

Yesterday

How we got here: lessons from building the SM

- Shortcomings of the SM:
  - Aesthetic
  - unnatural: Higgs mass, cosmological constant, strong-CP problem
  - Inconsistent (experimental theory)

Today

- The SM is an Effective Field Theory (EFT)
- The EFT "totalitarian principle": understanding the structure of the SM through symmetry
- EFT as a gateway to BSM: captures indirect effects of physics at heavier scales
  - ↳ Neutrino mass and the Weinberg operator, GUTs and proton decay, accidental symmetries

Almost every particle in the SM first appeared indirectly in data before being discovered directly.

What is an EFT? (Recap)

Given the particle content of all experimentally accessible degrees of freedom at low energies, write down all the terms allowed by the symmetries of the theory, including higher dimensional operators.

↳ EFT "Totalitarian Principle"  
 "Anything that is not forbidden is compulsory" - Gell-Mann

Operator dimension = mass dimension in natural units

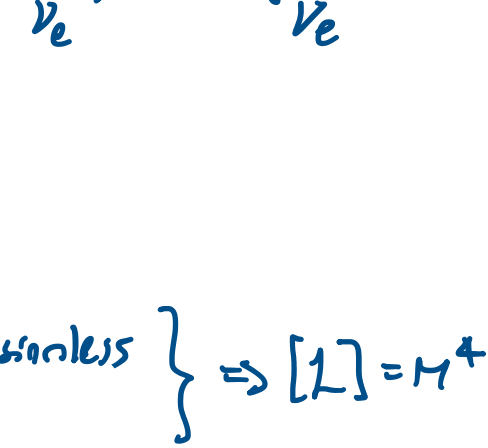
$$\left[ \begin{array}{l} E = mc^2 \\ E = hf \\ E = \frac{hc}{\lambda} \end{array} \right] \xrightarrow{\hbar=c=1} \left[ \begin{array}{l} [E] = [M] \equiv M \\ [E] = [T^{-1}] \Rightarrow [T] = M^{-1} \\ [E] = [L^{-1}] \Rightarrow [L] = M^{-1} \end{array} \right]$$

e.g. Fermi theory

$$\mathcal{L}_{Fermi}^{EFT} = \frac{c}{\Lambda^2} (\bar{\Psi} \gamma^\mu \Psi)(\bar{\Psi} \gamma_\mu \Psi) \Rightarrow \text{Feynman diagram} \sim \frac{cE^2}{\Lambda^2}$$

dimensions:  $[c] = 0, [\Lambda] = M, [\Psi] = M^{3/2}, [L] = M^4$

why?  $S = \int d^4x \mathcal{L}$   $[S] = 0$  since exponent is dimensionless  $\Rightarrow [L] = M^4$

