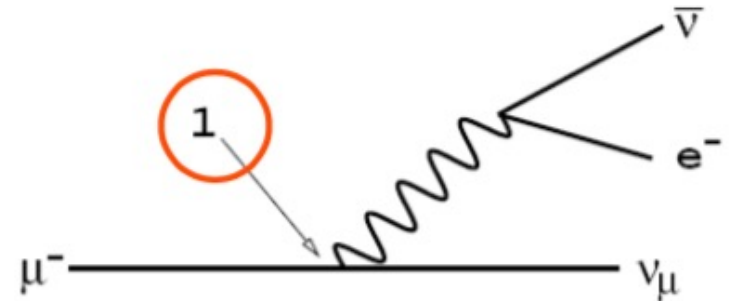
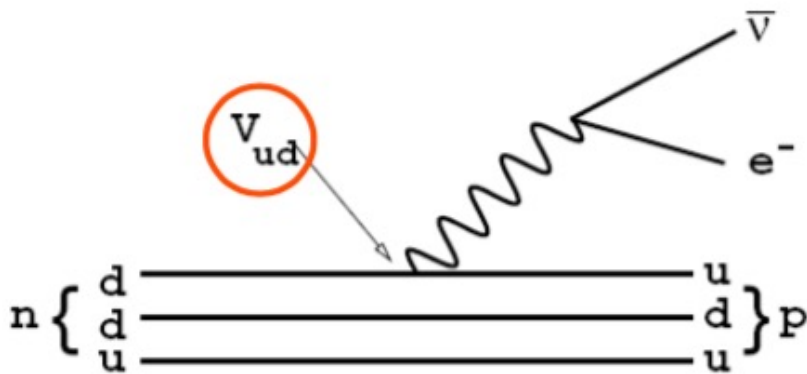
How to measure CKM matrix elements?

⇒ magnitudes control rates of particle decays

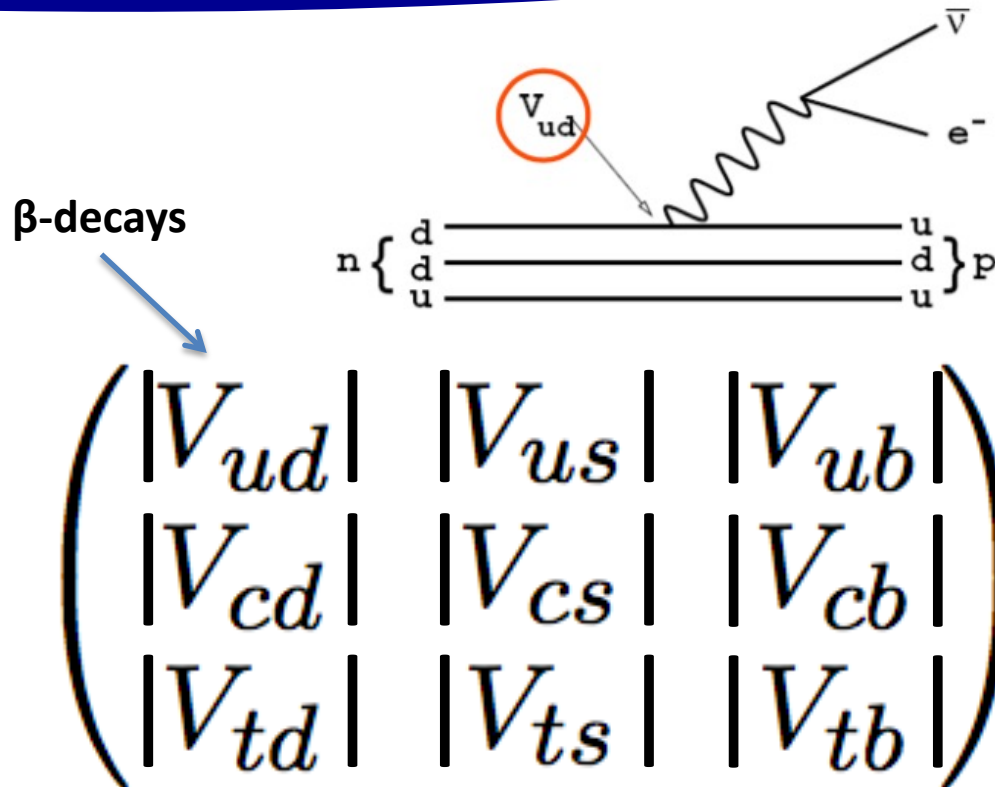
⇒ Ratio of **decay rates** proportional to ratio of **|amplitude|<sup>2</sup>**

For  $V_{ud}$ , compare neutron ( $\beta$  decay) and muon decay rates

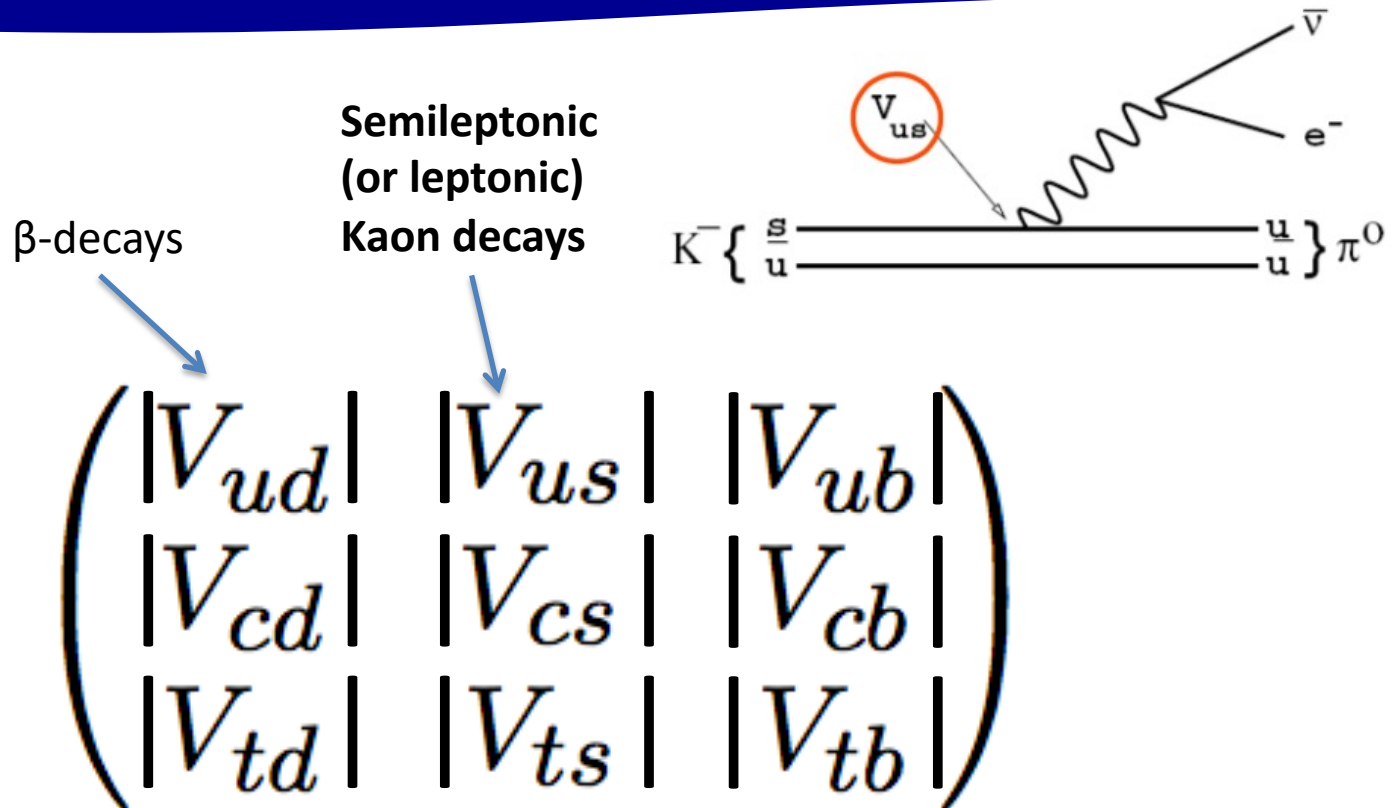
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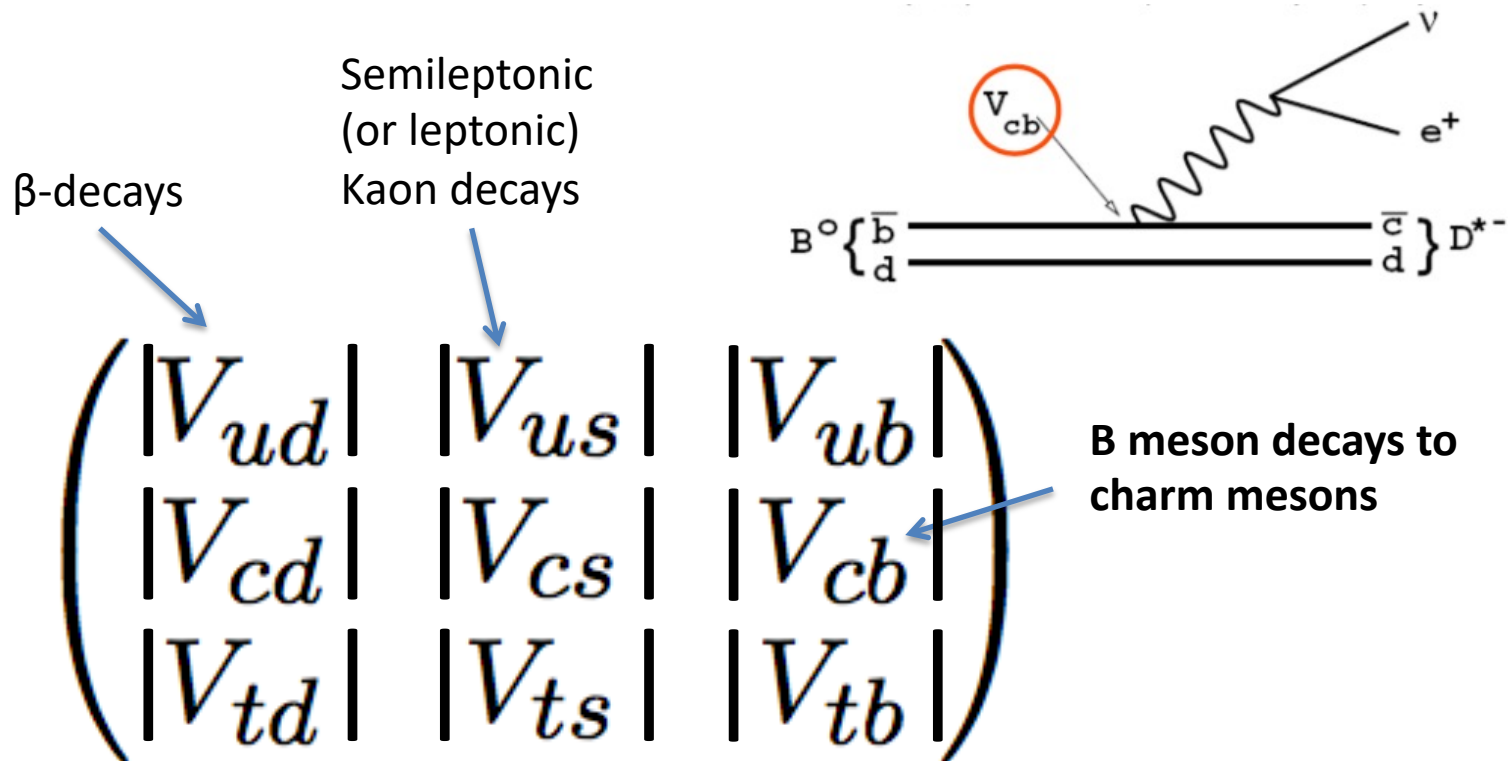
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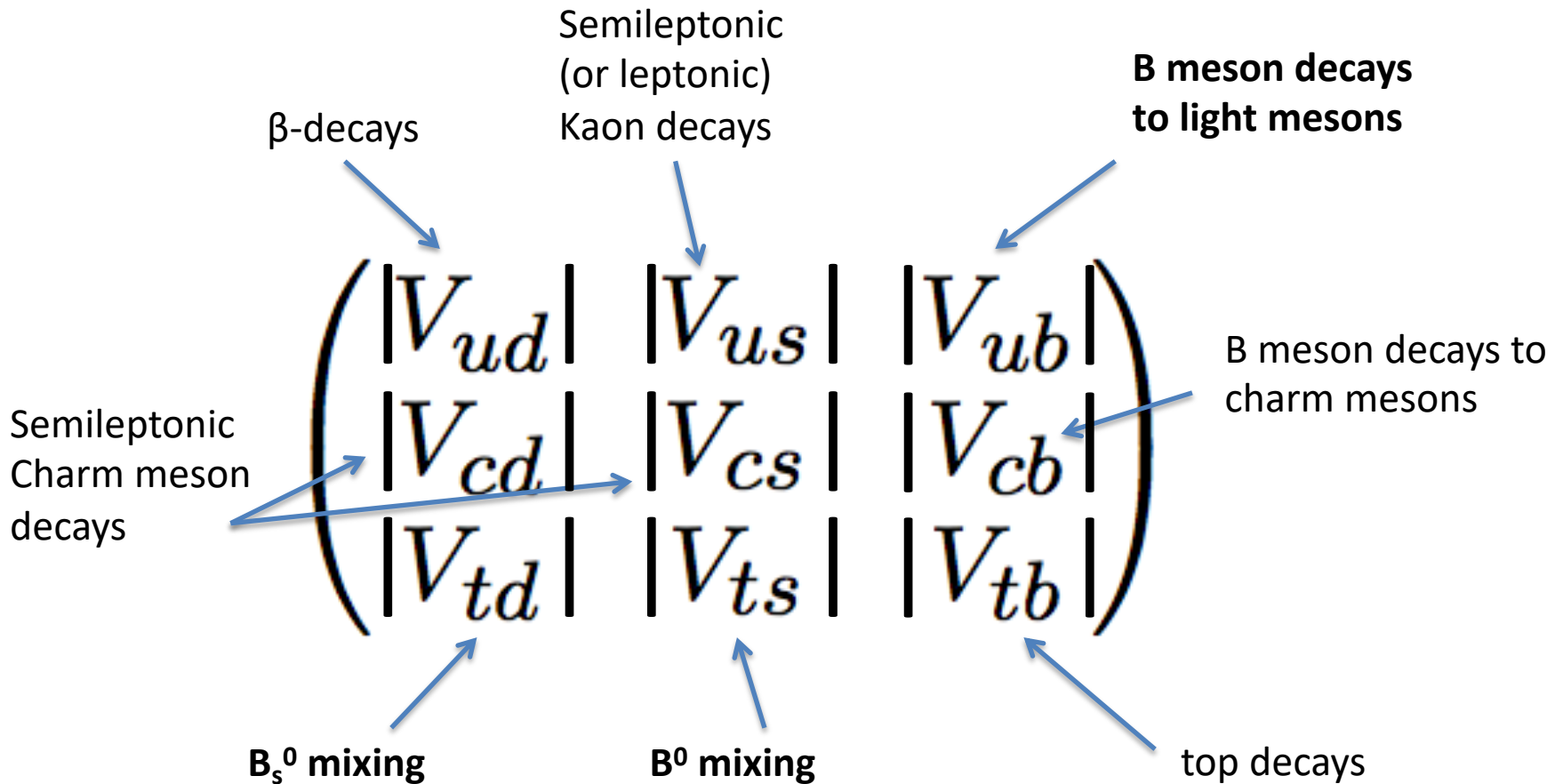


# Testing the CKM mechanism





# Testing the CKM mechanism



Often require theory inputs to relate hadron measurements to quark-level CKM

# Unitarity triangle(s)

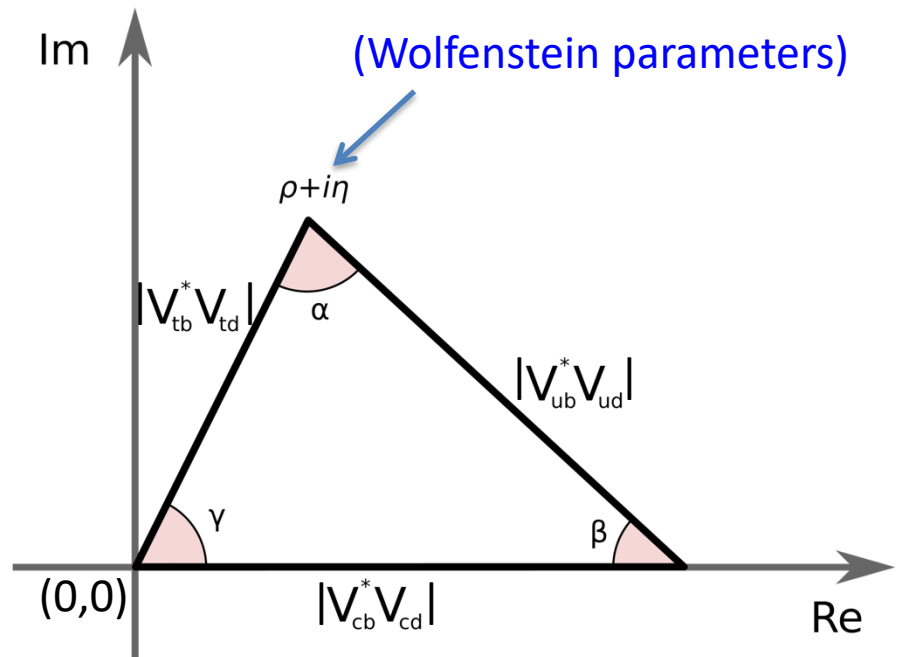
CKM matrix is unitary:  $\mathbf{V}_{\text{CKM}} \mathbf{V}_{\text{CKM}}^\dagger = \mathbf{I}$

Provides 9 constraints relating elements, e.g.

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

Sum of three complex numbers = 0  
⇒ triangle on Argand plane

There are in fact 6 triangles  
(one per quark pair)  
– this one ('bd') is most insightful



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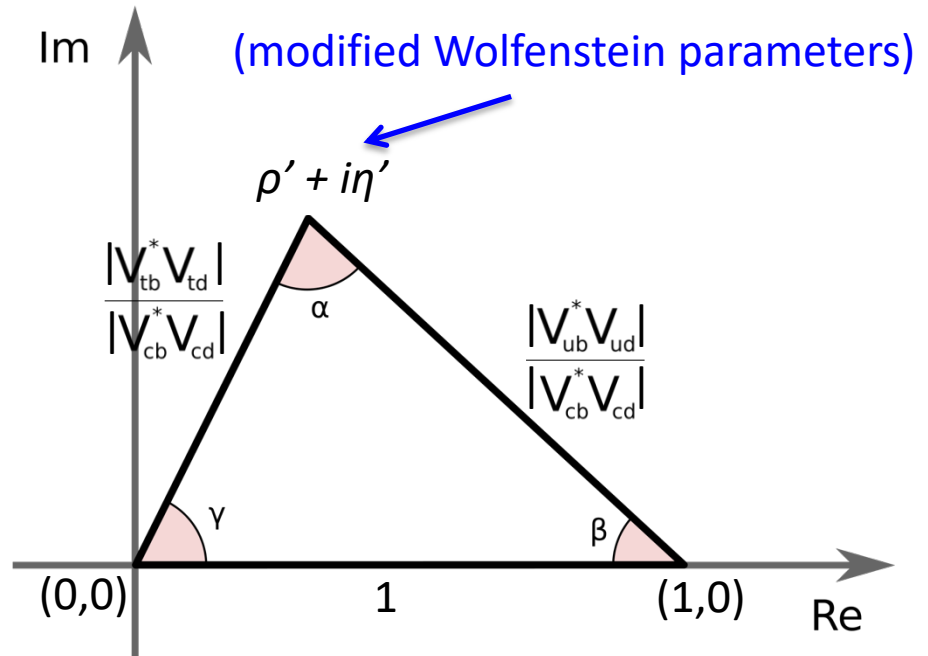
Sum of three complex numbers = 0  
 $\Rightarrow$  triangle on Argand plane

Rescale by dividing all sides by  $|V_{cd}V_{cb}^*|$

$$\beta = \phi_1 = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\alpha = \phi_2 = \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

$$\gamma = \phi_3 = \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$



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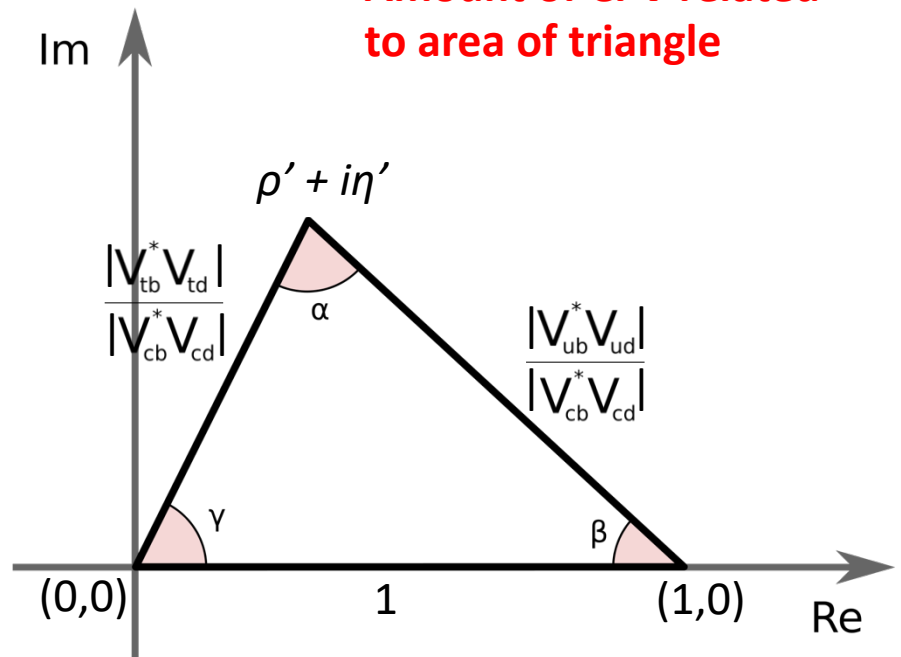
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Rescale by dividing all sides by  $|V_{cd}V_{cb}^*|$

**Now experimental measurements form constraints of various shape on the position of the apex**

- Length of sides (x2)
- Angles (x3)

**Amount of CPV related to area of triangle**



# SM CP violation and the universe

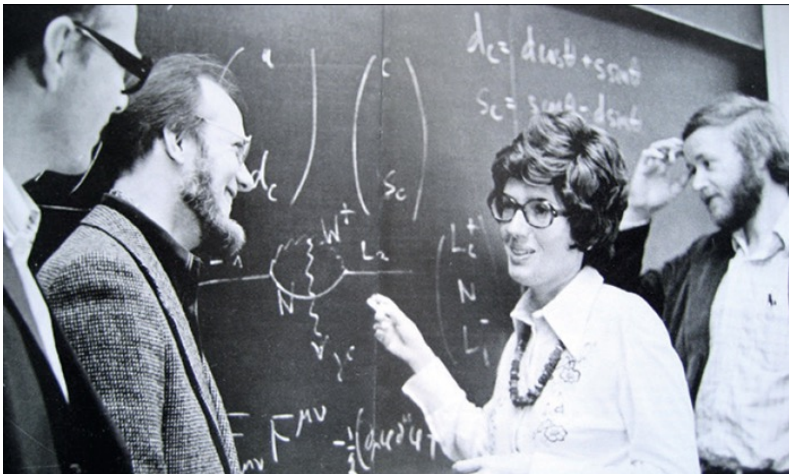
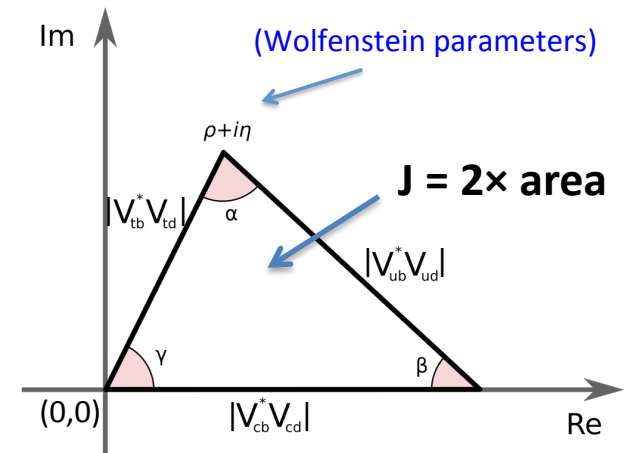
<https://doi.org/10.1103/PhysRevLett.55.1039> (1985)

**Jarlskog parameter J:** Convention-invariant measure of CPV in quark sector

$$J = \pm \text{Im}(V_{us}V_{cb}V_{ub}^*V_{cs}^*)$$

Expressed as Wolfenstein parameters:

$$J = A^2\lambda^6\eta(1 - \lambda^2/2) + O(\lambda^{10}) \approx \mathbf{3 \times 10^{-5}}$$



**Cecilia Jarlskog** with colleagues at the Nordic Institute of Theoretical Physics (NORDITA) in Copenhagen, in the early 1980s.

# SM CP violation and the universe

Jarlskog parameter J: Convention-invariant measure of CPV in quark sector

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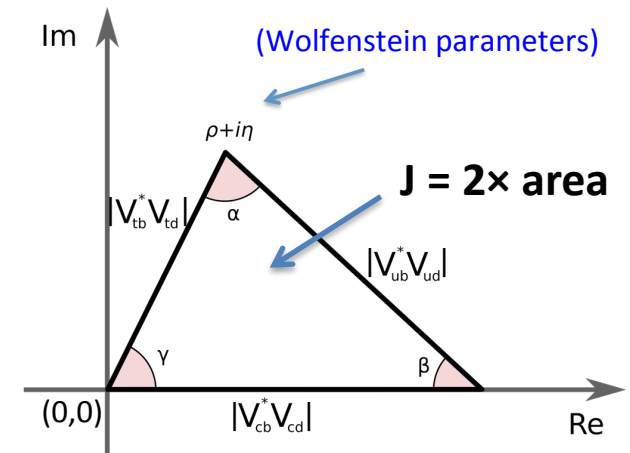
But... if any quark masses are degenerate, CPV vanishes – and small differences suppress it....

Multiply by terms

$$P_u = (m_t^2 - m_c^2)(m_t^2 - m_u^2)(m_c^2 - m_u^2)$$

$$P_d = (m_b^2 - m_s^2)(m_b^2 - m_d^2)(m_s^2 - m_d^2)$$

And divide by electroweak mass scale...  $M_W^{12}$



# SM CP violation and the universe

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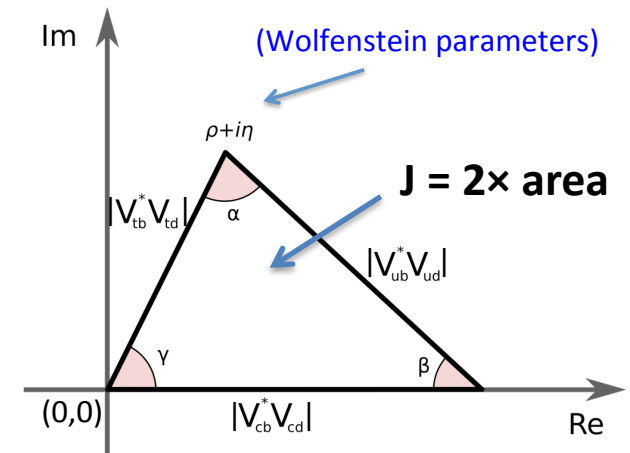
And divide by electroweak mass scale...  $M_W^{12}$

$$\frac{n_B - n_{\bar{B}}}{n_\gamma} \approx \frac{n_B}{n_\gamma} \sim \frac{J \times P_u \times P_d}{M^{12}}$$

=  $O(10^{-20})$  from SM

=  $O(10^{-10})$  Observed!