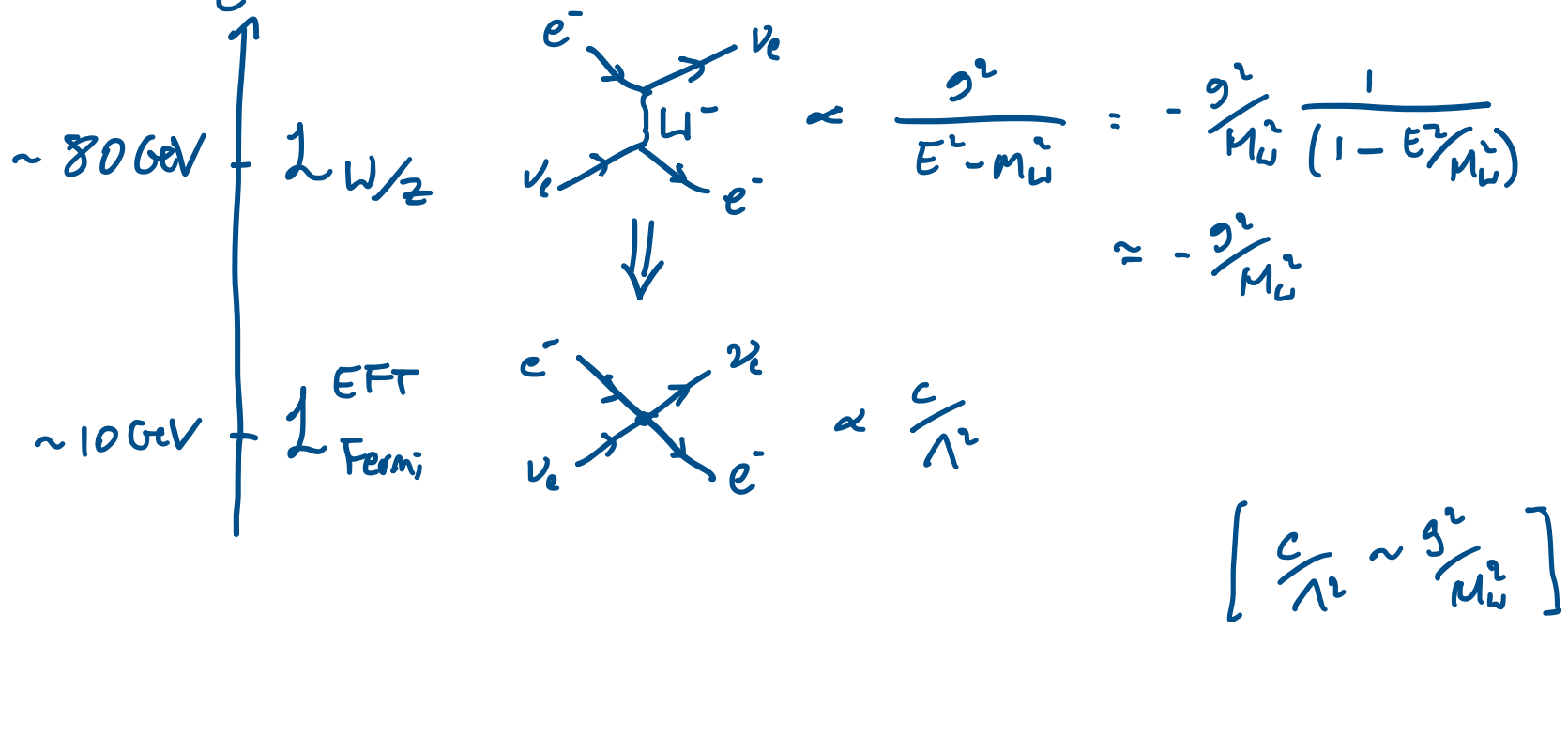


e.g. This four-fermion operator is a dimension-6 operator.

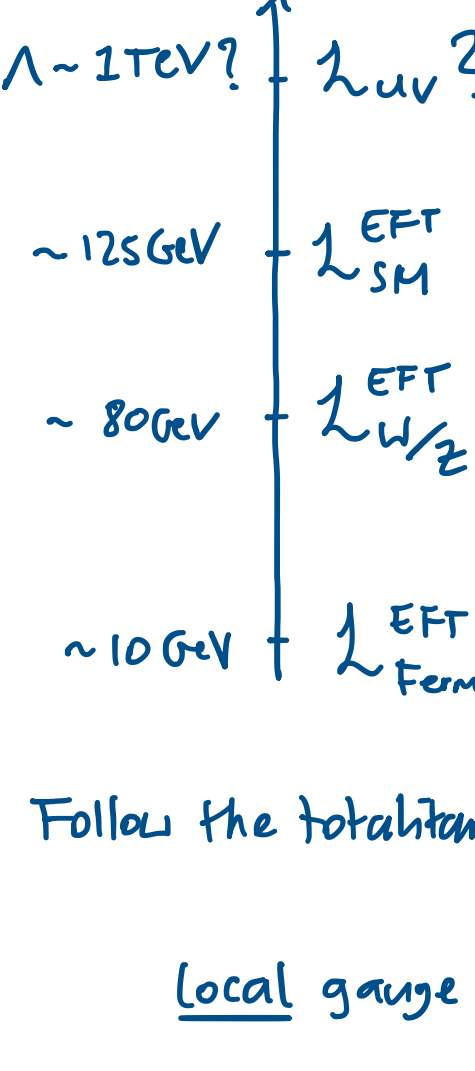
2→2 scattering amplitude grows like  $\sim \frac{cE^2}{\Lambda^2} \Rightarrow$  EFT breaks down at  $E \sim \Lambda$

$\Lambda$  = cut-off scale of EFT  
 c = Wilson coefficient

We know the UV-completion of Fermi theory: the SM weak gauge bosons



the SM is an EFT



Follow the totalitarian principle recipe:

local gauge symmetries:  $SU(3)_C \times SU(2)_L \times U(1)_Y$

Particle content:  $\Psi \equiv \{Q_L, L_L, u_R, d_R, \nu_R\} \times 3 \text{ generations} + H$

Quantum numbers:  $(3, 2, \frac{1}{6}), (1, 2, -\frac{1}{6})$  etc.