⇒ Need to identify **new sources of CPV** associated with **high energy scales**

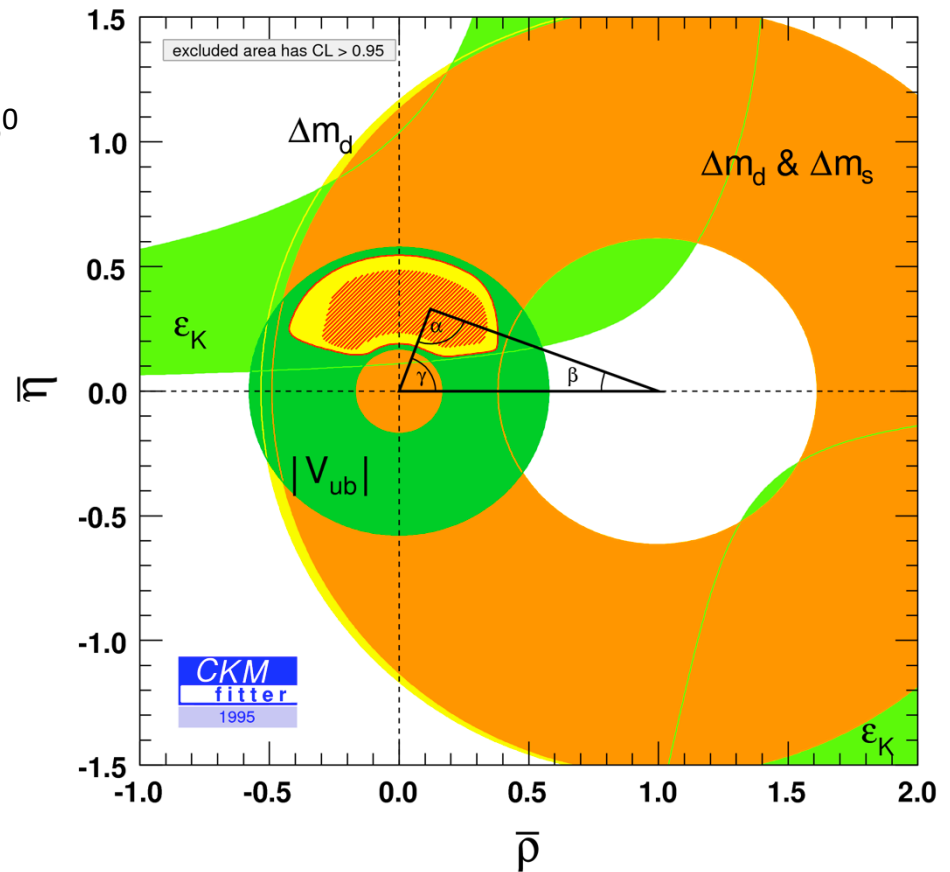


# Unitarity triangle in 1995...

Top quark just discovered  
⇒ CKM constraint can be derived from  $B^0$   
meson mixing measurements ( $\Delta M_d$ )

First constraints on  $|V_{ub}|$  from  
LEP, ARGUS, CLEO experiments

Minimum number of measurements  
needed to locate apex, and large  
uncertainties – **no measurements of  
angles**



**Lots of work ahead! Sets the stage for the next phase in flavour physics...**  
**The era of the B factories!**



# Part II: Testing the CKM mechanism

## b. Phases

# How to measure angles $\alpha$ , $\beta$ , $\gamma$ ?

Observables are rates, i.e.  $|A|^2 \Rightarrow$  not sensitive to phases

$$|Ae^{i\phi}|^2 = A^2$$


Need two amplitudes with different phases  
– then rate sensitive to their difference...

$$|A_1e^{i\phi_1} + A_2e^{i\phi_2}|^2 = A_1^2 + A_2^2 + 2A_1A_2\cos(\delta\phi)$$

$$\delta\phi = \phi_1 - \phi_2$$

Unitarity triangle angles are phase differences between CKM elements

e.g.  $\beta$  is angle between  $V_{cd}V_{cb}^*$  and  $V_{td}V_{tb}^*$

 **top quark – must be in loop!**

$$\beta = \phi_1 = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\alpha = \phi_2 = \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

$$\gamma = \phi_3 = \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$

Need  $>1$  amplitudes to reach same final state (interference)  
One of these must include a top quark loop...

**$B^0$  mixing?**

# [3 types of CP violation]

Three ways to satisfy the criteria for CPV:

>1 amplitudes with different strong and weak phases:

CP violation  
in decay:

$$\Gamma(i \rightarrow f) \neq \Gamma(\bar{i} \rightarrow \bar{f})$$

Possible for any decay

CP violation in  
meson mixing:

$$\Gamma(M^0 \rightarrow \bar{M}^0) \neq \Gamma(\bar{M}^0 \rightarrow M^0)$$

$$\text{i.e. } |q/p| \neq 1$$

CP violation in  
interference between  
mixing and decay:

(to common final state f)

$$\Gamma(M^0 \rightarrow \bar{M}^0 \rightarrow f) \neq \Gamma(\bar{M}^0 \rightarrow M^0 \rightarrow f)$$

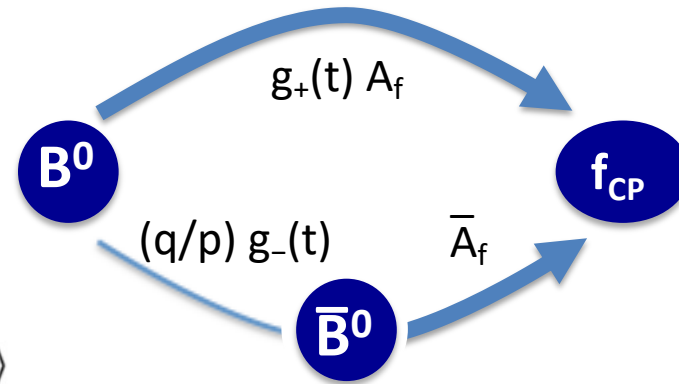
$$\text{requires } \arg(q/p) \neq 0$$

Only possible  
for neutral mesons  
that mix

# CP violation in interference

Consider the process  $B^0 \rightarrow \bar{B}^0 \rightarrow f_{CP}$

From last lecture, for  $B^0$  at time  $t=0$



$$|B^0(t)\rangle = g_+(t)|B^0\rangle + \left(\frac{q}{p}\right) g_-(t)|\bar{B}^0\rangle$$

$$\Rightarrow \text{Total amplitude} = A_{f_{CP}} \left[ g_+(t) + \frac{q}{p} \frac{\bar{A}_{f_{CP}}}{A_{f_{CP}}} g_-(t) \right] \quad \text{where} \quad \bar{A}_{CP}^{(-)} = \langle f_{CP} | \bar{B}^0 \rangle$$

$$\langle f_{CP} | B^0(t) \rangle = A_{f_{CP}} [g_+(t) + \lambda_{f_{CP}} g_-(t)] \quad \lambda_{f_{CP}} \equiv \frac{q}{p} \frac{\bar{A}_{f_{CP}}}{A_{f_{CP}}}$$

Now plug-in  $g_{\pm}(t)$  terms (see last lecture) and  $||^2$  to get rate...

Reminder:

$$g_+(t) = e^{-imt} e^{-\Gamma/2t} \left[ \cosh \frac{\Delta\Gamma t}{4} \cos \frac{\Delta M t}{2} - i \sinh \frac{\Delta\Gamma t}{4} \sin \frac{\Delta M t}{2} \right],$$

$$g_-(t) = e^{-imt} e^{-\Gamma/2t} \left[ -\sinh \frac{\Delta\Gamma t}{4} \cos \frac{\Delta M t}{2} + i \cosh \frac{\Delta\Gamma t}{4} \sin \frac{\Delta M t}{2} \right]$$

# CP violation in interference

$$\begin{aligned} B^0 \text{ at } t=0: \quad \Gamma(B(t) \rightarrow f) &\propto e^{-\Gamma t} \\ &\times [ \cosh(\Delta\Gamma t/2) + A_{CP}^{dir} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) + A_{CP}^{mix} \sin(\Delta m t) ] \end{aligned}$$

$$\begin{aligned} \bar{B}^0 \text{ at } t=0: \quad \Gamma(\bar{B}(t) \rightarrow f) &\propto e^{-\Gamma t} \\ &\times [ \cosh(\Delta\Gamma t/2) - A_{CP}^{dir} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) - A_{CP}^{mix} \sin(\Delta m t) ] \end{aligned}$$

where:

$$A_{CP}^{dir} = C_{CP} = \frac{1 - |\lambda_{CP}|^2}{1 + |\lambda_{CP}|^2}$$

**CPV in decay**

$$A_{\Delta\Gamma} = \frac{2 \Re(\lambda_{CP})}{1 + |\lambda_{CP}|^2}$$

**CP conserving part**

$$A_{CP}^{mix} = S_{CP} = \frac{2 \Im(\lambda_{CP})}{1 + |\lambda_{CP}|^2}$$

**CPV in interference  
between mixing & decay**

# CP violation in interference

$$\begin{aligned} B^0 \text{ at } t=0: \quad \Gamma(B(t) \rightarrow f) &\propto e^{-\Gamma t} \\ &\times [ \cosh(\Delta\Gamma t/2) + A_{CP}^{\text{dir}} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) + A_{CP}^{\text{mix}} \sin(\Delta m t) ] \end{aligned}$$

$$\begin{aligned} \bar{B}^0 \text{ at } t=0: \quad \Gamma(\bar{B}(t) \rightarrow f) &\propto e^{-\Gamma t} \\ &\times [ \cosh(\Delta\Gamma t/2) - A_{CP}^{\text{dir}} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) - A_{CP}^{\text{mix}} \sin(\Delta m t) ] \end{aligned}$$

**X** For  $B^0$  case,  $\Delta\Gamma$  small – can be neglected...

# CP violation in interference

$$\begin{aligned}
 \mathbf{B}^0 \text{ at } t=0: \quad \Gamma(\mathbf{B}(t) \rightarrow f) &\propto e^{-\Gamma t} \\
 &\times [ \cosh(\Delta\Gamma t/2) + A_{\text{CP}}^{\text{dir}} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) + A_{\text{CP}}^{\text{mix}} \sin(\Delta m t) ]
 \end{aligned}$$

$$\begin{aligned}
 \bar{\mathbf{B}}^0 \text{ at } t=0: \quad \Gamma(\bar{\mathbf{B}}(t) \rightarrow f) &\propto e^{-\Gamma t} \\
 &\times [ \cosh(\Delta\Gamma t/2) - A_{\text{CP}}^{\text{dir}} \cos(\Delta m t) + A_{\Delta\Gamma} \sinh(\Delta\Gamma t/2) - A_{\text{CP}}^{\text{mix}} \sin(\Delta m t) ]
 \end{aligned}$$

✗ For 'golden mode'  $\mathbf{B}^0 \rightarrow \mathbf{J}/\psi \mathbf{K}_S^0$ : No direct CPV ( $A_{\text{CP}}^{\text{dir}} = 0$ ,  $a = 0$ )

$$\text{and } A_{\text{CP}}^{\text{mix}} = -\sin(2\beta)$$

# CP violation in interference

$$B^0 \text{ at } t=0: \quad \Gamma(B(t) \rightarrow f) \propto e^{-\Gamma t} \times [ 1 - \sin(2\beta) \sin(\Delta m t) ]$$

$$\bar{B}^0 \text{ at } t=0: \quad \Gamma(\bar{B}(t) \rightarrow f) \propto e^{-\Gamma t} \times [ 1 + \sin(2\beta) \sin(\Delta m t) ]$$

⇒ By time-dependent analysis, can extract  $\beta$  from amplitude of oscillations



# CP violation in interference

$$B^0 \text{ at } t=0: \quad \Gamma(B(t) \rightarrow f) \propto e^{-\Gamma t} \times [ 1 - \sin(2\beta) \sin(\Delta m t) ]$$

$$\bar{B}^0 \text{ at } t=0: \quad \Gamma(\bar{B}(t) \rightarrow f) \propto e^{-\Gamma t} \times [ 1 + \sin(2\beta) \sin(\Delta m t) ]$$

⇒ By time-dependent analysis, can extract  $\beta$  from amplitude of oscillations

⇒ Even cleaner using CP asymmetry:

$$\frac{\Gamma(t) [B^0 \rightarrow J/\psi K_S^0] - \Gamma(t) [\bar{B}^0 \rightarrow J/\psi K_S^0]}{\Gamma(t) [B^0 \rightarrow J/\psi K_S^0] + \Gamma(t) [\bar{B}^0 \rightarrow J/\psi K_S^0]} = -\sin(2\beta) \sin(\Delta m t)$$

Hence,  
“Golden mode”

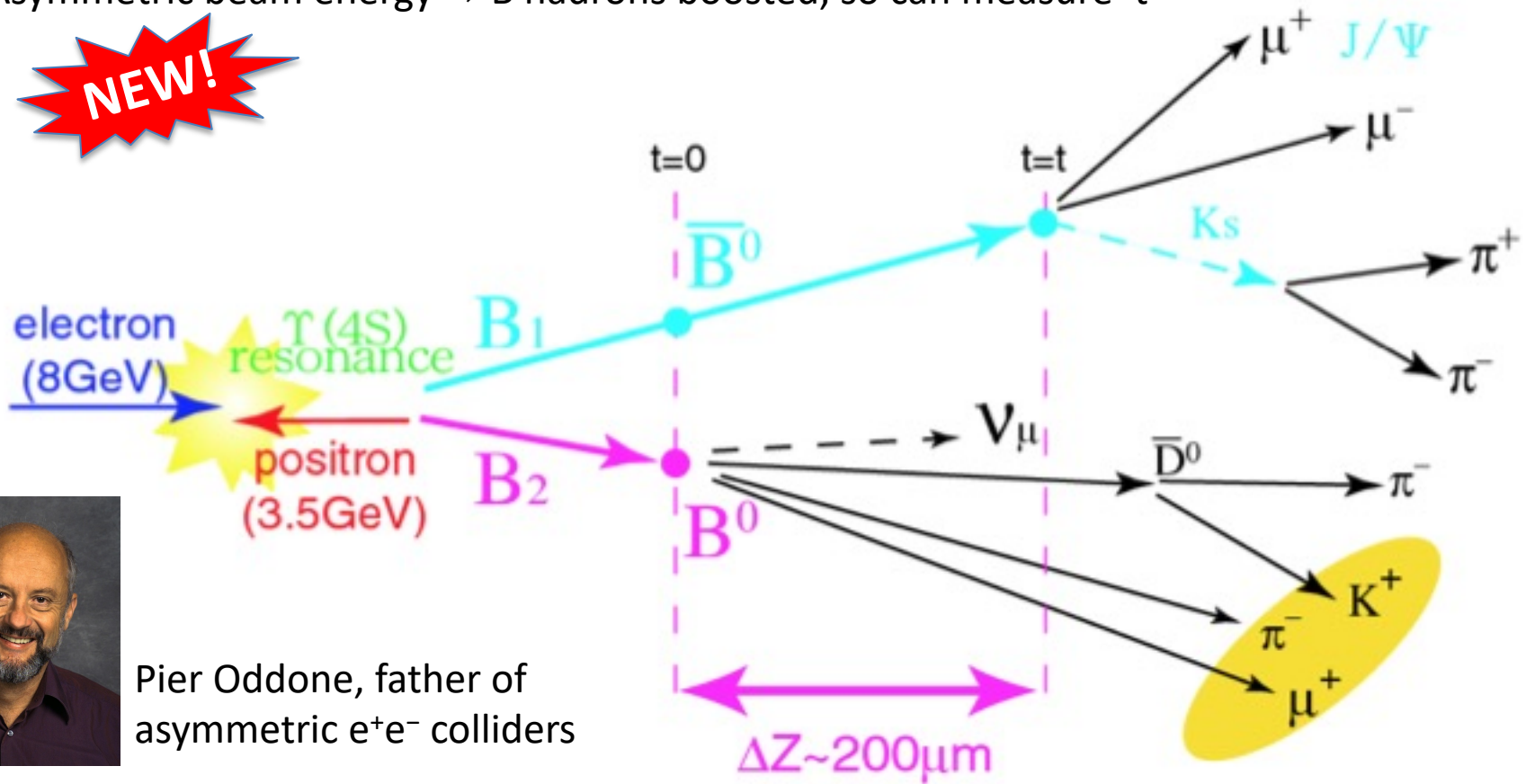
But note: asymmetry integrates to zero over time