All allowed terms by Lorentz and gauge invariance:

$$\mathcal{L}_{SM}^{EFT} = \mathcal{L}_M + \mathcal{L}_G + \mathcal{L}_H + \mathcal{L}_Y + \mathcal{L}_{dim=5} + \mathcal{L}_{dim=6} + \dots$$

$\mathcal{L}_{SM}$  (SM usually refers to  $dim \leq 4$  Lagrangian)

$$\mathcal{L}_M = \bar{\Psi} i \gamma^\mu D_\mu \Psi$$

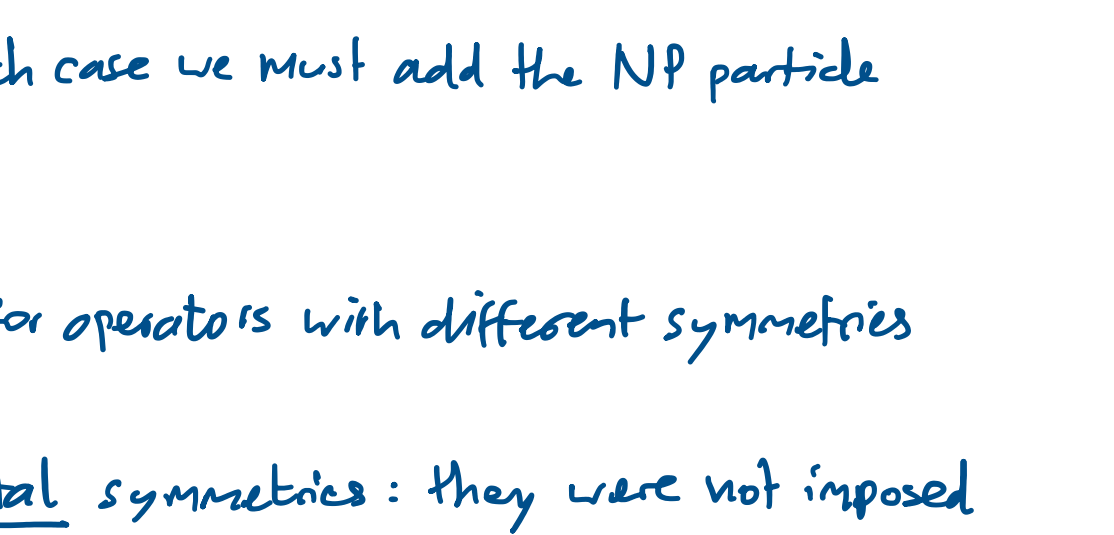
$$\mathcal{L}_G = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \theta \frac{g_s^2}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} G^{\mu\nu} G^{\rho\sigma} ?$$

Absence of CP violation in NEDM  $\Rightarrow \theta \lesssim 10^{-9}$   
 Strong-CP problem

$$\mathcal{L}_H = (D_\mu H)^\dagger (D^\mu H) - V(H)$$

$$\mathcal{L}_Y = -Y \bar{\Psi}_L H \Psi_R + h.c.$$

$$\mathcal{L}_{dim>4} = \sum_{n=5}^{\infty} \sum_{in} \frac{c_n^i}{\Lambda^{n-4}} \mathcal{O}_n^i$$



(Could also have light new physics, in which case we must add the NP particle content to the SM EFT as well)

EFT cut-off scale  $\Lambda$  can be different for operators with different symmetries

Global  $U(1)_B$  and  $U(1)_L$  are accidental symmetries: they were not imposed but just happen to be conserved by  $\mathcal{L}_{dim<4}$ .

$U(1)_L$  Lepton number violated at  $dim=5$  by Weinberg operator:

$$\mathcal{L}_{dim=5} = \frac{c_5}{\Lambda} (\bar{L}_L H^c)(L_L H) \Rightarrow m_\nu \sim \frac{c_5 v^2}{\Lambda} \Rightarrow c_5 \sim \mathcal{O}(1) \Lambda \sim 10^{14} \text{ GeV}$$

$\left[ \begin{array}{l} Y: \frac{1}{2} \quad Y: -\frac{1}{2} \text{ and Lorentz invariance } \bar{\Psi}_L(\Psi_L^c), \Psi^c \equiv C \bar{\Psi}^* \\ C = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \end{array} \right]$

A possible UV-completion of the  $dim=5$  Weinberg operator:

Add  $\nu_R$  with quantum numbers  $(1, 1, 0)$

$$\mathcal{L} \supset -y_\nu \bar{L} H^c \nu_R + h.c. \quad \boxed{-M \bar{\nu}_R \nu_R}$$

$m \sim y_\nu v$

However, nothing forbids Majorana mass term! Apply totalitarian principle:

$$\mathcal{L}_{see-saw} \supset -m \bar{\nu}_L \nu_R - M \bar{\nu}_R \nu_R + h.c.$$

$$= -(\bar{\nu}_L, \bar{\nu}_R) \begin{pmatrix} 0 & m \\ m & M \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

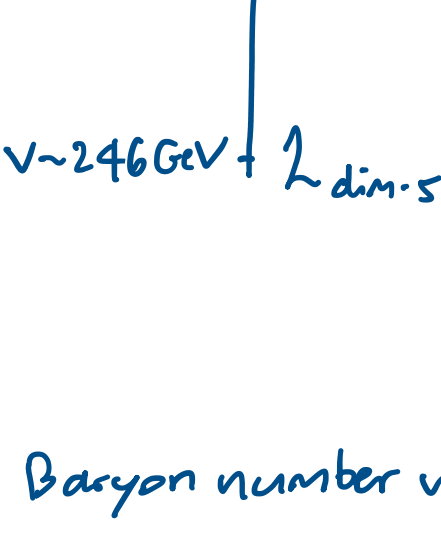
$$= -(\bar{\nu}, \bar{N}) \begin{pmatrix} m_\nu & 0 \\ 0 & M_N \end{pmatrix} \begin{pmatrix} \nu \\ N \end{pmatrix}$$

where

$$m_\nu = \frac{1}{2} (M - \sqrt{M^2 - 4m^2}) \quad M_N = \frac{1}{2} (M + \sqrt{M^2 - 4m^2})$$

$$\approx -\frac{m^2}{M} \quad \approx M$$

when  $M \gg m$ .

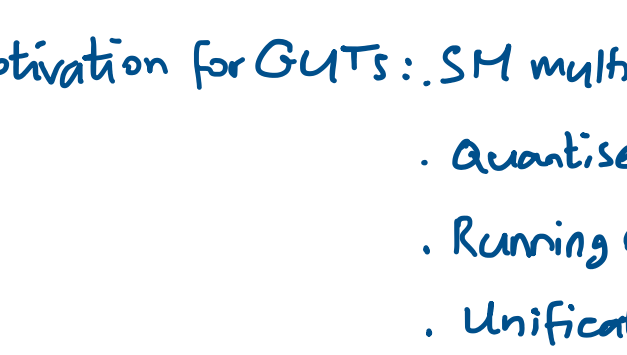


$U(1)_B$  Baryon number violated at  $dimension=6$ .

$$\mathcal{L}_B = \frac{c_6}{\Lambda^2} \bar{Q}_i^c Q_i \bar{u}_j^c e_j \quad (\text{violates } B+L, \text{ conserves } B-L)$$