# Part III: The B factories

# The B Factories: BaBar and Belle

- Collide  $e^+e^-$  at  $Y(4S)$  resonance energy  $\Rightarrow Y(4S) \rightarrow B^{(0,\pm)}\bar{B}^{(0,\pm)}$
- B hadrons quantum correlated – can determine initial state from ‘other B’
- Asymmetric beam energy  $\Rightarrow$  B hadrons boosted, so can measure ‘t’

**NEW!**



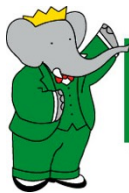
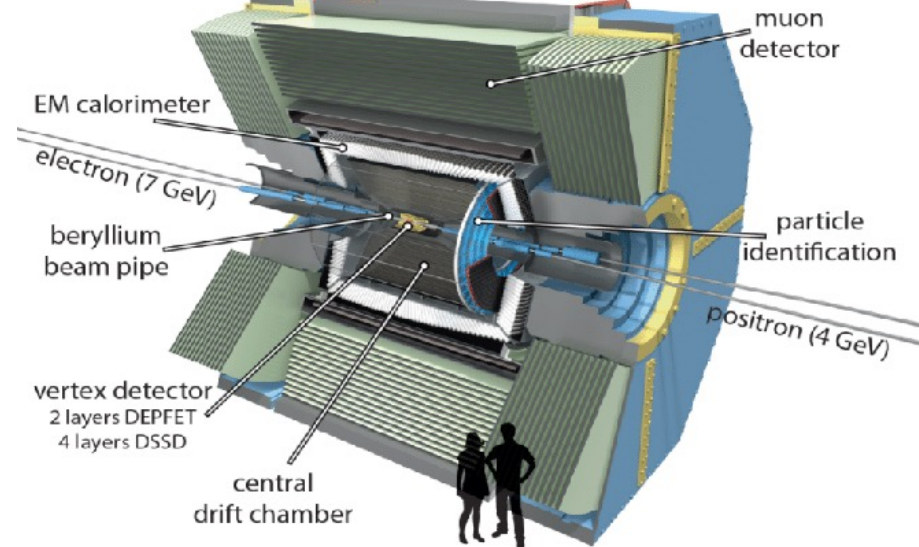
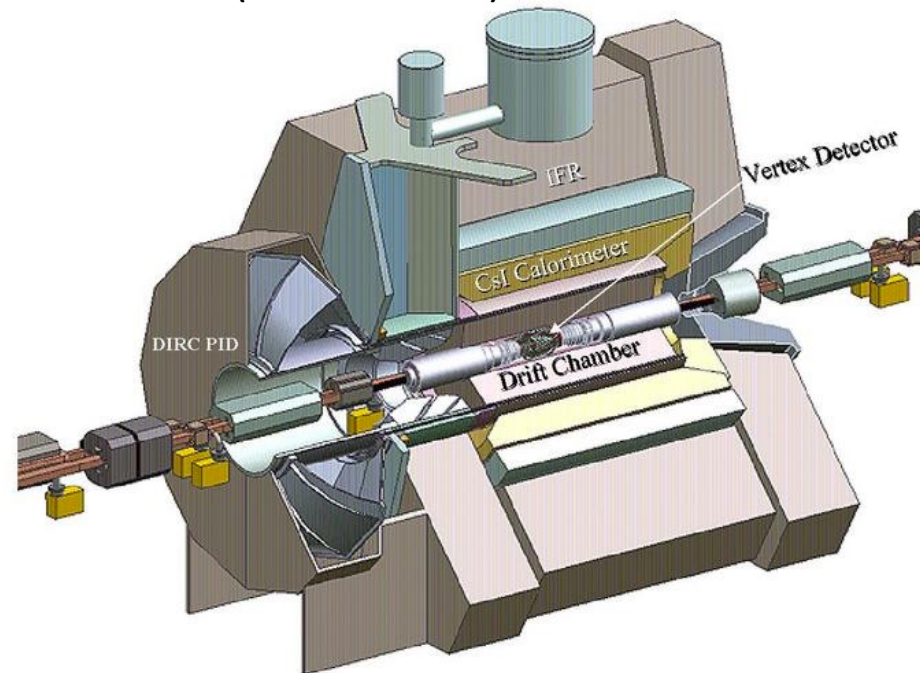
Pier Oddone, father of asymmetric  $e^+e^-$  colliders

# The B Factories: BaBar and Belle

BaBar: on PEP-II @ SLAC, USA  
9 GeV  $e^- \leftrightarrow 3.1$  GeV  $e^+$   
433 fb $^{-1}$  (1999 – 2008)

Different detectors, same ideas:

- Vertex + tracking detectors
- Particle ID
- Calorimetry



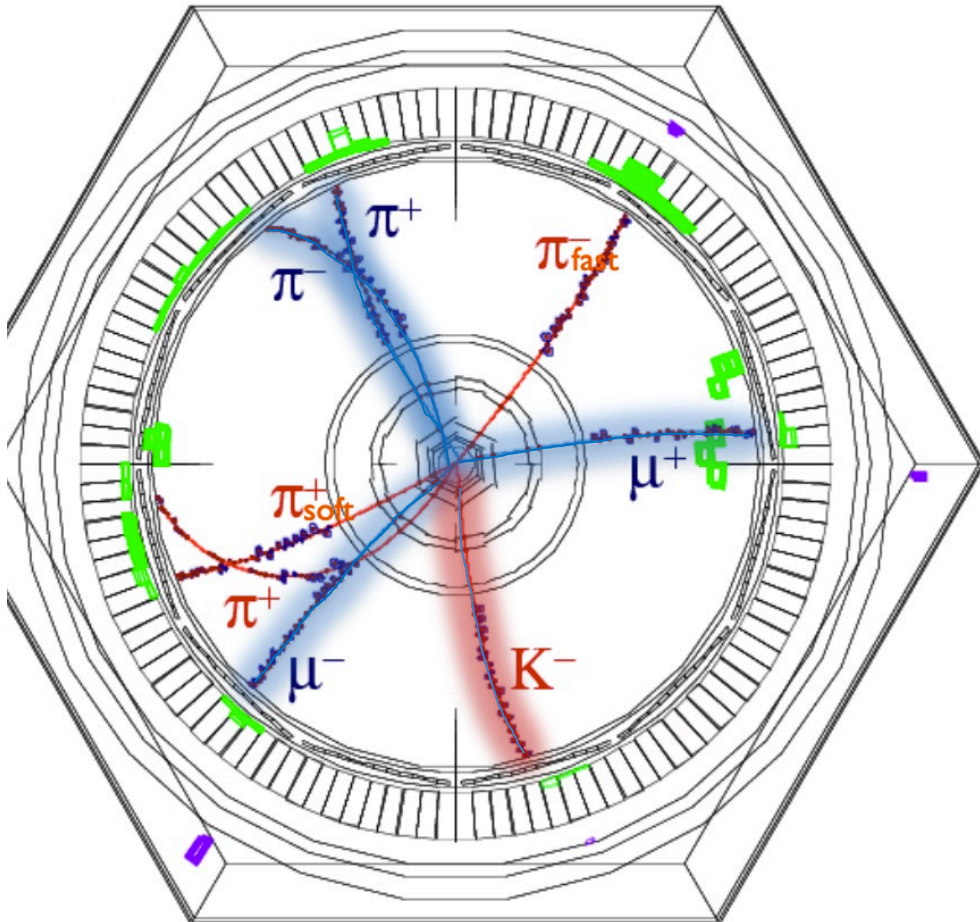
**BABAR**

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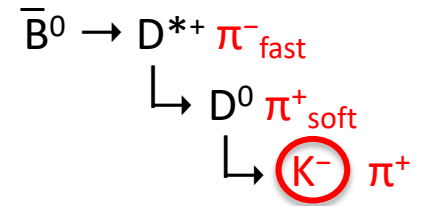
Belle: on KEKB accelerator (Japan)  
8 GeV  $e^- \leftrightarrow 3.5$  GeV  $e^+$   
711fb $^{-1}$  (1999 – 2010)



# Example event

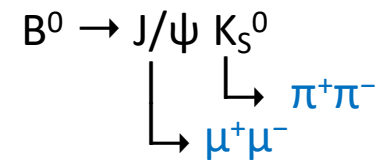


Tagging side:



$K^-$  tags initial flavor as  $\bar{B}^0$

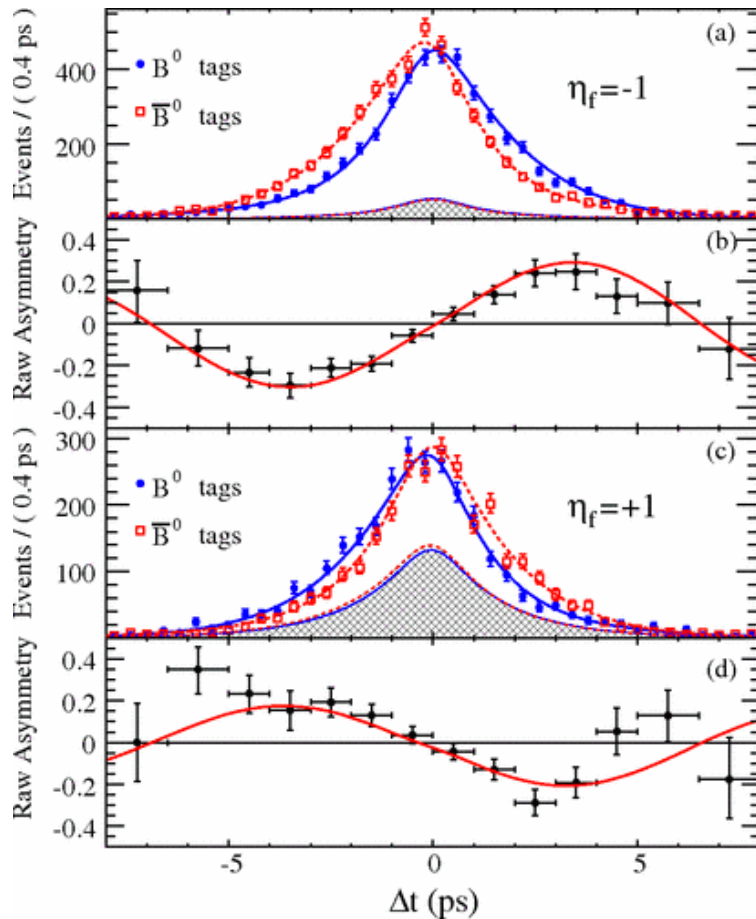
$\Rightarrow$  Signal must be  $B^0$  at “t=0”



# Golden mode results: $\sin(2\beta)$

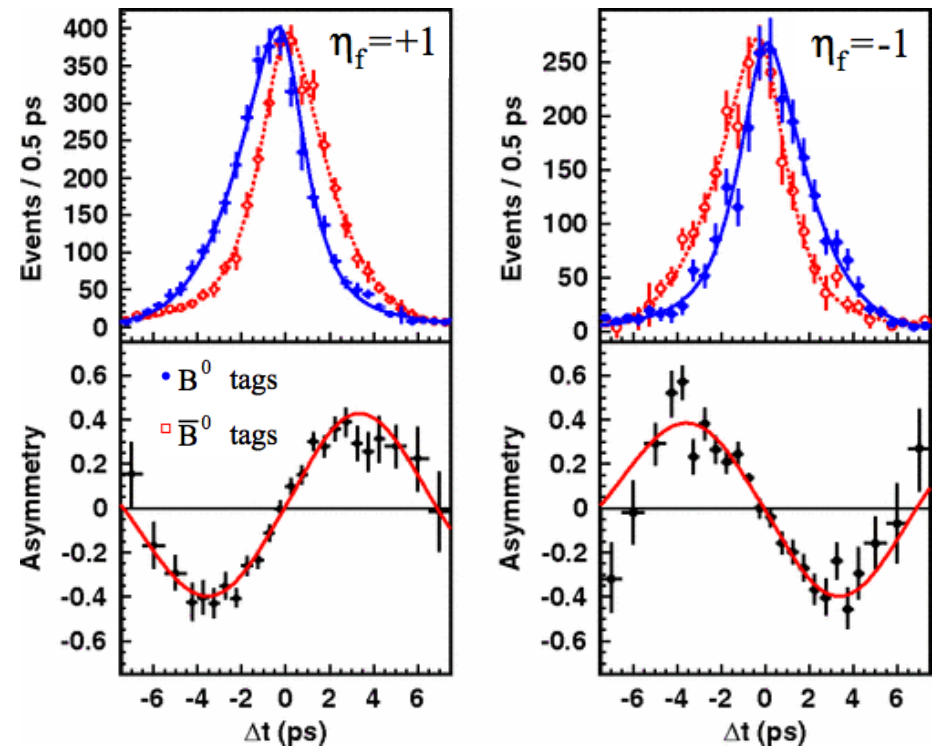
**BaBar**

<https://arxiv.org/abs/0902.1708>



**Belle**

<https://arxiv.org/abs/1201.4643>



⇒ **Clear CP-asymmetry! Measure  $\sin(2\beta)$**

Actually use many different channels  
 (both CP-odd and CP-even,  $\eta_f = \pm 1$ )

$\sim K_L^0$

$\sim K_S^0$

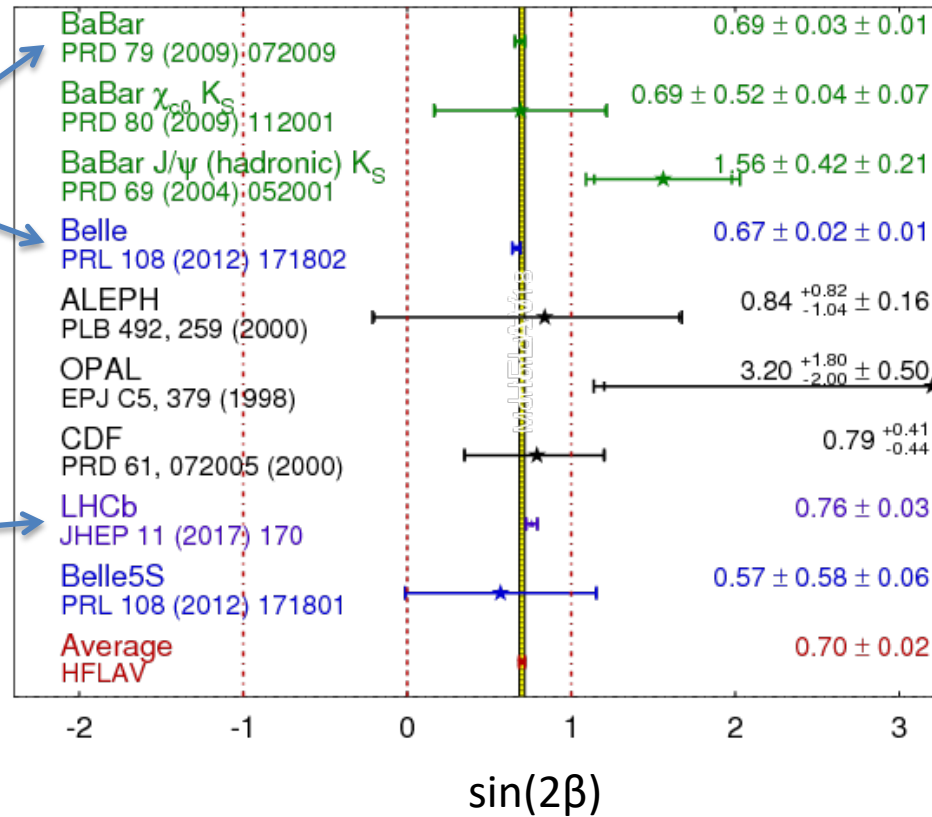
# Golden mode results: $\sin(2\beta)$

$$\sin(2\beta) \equiv \sin(2\phi_1)$$

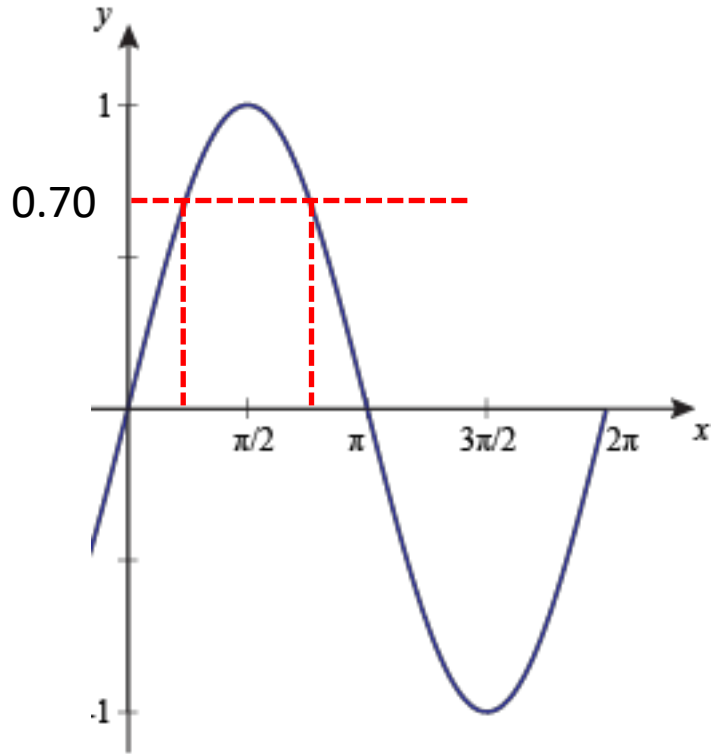
**HFLAV**  
Moriond 2018  
PRELIMINARY

Results on previous slide

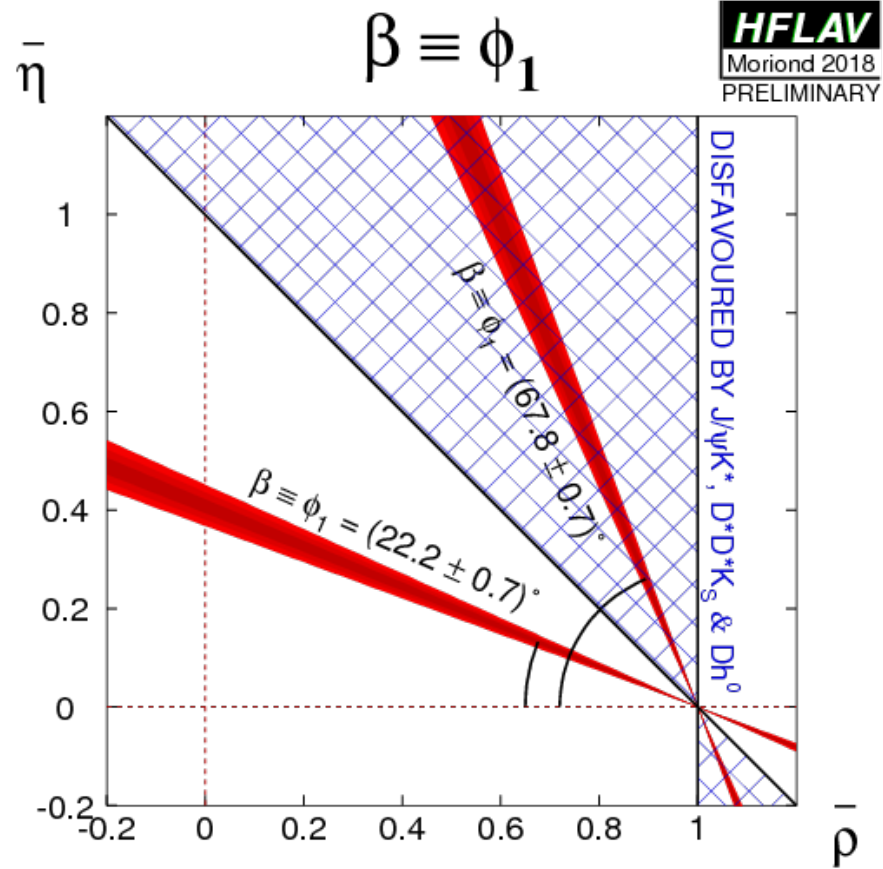
LHCb now competitive with B-factories!



# Golden mode results: $\sin(2\beta)$



Two-fold ambiguity on  $\beta$ , but second solution ruled-out by other inputs





# Other angles: $\alpha$ and $\gamma$

Similar approach to measure other angles...

$$\beta = \phi_1 = \arg \left( -\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right)$$

$$\alpha = \phi_2 = \arg \left( -\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*} \right)$$

$$\gamma = \phi_3 = \arg \left( -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right)$$

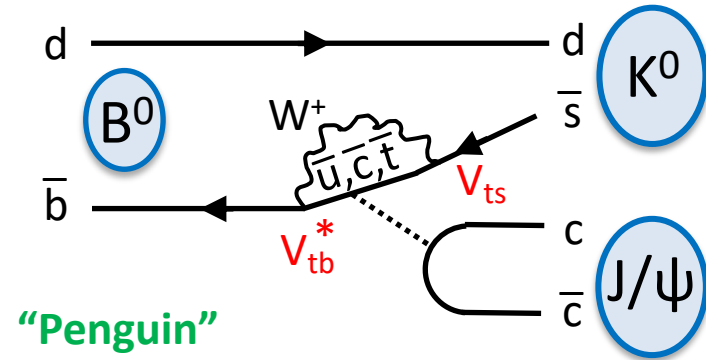
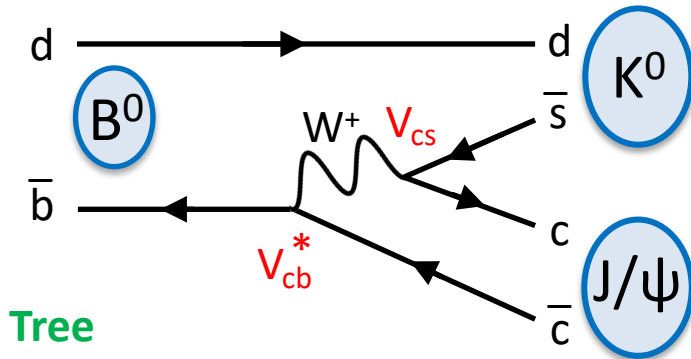
$b \rightarrow cW$  transitions, with B mixing  
(e.g.  $B^0 \rightarrow J/\psi K_S^0$ )

$b \rightarrow uW$  transitions, with B mixing  
(e.g.  $B^0 \rightarrow \pi^+\pi^-$ )

**Messy – many interfering processes,  
and direct CPV**

# Penguin pollution

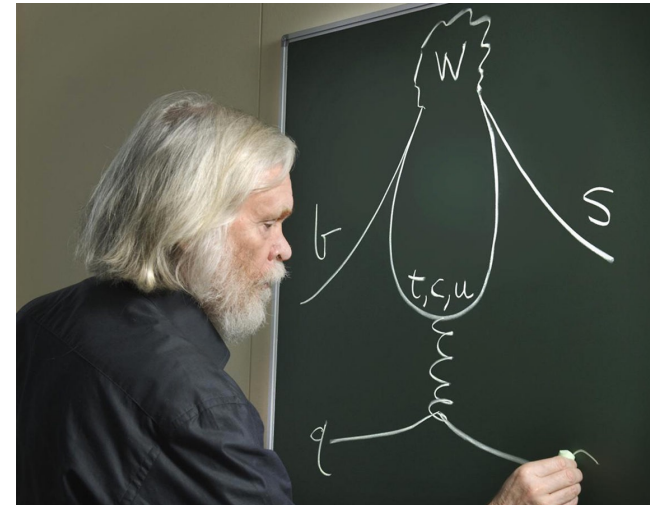
Beyond tree-level...



Can have penguin diagrams with different weak phase

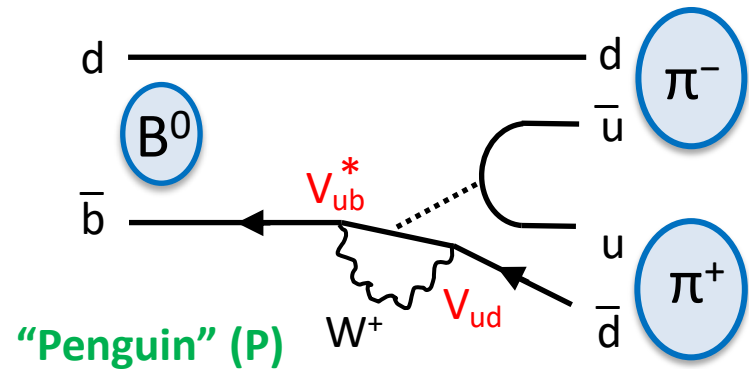
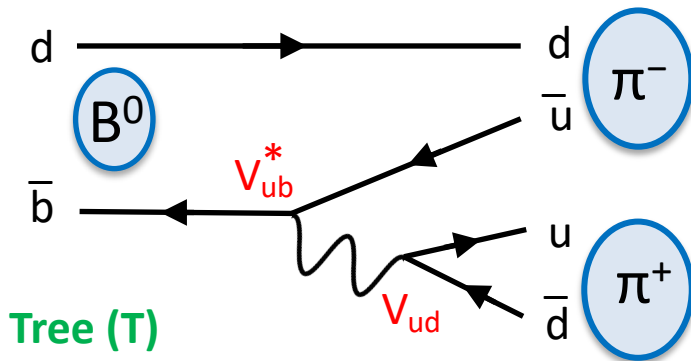
For  $B^0 \rightarrow J/\psi K_S^0$ , tree-level process dominates  
 $\Rightarrow$  penguin can be ignored (<1% effect)

With sufficient experimental precision, these penguin contributions must be included.



# Measuring CKM angle $\alpha$

Similar process allows  $\alpha$  to be measured, **BUT** cannot ignore penguin pollution here



$$A_{CP}^{\text{dir}} = 2 \frac{|P|}{|T|} \sin\alpha \sin(\delta_P - \delta_T)$$

$$A_{CP}^{\text{mix}} = \sin 2\alpha - 2 \frac{|P|}{|T|} \sin\alpha \cos 2\alpha \cos(\delta_P - \delta_T)$$

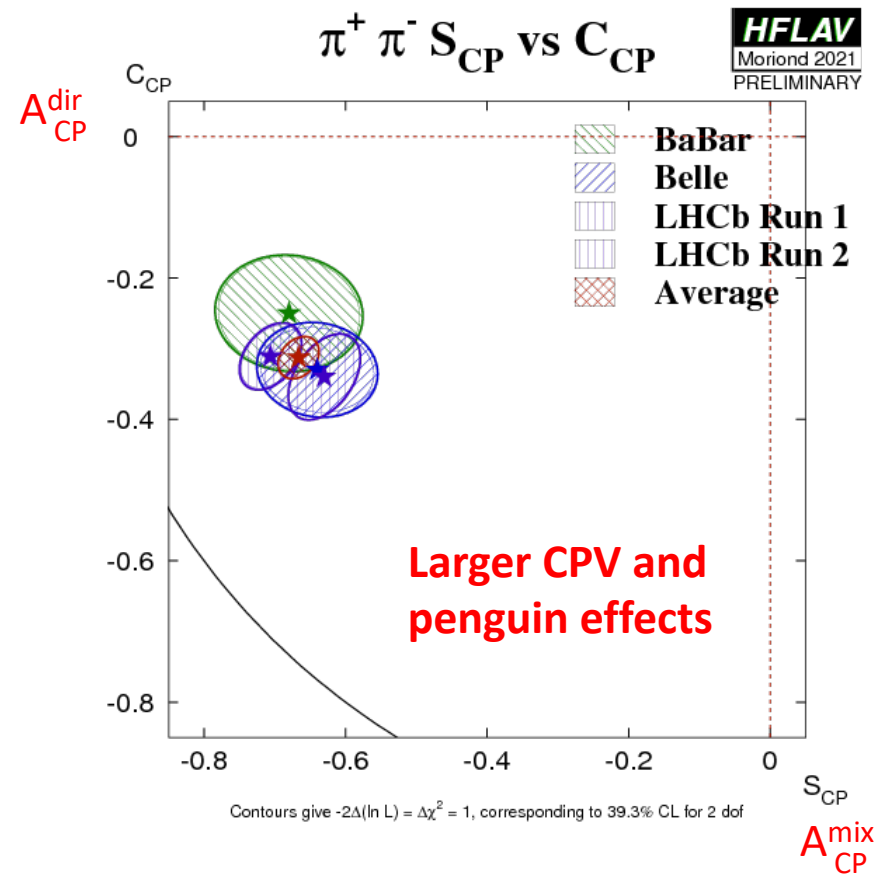
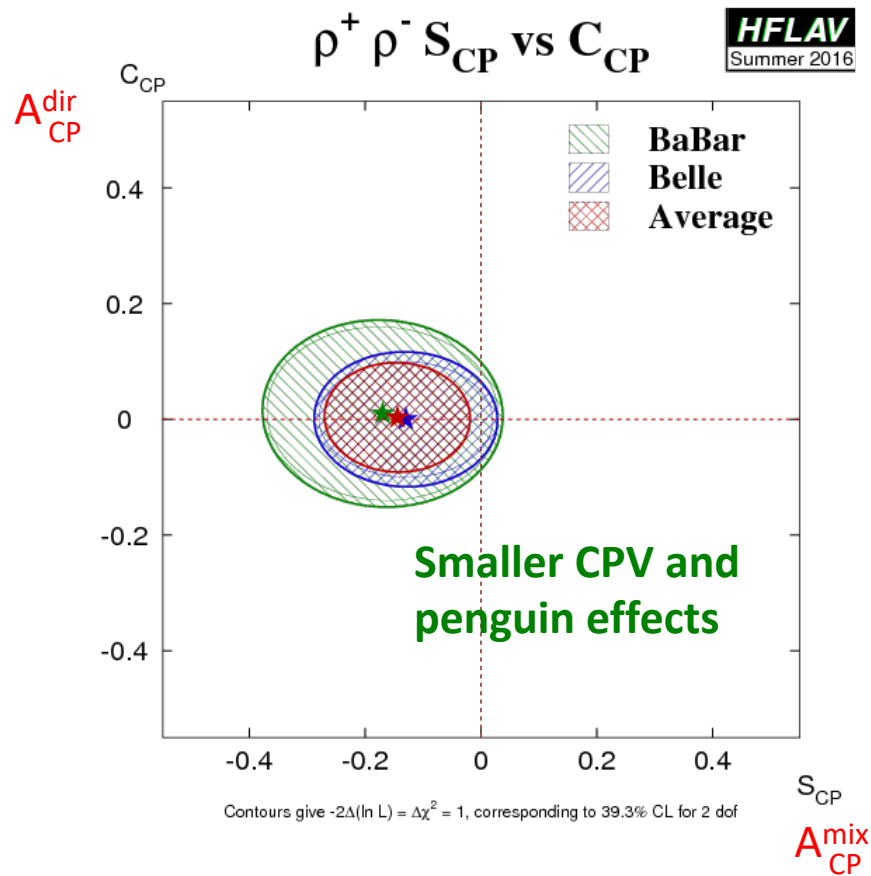
$\swarrow$   $\sim 20\%$   $= \sin 2\alpha_{\text{eff}}$