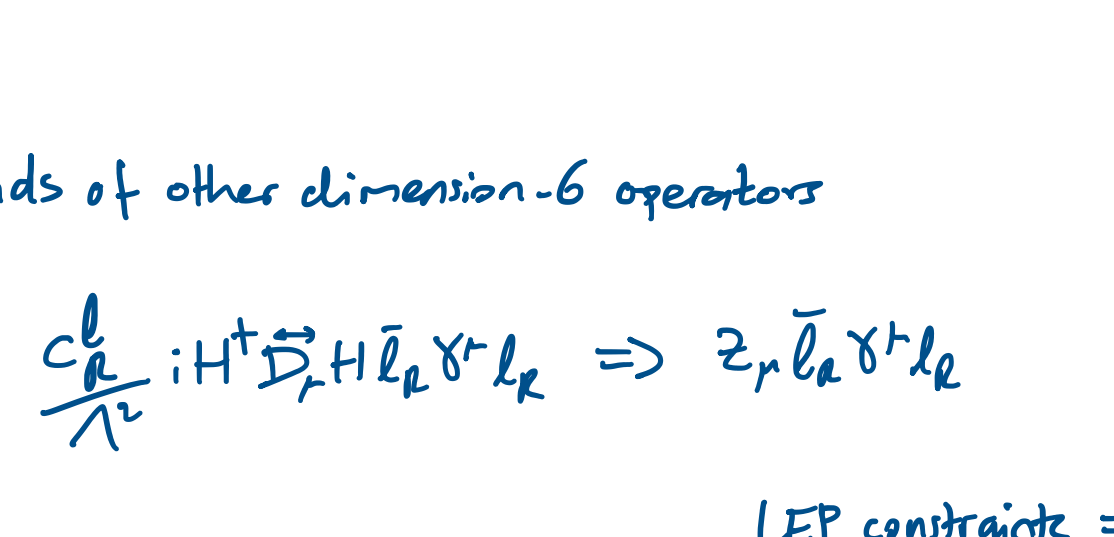
No proton decay seen in super-Kamiokande  $\Rightarrow \Lambda \geq 10^{15} \text{ GeV}$

Proton decay in Grand Unified Theories (GUTs):



Motivation for GUTs: SM multiplets fit neatly into GUT multiplets

- quantised hypercharges
- Running of gauge couplings
- Unification of gauge forces



(Don't quite meet in SM, but expect new physics to modify running)

All kinds of other  $dimension=6$  operators

$$e.g. \frac{c_6^f}{\Lambda^2} i H^\dagger \overleftrightarrow{D}_\mu H \bar{L}_R \gamma^\mu L_R \Rightarrow \bar{\nu}_R \bar{L}_R \gamma^\mu L_R$$

LEP constraints  $\Rightarrow \Lambda \geq 10^3 \text{ GeV}$

$$c_7^g \frac{1}{\Lambda^2} H H^\dagger G_{\mu\nu} G^{\mu\nu} \Rightarrow h G_{\mu\nu} G^{\mu\nu}$$

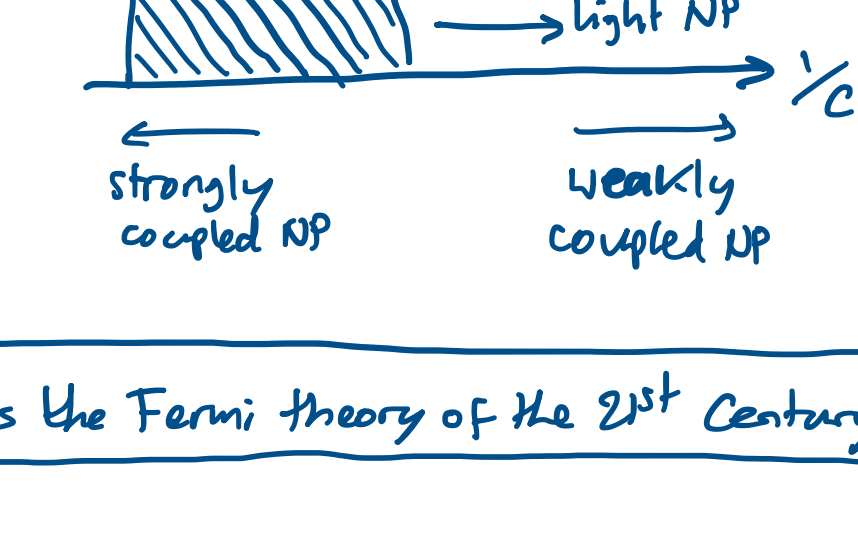
LHC constraints  $\Rightarrow \Lambda \geq 10^5 \text{ GeV}$

$$\frac{c_8^f}{\Lambda^2} \bar{Q}_L \bar{Q}_L \bar{L}_L L_L$$

flavour constraints  $\Rightarrow \Lambda \geq 10^5 \text{ GeV}$

etc.

Constraints on  $\frac{c_i}{\Lambda^2}$  from precision exploration of indirect effects of BSM is complementary to direct searches at high energies.



SM EFT is the Fermi theory of the 21st Century

To go beyond the SM means finding signs of a non-zero Wilson coefficient or a new particle.