Several proposed techniques to reduce sensitivity to penguin pollution, e.g.

- ‘Gronau London’ (<https://doi.org/10.1103/PhysRevLett.65.3381>, 1990)
- ‘Snyder-Quinn’ (<https://doi.org/10.1103/PhysRevD.48.2139>, 1993)

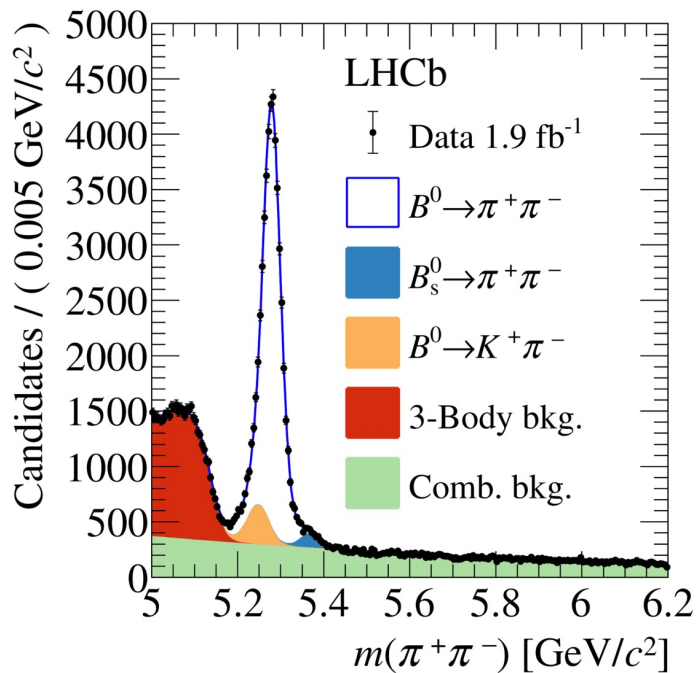
# CKM angle $\alpha$ : state-of-the-art

Current measurements from different channels not in perfect agreement – need more precision!



# CKM angle $\alpha$ : state-of-the-art

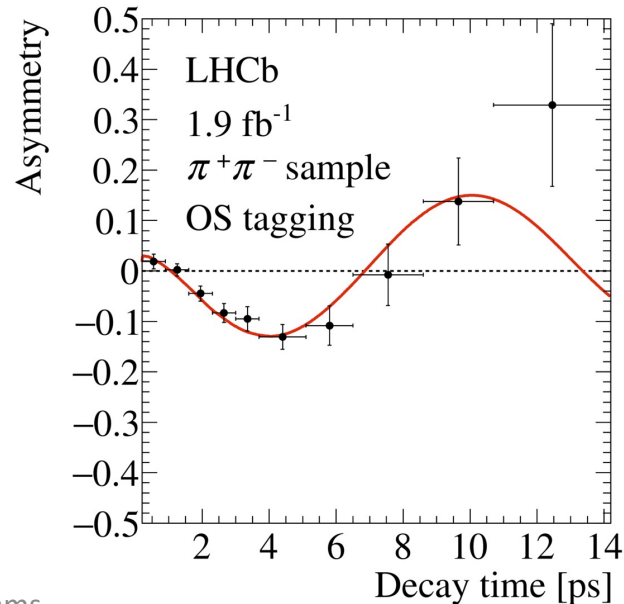
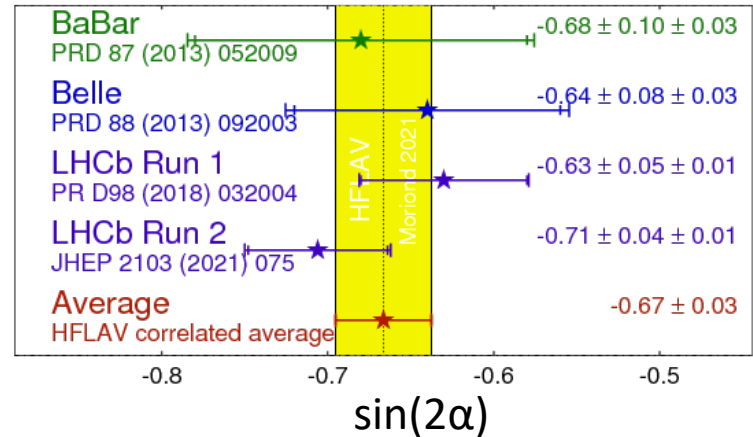
LHCb measurements now most precise



[https://doi.org/10.1007/JHEP03\(2021\)075](https://doi.org/10.1007/JHEP03(2021)075)

$\pi^+ \pi^- S_{CP}$

**HFLAV**  
Moriond 2021  
PRELIMINARY

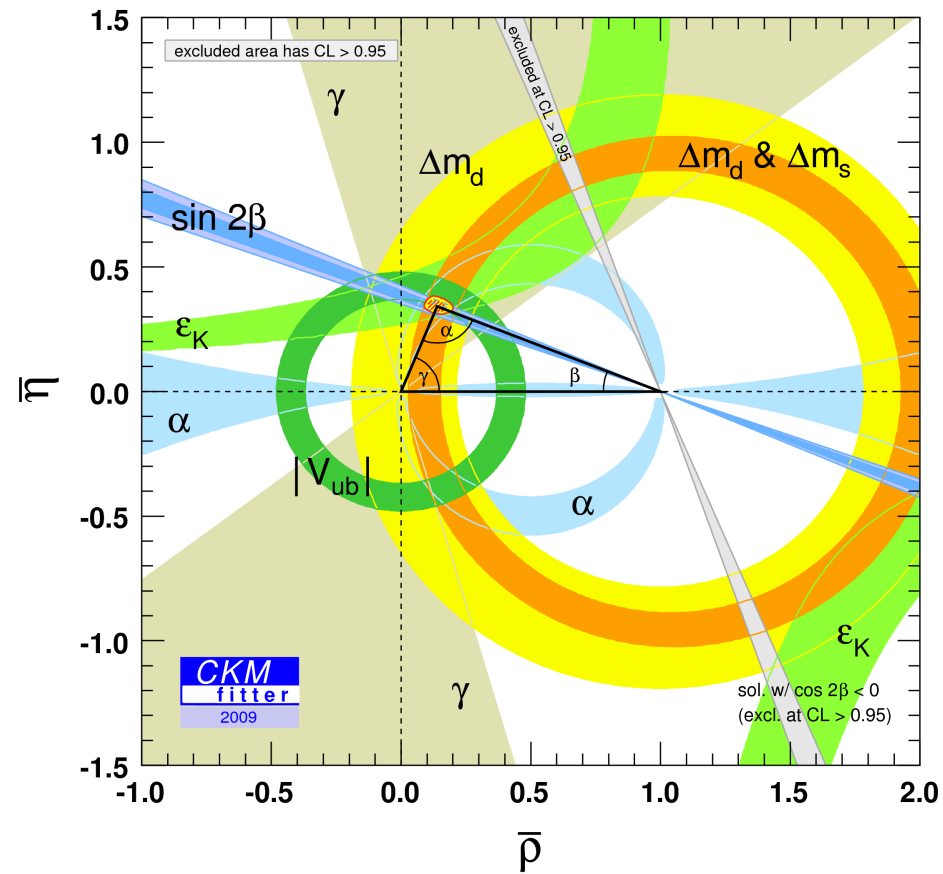
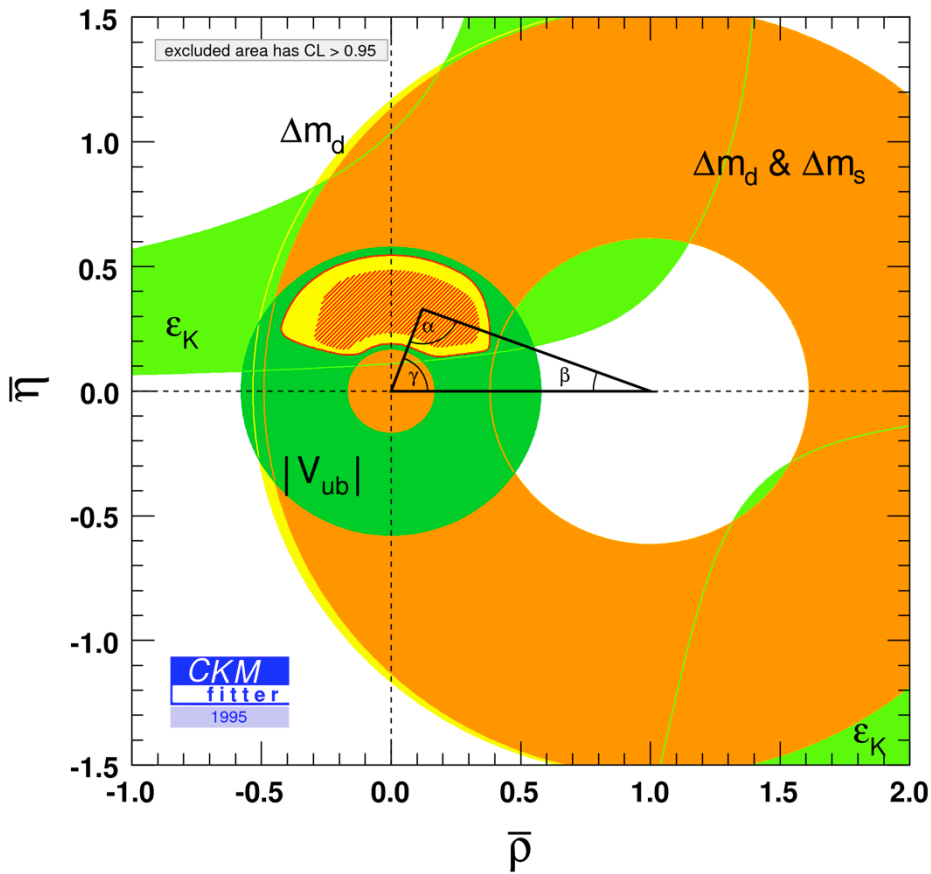


# Impact of B-factories

1995



2009



# On the eve of the LHC...

All constraints consistent with single point for apex

Direct measurements of angles:

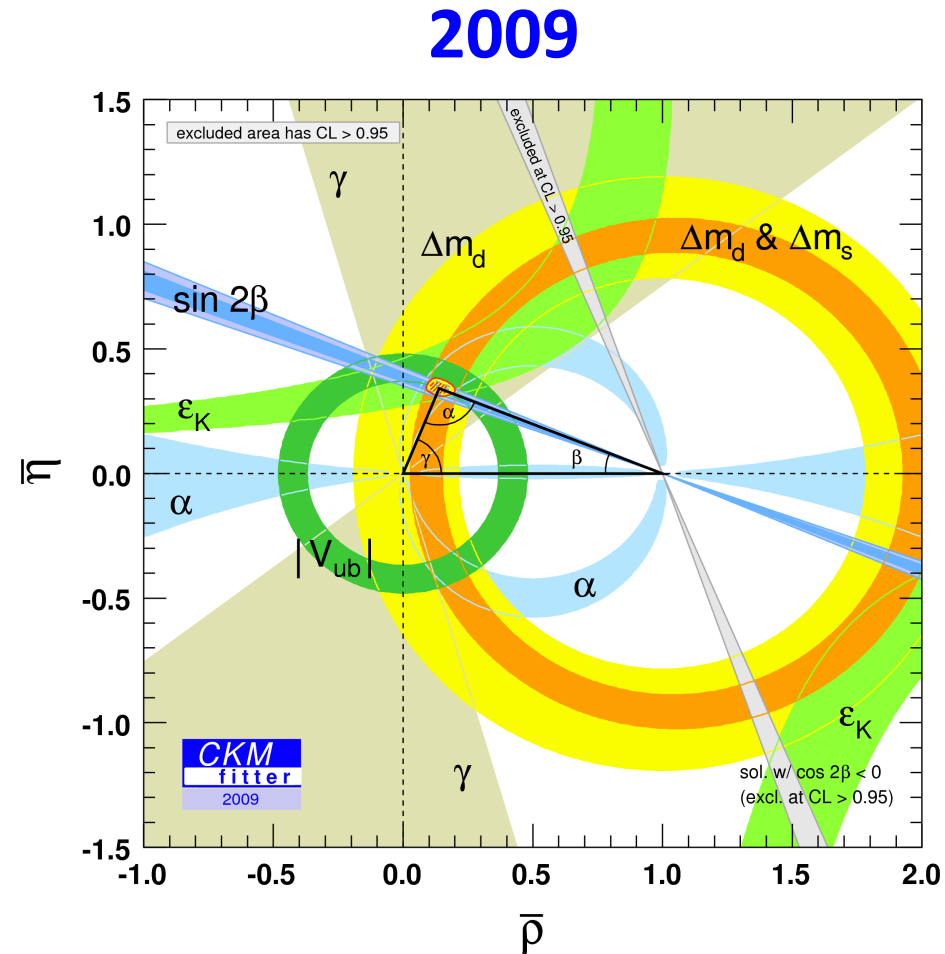
$$\beta = (21.15 \pm 0.90)^\circ$$

$$\alpha = (89.0^{+4.4}_{-4.2})^\circ$$

$$\gamma = (73^{+22}_{-25})^\circ$$

⇒ Need to improve  $\gamma$  measurement!

Brings us to the LHC era of flavour



# Summary

Today we covered the foundations of b physics:

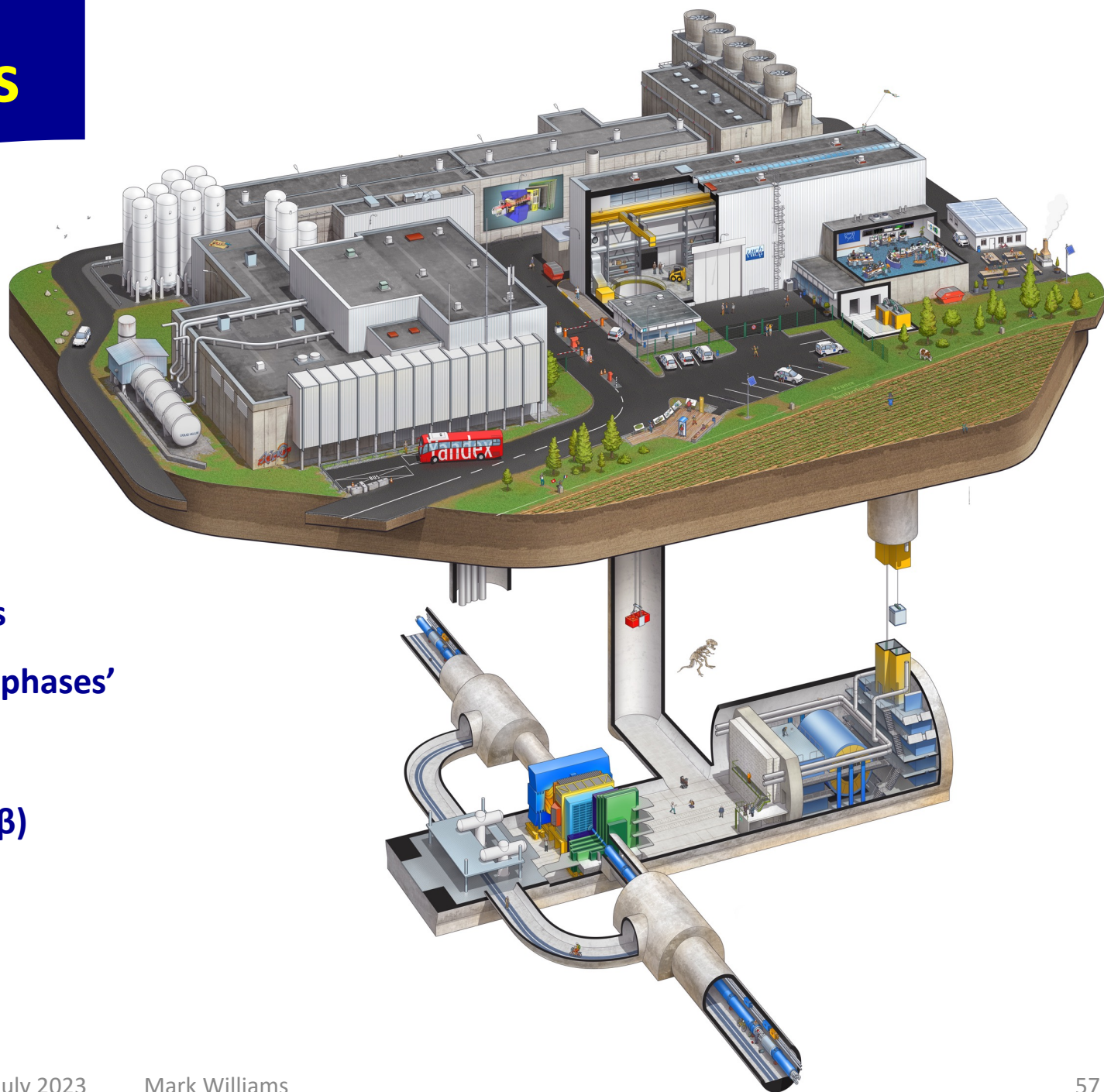
- CP violation in the SM (quark sector)
- Unitarity triangle(s)
- Measuring CKM phases
- B-factory measurements of  $\beta$  and  $\alpha$

Next time – we will cover b (and c) physics in the LHC era:

- Hadron colliders vs B-factories
- Mixing and CP violation in  $B_s^0$  and  $D^0$  mesons
- CKM angle  $\gamma$
- Rare decays and lepton universality



# Extra Slides



- CKM parameters
- CPV and 'strong phases'
- Measuring  $|V_{ub}|$
- Measuring  $\sin(2\beta)$

# CKM matrix: Why 4 parameters?

Why does a **3×3** CKM matrix only have **3 real** and **1 complex** parameters?

Most general  $N \times N$  complex matrix would have  $2N^2 = \mathbf{18}$  parameters

- Must be unitary, i.e.  $V_{\text{CKM}} V_{\text{CKM}}^* = I$   $\Rightarrow N^2$  constraints, leaving  $N^2 = \mathbf{9}$  parameters  
(in physics:  $t \rightarrow d + t \rightarrow s + t \rightarrow b = 1$ )
- We can readily change conventions which describe phases between quark fields  
 $\Rightarrow 6$  quarks, so 5 phase differences, leaving **4 free parameters**
- $N(N-1)/2 = \mathbf{3}$  are rotation angles
- Remaining parameter is **irreducible phase**

Note: For  $N=2$  (Cabibbo), we have  $\mathbf{8} - \mathbf{4} - \mathbf{3} = \mathbf{1}$  free parameter (must be rotation angle)

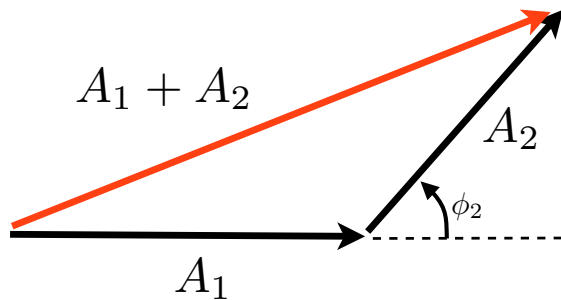
# Conditions for CPV

Consider a process with two interfering amplitudes – can it violate CP symmetry?

**Amplitude**  $A = A_1 e^{i\phi_1} + A_2 e^{i\phi_2}$

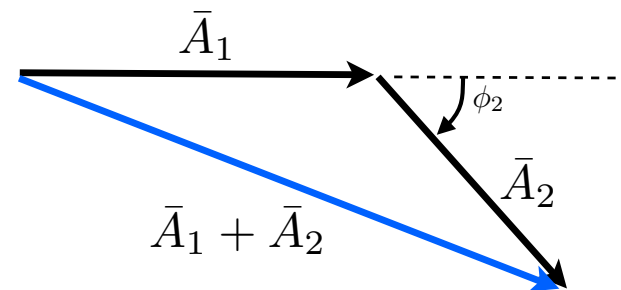
**Rate**  $= |A_1 e^{i\phi} + A_2 e^{i\phi'}|^2$   
 $= A_1^2 + A_2^2 + 2A_1 A_2 \cos(\delta\phi)$

No! Obvious in Argand diagram...



**Amplitude**  $A^* = A_1 e^{-i\phi_1} + A_2 e^{-i\phi_2}$

**Rate**  $= |A_1 e^{-i\phi} + A_2 e^{-i\phi'}|^2$   
 $= A_1^2 + A_2^2 + 2A_1 A_2 \cos(-\delta\phi)$   
 $= A_1^2 + A_2^2 + 2A_1 A_2 \cos(\delta\phi)$



There is a second condition to allow CP violation...

# Conditions for CPV

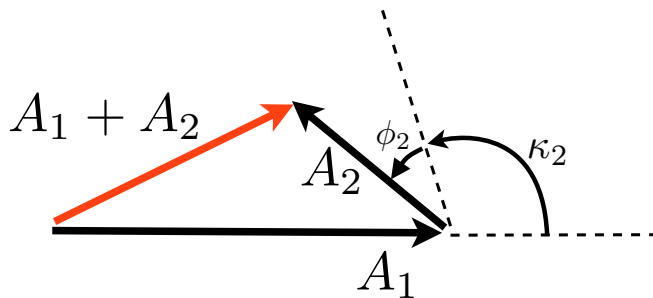
There is a second condition to allow CP violation...

Different strong phase (i.e. CP conserving – no sign change) between amplitudes

$$A = A_1 e^{i\phi_1} e^{i\kappa_1} + A_2 e^{i\phi_2} e^{i\kappa_2}$$

Rate

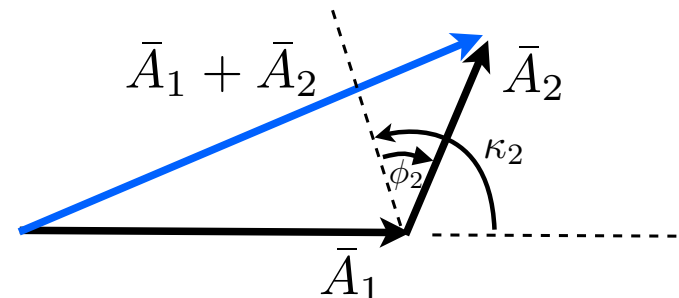
$$= A_1^2 + A_2^2 + 2A_1 A_2 \cos(\delta\phi + \delta\kappa)$$



$$A = A_1 e^{-i\phi_1} e^{i\kappa_1} + A_2 e^{-i\phi_2} e^{i\kappa_2}$$

Rate

$$= A_1^2 + A_2^2 + 2A_1 A_2 \cos(\delta\phi - \delta\kappa)$$



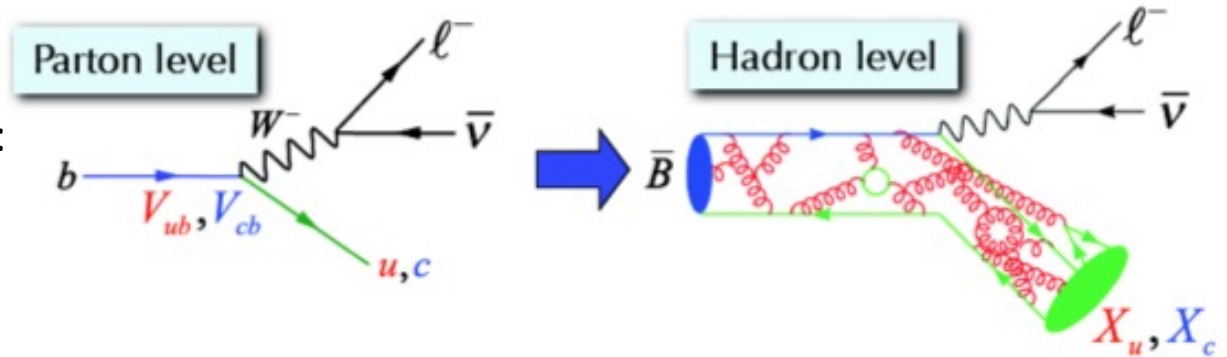
CP violation!

Difference in rates:

$$\Gamma(i \rightarrow f) - \Gamma(\bar{i} \rightarrow \bar{f}) = -4A_1 A_2 \sin(\delta\phi) \sin(\delta\kappa)$$

# Measuring $|V_{ub}|$

$|V_{ub}|$  determined from semileptonic  $b \rightarrow u$  decays:



Two different approaches:

- “**Exclusive**” semileptonic decays (i.e. a known set of particular decays, e.g.  $B^0 \rightarrow \pi^- e^+ \nu$ )
- “**Inclusive**” semileptonic decays (i.e.  $B^0 \rightarrow X_u e^+ \nu$  where  $X_u$  includes all possible hadrons)

Experiment

✓ Easier

✗ Harder – need to reject background from  $b \rightarrow c$

Theory

✗ Less clean – requires understanding of form factors (Lattice QCD)

✓ Cleaner – can use Operator Product Expansion (OPE)

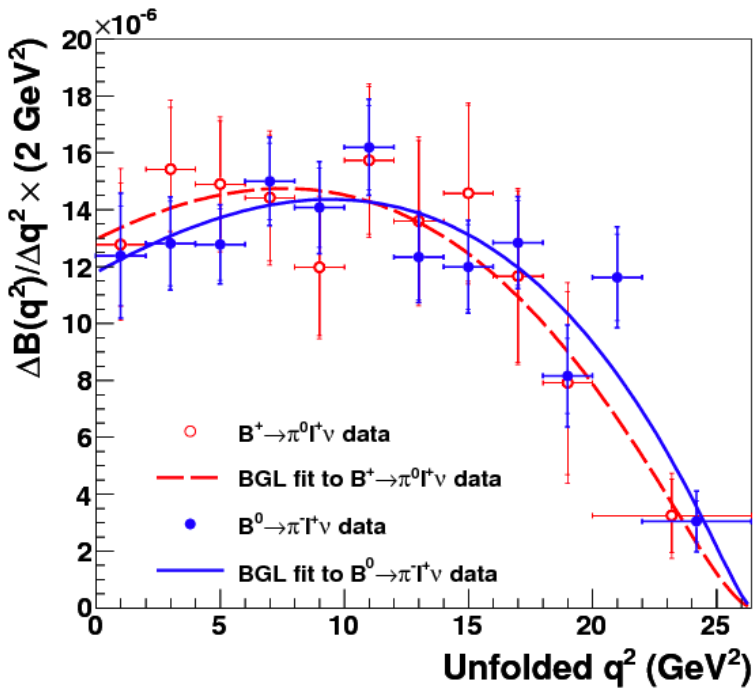
# Measuring $|V_{ub}|$

Exclusive approach

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 |V_{ub}|^2}{24\pi^3} |p_\pi|^3 |f_+(q^2)|^2$$

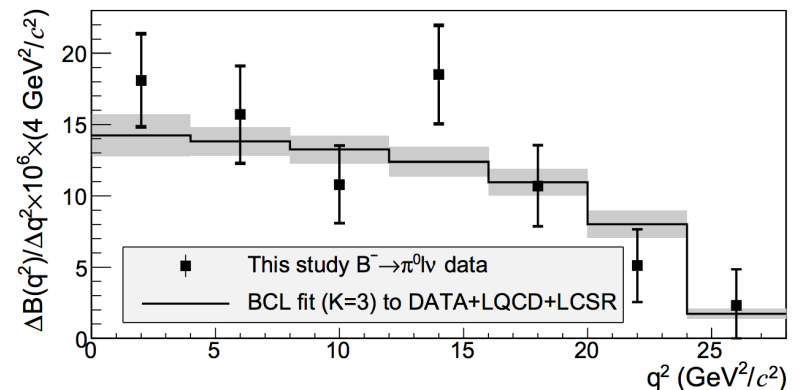
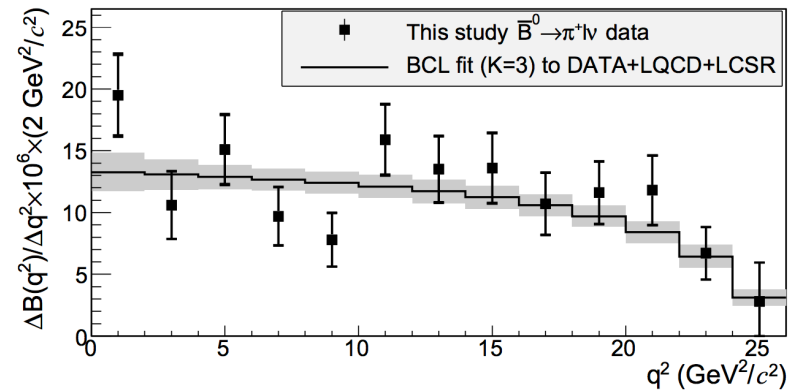
$\Rightarrow B^0 \rightarrow \pi^- e^+ \nu$  rate versus  $q^2$  is sensitive to  $|V_{ub}|$ , but requires theory input  $|f_+(q^2)|$

BaBar (2012) <https://arxiv.org/abs/1208.1253>



$$|V_{ub}| = (3.25 \pm 0.31) \times 10^{-3}$$

Belle (2013) <https://arxiv.org/abs/1306.2781>



$$|V_{ub}| = (3.52 \pm 0.29) \times 10^{-3}$$

# Measuring $|V_{ub}|$

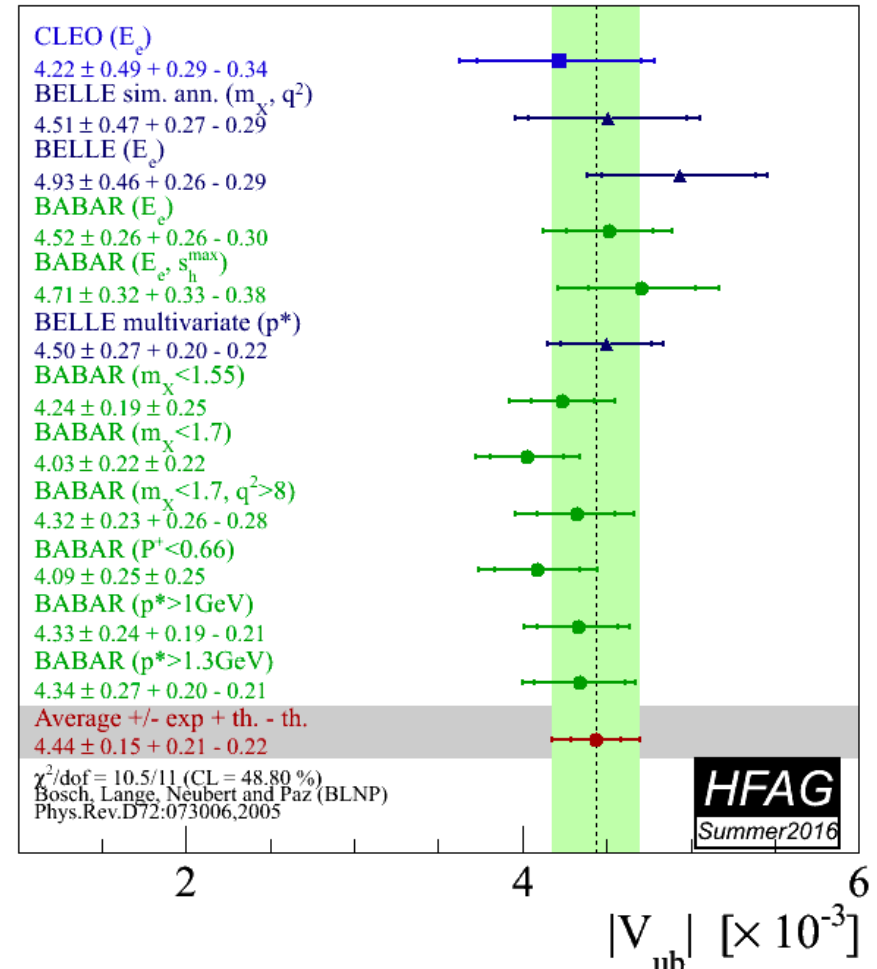
Total decay rate to all Xu is easier to calculate – don't care about details of hadronisation

## Inclusive approach

But – large contamination from  $b \rightarrow c$  needs to be rejected.

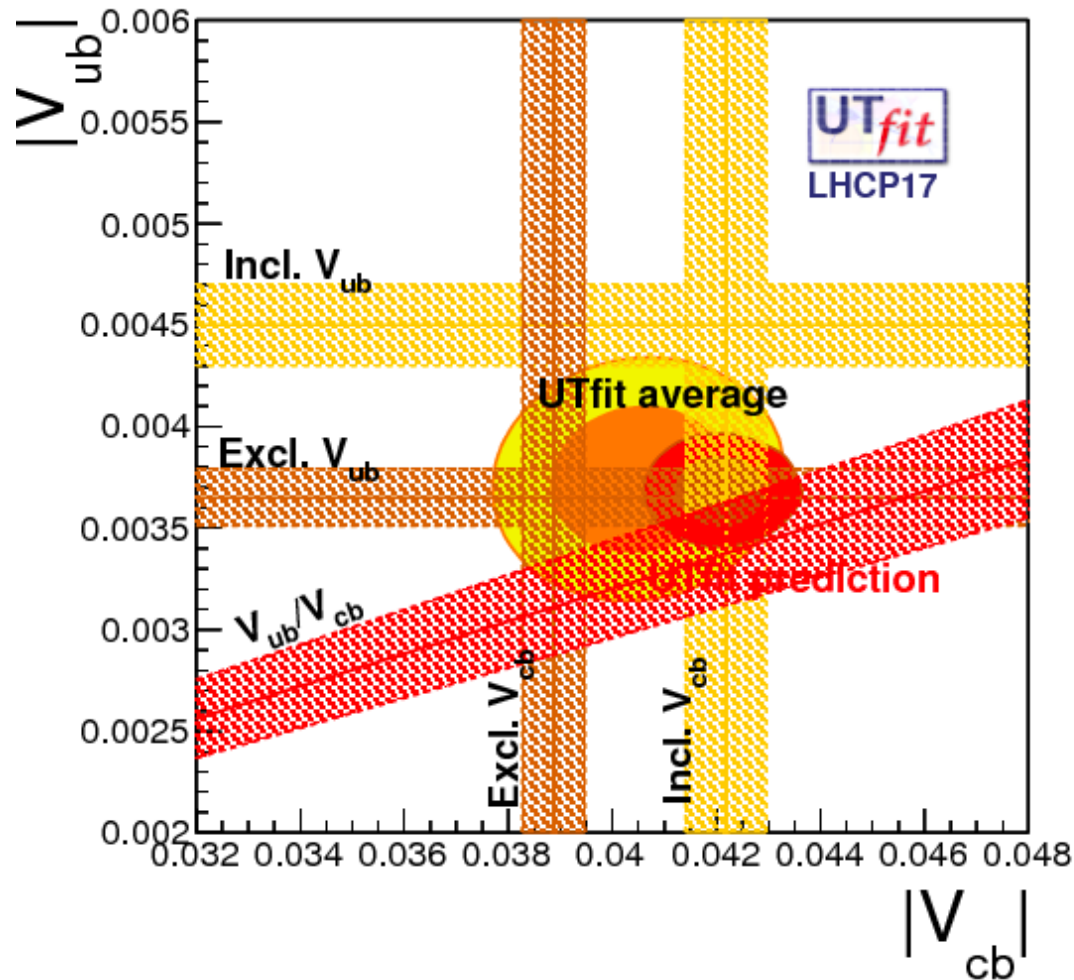
⇒ Cut on lepton energy or  $q^2$  – charm hadrons more massive

Several theoretical approaches – this is a summary of one of them (from Heavy Flavour Averaging Group, HFlav)



# Measuring $|V_{ub}|$

## Exclusive vs Inclusive





# 'Golden Mode' $B^0 \rightarrow J/\psi K_S^0$

Why is  $A_{CP}^{mix} = -\sin(2\beta)$  for  $B^0 \rightarrow J/\psi K_S^0$ ?

$$\beta = \phi_1 = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

(1) remember:  $A_{CP}^{mix} = S_{CP} = \frac{2 \Im(\lambda_{CP})}{1 + |\lambda_{CP}|^2}$

so this is satisfied if  $\lambda_{CP} = -e^{-2i\beta}$   
 $= -\cos(2\beta) - i \sin(2\beta)$

(2) remember:  $\lambda_{f_{CP}} \equiv \frac{q}{p} \frac{\bar{A}_{f_{CP}}}{A_{f_{CP}}}$

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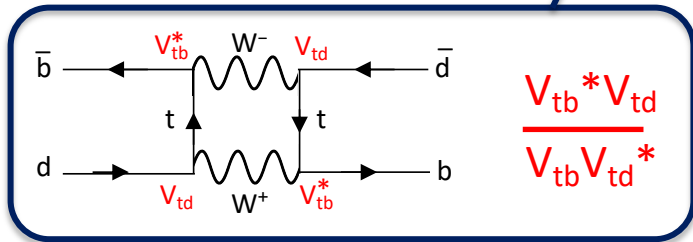
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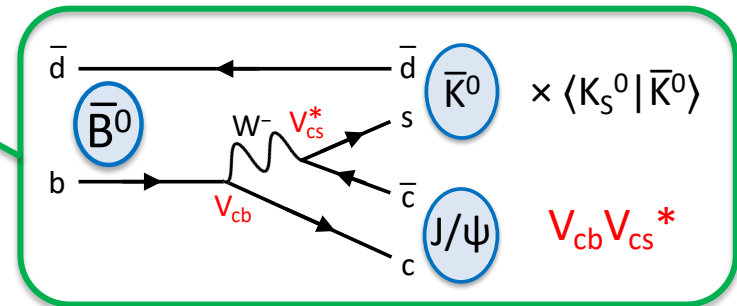
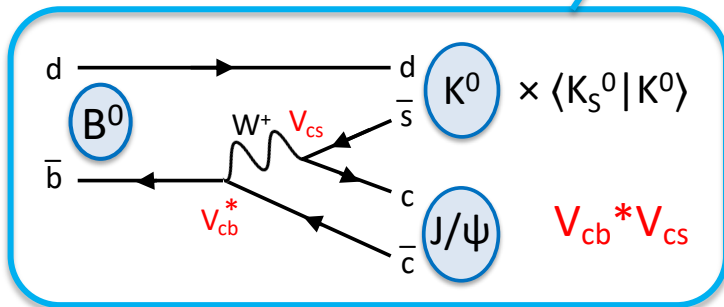
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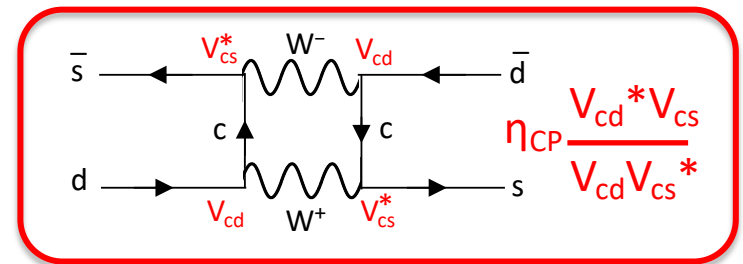
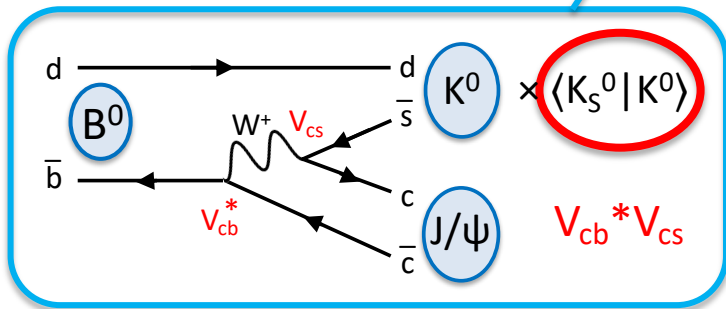
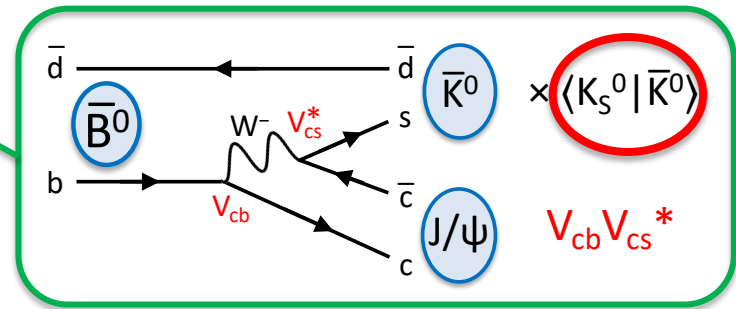
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$$= -\frac{V_{tb}^*V_{td}}{V_{tb}V_{td}^*} \frac{V_{cb}V_{cd}^*}{V_{cb}^*V_{cd}}$$

Cancel terms, and  
 $\eta_{CP} = -1$  for  $J/\psi K_S^0$

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Rearrange

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$$\Rightarrow Ae^{i\beta} = \left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

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$$= -\frac{V_{cb}V_{cd}^*}{V_{tb}V_{td}^*} \frac{V_{tb}^*V_{td}}{V_{cb}^*V_{cd}}$$

Rearrange

$$= [Ae^{i\beta}]^*$$

$$= Ae^{-i\beta}$$

$$= [-Ae^{i\beta}]^{-1}$$

$$= -A^{-1}e^{-i\beta}$$

$$\Rightarrow \lambda_{J/\psi K_S^0} = -e^{-2i\beta}$$

Q.E.D